

REPLACE

Alex Rutar*
University of Waterloo

REPLACE[†]

*arutar@uwaterloo.ca

[†]Last updated: September 20, 2019

Contents

Chapter I REPLACE

I. REPLACE

1. For $a, b, k \in \mathbb{N}$,

$$\binom{a+b}{k} = \sum_{j=1}^k \binom{a}{j} \cdot \binom{b}{k-j} \quad (0.1)$$

We prove this with a bijection:

$$\mathcal{B}(a+b, k) \rightleftharpoons \bigcup_{j=0}^k \mathcal{B}(a, j) \times \mathcal{B}(b, k-j)$$

given by $S \mapsto (S \cap \{1, \dots, a\}, (S \cap \{a+1, \dots, a+b\})^{(-a)})$ and $(P, Q) \mapsto P \cup Q^{(a)}$, where $\mathcal{B}(n, i)$ is the set of i -element subsets of $\{1, 2, \dots, n\}$ and for $C \subseteq \mathbb{Z}$ and $q \in \mathbb{Z}$, $C^{(q)} = \{c+q : c \in C\}$. Note that the equation in fact gives the polynomial identity

$$\binom{x+y}{k} = \sum_{j=0}^k \binom{x}{j} \binom{y}{k-j}$$

in $\mathbb{Q}[x, y]$. We denote the falling factorial $(x)_i = x(x-1)(x-2)\cdots(x-i+1)$, which has degree i for each $i \in \mathbb{N}$. In particular, $(x)_i = i! \binom{x}{i}$, so multiplying our identity by $k!$, we get

$$(x+y)_k = \sum_{j=0}^k \binom{k}{j} (x)_j (y)_{k-j}$$

Compare this with the standard binomial theorem

$$(x+y)^k = \sum_{j=0}^k \binom{k}{j} x^j y^{k-j}$$

These are called sequences of binomial type.

2. Here's another identity. For $n \geq 0$ and $s, t \geq 1$,

$$\binom{n+s+t-1}{s+t-1} = \sum_{k=0}^n \binom{k+s-1}{s-1} \binom{n-k+t-1}{t-1}$$

Let $\mathcal{M}(m, r)$ denote a multiset of size m with elements of r types, so that $|\mathcal{M}(m, r)| = \binom{m+r-1}{r-1}$. Let's define a bijection

$$\mathcal{M}(n, s+t) \rightleftharpoons \bigcup_{k=1}^n \mathcal{M}(k, s) \times \mathcal{M}(n-k, t) \quad (0.2)$$

$\mu = (m_1, \dots, m_{s+t}) \mapsto ((m_1, \dots, m_s), (m_{s+1}, \dots, m_{s+t}))$ and $(v, \theta) \mapsto v\theta$. Note that if f, g are polynomials of degree d and e respectively, then $\sum_{k=0}^n f(k)g(n-k)$ is a polynomial in n of degree $d+e-1$.

Is there some way to understand (0.2)? It is unclear, with our known techniques, that this corresponds to a polynomial identity since there is a variable n in the exponent. However, we can use generating functions. Define

$$\begin{aligned} \sum_{n=0}^{\infty} \binom{n+s+t-1}{s+t-1} z^n &= \sum_{n=0}^{\infty} |\mathcal{M}(n, s+t)| z^n = \sum_{(m_1, \dots, m_{s+t})} z^{m_1 + \dots + m_{s+t}} \\ &= \left(\sum_{m=0}^{\infty} z^m \right)^{s+t} \\ &= \frac{1}{(1-z)^{s+t}} = \frac{1}{(1-z)^s} \frac{1}{(1-z)^t} \\ &= \sum_{k=0}^{\infty} \binom{k+s-1}{s-1} z^k \sum_{\ell=0}^{\infty} \binom{\ell+t-1}{t-1} z^\ell \\ &= \sum_{n=0}^{\infty} z^n \left(\sum_{k=0}^n \binom{k+s-1}{s-1} \binom{n-k+t-1}{t-1} \right) \end{aligned}$$

Similarly, (0.1) is equivalent to saying $(1+z)^{a+b} = (1+z)^a (1+z)^b$. Note that $(1+z)^n = \sum_{k=0}^n \binom{n}{k} z^k = \sum_{k=0}^{\infty} \binom{n}{k} z^k$ for $n \in \mathbb{N}$.

Can we substitute $\frac{1}{(1-q)^t} = (1+z)^n$ where $z = -q$ and $n = -t$?

