

MODULE-3Bottom-Up Parsing

- A bottom-up parser creates the parse tree of the given i/p starting from leaves towards the root.
- It tries to find the right-most derivatn of given i/p in reverse order.
- It is also known as shift-reduce parsing because its 2 main act's are shift & reduce
  - At each shift, current i/p symbol is pushed onto stack
  - " " product, the symbols at the top of the stack will be replaced by the non-terminal at the left-side of that product
  - There are also 2 more act's: accept & error.

Shift-Reduce Parsing

- A shift-reduce parser tries to reduce the given i/p string into the starting symbol

Handle

- A handle of a right-sentential form  $\beta (= \alpha \beta w)$  is a product rule  $A \rightarrow \beta$  & a positn of  $\beta$  where the string  $\beta$  may be found & replaced by  $A$  to produce the previous right-sentential form in a rightmost derivatn of  $\beta$ .

$$S \Rightarrow \alpha A \alpha \Rightarrow \alpha \underset{\text{sm}}{\underset{*}{\beta}} w$$

- If the grammar is unambiguous, then every right-sentential form of the grammar has exactly 1 handle.

eg:  $E \Rightarrow E + E$ Handles $\Rightarrow E + E * E$  Bottom-up

id

 $\Rightarrow E + E * id$   $\xrightarrow{\text{parsing}}$ 

id

 $\Rightarrow E + id * id$  handles

id

 $\Rightarrow id + id * id$  $E * E$  $N = id + id * id$  $E + E$ 

- Another name of bottom-up parsing is handle parsing.
- 2 types of shift-reduce parsing:

- Operator Precedence Parser (Special case of bottom-up parser)
- LR Parser
  - $\rightarrow$  SLR  $\rightarrow$  Simple LR parser
  - $\rightarrow$  CLR  $\rightarrow$  Canonical " " / LR parser
  - $\rightarrow$  LALR  $\rightarrow$  Look Ahead " "

Operator Precedence Parser $E \Rightarrow E + E | E * E | id$ 

Here parsing table will be a square matrix of terminal symbols.

	id	+	*	\$
id	>	>	>	>
+	<	>	<	>
*	<	>	>	>
\$	<	<	<	accept

 $\$ id + id * id \$$  $\$ <. id. > + <. id. > * <. id. > \$$ Symbol b/w  $<.$  &  $>$  is considered as Handle

Remove all handles

 $\$ <. + <. * > \$ \rightarrow *$  is handle. Removing  $<.*>$

$\$ < + > \$ \Rightarrow +$  is a handle. Remove it.

$\$ = \$$

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~~$\$ id + id * \$$~~

$\Rightarrow \$ < id \cdot > + < id \cdot > * \$$

$\Rightarrow \$ + * \$$

$\Rightarrow \$ < + < * > \$$

$\Rightarrow \$ < + \$$

$\Rightarrow \$ < + > \$ \Rightarrow \underline{\$ < + \$}$

else

Let  $a$  be the top terminal on stack, &  $b$  be the symbol pointed to by ip

ip

if  $a < b$  or  $a = b$  then

push  $b$  onto stack

advance ip to the next ip symbol

else if  $a > b$  then

repeat

pop the stack

until the top stack terminal is isolated by <

to the terminal most recently popped

else error()

end

Stack Implementation of Shift-Reduce Parser

• 4 possible acts of shift-reduce parser:

(i) Shift: The next i/p symbol is shifted onto the top of the stack

(i) Reduce

(ii) Accept

(iii) Error

### LR Parsing Algorithm Configuration

- A config of a LR parsing is:  
 $(S_0, X_1, S_1, \dots, X_m, S_m, a_1 a_2 \dots a_n \$)$

Stack

Rest of i/p

- $S_m$  &  $a_i$  decide the parser act<sup>n</sup> by consulting the parsing act<sup>n</sup> table. (Initial Stack contains just  $S_0$ )
- A config of a LR parsing represents the right sentential form:

