



# Similarity-Aware Deep Adversarial Learning for Facial Age Estimation

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<https://haoliuphd.github.io/paper/ICME2019Oral.pdf>

# Personal Introduction

## □ Hao Liu

- Associate Professor, Ningxia University, China
- Ph.D supervised by Professor *Jie Zhou* and Professor *Jiwen Lu* of Tsinghua University
- Researches on facial analysis, particularly face alignment and facial age estimation



## □ Selected Publications

- **Hao Liu**, Jiwen Lu\*, Minghao Guo, Suping Wu and Jie Zhou. Learning Reasoning-Decision Networks for Robust Face Alignment, *T-PAMI*, 2019
- **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou. Two-Stream Transformer Networks for Video-based Face Alignment, *T-PAMI*, 2018
- **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou. Ordinal Deep Learning for Facial Age Estimation, *T-CSVT*, 2019
- **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou. Label-Sensitive Deep Metric Learning for Facial Age Estimation, *T-IFS*, 2018

# Age Estimation

□ They are of nearly the same ages!



Zhi-ying Lin (**1974**)

De-gang Guo (**1973**)

# Challenges

- Different ages look alike in appearance.



Brad Pitt (**1963**)

Leonardo DiCaprio (**1974**)

# Why Challenging?

## □ Apparent Age Estimation [ICCVW 15]



## □ Challenges

- Large variances due to facial expressions and occlusions
- Appearance changes with different facial make-up
- Limited training samples/missing labels
- **Label correlation for our human ages (real world)**



# Conventional Methods

## □ Facial Age Estimation Framework



## □ Conventional Methods for Facial Age Estimation :

- ✓ Feature Extraction (*Requiring Much Strong Prior Knowledge*)
  - Hand-crafted Features: BIF, LBP, SIFT
  - Shallow Feature Learning: CS-LBFL [Lu et al, T-IP 2015]
- ✓ Age Predictor (*Imbalance of Class/Training Sample*)
  - LDL [Geng et al, T-PAMI 2013 ]
  - OHRANK [Chen et al, CVPR 2012]

# Age Estimation by Deep Learning

## □ Why Deep Feature Learning?

- ✓ **Learning Features** directly from raw pixels
- ✓ **Modeling Nonlinear Relationship** between Pixels and Labels
- ✓ Transfer Learning (Fine-tuning)

## □ Label Correlation

- ✓ Ordinal Regression [*Niu et al, CVPR 2016*]
- ✓ Missing Labels [*Liu et al, PR 2017*]

## □ *Goal: Jointly learning feature descriptors for face representation and exploiting the relationship of human age labels*

