# Introduction to Computer Vision Final

## Haochen Zhao, PKU YPC

# Part 1: Temporal Analysis

## 1. Motion and Optical Flow

Definition: optical flow is the apparent motion of brightness patterns in the image Goal: recover image motion at each pixel from optical flow Key assumptions:

- 1. Brightness constancy
  - 1. Brightness Constancy Equation: I(x,y,t-1) = I(x+u(x,y),y+v(x,y),t)
- 2. Small motion
  - 1. Taylor expansion:  $abla I imes [u,v]^T = 0$
  - 2. The Aperture Problem (Ambiguity): If (u,v) satisfies the equation, so does (u+u',v+v') , if  $\nabla I \times (u',v')=0$
- 3. Spatial coherence
  - 1. Assume the pixel's neighbors have the same (u, v)
  - 2. If we use 5x5 window, then gives 25 equations per pixel

#### **Lucas-Kanade Flow**

$$\begin{bmatrix} I_x(p1) & I_y(p1) & \dots & I_x(p25) & I_y(p25) \end{bmatrix} \begin{bmatrix} u \ v \end{bmatrix} = - \begin{bmatrix} I_t(p1) & \dots & I_t(p25) \end{bmatrix} \text{ , } A_{25\times 2}d_{2\times 1} = b_{25\times 1}$$
 Overconstrained linear system: least squares solution: 
$$(A^TA)d = A^Tb \text{ Eigenvalues of } A^TA: \lambda_1, \lambda_2, \text{ Harris corner detector }$$

FlowNet: Learning Optical Flow with CNN

## 2. RNN

## 2.1 Concepts

**Process Sequential Data:** 

- 1. one2one: Vanilla NN
- 2. one2many: Image Captioning
- 3. many2one: action prediction
- 4. many2many: Video Captioning

Key Idea: internal state (hidden state) Recurrent Formula

RNN Hidden State Update: recurrence formula

$$h_t = f_W(h_{t-1}, x_t)$$

h: state, W: paras, f: func, x: input vector

RNN Output  $y_t = f_{W_{hy}}(h_t) \,\, f_{W_{hy}}$ : another function with paras

notice: the same function and same set of parameters are used at every time step

## 2.2 Vanilla RNN

$$h_t = tanh(W_{hh}h_{t-1} + W_{xh}x_t) \,\, y_t = W_{hy}h_t$$

Char-level Language model:

input\_layer - $W_{xh}$ - hidden\_layer - $W_{hy}$ - output\_layer -- target chars

Backpropagation through Time Run forward and backward through chunks of the sequence instead of whole sequence Carry hidden states forward in time forever, but only backprop for some smaller number of steps sequence length, longer term relationship will not be learned

**Different Sampling Strategies** 

- Greedy sampling
- Weighted sampling
  - Exhaustive Search
  - Beam Search

### **RNN Tradeoffs**

- Advantages
  - Can process any length input
  - o (in theory) can use info from many steps back
  - Model size doesn't increase
  - Same weights, symmetry in input processing
- RNN Disadvantages
  - Recurrent computation is slow
  - o (in practice) difficult to access info from many steps back

## **Applications**

- Image Captioning
  - CNN + RNN
- Visual Question Answering (VQA)
  - CNN + LSTM
- Multilayer RNNs

#### **Vanilla RNN Gradient Flow**

Backpropagation from  $h_t$  to  $h_{t-1}$  multiplies by  $W_{hh}^T$ 

- Non-linearity gradient always < 1, so gradient vanishing
- No non-linearity: Largest singular value > 1: exploding gradient; < 1: vanishing gradient

## **2.3 LSTM**

Fix the vanishing gradient problem: separate memory

$$[\,i\ f\ o\ g\,] = [\,\sigma\ \sigma\ \sigma\ tanh\,]\,W[\,h_{t-1}\ x_t\,]\ \ c_t = f@c_{t-1} + i@g\ h_t = o@tanh(c_t)$$

Gates:

