## **Homework Assignment 3**

Due: 11:59PM, April 24, 2025

#### 1.

- (3 pt. each) Please answer to the following questions in your own words.
   (a) (Exercise 6.5) An E-R diagram can be viewed as a graph. What do the following mean in terms of the structure
- of an enterprise schema? The graph is disconnected.
- The graph has a cycle.

(b) (Exercise 6.17) Explain the difference between a weak and a strong entity set

(c) (Exercise 6.19) We can convert any weak entity set to a strong entity set by simply adding appropriate attributes Why, then, do we have weak entity sets

(d) (Exercise 7.10) Our discussion of lossless decomposition implicitly assumed that attributes on the left-hand side of a functional dependency cannot take on null values. What could go wrong on decomposition, if this property is

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- (e) (Exercise 7.21) Repetition of information and inability to represent information can be defined as next: - Repetition of information: a condition in a relational database where the values of one attribute are determined by the values of another attribute in the same relation, and both values are repeated throughout the relation
- Inability to represent information: a condition where there is a relation-ship that exists among only a proper subset of the attributes in a relation.

Explain why each of these properties may indicate a bad relational-database design.

(f) (Exercise 7.22) Why are certain functional dependencies called trivial functional dependencies?

### Answer 1.

- **Disconnected,** There is no connection between entities, thus which mean part of schema is isolated with other part.
  - Cycle, A path of relationships exists among entity sets where the path starts and ends same entity.
- b. Strong Entity, An entity set that has a PK(Primary Key) and can be uniquely identified by its own attributes without depend on other entity.
  - Weak Entity, An entity set that dose not have sufficient primary key. It must rely on strong entity through a foreign key and partial key to be uniquely identified.
- c. In real world modeling, some entities (like order list or a room in a building) do not make sense without their related entity. Therefore, weak entity sets are useful because explicitly represent existential dependency on another entity.
- d. Null values are not considered equal to any value which means Null values cannot ensure that functional dependencies hold, thus decomposed relations may not preserve the original data when joined leading to lossy decomposition.
- Repetition, repeated value leads to 'redundancy', this causes (update, insertion, and deletion) anomalies.
  - Inability, if schema groups together unrelated attributes, it may prevent us from representing valid real-world scenarios.
- f. A functional dependency  $X \rightarrow Y$  is called **trivial** if Y is a subset of X. In this case, the dependency always holds in any relation instance and thus does not impose any meaningful constraint on the data. Therefore, it is called a trivial functional dependency.

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## 2.

- 2. Draw the E-R diagrams for the following databases. Be sure to indicate the cardinalities of the relationship. (a) (3 pt.) Design a database for a bank, including information about customers, their accounts, and the own relationship between them. Information about a customer includes their name, address, phone, and customer ID. An  ${\it Account has an account number and balance. Also, the own relationship keeps opening date of each account. Note}$ that:
  - A customer can own multiple accounts.

- An account is owned by only one customer.
   Customer ID and Account number are unique to each customer and account, respectively



(d) (2 pt.) Add a weak entity set of transactions next to account (connected via a relationship record). This entity set

(c) (1 pt.) Change your diagram of Problem 2(b) such that a customer has an address represented by composite attributes (which are street-city-province triplets). Note that multiple customers may live at a single address.

 $(b) \ (1 \ \mathrm{pt.}) \ \mathrm{Modify} \ \mathrm{your} \ \mathrm{original} \ \mathrm{diagram} \ \mathrm{of} \ \mathrm{Problem} \ 2 \\ (a) \ \mathrm{such} \ \mathrm{that} \ \mathrm{a} \ \mathit{customer} \ \mathrm{can} \ \mathrm{have} \ \mathrm{multiple} \ \mathit{phone} \ \mathrm{numbers}.$ 



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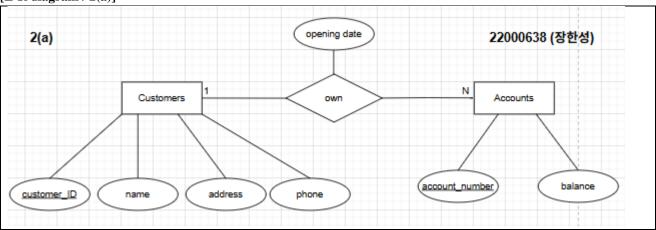
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- (e) (3 pt.) Convert the following E-R diagram into SQL DDL (CREATE TABLE statements).