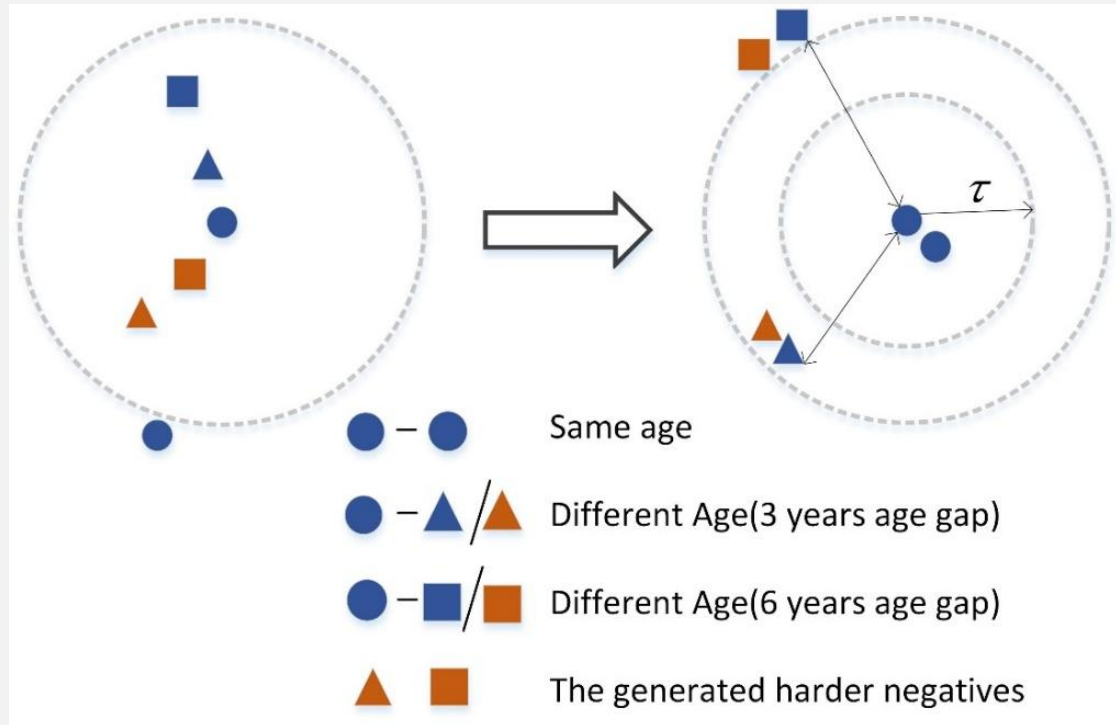
## □ **ISSUES**

- *Hard/Semi-hard examples are meaningful (violates)*
- *Hard-Mining in unobserved space [*Duan et al, CVPR 2018*]*

# Our Insight

## ❑ Two-fold criterions:

- ✓ The distance between each pair from different classes with a small age gap (circle and triangle) is smaller than that from a negative pair with a large age gap (circle and square).
- ✓ The distances of the pairs with same ages should become as smaller as possible.