- i: Input gate
  - o whether to write to cell
- f: Forget gate
  - whether to erase cell
- o: Output gate
  - how much to reveal cell
- q: Gate gate
  - how much to write to cell

**LSTM Gradient Flow** Backpropagation from  $c_t$  to  $c_{t-1}$  only elementwise multiplication by f, no W: Uninterrupted gradient flow

- Notice the gradient contains the f gate's vector of activations
  - o allows better control of gradients values, using suitable parameter updates of the forget gate
- Notice that are added through the f,i,q,o gates
  - better balancing of gradient values

## Summary

- 1. RNNs allow a lot of flexibility in architecture design
- 2. Vanilla RNNs are simple but don't work well
- 3. Common to use LSTM or GRU: additive interactions improve gradient flow
- 4. Backward flow of gradient in RNN
  - 1. Vanilla RNN: explode or vanish
  - 2. Exploding is controlled with gradient clipping
  - 3. Vanishing is controlled with additive interactions(LSTM)

## 3. Video Analysis

Video as data: 4D tensor:  $T \times 3 \times H \times W$  Video Classification: Recognize **actions** 

- Problem: Videos are big
- Solution: Train on short clips

## 3.1 Models (for short clips)

- 1. Single-Frame CNN
  - 1. Idea: normal 2D CNN to classify video frames independently
  - 2. Often a very strong baseline
- 2. Late Fusion with FC
  - 1. Intuition: Get high-level appearance of each frame and combine them
  - 2. Run 2D CNN on each frame, concate features and feed to MLP (Clip feature:TDH'W')
- 3. Late Fusion with Pooling
  - 1. Run 2D CNN on each frame, pool features and feed to Linear
  - 2. Frame feature: TDH'W' --Average Pool over space and time-- Clip feature: D
  - 3. Problem: Hard to compare low level motion between frames
- 4. Early Fusion
  - 1. Intuition: Compare frames with very first Conv layer, then normal 2D CNN (First 2D conv collapes all temporal info)

- 2. Input:  $T \times 3 \times H \times W$  , reshape:  $3T \times H \times W$  , after first conv:  $D \times H \times W$  , then 2D CNN: class score
- 3. Problem: one layer of temporal processing may not be enough

#### 5. 3D CNN

1. Intuition: Use 3D Conv and Pool to slowly fuse temporal info

## Comparison:

- Late Fusion
  - Build slowly in space
  - o All-at-once in time at end
- Early Fusion
  - Build slowly in space
  - All-at-once in time at start
  - No temporal shift invariance!
- 3D CNN
  - slow fusion
  - Temporal shift invariance

## Application:

- C3D (The VGG of 3D CNNs)
  - o 3D CNN: all 3x3x3 Conv, 2x2x2 Pooling
  - Used as video feature extractor
  - Problem: 3x3x3 Conv is expensive
- Two-Stream Fusion Networks
  - Use both motion and appearance
  - Spatial stream ConvNet
    - input: Single Frame  $(3 \times H \times W)$
    - Conv + pool + full + softmax
  - Temporal stream ConvNet
    - input: multi-frame optical flow  $([2 \times (T-1)] \times H \times W)$

## 3.2 Models (long-term structure)

Intuition: handle sequences: recurrent networks

- Extract features with CNN (2D or 3D)
- Process local fearures using recurrent networks (LSTM)
- Sometimes don't backprop to CNN to save memory, pretrain CNN as feature extractor

**Recurrent Convolutional Network** Entire network uses 2D feature maps:  $C \times H \times W$  Each depends on 2 inputs:

- Same layer, previous timestep
- Prev layer, same time step

Use different weight at each layer, share weights across time

## Comparison:

- RNN + CNN:
  - o RNN: Infinite temporal extent
  - CNN: finite temporal extent
- RCN: Infinite temporal extent

Probelm: RNNs are slow for long sequences (can't be parallelized)

# Part 2: Object Detection and Instance Segmentation

## 1. Object Detection

## 1.1 Single Object

Task

- localization + classification
- Output: 2D bounding box, 4 DoF

Treat localization as a regression problem Regression Loss: Error  $(\Delta x, \Delta y, \Delta w, \Delta h)$ 

