PMATH 465

Alex Rutar* University of Waterloo

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^{*}arutar@uwaterloo.ca

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Contents

Chapter	I Fundamentals of Manifolds
1	Introduction to Topology
	Immersions, Embedding, Submanifolds
3	Non-Commutative Module Theory
4	Tangent Vectors

I. Fundamentals of Manifolds

1 Introduction to Topology

BASIC CONSTRUCTIONS

Definition. A **topology** on a set X is a set τ of subsets of X such that

- (i) $\emptyset \in \tau$ and $X \in \tau$
- (ii) If $U_{\alpha} \in \tau$ for all $\alpha \in A$, then $\bigcup_{\alpha \in A} U_{\alpha} \in \tau$.
- (iii) If $n \in \mathbb{N}$ and $U_i \in \tau$ for each $1 \le i \le n$, then $\bigcap_{i=1}^n U_i \in \tau$.

The sets $U \in \tau$ are called the **open sets** in X, and sets of the form $X \setminus U$ for some open set U are called the **closed sets** in X.

Definition. When X is a topological space and $A \subseteq X$, the **interior** of A (denoted A°) is the union of all open sets contained in A. Similarly, we define the **closure** of A (denoted \overline{A}) as the intersction of all closed sets containing A. Then the **boundary** of A, denoted by ∂A , is the set $\partial A = \overline{A} \setminus A^{\circ}$.

Example. Let *X* be any set. The **discrete topology** on *X* is the topology $\tau = \mathcal{P}(X)$, and the **trivial topology** on *X* is the topology $\tau = \{\emptyset, X\}$.

Definition. A basis for a topology on a set X is a set V of subsets of X

- (i) $\bigcup_{B \in \mathcal{B}} b = X$
- (ii) for all $a \in X$ and $U, V \in \mathcal{B}$ such that $a \in U \cap V$, then there exists $W \in \mathcal{B}$ with $a \in W \subseteq U \cap V$.

When \mathcal{B} is a basis for a topology on X, the topology on X **generated** by \mathcal{B} is the set τ of subsets of X such that for $W \subseteq X$, $W \in \tau$ if and only if for all $a \in W$, there exists $U \in \mathcal{B}$ such that $a \in U \subseteq W$.

Note that τ , as above, is a topology on X since

- (i) $\emptyset \in \tau$ vacuously and $X \in \tau$ obviously.
- (ii) If $A_k \in \tau$ for all $k \in K$ (where K is any set of indices), then given $a \in \bigcup_{x \in K} A_k$, we can choose $\ell \in K$ so that $a \in A_\ell$. Then since $A_\ell \in \tau$, we can choose $U_\ell \in \mathcal{B}$ so that $a \in U_\ell \subseteq A_\ell$. Thus $a \in U_\ell \subseteq A_\ell \subseteq \bigcup_{k \in K} A_k$.
- (iii) By induction, it suffices to prove that if $A, B \in \tau$, then $A \cap B \in \tau$. Suppose $A, B \in \tau$, and let $a \in A \cap B$. Since $A \in \tau$, we can choose $U \in \mathcal{B}$ so that $a \in U \subseteq A$. Since $B \in \tau$, we can choose $V \in \mathcal{B}$ so that $a \in V \subseteq B$. Then we have $a \in U \cap V$. Since \mathcal{B} is a basis, we can chose $W \in \mathcal{B}$ with $a \in W \subseteq U \cap V$, so $a \in W \subseteq U \cap V \subseteq A \cap B$.

Note that when τ is the topology on X generated by the basis \mathcal{B} , for $A \subseteq X$, $A \in \tau$ if and only if there exists some $S \subseteq \mathcal{B}$ such that $A = \bigcup_{s \in S} s$. In this sense, the topology τ on X generated by the basis \mathcal{B} is the coarsest topology which contains \mathcal{B} .

Definition. (Subspace Topology) When Y is a topological space and $X \subseteq Y$ is a subset of Y, we define the **subspace topology** on X to be the topology for which as set $U \subseteq X$ is open if and only if $U = X \cap V$ for some open set V.

If C is a basis for the topology on Y, then $B = \{X \cap V \mid V \in C\}$ is a basis for the subspace topology on X.

Definition. (**Disjoint Union Topology**) If X and Y are topological spaces with $X \cap Y = \emptyset$, then the **disjoint union topology** on $X \cup Y$ is the topology in which a subset $U \subseteq X \cup Y$ is open in $X \cup Y$ if and only if $U \cap X$ is open in X and $Y \cap Y$ is open in Y.

Definition. (**Product Topology**) If X and Y are topological spaces, the **product topology** on $X \times Y$ is the topology generted by the basis

$$\mathcal{B} = \{ U \times V \mid U \in \mathcal{C}, V \in \mathcal{D} \}$$

where \mathcal{C} and \mathcal{D} are bases for the topologies on X, Y respectively.

Definition. (Infinite Product Topology) We define the infinite product to be

$$\prod_{k \in K} \left\{ f : K \to \bigcup_{k \in K} X_k \mid f(k) \in X_k \text{ for all } k \in K \right\}$$

There are two standard topologies on X. The first is the **box topology**,

$$\mathcal{B} = \left\{ \prod_{k \in K} U_k \middle| U_k \text{ is open in } X_k \right\}$$

and the product topology

$$\mathcal{B} = \left\{ \prod_{k \in K} U_k \middle| \begin{array}{c} U_k \text{ is open in } X_k \\ U_k = X_k \text{ for all but finitely many indices } k \end{array} \right\}$$

Example. (Metric Topology) \mathbb{R}^n has a standard **inner product**, and for $u, v \in \mathbb{R}^n$, $\langle u, v \rangle = u \cdot v = V^T u = \sum_{i=1}^n u_i v_i$. This gives the standard norm on \mathbb{R}^n for $u \in \mathbb{R}^n$, $||u|| = \sqrt{\langle u, v \rangle}$. This gives the standard metric on \mathbb{R}^n : for $a, \in \mathbb{R}^n$, d(a, b) = ||b - a||.

Given a metric on a set Y, we obtain (by restriction) an induced metric on any subset $X \subseteq Y$. Given a metric space X, we define the **metric topology** on X to be the topology which is generated by the set of open balls

$$B(a, r) = \{ x \in X \mid d(a, x) < r \}$$

where $x \in X$, r > 0.

