

# NOTES ON ALGEBRAIC TOPOLOGY I: HOMOLOGY THEORY

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These notes were taken while reading Allen Hatcher's *Algebraic Topology*<sup>1</sup> book in Spring 2018. I live-TeXed them using sublime, and as such there may be typos; please send questions, comments, complaints, and corrections to [xiaohao1096@163.com](mailto:xiaohao1096@163.com).

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## 1. PREREQUISITES AND PRELIMINARIES

### 1.1. Homotopy Notions.

**Definition 1.1.** A **deformation retraction** of a space  $X$  onto a subspace  $A$  is a family of maps  $f_t : X \rightarrow X$ ,  $t \in I$ , such that  $f_0 = \mathbb{1}$ ,  $f_1(X) = A$ , and  $f_t|_A = \mathbb{1}$  for all  $t$ . The family  $f_t$  should be continuous in the sense that the associated map  $X \times I \rightarrow X$ ,  $(x, t) \mapsto f_t(x)$ , is continuous.

**Definition 1.2.** A deformation retraction  $f_t : X \rightarrow X$  is a special case of the general notion of a **homotopy**, which is simply any family of maps  $f_t : X \rightarrow X$ ,  $t \in I$ , such that the associated map  $F : X \times I \rightarrow Y$  given by  $F(x, t) = f_t(x)$  is continuous.

One says that two maps  $f_0, f_1 : X \rightarrow Y$  are **homotopic** if there exists a homotopy  $f_t$  connecting them, and one writes  $f_0 \simeq f_1$ .

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<sup>1</sup>Book source: <http://www.math.cornell.edu/~hatcher/AT/AT.pdf>

**Definition 1.3.** In these terms, a deformation retraction of  $X$  onto a subspace  $A$  is a homotopy from the identity map of  $X$  to a **retraction** of  $X$  onto  $A$ , a (continuous) map  $r : X \rightarrow X$  such that  $r(X) = A$  and  $r|_A = \mathbb{1}$ .

From a more formal viewpoint a retraction is a map  $r : X \rightarrow X$  with  $r^2 = r$ , since this equation says exactly that  $r$  is the identity on its image.

**Remark 1.4.** One could equally well regard a retraction as a map  $X \rightarrow A$  restricting to the identity on the subspace  $A \subset X$ . Restrictions are the topological analogs of projection operators in other parts of mathematics.

**Definition 1.5.** A homotopy  $f_t : X \rightarrow X$  that gives a deformation of  $X$  onto a subspace  $A$  has the property that  $f_t|_A = \mathbb{1}$  for all  $t$ . In general, a homotopy  $f_t : X \rightarrow Y$  whose restriction to a subspace  $A \subset X$  is independent of  $t$  is called a **homotopy relative to  $A$** , or more concisely, a homotopy  $\text{rel } A$ .

**Remark 1.6.** Immediately, we know that a deformation retraction of  $X$  onto  $A$  is a homotopy  $\text{rel } A$  from the identity map of  $X$  to a retraction of  $X$  onto  $A$ .

**Definition 1.7.** A map is called a **homotopy equivalence** if there is a map  $g : Y \rightarrow X$  such that  $f \circ g \simeq \mathbb{1}$  and  $g \circ f \simeq \mathbb{1}$ . The space  $X$  and  $Y$  are said to be **homotopy equivalent** or have the same **homotopy type**. The notion is  $X \simeq Y$ .

**Exercise 1.8.** Homotopy equivalence is an equivalence relation, in contrast with the nonsymmetric notion of deformation retraction.

**Definition 1.9.** A space having the homotopy type of a point is called **contractible**. This amounts to requiring that the identity map of the space be **nullhomotopic**, that is, homotopic to a constant map.

**Exercise 1.10.** In general, contractibility is slightly weaker than saying the space deformation retracts to a point.

## 1.2. Cell Complexes.

**Proposition 1.11.** An orientable surface  $M_g$  of genus  $g$  can be constructed from a polygon with  $4g$  sides by identifying pairs of edges.

**Definition 1.12.** Construct a space by the following procedure:

- Start with a discrete set  $X^0$ , whose points are regarded as 0-cells.
- Inductively, form the  **$n$ -skeleton**  $X^n$  from  $X^{n-1}$  by attaching  $n$ -cells  $e_\alpha^n$  via maps  $\varphi_\alpha : S^{n-1} \rightarrow X^{n-1}$ . This means that  $X^n$  is the quotient space of the disjoint union  $X^{n-1} \amalg_\alpha D_\alpha^n$  of  $X^{n-1}$  with a collection of  $n$  disks  $D_\alpha^n$  under the identifications  $x \sim \varphi_\alpha(x)$  for  $x \in \partial D_\alpha^n$ . Thus as a set,  $X^n = X^{n-1} \amalg_\alpha e_\alpha^n$  where each  $e_\alpha^n$  is an open  $n$ -disk.
- One can either stop this inductive process at a finite stage, setting  $X = X^n$  for some  $n < \infty$ , or one can continue indefinitely, setting  $X = \cup_n X^n$ . In the latter case  $X$  is given the weak topology: a set  $A \subset X$  is open (or closed) iff  $A \cap X^n$  is open (or closed) in  $X^n$  for each  $n$ .

A space constructed in this way is called a **cell complex** or **CW complex**.

If  $X = X^n$  for some  $n$ , then  $X$  is said to be *finite-dimensional*, and the smallest such  $n$  is the **dimension** of  $X$ , the maximum dimension of cells of  $X$ .

**Definition 1.13.** Each cell  $e_\alpha^n$  in a cell complex  $X$  has a **characteristic map**  $\Phi_\alpha : D_\alpha^n \rightarrow X$  which extends the attaching map  $\varphi_\alpha$  and is a homeomorphism from the interior of  $D_\alpha^n$  onto  $e_\alpha^n$ . Namely, we can take  $\Phi_\alpha$  to be the composition  $D_\alpha^n \hookrightarrow X^{n-1} \amalg_\alpha D_\alpha^n \rightarrow X^n \hookrightarrow X$  where the middle map is the quotient map defining  $X^n$ .

**Definition 1.14.** A 1-dimensional cell complex  $X = X^1$  is what is called a **graph** in algebraic topology.

### 1.3. Other Notions.

**Definition 1.15.** **Euler characteristic** for a cell complex with finitely many cells is defined to be the number of even-dimensional cells minus the number of odd-dimensional cells.

**Exercise 1.16.** The sphere  $S^n$  has the structure of a cell complex with just two cells,  $e^0$  and  $e^n$ , the  $n$  cell being attached by the constant map  $S^{n-1} \rightarrow e^0$ . This is equivalent to regarding  $S^n$  as the quotient space  $D^n/\partial D^n$ .

**Definition 1.17.** **Complex projective  $n$ -space**  $\mathbb{CP}^n$  is the space of complex lines through the origin in  $\mathbb{C}^{n+1}$ , that is, 1-dimensional vector subspaces of  $\mathbb{C}^{n+1}$ .

## 2. $\Delta$ -COMPLEX

**Definition 2.1.** A **hyperplane** is the set of solutions of a system of linear equations.

**Definition 2.2.** The  **$n$ -simplex** is the smallest convex set in  $\mathbb{R}^m$  containing  $n+1$  points  $v_0, \dots, v_n$  that do not lie in a hyperplane of dimension less than  $n$ . The points  $v_i$  are the **vertices** of the simplex, and the simplex itself will be denoted  $[v_0, \dots, v_n]$ .

**Definition 2.3.** The **standard  $n$ -simplex** is

$$\Delta^n = \{(t_0, t_1, \dots, t_n) \in \mathbb{R}^{n+1} \mid \sum_i t_i = 1 \text{ and } t_i \geq 0 \text{ for all } i\}$$

whose vertices are the unit vectors along the coordinate axes.

**Definition 2.4.** The **ordering** of the vertices of a simplex  $[v_0, \dots, v_n]$  is defined to be orientations of the edges  $[v_i, v_j]$  according to increasing subscripts.

**Definition 2.5.** Ordering of the vertices determines a canonical linear homeomorphism from the standard  $n$ -simplex  $\Delta^n$  onto any other  $n$ -simplex  $[v_0, \dots, v_n]$ , preserving the ordering of vertices, namely,  $(t_0, \dots, t_n) \mapsto \sum_i t_i v_i$ . The coefficients  $t_i$  are the **barycentric coordinates** of the point  $\sum_i t_i v_i$  in  $[v_0, \dots, v_n]$ .

**Definition 2.6.** If we delete one of the  $n + 1$  vertices of an  $n$ -simplex  $[v_0, \dots, v_n]$ , then the remaining  $n$  vertices span an  $(n - 1)$ -simplex, called a **face** of  $[v_0, \dots, v_n]$ .

