

# REPLACE

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REPLACE<sup>†</sup>

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# Contents

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**Chapter I      REPLACE**



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# I. REPLACE

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1. For  $a, b, k \in \mathbb{N}$ ,

$$\binom{a+b}{k} = \sum_{j=1}^k \binom{a}{j} \cdot \binom{b}{k-j} \quad (0.1)$$

We prove this with a bijection:

$$\mathcal{B}(a+b, k) \rightleftharpoons \bigcup_{j=0}^k \mathcal{B}(a, j) \times \mathcal{B}(b, k-j)$$

given by  $S \mapsto (S \cap \{1, \dots, a\}, (S \cap \{a+1, \dots, a+b\})^{(-a)})$  and  $(P, Q) \mapsto P \cup Q^{(a)}$ , where  $\mathcal{B}(n, i)$  is the set of  $i$ -element subsets of  $\{1, 2, \dots, n\}$  and for  $C \subseteq \mathbb{Z}$  and  $q \in \mathbb{Z}$ ,  $C^{(q)} = \{c+q : c \in C\}$ . Note that the equation in fact gives the polynomial identity

$$\binom{x+y}{k} = \sum_{j=0}^k \binom{x}{j} \binom{y}{k-j}$$

in  $\mathbb{Q}[x, y]$ . We denote the falling factorial  $(x)_i = x(x-1)(x-2)\cdots(x-i+1)$ , which has degree  $i$  for each  $i \in \mathbb{N}$ . In particular,  $(x)_i = i! \binom{x}{i}$ , so multiplying our identity by  $k!$ , we get

$$(x+y)_k = \sum_{j=0}^k \binom{k}{j} (x)_j (y)_{k-j}$$

Compare this with the standard binomial theorem

$$(x+y)^k = \sum_{j=0}^k \binom{k}{j} x^j y^{k-j}$$

These are called sequences of binomial type.

2. Here's another identity. For  $n \geq 0$  and  $s, t \geq 1$ ,

$$\binom{n+s+t-1}{s+t-1} = \sum_{k=0}^n \binom{k+s-1}{s-1} \binom{n-k+t-1}{t-1}$$

Let  $\mathcal{M}(m, r)$  denote a multiset of size  $m$  with elements of  $r$  types, so that  $|\mathcal{M}(m, r)| = \binom{m+r-1}{r-1}$ . Let's define a bijection

$$\mathcal{M}(n, s+t) \rightleftharpoons \bigcup_{k=1}^n \mathcal{M}(k, s) \times \mathcal{M}(n-k, t) \quad (0.2)$$

$\mu = (m_1, \dots, m_{s+t}) \mapsto ((m_1, \dots, m_s), (m_{s+1}, \dots, m_{s+t}))$  and  $(v, \theta) \mapsto v\theta$ . Note that if  $f, g$  are polynomials of degree  $d$  and  $e$  respectively, then  $\sum_{k=0}^n f(k)g(n-k)$  is a polynomial in  $n$  of degree  $d+e-1$ .

Is there some way to understand (0.2)? It is unclear, with our known techniques, that this corresponds to a polynomial identity since there is a variable  $n$  in the exponent. However, we can use generating functions. Define

$$\begin{aligned} \sum_{n=0}^{\infty} \binom{n+s+t-1}{s+t-1} z^n &= \sum_{n=0}^{\infty} |\mathcal{M}(n, s+t)| z^n = \sum_{(m_1, \dots, m_{s+t})} z^{m_1 + \dots + m_{s+t}} \\ &= \left( \sum_{m=0}^{\infty} z^m \right)^{s+t} \\ &= \frac{1}{(1-z)^{s+t}} = \frac{1}{(1-z)^s} \frac{1}{(1-z)^t} \\ &= \sum_{k=0}^{\infty} \binom{k+s-1}{s-1} z^k \sum_{\ell=0}^{\infty} \binom{\ell+t-1}{t-1} z^\ell \\ &= \sum_{n=0}^{\infty} z^n \left( \sum_{k=0}^n \binom{k+s-1}{s-1} \binom{n-k+t-1}{t-1} \right) \end{aligned}$$

Similarly, (0.1) is equivalent to saying  $(1+z)^{a+b} = (1+z)^a (1+z)^b$ . Note that  $(1+z)^n = \sum_{k=0}^n \binom{n}{k} z^k = \sum_{k=0}^{\infty} \binom{n}{k} z^k$  for  $n \in \mathbb{N}$ .

Can we substitute  $\frac{1}{(1-q)^t} = (1+z)^n$  where  $z = -q$  and  $n = -t$ ?

3. Consider

$$(x_1 + x_2)^n = \sum_{i=0}^n \binom{n}{i} x_1^i x_2^{n-i}$$

and

$$(x_1 + x_2)^n = \sum_{f: N_n \rightarrow \{1,2\}} \prod_{j=1}^n x_{f(j)}$$

More generally, we can consider

$$(x_1 + \dots + x_k)^n = \sum_{f: N_n \rightarrow N_k} \prod_{j \in N_n} x_{f(j)}$$

If we set all  $x_1 = \dots = x_k = 1$ , then  $k^n$  gives the number of functions from  $N_n$  to  $N_k$ . If we set  $x_i = q^i$  for all  $i \in N_k$ , then we get

$$\left( \frac{q - q^{k+1}}{1 - q} \right)^n = (q + q^2 + \dots + q^k)^n = \sum_{f: N_n \rightarrow N_k} q^{f(1) + \dots + f(n)}$$

