

COMP 251 Study guide

Francis Piche

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1 Preliminaries

In this course an algorithm is considered **good** if it:

- Works
- Runs in polynomial time. Meaning it runs, in $O(n^k)$ time. Where n is (always) the size of the problem. (Number of elements in a list to be sorted etc.)
- Scales multiplicatively with computational power. (If your computer is twice as fast, the problem is solved at least twice as fast)

A bad algorithm is one that:

- Doesn't always work
- Runs in exponential time or greater. Meaning: $O(k^n)$ time.
- Does not scale well with computational power. (Your computer is twice as fast, but barely any performance boost).

Part I

Recursive Algorithms

I won't be going into detail on the specifics of things like how recursion works, MergeSort, BinarySearch, solving recurrences, Big O , etc. as it's considered prerequisite material. If you need some review, my COMP250 study guide is still publicly available.

2 Divide + Conquer Algorithms

Examples:

- MergeSort
- BinarySearch

2.1 MergeSort

The MergeSort algorithm involves splitting a list of n elements in half, sorting each half recursively, and merging the sorted lists back into one. It takes time $T(\frac{n}{2})$ to sort the list of half size, and time $O(n)$ to merge the list back together. So the recurrence relation for MergeSort is given by:

$$T(n) = 2T(\frac{n}{2}) + cn$$

where c is some constant.

Theorem 1. MergeSort runs in time $O(n\log(n))$.

Proof. Add **dummy numbers** (extra "padding" to the list), until n is a power of two. $n = 2^k$. We can do this because $O()$ gives an **upper bound**, and adding numbers will make our solution take longer than the real one. Doing this will make solving the recurrence easier.

Unwinding the formula:

$$\begin{aligned} T(n) &= 2(2(T(\frac{n}{4}) + c\frac{n}{2}) + cn) \\ &= 2^2(T(\frac{n}{4}) + 2cn) \\ &= 2^3(T(\frac{n}{8}) + 3cn) \\ &= 2^4(T(\frac{n}{16}) + 4cn) \end{aligned}$$

Notice we have a pattern emerging.

$$= 2^k(T(1)) + kcn$$

Recall $2^k = n$, so $k = \log_2(n)$ and $T(1) = 1$ so:

$$= n + n\log_2(n)$$

Which is $O(n\log n)$. □

2.2 Binary Search

Binary search involves splitting your sorted list into two, and searching that half. So our recurrence is given by:

$$T(n) = T\left(\frac{n}{2}\right) + c$$

where c represents the constant work (comparisons, setting new bounds etc.)

Theorem 2. Binary Search is $O(\log_2(n))$.

Proof. Again we add dummy numbers so that n is a power of two. $n = 2^k$

We begin with our recurrence:

$$\begin{aligned} T(n) &= T\left(\frac{n}{2}\right) + c \\ &= T\left(\frac{n}{4}\right) + c + c \\ &= T\left(\frac{n}{8}\right) + c + c + c \\ &= T\left(\frac{n}{2^k}\right) + kc \\ &= T(1) + \log_2(n) \end{aligned}$$

since $k = \log_2(n)$ which is $O(\log_2(n))$. □

2.3 Run Time of Divide + Conquer in General

Divide and Conquer is a technique of solving problems that involves taking one large problem of size n , and breaking it down into a smaller problems of size $\frac{n}{b}$, and solving those problems recursively. They are then combined to produce a solution in time poly-time: $O(n^d)$.

So the run-time of a divide and conquer algorithm is:

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$$

In the case of MergeSort, $a = 2$, $b = 2$, $d = 1$.

In the case of BinarySearch, $a = 1$, $b = 2$, $d = 0$.

2.4 Aside on Recurrences: Domain Transformation

Note that the recurrence for MergeSort is really:

$$T'(n) \leq T'(\lfloor n/2 \rfloor) + T'(\lceil n/2 \rceil) + cn$$

Which we simplified by adding dummy entries. However, we can also say this: Note that this is an informal approximation, since it's really:

$$T'(n) \leq 2T'(\frac{n}{2} + 1) + cn$$

But the $+1$ doesn't fit with our previous method.

We'll use **domain transformation** to solve this, starting with:

$$\begin{aligned} T(n) &= T'(n + 2) \\ &\leq T'(\frac{n+2}{2} + 1) + c(n+2) \end{aligned}$$

plugging in our expression from above

$$\leq T'(\frac{n+2}{2} + 1) + c'(n)$$

absorbing the $+2$ into c .

$$= T'(\frac{n}{2} + 2) + c'(n)$$

simplifying the fraction.

$$= T(\frac{n}{2}) + c'n$$

from our domain transformation at the beginning. Solving this the usual way, we get:

$$T(n) = O(n \log(n))$$

But again from our domain transformation:

$$T(n) = T'(n + 2)$$

, so

$$T'(n) = T(n - 2) = O(n \log(n))$$

So we've shown that $T'(n)$ has the same upper bound as $T(n)$.