We adopt the convention that the vertices of a face will always be ordered according to their order in the larger simplex.

**Definition 2.7.** The union of all the faces of  $\Delta^n$  is the **boundary** of  $\Delta^n$ , written  $\partial\Delta^n$ . The **open simplex**  $\mathring{\Delta}^n$  is  $\Delta^n - \partial\Delta^n$ , the interior of  $\Delta^n$ .

**Remark 2.8.**  $\Delta^0$  as a single point is an open simplex.

**Definition 2.9.** A  **$\Delta$ -complex** structure on a space  $X$  is a collection of maps  $\sigma_\alpha : \Delta^n \rightarrow X$ , with  $n$  depending on the index  $\alpha$ , such that:

- (i) The restriction  $\sigma_\alpha|_{\mathring{\Delta}^n}$  is injective, and each point of  $X$  is in the image of exactly one such restriction  $\sigma_\alpha|_{\mathring{\Delta}^n}$ .
- (ii) Each restriction of  $\sigma_\alpha$  to a face of  $\Delta^n$  is one of the maps  $\sigma_\beta : \Delta^{n-1} \rightarrow X$ . Here we are identifying the face of  $\Delta^n$  with  $\Delta^{n-1}$  by the canonical linear homeomorphism between them that preserves the ordering of the vertices.
- (iii) A set  $A \subset X$  is open iff  $\sigma^{-1}(A)$  is open in  $\Delta^n$  for each  $\sigma_\alpha$ .

**Remark 2.10.** The last condition of Definition 2.9 rules out trivialities like regarding all the points of  $X$  as individual vertices.

**Remark 2.11.** The consequence of the condition (iii) is that  $X$  can be built as a quotient space of a collection of disjoint simplices  $\Delta_\alpha^n$ , one for each  $\sigma_\alpha : \Delta^n \rightarrow X$ , the quotient space obtained in the way described in condition (ii), starting with a discrete set of vertices.

**Example 2.12.** Torus, projective plane, and Klein bottle can be built in the way as described in Remark 2.11.

If one starts with a single 2 simplex and identifies all three edges to a single edge, preserving the orientations given by the ordering of the vertices, this produces a  $\Delta$ -complex known as the **dunce cap**.

**Proposition 2.13.** Thinking of a  $\Delta$ -complex  $X$  as a quotient space of a collection of disjoint simplices, it is not hard to see that  $X$  must be a Hausdorff space. Condition (iii) then implies that each restriction  $\sigma_\alpha|_{\mathring{\Delta}^n}$  is a homeomorphism onto its image, which is thus an open simplex in  $X$ .

These open simplices  $\sigma_\alpha(\mathring{\Delta}^n)$  are the cells  $e_\alpha^n$  of a CW complex structure on  $X$  with the  $\sigma_\alpha$ 's as characteristic maps.

And also, the restrictions of each characteristic map  $\sigma_\alpha : \Delta^n \rightarrow X$  to  $(n - 1)$ -dimensional faces of  $\Delta^n$  are characteristic maps  $\sigma_\beta$  for open simplices  $e_\beta^{n-1}$  of  $X$ .

### 3. SIMPLICIAL HOMOLOGY

**Definition 3.1.** Let  $\Delta_n(X)$  be the free abelian group with basis the open  $n$ -simplices  $e_\alpha^n$  of  $X$ . Elements of  $\Delta_n(X)$ , called  **$n$ -chains**, can be written as finite formal

sums  $\Sigma_{\alpha} n_{\alpha} e_{\alpha}^n$  with coefficients  $n_{\alpha} \in \mathbb{Z}$ . Equivalently, we could write  $\Sigma_{\alpha} n_{\alpha} \sigma_{\alpha}$  where  $\sigma_{\alpha} : \Delta^n \rightarrow X$  is the characteristic map of  $e_{\alpha}^n$ , with image the closure of  $e_{\alpha}^n$ .

**Definition 3.2.** We apply the notation  $[v_0, \dots, \hat{v}_i, \dots, v_n]$  for  $(n-1)$ -dimensional simplices as faces of  $n$ -simplex  $[v_0, \dots, v_n]$ , where the hat symbol over  $v_i$  indicates that this vertex is deleted from the sequence  $v_0, \dots, v_n$ .

Having taken orientations into account, we let the **boundary** of  $[v_0, \dots, v_n]$  be

$$\Sigma_i (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_n],$$

instead of Definition 2.7, so that all the faces of a simplex are coherently oriented.

**Definition 3.3.** We define for a general  $\Delta$ -complex  $X$  a **boundary homomorphism**  $\partial_n : \Delta_n(X) \rightarrow \Delta_{n-1}(X)$  by specifying its values on basis elements:

$$\partial_n(\sigma_{\alpha}) = \Sigma_i (-1)^i \sigma_{\alpha}|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}.$$

**Lemma 3.4.** The composition  $\Delta_n(X) \xrightarrow{\partial_n} \Delta_{n-1}(X) \xrightarrow{\partial_{n-1}} \Delta_{n-2}(X)$  is zero.

*Proof.* We have

$$\begin{aligned} \partial_{n-1} \partial_n(\sigma) &= \Sigma_{j < i} (-1)^j (-1)^i \sigma|_{[v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]} + \Sigma_{j > i} (-1)^{j-1} (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]} \\ &= \Sigma_{j < i} (-1)^{i+j} \sigma|_{[v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]} - \Sigma_{j < i} (-1)^{i+j} \sigma|_{[v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]} \\ &= 0, \end{aligned}$$

which proves the lemma.  $\square$

**Definition 3.5.** The algebraic situation we have now is a sequence of homomorphisms of abelian groups

$$\dots \rightarrow C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \rightarrow \dots \rightarrow C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

with  $\partial_n \partial_{n+1} = 0$  for each  $n$ . Such a sequence is called a **chain complex**.

From  $\partial_n \partial_{n+1} = 0$ , it follows  $\partial_{n+1} \subset \text{Ker} \partial_n$ . So we define the  $n^{\text{th}}$  **homology group** of the chain complex to be the quotient group  $H_n = \text{Ker} \partial_n / \text{Im} \partial_{n+1}$ .

Elements of  $\text{Ker} \partial_n$  are called **cycles** and **elements** of  $\text{Im} \partial_{n+1}$  are **boundaries**. Elements of  $H_n = \text{Ker} \partial_n / \text{Im} \partial_{n+1}$  are cosets of  $\text{Im} \partial_{n+1}$ , called **homology classes**.

Two cycles representing the same homology class are said to be **homologous**. This means their difference is a boundary.

**Definition 3.6.** For  $C_n = \Delta_n(X)$ , the homology group  $\text{Ker} \partial_n / \text{Im} \partial_{n+1}$  will be denoted  $H_n^{\Delta}(X)$  and called the  $n^{\text{th}}$  **simplicial homology group** of  $X$ .

**Example 3.7.** Let  $X$  be a  $\Delta$ -complex. Some examples of the simplicial homology groups of  $X$  are as follows:

- $X = S^1$ , with one vertex  $v$  and one edge  $e$ . Then

$$H_n^{\Delta}(S^1) \approx \begin{cases} \mathbb{Z} & n = 0, 1 \\ 0 & n \geq 2 \end{cases}$$

- $X = T$ , with one vertex, three edges  $a, b, c$ , and two 2-simplices  $U$  and  $L$ . Then

$$H_n^\Delta(T) \approx \begin{cases} \mathbb{Z} & n = 0, 2 \\ \mathbb{Z} \oplus \mathbb{Z} & n = 1 \\ 0 & n \geq 3 \end{cases}$$

- $X = \mathbb{RP}^2$ , with two vertices  $v$  and  $w$ , three edges  $a, b, c$ , and two 2-simplices  $U$  and  $L$ . Then

$$H_n^\Delta(\mathbb{RP}^2) \approx \begin{cases} \mathbb{Z} & n = 0 \\ \mathbb{Z}_2 & n = 1 \\ 0 & n \geq 2 \end{cases}$$

**Example 3.8.** We can obtain a  $\Delta$ -complex structure on  $S^n$  by taking two copies of  $\Delta^n$  and identifying their boundaries via the identity map. Labeling these two  $n$ -simplices  $U$  and  $L$ , then it is obvious that  $\text{Ker } \partial_n$  is infinite cyclic generated by  $U - L$ . Thus  $H_n^\Delta(S^n) \approx \mathbb{Z}$  for this  $\Delta$ -complex structure on  $S^n$ .

**Remark 3.9.** Many similar examples like other closed orientable and nonorientable surfaces. But the calculations do tend to increase in complexity, especially for higher-dimensional complexes.