$X_1 \dots X_m a_1 a_2 \dots a_n \$$

### Act<sup>n</sup> of a LR Parser

- shift s → shifts the next i/p symbol & state s onto stack  
 $(S_0, X_1, S_1, \dots, X_m, S_m, a_1 a_2 \dots a_n \$) \Rightarrow (S_0, X_1, S_1, \dots, X_m, S_m, a_1^s, a_2 \dots a_n \$)$
- reduce  $A \rightarrow B$  (or in where  $n \rightarrow$  product<sup>n</sup> no.)
  - pop 2/p items from stack;
  - then push A & s whose  $s = \text{goto } [S_{m-n}, A]$   
 $(S_0, X_1, \dots, X_m, S_m, a_1 a_2 \dots a_n \$) \Rightarrow (S_0, X_1, \dots, X_{m-n}, S_{m-n}, A, s, a_1 \dots a_n \$)$
  - O/p is the producing product<sup>n</sup> reduce  $A \rightarrow B$
- Accept → Parsing successfully completed
- Error → Parser detected an error (an empty entry in the act<sup>n</sup> table)

(SLR) Parsing Tables for Expression Grammar

eq. 1) $E \rightarrow E + T$	Act^n Table							Goto Table		
2) $E \rightarrow T$	state	id	+	*	(	)	\$	E	T	F
3) $T \rightarrow T * F$	0	s5			s4			1	2	3
4) $T \rightarrow F$	1		s6				acc			
5) $F \rightarrow (E)$	2		s2	s7	s2	s2				
6) $F \rightarrow id$	3		s4	s4	s4	s4				
id + id * id \$	4	s5			s4			8	2	3
(0, id + id * id \$)	5		s6	s6	s6	s6				
↑ Corresponding to 0f id $\Rightarrow s5$	6	s5			s4			9	3	
7	s5				s4					1
$s_5 \Rightarrow$ shift operatn & change state to 5	8		s6			s11				0
9			s11	s7	s11	s11				
(0 id \$, + id * id \$)	10		s3	s3	s3	s3				
Corresponding to 5 & $\Rightarrow s6$	11		s5	s5	s5	s5				

$s_6 \Rightarrow$  reduce operatn & suffix productn  $6 \Rightarrow F \rightarrow id$

$\Rightarrow (OF_3, + id * id \$)$

$\Rightarrow (OT_2, + id * id \$)$

$\Rightarrow (OE_1, + id * id \$) \Rightarrow (OE_1 + 6, id * id \$) \Rightarrow (OE_1 + 6 id \$, * id \$)$

$\Rightarrow (OE_1 + 6F_3, * id \$) \Rightarrow (OE_1 + 6T_9, * id \$) \Rightarrow (OE_1 + 6T_9 * 7, id \$)$

$\Rightarrow (OE_1 + 6T_9 * 7 id \$, \$) \Rightarrow (OE_1 + 6T_9 * 7 F_1, \$) \Rightarrow$  valid ip

$\Rightarrow$  accept

Constructing SLR Parsing Tables - LR(0) Item

- LR(0) item of grammar  $G_1 \rightarrow$  product of  $G_1$  with a dot at some position on right side

eg:  $A \rightarrow aBb$

(4 different possibilities)

$$A \rightarrow \cdot aBb$$

$$A \rightarrow a \cdot Bb$$

$$A \rightarrow aB \cdot b$$

$$A \rightarrow aBb \cdot$$

- Sets of LR(0) items will be states of actn & goto table of the SLR parser.

- A collectn of sets of LR(0) items is the basis for constructing SLR parsers.

- Augmented Grammar:- Augmented grammar  $G'_1$  is  $G_1$  with a new product rule  $S' \rightarrow S$  where  $S'$  is the new starting symbol.

### The Closure Operator

- If  $I$  is a set of LR(0) items for a grammar  $G_1$ , then closure( $I$ ) is the set of LR(0) items constructed from  $I$  by 2 rules:

(i) Initially, every LR(0) item in  $I$  is added to closure( $I$ ).

(ii) If  $A \rightarrow a \cdot Bb \cdot p$  in closure( $I$ ) &  $B \rightarrow \delta$  is a product rule of  $G_1$ ; then  $B \rightarrow \cdot \delta$  will be in the closure( $I$ ). We will apply this rule until no more new LR(0) items can be added to closure( $I$ ).

eg:  $E \rightarrow E + T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow id \mid (E)$

