# My Last Lecture Typical CNN Architectures

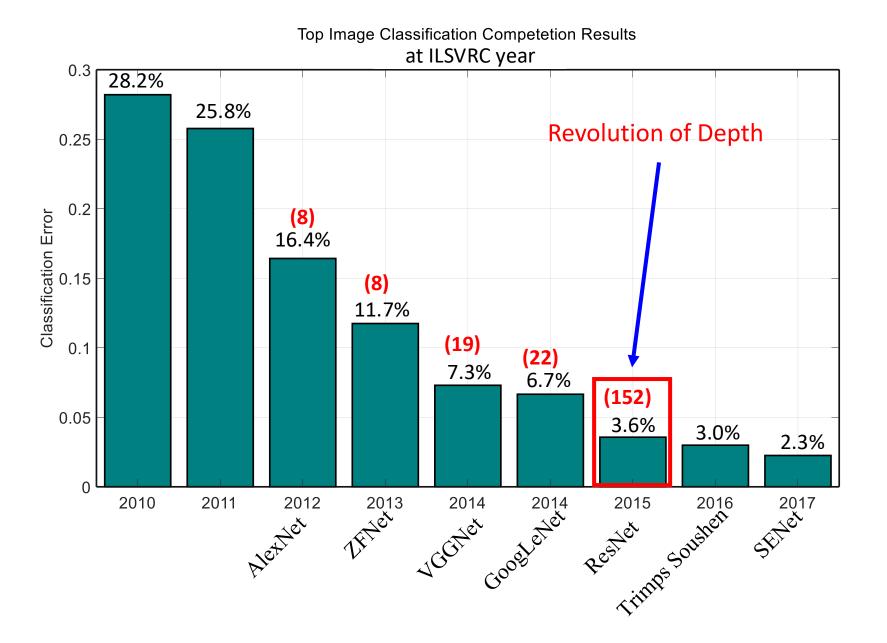
#### **Case Studies**

- AlexNet
- VGG
- GoogLeNet
- ResNet

#### about other architecture...

- NIN (Network in Network)
- Wide ResNet
- ResNeXT
- Stochastic Depth

- DenseNet
- SENet
- FractalNet
- SqueezeNet

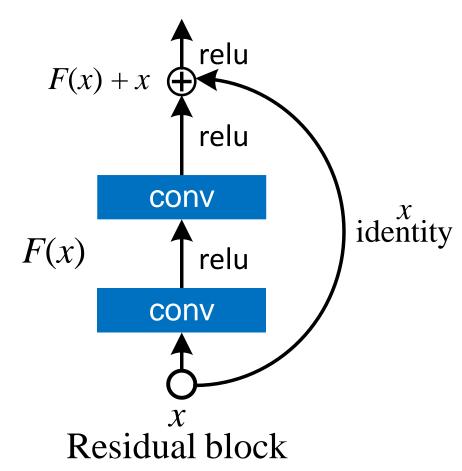


Li Liu et al., Deep Learning for Generic Object Detection: A Survey, IJCV, 2019.

[He et al., 2015]

## Very deep networks using residual connections

- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



Softmax FC 1000

Ave. Pool

3\*3 conv,512 3\*3 conv,512

3\*3 conv,512

3\*3 conv,512

3\*3 conv,512

3\*3 conv,512,/2

3\*3 conv,128 3\*3 conv,128

3\*3 conv,128 3\*3 conv,128

3\*3 conv,128

3\*3 conv,128, /2

3\*3 conv,64 3\*3 conv,64

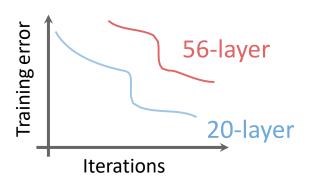
3\*3 conv,64 3\*3 conv,64

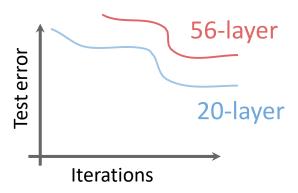
3\*3 conv,64 3\*3 conv.64

Max Pool, /2 7\*7 conv, 64/2 Input

[He et al., 2015]

What happens when we continue stacking deeper layers on a "plain" convolutional neural network?





Q: What's strange about these training and test curves? [Hint: look at the order of the curves]

56-layer model performs worse on both training and test error.

→The deeper model performs worse, but it's not caused by overfitting!

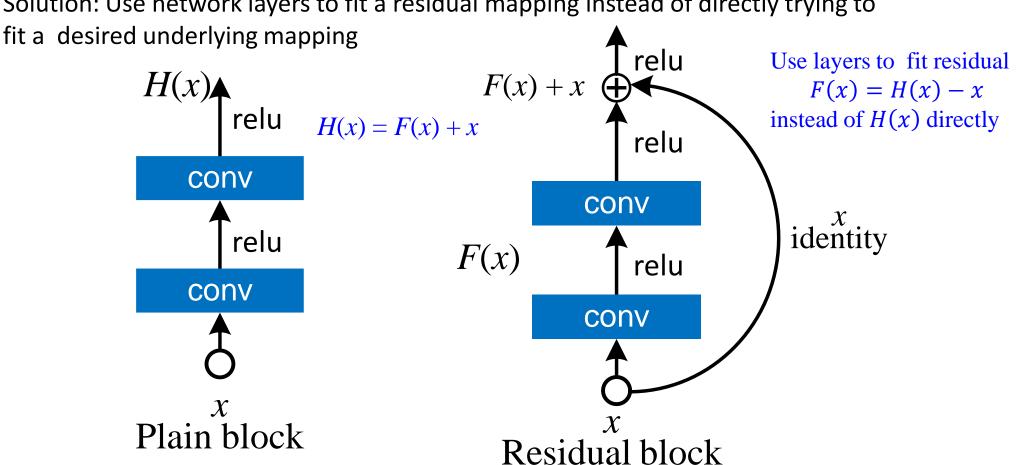
[He et al., 2015]

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

- The deeper model should be able to perform at least as well as the shallower model.
- A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.

[He et al., 2015]

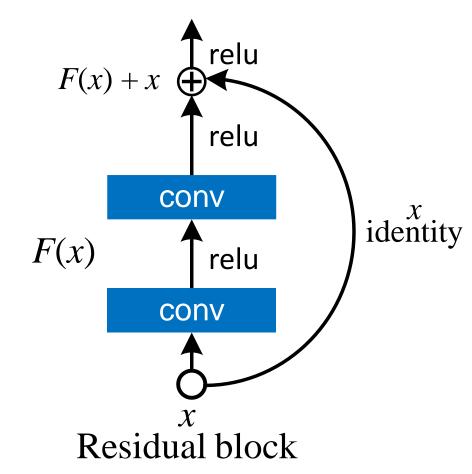
Solution: Use network layers to fit a residual mapping instead of directly trying to



[He et al., 2015]

#### Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double the number of filters and subsample spatially using stride 2
- Additional conv layer at the beginning
- No FC layers at the end (only FC 1000 to output classes)



FC 1000 Ave. Pool

3\*3 conv,512 3\*3 conv,512

3\*3 conv,512

3\*3 conv,512

3\*3 conv,512

3\*3 conv,512,/2

3\*3 conv,128

3\*3 conv,128

3\*3 conv,128 3\*3 conv,128

3\*3 conv,128 3\*3 conv,128, /2

> 3\*3 conv,64 3\*3 conv,64

> 3\*3 conv,64 3\*3 conv,64

> 3\*3 conv,64 3\*3 conv.64

Max Pool, /2 7\*7 conv, 64/2 Input

Total depths of 34, 50, 101, or 152 layers for ImageNet

[He et al., 2015]

For deeper networks (ResNet50+), use "bottleneck" layer to improve efficiency (similar to GoogLeNet)

ILSVRC 2015 classification winner (3.6% top 5 error)→better than "human performance"!