**Remark 3.10.** There are very some important questions we need to consider:

- Are the groups  $H_n^\Delta(X)$  independent of the choice of  $\Delta$ -complex structure on  $X$ ?
- If two  $\Delta$ -complexes are homeomorphic, do they have isomorphic homology groups?
- If two  $\Delta$ -complexes are merely homotopy equivalent, do they have isomorphic homology groups?

Actually, after some theory has been developed, we will show that simplicial and singular homology groups coincide for  $\Delta$ -complex.

**Example 3.11.** Traditionally, simplicial homology is defined for **simplicial complexes**, which are the  $\Delta$ -complexes whose simplices are uniquely determined by their vertices. The only requirement is that each  $(k + 1)$ -element subset of the vertices of an  $n$ -simplex in  $X_n$  is a  $k$ -simplex, in  $X_k$ .

**Exercise 3.12.** Every  $\Delta$ -complex can be subdivided to be a simplicial complex. In particular, every  $\Delta$ -complex is then homeomorphic to a simplicial complex.

**Remark 3.13.** Compared with simplicial complexes,  $\Delta$ -complexes have the advantage of simpler computations since every simplices are required.

#### 4. SINGULAR HOMOLOGY

**Definition 4.1.** A **singular  $n$ -simplex** in a space  $X$  is a map  $\sigma : \Delta \rightarrow X$ .

**Remark 4.2.** The word ‘singular’ is used here to express the idea that  $\sigma$  need not be a nice embedding but can have ‘singularities’ where its image does not look at all like a simplex. All that is required is that  $\sigma$  be continuous.

**Definition 4.3.** Let  $C_n(X)$  be the free abelian group with basis the set of singular  $n$ -simplices in  $X$ . Elements of  $C_n(X)$ , called  $n$ -chains, or more precisely singular  **$n$ -chains**, are finite formal sums  $\sum_i n_i \sigma_i$  for  $n_i \in \mathbb{Z}$  and  $\sigma_i : \Delta^n \rightarrow X$ . A boundary map  $\partial_n : C_n(X) \rightarrow C_{n-1}(X)$  is defined by

$$\partial_n(\sigma) = \sum_i (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}.$$

The canonical identification of  $[v_0, \dots, \hat{v}_i, \dots, v_n]$  with  $\Delta^{n-1}$  preserves the ordering of vertices and  $\sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}$  is regarded as a map  $\Delta^{n-1}$ , that is, a singular  $(n-1)$ -simplex.

**Definition 4.4.** Write  $\partial_n$  from  $C_n(X)$  to  $C_{n-1}(X)$  simply as  $\partial$  and then  $\partial_n \partial_{n+1} = 0$  is concisely  $\partial^2 = 0$ . The Lemma 3.4 holds for singular simplices as well, and so we can define the **singular homology group**  $H_n(X) = \text{Ker} \partial_n / \text{Im} \partial_{n+1}$ .

**Remark 4.5.** It is evident from the definition that homeomorphic spaces have isomorphic singular homology groups  $H_n$ , in contrast with the situation for  $H_n^\Delta$ . On the other hand, since the groups  $C_n(X)$  are so large, the number of singular  $n$ -simplices in  $X$  usually being uncountable, it is not at all clear that for a  $\Delta$ -complex  $X$  with finitely many simplices,  $H_n(X)$  should be finitely generated for all  $n$ , or that  $H_n(X)$  should be zero for  $n$  larger than the dimension of  $X$ —two properties that are trivial for  $H_n^\Delta(X)$ .

**Remark 4.6.** For an arbitrary space  $X$ , define the **singular complex**  $S(X)$  to be the  $\Delta$ -complex with one  $n$ -simplex  $\Delta_\sigma^n$  for each singular  $n$ -simplex  $\sigma : \Delta^n \rightarrow X$ , with  $\Delta_\sigma^n$  attached in the obvious way to the  $(n-1)$ -simplices of  $S(X)$  that are the restrictions of  $\sigma$  to the various  $(n-1)$ -simplices in  $\partial \Delta^n$ . It is clear from the definitions that  $H_n^\Delta(S(X))$  is identical with  $H_n(X)$  for all  $n$ , and in this sense the singular homology group  $H_n(X)$  is a special case of a simplicial homology group. One can regard  $S(X)$  as a  $\Delta$ -complex model for  $X$ , although it is usually an extremely large object compared to  $X$ .

**Remark 4.7.** Cycles in singular homology are defined algebraically, but they can be given a somewhat more geometrically interpretation in terms of maps from finite  $\Delta$ -complexes, which involves the knowledge of manifold and a sort of homology theory built from manifolds, called **bordism** (see page 106-107 of Allen Hatcher’s book).

**Proposition 4.8.** Corresponding to the decomposition of a space  $X$  into its path-components  $X_\alpha$  there is an isomorphism of  $H_n(X)$  with the direct sum  $\oplus_\alpha H_n(X_\alpha)$ .

*Proof.* Since a singular simplex always has path-connected image,  $C_n(X)$  splits as the direct sum of its subgroups  $C_n(X_\alpha)$ . The boundary maps  $\partial_n$  preserve this direct sum

decomposition, taking  $C_n(X_\alpha)$  to  $C_{n-1}(X)$ , so  $\text{Ker } \partial_n$  and  $\text{Im } \partial_{n+1}$  split similarly as direct sums, hence the homology groups also split,  $H_n(X) \approx \oplus_\alpha H_n(X_\alpha)$ .  $\square$

**Proposition 4.9.** *If  $X$  is nonempty and path connected, then  $H_0(X) \approx \mathbb{Z}$ . Hence for any space  $X$ ,  $H_0(X)$  is a direct sum of  $\mathbb{Z}$ 's, one for each path-connected component of  $X$ .*

*Proof.* By definition,  $H_0(X) = C_0(X)/\text{Im}(\partial_1)$  since  $\partial_0 = 0$ . Define a homomorphism  $\varepsilon : C_0(X) \rightarrow \mathbb{Z}$  by  $\varepsilon(\sum_i n_i \sigma_i) = \sum_i n_i$ . This is obviously surjective if  $X$  is nonempty.

The claim is that  $\text{Ker}(\varepsilon) = \text{Im}(\partial_1)$  if  $X$  path-connected, and hence  $\varepsilon$  induces an isomorphism  $H_0(X) \approx \mathbb{Z}$ .

Observe that for a singular 1-simplex  $\sigma : \Delta^1 \rightarrow X$  we have  $\varepsilon \circ \partial_1(\sigma) = \varepsilon(\sigma|_{[v_1]} - \sigma|_{[v_0]}) = 1 - 1 = 0$ , so  $\text{Im}(\partial_1) \subset \text{Ker}(\varepsilon)$ . Suppose that  $\varepsilon(\sum_i n_i \sigma_i) = 0$ , so  $\sum_i n_i = 0$ . Then  $\sigma_i$ 's are singular 0-simplices, which are simply points of  $X$ . Choose a path  $\tau_i : I \rightarrow X$  from a base point  $x_0$  to  $\sigma_i(v_0)$  and let  $\sigma_0$  be the singular 0-simplex with image  $x_0$ . We can view  $\tau_i$  as a singular 1-simplex, a map  $\tau_i : [v_0, v_1] \rightarrow X$ , and then we have  $\partial \circ \tau_i = \sigma_i - \sigma_0$ . Hence  $\square$

**Proposition 4.10.** *If  $X$  is a point, then  $H_n(X) = 0$  for  $n > 0$  and  $H_0(X) \approx \mathbb{Z}$ .*

*Proof.* Since  $X$  is a point, there is a unique singular  $n$ -simplex  $\sigma_n$  for each  $n$ , and  $\partial_n(\sigma_n) = \sum_i (-1)^i \sigma_{n-1}$ , a sum of  $n+1$  terms, which is therefore 0 for  $n$  odd and  $\sigma_{n-1}$  for  $n$  even when  $n \neq 0$ . Then we have the chain complex

$$\cdots \rightarrow \mathbb{Z} \xrightarrow{\approx} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{\approx} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \rightarrow 0$$

with boundary maps alternatively isomorphisms and trivial maps, except at the last  $\mathbb{Z}$ . The homology groups of this complex are trivial except for  $H_0 = \mathbb{Z}$ .  $\square$

It is often very convenient to have a slightly modified version of homology for which a point has trivial homology groups in all dimensions, including zero. This motivates the following definition.

**Definition 4.11.** *The **reduced homology group**  $\tilde{H}_n(X)$  is defined to be the homology groups of the augmented chain complex*

$$\cdots \rightarrow C_2(X) \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\varepsilon} \mathbb{Z} \rightarrow 0,$$

where  $\varepsilon(\sum_i n_i \sigma_i) = \sum_i n_i$  as in the proof of Proposition 4.10.

