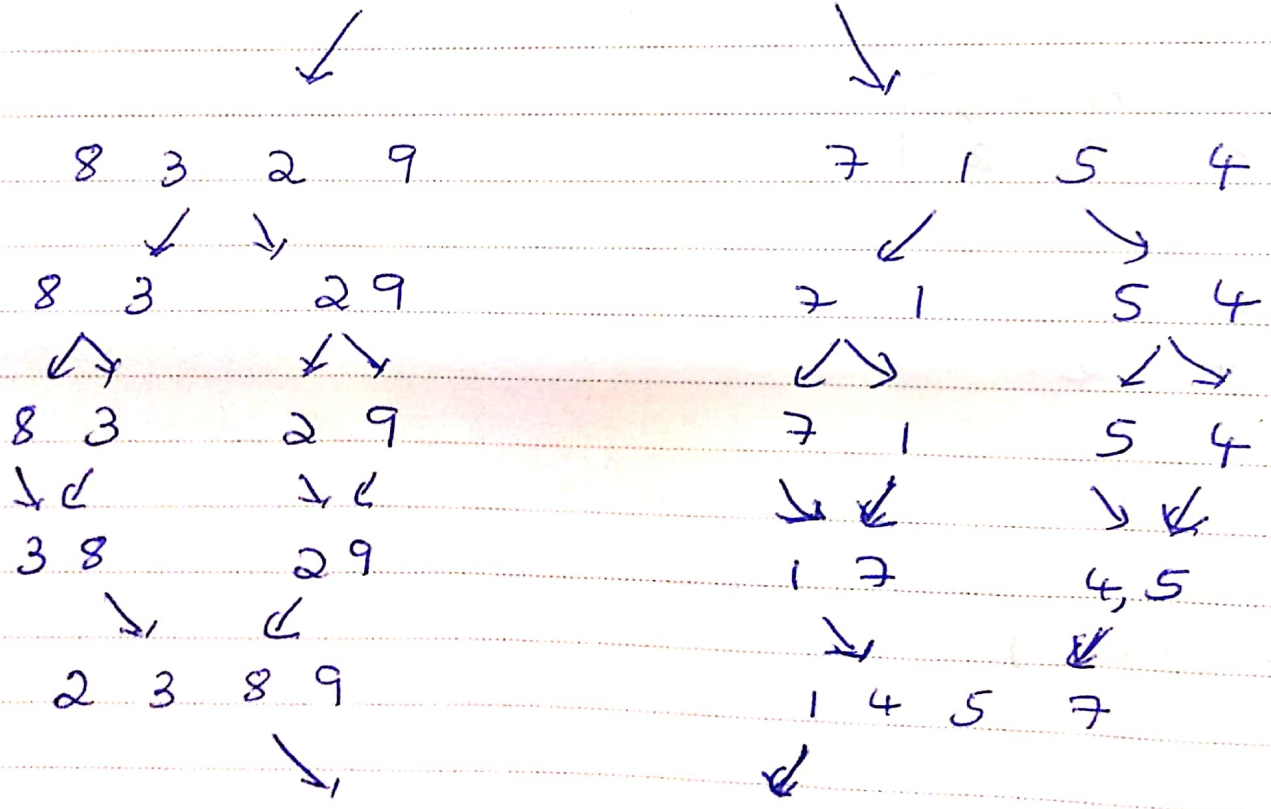


4.

# \* Merge Sort \*

Trace for: 8 3 2 9 7 1 5 4

8 3 2 9 7 1 5 4



1 2 3 4 5 7 8 9

# Recursion handout for algorithm

## Efficiency Analysis

$$T(n) = \begin{cases} 0 & n=1 \\ T(n/2) + T(n/2) + c(n) & \text{otherwise} \end{cases}$$

↳ divide & merge one instance of solution.

$$T(n) = 2T(n/2) + c(n)$$

$$n = 2^k$$

$$T(2^k) = 2T(2^{k-1}) + c \cdot 2^k \rightarrow (1)$$

put  $k = k-1$  in (1)

$$T(2^{k-1}) = 2T(2^{k-2}) + c \cdot 2^{k-1} \rightarrow (2)$$

Substitute (2) in (1)

$$\begin{aligned} T(2^k) &= 2[T(2^{k-2}) + c \cdot 2^{k-1}] + 2^k \cdot c \\ &= 2^2 T(2^{k-2}) + c \cdot 2^k + c \cdot 2^k \\ &= 2^2 T(2^{k-2}) + 2c \cdot 2^k \\ &= 2^3 T(2^{k-3}) + 3 \cdot c \cdot 2^k \end{aligned}$$