- L1 loss:  $\Sigma |\Delta_i|$ 
  - o robust, however not good at convergence
- L2 loss:  $\Sigma \Delta_i^2$  Not the same to L2 norm
  - Not robust to a large error, good at convergence
- RMSE (Rooted mean squared loss):  $\sqrt{\frac{1}{N}\Sigma\Delta_i^2}$ 
  - the gradient of sgrt is bad at zero
- Smooth L1 loss & Huber loss
  - o quadratic when diff is small, linear when diff is big

## 1.2 Multiple Objects

Different images need different numbers of outputs

- Silding-Window based method
  - o Idea: apply CNN to many different crops, classifies each crop as object or background
  - Problem: huge numbers of locations, scales, aspect ratios, expensive
- R-CNN
  - o Idea: Region Proposals: Selective Search
  - Implementation
    - Rol from proposal method (~2k)
    - Warped image regions
    - Forward each region through ConvNet (pretrained)
    - Classify regions with SVMs
    - Predict corrections to RoI (dx, dy, dh, dw)
  - Problem
    - ~2k independant forward pass
    - cropped region doesn't contain sufficient info to refine bbox
- Fast R-CNN

- Idea: Pass the image through ConvNet before cropping, crop Conv feature
- Structure
  - Backbone network: AlexNet, VGG...
  - Rol proposal method, crop and resize
  - Per-Region Network
  - Object category: Linear + softmax
  - Box offset: Linear
- Cropping features: Rol Pool
- Problem: Runtime dominated by region proposals
- Faster R-CNN
  - Idea: Insert Region Proposal Network (RPN) to predict proposals from features
  - RPN
    - use K different anchor boxes of size and scale at each point
    - binary classification: whether contain object
    - For positive boxes, also predict a correction from anchor to gt-box (regress 4 numbers per pixel)
    - Sort boxes by their score, take top ~300
  - o Training: jointly train with 4 losses
    - 1. RPN classify object / not object
    - 2. RPN regress box coordinates
    - 3. Final classification score
    - 4. Final box coordinates
  - o Inference: two-stage detector
    - Stage 1: Run once per image
      - Backbone network
      - RPN
    - Stage 2: Run once per region
      - Crop features: Rol pool / align
      - Predict object class
      - Predict bbox offset

NMS: IoU threshold

#### **How to Evaluate Detection?**

- precision:
  - o measures "false positive rate"
  - o true object detection / total number of objects predicted
- recall:
  - o measures "false negative rate"
  - o true object detections / total of real objects
- Evaluation metric: AP (Average Precision)
  - $\circ \ AP = rac{1}{11} \sum_{Recall_i} Precision(Recall_i)$
  - o mAP is the mean of AP

Single-Stage Detectors: YOLO/SSD/RetinaNet

## 2. Instance Segmentation

Different Approaches for Instance Segmentation

- Top-down approach
  - o object detection and then further find a binary mask inside bbox
- Bottom-up approach
  - o grouping: group together similar data points and represents them with a token
  - classification

## 2.1 Mask R-CNN

Top-Down Approach: Mask R-CNN

- Idea: add a small mask network that operates on each RoI and predicts a 28x28 binary mask
- Structure
  - CNN + RPN
  - Rol Align
  - Conv

#### **Problem with Rol Pool**

Max-pool within each subregion:

Region features always the same size even if input regions are not --> Region features slightly misaligned **Rol Align** Sample at regular points in each subregion using **bilinear interpolation** 

Masks

- Class-Specific vs. Class-Agnostic
  - default instantiation: class-specific masks
  - o with class-agnostic masks is nearly as effective
- Multinomial vs. Independent

## 3. 3D Object Detection and Instance Segmentation

## 3.1 3D Object Detection

3D Object Detection:

3D oriented bounding box (x,y,z,w,h,l,r,p,y) Simplified bbox: no roll & pitch (but has yaw) Much harder than 2D