**Remark 4.12.** *In Definition 4.11, we had better require  $X$  to be nonempty, to avoid having a nontrivial homology group in dimension  $-1$ .*

**Remark 4.13.** *Since  $\varepsilon \partial_1 = 0$ ,  $\varepsilon$  vanishes on  $\text{Im}(\partial_1)$  and hence induces a map  $H_0(X) \rightarrow \mathbb{Z}$  with kernel  $\tilde{H}_0(X)$ , so  $H_0(X) \approx \tilde{H}_0(X) \oplus \mathbb{Z}$ . Obviously  $H_n(X) \approx \tilde{H}_n(X)$  for  $n > 0$ .*

**Remark 4.14.** *It can be shown that  $H_1(X)$  is the abelianization of  $\pi_1(X)$  whenever  $X$  is path-connected (see page 166-167 of Allen Hatcher's book).*



Remark 4.13 is derived from the lemmas in algebra below. We list them as an ending of this section.

**Lemma 4.15.** *If  $f : G \rightarrow H$  is a homomorphism of groups and  $N$  is a normal subgroup of  $G$  contained in the kernel of  $f$ , then there is a unique homomorphism  $\bar{f} : G/N \rightarrow H$  such that  $\bar{f}(aN) = f(a)$  for all  $a \in G$ .  $\text{Im}(f) = \text{Im}(\bar{f})$  and  $\text{Ker}(\bar{f}) = \text{Ker}(f)/N$ .  $\bar{f}$  is an isomorphism iff  $f$  is an epimorphism and  $N = \text{Ker}(f)$ .*

*Proof.* If  $b \in aN$ , then  $b = an$ ,  $n \in N$ , and  $f(b) = f(an) = f(a)f(n) = f(a)e = f(a)$ , since  $N \subset \text{Ker}(f)$ . Therefore,  $f$  has the same effect on every element on the coset  $aN$  and the map  $\bar{f} : (aNbN) = \bar{f}(abN) = f(ab) = f(a)f(b) = \bar{f}(aN)\bar{f}(bN)$ ,  $\bar{f}$  is a homomorphism. Clearly  $\text{Im}(\bar{f}) = \text{Im}(f)$  and

$$aN \in \text{Ker}(\bar{f}) \Leftrightarrow f(a) = e \Leftrightarrow a \in \text{Ker}(f),$$

whence  $\text{Ker}(\bar{f}) = \{aN : a \in \text{Ker}(f)\} = \text{Ker}(f)/N$ .  $\bar{f}$  is unique since it is completely determined by  $f$ . Finally it is clear that  $\bar{f}$  is an epimorphism iff  $f$  is.  $\bar{f}$  is a monomorphism iff  $\text{Ker}(\bar{f}) = \text{Ker}(f)/N$  is the trivial subgroup of  $G/N$ , which occurs iff  $\text{Ker}(f) = N$ .  $\square$

**Remark 4.16.** *The essential part of the conclusion may be rephrased: there exists a unique homomorphism  $\bar{f} : G/N \rightarrow H$  such that the diagram*

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ \downarrow \pi & \nearrow \bar{f} & \\ G/N & & \end{array}$$

*is commutative, where  $\pi$  is the canonical projection from  $G$  to  $G/N$ .*

**Lemma 4.17. First Isomorphism Theorem**

*If  $f : G \rightarrow H$  is a homomorphism of groups, then  $f$  induces an isomorphism  $G/\text{Ker}(f) \approx \text{Im}(f)$ .*

*Proof.*  $f : G \rightarrow \text{Im}(f)$  is an epimorphism. Apply Lemma 4.15 with  $N = \text{Ker}(f)$ .  $\square$

**Lemma 4.18.** *Let  $A \xrightarrow{f'} A'$  be a surjective homomorphism of abelian groups, and assume that  $A'$  is free. Let  $B$  be the kernel of  $f'$ . Then there exists a subgroup  $C$  of  $A$  such that the restriction of  $f'$  to  $C$  induces an isomorphism of  $C$  with  $A'$ , and such that  $A = B \oplus C$ .*

*Proof.* Let  $\{x'_i\}_{i \in I}$  be a basis of  $A'$ , and for each  $i \in I$ , let  $x_i$  be an element of  $A$  such that  $f'(x_i) = x'_i$ . Let  $C$  be the subgroup of  $A$  generated by all elements  $x_i$  ( $i \in I$ ). If we have a relation  $\sum_{i \in I} n_i x_i = 0$  with integers  $n_i$ , almost all of which are equal to 0, then applying  $f'$  yields

$$0 = \sum_{i \in I} n_i f'(x_i) = \sum_{i \in I} n_i x'_i,$$

whence all  $n_i = 0$ . Similarly, one sees that if  $z \in C$  and  $f(z) = 0$  then  $z = 0$ . Hence  $B \cap C = 0$ . Let  $x \in A$ . Since  $f(x) \in A'$  there exists integers  $n_i$  ( $i \in I$ ), such that

$$f(x) = \sum_{i \in I} n_i x'_i.$$

Applying  $f$  to  $x - \sum_{i \in I} n_i x_i = b \in B$ . From this we see that  $x \in B + C$ , and hence finally that  $A = B \oplus C$  is a direct sum, as contended.  $\square$

## 5. HOMOTOPY INVARIANCE

**Definition 5.1.** For a map  $f : X \rightarrow Y$ , an induced homomorphism  $f_\# : C_n(X) \rightarrow C_n(Y)$  is defined by composing each singular  $n$ -simplex  $\sigma : \Delta^n \rightarrow X$  with  $f$  to get a singular  $n$ -simplex  $f_\#(\sigma) = f\sigma : \Delta^n \rightarrow Y$ , then extending  $f_\#$  via  $f_\#(\sum_i n_i \sigma_i) = \sum_i n_i f_\#(\sigma_i) = \sum_i n_i f\sigma_i$ .

**Remark 5.2.** The maps  $f_\# : C_n(X) \rightarrow C_n(Y)$  satisfy  $f_\# \partial = \partial f_\#$  since

$$f_\# \partial(\sigma) = f_\#(\sum_i (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}) = \sum_i (-1)^i f\sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]} = \partial f_\#(\sigma).$$

Thus we have a diagram

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_{n+1}(X) & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \xrightarrow{\partial} \cdots \\ & & \downarrow f_\# & & \downarrow f_\# & & \downarrow f_\# \\ \cdots & \longrightarrow & C_{n+1}(Y) & \xrightarrow{\partial} & C_n(Y) & \xrightarrow{\partial} & C_{n-1}(Y) \xrightarrow{\partial} \cdots \end{array}$$

such that in each square the composition  $f_\# \partial$  equals the composition  $\partial f_\#$ .

**Definition 5.3.** A diagram of maps with the property that any two compositions of maps starting at one point in the diagram and ending at another are equal is called a **commutative diagram**.

**Remark 5.4.** In the present case, commutativity of the diagram is equivalent to the commutativity relation  $f_\# \partial = \partial f_\#$ , but commutative diagrams can contain commutative triangles, pentagons, etc., as well as commutative squares.

**Remark 5.5.** The fact that the maps  $f_\# : C_n(X) \rightarrow C_n(Y)$  satisfy  $f_\# \partial = \partial f_\#$  is also expressed by saying that the  $f_\#$ 's define a **chain map** from the singular chain complex of  $X$  to that of  $Y$ .

**Lemma 5.6.** If  $f : G \rightarrow H$  is a homomorphism of groups,  $N \triangleleft G$ ,  $M \triangleleft H$ , and  $f(N) \subset M$ , then  $f$  induces a homomorphism  $\bar{f} : G/N \rightarrow H/M$ , given by  $aN \mapsto f(a)M$ .

$\bar{f}$  is an isomorphism iff  $\text{Im}(f) \vee M = H$  and  $f^{-1}(M) \subset N$ . In particular, if  $f$  is an epimorphism such that  $f(N) = M$  and  $\text{Ker}(f) \subset N$ , then  $\bar{f}$  is an isomorphism.