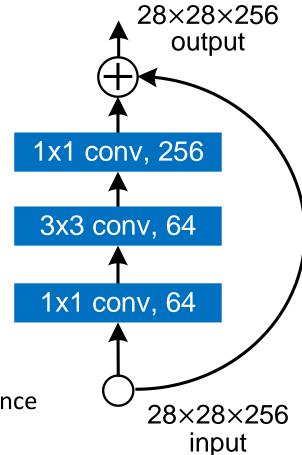
1x1 conv, 256 filters projects back to 256 feature maps (28x28x256)

3x3 conv operates over only 64 feature maps

1x1 conv, 64 filters to project to 28x28x64

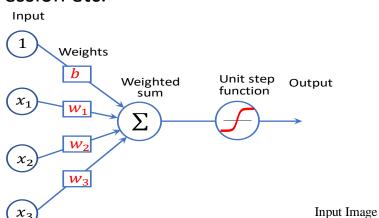
#### **Experimental Results**

- Able to train very deep networks without performance degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve low training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions



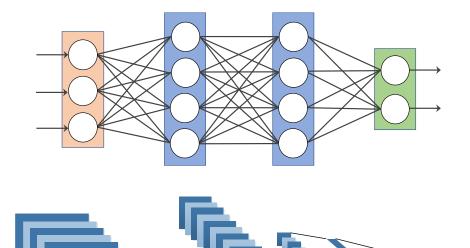
#### In this Course

1. DL basics, linear regression, logistic regression etc.

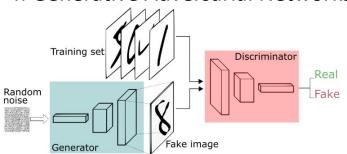


3. Convolutional Neural Networks and Applications

2. Multilayer neural networks, backpropagation



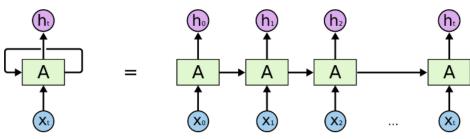
4. Generative Adversarial Networks



5. Recurrent networks and applications

Downsampling Convolutions Downsampling

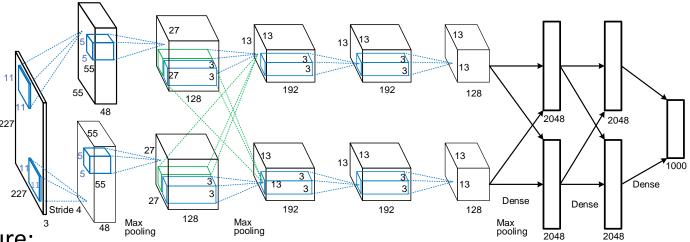
Convolutions



# For this Final Lecture Today: Tips for Training Deep Learning

### Case Study: AlexNet

[Krizhevsky et al. 2012]



Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons [4096] FC7: 4096 neurons

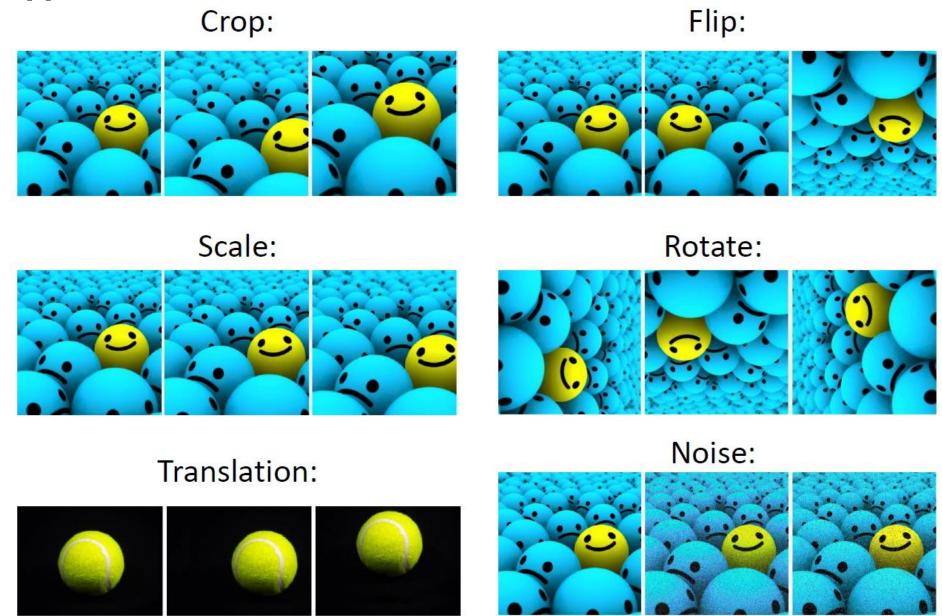
[1000] FC8: 1000 neurons (class scores)

#### **Details:**

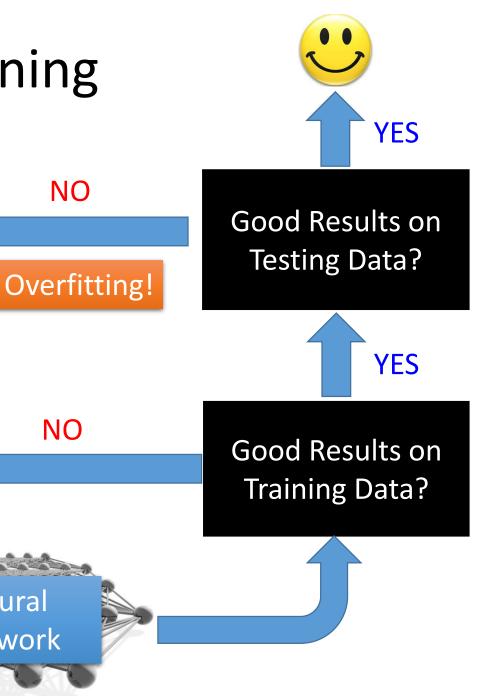
- heavy data augmentation
- first use of ReLU
- used Norm layers (not common anymore)
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 0.01, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 0.0005

7 CNN ensemble: 18.2%→ 15.4%

#### Data Augmentation



#### Recipe of Deep Learning



NO

NO

Neural

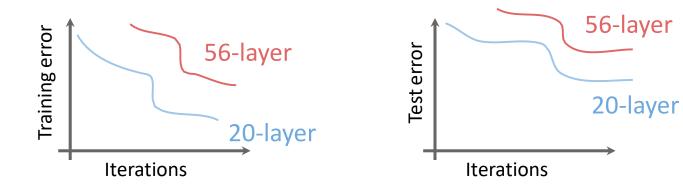
Network

Step 1: Define a **Set of Function** 

Step 2: Goodness of Function

Step 3: Pick the **Best Function** 

### Do not always blame Overfitting



#### Please refer to:

Kaiming He, Xiangyu Zhang, Shaoqing Ren, Jian Sun, Deep Residual Learning for Image Recognition, CVPR, 2016.

#### Recipe of Deep Learning



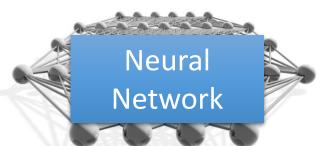
Different approaches for different problems.

e.g. dropout for good results on testing data

Good Results on Testing Data?

