## 1. Modules

Modules: Basics

#### How to think of modules

- Modules are to rings as vector spaces are to fields.
- ▶ Modules are to rings as sets with group actions are to groups.

## Definition of (left) modules

**Definition:** Let R be a ring (for now, not necessarily commutative and not necessarily having a unit). A *left* R-module is an abelian group M together with a map  $R \times M \to M$  (written  $(r, m) \mapsto rm$ ) such that:

- $r(m_1 + m_2) = rm_1 + rm_2$
- $(r_1 + r_2)m = r_1m + r_2m$
- $ightharpoonup r_1(r_2m) = (r_1r_2)m$

If R has a unit element 1, we also require 1m = m for all  $m \in M$ .

#### Right modules

A right module is defined by a map  $M \times R \to M$  and written  $(m,r) \mapsto mr$  and satisfying the property

$$(mr_1)r_2=m(r_1r_2).$$

If *R* is not commutative, these really are different, since for a left module:

- $ightharpoonup r_1 r_2$  acts by "first  $r_2$ , then  $r_1$
- while for a right module
  - $ightharpoonup r_1 r_2$  acts by "first  $r_1$ , then  $r_2$ ."

#### Left and Right modules

If R is commutative, and M is a left R-module, then we can define a right R module M' with the same underlying abelian group M and by defining m'r = (rm)'. This works because

$$(m'r_1)r_2 = (r_1m)'r_2 = (r_2(r_1m))' = ((r_2r_1)m)' = ((r_1r_2)m)' = m'(r_1r_2)$$

#### Remarks

#### Vector spaces

If R is a field, then a left (or right) R-module is the same as a vector space.

#### Another definition

If M is an abelian group, and R is a ring, then a left R-module structure on M is the same as a ring map

$$R \to \operatorname{End}(M)$$
.

If  $\phi_r$  is the endomorphism associated to  $r \in R$ , then  $rm = \phi_r(m)$ . The associativity comes from defining the ring structure on

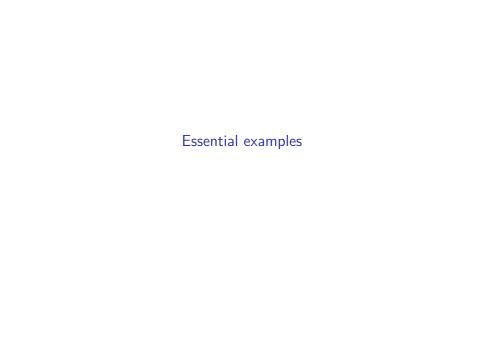
as the usual composition of functions:

$$\phi_{r_1r_2} = \phi_{r_1} \circ \phi_{r_2}.$$

#### Submodules

**Definition:** If M is a left R-module, then a submodule N of M is a subgroup with the property that, if  $n \in N$ , then  $rn \in N$  for all  $r \in R$ .

**Observation:** A ring R is a left module over itself by ring multiplication. The (left) ideals of R are exactly the left submodules of R.



#### Rings as modules over themselves

- ► Every ring *R* is a left module over itself. The submodules of *R* are the left ideals.
- ▶ *R* is also a right module over itself, with the right ideals being the right submodules.

If F is a field and n > 1, let  $R = M_n(F)$  be the  $n \times n$  matrix ring over F. The matrices with arbitrary first column and zeros elsewhere form a left ideal J and therefore a left submodule of R as left R-module. But J is *not* a right R-submodule.

A field F is a one-dimensional vector space over itself, and a commutative ring R is a module (left and right) over itself with the ideals of R being the submodules.

#### Free modules

Let R be a ring with unity and let  $n \ge 1$  be a positive integer. Then

$$R^n = \{(r_1, \ldots, r_n) : r_i \in R \text{ for } i = 1, \ldots, n\}$$

is an R module with componentwise addition and multiplication given by  $r(r_1, \ldots, r_n) = (rr_1, \ldots, rr_n)$ .

This is called the *free R-module of rank n*.

#### Free modules and vector spaces

- ▶ If *R* is a field, the free *R*-module of rank *n* is an *n*-dimensional vector space.
- ► The submodules of a finite dimensional vector space are all subspaces which are copies of  $R^k$  for  $k \le n$ .
- For more general R the picture is more complicated. Let  $R = \mathbb{Z}$  and  $M = \mathbb{Z}^2$ . Then:
  - ▶  $\{(n,0): n \in \mathbb{Z}\}$  is a submodule of M which "looks like" a subspace.
  - ▶  $2M = \{(a, b) : a, b \in 2\mathbb{Z}\}$  is a submodule of M which does not.

# Change of rings (restriction of scalars)

- ► An abelian group *M* may be an *R* module for different rings *R*. For example:
  - Q is a module over Q, where it is a one dimensional vector space and its only Q-submodules are 0 and itself.
  - $ightharpoonup \mathbb{Q}$  is a module over  $\mathbb{Z}$ , and it has many  $\mathbb{Z}$ -submodules, such as  $\mathbb{Z}[1/2]$ .

More generally, if  $R \subset S$  is a subring, and M is an S-module, then it is an R-module. This is called *restriction of scalars*.