*Proof.* Consider the composition  $G \xrightarrow{f} H \xrightarrow{\pi} H/M$  and verify that  $N \subset f^{-1}(M) = \text{Ker}(\pi f)$ . By Lemma 4.15 (applied to  $\pi f$ ), the map  $G/N \rightarrow H/M$  given by  $aN \mapsto \pi f(a) = f(a)M$  is a homomorphism that is an isomorphism iff  $\pi f$  is an epimorphism

and  $N = \text{Ker}(\pi f)$ . But the latter conditions hold iff  $\text{Im}(f) \vee M = H$  and  $f^{-1}(M) \subset N$ . If  $f$  is an epimorphism, then  $H = \text{Im}(f) = \text{Im}(f) \vee M$ . If  $f(N) = M$  and  $\text{Ker}(f) \subset N$ , then  $f^{-1}(M) \subset N$ , whence  $\bar{f}$  is an isomorphism.  $\square$

**Remark 5.7.** *The relation  $f_{\#}\partial = \partial f_{\#}$  implies that  $f_{\#}$  takes cycles to cycles since  $\partial\alpha = 0$  implies  $\partial(f_{\#}\alpha) = f_{\#}(\partial\alpha) = 0$ . Also,  $f_{\#}$  takes boundaries to boundaries since  $f_{\#}(\partial\beta) = \partial(f_{\#}\beta)$ . By Lemma 5.6,  $f_{\#}$  induces a homomorphism  $f_* : H_n(X) \rightarrow H_n(Y)$ .*

An algebraic statement of what has just been proved is:

**Proposition 5.8.** *A chain map between chain complexes induces homomorphisms between the boundary groups of the two complexes.*

*Two basic properties of induced homomorphisms which are important in spite of being rather trivial are:*

- (i)  $(fg)_* = f_*g_*$  for a composed mapping  $X \xrightarrow{g} Y \xrightarrow{f} Z$ . This follows from associativity of compositions  $\Delta^n \xrightarrow{\sigma} X \xrightarrow{g} Y \xrightarrow{f} Z$ .
- (ii)  $1_* = 1$  where  $1$  denotes the identity map of a space or a group.

**Theorem 5.9.** *If two maps  $f, g : X \rightarrow Y$  are homotopic, then they induce the same homomorphism  $f_* = g_* : H_n(X) \rightarrow H_n(Y)$ .*

*Proof.* Let  $\Delta^n \times \{0\} = [v_0, \dots, v_n]$  and  $\Delta^n \times \{1\} = [w_0, \dots, w_n]$  where  $v_i$  and  $w_i$  have the same image under the projection  $\Delta^n \times I \rightarrow \Delta^n$ .

The  $n$ -simplex  $[v_0, \dots, v_i, w_{i+1}, \dots, w_n]$  is the graph of the linear function  $\varphi_i : \Delta^n \rightarrow I$  defined in barycentric coordinates by  $\varphi_i(t_0, \dots, t_n) = t_{i+1} + \dots + t_n$  since the vertices of this simplex  $[v_0, \dots, v_i, w_{i+1}, \dots, w_n]$  are on the graph of  $\varphi_i$  and the simplex projects homeomorphically onto  $\Delta^n$  under the projection  $\Delta^n \times I \rightarrow \Delta^n$ . The graph of  $\varphi_i$  lies below the graph of  $\varphi_{i-1}$  since  $\varphi_i \leq \varphi_{i-1}$ , and the region between these two graphs is the simplex  $[v_0, \dots, v_i, w_i, \dots, w_n]$ , a true  $(n+1)$ -simplex since  $w_i$  is not on the graph of  $\varphi_i$  and hence is not in the  $n$ -simplex  $[v_0, \dots, v_i, w_{i+1}, \dots, w_n]$ .

From the string of inequalities  $0 = \varphi_n \leq \varphi_{n-1} \leq \dots \leq \varphi_0 \leq \varphi_{-1} = 1$ , we deduce that  $\Delta^n \times I$  is the union of the  $(n+1)$ -simplices  $[v_0, \dots, v_i, w_i, \dots, w_n]$ , each intersecting the next in a  $n$ -simplex face.

Given a homotopy  $F : X \times I \rightarrow Y$  from  $f$  to  $g$ , we can define **prism operators**  $P : C_n(X) \rightarrow C_{n+1}(Y)$  by

$$P(\sigma) = \sum_i (-1)^i F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, v_i, w_i, \dots, w_n]}$$

for  $\sigma : \Delta^n \rightarrow X$ , where  $F \circ (\sigma \times \mathbb{1})$  is the composition  $\Delta^n \times I \rightarrow X \times I \rightarrow Y$ . There prism operators satisfy the basic relation

$$\partial P = g_{\#} - f_{\#} - P\partial.$$

Geometrically, the left side of the equation represents the boundary of the prism. To prove the relation we calculate

$$\begin{aligned}\partial P(\sigma) &= \sum_{j \leq i} (-1)^i (-1)^j F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, \hat{v}_j, \dots, v_i, w_i, \dots, w_n]} \\ &\quad + \sum_{j \geq i} (-1)^i (-1)^{j+1} F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, v_i, w_i, \dots, \hat{w}_j, \dots, w_n]}.\end{aligned}$$

The terms with  $i = j$  in the two sums cancel except for  $F \circ (\sigma \times \mathbb{1})|_{[\hat{v}_0, w_0, \dots, w_n]}$ , which is  $g \circ \sigma = g_\#(\sigma)$ , and  $-F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, v_n, \hat{w}_n]}$ , which is  $-f \circ \sigma = f_\#(\sigma)$ . The terms with  $i \neq j$  are exactly  $-P\partial(\sigma)$  since

$$\begin{aligned}P(\partial\sigma) &= \sum_{i < j} (-1)^i (-1)^j F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, v_i, w_i, \dots, \hat{w}_j, \dots, w_n]} \\ &\quad + \sum_{j \geq i} (-1)^{i-1} (-1)^j F \circ (\sigma \times \mathbb{1})|_{[v_0, \dots, \hat{v}_j, \dots, v_i, w_i, \dots, w_n]}.\end{aligned}$$

If  $\alpha \in C_n(X)$  is a cycle, then we have  $g_\#(\alpha) - f_\#(\alpha) = \partial P(\alpha) + P\partial(\alpha) = \partial P(\alpha)$  since  $\partial\alpha = 0$ . Thus  $g_\#(\alpha) - f_\#(\alpha)$  is a boundary, so  $g_\#(\alpha)$  and  $f_\#(\alpha)$  determine the same homology class, which means that  $g_*$  equals  $f_*$  on the homology class of  $\alpha$ .  $\square$

**Remark 5.10.** *The relationship  $\partial P + P\partial = g_\# - f_\#$  is expressed by saying  $P$  is a **chain homotopy** between the chain maps  $f_\#$  and  $g_\#$ .*

We have just shown:

**Proposition 5.11.** *Chain-homotopic chain maps induce the same homomorphism on homology.*

**Remark 5.12.** *There are also induced homomorphisms  $f_* : \tilde{H}_n(X) \rightarrow \tilde{H}_n(Y)$  for reduced homology groups since  $f_\# \varepsilon = \varepsilon f_\#$ . The properties of induced homomorphisms we proved above hold equally well in the setting of reduced homology, with the same proofs.*

By Proposition 5.8 and Theorem 5.9, it immediately follows that:

**Corollary 5.13.** *The maps  $f_* : H_n(X) \rightarrow H_n(Y)$  induced by a homotopy equivalence  $f : X \rightarrow Y$  are isomorphisms for all  $n$ .*

**Example 5.14.** *If  $X$  is contractible (see Definition 1.9), then  $\tilde{H}_n(X) = 0$  for all  $n$ .*

## 6. EXACT SEQUENCE AND RELATIVE HOMOLOGY GROUP

**Remark 6.1.** *Every space  $X$  can be embedded as a subspace of a space with trivial homology groups, namely the cone  $CX = (X \times I)/(X \times \{0\})$ , which is contractible. Hence it turns out that  $H_n(X)/H_n(A)$  has little hope to be isomorphic to  $H_n(X/A)$  in general, where  $A$  is regarded as a subspace of  $X$ . Otherwise, homology theory would then collapse totally.*

**Remark 6.2.** *The actual relation is that it involves the groups  $H_n(X)$ ,  $H_n(A)$ , and  $H_n(X/A)$  for all values of  $n$  simultaneously. It has the side effect of sometimes allowing higher-dimensional homology groups to be computed in terms of lower-dimensional groups, which may already be known by induction of examples.*

**Definition 6.3.** A sequence of homomorphisms

$$\cdots \longrightarrow A_{n+1} \xrightarrow{\alpha_{n+1}} A_n \xrightarrow{\alpha_n} A_{n-1} \longrightarrow \cdots$$

is said to be **exact** if  $\text{Ker}(\alpha_n) = \text{Im}(\alpha_{n+1})$  for each  $n$ .

The inclusions  $\text{Im}(\alpha_{n+1}) \subset \text{Ker}(\alpha_n)$  are equivalent to  $\alpha_n \alpha_{n+1} = 0$ , so the sequence is a chain complex (see Definition 3.5), and the opposite inclusions  $\text{Ker}(\alpha_n) \subset \text{Im}(\alpha_{n+1})$  say that the homology groups of this chain complex are trivial.