A 2D bbox on an image is a frustum in the 3D space

Localize an object in 3D: it can be anywhere in the camera viewing frustum

## 3.2 From RGB-D

## 3D Object Detection from RGB-D: Frustum PointNet

- depth to point cloud
- 2D region (from CNN) to 3D frustum
- 3D box (from PointNet)

## Pipeline of Frustum PointNet

- Frustun Proposal
- 3D Instance Segmentation
- Amodal 3D Box Estimation

Very expensive to perform sliding windows in 3D

## 3.3 From Monocular Camera

- Same idea as Faster RCNN, but proposals are in 3D
- 3D bounding box proposal, regress 3D box parameters + class score

#### VoteNet

- idea: ask the surface points to vote for object centers
- Deep Hough Voting: Pipeline
  - Input: point cloud (PointNet++)-->
  - Seeds (XYZ + feature) -->
  - Ovotes (XYZ + feature) -->
  - Vote clusters -->
  - Output: 3D bounding boxes

## Part 3: Generative Model

## 1. Fundation

## Objectives:

- 1. Learning  $p_{model}(x)$  that approximates  $p_{data}(x)$
- 2. Sampling new x from  $p_{model}()$

#### Discrinative vs. Generative

- Discriminative
  - o Y: labels, X: inputs
  - $\circ$  Learn P(Y|X)
- Generative
  - X is all the variables
  - $\circ$  P(X) or P(X,Y) (if labels are available)

## Generative models

- Explicit density
  - Tractable density
    - PixelRNN/CNN
  - Approximate density
    - Variational
      - VAE
    - Markov Chain
      - Boltzmann Machine

- Implicit density
  - o Direct
    - GAN
  - Markov Chain
    - GSN

## 2. PixelRNN/CNN

## 2.1 FVBN (Fully Visible Belief Network)

Explicit density model:  $p(x)=p(x_1,x_2,\ldots,x_n)$ Likelihood of image x -> Joint likelihood of each pixel ldea: use chain rule to decompose likelihood of an image  $p(x)=\Pi_{i=1}^n p(x_i|x1,\ldots,x_{i-1})$ 

## 2.2 PixelRNN and PixelCNN

Pros:

- Can explicitly compute likelihood p(x)
- · Easy to optimize
- Good samples

Con:

• Sequential generation --> slow

## 3. VAE

## 3.1 Autoencoder

- Input data x --Encoder-->
- Features z --Decoder-->
- Reconstructed input data  $\hat{x} --> L2$  loss

can't generate new images from AE: don't know space of z

### 3.2 Variational Autoencoders

Intuition: x: image, z: latent factors to generate x: attributes, orientation, etc

- How to represent this model?
  - $\circ$  choose p(z) to be simple, p(x|z) be complex
- How to train?
  - Maximize likelihood of training data:  $p_{\theta}(x) = \int p(z)p_{\theta}(x|z)dz$
  - $\circ$  p(z): Standard normal distribution N(0,I)
  - $\circ p_{\theta}(x|z)$ 
    - decoder neural network
    - assume this prob. distribution is also Gaussian
    - lacksquare Only needs to predict  $\mu_{x|z}, \Sigma_{x|z}$

- Problem: Intractable:
  - $\int$ , intractable to compute p(x|z) for every z
  - Monto Carlo: Unbaised but high variance
- How to learn VAE:
  - $\circ$  Another way:  $p_{ heta}(z|x) = rac{p(z)p_{ heta}(x|z)}{p_{ heta}(x)}$  ,  $p_{ heta}(x)$  is intractable
  - $\circ$  Probabilistic encoder:  $q_{\phi}(z|x)$ 
    - lacksquare Learn  $q_\phi(z|x)$  to approximate  $p_ heta(z|x)$
    - lacksquare q: take input x, output  $\mu_{z|x}, \Sigma_{z|x}$
  - How to learn
    - Build a loss (NLL loss):  $L = -log(p_{\theta,\phi}(x))$
    - Minimize L with respect to  $\theta, \phi$
    - lacksquare But  $log(p_{ heta,\phi}(x))$  is still intractable, need to approximate
      - **ELBO**: Evidence Lower BOund
        - E decoder + KL
- Why Called Variational: Variational inference
- Generating data
  - o use decoder network and sample z from prior
  - o Diagonal prior in z: independent latent variables
  - o Different dims of z encode interpretable factors of variation

