# Comp 250 Study guide

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## Contents

Ι	Preliminaries	6
1	Grade School Algorithms	6
2	Number Representations	6
II	Linear Data Structures	6
3	ArrayLists	6
4	Singly Linked Lists	8
5	Doubly Linked Lists	9
6	List sorting           6.1 BubbleSort            6.2 Selection Sort            6.3 Insertion Sort	9 10 11
7	Stacks	11
8	Queues	12
9	Mathematical Induction	12
10	Recursion10.1 Important Recursive Algorithms	12 13 14 15
II	I Mathematical Tools for Algorithm Analysis	16
11	Solving and Understanding Recurrences  11.1 Basic Idea	16 16

	11.3	Useful tools, identities and tips
	11.4	Important Examples: MergeSort + QuickSort
12	Big	O, Big $\Omega$ , and Big $\theta$
		Semi-formal Definition
		Formal Definition of Big O
		Tips and extra notes on Big O proofs
	12.4	Big O properties
	12.5	Formal definition of Big $\Omega$ (Omega)
	12.6	Tips and extra notes on big $\Omega$ proofs
	12.7	Formal Definition of Big $\theta$
	12.8	Best and Worst Cases
	12.9	Limits and Big O
T T :	<i>7</i> 18	
IV	Γ	Non-Linear Data Structures 28
13		ted Trees 28
		Basic Idea
		Tree Vocabulary
	13.3	Notes and Facts
14	Tree	e Traversal 30
	14.1	Depth-First Traversal
	14.2	Iterative Depth First Traversal
	14.3	Notes on Depth-First
		Breath-First Traversal
15	Bina	ary Trees 32
	15.1	Basic Idea
	15.2	Binary Tree Traversal
		Expression Trees
16	Bina	ary Search Trees 36
		Definition
		Common Operations
		Best and Worst Cases

<b>17</b>	Priority Queues and Heaps	39
	17.1 Heaps	40
	17.2 Operations on Heaps	40
	17.3 Heaps as Arrays	41
	17.4 Building a Heap with Arrays	42
	17.5 Removing an Element With Arrays	43
	17.6 A Faster Way to Build a Heap	44
	17.7 Heapsort	45
18	Maps	46
	18.1 Maps In General	46
	18.2 Maps to a Computer Scientist	46
	18.3 HashCodes	46
	18.4 Horner's Rule	47
19	Hashing	47
20	Graphs	48
	20.1 Graph Implementation	49
	20.2 Graph Traversal	50
$\mathbf{V}$	Object Oriented Design in Java	<b>52</b>
21	Inheritance	<b>52</b>
	21.1 super()	52
	21.2 Overriding	53
	21.3 equals()	53
	21.4 hashCode()	54
	21.5 clone()	54
22	Abstract Classes and Interfaces	54
	22.1 Interfaces	54
	22.2 Abstract Classes	55
	22.3 Comparable Interface	
	22.4 Iterator Interface	
	22.5 Iterable Interface	

23	Typ	$\mathrm{es,F}$	olymo	orphi	isn	n,	C.	las	ss	C	'la	ss								56
	23.1	Intro	o to Pol	lymo	rpl	nisı	n													57
	23.2	The	Class o	elass																57
	23.3	The	Call St	ack																58
24	Gar	bage	Colle	ction	ı															<b>5</b> 8

### Part I

## **Preliminaries**

### 1 Grade School Algorithms

See Assignment 1. Honestly I think the purpose of this section was a "warmup" to Comp250, and I doubt much of it will be on the exam. As long as you know how the addition, subtraction, multiplication, and long division work (in a grade-school way), and can to Quiz 1, you're probably fine.

### 2 Number Representations

You can represent a number in any base like this:

$$a_0 * base^0 + a_1 * base^1 + a_2 * base^2 + ... + a_n * base^n$$

That's basically all you need to know. There are some tricks to converting bases, but it always comes back to this.

Make sure you can do the Quiz 1 questions about arithmetic in other bases. (The Exercises 1 on the course website are useful here!)

### Part II

## Linear Data Structures

### 3 ArrayLists

The important thing to know about Arrays is that basic arrays have constant time access. (O(1))

Now for ArrayLists, which are a data structure that utilize arrays to become a little more flexible.

Common list operations:

- get(i)
- set(i,e)
- add(i,e) //add at index i
- add(e) //add to front
- clear()
- isEmpty()
- size()

**Important!** Array lists have no gaps! They are full from the front. They may have empty spaces in the back, however.

Now we'll go through the steps of each thing in the list:

- get(i) simply check to make sure that i is at least 0 and less than the size, and return array[i].
- set(i,e) again, check the bounds, and do array[i] = e
- add(i,e) here we need to make space for the new element by shifting everything over. This is done by starting at the last element (array[size-1]) and copying into the next slot. Go all the way down until array[i]. Insert the new element, and update the size (size++).

But if the array is full, you need to make a new array, (larger usually by factor of 2) and copy all the elements over. Now you can add your new element.

- add(e) If you don't care where the element goes, you can simply add it to the back, by placing it in array[size]. (remember to size++).
- Note that it takes  $log_2(N)$  doublings of length to insert N elements.
- It takes N-1 copy operations, since we double  $log_2(n)$  times, so  $1+2+...+2^{log_2(N)-1}=N-1$

• remove(i)Remove the element at i, by shifting all following elements down. Remember to update size—!

### 4 Singly Linked Lists

A linked list is a sequence of objects, that are connected by references contained in the **next** and **prev** fields. It's hard to describe properly, so I suggest you look at the lecture slides to see the pictures.

Each list has a specially marked **head** and **tail** nodes that let you know where the front and back of the list are.

#### Common operations:

- addFirst(e) To do this create a newNode, set it's element to e, set it's next to head, set head to the newNode, and you're done. Remember to update size++, and hand the edgecase where if the list is empty, (head==null) then the tail should also reference the newNode
- removeFirst()Do this by making the head point to head.next. size—. Remember your edge cases, where the size is 0 (throw exception), or size is 1 (tail=null).
- addLast() Do this by taking making tail.next reference our newNode, and making newNode the new tail.
- removeLast() Problem! We don't have a way to access the node before tail! Solution: Use a temporary node to iterate through the list (while (tmp.next!=tail) tmp=tmp.next;) Then to remove the last one, simply make tail point to tmp, and tail.next point to null. Of course size—.
- Note: The get(e) and set(e) have the same problem.

So compared to ArrayLists, SinglyLinked Lists are better at:

- adding to front (O(1) vs O(N))
- removing front (O(1) vs O(N))

The same at:

• adding to back (O(1) vs O(1))

And worse at:

• removing from back, getting, setting. (O(N) vs O(1))

A common question is: "How many objects" Don't get tricked by the number of references/fields. There is always 1 linked list object, + number of nodes + number of elements (which is usually just the number of nodes).

### 5 Doubly Linked Lists

Doubly linked lists are almost the same as singly. As the name implies, doubly linked lists have a reference to their next node, and their previous node. This makes removing the last node much easier! Since we can now access the tail.prev field!

**Dummy Nodes**: are useful when avoiding issues with edge cases. They're basically "padding" at the front and back of your list so you don't get null pointer exceptions everywhere. They have no elements.

We can now get(i) from both directions, so if i $_i$ size/2, we start at the front, and if i $_i$ size/2, we start at the end and work backwards.

If you're able to answer do the problems for linked lists I'm sure you'll be okay for the final.