Maps on Topological Spaces

Definition. When X and Y are topological spaces and $f: X \to Y$, we say that f is **continuous** when it has the property that $f^{-1}(V)$ is open in X for every open set V in Y. We say that $f: X \to Y$ is a **homeomorphism** when f is bijective and both f and f^{-1} are continuous. Then X, Y are **homeomorphic** if there exists a homeomorphism $f: X \to Y$.

- **1.1 Theorem.** (Glueing Lemma) Let X and Y be topological spaces, and let $f: X \to Y$ be a function. Suppose either
 - (i) $X = \bigcup_{k \in K} A_k$ where each A_k is open in X, or
- (ii) $X = \bigcup_{k=1}^{n} A_k$ where each A_k is closed in X and each restriction map $f_k : A_k \to Y$ is continuous, then f is continuous.

Proof Exercise.

Definition. A topological space X is **compact** when it has the property that for every set S of open subsets of X with $X = \bigcup_{U \in S} U$, there exists a finite subset $F \subseteq S$ such that $X = \bigcup_{F \in F} F$.

Note that when $X \subseteq Y$ is a subspace, X is compact if and only if X has the property that for every set T with $X \subseteq \bigcup_{T \in T} T$, there exists a finite subset $G \subseteq T$ uch that $X \subseteq \bigcup_{G \in G} G$.

Definition. A topological space X is **connected** when there do not exist non-empty disjoint open sets $U, V \in X$ such that $X = U \cup V$.

Note that if *Y* is a metric space and $X \subseteq Y$ is a subspsace, then *X* if connected if and only if there do not exist open sets $U, V \in Y$ such that

$$X \cap U \neq \emptyset, X \cap V \neq \emptyset, U \cap V = \emptyset$$
, and $X \subseteq U \cap V$

Definition. A topological space X is called **path connected** when it has the property that for all $a, b \in X$, there exists a continuous map $\alpha : [0,1] \to X$ with $\alpha(0) = a$ and $\alpha(1) = b$.

It is easy to see that if *X* is path connected, then *X* is connected.

Definition. Let X be a topological space. If we define a relation \sim on C by taking $a \sim b$ if and only if there exists a connected subspace $A \subseteq X$ with $a \in A$ and $b \in B$.

It is clear that this is an equivalence relation. Note that when X is a topological space, its connected components are connected, and each connected subspace of X is contained in one of its connected components.

Definition. Let X be a topological space. Define a relation \approx on X by $a \approx b$ if and only if there exists a continuous map $\alpha : [0,1] \to X$ with $\alpha(0) = a$ and $\alpha(1) = b$. Such a map α is called a **continuous path**.

One can show that if X is **locally path connected** (which means that X has a basis for its topology which consists of path connected sets), then the path components of X are equal to the connected components of X, and that these components are open.

QUOTIENT TOPOLOGY

Definition. (Quotient Topology) Let X be a topological space and let \sim be an equivalence relation on X. The set of equivalence classes is denoted X/\sim , and X/\sim is called the **quotient** of X by \sim . The map $\pi: X \to X/\sim$ given by $\pi(a) = [a]$ is called the natural **projection map** or **quotient map**. We define the **quotient topology** on X/\sim by stipulating that for $W \subseteq X/\sim$, W is open in X/\sim if and only if $\pi^{-1}(W)$ is open in X.

When a group G acts on a topological space X, we define an equivalence relation \sim on X by $a \sim b$ if and only if $b = g \cdot a$ for some $g \in G$. The equivalence classes are orbits. In this context, we also write X/\sim as X/G.

When X, Y are any toplogical spaces and $\pi: X \to Y$ is surjective, we can define an equivalence relation X by $a \sim b$ if and only if $\pi(a) = \pi(b)$. We then have a natural bijection from Y to X/\sim in which $y \in Y$ corresponds to the fibre $\pi^{-1}(y) \in X/\sim$.

If *Y* has the topology such that for $W \subseteq Y$, *W* is open in *Y* if and only if $q^{-1}(W)$ is open in *X*. In this case, we also use the terminology "quotient map" for π .

Remark. Let X be a topological space and let \sim be an equivalence relation on X. Let Y be any set. If $f: X \to Y$ is constant on the equivalence classes, then f induces a well-defined map $\overline{f}: X/\sim \to Y$ given by define $\overline{f}([a]) = f(a)$.

Example. Define an equivalence class on $[0,1] \subseteq \mathbb{R}$ by $s \sim t$ if and only if s = t or $\{s,t\} = \{0,1\}$. Then $[0,1]/\sim \cong \mathbb{S}^1$. Define $f:[0,1] \to \S^1$ by $f(t) = e^{i2\pi t}$. Note that f(0) = f(1), so f induces a continuous map $\overline{f}:[0,1]/\sim \to \mathbb{S}^1$. The inverse map can be constructed as follows. We define $g:\mathbb{S}^1 \to [0,1]/\sim$ by

$$g(x,y) = \begin{cases} \left[\frac{1}{2\pi} \cos^{-1} x \right] & : y \ge 0\\ 1 - \frac{1}{2\pi} \cos^{-1} x \right] & : y \le 0 \end{cases}$$

Then *g* is continuous by the Glueing lemma.

In particular, the same proof shows that \mathbb{R}/\mathbb{Z} is homeomorphic to \mathbb{S}^1 .

Example. The projective space $\mathbb{P}^n = \mathbb{P}^n(\mathbb{R})$ can be defined in several ways. \mathbb{P}^n is the set of all 1-dimensional vector subspaces of \mathbb{R}^{n+1} , or $\mathbb{P}^n = \mathbb{R}^{n+1} \setminus \{0\} / \mathbb{R}^{\times}$, or $\mathbb{P}^n = \mathbb{S}^n / \pm 1$ where $\mathbb{S}^n = \{u \in \mathbb{R}^{n+1} : |u| = 1\}$.