= ...

$$= 2^k T(2^{k-k}) + k \cdot c \cdot 2^k$$

$$= 2^k \cdot 0 + k \cdot c \cdot 2^k$$

$$= c \cdot k \cdot 2^k$$

$$= n \cdot \log_2 n$$

$$\in \Theta(n \log_2 n)$$

$$2^k = n$$

$$k \log_2 2 = \log_2 n$$

$$k = \log_2 n$$

The shortcoming of merge sort is the linear amount of extra storage the algorithm requires.

## x Quick Sort x

Trace for:

0	1	2	3	4	5	6	7
<b>5</b>	3	1	9	8	2	4	7
			i			j	

<b>5</b>	3	1	4	8	2	9	7
			i	j			

<b>5</b>	3	1	4	2	8	9	7
			j	i			

2	3	1	4	<b>5</b>	8	9	7
---	---	---	---	----------	---	---	---

<b>2</b>	3	1	4
i	j		

1 13/ **2** / 4

<b>2</b>	1	3	4
j	i		

↑ **2** 3 4

1

<b>3</b>	4
j	i

<b>3</b>	4
----------	---

0 1 2 3 4 5 6 7

[8] 9 7  
i j

[8] 7 9  
j i

7 [8] 9

7

9

Trace for:

0 1 2 3 4 5 6 7  
[42] 37 11 98 36 72 65 10  
i j

[42] 37 11 10 36 72 65 98  
j i

36 37 11 10 [42] 72 65 98

[36] 37 11 10  
i j

[36] 10 11 37  
j i

11 10 [36] 37

[11] 10 i  
j

10 [11]  
10



0 1 2 3 4 5 6 7

37

72 65 98  
j i

65 72 98

65

98

### Efficiency Analysis:

Best Case: When input is divided into two equal halves in each iteration.

$$T(n) = \begin{cases} 0 & n=1 \\ T(n/2) + T(n/2) + c(n) & \text{otherwise} \end{cases}$$

↳ Time for partition.

$$T(n) = 2T(n/2) + c \cdot n \quad \text{A/C/A}$$

$n=2^k$

$$T(2^k) = 2T(2^{k-1}) + c \cdot 2^k \rightarrow (1)$$

put  $k = k-1$  in (1)

$$T(2^{k-1}) = 2T(2^{k-2}) + c \cdot 2^{k-1} \rightarrow (2)$$

put (2) in (1)

$$T(2^k) = 2[2T(2^{k-2}) + c \cdot 2^{k-1}] + c \cdot 2^{k-1}$$

$$= 2^2 T(2^{k-2}) + c \cdot 2^k + c \cdot 2^k$$

$$= 2^2 T(2^{k-2}) + 2 \cdot c \cdot 2^k$$

$$= 2^3 T(2^{k-3}) + 3 \cdot c \cdot 2^k$$

$$= \dots$$

$$= 0 + k \cdot c \cdot 2^k$$

$$= n \log_2 n$$

$$\in \Omega(n \log_2 n)$$

Worst Case: One partition is empty & other with remaining elements. Happens when input is in ascending/descending order.

Eg:-

$\boxed{15}_j \quad 10_i \quad 15 \quad 10$

$\boxed{15} \quad 10 \quad 15 \quad 10$

← 0 items

n-1 items →

$$T(n) = \begin{cases} 0 & n=1 \\ T(0) + T(n-1) + cn & \text{otherwise.} \end{cases}$$

$$T(n) = T(n-1) + cn \rightarrow (1)$$

put  $n = n-1$

$$T(n-1) = T(n-2) + c(n-1) \rightarrow (2)$$

put (2) in (1)

$$T(n) = T(n-2) + c(n-1) + c(n)$$

$$\begin{aligned}
 &= T(n-3) + c(n-2) + c(n-1) + c(n) \\
 &= \dots \\
 &= T(n-(n-1)) + c(n-(n-1)) + \dots + c(n-1) + c(n) \\
 &= c(1) + c(2) + \dots + c(n-1) + c(n) \\
 &= c \left( \frac{n(n+1)}{2} \right) \\
 &= c \left( \frac{n^2}{2} + \frac{n}{2} \right) \\
 &\in O(n^2)
 \end{aligned}$$