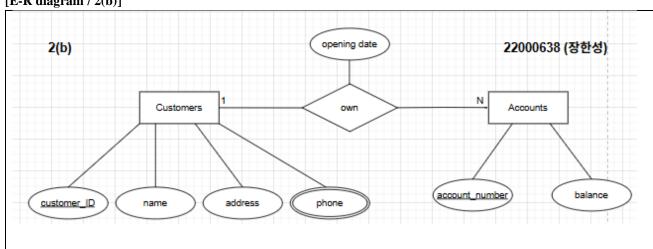
   Consider the cardinalities of the relationship.
- Recall that we do not allow attributes to have non-primitive data types.

## Answer 2

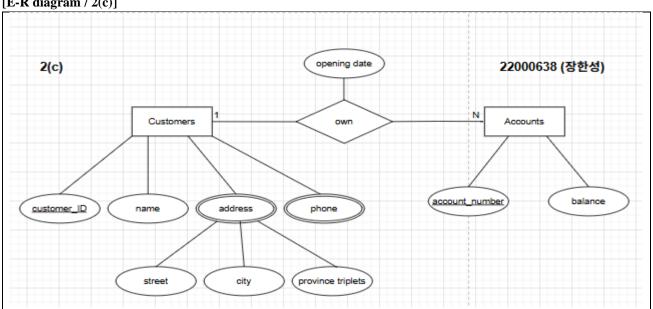
## [E-R diagram / 2(a)]



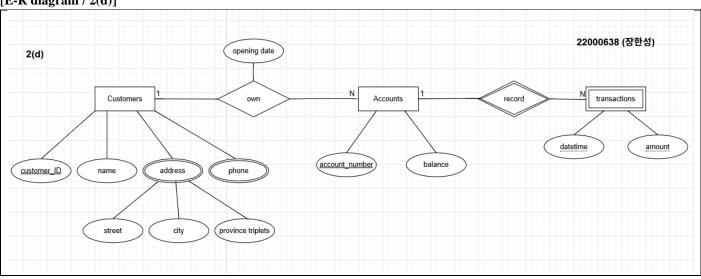
## [E-R diagram / 2(b)]



[E-R diagram / 2(c)]



[E-R diagram / 2(d)]



## [E-R diagram / 2(e)]

```
[1. Address]
CREATE TABLE Address (
address_id INT PRIMARY KEY,
street VARCHAR(50),
city VARCHAR(50),
province_triplets VARCHAR(50)
[2. Customers]
CREATE TABLE Customers (
customer_ID INT PRIMARY KEY,
name VARCHAR(50) NOT NULL,
address_id INT,
FOREIGN KEY (address_id) REFERENCES Address(address_id)
[3. CustomerPhones (다치 속성 분리)]
CREATE TABLE CustomerPhones (
customer_ID INT,
phone VARCHAR(50),
PRIMARY KEY (customer_ID, phone),
FOREIGN KEY (customer_ID) REFERENCES Customers(customer_ID)
);
[4. Accounts]
CREATE TABLE Accounts (
account_number INT PRIMARY KEY,
balance DOUBLE,
customer_ID INT,
FOREIGN KEY (customer_ID) REFERENCES Customers(customer_ID)
);
[5. Transactions (약한 엔터티)]
CREATE TABLE Transactions (
account_number INT,
datetime DATETIME,
 amount INT.
 PRIMARY KEY (account_number, datetime, amount),
FOREIGN KEY (account_number) REFERENCES Accounts(account_number) ON
DELETE CASCADE
);
```

## 3. Normalization(정규화)

## (a) Is every relation in 3NF also in BCNF? If yes, explain why. If no, given a counter example.

## Answer:

## No, not every relation in 3NF is also in BCNF.

A relation can be in 3NF even if there is a functional dependency where the determinant is not a candidate key, as long as the dependent attribute is a prime attribute or the dependency is trivial. However, BCNF requires that every non-trivial functional dependency must have a candidate key as its determinant.