**Proposition 6.4.** A number of algebraic concepts can be expressed in terms of exact sequences:

- (i)  $0 \rightarrow A \xrightarrow{\alpha} B$  is exact iff  $\text{Ker}(\alpha) = 0$ , i.e.,  $\alpha$  is injective;
- (ii)  $A \xrightarrow{\alpha} B \rightarrow 0$  is exact iff  $\text{Im}(\alpha) = B$ , i.e.,  $\alpha$  is surjective;
- (iii)  $0 \rightarrow A \xrightarrow{\alpha} B \rightarrow 0$  is exact iff  $\alpha$  is isomorphism, by (i) and (ii);
- (iv)  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$  iff  $\alpha$  is injective and  $\beta$  is surjective, and  $\text{Ker}(\beta) = \text{Im}(\alpha)$ , so  $B$  induces an isomorphism  $C = B/\text{Im}(\alpha)$ . This can be written  $C = B/A$  if we think of  $\alpha$  as an inclusion of  $A$  as a subgroup of  $B$ .

**Definition 6.5.** An exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  as shown in (iv) of Proposition 6.4 is called a **short exact sequence**.

Exact sequences provide the right tool to relate the homology groups of a space, a subspace, and the associated quotient space:

**Theorem 6.6.** If  $X$  is a space and  $A$  is nonempty closed subspace that is a deformation retract of some neighborhood in  $X$ , then there is an exact sequence

$$\begin{aligned} \cdots \rightarrow \tilde{H}_n(A) \xrightarrow{i_*} \tilde{H}_n(X) \xrightarrow{j_*} \tilde{H}_n(X/A) \xrightarrow{\partial} \tilde{H}_{n-1}(A) \xrightarrow{i_*} \tilde{H}_{n-1}(X) \rightarrow \cdots \\ \cdots \rightarrow \tilde{H}_0(X/A) \rightarrow 0 \end{aligned}$$

where  $i$  is the inclusion  $A \hookrightarrow X$  and  $j$  is the quotient map  $X \rightarrow X/A$ .

**Remark 6.7.** The map  $\partial$  will be constructed in the course of the proof. The idea is that an element  $x \in \tilde{H}_n(X/A)$  can be represented by a chain  $\alpha$  in  $X$  with  $\partial\alpha$  a cycle in  $A$  whose homology class is  $\partial x \in \tilde{H}_{n-1}(A)$ .

**Remark 6.8.** Pairs of spaces  $(X, A)$  satisfying the hypothesis of the theorem will be called **good pairs**. For example, if  $X$  is a CW complex and  $A$  is a nonempty subcomplex, then  $(X, A)$  is a good pair (see page 523 of Allen Hatcher's book).

The following two lemmas are necessary for the two corollaries of Theorem 6.6.

**Lemma 6.9.** Let  $X$  be a topological space with equivalent relation  $R$ , and let  $f : X \rightarrow Y$  be a continuous map with properties

- $f(a) = f(b)$  iff  $(a, b) \in R$ ;
- $f$  is surjective;

- $V$  is open in  $Y$  iff  $f^{-1}(V)$  is open in  $X$ .

Then  $X/R \approx Y$ .

**Lemma 6.10.**  $D^n/S^{n-1} \approx S^n$ .

*Proof.* Define a mapping  $f : D^n \rightarrow S^n$  as  $y = (y_0, y_1, \dots, y_n) = f(x)$  for each  $x = (x_1, \dots, x_n) \in D^n$ , where  $y_0 = 2\|x\| - 1$  and  $y_k = tx_k$  for all  $k \in [1, n] \cap \mathbb{Z}$ .

Now we are going to discuss  $t$  appeared above. If  $\|x\| \neq 0$ , then  $t$  is defined to be  $\sqrt{4/\|x\| - 4}$ . Note that  $\|x\| \leq 1$  if  $x \in D^n$ , so  $t$  is well-defined when  $\|x\| \neq 0$ . Send  $\|x\| \rightarrow 0^+$ , then  $x_k \rightarrow 0$  and so

$$0 \leq |tx_k|^2 \leq \frac{4x_k^2}{\|x\|} - 4x_k^2 \leq \frac{4\|x\|}{\|x\|} |x_k| - 4x_k^2 = 4|x_k| - 4x_k^2 \rightarrow 0,$$

which implies  $y_k \rightarrow 0$ . Thus we can define  $y_k = \lim_{\|x\| \rightarrow 0^+} tx_k = 0$  for each  $k \in [1, n] \cap \mathbb{Z}$  when  $\|x\| = 0$ . This would make  $f$  continuous.

It's obvious that  $\|y\| = 1$  when  $\|x\| = 0$ . If  $\|x\| \neq 0$ , then

$$\|y\|^2 = \sum_{k=0}^n y_k^2 = 4\|x\|^2 - 4\|x\| + 1 + \left( \frac{4}{\|x\|} - 4 \right) \|x\|^2 = 1.$$

Hence,  $f(D^n) \subset S^n$  and  $f$  is a well-defined mapping. Also, if  $x \in S^{n-1}$ ,  $f(x) = (1, 0, \dots, 0)$ . Note that  $f$  is bijective from  $D^n \setminus S^{n-1}$  to  $S^n \setminus \{(1, 0, \dots, 0)\}$ , then  $f$  is a quotient map. It follows that  $D^n/S^{n-1} \approx S^n$  by Lemma 6.9.  $\square$

**Remark 6.11.** Basically, the homeomorphism don't depend on the space that the unit disk and sphere are embedded in. We omit the details here.

**Corollary 6.12.**  $\tilde{H}_n(S^n) \approx \mathbb{Z}$  and  $\tilde{H}_i(S^n) \approx 0$  for  $i \neq n$ .

*Proof.* For  $n > 0$ , take  $(X, A) = (D^n, S^{n-1})$  so  $X/A = S^n$ . The terms  $\tilde{H}_i(D^n)$  in the long exact sequence for this pair are zero since  $D^n$  is contractible. Exactness of the sequence then implies that the maps  $\tilde{H}_i(S^n) \xrightarrow{\partial} \tilde{H}_{i-1}(S^{n-1})$  are isomorphisms for  $i > 0$  and that  $\tilde{H}_0(S^n) = 0$ . The result now follows by induction on  $n$ , starting with the case of  $S^0$  where the result holds by Proposition 4.8 and 4.10.  $\square$

**Remark 6.13.** Note that  $S^0 \approx \{[v_0], [v_1]\}$ , so  $\tilde{H}_0(S^0) = \mathbb{Z}$  and  $H_0(S^0) = \mathbb{Z} \oplus \mathbb{Z}$ .

**Corollary 6.14. Brouwer Fixed-Point Theorem**

$\partial D^n$  is not a retract of  $D^n$ . Hence every map  $f : D^n \rightarrow D^n$  has a fixed-point.

*Proof.* Suppose on the contrary that  $f(x) \neq x$  for all  $x \in D^n$ . Then we can define a map  $r : D^n \rightarrow S^{n-1}$  by letting  $r(x)$  be the point of  $S^{n-1}$  where the ray in  $\mathbb{R}^n$  starting at  $f(x)$  and passing through  $x$  leaves  $D^n$ . Continuity of  $r$  is clear since small perturbation of  $x$  produce small perturbation of  $f(x)$ , hence also small perturbations of the ray through theses two points. The crucial property of  $r$ , besides continuity, is that  $r(x) = x$  if  $x \in S^{n-1}$ . Thus  $r$  is a retraction of  $D^n$  onto  $S^{n-1}$ .

If  $r : D^n \rightarrow \partial D^n$  is a retraction, then  $ri = 1$  for  $i : \partial D^n \rightarrow D^n$  the inclusion map. The composition  $\tilde{H}_{n-1}(\partial D^n) \xrightarrow{i_*} \tilde{H}_{n-1}(D^n) \xrightarrow{r_*} \tilde{H}_{n-1}(\partial D^n)$  is then the identity map on  $\tilde{H}_{n-1}(\partial D^n) \approx \mathbb{Z}$ . But  $i_*$  and  $r_*$  are both zero since  $\tilde{H}_{n-1}(D^n) = 0$ , and we have a contradiction.  $\square$

The derivation of the exact sequence of homology groups for a good pair  $(X, A)$  will be rather a long story. We are going to derive a more general exact sequence which holds for arbitrary pairs  $(X, A)$ .

**Remark 6.15.** *It sometimes happens that by ignoring a certain amount of data or structure one obtains a simpler, more flexible theory which, almost paradoxically, can give results not readily obtainable in the original setting. A familiar instance of this is arithmetic mod  $n$ , where one ignores multiples of  $n$ .*

**Definition 6.16.** *Given a space  $X$  and a subspace  $A \subset X$ , let  $C_n(X, A)$  be the quotient group  $C_n(X)/C_n(A)$ . Thus chains in  $A$  are trivial in  $C_n(X, A)$ . Since the boundary map  $\partial : C_n(X) \rightarrow C_{n-1}(X)$  takes  $C_n(A)$  to  $C_{n-1}(A)$ , it induces a quotient map  $\partial : C_n(X, A) \rightarrow C_{n-1}(X, A)$ . Letting  $n$  vary, we have a sequence of boundary maps*

$$\cdots \rightarrow C_n(X, A) \xrightarrow{\partial} C_{n-1}(X, A) \rightarrow \cdots$$

The relation  $\partial^2 = 0$  holds for these boundary maps since it holds before passing to quotient groups. So we have a chain complex, and the homology groups  $\text{Ker}(\partial)/\text{Im}(\partial)$  of the chain complex are by definition the **relative homology groups**  $H_n(X/A)$ .