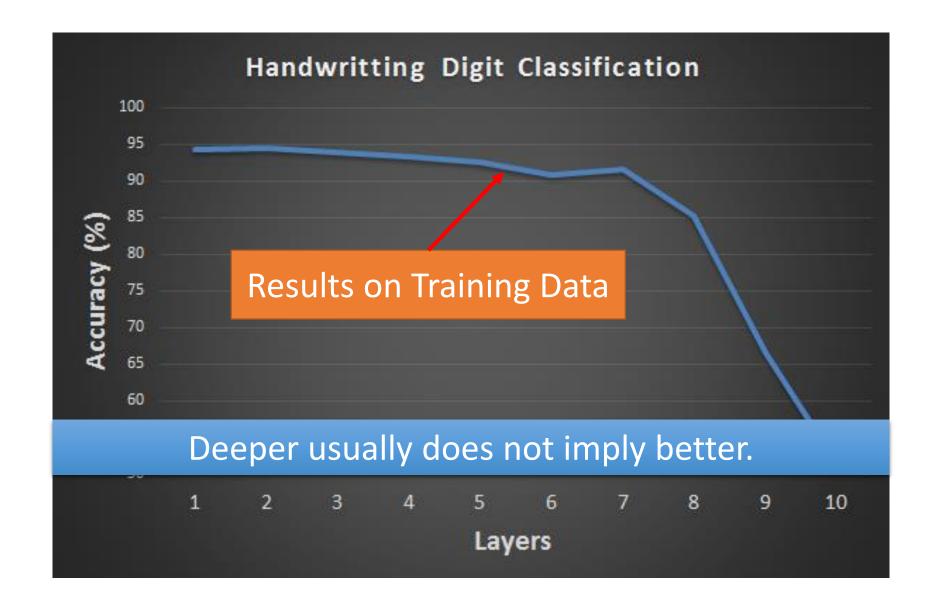


Good Results on Training Data?

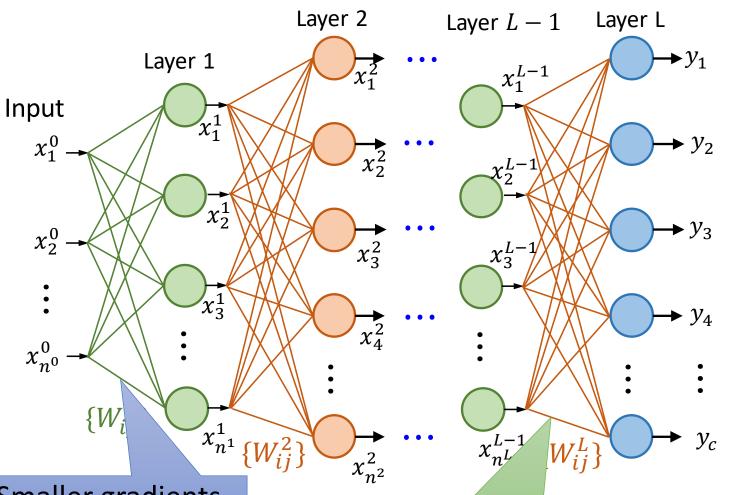


### Recipe of Deep Learning YES **Early Stopping** Good Results on Testing Data? Regularization YES Dropout Good Results on New activation function **Training Data?** Adaptive Learning Rate

#### Hard to get the power of Deep ...



Vanishing Gradient Problem See Gradient flow in recurrent nets: the difficulty of learning long term dependencies, by Sepp Hochreiter, Yoshua Bengio, Paolo Frasconi, and Jürgen Schmidhuber (2001).



converge based on random!?

**Smaller gradients** 

Learn very slow

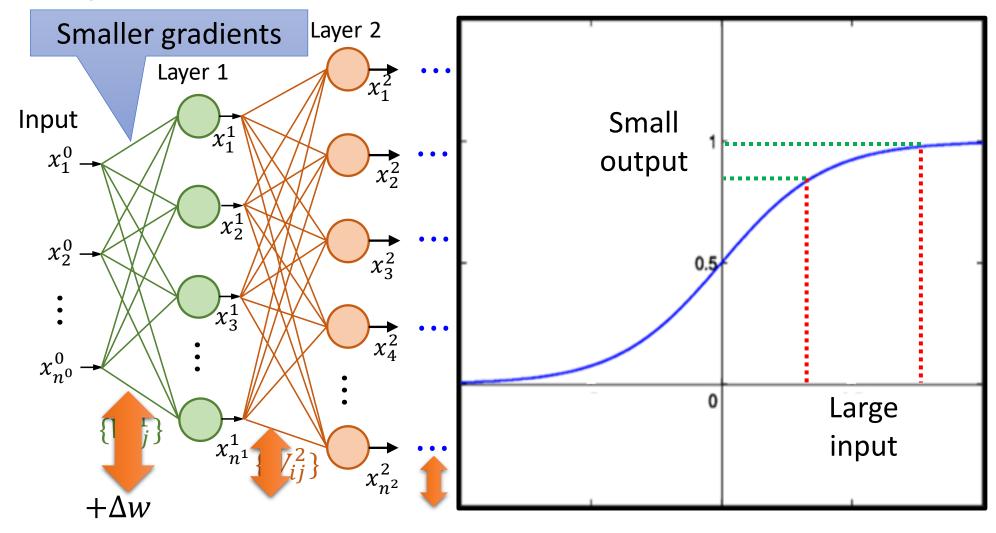
Almost random

Larger gradients

Learn very fast

Already converge

### Vanishing Gradient Problem

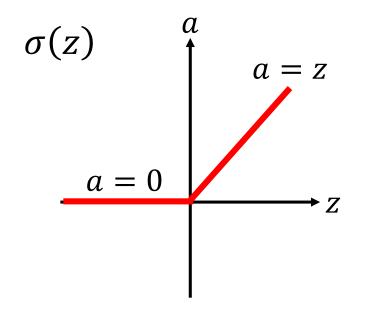


Intuitive way to compute the derivatives ...

$$\frac{\partial l}{\partial w} = ? \frac{\Delta l}{\Delta w}$$

#### ReLU

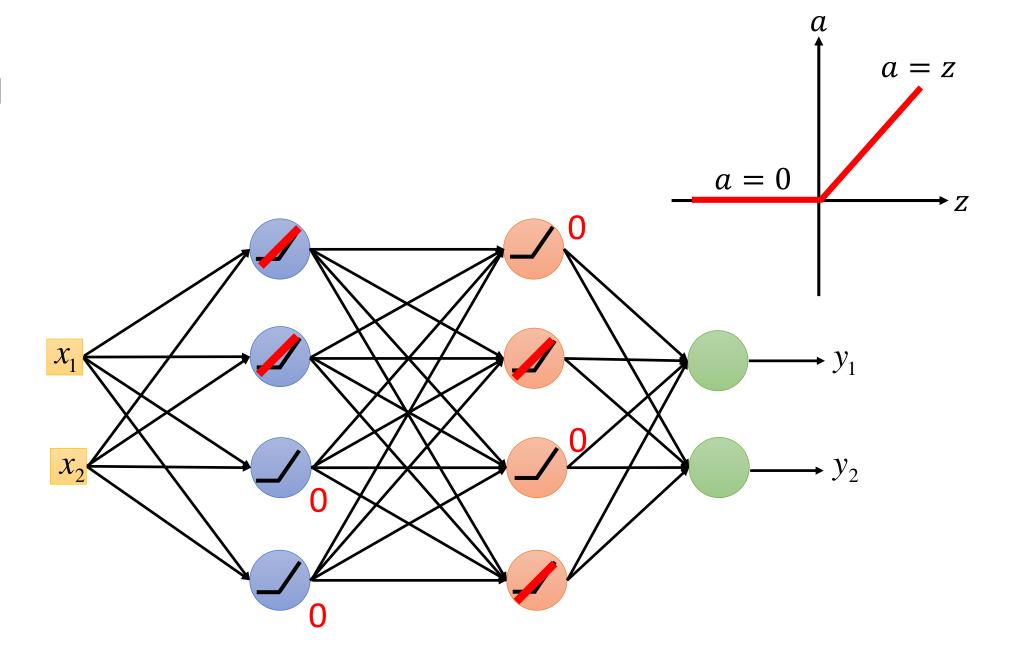
Rectified Linear Unit (ReLU)