Collect all the terms in  $(x_1 + \dots + x_k)^n$  that produce the same monomial. Given a multiset  $\mu$  with  $m_1 + \dots + m_k = n$ , write  $x_1^{m_1} \dots x_k^{m_k} = \underline{x}^\mu$ . Then

$$(x_1 + \dots + x_k)^n = \frac{n!}{m_1! \dots m_k!} \underline{x}^\mu = \sum_{\mu \in \mathcal{M}(n, k)} \binom{n}{\mu} \underline{x}^\mu$$

## 4. How can we interpret

$$P_n(q) = \prod_{i=1}^n (1 + q + q^2 + \cdots + q^{i-1})$$

In general, if we set  $q = 1$ , we see that  $P_n(1) = n!$ . We might hope that there is some weight function on permutations  $w : \mathcal{S}_n \rightarrow \mathbb{N}$  such that  $P_n(q) = \sum_{\sigma \in \mathcal{S}_n} q^{w(\sigma)}$ . Recall the bijection  $I_n : \mathcal{S}_n \rightarrow \mathcal{Q}_n$  from chapter 1. Let's find some weight function  $v : \mathcal{Q}_n \rightarrow \mathbb{N}$  such that  $\sum_{\rho \in \mathcal{Q}_n} x^{v(\rho)} = P_n(q)$ , then "pull back" the definition of  $v : \mathcal{Q}_n \rightarrow \mathbb{N}$  to get a definition for  $\omega : \mathcal{S}_n \rightarrow \mathbb{N}$ . Note that  $\sum_{h \in \mathbb{N}_r} q^{h-1} = 1 + q + \cdots + q^{r-1}$ . Thus

$$\sum_{\rho=(h_1, \dots, h_n) \in \mathcal{Q}_n} q^{(h_1-1)+(h_2-1)+\cdots+(h_n-1)} = \prod_{i=1}^n (1 + q + \cdots + q^{i-1}) = P_n(q)$$

so we can define  $v(\rho) = |\rho| - n$  and  $\sum_{\rho \in \mathcal{Q}_n} q^{|\rho| - n} = P_n(q)$ . We also have

$$\sum_{\rho \in \mathcal{Q}_n} q^{(h_1-1)+\cdots+(h_n-1)} = (1 + q + \cdots + q^{n-1})(1 + q + \cdots + q^{n-2}) \cdots (1 + q)(1)$$

For notation, define  $[m]_q = 1 + q + \cdots + q^{m-1} = \frac{1-q^m}{1-q}$ . Then  $[m]_q! = [m]_q [m-1]_q \cdots [1]_q$ .

	1	q	q <sup>2</sup>	q <sup>3</sup>	q <sup>4</sup>
$q[3]_q$	0	1	1	1	
$[2]_q[3]_q$	1	2	2	1	
$-q[2]_q[3]_q$	0	-1	-2	-2	-1
$q^2[2]_q[3]_q$	0	0	1	2	2
$[6]_q$	1	1	1	1	1

so that  $[6]_q = (1 - q + q^2)[2]_q[3]_q$ . An **inversion** in  $\sigma = a_1 \dots a_n \in \mathcal{S}_n$  is a pair  $(i, j)$  of indices  $1 \leq i < j \leq n$  with  $a_i > a_j$ . Define  $\text{Inv}(\sigma)$  as the set of inversions of  $\sigma$ , and  $\text{inv}(\sigma) = |\text{Inv}(\sigma)|$ . Notice that if  $\sigma = a_1 \dots a_n \mapsto \rho = (h_1, \dots, h_n)$ , then for each  $1 \leq i \leq n$ ,  $h_i - 1$  is the number of inversions of  $\sigma$  with  $i$  in the first coordinate. Recall

$$\begin{aligned} \mathcal{S}_n &\rightleftharpoons \mathcal{B}(n, k) \times \mathcal{S}_k \times \mathcal{S}_{n-k} \\ \sigma = a_1 \dots a_n &\leftrightarrow (A, \beta, \gamma) \\ \text{inv}(\sigma) &= w(A) + \text{inv}(\beta) + \text{inv}(\gamma) \end{aligned}$$

Assuming such a weight function  $w(A)$  exists, then

$$\begin{aligned} [n]_q! &= \sum_{\sigma \in \mathcal{S}_n} q^{\text{inv}(\sigma)} = \sum_{(A, \beta, \gamma)} q^{w(A) + \text{inv}(\beta) + \text{inv}(\gamma)} \\ &= [k]_q! \cdot [n-k]_q! \cdot \sum_{A \in \mathcal{B}(n, k)} q^{w(A)} \end{aligned}$$

so that

$$\sum_{A \in \mathcal{B}(n, k)} q^{w(A)} = \frac{[n]_q!}{[k]_q! \cdot [n-k]_q!} = \left[ \begin{matrix} n \\ k \end{matrix} \right]_q$$

$$\sum_{S \in \mathcal{B}(n,k)} q^{\text{sum}(S)} = q^{\binom{k+1}{2}} \begin{bmatrix} n \\ k \end{bmatrix}_q$$

**0.1 Theorem.** Let  $V$  be an  $n$ -dimensional vector space over a finite field  $\mathbb{F}_q$ . Then for  $0 \leq k \leq n$ , the number of  $k$ -dimensional subspaces of  $V$  is  $\begin{bmatrix} n \\ k \end{bmatrix}_q$ .

**0.2 Lemma.** Let  $L : V \rightarrow W$  be a linear transformation that is surjective. Then  $\dim V = \dim W + \dim(\ker L)$ . So if this is over a finite field  $\mathbb{F}_q$ , every  $w \in W$  is the image of exactly  $q^{\dim(\ker(L))}$  vectors  $v \in V$ .