## 3.3 Summary

Probabilistic spin to traditional AEs: allows generating data Defines an intractable density: derive & optimize a (variational) lower bound

- Pros
  - Principled approach to generative models
  - o Interpretable latent space
  - $\circ$  Allows inference of q(z|x), can be useful feature representation for other tasks
- Cons
  - Maximizes lower bound of likelihood: OK but not good as PixelRNN/CNN
  - Samples blurrier and lower quality compared to SOTA
- Active areas of research
  - More flexible approximations
  - Learning disentangled representations

## 4. GAN

GANs: not modeling any explicit density function

- Problem: want to sample from complex, high-dim training distribution, no direct way
- Solution: Sample from a simple distribution like random noise, learn transformation to training distribution
- Objective: Generated images should look "real"
- Solution
  - Use a discriminator network to tell whether the generate images is within data distribution or not
- Structure

- o Discriminator network: try to distinguish between real and fake images
- Generator network: try to fool discriminator by generating real-looking images
- Training GAN: 2-player games
  - Train jointly in minimax game
  - $\circ \ min_{ heta_a} max_{ heta_d}$
  - Discriminator  $(\theta_d)$  wants to maximize objective such that D(x) 1,  $D(G(z)) \sim 0$
  - o Training: alternate between:
    - Gradient ascent on discriminator
    - Gradient ascent on generator, different objective
- Architecture for stable Deep Conv GANs
  - replace pooling with strided Convs(discriminator) and fractional-strided Convs(generator)
  - o use BN in both d & g
  - o remove FC hidden layers
  - o use ReLU in generator except for output, which uses Tanh
  - use LeakyReLU in discriminator
- Evaluation Metric
  - Manual inspection
  - Quantitative measures
    - Nearest neighbor
    - User study
    - Mode drop and mode collapse
    - FID
      - Embed a set of generated samples into a feature space given by a specific layer of InceptionNet(or any CNN)
      - Lower FID means smaller distance between synthetic and real data distributions
- Interpretavle Vector Math

## Summary

Don't work with explicit density function Take game-theoretic approach

- Pros
  - Beautiful, SOTA
- Cons
  - Trickier / more unstable to train
  - Can't solve inference queries such as p(x), p(z|x)
- · Active areas of research
  - Better loss, more stable training
  - Conditional GANs, GANs for all kinds of application

### VAE

- Blurry
- Full coverage of the data
- Support approximate inference

#### **GAN**

More reakistic

- Only penalize fakem, may suffer mode collapse
- Can't infer prob.

## Part 4: 3D Reconstruction

## 1. SfM

## 1.1 2D-3D basics

Camera center - Pixel position - 3D point Camera Pose from 2 Views

## 1.2 Structure from motion

## **SfM Pipeline**

- Unstructured Images --Assoc.-->
- Scene Graph --SfM-->
- Sparse Model --MVS-->
- Dense Model

#### **Data Association**

- identified pairs of overlapping images
- geometrically verified inlier matches (and feature descriptors optionally)
- related camera poses (if known calibration)

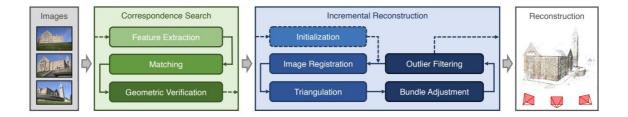
## **Structure from Motion**: 3 paradigms

- 1. Incremental
- 2. Global
- 3. Hierarchical

#### Incremental SfM

- Initialization
  - Choose 2 non-panoramic views
  - o Triangulate inlier correspondences
  - Bundle adjustment
    - Non-linear refinement of structure and motion
    - Minimize reprojection error
  - o Absolute camera registration
    - Find 2D-3D correspondences
    - Solve Perspective-n-Point problem
  - Outlier filtering