Let us show that $\mathbb{R}^{n+1} \setminus \{0\}/\mathbb{R}^{\times}$ is homeomorphic to $\mathbb{S}^n/\pm 1$. Define $f: \mathbb{R}^{n+1} \setminus \{0\} \to \mathbb{S}^n$ by f(x) = x/|x|, and $g = \pi \circ f$. Then g is given by $g(x) = \{\pm x/|x|\}$. Note that for $t \in \mathbb{R}^{\times}$,

$$g(tx) = \left[\frac{t}{|t|} \cdot \frac{x}{|x|}\right] = \left[\frac{x}{|x|}\right]$$

since $t/|t| = \pm 1$. Thus g induces a continuous map \overline{g} on the quotient. We construct the inverse map in a similar way.

Definition. Let *X* be a topological space. Then

- X is **T1** when for all $a, b \in X$ there exists an open set U in X with $a \in U$ and $b \notin U$
- *X* is **T2** or **Hausdorff** when for all $a, b \in X$, there exist disjoint open sets $U, V \subseteq X$ with $a \in U$ and $v \in B$
- *X* is **T3** or **regular** when *X* is T1 and for every $a \in X$ and every closed set $B \subseteq X$ with $a \notin B$, there exist open sets $U, V \subseteq X$ with $a \in U, B \subseteq V$.
- *X* is **T4** or **normal** when *X* is T1 and for all disjoint closed sets $A, B \subseteq X$ there exist disjoint open sets $U, V \subseteq X$ with $A \subseteq U$ and $B \subseteq V$.

Definition. Let *X* be a topological space.

- *X* is **first countable** when for every $a \in X$, there exists a countable set B_a of open sets in *X* which contain *a* such that for every open set *W* in *X* with $a \in W$, there exists $U \in \mathcal{B}_a$ with $a \in U \subseteq W$.
- *X* is **second countable** when there exists a countable basis for the topology on *X*.

Example. (i) X is T1 if and only if every 1-point subset of X is closed in X

- (ii) Every compact Hausdorff space is regular.
- (iii) Every second countable regular space is normal.
- (iv) Every metric space is normal.
- (v) If *X* is second countable, then every open cover admits a countable subcover.
- (vi) Every secound countable space *X* contains a countable dense subset.
 - **1.2 Lemma.** (Urysohn) If X is normal and $A, B \subseteq X$ are disjoint and closed, then there is a countinuous function $f: X \to [0,1]$ such that $f(A) = \{0\}$ and $f(B) = \{1\}$.
 - **1.3 Theorem.** (Tietze Extension) If X is normal and $f: A \to \mathbb{R}$ is continuous for some $A \subseteq X$ closed, then there exists a continuous map $F: X \to \mathbb{R}$ such that $F|_A = f$ and $\sup_{a \in A} |f(a)| = \sup_{x \in X} |F(x)|$.

1.4 Theorem. (Urysohn's Metrization) If X is second countable and regular, then X is metrizable.

Definition. An **n-dimensional topological manifold** is a Hausdorff, second countable topological space M which is **locally homeomorphic** to \mathbb{R}^n , meaning for every $p \in M$, there exists an open set $U \subseteq M$ with $p \in U$ and an open set $V \subseteq \mathbb{R}^n$ and a homeomorphism $\phi : U \subseteq M \to V \subseteq \mathbb{R}^n$. Such a homomorphism ϕ is called a **(local) coordinate chart** or **chart** on M at p. The domain U of a chart $\phi : U \subseteq M \to \phi(U) \subseteq \mathbb{R}^n$ is called a (local) **coordinate neighbourhood** at p. Note that we can choose a set of charts

$$\mathcal{A} = \{ \phi_k : U_k \subseteq M \to \phi_k(U_k) : k \in K \}$$

where K is any non-empty set such that $M = \bigcup_{k \in K} U_k$. Such a set of charts is called an **atlas** for M.

Definition. Two charts are called $\phi: U \to \phi(U)$ and $\psi: V \to \psi(V)$ are called **(smoothly) compatible** when either $U \cap V = \emptyset$ or $\phi^{-1} \circ \psi$ and $\psi \circ \phi^{-1}$ are smooth (meaning partial derivatives of all orders exist). We say that an atlas is **smooth** if every pair of charts is compatible.

Note that a smooth atlas \mathcal{A} on M can be extend to a unique maximal smooth atlas \mathcal{M} on M by adding to \mathcal{A} every possible homeomorphism $\psi:U\subseteq M\to \phi(U)\subseteq\mathbb{R}^n$ which is compatible with all of the existing charts (since if ψ and χ are both compatible with every chart $\psi\in\mathcal{A}$, then ψ and χ will be compatible with each other). The maps $\psi\circ\phi^{-1}$ are called **transition maps** or **change of coordinate maps**. A maximal smooth atlas \mathcal{M} on M is called a **smooth structure** on M.

Definition. An n-dimensional smooth (or C^{∞}) manifold is an n-dimensional topological manifold with a smooth structure.

Remark. A topological manifold can have different smooth structures. For example, take $\mathcal{A} = \{\phi\}$ where $\phi : \mathbb{R} \to \mathbb{R}$ is the identity map, and $\mathcal{B} = \{\psi\}$ where $\psi : \mathbb{R} \to \mathbb{R}$ is a homeomorphism given by $\psi(x)x^3$, since $\sqrt[3]{x}$ is not smooth at the origin.

What if we tried $\mathcal{B} = \{\psi\}$ where $\psi : \mathbb{R} \to \mathbb{R}$ is a homeomorphism which is not C^{∞} ? This is trivially a smooth atlas.

Typically, a manifold is given with a standard smooth structure.

Remark. We can give a smooth manifold M an (at most countable) atlas of charts all of which are of one of the forms

- $\phi: U \subseteq M \rightarrow B(0,1)$
- $\phi: U \subseteq M \rightarrow (0,1)^n$
- $\phi: U \subseteq M \to \mathbb{R}^n$

Note that the maximal atlas \mathcal{M} is determined from any subset $\mathcal{A} \subset \mathcal{M}$ such that the domains of the charts in \mathcal{A} cover \mathcal{M} .