3. Consider

$$(x_1 + x_2)^n = \sum_{i=0}^n \binom{n}{i} x_1^i x_2^{n-i}$$

and

$$(x_1 + x_2)^n = \sum_{f: N_n \rightarrow \{1,2\}} \prod_{j=1}^n x_{f(j)}$$

More generally, we can consider

$$(x_1 + \dots + x_k)^n = \sum_{f: N_n \rightarrow N_k} \prod_{j \in N_n} x_{f(j)}$$

If we set all $x_1 = \dots = x_k = 1$, then k^n gives the number of functions from N_n to N_k . If we set $x_i = q^i$ for all $i \in N_k$, then we get

$$\left(\frac{q - q^{k+1}}{1 - q} \right)^n = (q + q^2 + \dots + q^k)^n = \sum_{f: N_n \rightarrow N_k} q^{f(1) + \dots + f(n)}$$

Collect all the terms in $(x_1 + \dots + x_k)^n$ that produce the same monomial. Given a multiset μ with $m_1 + \dots + m_k = n$, write $x_1^{m_1} \dots x_k^{m_k} = \underline{x}^\mu$. Then

$$(x_1 + \dots + x_k)^n = \frac{n!}{m_1! \dots m_k!} \underline{x}^\mu = \sum_{\mu \in \mathcal{M}(n, k)} \binom{n}{\mu} \underline{x}^\mu$$

4. How can we interpret

$$P_n(q) = \prod_{i=1}^n (1 + q + q^2 + \cdots + q^{i-1})$$

In general, if we set $q = 1$, we see that $P_n(1) = n!$. We might hope that there is some weight function on permutations $w : \mathcal{S}_n \rightarrow \mathbb{N}$ such that $P_n(q) = \sum_{\sigma \in \mathcal{S}_n} q^{w(\sigma)}$. Recall the bijection $I_n : \mathcal{S}_n \rightarrow \mathcal{Q}_n$ from chapter 1. Let's find some weight function $v : \mathcal{Q}_n \rightarrow \mathbb{N}$ such that $\sum_{\rho \in \mathcal{Q}_n} q^{v(\rho)} = P_n(q)$, then “pull back” the definition of $v : \mathcal{Q}_n \rightarrow \mathbb{N}$ to get a definition for $w : \mathcal{S}_n \rightarrow \mathbb{N}$. Note that $\sum_{h \in \mathbb{N}_r} q^{h-1} = 1 + q + \cdots + q^{r-1}$. Thus

$$\sum_{\rho=(h_1, \dots, h_n) \in \mathcal{Q}_n} q^{(h_1-1)+(h_2-1)+\cdots+(h_n-1)} = \prod_{i=1}^n (1 + q + \cdots + q^{i-1}) = P_n(q)$$

so we can define $v(\rho) = |\rho| - n$ and $\sum_{q \in \mathcal{Q}_n} q^{|\rho| - n} = P_n(q)$. We also have

$$\sum_{\rho \in \mathcal{Q}_n} q^{(h_1-1)+\cdots+(h_n-1)} = (1 + q + \cdots + q^{n-1})(1 + q + \cdots + q^{n-2}) \cdots (1 + q)(1)$$

For notation, define $[m]_q = 1 + q + \cdots + q^{m-1} = \frac{1-q^m}{1-q}$. Then $[m]_q! = [m]_q [m-1]_q \cdots [1]_q$.

	1	q	q ²	q ³	q ⁴
$q[3]_q$	0	1	1	1	
$[2]_q[3]_q$	1	2	2	1	
$-q[2]_q[3]_q$	0	-1	-2	-2	-1
$q^2[2]_q[3]_q$	0	0	1	2	2
$[6]_q$	1	1	1	1	1

so that $[6]_q = (1 - q + q^2)[2]_q[3]_q$. An **inversion** in $\sigma = a_1 \dots a_n \in \mathcal{S}_n$ is a pair (i, j) of indices $1 \leq i < j \leq n$ with $a_i > a_j$. Define $\text{Inv}(\sigma)$ as the set of inversions of σ , and $\text{inv}(\sigma) = |\text{Inv}(\sigma)|$. Notice that if $\sigma = a_1 \dots a_n \mapsto \rho = (h_1, \dots, h_n)$, then for each $1 \leq i \leq n$, $h_i - 1$ is the number of inversions of σ with i in the first coordinate. Recall

$$\begin{aligned} \mathcal{S}_n &\cong \mathcal{B}(n, k) \times \mathcal{S}_k \times \mathcal{S}_{n-k} \\ \sigma = a_1 \dots a_n &\leftrightarrow (A, \beta, \gamma) \\ \text{inv}(\sigma) &= w(A) + \text{inv}(\beta) + \text{inv}(\gamma) \end{aligned}$$

Assuming such a weight function $w(A)$ exists, then

$$\begin{aligned} [n]_q! &= \sum_{\sigma \in \mathcal{S}_n} q^{\text{inv}(\sigma)} = \sum_{(A, \beta, \gamma)} q^{w(A) + \text{inv}(\beta) + \text{inv}(\gamma)} \\ &= [k]_q! \cdot [n-k]_q! \cdot \sum_{A \in \mathcal{B}(n, k)} q^{w(A)} \end{aligned}$$

so that

$$\sum_{A \in \mathcal{B}(n, k)} q^{w(A)} = \frac{[n]_q!}{[k]_q! \cdot [n-k]_q!} = \left[\begin{matrix} n \\ k \end{matrix} \right]_q$$