#### $\mathbb{Z}$ -modules are the same as abelian groups

Let M be an abelian group. Then it is automatically a  $\mathbb{Z}$ -module where we define

$$nx = \overbrace{x + x + \cdots + x}^{n}$$
.

Furthermore, given any  $\mathbb{Z}$ -module, it must be the case that

$$nx = (\overbrace{1+1+\cdots+1}^{n})x = \overbrace{x+x+\cdots+x}^{n}.$$

(Note: this is why we require 1x = x when R is a ring with unity in the module axioms).

Further, submodules of M (as  $\mathbb{Z}$ -module) are just the subgroups of M (as abelian group).

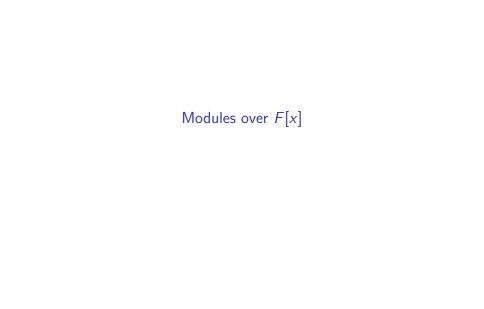
# Change of rings (quotients)

Suppose that M is a left R module and  $I \subset R$  is a two-sided ideal with the property that, for all  $y \in I$ , and all  $x \in M$ , we have yx = 0. In this case we say that I annihilates M or that IM = 0.

With this hypothesis, we may view M as an R/I module by defining (r+I)m=rm for any coset representative  $r+I\in R/I$ . This is well-defined since two different coset representatives r,r' satisfy r'=r+i for some  $i\in I$  and therefore r'm=(r+i)m=rm since im=0.

If M is an abelian group and  $m \in Z$  is a positive integer such that mM = 0, then M can be viewed as a module over  $\mathbb{Z}/m\mathbb{Z}$  by this process.

This operation is a special case of a general operation called *base* change or extension of scalars that we will study in more detail later.



#### Basic construction

Let F be a field, let V be a vector space over F, and let  $T:V\to V$  be an F-linear transformation. Define a homomorphism

$$F[x] \to \operatorname{End}(V)$$

by sending

$$x^k \mapsto T^k = \overbrace{T \circ T \circ \cdots \circ T}^n.$$

This construction makes V into a module for F[x] which depends on the choice of the linear transformation T.

# Polynomials and linear transformations

For example let  $V={\it F}^2$  and let  ${\it T}$  be the linear transformation given by the matrix

$$T = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$$

If  $e_0$  and  $e_1$  are the standard basis elements of  $F^2$  then

$$Te_0 = e_1$$
 $T^2e_0 = Te_1 = e_0 + e_1 = e_0 + Te_0 = (1+T)e_0$ 

from which we see that  $(T^2 - T - 1)e_0 = 0$  and

$$(T^2 - T - 1)e_1 = (T^2 - T - 1)Te_0 = T(T^2 - T - 1)e_0 = 0$$

so the polynomial  $x^2 - x - 1$  is in the kernel of the map from  $F[x] \to \operatorname{End}(V)$ 

## Characterization of F[x] modules

We saw above that, given an F-vector space V with a linear transformation T, we get an F[x] module where x acts on V through T.

Conversely, suppose that M is an module over F[x]. Then M is an F vector space (via the restriction of scalars from F[x] to F). Furthermore, the element  $x \in F[x]$  acts on M as an F-linear transformation because that's what the module axioms amount to.

Therefore there is an equivalence between

 $\{F[x] - \text{modules}\} \Leftrightarrow \{\text{vector spaces } V \text{ over } F \text{ with a given linear map}$ 

# Submodules of F[x] modules

In the correspondence above, a submodule of an F[x] module M corresponds to a subspace  $W \subset V$  that is *preserved by* T, meaning  $TW \subset W$ .

Thus, not all subspaces of V correspond to submodules.

In the example given earlier, the only T-stable proper subspace of V is the zero subspace.

If we consider instead the linear map on  $F^2$  satisfying  $Ue_0=0$  and  $Ue_1=e_0$ , then the one dimensional subspace spanned by  $e_0$  is U-stable and  $F^2$  viewed as an F[x] module via U has a submodule corresponding to that subspace.

#### Checking the submodule property

**Proposition:** A subset N of a left R-module M is a submodule if it is nonempty and, for all  $x, y \in N$  and  $r \in R$ , we have  $x + ry \in N$ . Alternatively, if N is a subgroup of the abelian group M and  $rN \subset N$  for all  $r \in R$  then N is a submodule.

#### Algebras

**Definition:** Let R be a commutative ring with unity. An R-algebra is a (not necessarily commutative) ring S with a ring homomorphism  $f: R \to S$  carrying  $1_R$  to  $1_S$  such that f(R) is in the center of S.

The polynomial ring F[x] is an F-algebra, as is the matrix ring  $M_n(F)$  where the homomorphism  $f: F \to M_n(F)$  embeds F as the diagonal matrices. More generally, any F-algebra A, where F is a field, contains F in its center and the identites of A and F are the same.