### 6 List sorting

The important thing to remember about all these algorithms is that they take time proportional to  $O(N^2)$ .

#### 6.1 BubbleSort

To sort a list with bubble sort, the idea is to repeatedly compare two adjacent elements. If the second is larger than the first, do a swap.

Some notes:

- At end of the first pass, the largest item is at the back.
- This means we can iterate N-1 times the next pass.
- In the best case, we don't have to do anything! (list is sorted) (Still takes O(N))

```
while(continue==true){
   counter =0;
   continue=false;
   for(int i; i<N-2-counter; i++, counter++){
        if(list[i]>list[i+1]){
            swap(list[i],list[i+1]);
            continue=true;
        }
   }
}
```

#### 6.2 Selection Sort

Idea: Break your list into two parts, an initially empty, "sorted" list, and your unsorted list. Find the smallest element in your unsorted list, and swap it with the first element.

linebreak Here's the code:

```
for(int i=0; i<N-2; i++){ //Do the thing N times.
  index = i; //first element in the "rest list"
  minValue = list[i]; //so far it's the smallest

for(int k=i+1; k<N-1; k++){ //iterate through the rest,
      comparing to minValue.
  if(list[k]<minValue){ //if its smaller
      index=k; //keep track of it's index (for swapping later)
      minValue = list[k]; //update minValue
  }
  if(index!=i)
    swap(list[i], list[index]); //swap if we changed index
}</pre>
```

Some notes on selection sort:

- We pass through the inner loop: (N-1, N-2, ..., 1) times, so (N(N-1)/2) total. So it's  $O(N^2)$  as advertised!
- This is true regardless of best and worst case. (Bubblesort wins here)

#### 6.3 Insertion Sort

Idea: Insert list element at index k into it's correct spot in the previous k-1 entries.

```
for(int k=1; l<N-1; k++){
  elementK= list[k]; //index of the element we want to move
  i=k;
  while(i>0 && (elementK<list[i-1]){
    list[i]=list[i-1]; //shift everything down
    i = i-1;
  }
  list[i] = elementK; //put the element where it should go
}</pre>
```

A few notes:

- In the best case, its O(N) when the list is already sorted
- In the worst case, the list is backwards, so we need to do everything.  $O(N^2)$

### 7 Stacks

You could probably skip this section, since stacks are partially covered in the tree/graph traversal section.

A stack is a list, with a very simple set of operations:

- pop() (basically remove last)
- push() (basically add last)

See the slides for examples of where stacks are used! Honestly, stacks are fairly simple if you can just follow the diagrams. But test yourself to see if you understand! Do quiz 2 and the exercises.

### 8 Queues

Queues are used when you want to preserve a "first in first out" ordering (hence the name queue). Again we have a very simple set of operations:

- enqueue() (basically remove first)
- dequeue() (basically add last)

Queues are implemented using a circular array! We create a circular array like this tail = (head + size - 1)% length.

**IMPORTANT**: When it gets full and you go to increase the size, be sure to copy the head to the front of the new array. (Don't just copy elements).

### 9 Mathematical Induction

Induction is a proof technique used very often. I think this topic is best learnt through examples, and the textbook "Book of Proofs" is a great resource to learn about induction (it's where I learned it for MATH 240) It's a free book, here's the link: http://www.people.vcu.edu/rhammack/BookOf-Proof/BookOfProof.pdf

### 10 Recursion

Again, you could probably skip this, since recursion is visited in the recurrences section, mergesort section and quicksort section. But if you need extra reading, the free book by Allen Downey "Think Java: How to Think Like A Computer Scientist" has a good chapter on recursion. It's on the course website.

### 10.1 Important Recursive Algorithms

Converting to Binary:

```
toBinary(n){
  if(n>0){
    print(n%2); \keep the remainder (mod 2)
    toBinary(n/2); \\recursive call
  }
}
```

Raising to a power  $X^n$ 

```
power(x,n){
   if(n==0){
      return 1
   }
   else if(n==1){
      return x
   }
   else{
      temp = power(x,n/2)
      if(n%2=0){ //n is even
          return temp*temp;
      }else{ //n is odd
          return temp*temp*x;
   }
}
```

Binary Search: Say you have a **sorted** list, and want to find an element. You do this in the same way you would a find a name in a phonebook.

Essentially, look at the middle index, compare to what you're looking for. If what you're looking for is later, look at halfway between where you checked and the end. And so on.

```
binarySearch(list, value, low, high){
  if(low<=high){
    mid = (low+high)/2;</pre>
```

```
if(value==list[mid])
    return mid;
else{
    if (value<list[mid]){
        return binarySearch(list, value, low, mid-1);
    }else{
        return binarySearch(list, value, mid-1, high);
    }
}
else{
    return -1;
}</pre>
```

All of the above algorithms are  $O(log_2(n))$  since we're dividing by 2 over and over.

### 10.2 Mergesort

Basic idea: Split your list into two halves, sort each half recursively, merge the two halves.

```
mergesort(list{
   if(list.length==1){ //base case
     return list;
}
else{
   mid = (list.size -1)/2; //partition
   list1 = list.getElements(0,mid); //making the two lists
   list2 = list.getElements(mid+1,size-1);
   list1 = mergesort(list1); //recursive calls
   list2 = mergesort(list2;
   return merge(list1,list2); //return a merged list
}
```

The merge part needs some attention too!

```
merge(list1,list2){
  initialize empty list;
  while(!list1.isEmpty()&& !list2.isEmpty()){
     if(list1.first<list2.first){</pre>
        list.addLast(list1.first);
     }else{
        list.addLast(list2.first);
     }
  }
// if one of the lists is empty we need to just add whats left
  while list1 is not empty
     list.addlast( list1.removeFirst() );
  while list2 is not empty
     list.addlast( list2.removeFirst() );
  return list;
}
```

Mergsort is  $O(nlog_2(n))$  why? We have  $log_2(n)$  splits (see last section), and we have n of these that we need to merge. If this doesn't make sense, see the part about recurrences later on where we go through the math for this.

### 10.3 Quicksort

Idea: Pick a "pivot" (just any element), and compare it to the elements of this list. Split your list into two parts, things bigger than the pivot, and things smaller than the pivot. Then you recursively sort each list, and concatenate them at the end. This removes the need for the merge() method above.

```
quicksort(list){
  if(list.length <=1){ //base case
    return list;
}
  else{
    pivot = list.removeFirst();
    list1 = list.getElementsLessThan(pivot);
    list2 = list.getElementsGreaterThan(pivot);</pre>
```

```
list1= quicksort(list1);
list2= quicksort(list2);
return concatenate(list1,list2);
}
```

Note that in the worst case (if you pick a bad pivot (ie the smallest/largest thing in the list) every single time) It can still take a long time.

### Part III

# Mathematical Tools for Algorithm Analysis

## 11 Solving and Understanding Recurrences

How can we know the time it takes for a recursive algorithm to run? Recurrence relations!!

#### 11.1 Basic Idea

The idea behind these problems is to look at the algorithm at every step and put down the time it would take as an equation. We write the relation like this:

- t(n) represents the time taken.
- Every operation has constant, unit-less time. Say, 1.
- Recursive calls are represented as t(n') where n' is the input of the recursive call.

To find t(n) as a constant expression, we use the method of back substitution, and try to see a pattern. Once the pattern is established, we can follow

it down all the way until the base case.