**Blue:** existing training samples

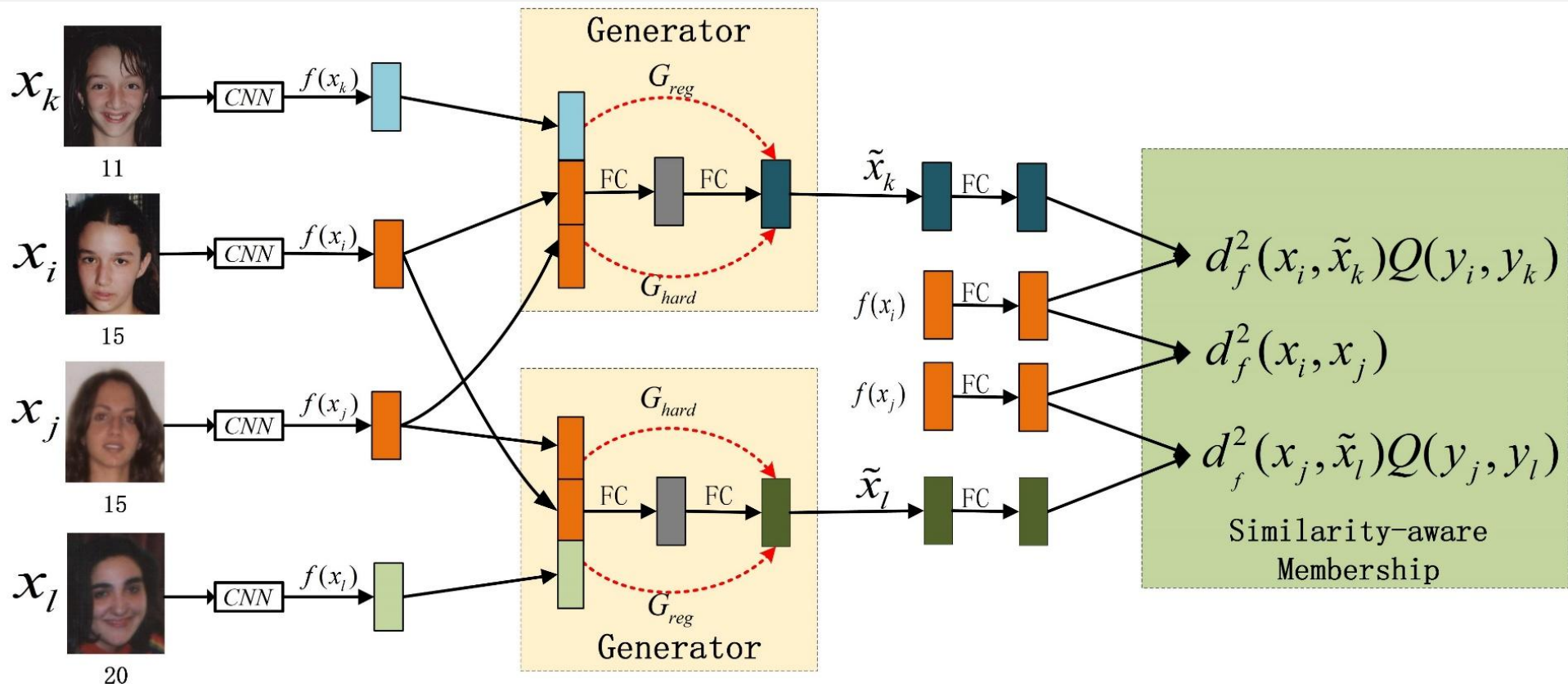
**Orange:** generated hard-negatives



# Main Contributions

- Our method aims to seek batches of unobserved hard-negative samples based on existing training samples, which typically reinforces the discriminativeness of the learned feature representation for facial ages.
- Motivated by the fact that age labels are usually correlated in real-world scenarios, we carefully develop a similarity-aware function to well measure the distance of each face pair based on the age value gaps.

# Proposed Framework

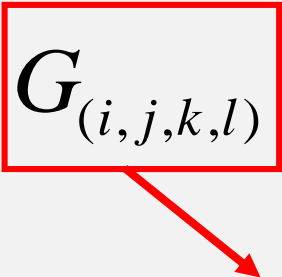


# Objective Formulation

$$\min_{\theta_g, \theta_d} J = G_{(i,j,k,l)} + \lambda D_{(i,j,k,l)},$$

- The generator  $G$  aims to generate *hard-negative* samples in which the learned metric would misclassify.
- The discriminator  $D$  optimizes a discriminative distance metric, where *the inter-class separability, intra-class compactness* and label correlation of age classes are exploited to characterize the feature similarity simultaneously.

# Hard-Negative Generation

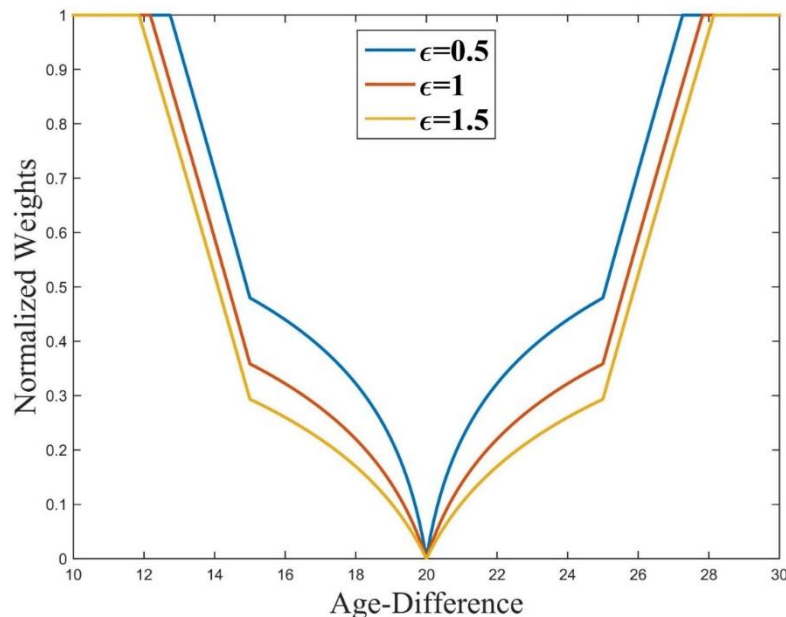
$$\min_{\theta_g, \theta_d} J = G_{(i,j,k,l)} + \lambda D_{(i,j,k,l)},$$


$$\min_{\theta_g} G_{(i,j,k,l)} = G_{hard} + G_{reg} + G_{adv},$$

Subject to

$$G_{(i,j,k,l)} = \begin{cases} \sum_{(i,j,k)} [\| \tilde{x}_k - x_i \|_2^2 + \| \tilde{x}_k - x_k \|_2^2 \\ + \max(0, d_f(x_i, \tilde{x}_k)[-Q(y_i, y_k)] - \tau)^2], \\ \sum_{(i,j,l)} [\| \tilde{x}_l - x_j \|_2^2 + \| \tilde{x}_l - x_l \|_2^2 \\ + \max(0, d_f(x_j, \tilde{x}_l)[-Q(y_j, y_l)] - \tau)^2], \end{cases}$$