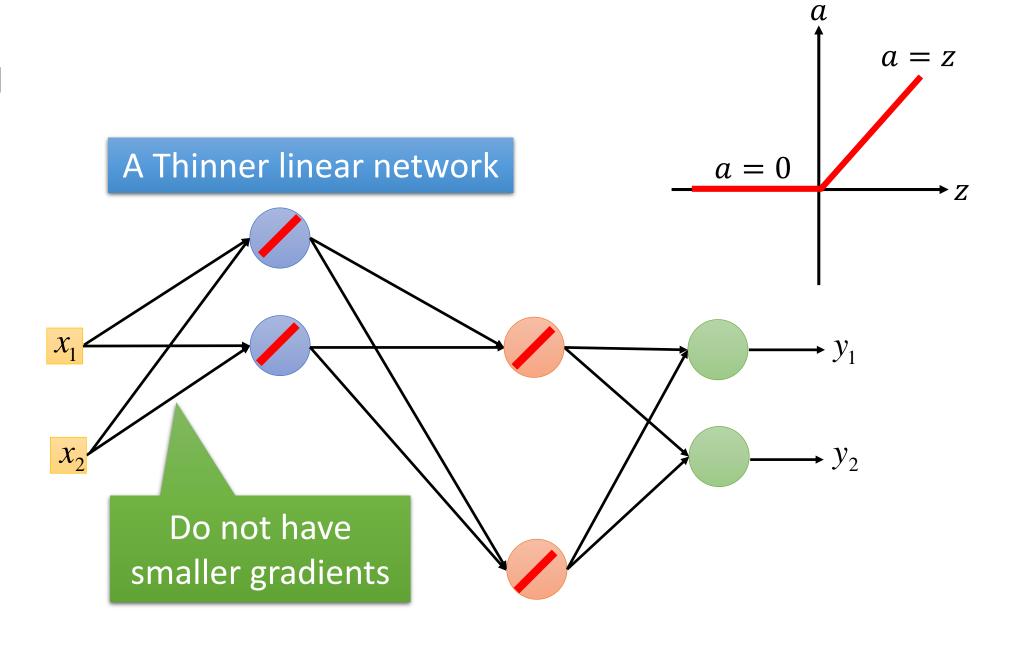
#### Reason:

- 1. Fast to compute
- 2. Biological reason
- 3. Infinite sigmoid with different biases
- 4. Vanishing gradient problem

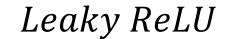
### ReLU

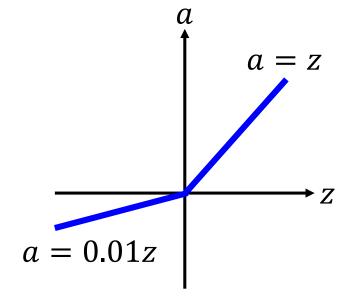


#### ReLU

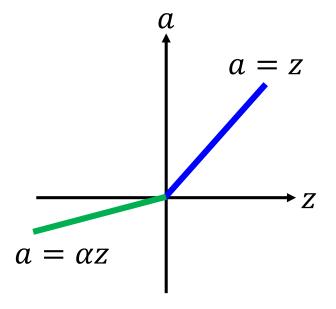


#### Variants of ReLU





#### Parametric ReLU



α also learned by gradient descent

#### Maxout

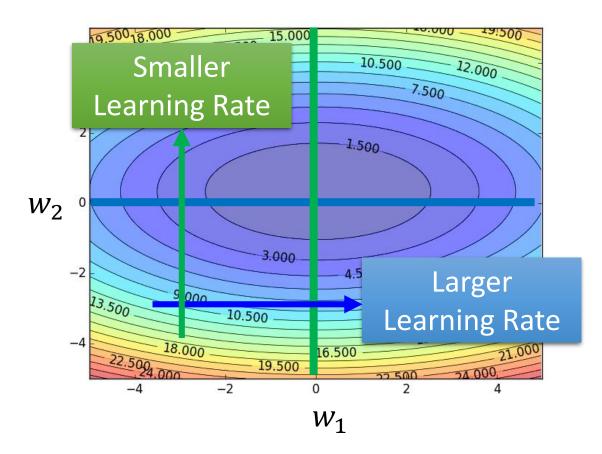
#### ReLU is a special case of Maxout

• Learnable activation function [lan J. Goodfellow, ICML'13]

### Recipe of Deep Learning YES **Early Stopping** Good Results on Testing Data? Regularization YES Dropout Good Results on New activation function **Training Data?** Adaptive Learning Rate

#### Review



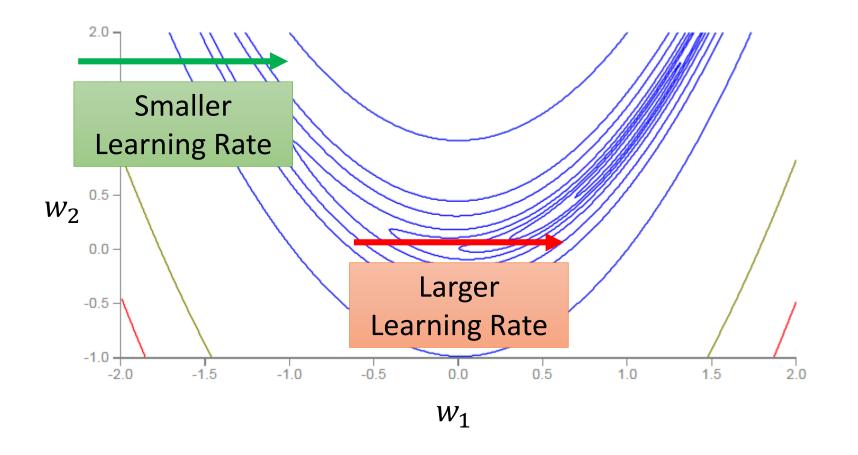


$$w^{(t+1)} \leftarrow w^{(t)} - \frac{\eta}{\sqrt{\sum_{i=0}^{t} (g^{(i)})^2}} g^{(t)}$$

Use first derivative to estimate second derivative

#### RMSProp

Error Surface can be very complex when training NN.



#### RMSProp

$$w^{(1)} \leftarrow w^{(0)} - \frac{\eta}{\sigma^{(0)}} g^{(0)}$$

$$w^{(2)} \leftarrow w^{(1)} - \frac{\eta}{\sigma^{(1)}} g^{(1)}$$

$$w^{(3)} \leftarrow w^{(2)} - \frac{\eta}{\sigma^{(2)}} g^{(2)}$$
 $\vdots$ 

$$w^{(t+1)} \leftarrow w^{(t)} - \frac{\eta}{\sigma^{(t)}} g^{(t)}$$

$$w^{(t+1)} \leftarrow w^{(t)} - \frac{\eta}{\sqrt{\sum_{i=0}^{t} (g^{(i)})^2}} g^{(t)}$$

