

## 5. Field Theory Basics

## Basics of field theory

Things to remember from before.

We already know quite a bit about fields.

# Characteristic

If  $F$  is a field, then there is a ring homomorphism  $\mathbb{Z} \rightarrow F$  sending  $1 \rightarrow 1$ . If this map is injective, then:

- ▶ we say  $F$  has *characteristic zero*
- ▶  $F$  contains a copy of the rational numbers
- ▶ The field  $\mathbb{Q}$  is the *prime subfield* of  $F$ .

Otherwise the kernel of this map must be a prime ideal  $p\mathbb{Z}$  of  $\mathbb{Z}$ . In this case:

- ▶ we say that  $F$  has *characteristic  $p$*
- ▶  $F$  contains a copy of  $\mathbb{Z}/p\mathbb{Z}$ .
- ▶  $\mathbb{Z}/p\mathbb{Z}$  is the *prime subfield* of  $F$ .

# Maps

If  $f : F \rightarrow E$  is a homomorphism of fields, it is automatically injective (or zero).

The only field maps  $f : \mathbb{Q} \rightarrow \mathbb{Q}$  and  $f : \mathbb{Z}/p\mathbb{Z} \rightarrow \mathbb{Z}/p\mathbb{Z}$  are the identity.

# Extensions

If  $F$  is a field, and  $F \subset E$  where  $E$  is another field, then we call  $E$  an extension field of  $F$ .

$E$  is automatically a vector space over  $F$ . The degree of  $E/F$ , written  $[E : F]$ , is the dimension of  $E$  as an  $F$ -vector space.

# Polynomials, quotient rings, and fields

We have the division algorithm for polynomials.  $F[x]$  is a PID. An ideal is prime iff it is generated by an irreducible polynomial.

Let  $p(x)$  be an irreducible polynomial of degree  $d$  over  $F$ . Then:

- ▶  $K = F[x]/(p(x))$  is a field
- ▶ It is of degree  $d$  over  $F$ .
- ▶  $p(x)$  has a root in  $K$  (namely the residue class of  $x$ )
- ▶ The elements  $1, x, \dots, x^{d-1}$  are a basis for  $K/F$ .

## Adjoining roots of polynomials

If  $F \subset K$  is a field extension, and  $\alpha \in K$ , then  $F(\alpha)$  is the smallest subfield of  $K$  containing  $F$  and  $\alpha$ . Similarly for  $F(\alpha_1, \alpha_2, \dots, \alpha_n)$ .

If  $p(x)$  is irreducible over  $F$ , and has a root  $\alpha$  in  $K$ , then  $F(\alpha)$  is isomorphic to  $F[x]/p(x)$  via the map  $x \mapsto \alpha$ .



## Key Theorem

Let  $K$  be a field extension of  $F$  and let  $p(x)$  be an irreducible polynomial over  $F$ . Suppose  $K$  contains two roots  $\alpha$  and  $\beta$  of  $p(x)$ . Then  $F(\alpha)$  and  $F(\beta)$  are isomorphic via an isomorphism that is the identity on  $F$ .

More generally:

**Theorem:** (See Theorem 8, DF, page 519) Let  $\phi : F \rightarrow F'$  be an isomorphism of fields. Let  $p(x)$  be an irreducible polynomial in  $F[x]$  and let  $p'(x)$  be the polynomial in  $F'[x]$  obtained by applying  $\phi$  to the coefficients of  $p(x)$ . Let  $K$  be an extension of  $F$  containing a root  $\alpha$  of  $p(x)$ , and let  $K'$  be an extension of  $F'$  containing a root  $\beta$  of  $p'(x)$ . Then there is an isomorphism  $\sigma : F(\alpha) \rightarrow F'(\beta)$  such that the restriction of  $\sigma$  to  $F$  is  $\phi$ .

## Algebraic Extensions

## Definition

**Definition:** Let  $F \subset K$  be a field extension. An element  $\alpha \in K$  is *algebraic* over  $F$  if it is the root of a nonzero polynomial in  $F[x]$ . Elements that aren't algebraic are called *transcendental*.

An extension  $K/F$  is algebraic if every element of  $K$  is algebraic over  $F$ .