Honestly the best way to understand this is to do lot's of examples.

### 11.2 Simple example

**Problem 1.** How long does it take to reverse a list of n elements recursively? **Solution.** It seems like a big question, but lets break it down.

- Remove the first element
- Reverse the rest of the list recursively

So this will take time:

$$t(n) = 1 + t(n-1)$$

So, we can back-substitute like this:

$$t(n) = 1 + (1 + t(n - 2))$$
  
$$t(n) = 1 + (1 + (1 + t(n - 3)))$$

we can already notice a pattern developing, it will take n substitutions to get down to the base case, t(1), so there will be n constant 1's. so we have:

$$t(n) = n + t(1)$$

In this course, we always assume t(1) is 1.

$$t(n) = n$$

So this takes time proportional to O(n)

The idea is always the same, we just get to more and more complicated examples.

### 11.3 Useful tools, identities and tips

Here are some useful tools and tricks you might need:

- In comp 250, we can always assume n is a power of 2. This makes certain identities easier to use.
- If the recursive call is t(n/2), it will take  $log_2(n)$  iterations to reach the base case. (This doesn't mean it will take  $log_2(n)$  time!!)
- If you see something that looks like the sum of powers of a constant, use the geometric series:

$$\sum_{i=0}^{N-1} a^i = \frac{a^N - 1}{a - 1}$$

- Once you've gotten something with all constant terms, look at the largest term involving n to find O().
- $log_b(n) = log_a(n)log_b(a)$
- $a^{log_b(c)} = c^{log_b(a)}$
- The other, more common laws of logs may come in handy as well.
- Do lot's of practice, it's the only way to master this.

### 11.4 Important Examples: MergeSort + QuickSort

Recall the pseudocode for mergesort:

```
mergesort(List list){
  if {list.length==1}
    return list;
  else{
    mid = (list.size-1)/2
    list1 = list.getElements(0,mid);
    list2 = list.getElements(mid+1,list.size-1);
    list1 = mergesort(list1);
    list2 = mergesort(list2);
    return merge(list1,list2);
```

```
}
}
```

We can see that we call mergesort twice, and we're calling it on a list that is now roughly  $\frac{n}{2}$  long. So our recurrence relation will have a  $2t(\frac{n}{2})$  term in it.

Notice also that we have a constant amount of work acting on n elements in order to merge them. So we will have a cn term. Where c is a constant.

So our relation is:

$$t(n) = cn + 2t(\frac{n}{2})$$

Back substituting:

$$t(n) = cn + 2\left(c\frac{n}{2} + 2t\left(\frac{n}{4}\right)\right)$$
$$t(n) = cn + cn + 4t\left(\frac{n}{4}\right)$$
$$t(n) = cn + cn + 4\left(c\frac{n}{4} + 2t\left(\frac{n}{8}\right)\right)$$

We see a pattern begin to emerge:

It will take  $log_2(n)$  iterations, so there wil be  $log_2(n)cn$  terms, and a power of 2 multiplying the t(1) term, and since n is always a power of 2, we have an n term.

$$t(n) = cnlog_2(n) + n$$

Which is  $O(nlog_2(n))$ 

Prof. Langer makes a point in his notes to pay attention to the fact that if the base case is difficult to compute, we may use a simpler, slower algorithm (like bubblesort) to solve it, since this still takes a constant amount of time, and doesn't introduce an  $n^2$  dependence (since bubble sort takes  $O(n^2)$ .

Recall the pseudocode for Quicksort:

```
quicksort(List list){
  if (list.length <=1){
    return list;
  }else{</pre>
```

```
pivot = list.removeFirst(); //or some other element
list1 = list.getElementsLessThan(pivot);
list2=list.getElementsNotLessThan(pivot);
list1 = quicksort(list1);
list2 = quicksort(list2);
return concatenate(list1,pivot,list2)
}
```

Recall that depending on our choice of pivot, this algorithm can be very quick, or very slow. In the best case, it divides the list in two almost evenly, in which case it behaves much like mergesort. In the worst case, the pivot is the max or min value of the list, and divides the list into itself, and the rest of the list.

If this bad split happens at every level of the recursion, it takes time  $O(n^2)$ .

Note that the bad split causes the lists to be of size 1 and n-1.

Also note that comparing the pivot to each element in the list takes n operations.

Proof that worst case is  $O(n^2)$ :

$$t(n) = cn + t(n-1)$$

$$t(n) = cn + c(n-1) + t(n-2)$$

$$t(n) = cn + c(n-1) + c(n-2) + t(n-3)$$

$$t(n) = cn + c(n-1) + c(n-2) + c(n-3) + t(n-4)$$
...
$$t(n) = c\frac{n(n+1)}{2} + t(1)$$

Which is  $O(n^2)$ 

So why is quicksort "quick"?

- Choose the pivot by taking elements first, mid, last, and finding the median. This makes the worst case extremely improbable.
- It can be done "in-place", takes up MUCH less memory than mergesort.

### 12 Big O, Big $\Omega$ , and Big $\theta$

#### 12.1 Semi-formal Definition

For two functions, t(n), g(n) we say that t(n) is O(g(n)) if there exists an  $n_0$  such that for all  $n \ge n_0$ ,  $g(n) \ge t(n)$ 

This basically means that beyond a certain point,  $n_0$ , then the function g(n) is "bigger" than t(n)

**Problem 2.** Prove that 5n + 70 is asymptotically bounded above by 6n

**Solution.** We have:

 $5n + 70 \le 6n$  for sufficiently large n.

$$\Leftrightarrow 70 \le n$$

So, for  $n \ge 70$ ,  $5n + 70 \le 6n$ , simple right?

### 12.2 Formal Definition of Big O

Let t(n) and g(n) be functions and  $n \ge 0$ . Then we say t(n) is O(g(n)) if there exist two positive constants  $n_0$  and c such that:

$$t(n) \le cg(n)$$
 for  $n \ge n_0$ 

**Problem 3.** Prove that 5n + 70 is O(n)

**Solution.** The idea here is to come up with something that is larger than 5n + 70

$$5n + 70 < ?$$

Well we can see that 5n + 70n is always larger, for  $n \ge 1$ .

$$5n + 70 < 5n + 70n$$
 for  $n > 1$ 

$$\Leftrightarrow 5n + 70 \le 75n \text{ for } n \ge 1$$

so we can take c = 75,  $n_0 = 1$  and the definition of Big O is satisfied.

Note that there is nothing special about these particular values, other than they satisfy the inequality and definition. Any value of c and  $n_0$  that works is valid.

### 12.3 Tips and extra notes on Big O proofs.

- O(1) just means that it takes a constant amount of time.
- Be sure to be clear which statement implies which, and that your proof is 100
- Start by looking for some expression that will make the inequality true. Try to make it so that it only includes the type of term you want  $(n^2, nlog_2(n), \text{ etc})$ .
- Like the recurrences, the only way to get better is to practice.

### 12.4 Big O properties

#### Constant rule

If f(n) is O(g(n)) then af(n) is O(g(n)), for some constant a.

#### **Proof**

Take the definition of Big O, and multiply it through by a:

There exists a c such that

$$f(n) \le cg(n)$$

for all  $n \geq n_0$ , and so

$$af(n) \le acg(n)$$

for all  $n \geq n_0$ .

Now c is ac.