$$AdaGrad$$

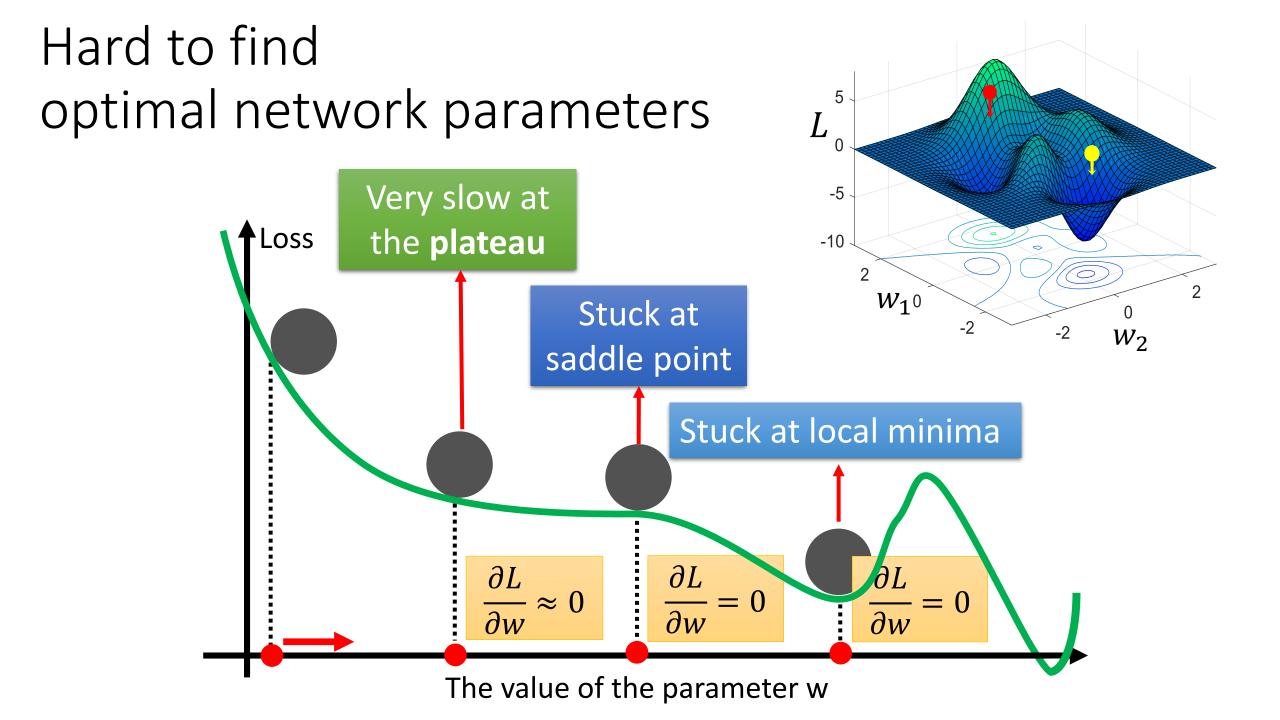
$$\sigma^{(0)}=g^{(0)}$$

$$\sigma^{(1)} = \sqrt{\alpha(\sigma^{(0)})^2 + (1 - \alpha)(g^{(1)})^2}$$

$$\sigma^{(2)} = \sqrt{\alpha(\sigma^{(1)})^2 + (1 - \alpha)(g^{(2)})^2}$$
:

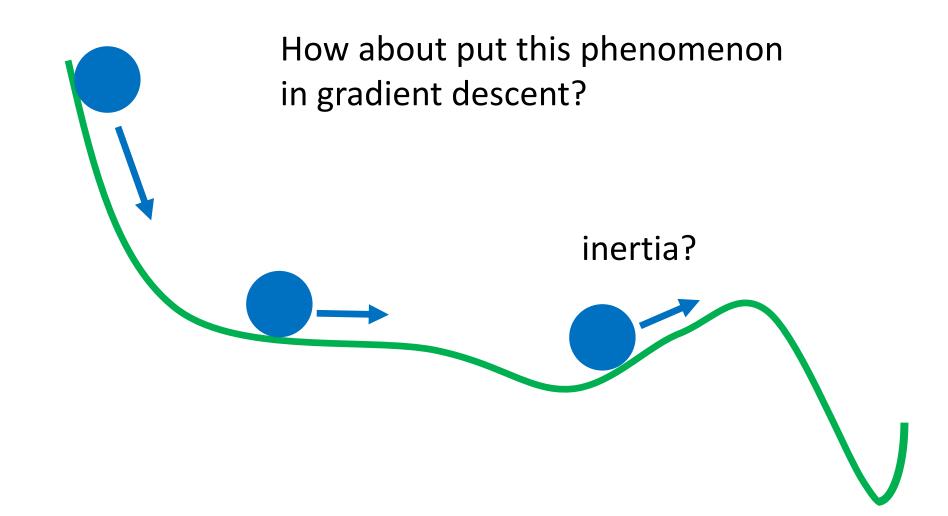
$$\sigma^{(t)} = \sqrt{\alpha(\sigma^{(t-1)})^2 + (1-\alpha)(g^{(t)})^2}$$