Definition. Let M be an m-dimensional smooth manifold and N be an n-dimensional smooth manifold and let $f: M \to N$ be a function. Then we say f is smooth **smooth** at p when for some (hence for any) chart at ϕ on M at p and for some (hence any) chart ψ on N at f(p), the map $\phi^{-1} \circ f \circ \psi$ is smooth at $x = \phi(p)$, and f is **smooth** if f is smooth at ever $p \in M$. We say that f is a **diffeomorphism** when f is invertible and both f and f^{-1} are smooth. We say that f and f are **diffeomorphic**, and write f is f in f and f and f if f is a diffeomorphism f in f in

Remark. If is conceivable that a topological manifold M could be both of dimension n and of dimension m with $n \neq m$. To do this, we would need to have a homeomorphism from an open set in \mathbb{R}^n to an open set in \mathbb{R}^m . In fact, this cannot happen by invariance of domain, proven using tools from algebraic topology.

When M is smooth, it is easy to see that this cannot happen. If $\psi \circ \phi^{-1}$ and $\phi \circ \psi^{-1}$ were smooth inverses, then the matrices $D(\psi \circ \phi^{-1})(\phi(p))$ and $D(\phi \circ \psi^{-1})(\psi(p))$ would be inverse matrices. But then a product of a matrix in $M_{m \times n}(\mathbb{R})$ and in $M_{n \times m}(\mathbb{R})$ cannot be inverses when $m \neq n$.

Remark. Manifolds are sometimes constructed using quotient constructions. These quotients can be given by polygons with pairs of edges identified up to orientation.

There are other kinds of manifolds (other than C^{∞} manifolds); for example, one can define C^k manifolds, or analytic C^{ω} manifold has an atlas in which the transition maps are analytic.

Example. 1. \mathbb{R}^n is a smooth n-dimensional manifold. It can be given an atlas consisting of 1 chart, the identity map.

- 2. Any n-dimensional vector space over \mathbb{R} is a smooth n-dimensional manifold. It can be given an atlas with one chart. If $\{u_1, \ldots, u_n\}$ is a basis for V, then one can define $\phi: V \to \mathbb{R}^n$ by $\phi(\sum t^i u_i) = (t^1, \ldots, t^n) = t \in \mathbb{R}^n$.
- 3. Every open subset of a smooth n-dimensional manifold is also a smooth n-dimensional manifold
- 4. $M_{n\times m}(\mathbb{R})$ is an $n\cdot m$ -dimensional manifold with pointwise \mathbb{R}^{nm} structure.
- 5. $\{A \in M_{n \times m}(\mathbb{R}) : \operatorname{rank}(A) = \min\{n, m\}\}\$ is a smooth manifold with one chart, since it is an open submanifold of $M_{n \times m}$. Suppose n > m; then take all $n \times n$ submatrices which have non-zero determinant (open by continuity of det), and maximal rank means that A is contained in one of these open subsets.
- 6. The disjoint union of countably many n-dimensional smooth manifolds.
- 7. The cartesian product of finitely many smooth manifolds is a smooth manifold. Let $\dim(M_k) = n_k$; the $\dim(M_1 \times \cdots \times M_\ell) = \sum_{k=1}^\ell n_k$. If $\phi_k : U_k \subseteq M_k \to \phi_k(U_k) \subseteq \mathbb{R}^{n_k}$ is a chart on M_k , then $\chi_k : \prod_{k=1}^\ell U_k \to \prod_{k=1}^\ell \mathbb{R}^{n_k}$ given by $\chi_k(p_1, \dots, p_\ell) = (\phi_1(p), \dots, \phi_\ell(p))$ is a chart in $M_1 \times \cdots \times M_\ell$.
- 8. One can show that \mathbb{S}^n is a smooth n-dimensional manifold.

Remark. For $A \in M_{n \times m}(\mathbb{R})$, we denote the entry in the k^{th} row and ℓ^{th} column by A_{ℓ}^k .

Example. \mathbb{S}^n is an example of an n-dimensional smooth manifold. It can, for example, be given a smooth atlas which contains 2(n+1) charts as follows. For $1 \le k \le n+1$, let

$$U_k = \{x \in \mathbb{S}^n : x^k > 0\}$$

$$\phi_k : U_k \to B(0,1) \subseteq \mathbb{R}^n$$

$$\phi_k(x) = (x^1, \dots, x^{k-1}, x^{k+1}, \dots, x^{n+1})$$

$$\phi_k^{-1}(t^1, \dots, t^n) = \left(t_1, \dots, t^{k-1}, \sqrt{1 - \sum_i (t^i)^2}, t^k, \dots, t^n\right)$$

and the corresponding opposite charts for $x^k < 0$. Note that \mathbb{S}^n is a metric space. It has 2 standard metrics: eithre the one inherited from \mathbb{R}^n , or the arclength distance $d_s(U,v) = \cos^{-1}(u \cdot v)$.

We can also given \mathbb{S}^n an atlas which only uses 2 charts, by stereographic projection from a north pole and a south pole.

This stereographic projection also shows that the rational points on the sphere are dense in \mathbb{S}^n , via the map

$$\phi(x) = \alpha \left(\frac{1}{1 - x^{n+1}} right \right) = \left(\frac{x^1}{1 - x^{n+1}}, \dots, \frac{x^n}{1 - x^{n+1}} \right)$$

One can also find ϕ^{-1} and verify that they are both rational functions. In particular, $\phi^{-1}(\mathbb{Q}^n) \subseteq \mathbb{S}^n$ is dense.

Example. The projective space $\mathbb{P}^n = \mathbb{P}^n(\mathbb{R})$ is commonly defined in at least 3 ways:

$$\mathbb{P}^{n} = \{1\text{-dimensional subspaces of } \mathbb{R}^{n+1}\}$$

$$\mathbb{P}^{n} = \mathbb{R}^{n+1} \setminus \{0\} / \mathbb{R}^{\times} = \{[x] : 0 \neq x \in \mathbb{R}^{n+1}\}, [x] = \{tx : t \in \mathbb{R}^{\times}\}$$

$$\mathbb{P}^{n} = \mathbb{S}^{n} / \pm 1$$

We can given \mathbb{P}^n a smooth atlas with n+1 charts as follows: for $1 \le k \le n+1$, set

$$U_k = \{ [x] \in \mathbb{P}^n : x^k \neq 0 \}$$

$$\phi_k : U_k \to \mathbb{R}^n, \phi_k([x]) = \left(\frac{x^1}{x^k}, \dots, \frac{x^{k-1}}{x^{k-1}}, \frac{x^{k+1}}{x^{k+1}}, \dots, x^{n+1} x^k \right)$$