#### Sum Rule

If  $f_1(n)$  is O(g(n)) and  $f_2(n)$  is O(g(n)), then  $f_1(n) + f_2(n)$  is O(g(n)).

#### Proof

We just extend the definition of Big O to now have two of each constant, and two functions f.

There exists constants  $c_1$ ,  $c_2$ ,  $n_0$ ,  $n_1$  such that

$$f_1(n) \le c_1 g(n)$$

for all  $n \geq n_0$ , and

$$f_2(n) \le c_2 g(n)$$

for all  $n \geq n_1$ .

Thus,

$$f_1(n) + f_2(n) \le c_1 g(n) + c_2 g(n)$$

for all  $n \ge \max(n_0, n_1)$ . So we can take  $c_1 + c_2$  and  $\max(n_0, n_1)$  as our two constants.

#### **Product Rule**

If 
$$f_1(n)$$
 is  $O(g_1(n))$  and  $f_2(n)$  is  $O(g_2(n))$ , then  $f_1(n)f_2(n)$  is  $O(g_1(n)g_2(n))$ .

**Proof** We can use similar constants as in the sum rule, except that now we have two g functions. So, there exists constants  $c_1$ ,  $c_2$ ,  $n_0$ ,  $n_1$  such that

$$f_1(n) \le c_1 g_1(n)$$

for all  $n \geq n_0$ , and

$$f_2(n) \le c_2 g_2(n)$$

for all  $n \geq n_1$ . Thus,

$$f_1(n)f_2(n) \le c_1g_1(n)c_2g_2(n)$$

for all  $n \ge \max(n_0, n_1)$ . So we can take  $c_1c_2$  and  $\max(n_0, n_1)$  as our two constants.

**Transitivity Rule** If f(n) is O(g(n)) and g(n) is O(h(n)), then f(n) is O(h(n)).

#### Proof

Similar idea as before:

There exists constants  $c_1$ ,  $c_2$ ,  $n_0$ ,  $n_1$  such that

$$f(n) \le c_1 g(n)$$

for all  $n \geq n_0$ , and

$$q(n) \le c_2 h(n)$$

for all  $n \ge n_1$ . Plugging g(n) from the second inequality into g(n) in the first inequality gives that

$$f(n) \le c_1 c_2 h(n)$$

for all  $n \geq max(n_0, n_1)$ .

- The main idea behind all these proofs is just applying the definition of Big O to several functions at once.
- We've been using these properties unknowingly, and now we can justify saying something is O() by looking at the "largest" term.
- We can now say that if, say, f(n) is  $O(n^2)$  that  $f(n) \in O(n^2)$
- $O(1)\subset O(\log_x(n))\subset O(n)\subset O(n\log_x(n))\subset O(n^2)...\subset O(2^n)\subset O(n!)$

### 12.5 Formal definition of Big $\Omega$ (Omega)

In a way, this is the opposite of Big O. Instead of saying f(n) is bounded **above**, we saying f(n) is bounded **below** by g(n)

The definition follows the same idea:

Let t(n), g(n) be functions, and  $n \ge 0$ . Then we say t(n) is O(g(n)) if there exists a c and  $n_0$  such that for all  $n \ge n_0$ :

$$t(n) \ge cg(n)$$

**Problem 4.** Prove that  $\frac{n(n-1)}{2}$  is  $\Omega(n^2)$ 

**Solution.** We start in a similar way than the problems for Big O. We write down the definition, and look for a relation that is true, and contains only terms of  $n^2$ . We'll try different values of c.

$$\frac{n(n-1)}{2} \ge ?$$
 Try  $c = \frac{1}{4}$  
$$\frac{n(n-1)}{2} \ge \frac{n^2}{4} \text{for sufficiently large n}$$
 
$$\Leftrightarrow 2n(n-1) \ge n^2$$
 
$$\Leftrightarrow 2n^2 - 2n \ge n^2$$
 
$$\Leftrightarrow n^2 \ge 2n$$
 
$$\Leftrightarrow n \ge 2$$

We can see that this holds for  $n \ge 2$ ,  $c = \frac{1}{4}$ 

### 12.6 Tips and extra notes on big $\Omega$ proofs

- These are the same as Big O proofs, but with a flipped inequality.
- Be sure to specify what implies what.
- Do not assume what you're trying to prove!
- Some creativity, pattern matching and testing is involved, so practice!

List Algorithms	$t_{best}(n)$	$t_{worst}(n)$
add, remove element (array list)	$\overline{\Theta(1)}$	$\overline{\Theta(n)}$
add, remove an element (doubly linked list)	$\Theta(1)$	$\Theta(n)$
insertion sort	$\Theta(n)$	$\Theta(n^2)$
selection sort	$\Theta(n^2)$	$\Theta(n^2)$
binary search (sorted array)	$\Theta(\log n)$	$\Theta(\log n)$
mergesort	$\Theta(n \log n)$	$\Theta(n \log n)$
quick sort	$\Theta(n \log n)$	$\Theta(n^2)$

Figure 1: Table by Prof. Michael Langer, 2017

### 12.7 Formal Definition of Big $\theta$

We say that t(n) is  $\theta(g(n))$  if t(n) is both O(g(n)) and  $\Omega(g(n))$  for some g(n).

An equivalent definition is that there exists three positive constants  $n_0$  and  $c_1$  and  $c_2$  such that, for all  $n \geq n_0$ ,

$$c_1 g(n) \le t(n) \le c_2 g(n)$$

. Obviously, we would need  $c_1 \leq c_2$  for this to be possible.

Its possible for a function to not be Big  $\theta$  of anything, but these examples are weird and don't show up often in practice.

#### 12.8 Best and Worst Cases

The following is a table of examples of algorithms seen in the course, and their best and worst cases.

### 12.9 Limits and Big O

There is a rule for determining whether f(n) is O(g(n)).

$$\lim_{n\to\infty}\frac{f(n)}{g(n)}=0\Rightarrow f(n)\text{ is }O(g(n))$$

Note that this doesn't go the other way around.

Also note that this is weak, since we might get the result of, say f(n) being  $O(g(n^2))$  when it's really O(g(n)), which is a stronger statement.

Specifically, if we can say that this means it's not  $\Omega(g(n))$  then this is a stronger statement.

We have the following rule:

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty \Rightarrow f(n) \text{ is } \Omega(g(n))$$

$$\Rightarrow f(n) \text{ is not } O(g(n))$$

and similarly:

$$\lim_{n \to \infty} \frac{f(n)}{g(n)} = c, 0 < c < \infty \Rightarrow f(n) \text{ is } \theta(g(n))$$

### Part IV

## Non-Linear Data Structures

### 13 Rooted Trees

#### 13.1 Basic Idea

Trees are good for organizing hierarchal structures like directory listings and rankings. Trees are best explained through pictures and learning the key terminology, so see the lecture slides for pictures, I'll list the terminology here.

### 13.2 Tree Vocabulary

**Node**: The dots on the tree. Sometimes called the vertex.

Child: Each node (except those at the bottom) have child nodes that branch off of them.

**Parent**: The node that the child node directly comes from. (The parents parent is not the same parent)

**Root**: The node at the very top of the tree. It has no parent.(it's the only node without a parent). All other nodes originate from this root.