# Similarity-Aware Function

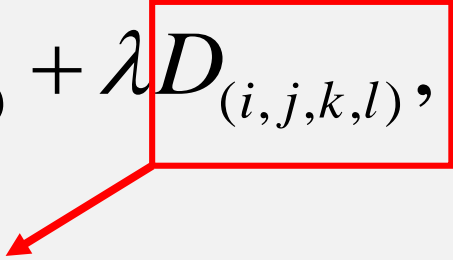


## Age Smoothness

- With this function, the face pair with a larger age gap has a higher weight than that with a smaller age gap.
- At the same time, we amplify the differences between the pairs with interval  $L$  in the transformed feature space.

$$Q(y_m, y_n) = \begin{cases} \frac{1}{L} \ln(1 + \frac{|y_n - y_m|}{\epsilon}), & |y_m - y_n| \leq L \\ \frac{|y_n - y_m|}{L} - C, & \text{otherwise} \end{cases}$$

# Deep Adversarial Learning

$$\min_{\theta_g, \theta_d} J = G_{(i,j,k,l)} + \lambda D_{(i,j,k,l)},$$


$$\min_{\theta_d} D_{(i,j,k,l)} = D_{(i,k)} + D_{(j,l)} + D_{(i,j)},$$

**Subject to**

$$\begin{aligned} D_{(i,j,k,l)} = & \sum_{(i,j,k,l)} [\max_{(i,k) \in \hat{N}} (0, \tau - d_f(x_i, \tilde{x}_k) Q(y_i, y_k))^2 \\ & + \max_{(j,l) \in \hat{N}} (0, \tau - d_f(x_j, \tilde{x}_l) Q(y_j, y_l)) \\ & + \max_{(i,j) \in \hat{N}} (0, d_f(x_i, x_j))^2]. \end{aligned}$$



# Experimental Results on Morph

## Comparisons of MAEs with state-of-the-arts

Method	MAE	Year
BIF+KNN	9.64	-
OHRanker [6]	6.49	2011
LDL [7]	5.69	2013
CPNN [7]	5.67	2013
CA-SVR [22]	4.87	2013
CS-LBFL [9]	4.52	2015
CS-LBMFL [9]	4.37	2015
CSOHR [23]	3.74	2015
DeepRank [24]	3.57	2015
DeepRank+ [24]	3.49	2015
OR-CNN [13]	3.27	2016
ODFL [14]	3.12	2017
LSDML [10]	3.08	2018
M-LSDML [10]	2.89	2018
<b>SADAL</b>	<b>2.75</b>	-

## Comparisons of MAEs with different deep learning approaches

Method	MAE
unsupervised VGG + KNN	7.21
unsupervised VGG + OHRanker	4.58
VGG + Single Label	3.63
VGG + Gaussian Label	3.44
ODFL [14]	3.12
<b>SADAL</b>	<b>2.75</b>

# Experimental Results on FG-NET

**Comparisons of MAEs compared with state-of-the-art approaches.**

Method	MAE	Year
BIF+KNN	8.24	-
OHRanker [6]	4.48	2011
LDL [7]	5.77	2013
CPNN [7]	4.76	2013
CSOHR [23]	4.70	2015
CS-LBFL [9]	4.43	2015
CS-LBMFL [9]	4.36	2015
ODFL [14]	3.89	2017
LSDML [10]	3.92	2018
M-LSDML [10]	3.74	2018
<b>SADAL</b>	<b>3.67</b>	<b>-</b>

# References

- ❑ **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou: Label-Sensitive Deep Metric Learning For Facial Age Estimation. In IEEE Transactions on Information Forensics and Security (T-IFS), 13(2): 292-305 (2018).
- ❑ Yueqi Duan, Wenzhao Zheng, Xudong Lin, Jiwen Lu\* and Jie Zhou: Deep Adversarial Metric Learning. In IEEE Conference on Computer Vision and Pattern Recognition (CVPR), 2780-2789 (2018).
- ❑ **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou. Ordinal Deep Learning for Facial Age Estimation, IEEE Transactions on Circuits and Systems for Video Technology (T-CSVT), 2018.
- ❑ **Hao Liu**, Jiwen Lu\*, Jianjiang Feng and Jie Zhou. Group-Aware Deep Feature Learning for Facial Age Estimation, Pattern Recognition (PR), 2017.
- ❑ **Hao Liu**, Penghui Sun, Jiaqiang Zhang, Suping Wu, Zhenhua Yu and Xuehong Sun: Similarity-Aware and Variational Deep Adversarial Learning for Robust Facial Age Estimation. In IEEE Transactions on Multimedia (T-MM), Under Review.

**THANK YOU! Q&A**

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