with
$$\phi_k^{-1}(t_1,...,t^n) = [(t_1,...,t^{k-1},1,t^k,...,t^n)].$$

Examples of Smooth Maps

- The inclusion $f: \mathbb{S}^n \to \mathbb{R}^{n+1}$ given by f(x) = x
- The quotient map $f: \mathbb{R}^{n+1} \setminus \{0\} \to \mathbb{P}^n$
- The exponential map $f: \mathbb{R} \to \mathbb{S}^1$ given by $f(t) = e^{i2\pi t}$, or more generally $f: \mathbb{R}^n \to \mathbb{T}^n$ given by $f(t^1, ..., t^n) = (e^{2\pi i t^1}, ..., e^{2\pi i t^n})$
- The determinant map $f: M_n(\mathbb{R}) \to \mathbb{R}$ given by $f(A) = \det(A)$ is smooth
- For $A \in M_n(\mathbb{R})$, left and right multiplication by A, the transpose map, and the inverse map $f(A) = A^{-1}$ are smooth.

PARTITIONS OF UNITY

1.5 Lemma. Every open cover of a manifold has an (at most) countable subcover.

PROOF Let S be any open cover of M, and let B be a countable basis for the topology on M. For each $p \in M$, choose $U_p \in S$ with $p \in U_p$, then choose $B_p \in B$ with $p \in B_p \subseteq U_p$. Then $\{B_p : p \in M\} \subseteq B$ is an open cover of M, and it is a subset of B, so it is (at most) countable; but then $\{U_p : p \in M\}$ gives an at most countable subcover of B.

As a result, every manifold has a countable basis \mathcal{B} such that for each $B \in \mathcal{B}$, there is a chart $\phi: U \to \phi(U)$ on M with $\phi(U) = B(0,2)$ and $\phi(B) = B(0,1)$.

- **1.6 Lemma.** Let M be a manifold, and let S be any open cover of M. Then there exists an at most countable open cover B of M such that
 - 1. for each $B \in \mathcal{B}$ there is a chart $\phi_B : C_B \to \phi_B(C_B) = B(0,1)$ with $B \subseteq C_B \subseteq U_B \subseteq S$ for some $U_B \in S$ and $\phi_B(B) = B(0,1)$.

2. $\{C_B : B \in \mathcal{B}\}\$ is locally finite, meaning that every point in M has an open neighbourhood which only intersects with finitely many of the sets C_B , $B \in \mathcal{B}$ (and hence also the sets \overline{B} , $B \in \mathcal{B}$).

PROOF Choose a countable set $V = \{V_1, V_2, ...\}$ of regular coordinate balls which cover M with charts $\phi_i : W_i \to \phi_i(W_i) = B(0, 2)$ such that $V_i = \phi_i^{-1}(B(0, 1))$. We use the sets V_i to construct a strongly ascending chain of compact sets K_i in M with $K_i \subseteq H_{i+1}^{-1}$ for each i, and $M = \bigcup_{i=1}^{\infty} K_i$ as follows:

- Let $K_i = \overline{V_1}$; since K_1 is compact, we can choose $\ell_1 \in \mathbb{N}$ so that $K_1 \subseteq V_1 \cup \cdots \cup V_{\ell_1}$.
- Then we let $K_2 = \overline{V_1 \cup \cdots \cup V_{\ell_1}}$. Since K_2 is compact, we can choose $\ell_2 > \ell_1$ so that $K_2 \subseteq V_1 \cup \cdots \cup V_{\ell_2}$, and set $K_3 = \overline{V_1 \cup \cdots V_{\ell_2}}$.

Repeat the above process to obtain $K_1 \subseteq K_2^\circ \subseteq K_2 \subseteq K_3^\circ \subseteq \cdots$ with $\bigcup_{i=1}^k K_i = M$. For each $m \in \mathbb{N}$, note that $K_{m+1} \setminus K_m^\circ$ is compact and contained in the open set $K_{m+2} \setminus K_{m-1}$ (with $K_0 = \emptyset$). For each $p \in K_{m+1} \setminus K_m^\circ$, choose $U_p \in \mathcal{S}$ with $p \in U_p$ and then choose a regular coordinate ball B_p and a chart $\phi_p : C_p \subseteq M \to \phi_p(C_p) = B(0,2) \subseteq \mathbb{R}^n$ with $\phi_p(B_p) = B(0,1)$ and $C_p \subseteq U_p \cap (K_{m+2}^\circ \setminus K_{m-1})$. The coordinate balls B_p , $p \in K_{m+1} \setminus K_m^\circ$ cover the compact set $K_{m+1} \setminus K_m^\circ$, so we can choose a *finite* set \mathcal{B}_m of such regular coordinate balls B_p so that $K_{m+1} \setminus K_m^\circ \subseteq \cup \mathcal{B}_m \subseteq K_{m+2}^\circ \setminus K_{m-1}$.

 $K_{m+1} \setminus K_m^{\circ} \subseteq \cup \mathcal{B}_m \subseteq K_{m+2}^{\circ} \setminus K_{m-1}$. Now, the set $\mathcal{B} = \bigcup_{m=1}^{\infty} \mathcal{B}_m$ is a countable set of such regular coordinate balls. Note that for each $B \in \mathcal{B}$, we have chart $\phi_B : C_B \to \phi_B(C_B) = B(0,2)$ and the set $\{C_B : B \in \mathcal{B}\}$ is locally finite since every point in M is contained in one of the sets $K_{m+2}^{\circ} \setminus K_{m-1}$ and each of these sets only intersects with the coordinate balls from the finite sets \mathcal{B}_l with $m-2 \le l \le m+2$.

1.7 Theorem. (Partitions of Unity) Let M be a smooth manifold, and let S be any open cover of M. There exists a set $\{\psi_u : u \in S\}$ of smooth maps $\psi_u : M \to \mathbb{R}$ such that

- 1. $\psi_u(M) \subseteq [0,1]$ for each $u \in S$
- 2. $supp(\psi_u) \subseteq U$ for ech $U \in S$
- 3. $\{\operatorname{supp}(\psi_u): u \in \mathcal{S}\}\$ is locally finite: every point in M contains an open neighbourhood whicl only intersects finitely many of the sets $\operatorname{supp}(\psi_n)$, $u \in \mathcal{S}$
- 4. $\sum_{u \in \mathcal{S}} \psi_u = 1$

Such a set of functions $\{\psi_u : u \in \mathcal{S}\}$ is called a (smooth) **partition of unity** on M for \mathcal{S} (or **subordinate** to \mathcal{S}).