# Incremental SfM

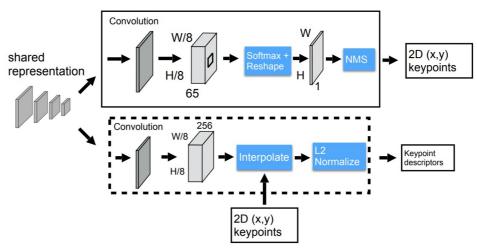


## 1.3 Learning-based structure from motion

Improve the robustness/precision via data-driven learning

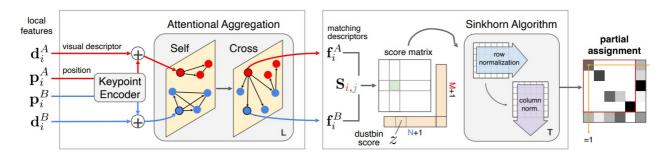
- 1. Improving features and keypoints for matching
  - 1. SuperPoint: A Learned Detector and Descriptor Key Challenges:
    - 1. How to get training data?
    - 2. How to maintain precision/detection at high resolution

# SuperPoint: Detector



Bilinear interpolation using keypoint locations to get descriptors

2. Improving the matching process via global reasoning SuperGlue: context aggragation + matching + filtering



# A Graph Neural Network with attention

# Solving a partial assignment problem

Encodes contextual cues & priors

Reasons about the 3D scene

Differentiable solver

Enforces the assignment constraints = **domain knowledge** 

Slide credits: Paul-Edouard Sarlin

## 2. MVS

## 2.1 Introduction to Multi-View Stereo

Idea: Reconstruct the dense 3D shape from a set of images and camera parameters Why MVS Given the Development of Depth Sensors?

- Measurement of depth sensors is either sparse or with limited range
- Texture and lighting info is missing
- Nice association between image and 3D

## 2.2 Classic MVS

## **Reconstruction from Silhouettes**

- Approach
  - Back-project each silhouette
  - Intersect back-projected volumes
- Pros
  - Easy to implement, fast
  - Accelerated with Octress
- Cons
  - Requires identification of silhouettes
  - Not photo-consistent
  - No concavities

## Multi-View Stereo vs. Two-View Stereo

- Different points on the object's surface will be more clearly visible in some subset of cameras
  - o Could have high-rres closeups of some regions
  - Some surfaces are foreshortened from certain views
  - Some points may be occluded entirely in certain views

• More measurements per point can reduce error

## Limitations of classical MVS

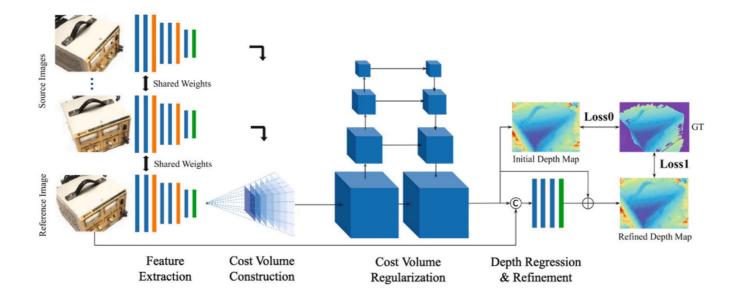
- Textureless Area
- Reflection/Transparency
- Repetitive patterns

## Learning-Based MVS

- Learned feature: more robust matching
- Shape prior: more complete reconstruction

## 2.3 Learning-based MVS: a first pipeline

# Pipeline Summary



- Issues
  - Quality and speed tradeoff
  - Flying points when there is abrupt depth change
- Possible solution
  - Depth estimation following a coarse to fine strategy
  - Stronger loss function regularizing flying points

