## 2A. Finite generation and the Noetherian property

## Finite generation and the Noetherian Property.

Let R be a ring and M a left R module. M is finitely generated if there are finitely many elements  $m_1, \ldots, m_k \in M$  so that, for any  $m \in M$ , there are  $r_i \in R$ , such that

$$m = \sum_{i=1}^{k} r_i m_i.$$

Equivalently, M is finitely generated if, for some  $n \geq 0$  in  $\mathbb Z$  there is a surjective R-module homomorphism

$$\pi: \bigoplus_{i=1}^n R = R^n \to M.$$

The images of the basis elements of  $\mathbb{R}^n$  give the generating set  $m_i$ .

**Definition:** A module M satisfies the ascending chain condition if any increasing sequence of submodules

$$M_1 \subset M_2 \subset \cdots \subset M_k \subset$$

eventually stabilizes, meaning that there is an N so that  $M_i = M_j$  for all  $i, j \geq N$ .

**Proposition:** The following are equivalent:

- 1. M satisfies the ascending chain condition.
- 2. Every nonempty set of submodules of M has a maximal element.
- 3. Every submodule of M is finitely generated.

A module that satisfies these equivalent conditions is called (left) *Noetherian* after Emmy Noether. A ring is Noetherian if it is Noetherian as a left module over itself. Since the submodules of a ring are the ideals, a ring is Noetherian if every ideal is finitely generated.

**Proof:** Suppose M satisfies the ascending chain condition and let  $\mathcal{M}$  be a nonempty collection of submodules of M. Every ascending chain in  $\mathcal{M}$  has a maximal element (that's basically what the chain condition says) and therefore by Zorn's lemma there is a maximal element for  $\mathcal{M}$ . Now suppose N is any submodule of M. Let  $\mathcal{N}$  be the collection of finitely generated submodules of N. Since the zero module is in  $\mathcal{N}$ , it is nonempty, so it has a maximal element  $N' \subset N$ . Choose  $x \in N$ . Then N' + Rx is a finitely generated submodule of N, and since N' is maximal, we must have N' + Rx = N'. This means  $x \in N'$ . Therefore N = N' so N is finitely generated. Finally, if

$$M_1 \subset M_2 \subset \cdots$$

is an increasing chain of submodules, their union  $M_{\infty}$  is a submodule which must be finitely generated by, say,  $m_1, \ldots, m_n$ . Then there is an integer k such that  $M_k$  that contains  $m_1, \ldots, m_n$  so  $M_k = M_{\infty}$  and the increasing chain stabilizes at k.

**Proposition:** Any principal ideal domain is Noetherian.

**Proof:** Any ideal is generated by one element.

**Proposition:** If M is Noetherian, so is any quotient module of M.

**Proof:** Suppose N = M/J where J is a submodule of M. If  $K \subset N$  is a submodule, then by the isomorphism theorem K = K'/J for some  $K' \subset M$  containing J. Since M is Noetherian, K' is finitely generated by, say  $k_1, \ldots, k_r$  and then the corresponding  $k_i + J$  generate N.

**Proposition:** If R is Noetherian, so is  $R^n$ .

**Proof:** By induction on n. We know the result for n=1. Suppose it's true for  $R^{n-1}$ . Let M be a submodule of  $R^n=R^{n-1}\oplus R$ . Let  $\pi:M\to R$  be the projection of M onto the last component. Then  $\pi(M)$  is an ideal of R, hence finitely generated. If  $x_1,\ldots,x_k$  generate  $\pi(M)$ , then we know that each  $x_i=\pi(m_i)$  for  $m_i\in M$  and also, for any  $m\in M$ , we have

$$\phi(m) = \sum r_i x_i = \phi(\sum r_i m_i).$$

This means that any  $m \in M$  can be written  $m = m_0 + \sum_{i=1}^k r_i m_i$  with  $m_0 \in \ker(\pi) \subset R^{n-1}$ . Since  $\ker(\pi)$  is finitely generated by induction, this shows that M is finitely generated (by the finite set of generators for  $\ker(M)$  together with  $m_1, \ldots, m_k$ )

**Remark 1:** The proof shows that if  $N \subset M$  is finitely generated, and M/N is finitely generated, then M is finitely generated.

**Proposition:** If R is Noetherian, and M is a finitely generated R-module, then M is Noetherian.

**Proof:** In this case M is a quotient of  $\mathbb{R}^n$ .

**Proposition:** If R is a PID, and M is a submodule of  $R^n$ , then M can be generated by n or fewer elements.

**Proof:** By induction. If n=1 this is true because M is an ideal of R, hence generated by one element. If  $M \subset R^n = R^{n-1} \oplus R$ , and  $\pi : M \to R$  is the projection on the last component, then  $\pi(M)$  is generated by one element  $m_n$  (which could be zero). Thus any element of M is of the form  $m_0 + rm_n$  with  $m_0 \in \ker(\pi) \in R^{n-1}$ . By induction,  $\ker(\pi)$  is generated by at most n-1 elements.

**Proposition:** (Hilbert) If R is Noetherian, so is R[x]. This is called the Hilbert Basis Theorem; we won't prove it. Paul Gordan is alleged to have said of this result "This isn't mathematics, it is theology!" (quite possibly this is just a folk tale in mathematics.)

## Non-noetherian rings and modules

The polynomial ring in countably many variables is not Noetherian. The ring of continuous functions on  $\mathbb{R}$  (or on [-1,1]) is not Noetherian because you can let  $M_i$  be the space of continuous functions which vanish on [-1/i,1/i] for  $i=1,\ldots$  These are ideals in the ring and we have  $M_i \subset M_{i+1}$  but the sequence doesn't stabilize.

- There are finitely generated modules (over non-Noetherian rings) whose submodules are not finitely generated.
- There are modules over non-commutative rings that are left-Noetherian, but not right-Noetherian.