Average case: Partition split can happen in each position  $s$  ( $0 \leq s \leq n-1$ ) with same probability  $1/n$

$$T(n) = \begin{cases} 0 & 0 \\ \frac{1}{n} \sum_{s=0}^{n-1} [T(s) + T(n-1-s) + (n+1)] & \text{Otherwise} \end{cases}$$

↳ no. of comparisons

On Solving, we obtain

$$T(n) \approx 1.38 n \log_2 n$$

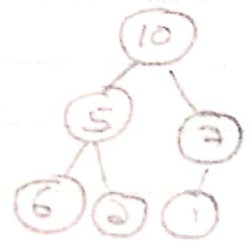
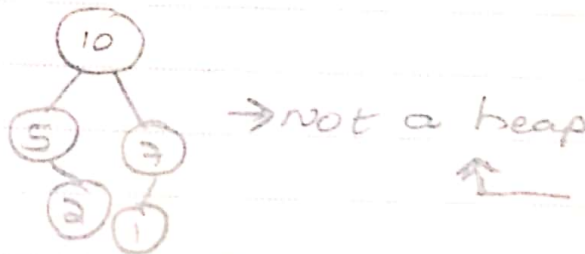
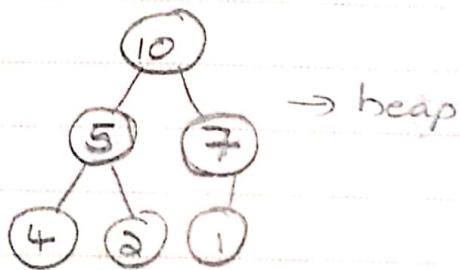
$$\in \Theta(n \log_2 n)$$



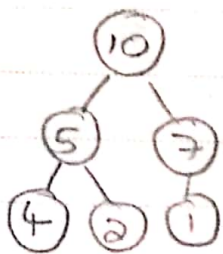
## \* Heap Sort \*

Heap is a binary tree with following conditions:

- i) Tree's shape requirement - essentially Complete or almost complete
- ii) Parental dominance requirement - Parent is greater than children for max heap.



### Array Representation :



0	1	2	3	4	5	6
	10	5	7	4	2	1

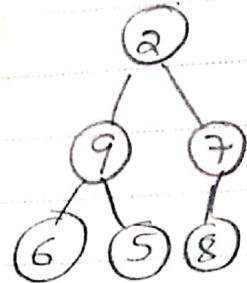
- children of node  $i$  will be in
  - $2i$
  - $2i+1$

- parent of a key in position  $i$  will be  $\lfloor i/2 \rfloor$

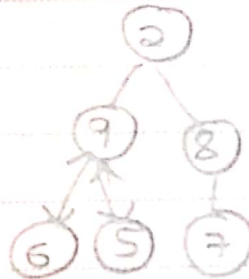
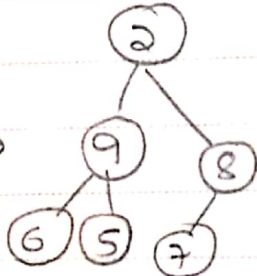
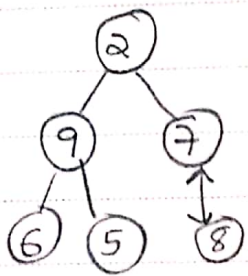


## Bottom Up Heap Construction:

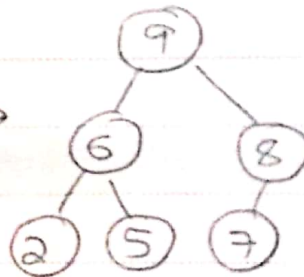
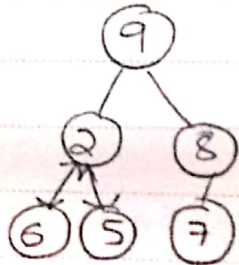
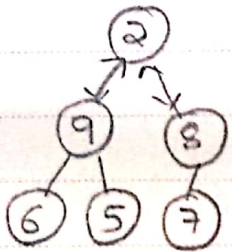
2 9 7 6 5 8