### Counter Example:

Consider a relation R(student\_id, course\_id, instructor)

- Assume (student\_id) is the only candidate key
- Suppose there is a functional dependency: course id → instructor
- This satisfies 3NF because instructor is not transitively dependent on the whole key
- But 'course\_id' is not a candidate key, so this violates BCNF

### (b) Is every relation in 4NF also in BCNF? If yes, explain why. If no, given a counter example.

#### **Answer:**

### Yes, every relation in 4NF is also in BCNF.

4NF requires that all non-trivial multiple dependencies (MVDs) be dependent only on candidate keys, It is a stronger regular form than BCNF, which requires candidate key conditions for all functional dependencies (FD).

Therefore, 4NF-satisfying relationships always satisfy BCNF as well.

(c)	The following	relation violates	{1NF,	2NF, 3N	<b>JF, 4NF</b> ,	BCNF}?	Justify your answer.
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	employee id	name	position	previous branch
=	1001	Brown	Sales representative	Pohang
	1001	Brown	Sales representative	Busan
	1005	Hopkins	Software engineer	Seoul
	2001	Kim	Software engineer	Busan
	3004	Kim	Product manager	Seoul
	3004	Kim	Product manager	Wonju
	3005	Clermont	Project administrator	Seoul

### Answer:

## 4NF violation.

The following table violates 4NF due to the presence of multivalued dependencies: Each employee can be associated with multiple previous branches (e.g., Busan, Pohang) and also have a specific position, but the position and previous\_branch are independent, causing repetition (cartesian product).

[Example] employee\_id = 1001 -> "Sales representative" \* {Pohang, Busan}

## (d) The following relation violates {1NF, 2NF, 3NF, 4NF, BCNF}? Justify your answer.

employee id	<u>name</u>	<u>branch</u>	branch_address
1001	Brown	Seoul	Garosu-gil 233
1004	Green	Seoul	Garosu-gil 233
1005	Hopkins	Pohang	Handong-ro 501
2001	Kim	Seoul	Garosu-gil 233
3002	Walker	Seoul	Garosu-gil 233
3004	Kim	Pohang	Handong-ro 501
3005	Clermont	Pohang	Handong-ro 501

## Answer:

## 2NF violation.

This relationship is based on the assumption that employee\_id is the default key, branch\_address is not the entire default key (employee\_id), Depends only on the property branch. This corresponds to partial dependence, It violates the second normal type (2NF).

# (e) The following relation violates {1NF, 2NF, 3NF, 4NF, BCNF}? Justify your answer.

employee id	name	branch	dept_id	dept_name
1001	Brown	Seoul	202	Sales
1004	Green	Seoul	201	Operation
1005	Hopkins	Pohang	303	Software development
2001	Kim	Seoul	303	Software development
3002	Walker	Seoul	303	Software development
3004	Kim	Pohang	308	User experience
3005	Clermont	Pohang	201	Operation

## Answer:

## 3NF violation.

there is a transitive dependency:

- employee\_id → dept\_id
- dept id → dept\_name
  - ⇒ so, employee\_id → dept\_name (indirect dependency)

Since dept\_name is a non-prime attribute that is transitively dependent on the primary key, this violates Third Normal Form (3NF).

## 4. SQL Queries

Launch and access the MySQL databases distributed with the class virtual machine. Below uses the "sakila" database (DVD rental database), which consists of 16 tables regarding movie inventory, actors, customers, rental history, payment information, etc. For each of the following questions, find the answer based on the information recorded in the database and write a query that shows how you obtained the answer.

Question 4(a), How many *stores* are found in the database? Answer 4(a)

[Answer question]	[SQL query answer]
2	SELECT Count(*) FROM sakila.store;

Question 4(b) How many unique *last names* are found in the *actor* relation? Answer 4(b):

[Answer question]	[SQL query answer]
121	SELECT COUNT( DISTINCT last_name) FROM actor;

Question 4(c) According to the database, how many *inventories* (DVDs) have not been returned (inventories that have not been returned do not have *return\_date*)?