**Siblings**: The nodes that share the same parent.

**Leaf**: Nodes with no children.

**Internal Node**: Node with a child

Path: A sequence of nodes that are connected by edges (edges being a connection between two nodes)

**Length of a path**: How many edges are between the first node in the path and the last one.

**Depth**: The length of the path from the root to the node you're interested in finding the depth of.

**Height**: As you'd expect, the opposite of depth. The length of the path from the "lowest" leaf.

**Ancestor**: A node that's on the same path from the root as the node you're interested in finding the ancestor of.

**Subtree**: Take a node, call it the root of your new sub-tree, everything below this node is part of the sub-tree. Trees are subtrees of themselves.

#### 13.3 Notes and Facts

- If a tree has n nodes, it will have n-1 edges. Since every node has an edge with its parent, except for the root.
- If you haven't already, go look at the slides. It's important to grasp the visuals here so you can picture what's happening.
- The length of a path is the number of nodes in the path minus 1.
- An easy algorithm for finding depth would be: If you don't have a parent, your depth is 0 (you are the root), return 0. If you do have a parent, return 1 + the depth of your parent (recursion).
- In a similar way, we find the height by: If you don't have a child, your depth is 0(you are a leaf), return 0. If you do have a child, (or more) for each of your children, take the maximum of their heights, return 1 + that.
- Non-rooted trees are when there's no clear root. Much more complex, more for COMP 251

#### 14 Tree Traversal

### 14.1 Depth-First Traversal

As the name implies, this is when you go all the way to the bottom of your list, and work your way to the top. There are two ways to do this, pre-, and post- order traversal. Both are done recursively, and it's really elegant when you see how it works.

Here's the pseudocode:

```
depthfirst(root){
  if (root is not empty){
    visit root;
    for each child{
       depthfirst(child);
    }
}
```

The most confusing part about this is the meaning of root. At first its the actual root, but then its actually the root of the sub-tree that's created by looking at the children.

This one is called a "pre-order" tree traversal, since we're looking at the what's in the nodes before moving on to the next one. (Note that "looking" is a loose term, you can actually do anything you want at this stage, like change values, etc.)

It really helps to look at the numbering of the nodes from the slides, and make sure you could do it yourself if asked.

Now we'll look at "post-order" it's exactly the same except that you visit the nodes AFTER the recursive call.

```
depthfirst(root){
  if (root is not empty){
    for each child{
      depthfirst(child);
    }
    visit root;
}
```

The effect this has, is that you'll plunge to the very bottom of the list before visiting your first node, and the root will be the last one visited.

### 14.2 Iterative Depth First Traversal

Remember how recursion works using a call-stack? Well, seems like maybe we could use a stack then! Turns out you can!

```
stackTraverse(root){
  stack.push(root);
  while(stack not empty){
    current = stack.pop();
    visit current;
    for each child{
        stack.push(child)
      }
  }
}
```

So you can see its really the same as with recursion, since the call-stack IS a stack.

### 14.3 Notes on Depth-First

- You can't do a post-order traversal with a stack (try it)
- Using a stack has a slightly different order than with recursion
- Recursion goes left to right, while stack goes right to left.

• This might be something to keep in mind in situations where you want to specifically traverse in a particular order. (Post or pre? Stack or recursion?)

#### 14.4 Breath-First Traversal

This is when you read the tree left to right, level by level (like a book). You can do this by using a queue instead of a stack! Check it out:

```
breadthfirst(root){
  queue.enqueue(root);
  while(queue not empty){
    current = queue.dequeue;
    visit current;
    for each child of current{
       queue.enqueue(child)
    }
}
```

Notice this is the exact same as with the stack, but using a queue instead. This simply changes the order of what's being visited! I really recommend going through step by step with the slides and seeing how this happens.

It's also useful when looking at the order of what get's visited, to write out what the queue looks like at each step.

What about if the visit was after the for loop? Well, same as with the stack, nothing changes, since current is still the only thing dequeued.

### 15 Binary Trees

#### 15.1 Basic Idea

Basically, as the name implies, a binary tree is a tree where each node has at most two children. It might have 0, 1, but at most 2.

How many nodes in a binary tree? Spell it out!

Level	Max Nodes
0	1
1	2
2	4
3	8
4	16

You can see now that we have the powers of 2 emerging!

$$\sum_{i=0}^{n} 2^{i}$$
, where n is the number of levels

This is a geometric series, and if you haven't memorized it already, DO IT. Seriously, it comes up over and over.

$$= \frac{2^{n+1} - 1}{2 - 1}$$
$$= 2^{n+1} - 1$$

This result makes sense, since we should have an odd number of nodes (because of the root).

Note that we also have a lower bound, since the height (if you remember from last section) is the number of nodes in the longest path -1. So the number of nodes in the longest path is the height +1. The least number of nodes we can have in a tree of height n is n+1, since each node must have exactly 1 child, since if it has 2 it's not the minimum, and if it has 0 then the height will be determined by that node.

If you're ever asked to find the height from the number of nodes, just rearrange this inequality:

$$n+1 \le Nodes \le 2^{n+1}-1$$

to

$$log_2(Nodes + 1) \le n \le Nodes - 1$$

### 15.2 Binary Tree Traversal

Tree traversal in binary trees is exactly the same as with general trees, but it's even simpler, since we know exactly how many children we might have. So we can do pre and post order as before, but with an added, third option.

Here's the old pre-and-post-order:

```
preorderBin(root){
   if root not null{
      visit root;
      preorderBin(leftChild);
      preorderBin(rightChild);
   }
}

postorderBin(root){
   if root not null{
      postorderBin(leftChild);
      postorderBin(rightChild);
      visit root;
   }
}
```

Now, the new, third option, since we know exactly how many children there are, is "in-order" traversal:

```
inOrder(root){
  if root not null{
    inOrder(leftChild);
    visit root;
    inOrder(rightChild);
}
```

### 15.3 Expression Trees

Again, the slides are very helpful here, since it's hard to visualize these things if you haven't seen them. If you make a binary tree with operators (/, +, -, \*, \*) at the inner nodes, and values at the leaves, you can define order of operations uniquely by how the tree is set up, and how it's read.

See the slides for examples of such trees, but all you need to know is which order of operations each type of traversal gives (preorder, in-order, post order)

Note that this isn't the only way to do expressions, you could have pre and post-fix expression such as - 5 3 (meaning 5-3)(pre-fix) or 5 3 -(post-fix). The advantage to this, is that we don't need to define order of operations, since it's intrinsic to the structure. Using a stack to implement this:

```
expressionEvaluator(){
 stack = empty stack;
 current = head;
 while (current not null){
   if (current is a value){
     stack.push(current);
   }
   else{
     operand2 = stack.pop;
     operand1 = stack.pop;
     operator = current;
     stack.push (evaluate (operand1 operator operand2));
   }
   current = current.next;
 }
}
```

Notice this is exactly what was done in assignment 2. Notice also that pre-order traversal gives a pre-order expression, post-order traversal gives post-order expression, and in-order traversal gives in-fix expression.

### 16 Binary Search Trees

#### 16.1 Definition

A binary search tree is one that has elements (called keys) that are comparable (ie can be compared with  $\xi$ ,  $\xi$  =), has no duplicates, and all keys in the left subtree are less than the node, and all keys in the right subtree are greater than the node. (see slides for examples)

Important property: If you do an in-order traversal of a binary search tree, you'll get the elements IN-ORDER. (Recall that the binary search algorithm requires a sorted list, so in a way, this tree is sorted).