Root Mean Square of the gradients with previous gradients being decayed

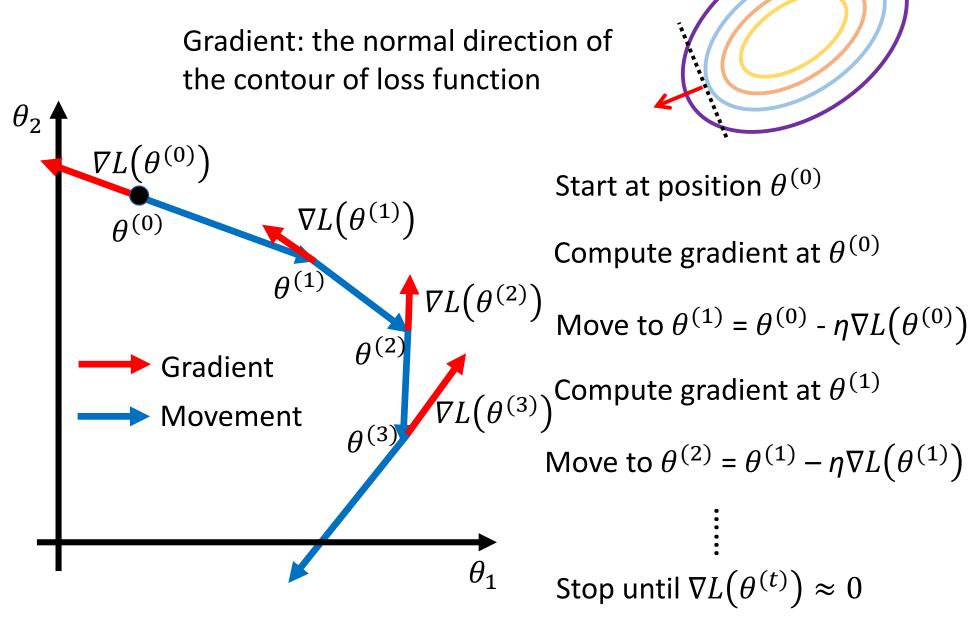


### In physical world .....

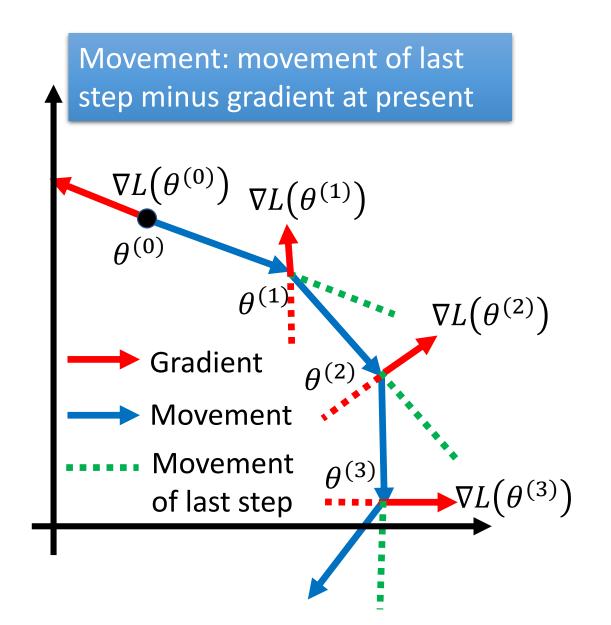
Momentum



#### Review: Vanilla Gradient Descent



#### Momentum



Start at point  $\theta^{(0)}$ 

Movement  $v^{(0)} = 0$ 

Compute gradient at  $\theta^{(0)}$ 

Movement  $v^{(1)} = \lambda v^{(0)} - \eta \nabla L(\theta^{(0)})$ 

Move to  $\theta^{(1)} = \theta^{(0)} + v^{(1)}$ 

Compute gradient at  $\theta^{(1)}$ 

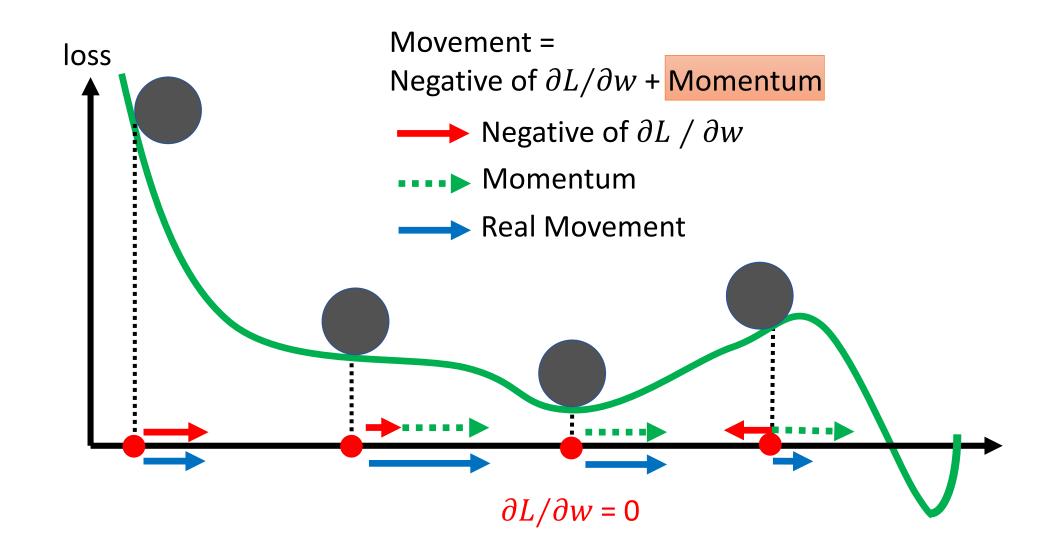
Movement  $v^{(2)} = \lambda v^{(1)} - \eta \nabla L(\theta^{(1)})$ 

Move to  $\theta^{(2)} = \theta^{(1)} + v^{(2)}$ 

Movement not just based on gradient, but previous movement.

#### Momentum

Still not guarantee reaching global minima, but give some hope ......



#### Adam

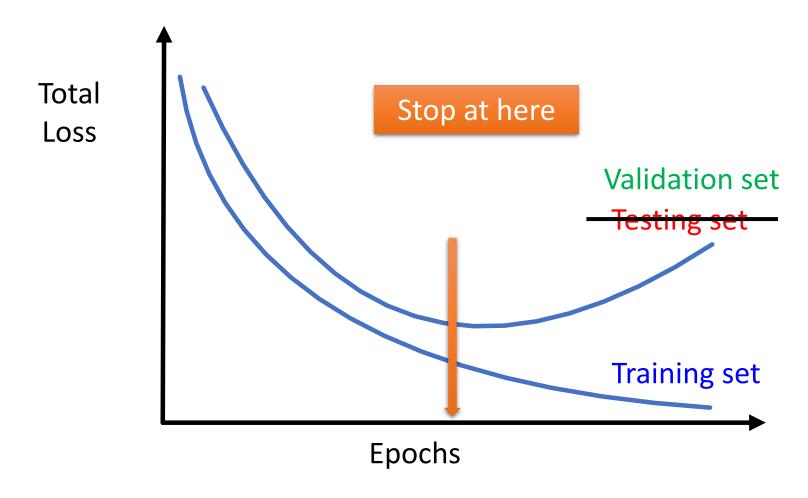
**Algorithm 1:** Adam, our proposed algorithm for stochastic optimization. See section 2 for details, and for a slightly more efficient (but less clear) order of computation.  $g_t^2$  indicates the elementwise square  $g_t \odot g_t$ . Good default settings for the tested machine learning problems are  $\alpha = 0.001$ ,  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$  and  $\epsilon = 10^{-8}$ . All operations on vectors are element-wise. With  $\beta_1^t$  and  $\beta_2^t$  we denote  $\beta_1$  and  $\beta_2$  to the power t.

```
Require: \alpha: Stepsize
Require: \beta_1, \beta_2 \in [0, 1): Exponential decay rates for the moment estimates
Require: f(\theta): Stochastic objective function with parameters \theta
Require: \theta_0: Initial parameter vector
   m_0 \leftarrow 0 (Initialize 1st moment vector) \longrightarrow for momentum
   v_0 \leftarrow 0 (Initialize 2<sup>nd</sup> moment vector) \longrightarrow for RMSprop
   t \leftarrow 0 (Initialize timestep)
   while \theta_t not converged do
      t \leftarrow t + 1
      g_t \leftarrow \nabla_{\theta} f_t(\theta_{t-1}) (Get gradients w.r.t. stochastic objective at timestep t)
      m_t \leftarrow \beta_1 \cdot m_{t-1} + (1 - \beta_1) \cdot g_t (Update biased first moment estimate)
      v_t \leftarrow \beta_2 \cdot v_{t-1} + (1 - \beta_2) \cdot g_t^2 (Update biased second raw moment estimate)
      \widehat{m}_t \leftarrow m_t/(1-\beta_1^t) (Compute bias-corrected first moment estimate)
      \hat{v}_t \leftarrow v_t/(1-\beta_2^t) (Compute bias-corrected second raw moment estimate)
      \theta_t \leftarrow \theta_{t-1} - \alpha \cdot \widehat{m}_t / (\sqrt{\widehat{v}_t} + \epsilon) (Update parameters)
                                                                                    RMSProp + Momentum
   end while
```

**return**  $\theta_t$  (Resulting parameters)

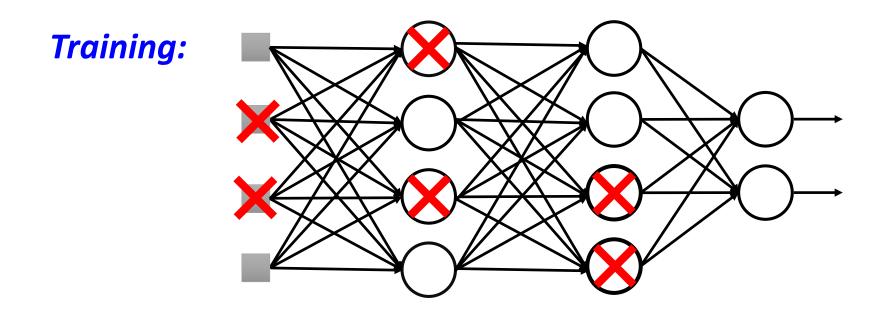
### Recipe of Deep Learning YES Early Stopping Good Results on Testing Data? Regularization YES Dropout Good Results on New activation function **Training Data?** Adaptive Learning Rate

### Early Stopping



# Recipe of Deep Learning YES **Early Stopping** Good Results on **Testing Data?** Regularization YES Dropout Good Results on New activation function **Training Data?** Adaptive Learning Rate

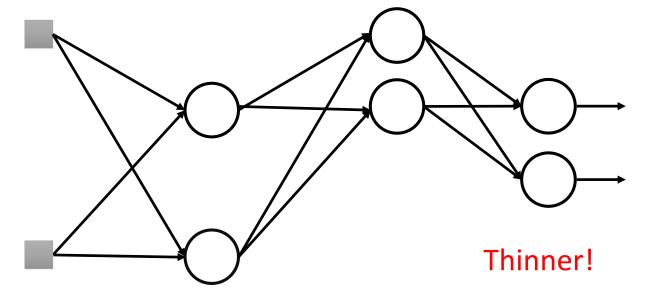
### Dropout



- Each time before updating the parameters
- Each neuron has p% to be preserved, i.e. 1 p% to dropout