$E' \rightarrow \cdot E$

$E \rightarrow \cdot T$

$E \rightarrow \cdot E + T$

$T \rightarrow \cdot T$

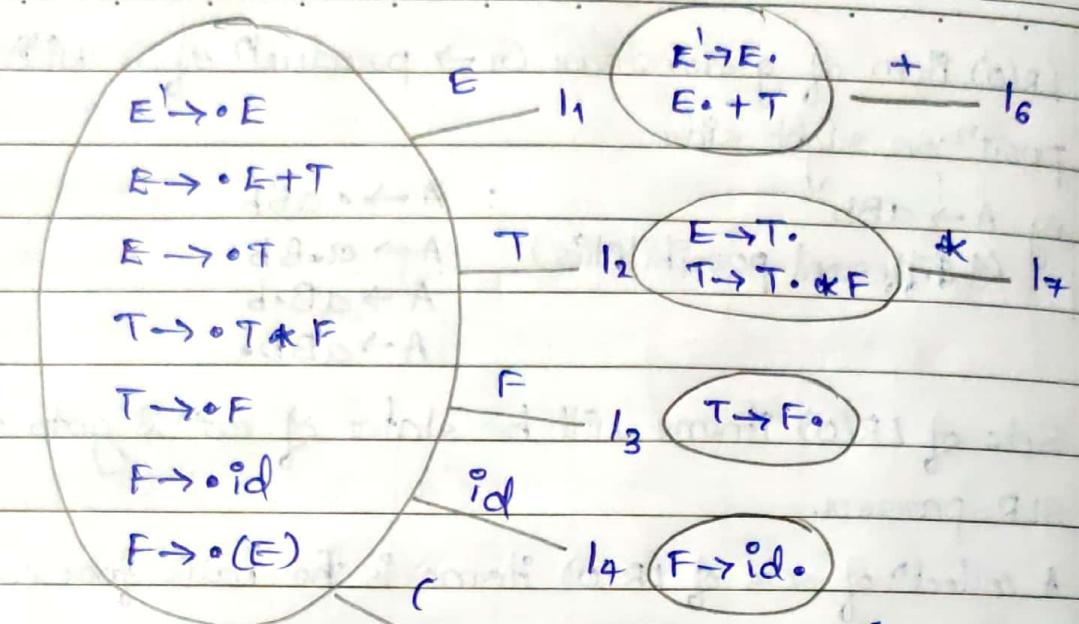
$T \rightarrow \cdot T * F$

Canonical Set( $E'$ )  
=  $I_0$

$T \rightarrow \cdot F$

$F \rightarrow \cdot id$

~~$E \rightarrow \cdot (E)$~~  } Entail set is state 0  
 $F \rightarrow \cdot (E)$  } state 0



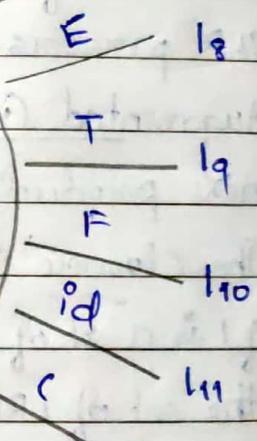
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$l_1: \text{GOTO}(l_0, E)$   
 $\{ E' \rightarrow E.$   
 $E \rightarrow E + T \}$

$l_2: \text{GOTO}(l_0, T)$   
 $\{ E \rightarrow T.$   
 $E \rightarrow T * F \}$

$l_3: \text{GOTO}(l_0, F)$   
 $\{ T \rightarrow F. \}$

$l_4: \text{GOTO}(l_0, id)$   
 $\{ F \rightarrow id. \}$



$l_5: \text{GOTO}(l_0, ($   
 $\{ F \rightarrow (E) \}$

$E \rightarrow E + T$

$E \rightarrow T$

$T \rightarrow T * F$

$T \rightarrow F$

$F \rightarrow id$

$F \rightarrow (E) \}$

$l_6: \text{GOTO}(l_1, +)$   
 $\{ E \rightarrow E + T$

$T \rightarrow T * F$

$F \rightarrow id$

$F \rightarrow (E) \}$

~~$\text{GOTO}(l_5, T)$~~

$\{ E \rightarrow T.$   
 $T \rightarrow T * F \}$

$\{ T \rightarrow T * F$   
 $F \rightarrow id$

$\{ E' \rightarrow E.$   
 $F \rightarrow (E.) \}$

$\{ E \rightarrow E + T \}$

$\{ \text{Already exists} \Rightarrow l_2$   
 $\text{So not possible} \Rightarrow \text{not state}$   
 $\Rightarrow l_2 = \text{GOTO}(l_5, T) = l_2$