### 16.2 Common Operations

There are a few common things you might want to do with a Binary Search tree:

- find a key
- find minimum or maximum
- add a key
- remove a key

#### Pseudocode:

```
find(root,key){
  if(root==null){
    return null;
}
  else if(root.key == key){
    return root;
}
  else if(key<root.key){
    return find(root.leftchild, key);
}
  else{
    return find(root.rightChild, key);
}</pre>
```

Makes sense, since the left half of the tree is less than the node, and right half is greater.

```
findMin(root){
  if (root == null){
    return null;
  }
  else if(root.leftChild ==null){
    return root;
  }
  else{
    return findMin(root.leftChild){
  }
}
```

Basically, keep calling findMin on the left child until you get to a leaf, then you know you're at the minimum. Find max is the same reasoning but on the right child.

```
add(root,key){
  if (root==null){
    root = new BSTnode(key);
  }
  else if(key<root.key){
    root.leftChild = add(root.leftChild, key);
  }
  else if(key>root.key){
    root.rightChild = add(root.rightChild, key);
  }
  return root;
}
```

Analyse this carefully, its a bit subtle. If we're at a leaf, then we can add the new Node, but we want to make sure we went down the tree correctly, as to be in the correct position to add a node, (hence the else if's). We also need to reassign the references, or else the new node won't be referenced by it's parent!

Notice that root.leftChild or root.rightChild point to an entire subtree of stuff, so we can call add on that entire subtree recursively.

Also, notice that it does nothing in the case where the root contains the key, since we can't have duplicates.

```
remove(root,key){
 if(root == null){
  return null;
 }
 else if(key<root.key){</pre>
  root.leftChild = remove(root.leftChild, key);
 else if(key>root.key){
  root.rightChild = remove(root.rightChild, key);
 else if(root.leftChild ==null){//can just put the right or left
     subtree if one of the children is empty
  root=root.right;
 else if(root.rightChild == null){
  root=root.leftChild;
 }
  root.key=findMin(root.rightChild).key; //everything in the right
      subtree is greater than the root, copy that key into the
  root.rightChild= remove(root.rightChild, rightChild.key) //now
      remove the key that is now in the root (still minimum key in
      the right subtree
 }
 return root;
```

This one's fairly hard to wrap your head around, so really take some time to understand it. I commented the code to help a little, but here's step by step this is what it's doing:

- Base case, if the root is empty, you're done.
- If the thing we want to remove is less than the thing at the root,

recursive call on the left subtree

- Similarly, if the thing we want to remove is greater than the thing at the root, recursive call on the right subtree
- If the left subtree is empty, then you can just put the right subtree into the root, effectively removing the root.
- Similarly if the right subtree is empty, you can put the left subtree into the root, which will remove the root.
- Now we're at the case where the root has two children, so we take the smallest thing in the right subtree(which is larger than the root, and less than everything else in the right subtree) and replace the root's key with it (getting rid of the root). But now you have a duplicate of the smallest thing from the right subtree. So, you recursively remove the smallest thing in the right subtree!

DON'T MEMORIZE THE CODE, but understand the algorithm. Take some time on it.

### 16.3 Best and Worst Cases

All of these operations have the same best and worst cases, best being O(1) and worst being O(n).

The best case of finding the minimum, maximum, and finding a key, is when it's at the root. Worst case is when you need n steps (imagine a line or zig zag where every node has only one child, and the thing is at the bottom)

The best case of adding is when you can simply add to a leaf, or removing a leaf. The worst case is when you need to add to the root, or remove the root.

# 17 Priority Queues and Heaps

A priority queue is used when you want to make sure that the highest priority thing gets attention first, instead of just first-come-first-serve. They're best implemented using a heap.

## 17.1 Heaps

A heap is a binary tree whose elements are comparable, every level is full, and if not(the lowest level) the elements are as far left as possible. Also, each node is less than it's children. So the root is the least, and the leaves are the greatest.

## 17.2 Operations on Heaps

Similar to queues, there are two main operations we care about: add and remove.

Basically the idea is to add your node to the bottom, and swap it up until it's either the root, or it's parent is less than it.

The basic idea here is to take the smallest node, replace it with the root, and then remove the root. But the thing is, you can't just do that. You need to first take the leaf off to the side (this is the largest element), put it's element into the root, swap the root down to where it should now be.

Note that the while loop has a complicated condition, but look at it carefully. It considers all of the many cases that can occur.

This is Dr.Langers way of doing it, but I propose a more intuitive method (it's almost the same)

My way is to swap the root node all the way down until it's a leaf, and then simply remove it by cutting ties to the tree.

The way in the slides is "remove first, swap later", mine is "swap first remove later"

## 17.3 Heaps as Arrays

You could implement a heap as an array if we number the nodes in the tree as indexes of an array (breadthwise). See the lecture slides for how this would look. Note that the array starts indexing at 1. (0 is not used at all)

The relationship between the indexes of a child and it's parent is very convenient.

$$parent = child/2$$
  
 $leftChild = 2 * parent$   
 $rightChild = 2 * parent + 1$ 

If this is confusing, see the lecture slides for numbering, and you'll notice that there's a pattern in the numbering of the tree that we're exploiting.

Note that you could have any binary tree represented as an array, the problem is though, that you'll get lots of gaps in the array if the tree is not complete like in heaps.

Lets look at the add method with arrays:

```
add(element){
    size = size +1; //size is incremented since we're adding
        something
    heap[size] = element; //add to the back of the array
    i = size;

while(i>1 and heap[i] < heap[i/2]){ //while not at front of
        array (root) 1, and the element is less than its parent,
        swap with parent
        swapElements(i,i/2);
        i = i/2; //update index of element to be the index of the parent
}</pre>
```

It truly is the same concept as before.

# 17.4 Building a Heap with Arrays

What's the time complexity of building a heap from scratch with an array?

Well, the best case is that we can add n elements to the array without needing to do any swaps. So,  $\theta(n)$ 

The worst case is a little trickier. Say we want to add element i. Element i will be somewhere between  $2^{level}$  and  $2^{level+1}$  since each level starts with a power of 2.

If we take the  $log_2$  of that, we get this inequality

$$level \le log_2(i) \le level + 1$$

So the level is the floor function of  $log_2(i)$  (since i cannot equal level +1, and level can't be a decimal)

Note that the level is the number of swaps from the top, so the worst case is when every time we add an element, we need to do "level" number of swaps.

$$t(n) = \sum_{i=1}^{n} floor(log_2(i))$$

since there are n things to be added.

In the slides, it shows a graph of  $log_2(n)$ , and shows that the area under the curve is roughly  $nlog_2(n)$ . And it also shows a diagonal line (which is always below our function), which is  $\frac{1}{2}nlog_2(n)$ .

So we have:

$$\frac{1}{2}nlog_2(n) < t(n) < nlog_2(n)$$

So worse case is  $\theta(n)$ 

# 17.5 Removing an Element With Arrays

Here's the code, the idea is exactly the same:

```
removeMin(){
   tmpElement = heap[1]; //holding the root value
   heap[1]=heap[size]; //swap elements of root and last thing
   heap[size]=null; //clear the value of last thing
   size=size-1; //update size
   downHeap(1,size);
   return tmpElement;
}

downHeap(startIndex, maxIndex){
   i=startIndex;
   while(2*i<=maxIndex){ //while child of i is less than size
      child=2*i;
      if (child<size){
        if(heap[child+1] < heap[child]){ //if the right child is
            less than the left</pre>
```

```
child = child +1;
}

if(heap[child] < heap[i]){ //if a swap is needed, swap.
    swapElements(i, child)
    i = child;
}
else break;
}</pre>
```

The idea here is exactly the same as before, but with arrays.