PROOF Let \mathcal{B} be a countable set of regular coordinate balls as in the previous lemma. Recall that the function $f: \mathbb{R} \to \mathbb{R}$ given by

$$f(t) = \begin{cases} e^{1/t} & : t < 0 \\ 0 & : t \ge 0 \end{cases}$$

is smooth, so the function $g : \mathbb{R}^n \to \mathbb{R}$ given by $g(x) = f(|x|^2 - 1)$ is smooth with g(x) > 0 for |x| < 1 and g(x) = 0 for $|x| \ge 1$. For each $B \in \mathcal{B}$, we define a smooth bump function $\sigma_B : M \to \mathbb{R}$ by

$$\sigma_B(p) = \begin{cases} g(\phi_B(p)) & : p \in B \\ 0 & : p \notin B \end{cases}$$

where $\phi_B : C_B \subseteq M \to \phi_B(C_B) = B(9,2)$ with $\phi_B(B) = B(0,1)$ as in the previous lemma. Note that $\sigma(B)$ is smooth with $\sigma_B(p) > 0$ for $p \in B$ and $\sigma_B(p) = 0$ for $p \notin B$. Now for each $B \in \mathcal{B}$,

define $\tau'_B: M \to \mathbb{R}$ by

$$\tau_B = \frac{\sigma_B}{c \in \mathcal{B}\sigma_c}$$

Note that $\sum_{c \in \mathcal{B}} \sigma_c$ is well-defined by the local finiteness of \mathcal{B} and $\sum_{c \in \mathcal{B}} \sigma_c(p) > 0$. Furthermore, note that $\tau_B(p) > 0$ for all $p \in \mathcal{B}$, and $\tau_B(p) = 0$ for all $p \notin \mathcal{B}$, and $\sum_{B \in \mathcal{B}} \tau_B = 1$. Then define $\rho_V : M \to \mathbb{R}$ by $\rho_V = \sum_{B \in \mathcal{B}_V} \tau_B$.

2 Immersions, Embedding, Submanifolds

- **2.1 Theorem.** (Inverse Function Theorem) Let $U \subseteq \mathbb{R}^n$ be open, $p \in U$, and $f : U \subseteq \mathbb{R}^n \to \mathbb{R}^n$ be smooth and suppose Df(p) is invertible. Then f is a local diffeomorphism.
- **2.2 Corollary.** Let n < m and $U \subseteq \mathbb{R}^n$ be open, and let $p \in U$, and $f : U \subseteq \mathbb{R}^n \to \mathbb{R}^n$ be smooth and suppose Df(p) has rank n. Then the range of f is locally equal to the graph of a smooth function. Such a map f is called a local **immersion** at p.

PROOF Since Df(p) is an $m \times n$ matrix of rank n, some n rows of Df(p) form an invertible submatrix. Reorder the variables in \mathbb{R}^m (if necessary) so that the top n rows form an invertible matrix. Write elements in $U \subseteq \mathbb{R}^n$ as t and write elements of \mathbb{R}^m as (x,y). Also write (x,y) = f(t) = (u(t),v(t)) so

$$Df = \begin{pmatrix} \frac{\partial u}{\partial t} \\ \frac{\partial v}{\partial t} \end{pmatrix}$$

with $\frac{\partial u}{\partial t}(p)$ invertible. Then by the inverse function theorem, u(t) is a local diffeomorphism. Say $u:U_0\subseteq U\to V_0\subseteq \mathbb{R}^n$ is the diffeomorphism, and let $g:V_0\to U_0$ be its inverse. Then the range of f is locally equal to the graph of the function y=v(g(x))=:h(x). If $(x,y)\in\Gamma(f)$ with (x,y)=f(t)=(u(t),v(t)), then since x=u(t) we have t=g(x) so y=v(t)=v(g(x))=k(x). If $(x,y)\in\Gamma(k)$, then y=k(x)=v(g(x)) and we can choose t=g(x) to get x=u(t) and y=v(g(x))=v(t) so that (x,y)=(u(x),v(t))=f(t).

- **2.3 Theorem. (Implicit Function)** Let n < m, $U \subseteq \mathbb{R}^m$ be open, $p \in U$, and $f : U \subseteq \mathbb{R}^m \to \mathbb{R}^n$ be smooth. Suppose Df(p) has rank n and let q = f(p). Then the level set $f^{-1}(q)$ is locally equal to a graph of a smooth function.
- **2.4 Theorem.** Let $U \subseteq \mathbb{R}^n$ be open with $p \in U$, let $f: U \to \mathbb{R}^m$ be smooth with $f(p) = q_i$ and suppose that Df has constant rank r in U. Then the level set (or fibre) $f^{-1}(q)$ is locally equal to the graph of a smooth function (with n-r independent variables and r dependent variables).

PROOF Since Df is an $m \times n$ matrix of rank r, there is some $r \times r$ submatrix of Df(p) which is invertible; without loss of generality, it is the upper left submatrix. Write elements in \mathbb{R}^n as (x,y) with $x \in \mathbb{R}^r$ and $y \in \mathbb{R}^{n-r}$ and write elements in \mathbb{R}^m as (u,v) with $u \in \mathbb{R}^r$ and $v \in \mathbb{R}^{m-r}$, with say p = (a,b) and q = f(p) = (c,d). Then we have (u,v) = f(x,y) = (u(x,y),v(x,y)) so that

$$Df = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix}$$

with $\frac{\partial u}{\partial x}(p) = \frac{\partial u}{\partial x}(a,b)$ being an invertible $r \times r$ matrix. Define $F: U \subseteq \mathbb{R}^m \to \mathbb{R}^m$ by F(x,y) = (u(x,y),y). Then

$$Df = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ 0 & I \end{pmatrix}$$

so that DF(p) is inertible. By the IVT, F is a local diffeomorphism, say $F: U_0 \subseteq U \subseteq \mathbb{R}^n \to V_0 \subseteq \mathbb{R}^n$ is a diffeomorphism with U_0 an open rectangular box. Let $G: V_0 \to U_0$ denote the smooth inverse of F. Note that G is of the form G(u,y) = (g(u,y),y) for some smooth function $g: V_0 \to \mathbb{R}^r$. We claim that $f^{-1}(q) = f^{-1}(c,d)$ is locally equal to the graph of x = g(c,y). First, note that

$$(u,y) = F(G(u,y)) = F(g(u,y),y) = (u(g(u,y),y),y)$$

so that, in particular, u(g(u, y), y) = u and so

$$f(G(u,y)) = (u(g(u,y),y), v(g(u,y),y)) = (u,h(u,y))$$

where h(u, y) = v(g(u, y), y). Thus

$$Df(x,y) \cdot DG(u,y) = D(f \circ G)(u,y) = \begin{pmatrix} I & 0\\ \frac{\partial h}{\partial u} & \frac{\partial h}{\partial v} \end{pmatrix}$$