## 2.4 Learning-based MVS: Improvements

- Adaptive Space Sampling
  - o Coarse-to-fine Sampling
    - Analyze per-pixel confidence intervals
    - Narrow down the sampling range based on uncertainty
- Depth-Normal Consistency
  - How to Improve Surface Smoothness?
    - Key observation: Surface smoothness is reflected by surface normal

- Summary
  - Deep volumetric stereo can lead to more robust matching and more complete reconstruction
  - But volume-based methods are NOT computationally efficient, since the 3D target scene is sparse
  - o Adaptive sampling can improve computation efficiency and reconstrction quality
  - o Normal prediction is easier than depth, and can help improve depth accuracy and smoothness

## 3. NeRF

Neural Radiance Field Key Idea of NeRF

- Use an implicit function to replace the volume representation
- Track light emission along different directions

## 3.1 Implicit Functions

SDF: Signed Distance Field

- Pros
  - o Compared to point clouds: clearly defines the (iso-)surface
  - Compared to meshes: can continuously adapt to arbitrary topology
  - o continues in 3D
  - Can give analytic normals, can be applied with boolean operations
- Cons:
  - well-defined for only watertight meshes (with interior and exterior)
  - o need extra steps to visualize
  - Not all complex shapes can be efficiently/accurately represented with simple primitives

DeepSDF: Efficiently representing complex shapes by learning their SDF

• Idea: Learn a continuous representation of 3D implicit surfaces

Visualization of implicit functions is done by extracting iso-surfaces

- 1. Running inference for multiple queries in input space
- 2. Rendering the result by combining the queries

## 3.2 Volume Rendering with Ray Marching

General Idea

- The appearance of the surface will be observed at views along the camera ray
- If we have a **light transport model** from the surface along the ray to the pixels, we will know the pixel color

A single point:  $I_1=lpha_1(rac{c_1}{\sigma_1})$ 

- $I_1$ : light intensity after point 1
- $c_1$ : predicted emission radiance at point 1
- $\alpha_1$ : opacity of point 1

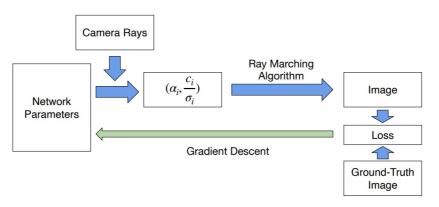
Multipoints:  $I_k = lpha_k(rac{c_k}{\sigma_k}) + (1-lpha_k)I_{k-1}$ 

$$T_i=\Pi_{j=i+1}^n(1-lpha_j)=exp(-\sum_{j=i+1}^n\sigma_j\delta_j)\;\;I=\sum_iT_ilpha_i(rac{c_i}{\sigma_i})$$
 , final radiance of the ray

## 3.3 Learning NeRF

What NeRF learns:  $(lpha_i,rac{c_i}{\sigma_i})=F_\Theta(x,y,z, heta,\phi)$  Pixel loss: Comparing I with gt pixel value

# Train Pipeline in NeRF



- · Optimize on a single scene
  - store the scene in weights of the network
- · Require ground-truth camera parameters

## Part 5: Embodied AI

## 1. Embodied Al

Classic Disembodied Al: "intelligence as computation" Embodiment: "intelligence requires a body"

Visual Motor Coordination/Integration

How Humans Learn: Perception-Action Loop

Perceive, forms hypotheses, and then take action to examine

## **Research Questions**

- Classic AI
  - o Thinking, reasoning, abstract problem solving
- Embodied AI
  - o Movement, physical interaction with the real world

## Trends in CV Field

- Moving to Interaction?Robotic tasks
- Encourage active/interactive perception

Now: Industrial Robots, Autonomous Driving; Future: Home Robots Related Work: RT-1 Limitations

- Limited task diversity, still mainly pick and place
- No 3D Vision
  - Lack of geometry
  - Lack of 3D scene modeling
- Demonstrations are costly, scalability is questionable

Goal: a scalable 3D-aware home robot

- Keys
  - 1. better scalability via leveraging synthetic data and simulation
  - 2. leverage 3D signal to improve performance and generalizability
- Mobile manipulation
  - Grasping
  - Functional manipulation
  - Navigation