## 17.6 A Faster Way to Build a Heap

Recall that building a heap using our previous method is worst case  $\theta n \log_2(n)$ .

Look back at the structure of a binary tree (complete) notice that there's always half (or half +1) of the nodes that are leaves! And the index of the last leaf (the one at index size) has a parent who's index is size/2.

So we can use this to have a faster way of building a heap:

```
buildHeapFast(){
  for(size/2; k>=1; k--){ //starting at the parent of the largest
     node, downheap to the end!
     downHeap(k,size);
  }
}
```

It's really just a way of turning a plain old binary tree (complete) and organizing it into a heap.

How is it so fast? The intuition is that, half our nodes don't need to be downheaped, then, quarter might need to be swapped once, then one eighth might need to be swapped twice, etc. Compared to our other method, where we had half the elements needing to go all the way to the top. This is way

faster!!

The actual derivation is quite complicated, and we don't need to be able to reproduce it for COMP250. However, we should know that:

$$t(n) = \sum_{i=1}^{n} \text{height of node i}$$
  
=  $\sum_{level=0}^{height} (height - level) 2^{level}$ 

This makes sense, since any given level has  $2^{level}$  elements, and there are at most height number of levels. The height of a node at a given level is height-level.

## 17.7 Heapsort

Steps: Build a heap, then repeatedly call removeMin() and put the removed elements into a list.

```
heapsort(){
  buildheap()
  for(i=1 to size){
    swapElements(heap[1],heap[size+1-i])
    downHeap(1,size-i);
  }
  return reverse(heap);
}
```

This works by swapping the first and last values, downheap to fix it, but now your list is backwards, so reverse and return the reversed list.

If this is confusing look at the lecture slides for a pictorial example.

Note that you could skip the last reversing step by using a max heap instead of a min heap.

# 18 Maps

# 18.1 Maps In General

In general a map is something that takes your from one place to another. In functions, this is going from x to f(x).

## 18.2 Maps to a Computer Scientist

The types of map we care about are key, value pairs. We have a set of keys, K and a set of values V and the map is the thing that lets us get to the value from the key.

The operations we care about are put(key,value), remove(key,value) and get(key,value).

Data structures we might use to implement this:

- Array List/Linked List: Too slow,  $\theta(n)$ .
- Sorted Array List: Could find a key in O(n) steps, but slow to add or remove a map.  $\theta(n)$
- Binary Search Tree: Could do, but again  $\theta(n)$ , unless you do some more advanced COMP251 witchcraft
- Heap: Not applicable because we can only get smallest element

Solution: If the keys are positive integers in a small range, we could use an array where the indices are the keys, and the values are in the array!

This would let us have constant access time! O(n)

### 18.3 HashCodes

In Java, all Objects have a hashCode field. Literally, this is the 24 bit number that is associated with the "address" of the object. So when you compare Objects using ==, you're actually comparing their hashCode().

Strings in Java have hashCodes based on this formula:

$$\sum_{i=0}^{s.length-1} s[i] x^{s.length-i-1}$$

Why not just add the ASCII codes? Well, words like "bat" and "tab" would have the same sum, so that doesn't work. The equation Java uses is good because it multiplies by different powers of x, so each character gets multiplied by a different number.

However, it's still possible for two different Strings to have the same hash-Code(), so we can't say that if two strings have the same code that they are the same.

### 18.4 Horner's Rule

```
h=0;
for (i=0; i<s.length; i++){
    h=h*31 + s[i]
}
```

Example:

$$ax^2 + bx + c = (ax + b)x + c$$

This lets us do faster multiplications  $(x * y \text{ is faster than } x^2 * y)$ .

# 19 Hashing

Some terminology:

- Hash Code: Function that turns your keys into positive integers.
- Compression: Function that turns your large range of positive integers into a small range. Usually done with modulo (
- **Hash Function:** The composite function of Hash Code and Compression
- Hash Values: The small range of integers at the end
- Values: Still the same, the values mapped to by the keys.
- Collision: When two or more keys map to the same hash value. Can occur at the Hash Code stage or the compression stage. (usually the latter)
- Hash Table: The end-result data structure, an array whose indices are the Hash Values, the elements of the array are linked lists containing the Values. (To deal with collisions)
- Bucket: The jargon for the slot in the array containing an array list.
- Load Factor: Measure of how many collisions there will be. #key,valuepairsintable #buckets
  This is typically kept below 1, but this doesn't guarantee good performance, it really depends on how good the Hash Function is.
- Hash Set: A hash table with no values, just use it to store objects of the same type.

# 20 Graphs

### Terminology:

- Vertex An item in the graph
- Edge A reference from one item to another
- Weighted Graph A graph that has a number associated to the edge.
- Outgoing edges from v Set of edges the "leave" a vertex v
- Incoming edges from v Set of edges "entering" a vertex v.

- In-degree of v Number of edges "entering" a vertex v.
- Out-degree of v Number of edges "entering" a vertex v.
- Path When you go from one vertex to the next, following the edges.
- Cycle A path where you end up back where you started
- Directed acyclic graph A directed graph with no cycles
- Adjacency List List of all vertices connected to a given vertex.

# 20.1 Graph Implementation

Java doesn't have a graph class, so we need to make our own. We do it using LinkedLists.

```
class Graph<T>{
    class Vertex<T>{
        LinkedList<Vertex<T>> adjList;
        T element;
    }
    class Edge<T>{
        Vertex<T> endVertex;
        double weight;
        .
        .//can have many attributes
}
```

The problem here is that we have no way of accessing the nodes unless we traverse the whole graph which is hard. So we'll use a map.

```
class Graph<T>{
   HashMap<String, Vertex<T>> vertexMap;
   .
   .//other stuff
```

Note that it's also common to keep track of adjacency using an **adjacency matrix**. This is a 2-D array where the row and columns are are the vertices, and the entries are whether there's an edge between those two vertices. (You could have a 3-D one too, if you had a 3-D Graph) (woah).

# 20.2 Graph Traversal

The strategy here is very similar to when we did trees.

Depth First for graphs:

```
depthfirst_Graph(v){
    v.visited =true;
    for (each w such that (v,w) is in E) //for each vertex adjacent
        to v.
        if (!w.visited){ //if not already visited
            depthfirst_Graph(w)
        }
}
```

Note that its possible that not every vertex will be visited, and this assumes that all the initial visited values are false.

See slides for a clear example, and how the callstack looks for this.

Here's the non-recursive version, just like trees, it uses a stack.

```
graphTraversalUsingStack(v){
  initialize stack s;
  v.visited = true;
  s.push(v); //push AFTER visiting
  while(!s.empty()){
    u = s.pop();
    for (each w in u.adjacent()){ //for all the adjacent vertices
        if (!w.visited()){
                  w.visited = true;
                  s.push(w);
        }
        results to the state of the sta
```

```
}
}
}
```

Breadth First traversal is again just like with trees but with the added if statement.

```
breathFirst(u){
  initialize empty queue q;
  u.visited = true;
  q.engueue(u);
  while(!q.isEmpty()){
     v = q.dequeue();
     for (each w in adjacent(v)){
        if(!w.visited()){
           w.visited = true;
           q.enqueue(w);
        }
    }
}
```

Note that this visits every vertex possible in order of distance. It's a really good idea to go through the examples in the slides to get a better idea of what's actually happening.