Since Df has constant rank r and DG is invertible, the matrix on the right is of rank r for all $(u,v) \in V_0$. Thus it follows that $\frac{\partial h}{\partial y} = 0$ for all u,b, so that h(u,y) is independent of y and h(u,y) = h(u,b) for all y; let k(u) = h(u,b). Let us calculate k(c). We have

$$f(a,b) = (c,d) \implies (u(a,b),v(a,b)) = (c,d)$$

$$\implies u(a,b) = c$$

$$\implies F(a,b) = (u(a,b),b) = (c,b)$$

$$\implies (a,b) = G(c,b)$$

$$\implies (c,d) = f(a,b) = f(G(c,b)) = (c,h(c,b)) = (c,k(c))$$

$$\implies k(c) = d$$

Finally, let us show that $f^{-1}(c,d)$ is (locally) the graph of x = g(c,y). We have

$$(x,y) = f^{-1}(c,d) \implies f(x,y) = (c,d)$$

$$\implies u(x,y) = c \text{ and } v(x,y) = d$$

$$\implies F(x,y) = (u(x,y),y) = (c,y)$$

$$\implies (x,y) = G(c,y) = (g(c,y),y)$$

$$\implies x = g(c,y)$$

We thus have

$$x = g(c,y) \implies G(c,y) = (g(c,y),y) = (x,y)$$
$$\implies f(x,y) = f(G(x,y)) = (c,h(c,y)) = (c,k(c)) = (c,d)$$

as required.

Definition. When N and M are smooth manifolds and $f: N \to M$ is a smooth map, we say that f has **rank** \mathbf{r} at $p \in N$ when for some (hence for every) chart ϕ on N at p and for some (hence every) chart ψ on M at f(p), the matrix $D(\psi f \phi^{-1})(\phi(p))$ has rank r.

2.5 Corollary. Let N and M be smooth manifolds, with $p \in N$. Let $f: N \to M$ be smooth with $f(p) = q \in M$. Suppose f has constant rank r in an open neighbourhood of p. Then there exists a chart ϕ on N at p and a chart ψ on M at q = f(p) such that $\phi(p) = 0$ and $\psi(q) = 0$ and

$$(\psi \circ f \circ \phi^{-1})(x^1, \dots, x^r, \dots, x^n) = (x^1, \dots, x^r, 0, \dots, 0)$$

where $n = \dim(N)$ and $m = \dim(M)$.

PROOF Choose any chart ϕ_0 on N at p and any chart ψ_0 on M at q with $\phi_0(p)=0$ and $\psi_0(q)=0$. Then $D(\psi_0f\phi_0^{-1})$ has constant rank r near 0. Let ϕ_1 and ψ_1 be linear permutation maps so that the upper left $r\times r$ submatrix of $D(\psi,\psi_0,f\phi_0^{-1}\phi_1^{-1})(0)$. Say $f_1=\psi_1\psi_0f\phi_0^{-1}\phi_1^{-1}$. Let F,G,f_1 be as in the proof of the rank theorem (for the function f_1). Let us verify that for the charts $\phi=F\phi_1\phi_0$ and $\psi=H\psi_1\psi_0$ where H(u,v)=(u,v-k(u)) we have $(\psi f\phi^{-1})(u,y)=(u,0)$.

2.6 Corollary. When $f: M \to N$ is a smooth map of smooth manifolds with constant rank r in M, for $q \in \text{im } f$, the level set (fibre) $f^{-1}(q)$ can be given charts (obtained from canonical charts) to make it a smooth (dim M-r)-dimnsional manifold.

Definition. Let N and M be smooth manifolds (of dimensions m and n). A smooth map $f: N \to M$ is called a (smooth) **immersion** when f has rank n in N. An **immersed submanifold** of M is the image of an immersion $f: N \to M$ or the image of an injective immersion $f: N \to M$.

Note that when $f: N \to M$ is injective, we can give the image f(N) a smooth atlas which mapes $f: N \to f(N)$ a diffeomorphism. When we do this, the resulting topology on $f(N) \subseteq M$ does not necessarily agree with the subspace topology of M.

Definition. An **embedded submanifold** of M is a subset $N \subseteq M$ which is a smoth manifold such that the inclusion map $f: N \to M$ (given by f(p) = p) is an immersion such that the topology in the previous remark agrees with the subspace topology.

When $f: M \to N$ is a smooth map of smooth manifolds of constant rank r and $q \in \text{im } f$, the level set $f^{-1}(q)$ is an embedded submanifold of M.

3 Non-Commutative Module Theory

Let *R* be a ring with unity and (M, +) an abelian group. We can equip End(M) with a ring structure given by (f + g)(x) = f(x) + g(x) and fg(x) = f(g(x)).

Definition. A (left) R-module is an abelian group (M,+) equipped with a unitary ring homomorphism $\alpha: A \to \operatorname{End}(M)$.

This map α defines a multiplication between elements of r and m given by $rm = \alpha(r)(m)$. *Example.* (i) If F is a field, a F-module is a F-vector space.

- (ii) M is a \mathbb{Z} -module if and only if M is an abelian group.
- (iii) *R* is an *R*–module (left multiplication)
- (iv) If *I* is a left ideal of *R*, then *I* is a left *R*–module.

- (v) $R = M_n(F)$, and $V = F^n$. Then V is an R-module.
- (vi) Let R be a ring and I a left ideal of R. Then $R/I = \{a+I : a \in R\}$, so R/I is an R-module with r(a+I) = ra + I.