## 2. Object Grasping

## 2.1 Tasks

Grasping: restraining an object's motion in a desired way by applying forces and torques at a set of contacts Grasping Synthesis: a high-dim search or optimization problem to **find gripper poses** or joint configs

## **Terms**

- Grasp Pose: the position and orientation of a hand
  - 4-DoF grasp: 3D position + 1D orientation (top-down)
  - 6-DoF grasp: 3D position + 3D orientation
- Closure
  - Force Closure
    - the positive span of the wrench cones is the entire wrench space
    - good minimum requirement for a robot hand
  - o Form closure
    - the rigid body is fully immobilized by a set of rigid stationary fixtures
    - usually too strict, requiring too many contacts
  - Simply: form closure -> force closure -> successful grasp

Dataset Real Dataset: GraspNet-1Billion: with grasping annotation Grasp pose annotation pipeline:

- The grasp point is firstly sampled from Point Cloud
- Then the grasp view, the in-plane retation and the gripper depth are sampled and evaluated, 6D pose of each object
- Collusion detection os alsoo conducted to avoid the collision between grasps and background or other object

## Steps:

1. Grasp poses are sampled and annotated for each single object

2. For each scene, we project these grasps to the corresponding object

## 2.2 Representation

#### 2.2.1 Voxel Grids

Volumetric Grasping: similar to a pixel over a 3D grid instead of a 2D image Network: **VGN** (Volumetric Grasping Network) Explicit geometry; limited by the volume resolution

**TSDF**: Truncated Signed Distance Function

**VGN** Detection Network

- Input: TSDF volume fused from multi-view depth maps
- Output: 6-channel volume grid, with each voxel containing quality(1d), orientation(quaternion,4d), width(1d)
- Follows a 3D FCN encoder-decoder architecture
- Post processing: 3D Gaussian smoother, NMS

## 2.2.2 Point Cloud

Backbone: PointNet, PointNet++ Network: GraspNet-baseline Explicit geometry; sensor noise, depth error

Problem: transparent and specular objects

Goal: Generalizable Material-Agnostic Object Grasping

Approaches:

- 1. First restoring depth, then grasping
- 2. Multiview RGB-based method (depth-free)

## 2.3.2 GraspNeRF

- Sparse inputs
- Generalize to novel scene
- · Real-time speed
- 6-DoF grasping
- End-to-end differentiable

## 3. Object Manipulation

Introduction: Manipulation Tasks: Grasping, Ungrasping, Object rearrangement, In-hand manipulation, Tool use

GAPartNet: Generalizable, actionable DexGraspNet: dexterous grasp dataset

## 4. Locomotion and Navigation

Navigation: drive the agent to find the target Challenges:

1. Novel scene

- 2. Noisy indoor positioning
- 3. Poor scene understanding

Goals: Point Goal, Image Goal, Object Goal

## **Navagation Methods**

- Classical Modeular Navigation
  - Good generalizability
  - Satisfying performance
  - Hard to implement
- End-to-end RL
  - Easy to implement
  - Satisfying performance
  - Requires extensive training time
  - Poor generalizability

## 4.1 Classical Modular Navigation

- 1. Mapping
  - 1. 3D representation
    - 1. Explicit: voxel, mesh, pc, octomap
    - 2. Implicit: TSDF, voxel hashing
  - 2. Mapping Methods
    - 1. Panoptic fusion
    - 2. Kimera: Real-Time Metric-Semantic Localization and Mapping
    - 3. 3D Dynamic Scene Graphs
- 2. Planning
  - 1. Rule-based local planner
    - 1. Search-based Methods
      - 1. DFS & BFS
      - 2. A\* & variations
    - 2. Sample-based Methods
      - 1. RRT & varaiations
      - 2. Fast Marching
  - 2. Learning-based non-local planner
    - 1. Learning-based Methods
      - 1. Imitation Learning
- 3. Execution

## 4.2 End-to-end RL

Hardware Framework: Robot End - Computer End - Cloud server