### Dropout

### **Training:**

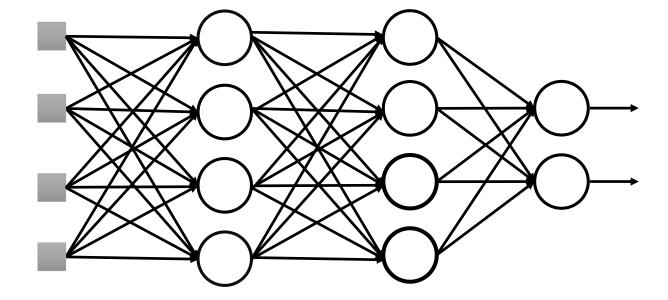


- Each time before updating the parameters
  - Each neuron has p% to be preserved
    - The structure of the network is changed.
  - Using the new network for training

For each minibatch, we resample the dropout neurons

### Dropout

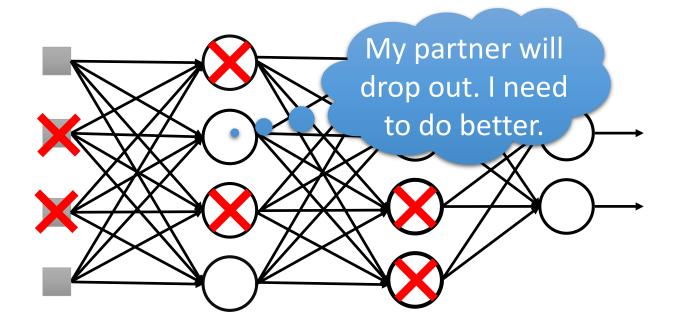
### **Testing:**



### No dropout

- If the keep rate at training is p%, all the weights at testing times p%
- Assume that the keep rate is 50%.
- If a weight w = 1 by training, set w = 0.5 for testing.

### Dropout: Intuitive Reason



#### Dropout: A Simple Way to Prevent Neural Networks from Overfitting

Nitish Srivastava
Geoffrey Hinton
Alex Krizhevsky
Ilya Sutskever
Ruslan Salakhutdinov
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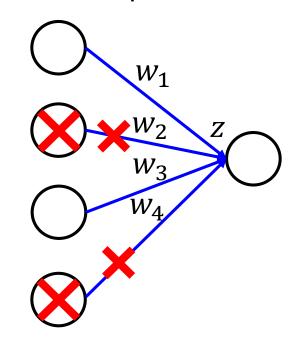
- When teams up, if everyone expect the partner will do the work, nothing will be done finally.
- However, if you know your partner will dropout, you will do better.
- When testing, no one dropout actually, so obtaining good results eventually.

### Dropout: Intuitive Reason

• Why the weights should multiply p% (p% is the keep rate) when testing?