The ring  $\mathbb{Z}/p\mathbb{Z}$  is a  $\mathbb{Z}$ -algebra. In fact any ring S with 1 is a  $\mathbb{Z}$  algebra by the map sending  $n \in \mathbb{Z}$  to  $n1_S$ .

The ring  $\mathbb{Q}[x]$  is a  $\mathbb{Z}[x]$  algebra.

We typically omit the explicit map f and just think of R as "contained in" A; this can be misleading since f doesn't need to be injective, but it works in practice.

## Algebra morphisms

**Definition:** A map of R-algebras  $f:A\to B$  is a ring homomorphism that is R-linear in the sense that f(ra)=rf(a) for all  $r\in R$  and  $a\in A$ .

Any homomorphism of rings with unity is a  $\mathbb{Z}$ -algebra morphism.

# Modules Homomorphisms, Quotient Modules, and Mapping Properties

#### Module homomorphisms

**Definition:** Let R be a ring and let M and N be (left) R-modules. A function  $f: M \to N$  is an R-module homomorphism if:

- ightharpoonup it is a homomorphism between the abelian group structures on M and N
- ▶ it is R-linear, meaning f(rm) = rf(m) for all  $r \in R$ .

Note that, if R is a field, then M and N are vector spaces and an R-module homomorphism is just a linear map.

A module isomorphism is a bijective homomorphism.

We let  $\operatorname{Hom}_R(M,N)$  denote the set of R-module homomorphisms from M to N.

#### Kernels and images

Let R be a ring and let M and N be R-modules. Let  $f: M \to N$  be a homomorphism.

- Let  $ker(f) = \{m \in M : f(m) = 0\}$  (the *kernel* of f). This is a submodule of M.
- ▶ Let  $f(M) \subset N$  be the image of f. Then f(M) is a submodule of N.

#### Quotient modules

Let M be an R module and let  $N \subset M$  be a submodule.

**Definition:** Let M/N be the quotient abelian group. Then M/N is an R-module where R acts on cosets by

$$r(x + N) = rx + N.$$

This is called the quotient module of M by N.

The *R*-module structure is well defined because if x + N = y + N, then x = y + n for some  $n \in N$ , and rx = ry + rn. Since *N* is a submodule,  $rn \in N$  so rx + N = ry + N.

Notice that N can be any submodule, there is no "normality" condition like for groups.

There is always a "projection" homomorphism  $\pi: M \to M/N$  defined by  $\pi(m) = m + N$  which has kernel N.

#### Sums of modules

If A and B are submodules of a module M, then A+B is the smallest submodule of M containing both A and B. Alternatively it is:

$$A + B = \{a + b : a \in A, b \in B\}$$

## Mapping Properties

Let M, N, and K be R modules, and let  $f:M\to K$  be a homomorphism with  $N\subset \ker(f)$ . Then there is a unique homomorphism  $\overline{f}:M/N\to K$  making this diagram commutative:



#### Isomorphism theorems

The isomorphism theorems for abelian groups give isomorphism theorems for modules.

- ▶ If  $f: M \to K$  is a homomorphism, then the map  $\overline{f}$  gives an isomorphism between  $M/\ker(f)$  and  $f(M) \subset K$ .
- ▶ (M + N)/N is isomorphic to  $M/(M \cap N)$ .
- $\blacktriangleright$  (M/A)/(N/A) is isomorphic to M/N.
- ▶ There is a bijection between the lattice of submodules of M/N and submodules of M containing N given by  $K \leftrightarrow K/N$ .

The proofs of all of these facts are found by checking that the group isomorphisms respect the action of the ring R.

## $\operatorname{Hom}_R(M,N)$

The set  $\operatorname{Hom}_R(M,N)$  is an abelian group: (f+g)(m)=f(m)+g(m) and the zero map is the identity.

If R is commutative then  $\operatorname{Hom}_R(M,N)$  is an R-module if we set (rf) to be the function (rf)(m) = r(f(m)) = f(rm). We need rf to be a module homomorphism, which means we need:

$$(rf)(sm) = s(rf)(m).$$

This works out ok if R is commutative since

$$(rf)(sm) = f(rsm) = f(srm) = s(f(rm)) = s((rf)(m))$$

but it fails if *R* is not commutative.

## $\operatorname{Hom}_R(M,M)$

The set  $\operatorname{Hom}_R(M,M)$  is a ring with multiplication given by composition. The identity map gives an identity for this ring.

If R is commutative then, given  $r \in R$ , we have an element  $\phi_r \in \operatorname{Hom}_R(M,M)$  given by  $\phi_r(m) = rm$ . This is a homomorphism because

$$\phi_r(sm) = rsm = srm = s\phi_r(m)$$

but this fails in general if R is not commutative. Thus, if R is commutative,  $\operatorname{Hom}_R(M,M)$  is an R-algebra.

# More on $\operatorname{Hom}_R(M,M)$

If  $M = R^n$ , then  $\operatorname{Hom}_R(M, M)$  is the ring of  $n \times n$  matrices with entries from R.