Answer 4(c):

[Answer question]	[SQL query answer]
183	SELECT Count(inventory.inventory_id) FROM inventory JOIN rental ON inventory_id = rental.inventory_id WHERE rental.return_date IS NULL;

Question 4(d) How many distinct *customers* have rented a movie title(s) from *staff\_id*=1? Answer 4(d):

[Answer question]	[SQL query answer]
8,057	SELECT COUNT(customer_id) AS num_rent
	FROM payment
	WHERE payment.staff_id = 1
	GROUP BY customer_id;

Question 4(e) How many distinct films rated 'PG' are available? Answer 4(e):

[Answer question]	[SQL query answer]
183	SELECT COUNT(DISTINCT f_pg.film_id) AS Avilable_PG
	FROM (SELECT film_id, rating
	FROM film
	WHERE rating = 'PG') AS f_pg, rental AS r, inventory as i
	WHERE (r.return_date IS NOT NULL) AND f_pg.film_id = i.film_id
	AND i.inventory_id = r.inventory_id;

Question 4(f) How many active customers are living in the district of England? Answer 4(f):

[Answer question]	[SQL query answer]	
6	SELECT COUNT(*)	
	FROM customer AS c, address AS a	
	WHERE (c.active = 1 AND c.address_id = a.address_id AND a.district LIKE	
	'%England%');	

Question 4(g) Considering the rental history (*rental*) and payment history (*payment*), who has paid the largest amount of money for renting movies? List the *first* and *last name* of the *customer*, the *total number* of movie rentals, and *total amount* of money s/he has paid.

Answer 4(g):



```
SELECT first_name, last_name, coast_list.num_rental, coast_list.tot_amount
FROM customer,

(
    SELECT r.customer_id, COUNT(DISTINCT r.rental_id) AS num_rental,
p.tot_amount
    FROM rental AS r
    JOIN (SELECT customer_id, SUM(amount) AS tot_amount
    FROM payment
    GROUP BY customer_id) AS p ON p.customer_id = r.customer_id

#WHERE r.customer_id = p.customer_id

GROUP BY customer_id ) AS coast_list
```

```
WHERE coast_list.customer_id = customer.customer_id
ORDER BY tot_amount DESC LIMIT 1;
```

Question 4(h) List three most common *categories* of film available at *store\_id=2* (if a store has multiple copies of the same film, consider each copy as an individual inventory).

Answer 4(h):

[Answer question]	[SQL query answer]	
[Answer question]  1. Sports 2. Documentar 3. Animation	SELECT name	
	) common_ca WHERE c.category_id = common_ca.category_id;	

Question 4(i) What is the *title* of the movie that has the longest description (*film\_text.description*) among the rental store with *store\_id*=2 has?

## Answer 4(i):

[Answer question]	[SQL query answer]
CANDIDATE PERDITION	SELECT DISTINCT ft.title FROM film_text AS ft  JOIN film_text AS f ON ft.film_id = f.film_id  JOIN inventory AS i ON f.film_id = i.film_id  WHERE LENGTH(ft.description) >=  (SELECT MAX(LENGTH(description)) FROM film_text)  AND i.store_id = 2;

Question 4(j) Which of the films starred by "FRED COSTNER" rented the most? Answer 4(j):

[Answer question]	[SQL query answer]
[Answer question]  BROTHERHOOD BLANKET	SELECT title, COUNT(title) AS Num_inven FROM rental AS ren, (SELECT inventory_id, ft.title FROM inventory AS inv, (SELECT film_id FROM film_actor AS fa, (SELECT actor_id FROM actor AS a WHERE a.first_name LIKE 'FRED' AND a.last_name LIKE 'COSTNER') AS a WHERE fa.actor_id = a.actor_id) AS f_id, film_text AS ft WHERE inv.film_id = f_id.film_id AND f_id.film_id = ft.film_id) AS inv_mv WHERE ren.inventory_id = inv_mv.inventory_id GROUP BY title ORDER BY Num_inven DESC LIMIT 1
	;

Question 4(k) Using the 'customer\_list' view, list all names of people whose address is in the city of 'London'. Answer 4(k):

[Answer question]	[SQL query answer]
MATTIE HOFFMAN     CECIL VINES	SELECT name FROM customer_list WHERE city LIKE '%London%';

Question 4(1) Write a query *that uses only tables* (*does not use any views*) and returns the same information as in the previous problem (Problem (k)).

## Answer 4(1):