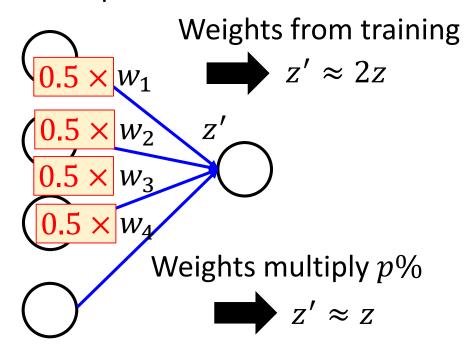
### **Training of Dropout**

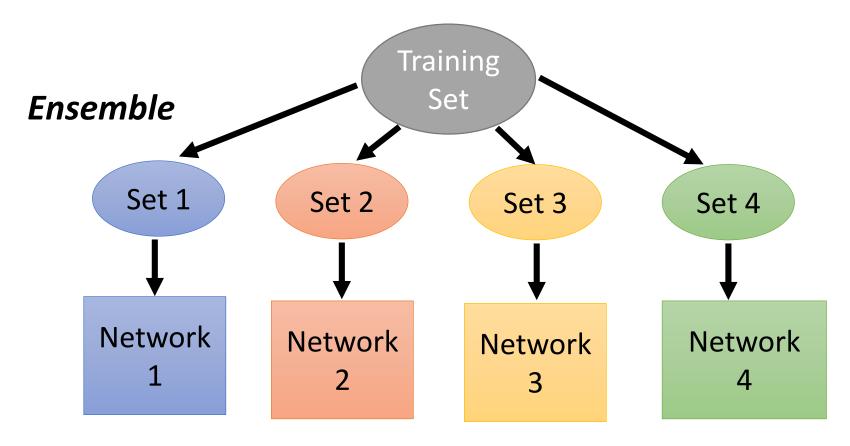
Assume keep rate is 50%



#### **Testing of Dropout**

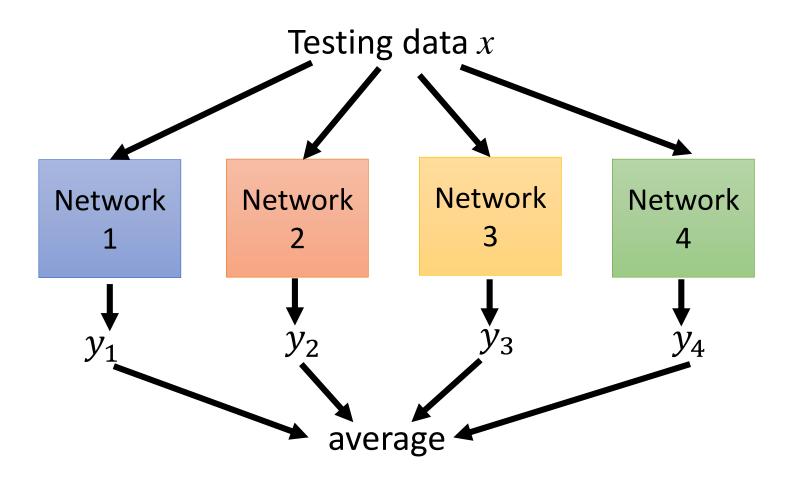
No dropout

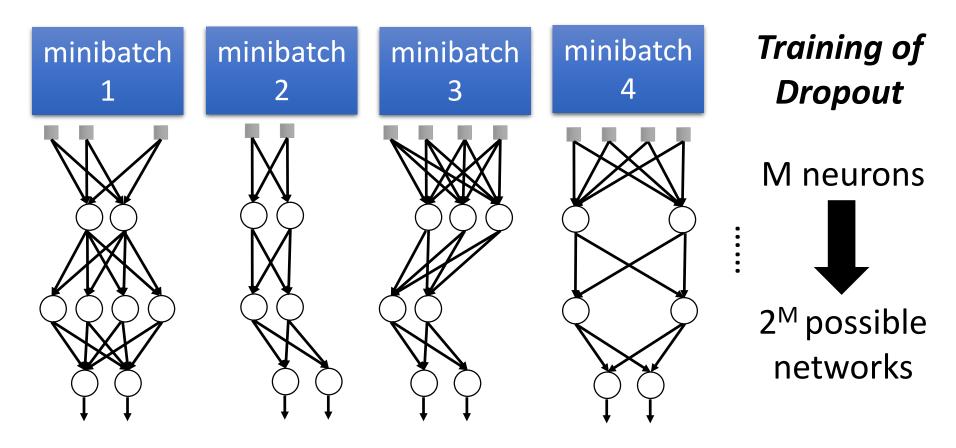




Train a bunch of networks with different structures

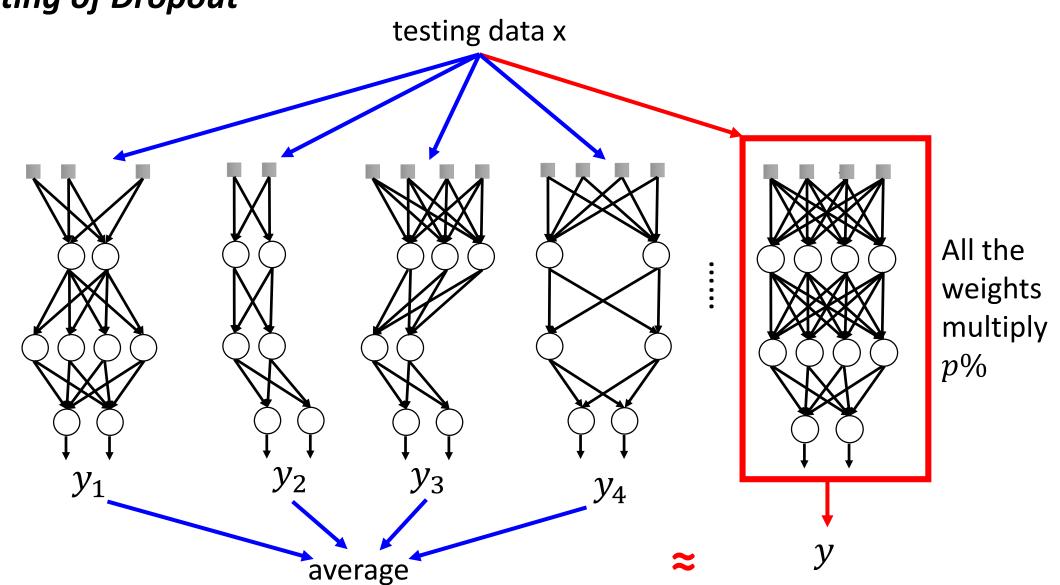
#### Ensemble



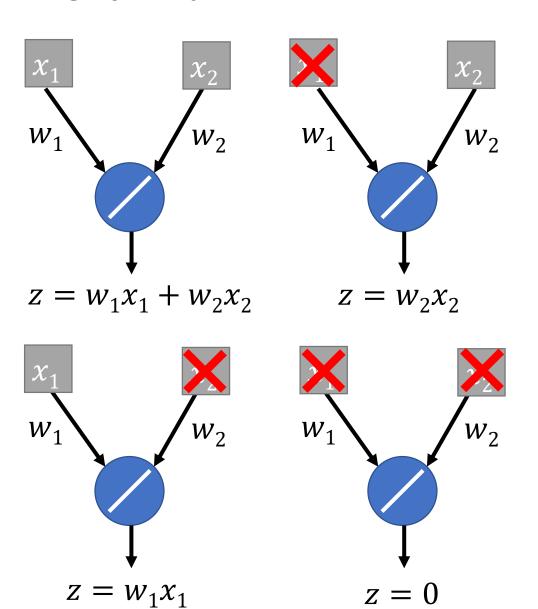


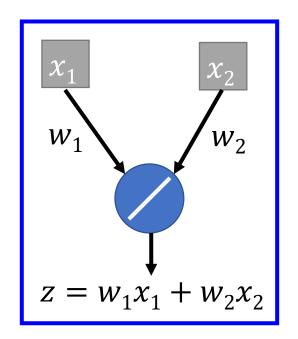
- Using one minibatch to train one network
- Some parameters in the network are shared

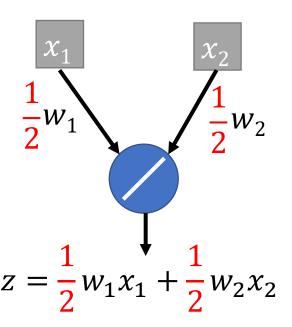
**Testing of Dropout** 



### **Testing of Dropout**







# Recipe of Deep Learning YES **Early Stopping** Good Results on Testing Data? Regularization YES Dropout Good Results on New activation function **Training Data?** Adaptive Learning Rate

### Regularization

- New loss function to be minimized
  - Find a set of weight not only minimizing original cost but also close to zero

$$L'(\theta) = L(\theta) + \lambda \frac{1}{2} \|\theta\|_2 \rightarrow \text{Regularization term}$$

$$\theta = \{w_1, w_2, \dots\}$$

Original loss (e.g. minimize square error, cross entropy ...)

L2 regularization:

$$\|\theta\|_2 = (w_1)^2 + (w_2)^2 + \cdots$$

(usually not consider biases)

# Regularization

### L2 regularization: $\|\theta\|_2 = (w_1)^2 + (w_2)^2 + \cdots$

New loss function to be minimized

$$L'(\theta) = L(\theta) + \lambda \frac{1}{2} \|\theta\|_2$$
 Gradient:  $\frac{\partial L'}{\partial w} = \frac{\partial L}{\partial w} + \lambda w$ 

Update: 
$$w^{(t+1)} \rightarrow w^{(t)} - \eta \frac{\partial L'}{\partial w} = w^{(t)} - \eta \left( \frac{\partial L}{\partial w} + \lambda w^{(t)} \right)$$

$$= \underbrace{(1 - \eta \lambda) w^{(t)}}_{\text{Closer to zero}} - \eta \frac{\partial L}{\partial w} \text{ Weight Decay}$$

# Regularization

### L1 regularization:

$$\|\theta\|_1 = |w_1| + |w_2| + \cdots$$

New loss function to be minimized

$$L'(\theta) = L(\theta) + \lambda \frac{1}{2} \|\theta\|_1 \qquad \frac{\partial L'}{\partial w} = \frac{\partial L}{\partial w} + \lambda \operatorname{sgn}(w)$$

Update:

$$w^{(t+1)} \to w^{(t)} - \eta \frac{\partial L'}{\partial w} = w^{(t)} - \eta \left( \frac{\partial L}{\partial w} + \lambda \operatorname{sgn}(w^t) \right)$$
$$= w^{(t)} - \eta \frac{\partial L}{\partial w} - \eta \lambda \operatorname{sgn}(w^{(t)}) \text{ Always delete}$$

$$w^{(t+1)} = (1 - \eta \lambda)w^{(t)} - \eta \frac{\partial L}{\partial w} \dots L2$$

### Regularization: L1 vs. L2

L1 regularization:  $\|\theta\|_1 = |w_1| + |w_2| + \cdots$ 

$$w^{(t+1)} = w^{(t)} - \eta \frac{\partial L}{\partial w} - \eta \lambda \operatorname{sgn}(w^{(t)})$$

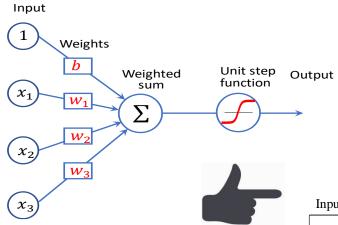
**L2** regularization:  $\|\theta\|_2 = (w_1)^2 + (w_2)^2 + \cdots$ 

$$w^{(t+1)} = (1 - \eta \lambda)w^{(t)} - \eta \frac{\partial L}{\partial w}$$

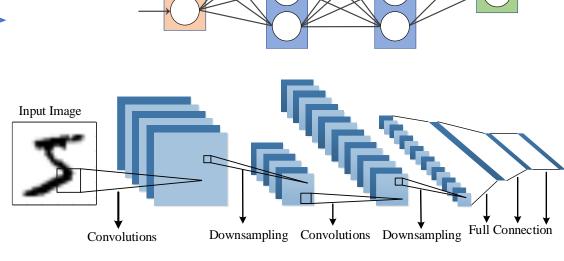
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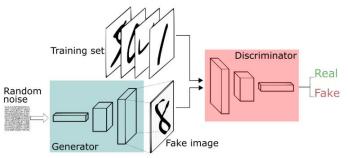
2. Multilayer neural networks, backpropagation



3. Convolutional Neural Networks and Applications



4. Generative Adversarial Networks



5. Recurrent networks and applications