# Part V

# Object Oriented Design in Java

## 21 Inheritance

As in real life, there is a heirarchy of things. Dr.Langer's example of dogs is great, but I like to think of cars as an example.

In your Java lifetime, you may have come across a class declaration looking like this:

```
public class Ferrarri extends Car{
     .
     .
     .
}
```

The "extends" means it inherits all of the properties of the Car class, and adds some of its own. All Ferrari's are cars, but not all cars are Ferraris.

In this case, we can say that the Car class is the "parent" or "superclass" of the Ferrari class.

We could go further, we could have a class "2017 Model" that extends the Ferrari class, and a class "green" that extends after that. It's a whole family tree of parent-child relationships, like a tree, with the "Object" class at the root.

# 21.1 super()

When you write the constructor for an inherited class, you might want to specify some fields that were only written in the parent. For example, you might want to specify what type of wheels your Ferrari has, but wheels are a field specified in the Car class. To do this, you could use the super() command in your constructor, with your specific parameter. For example: super(wheel).

The super() method is placed automatically in your constructor if you your-self did not. This is fine, it will just call super() with no parameters, so the superclass constructor will be called with all default values. You can of course change the fields of any parent class later, using getter/setter methods.

Note that you cant do super.super, nor can you treat super() like the "this" keyword. "this" refers to an object, while super() refers to a class.

## 21.2 Overriding

When writing a sub-class, you sometimes want to change the methods of a parent class. For example, all Cars have an accelerate() method, but of course the Ferrari's accelerate() method will behave differently than a Toyota's.

Here's where Overriding comes in. You can write your own accelerate() method in your Ferrari class, and depending on the context from which you call that method, the JVM will know which one you're referring to.

It's good practice to put an @Override tag above your method, for clarity and documentation.

Note that this is NOT THE SAME AS OVERLOADING. Overloading is when you use the same method name but pass different parameters. Overriding is when you have exactly the same declaration, and can only occur with a parent-child relationship.

# 21.3 equals()

This one's a common source of errors in Java.

In every class there's an equals() method. It's usage depends on what you want. Do you want to return true only if they're literally the same object(same address in memory)? Or return true if they "look" the same (the strings "bat" and "bat"). When writing your own classes, it's up to you as

the programmer to decide. By default, the equals() method uses the former.

If you want to write your own equals() method in your class, you must override the Object class's equals() method.

# 21.4 hashCode()

This is an integer number associated with every object. It's important that this is set up in such a way that if two objects have the same hashCode, equals() returns true.

The toString() method by default returns the hashCode of an object, written as a string in hexadecimal format.

# 21.5 clone()

Similar to equals() the meaning of this depends on what you want as the programmer. There are two "types" of cloning to be concerned with:

- Deep Copy: This is when you clone the object, and any objects it references. Say you had a linked list, you copy the linked list object, all it's nodes, and all the elements in the nodes.
- Shallow Copy: This is when you only clone the object, not the objects they reference.

# 22 Abstract Classes and Interfaces

### 22.1 Interfaces

Sometimes you want to leave the implementation of your class up to the specific case. In this situation, you can use an interface.

An interface has only method declarations, not actual method content. It's basically a skeleton of a class, waiting to be fleshed out by the programmer.

If you want to then use this interface in a class, you would then do as follows:

Note that you have to include ALL the methods from the interface in order for your code to run. You can leave the ones you don't want to use empty though.

You can also add additional methods if you wish.

### 22.2 Abstract Classes

Abstract classes are the in-between from classes and interfaces. They implement some methods, but not others. This is useful when you have tricky implementations of certain methods that require different parameters for some types, but not others.

When writing these classes, simply add the keyword "abstract" to your class header.

```
public abstract class Example{
}
```

Abstract classes can implement interfaces, and can extend other classes, there's really not much limitation on object hierarchy.

Abstract classes have constructors, even though you can't instantiate them. This may seem weird, but it's needed since if you extend the abstract class, your new subclass calls super() which calls the constructor of the parent class.

Note that a class cannot extend multiple abstract classes, however it may implement several interfaces.

## 22.3 Comparable Interface

Comparing things is not always the same. Comparing numbers is easy, but how would you compare two cars? The details of this implementation depends on the types, so an interface may be useful here.

Enter Comparable. Comparable is an interface for doing exactly that. You would implement Comparable to be able to use the compareTo() method properly, as to establish a greater than, equal, or less than relationship, using integers.

### 22.4 Iterator Interface

Things that are iterable (lists, etc), are commonly iterated over. The process of iterating is different depending on whether your object is a list, graph, tree...

Implementing this interface allows us to use the enhanced for-loop. A fancy tool for iterating over things. However, the implementation is hidden with an Iterator object.

### 22.5 Iterable Interface

Iterator objects are created by a method called iterator() which is part of the Iterable Interface. So, if you want to make an iterator of a Linked List, you have to have the Linked List class implement the Iterable interface.

# 23 Types, Polymorphism, Class Class

If Ferrari extends Car, then we say Ferrari is "narrower" than Car, similarly we say Car is "wider" than Ferrari.

<sup>&</sup>quot;Upcasting" is when you change type to a wider class. Example:

```
Car car = new Ferrari();
```

"Downcasting" is the opposite, and needs some care.

```
Toyota example1 = (Toyota) car
```

Note that after downcasting to Toyota, if we call a method specific to the Toyota class, we will get an error, since we came from a Ferrari class, and the Ferrari class does not have that method.

You can avoid the error by using the "instanceof" operator. Used as so:

```
car instanceof Ferrari
//returns true if is instance of Ferrari, false otherwise
```

Using this in an if-statement can help you skip the line that would make you have an error (kind of like a try catch).

## 23.1 Intro to Polymorphism

The ability to downcast makes it impossible for the compiler to know what type a particular object is at runtime, since the declaration now has little to do with what the actual object is referring to.

So overridden methods such as toString(), clone() etc. are chosen at runtime, not compile time, since the compiler doesn't know which to use.

### 23.2 The Class class

When you compile your program, a .class file is created. When you run your program, objects called "class descriptors" are created that contain all the same information as the .class files.

These objects belong to the Class class. There is a method called getClass() which returns the class descriptor object of what you called it on.

### 23.3 The Call Stack

The first thing on the call stack is main().

Each time a method is called, it goes on the call stack, with a copy of all of its variables and parameters. If these variables are reference types, their hashCode() is stored, to be referenced in a place called the "Object space". This is just a place in memory where you can access your objects at addresses.

Note that the objects do not contain the details of method implementation, this information is in the class descriptor.

# 24 Garbage Collection

When a reference type object no longer has anything referencing it, it becomes garbage.

When the object space is full, the Java Virtual Machine (JVM) performs garbage collection.

"Live Objects" things that aren't garbage are things referenced either by something in the call stack, or by an instance variable in a live object. (Objects referencing other objects).

Note that if two objects only reference each other, they are still garbage.

With this in mind, the JVM builds a graph, where the edges are valid references, and the nodes are the objects. It then traverses the graph and each time a node is visited, it is marked as live.

If a node is not marked, it is garbage. These are removed from the structure keeping track of the objects in the program.

There is also another data structure keeping track of the sizes of the gaps between objects in the object space. When we create a new object, if there exists a large enough gap, it will be placed in there.