# Basics

- ▶ If  $\alpha$  is algebraic over  $F$ , there is unique monic polynomial  $m_{\alpha,F}(x)$  of minimal degree with coefficients in  $F$  such that  $m_{\alpha}(\alpha) = 0$ . (This follows from the division algorithm). This polynomial is called the *minimal polynomial* of  $\alpha$  over  $F$ . Its degree is the *degree* of  $\alpha$ .
- ▶ If  $F \subset L$ , then the minimal polynomial  $m_{\alpha,L}(x) \in L[x]$  of  $\alpha$  over  $L$  divides the minimal polynomial  $m_{\alpha,F}(x)$ . Again, this follows from the division algorithm for  $L[x]$ .
- ▶  $F(\alpha)$  is isomorphic to  $F[x]/m_{\alpha,F}(x)$ ; and the degree  $[F(\alpha) : F]$  is the degree of  $\alpha$ .

## Examples

If  $n > 1$  and  $p$  is a prime, then the polynomial  $x^n - p$  is irreducible over  $\mathbb{Q}$ , so  $\alpha = \sqrt[n]{p}$  has degree  $n$  over  $\mathbb{Q}$ .

The polynomial  $x^3 - x - 1$  is irreducible over  $\mathbb{Q}$  and has one real root  $\alpha$ . So  $\alpha$  has degree 3 over  $\mathbb{Q}$  but degree 1 over  $\mathbb{R}$ .

## Finite extensions are algebraic

Suppose  $K/F$  is finite and let  $\alpha$  be an element of  $K$ . Then there is an  $n$  so that the set  $1, \alpha, \alpha^2, \dots, \alpha^n$  are linearly dependent over  $F$ ; so  $\alpha$  satisfies a polynomial with  $F$  coefficients, and is therefore algebraic.

As a partial converse, if  $F(\alpha)/F$  is finite if and only if  $\alpha$  is algebraic. If  $\alpha$  is algebraic of degree  $d$  over  $F$ ,  $F(\alpha) = F[x]/(m_\alpha(x))$  which is finite dimensional (with basis  $1, x, x^2, \dots, x^{d-1}$ .)

## Field Degrees

## Multiplicativity of degrees

**Proposition:** Suppose that  $L/F$  and  $K/L$  are extensions. Then  $[K : F] = [K : L][L : F]$ .

**Proof:** If  $\alpha_1, \dots, \alpha_n$  are a basis for  $L/F$ , and  $\beta_1, \dots, \beta_k$  are a basis for  $K/L$ , then the products  $\alpha_i\beta_j$  are a basis for  $K/F$ .

**Corollary:** If  $L/F$  is a subfield of  $K/F$ , then  $[L : F]$  divides  $[K : F]$ .



# Finitely generated extensions

A field  $K/F$  is finitely generated if  $K = F(\alpha_1, \dots, \alpha_n)$  for a finite set of  $\alpha_i$  in  $K$ .

**Proposition:**  $F(\alpha, \beta) = F(\alpha)(\beta)$ .

**Proof:**  $F(\alpha, \beta)$  contains  $F(\alpha)$  and also  $\beta$ . Therefore  $F(\alpha)(\beta) \subset F(\alpha, \beta)$ . On the other hand, since  $\alpha$  and  $\beta$  are in  $F(\alpha)(\beta)$ , we know that  $F(\alpha, \beta) \subset F(\alpha)(\beta)$ .

## Finite is finitely generated

**Proposition:** A field  $K/F$  is finite if and only if it is finitely generated. If it is generated by  $\alpha_1, \dots, \alpha_k$  then it is of degree at most  $n_1 n_2 \dots n_k$  where  $n_i$  is the degree of  $\alpha_i$  over  $F$ .

**Proof:** If it's finitely generated, then it's a sequence of extensions  $F(\alpha_1, \dots, \alpha_{s-1})(\alpha_s)$  each of degree at most  $n_i$ . So  $K/F$  is finite. Conversely, if  $K/F$  is finite (and of degree greater than 1), choose  $\alpha_1 \in K$  of degree greater than 1. Then  $F(\alpha) \subset K$  and  $[K : F(\alpha)]$  is smaller than  $[K : F]$ . Now choose  $\alpha_2$  in  $K$  but not  $F(\alpha_1)$ , and so on. This process must terminate.

**Corollary:** If  $\alpha$  and  $\beta$  are algebraic over  $F$ , so are  $\alpha + \beta$ ,  $\alpha\beta$ , and (if  $\beta \neq 0$ )  $\alpha/\beta$ .

**Proof:** All these elements lie in  $F(\alpha, \beta)$  which is finite over  $F$ .

**Corollary:** If  $K/F$  is a field extension, the subset of  $K$  consisting of algebraic elements over  $F$  is a field (called the *algebraic closure of  $F$  in  $K$* ).