**Proposition 6.17.** *By considering the definition of relative boundary map we see:*

- Elements of  $H_n(X, A)$  are represented by **relative cycles**:  $n$ -chains  $\alpha \in C_n(X)$  such that  $\partial\alpha \in C_{n-1}(A)$ .
- A relative cycle  $\alpha$  is trivial in  $H_n(X, A)$  iff it is a **relative boundary**:  $\alpha = \partial\beta + \gamma$  for some  $\beta \in C_{n+1}(X)$  and  $\gamma \in C_n(A)$ .

**Remark 6.18.** *These properties make precise the intuitive idea that  $H_n(X, A)$  is “homology of  $X$  modulo  $A$ ”.*

**Remark 6.19.** *The quotient group  $C_n(X)/C_n(A)$  could be viewed as a subgroup of  $C_n(X)$ , the subgroup with the basis the singular  $n$ -simplices  $\sigma : \Delta^n \rightarrow X$  whose images are not contained in  $A$ . However, the boundary map does not take this subgroup of  $C_n(X)$  to the corresponding subgroup of  $C_{n-1}(X)$ , so it is usually better to regard  $C_n(X, A)$  as a quotient rather than a subgroup of  $C_n(X)$ .*

The goal now is to show that the relative homology groups  $H_n(X, A)$  for any pair  $(X, A)$  fit into a long exact sequence

$$\begin{aligned} \cdots \rightarrow H_n(A) \rightarrow H_n(X) \rightarrow H_n(X, A) \rightarrow H_{n-1}(A) \rightarrow H_{n-1}(X) \rightarrow \cdots \\ \cdots \rightarrow H_0(X, A) \rightarrow 0. \end{aligned}$$

This will be entirely a matter of algebra. To start the process, consider the diagram

$$\begin{array}{ccccccc}
0 & \longrightarrow & C_n(A) & \xrightarrow{i} & C_n(X) & \xrightarrow{j} & C_n(X, A) \longrightarrow 0 \\
& & \downarrow \partial & & \downarrow \partial & & \downarrow \partial \\
0 & \longrightarrow & C_{n-1}(A) & \xrightarrow{i} & C_{n-1}(X) & \xrightarrow{j} & C_{n-1}(X, A) \longrightarrow 0
\end{array}$$

where  $i$  is inclusion and  $j$  is the quotient map. The diagram is commutative by the definition of the boundary maps. Letting  $n$  vary, and drawing these short exact sequences vertically rather than horizontally, we have a large commutative diagram of the form shown below, where the columns are exact (by Proposition 6.4) and the rows are chain complexes which we denote  $A$ ,  $B$ , and  $C$ .

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
\cdots & \longrightarrow & A_{n+1} & \xrightarrow{\partial} & A_n & \xrightarrow{\partial} & A_{n-1} \longrightarrow \cdots \\
& & \downarrow i & & \downarrow i & & \downarrow i \\
\cdots & \longrightarrow & B_{n+1} & \xrightarrow{\partial} & B_n & \xrightarrow{\partial} & B_{n-1} \longrightarrow \cdots \\
& & \downarrow j & & \downarrow j & & \downarrow j \\
\cdots & \longrightarrow & C_{n+1} & \xrightarrow{\partial} & C_n & \xrightarrow{\partial} & C_{n-1} \longrightarrow \cdots \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & & 0 & & 0
\end{array}$$

Such a diagram is called a **short exact sequence of chain complexes**.

**Remark 6.20.** *We will show that when we pass to homology groups, this short exact sequence of chain complexes stretches out into a long exact sequence of homology groups, that is*

$$\cdots \rightarrow H_n(A) \xrightarrow{i_*} H_n(B) \xrightarrow{j_*} H_n(C) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{i_*} H_{n-1}(B) \rightarrow \cdots$$

where  $H_n(A)$  denotes the homology group  $\text{Ker}(\partial)/\text{Im}(\partial)$  at  $A_n$  in the chain complex  $A$ , and  $H_n(B)$  and  $H_n(C)$  are defined similarly.

The commutativity of the squares in the short exact sequence of chain complexes means that  $i$  and  $j$  are chain maps. They therefore induce maps  $i_*$  and  $j_*$  on homology.

To define the boundary map  $\partial : H_n(C) \rightarrow H_{n-1}(A)$ , let  $c \in C_n$  be a cycle. Since  $j$  is onto,  $c = j(b)$  for some  $b \in B_n$ . The element  $\partial b \in B_{n-1}$  is in  $\text{Ker}(j)$  since  $j(\partial b) = \partial j(b) = \partial c = 0$ . So  $\partial b = i(a)$  for some  $a \in A_{n-1}$  since  $\text{Ker}(j) = \text{Im}(i)$ . Note



that  $\partial a = 0$  since  $i(\partial a) = \partial i(a) = \partial \partial b = 0$  and  $i$  is injective.

$$\begin{array}{ccccc}
 & & a & & \\
 & & \downarrow & & A_{n-1} \\
 b & \longmapsto & \partial b & & \downarrow i \\
 \downarrow & & B_n & \xrightarrow{\partial} & B_{n-1} \\
 c & & \downarrow j & & \\
 & & C_n & & 
 \end{array}$$

We define  $\partial : H_n(C) \rightarrow H_{n-1}(A)$  be sending the homology class of  $c$  to the homology class of  $a$ ,  $\partial[c] = [a]$ . This well-defined since:

- The element  $a$  is uniquely determined by  $\partial b$  since  $i$  is injective;
- A different choice  $b'$  for  $b$  would have  $j(b') = j(b)$ , so  $b' - b$  is in  $\text{Ker}(j) = \text{Im}(i)$ . Thus  $b' - b = i(a')$  for some  $a'$ , hence  $b' = b + i(a')$ . The effect of replacing  $b$  by  $b + i(a')$  is to change  $a$  to the homologous element  $a + \partial a'$  since  $i(a + \partial a') = i(a) + i(\partial a') = \partial b + \partial i(a') = \partial(b + i(a'))$ .
- A different choice of  $c$  within its homology class would have the form  $c + \partial c'$ . Since  $c' = j(b')$  for some  $b'$ , we then have  $c + \partial c' = c + \partial j(b') = c + j(\partial b') = j(b + \partial b')$ , so  $b$  is replaced by  $b + \partial b'$ , which leaves  $\partial b$  and therefore also  $a$  unchanged.

The map  $\partial : H_n(C) \rightarrow H_{n-1}(A)$  is a homomorphism since if  $\partial[c_1] = [a_1]$  and  $\partial[c_2] = [a_2]$  via elements  $b_1$  and  $b_2$  as above, then  $j(b_1 + b_2) = j(b_1) + j(b_2) = c_1 + c_2$  and  $i(a_1 + a_2) = i(a_1) + i(a_2) = \partial b_1 + \partial b_2 = \partial(b_1 + b_2)$ , so  $\partial([c_1] + [c_2]) = [a_1] + [a_2]$ .

**Theorem 6.21.** *The sequence of homology groups*

$$\cdots \rightarrow H_n(A) \xrightarrow{i_*} H_n(B) \xrightarrow{j_*} H_n(C) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{i_*} H_{n-1}(B) \rightarrow \cdots$$

*is exact.*

*Proof.* There are six things to verify:

- $\text{Im}(i_*) \subset \text{Ker}(j_*)$ . This immediate since  $ji = 0$  implies  $j_*i_* = 0$ .
- $\text{Im}(j_*) \subset \text{Ker}(\partial)$ . We have  $\partial j_* = 0$  since in this case  $\partial b = 0$  in the definition of  $\partial$ .
- $\text{Im}(\partial) \subset \text{Ker}(i_*)$ . Here  $i_*\partial = 0$  since  $i_*\partial$  takes  $[c]$  to  $[\partial b] = 0$ .
- $\text{Ker}(j_*) \subset \text{Im}(i_*)$ . A homology class in  $\text{Ker}(j_*)$  is represented by a cycle  $b \in B_n$  with  $j(b)$  a boundary, so  $j(b) = \partial c'$  for some  $c' \in C_{n+1}$ . Since  $j$  is surjective,  $c' = j(b')$  for some  $b' \in B_{n+1}$ . We have  $j(b - \partial b') = j(b) - j(\partial b') = j(b) - \partial j(b') = 0$  since  $\partial j(b') = \partial c' = j(b)$ . So  $b - \partial b' = i(a)$  for some  $a \in A_n$  since  $\text{Ker}(j) = \text{Im}(i)$ . This  $a$  is a cycle since  $i(\partial a) = \partial i(a) = \partial(b - \partial b') = \partial b = 0$  and  $i$  is injective. Thus  $i_*[a] = [b - \partial b'] = [b]$ , showing that  $i_*$  maps onto  $\text{Ker}(j_*)$ .