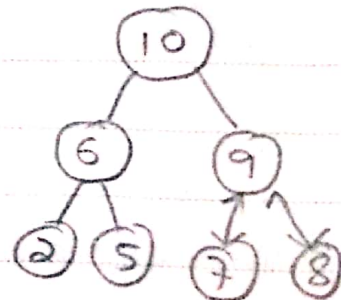
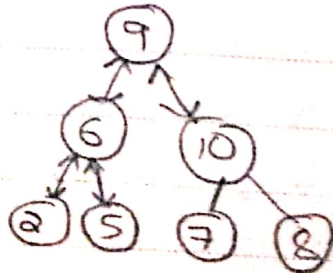
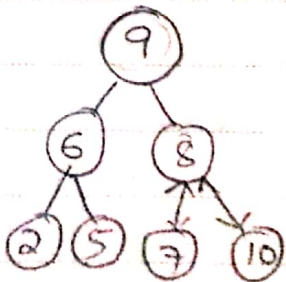
- Level order Tree
- Then heapify.



→ No swap.

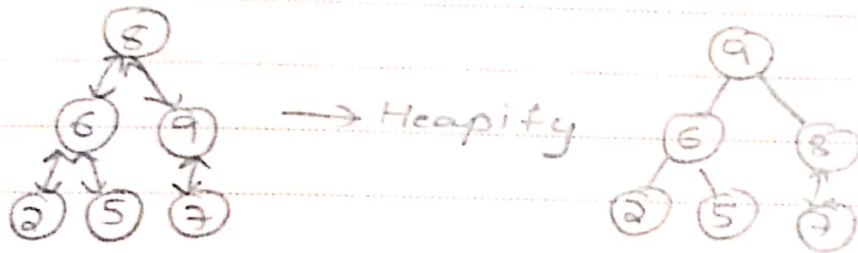
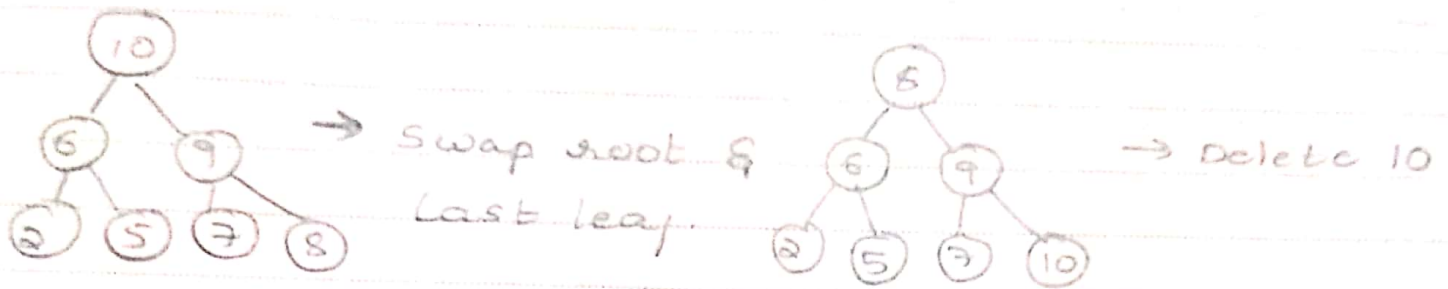


Insert 10 into the heap:



No Change

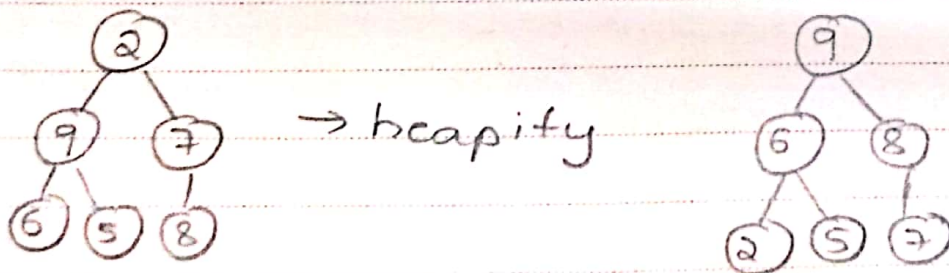
### Delete from a heap:-



## Heap Sort:

- Construct heap for the given array
- Apply root deletion operation  $n-1$  times

### Example:



Now apply root deletions on heapified tree.