Let M be an R-module. We say a subgroup (N,+) of (M,+) is an R-submodule of M if N is $\alpha(r)$ -invariant for each $r \in R$.

Definition. Let G be a finite group and F a field. We define the group algebra $F[G] = \{\alpha_1 g_1 + \dots + \alpha_n g_n : \alpha_i \in F\}$ equipped with G-pointwise addition and multiplication $ag_i \cdot bg_j = (ab)g_ig_j$, extended by distributivity.

Example. Let M be a $\mathbb{C}[G]$ -module. Then M is also a \mathbb{C} -vector space, and $\rho: G \to \mathrm{GL}(M)$ given by $\rho(g)(m) = gm$ is a representation.

Example. If $\rho: G \to \operatorname{GL}(V)$ be a representation, the ρ induces a $\mathbb{C}[G]$ -multiplication on V, making V a $\mathbb{C}[G]$ -module. Moreover, if $N \le M$ is a submodule, then it is $\rho(cg)$ -invariant for any $cg \in \mathbb{C}[G]$ if and only if N as a subspace of M is G-stable.

To be precise, we have $cg \cdot v = \rho(g)(cv)$. In fact, there is an isomorphic of categories from representations of G and $\mathbb{C}[G]$ -modules.

Definition. Let N, M be R-modules. We say $\psi : N \to M$ is a (module) homomorphism if ϕ commutes with the structures on N and M.

If $\phi: N \to M$ is a homomorphism where N, M are $\mathbb{C}[G]$ -modules, with multiplication maps ρ and τ . Then $\phi \circ \rho = \tau \circ \phi$, in other words that it is an intertwining map.

Remark. When $N \subseteq M$ is an embedded submanifold,

- If $f: M \to K$ is smooth, then the restriction $f: N \to K$ is smooth
- If $f: K \to M$ is smooth and $f(K) \subseteq N$, then $f: K \to N$ is smooth

Example. $_n(R)$ is a smooth manifold. Recall that $\mathrm{GL}_n(\mathbb{R})$ is a smooth n^2 -dimensional manifold, since it is open in the n^2 -dimensional vector space $M_n(\mathbb{R})$. We have $_n(\mathbb{R}) = f^{-1}(\{1\})$ where f is the determinant evaluation map. Then for fixed ℓ , $\det X = \sum_{i=1}^n (-1)^{i+\ell} X_\ell^i \deg X_{(\ell)}^{(i)}$, where $X_{(l)}^{(i)}$ is the matrix obtained from X by removing row i and column j. We have

$$Df = \left(\mathbb{P}fx_1^1, \dots, \frac{\partial f}{\partial x_n^n}\right) \in M_{1 \times n^2}(\mathbb{R})$$

with $\frac{\partial f}{\partial x_{\ell}^k} = (-1)^{k+\ell} \det X_{(\ell)}^{(k)}$, so that Df = 0 if and only if $\det X = 0$. Thus f has contant rank 1, so $_n(\mathbb{R}) = f^{-1}(1)$ is an embedded submanifold of $M_n(\mathbb{R})$ of dimension.

4 TANGENT VECTORS

Definition. A vector u in \mathbb{R}^n at a point $a \in \mathbb{R}^n$ is an ordered pair (a, u).

Definition. Let M be a smooth manifold and let $p \in M$. A **tangent vector** on M at p is a set of vectors $X = \{\phi_* x : \phi \text{ is a chart on } M \text{ at } p\}$, where $\phi_* x$ is a vector in \mathbb{R}^n at the point $x = \phi(p)$ such that when ϕ and ψ are two charts on M at p, we have $\psi_* X = D(\psi \phi^{-1})(\phi(p))\phi_* X$.

The set of all tangent vectors on M at p is denoted by T_pM . Note that T_pM is an n-dimensional vector space. When $I \subseteq \mathbb{R}$ is an open interval, $s \in I$, and $\alpha : I \subseteq \mathbb{R} \to M$ is a smooth map with $\alpha(s) = p$, we define $\alpha'(s)$ to be the tangent vector $\alpha'(s) \in T_pM$

given by $\phi_*\alpha'(s) = \beta'(s)$ where $\beta(t) = \phi(\alpha(t))$. Note that, by the chain rule, we do have $\phi_*\alpha'(s) = D(\psi\phi^{-1})\phi_*\alpha'(s)$.

When ϕ is a chart on M at p, we often write

$$x = x(p) = \phi(p) = (\phi^{1}(p), \dots, \phi^{n}(p)) = (x^{1}(p), \dots, x^{n}(p))$$

(so each $x^k = \phi^k$ is a function $x^k, \phi^k : U \subseteq M \to \mathbb{R}$. When ψ is another chart and we write $y = \psi(p)$, we often write $y = y(x) = (\psi \phi^{-1})(x) = (y^1(x), \dots, y^n(x))$ and we write

$$\frac{\partial y}{\partial x} = \begin{pmatrix} \frac{\partial y^1}{\partial x^1} & \cdots & \frac{\partial y^1}{\partial x^n} \\ \vdots & & \vdots \\ \frac{\partial y^n}{\partial x^1} & \cdots & \frac{\partial y^n}{\partial x^n} \end{pmatrix}$$

With this notation, if $u=\phi_*X$ and $v=\psi_*X$, then $v=D(\psi\phi^{-1})u=\frac{\partial y}{\partial x}u$, so $V^k=\sum_{i=1}^n\frac{\partial y^k}{\partial x^i}u^i$. **Definition.** Let $f:M\to N$ be a smooth map of smooth manifolds with $p\in M$. We define the **induced map** or the **pushforward** f_* or the **differential** df to be the map $f_*=df:T_pM\to T_{f(p)}N$ given as follows. Given $X\in T_pM$, choose $\alpha:(-\epsilon,\epsilon)\to M$ smooth with $\alpha(0)=p,\alpha'(0)=X$, when we let $\beta(t)=f(\alpha(t))$ and define $df(x)=f_*(x)=\beta'(0)$. Given a chart ϕ on M at p and ψ on N at f(p), if $u=\phi_*X$ and $V=\psi_*(f_*x)$, then verify that $v=D(\psi f\phi^{-1})(\phi(p))u$.