- $\text{Ker}(\partial) \subset \text{Im}(j_*)$ . In the notation used in the definition of  $\partial$ , if  $c$  represents a homology class in  $\text{Ker}\partial$ , then  $a = \partial a'$  for some  $a' \in A_n$ . The element  $b - i(a')$  is a cycle since  $\partial(b - i(a')) = \partial b - \partial i(a') = \partial b - i(\partial a') = \partial b - i(a) = 0$ . And  $j(b - i(a')) = j(b) - ji(a') = j(b) = c$ , so  $j_*$  maps  $[b - i(a')]$  to  $[c]$ .
- $\text{Ker}(i_*) \subset \text{Im}(\partial)$ . Given a cycle  $a \in A_{n-1}$  such that  $i(a) = \partial b$  for some  $b \in B_n$ , then  $j(b)$  is a cycle since  $\partial j(b) = j(\partial b) = ji(a) = 0$ , and  $\partial$  takes  $[j(b)]$  to  $[a]$ .  $\square$

**Remark 6.22.** This theorem represents the beginning of the subject of homological algebra. The method of proof is sometimes called **diagram chasing**.

**Remark 6.23.** The preceding algebraic theorem yields a long exact sequence groups:

$$\begin{aligned} \cdots \rightarrow H_n(A) \xrightarrow{i_*} H_n(X) \xrightarrow{j_*} H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{i_*} H_{n-1}(X) \rightarrow \cdots \\ \cdots \rightarrow H_0(X, A) \rightarrow 0. \end{aligned}$$

The boundary map  $\partial : H_n(X, A) \rightarrow H_{n-1}(A)$  has a very simple description: if a class  $[\alpha] \in H_n(X, A)$  is represented by a relative cycle  $\alpha$ , then  $\partial[\alpha]$  is in the class of the cycle  $\partial\alpha$  in  $H_{n-1}(A)$ . This is immediate from the algebraic definition of the boundary homomorphism in the long exact sequence of homology groups associated to a short exact sequence of chain complexes.

**Lemma 6.24.** For an exact sequence  $A \rightarrow B \rightarrow C \rightarrow D \rightarrow E$  show that  $C = 0$  iff the map  $A \rightarrow B$  is surjective and  $D \rightarrow E$  is injective.

Lemma 6.24 is rather trivial, and immediately we have the following remark.

**Remark 6.25.** This long exact sequence makes precise the idea that the groups  $H_n(X, A)$  measure the difference between the groups  $H_n(X)$  and  $H_n(A)$ . In particular, exactness implies that if  $H_n(X, A) = 0$  for all  $n$ , then the inclusion  $A \hookrightarrow X$  induces isomorphisms  $H_n(A) \approx H_n(X)$  for all  $n$ , by the (iii) of Remark 6.4 following Definition 6.3. The converse is also true by Lemma 6.24.

**Remark 6.26.** There is a completely analogous long exact sequence of reduced homology groups for a pair  $(X, A)$  with  $A \neq \emptyset$ . This comes from applying the preceding algebraic machinery to the short exact sequence of chain complexes formed by the short exact sequences  $0 \rightarrow C_n(X) \rightarrow C_n(X) \rightarrow C_n(X, A) \rightarrow 0$  in nonnegative dimensions, augmented by the short exact sequence  $0 \rightarrow \mathbb{Z} \xrightarrow{1} \mathbb{Z} \rightarrow 0 \rightarrow 0$  in dimension  $-1$ . In particular this means that  $\tilde{H}_n(X, A) \approx H_n(X, A)$  for all  $n$ , when  $A \neq \emptyset$ .

**Example 6.27.** In the long exact sequence of reduced homology groups for the pair  $(D^n, \partial D^n)$ , the maps  $H_i(D^n, \partial D^n) \xrightarrow{\partial} \tilde{H}_{i-1}(S^{n-1})$  are isomorphisms for all  $i > 0$  since the remaining terms  $\tilde{H}_i(D^n)$  are zero for all  $i$ . Thus we obtain the calculation

$$H_i(D^n, \partial D^n) \approx \begin{cases} \mathbb{Z} & i = n \\ 0 & \text{otherwise} \end{cases}$$

**Example 6.28.** Applying the long exact sequence of reduced homology groups to a pair  $(X, \{x_0\})$ , simply denoted  $(X, x_0)$ , with  $x_0 \in X$  yields isomorphisms  $H_n(X, x_0) \approx \tilde{H}_n(X)$  for all  $n$  since  $\tilde{H}_n(x_0) = 0$  for all  $n$ .

There are induced homomorphisms for relative homology just as there are in the nonrelative, or “absolute” case. A map  $f : X \rightarrow Y$  with  $f(A) \subset B$ , or more concisely  $f : (X, A) \rightarrow (Y, B)$ , induces homomorphisms  $f_\# : C_n(X, A) \rightarrow C_n(Y, B)$  since the chain map takes  $f_\# : C_n(X) \rightarrow C_n(Y)$  takes  $C_n(A)$  to  $C_n(B)$ , so we get a well-defined map on quotients,  $f_\# : C_n(X, A) \rightarrow C_n(Y, B)$ . The relation  $f_\# \partial = \partial f_\#$  holds for relative chains since it holds for absolute chains. By Proposition 5.8 we then have induced homomorphisms  $f_* : H_n(X, A) \rightarrow H_n(Y, B)$ .

**Proposition 6.29.** If two maps  $f, g : (X, A) \rightarrow (Y, B)$  are homotopic through maps of pairs  $(X, A) \rightarrow (Y, B)$ , then  $f_* = g_* : H_n(X, A) \rightarrow H_n(Y, B)$ .

*Proof.* The prism operator  $P$  from the proof of Theorem 5.9 takes  $C_n(A)$  to  $C_{n+1}(B)$ , hence induces a relative prism operator  $P : C_n(X, A) \rightarrow C_{n+1}(Y, B)$ . Since we are just passing to quotient groups, the formula  $\partial P + P\partial = g_\# - f_\#$  remains valid. Thus the maps  $f_\#$  and  $g_\#$  on relative chains groups are chain homotopic, and hence they induce the same homomorphism on relative homology groups.  $\square$

An easy generalization of the long exact sequence of a pair  $(X, A)$  is the long exact sequence of a triple  $(X, A, B)$ , where  $B \subset A \subset X$ :

$$\cdots \rightarrow H_n(A, B) \rightarrow H_n(X, B) \rightarrow H_n(X, A) \rightarrow H_{n-1}(A, B) \rightarrow \cdots$$

This is the long exact sequence of homology groups associated to the short exact sequence of chain complexes formed by the short exact sequences

$$0 \rightarrow C_n(A, B) \rightarrow C_n(X, B) \rightarrow C_n(X, A) \rightarrow 0$$

For example, taking  $B$  to be a single point set, the long exact sequence of the triple  $(X, A, B)$  becomes the long exact sequence of reduced homology for the pair  $(X, A)$ .

## 7. EXCISION

### Theorem 7.1. *Excision Theorem*

Given subspaces  $Z \subset A \subset X$  such that the closure of  $Z$  is contained in the interior of  $A$ , then the inclusion  $(X - Z, A - Z) \hookrightarrow (X, A)$  induces isomorphisms  $H_n(X - Z, A - Z) \rightarrow H_n(X, A)$  for all  $n$ . Equivalently, for subspaces  $A, B \subset X$  whose interiors cover  $X$ , the inclusion  $(B, A \cap B) \hookrightarrow (X, A)$  induces isomorphisms  $H_n(B, A \cap B) \rightarrow H_n(X, A)$  for all  $n$ .

**Remark 7.2.** Theorem 7.1 is a fundamental property of relative homology groups, describing when the relative groups  $H_n(X, A)$  are unaffected by deleting, or excising, a subset  $Z \subset A$ .

**Remark 7.3.** *The translation between the two versions is obtained by setting  $B = X - Z$  and  $Z = X - B$ . Then  $A \cap B = A - Z$  and the condition  $\text{cl}(Z) \subset \text{int}(A)$  is equivalent to  $X = \text{int}(A) \cup \text{int}(B)$  since  $X - \text{int}(B) = \text{cl}(Z)$ .*

## 8. NATURALITY

To be continued...

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