~~GOTO (I<sub>5</sub>, F)~~ $\{ T \rightarrow F \cdot \} \Rightarrow \text{GOTO } (I_5, F) = I_3$ GOTO (I<sub>6</sub>, T) $\{ E \rightarrow E + T \cdot \}$ ~~GOTO (I<sub>5</sub>, Id)~~ $\{ F \rightarrow Id \cdot \} \Rightarrow \text{GOTO } (I_5, Id) = I_4$  $T \rightarrow T \cdot * F \} \Rightarrow I_9$ ~~GOTO (I<sub>5</sub>, C)~~ $\{ F \rightarrow ( \cdot E ) \cdot \}$  $E \rightarrow \cdot E + T \Rightarrow \text{GOTO } (I_5, C) = I_5$ GOTO (I<sub>6</sub>, F) $\{ T \rightarrow F \cdot \} \Rightarrow I_3$ 

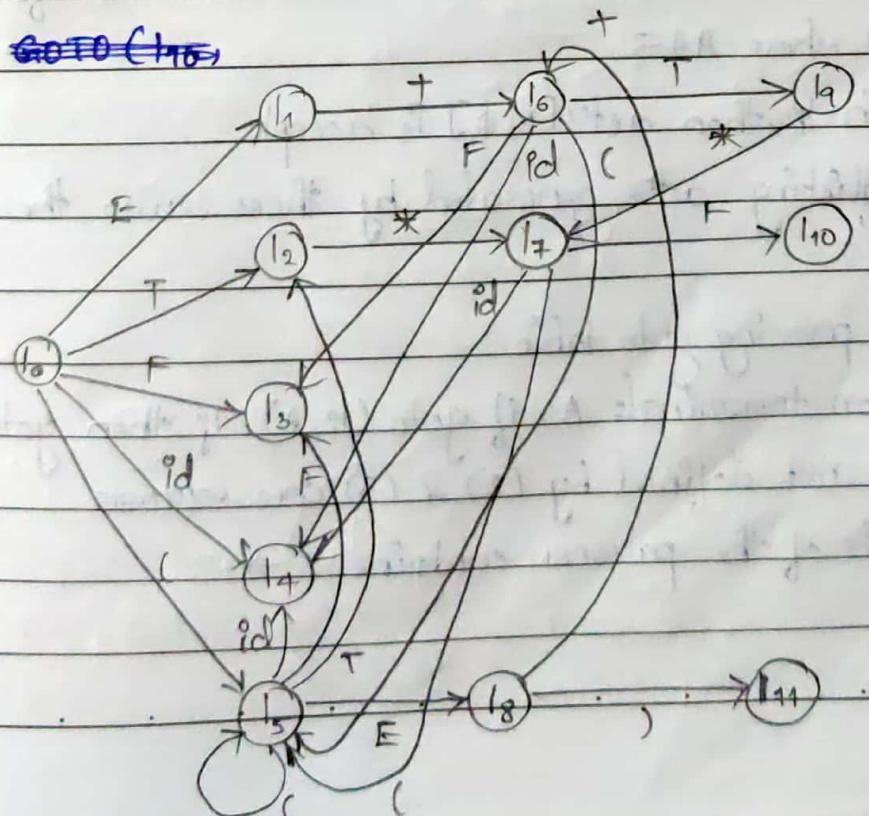
E → · T

T → · T \* F

T → · F

F → · id

F → · ( E ) · }

GOTO (I<sub>7</sub>, F) $\{ T \rightarrow T * F \cdot \} \Rightarrow I_{10}$ GOTO (I<sub>7</sub>, E)~~GOTO (I<sub>7</sub>, E)~~GOTO (I<sub>7</sub>, id) $\Rightarrow I_4$ GOTO (I<sub>8</sub>, )) $\{ F \rightarrow ( E ) \cdot \} \Rightarrow I_{11}$ GOTO (I<sub>8</sub>, +)~~GOTO (I<sub>8</sub>, +)~~GOTO (I<sub>9</sub>, \*) $\Rightarrow I_7$ GOTO (I<sub>10</sub>)

### Constructn of The Canonical LR(0) Collection

- Algorithm:

$C \leftarrow \{ \text{closure } (\{S' \rightarrow \cdot S\}) \}$

repeat the following until no more set of LR(0) items can be added to C  
for each  $i$  in  $C$  & each grammar symbol  $x$

if  $\text{goto}(i, x)$  is not empty & not in  $C$   
add  $\text{goto}(i, x)$  to  $C$

- $\text{goto}$  funct<sup>n</sup> is a DFA on the sets in  $C$ .

### Constructing an SLR Parsing Table

- Construct canonical collection of sets of LR(0) items for  $G$ :

$$C \leftarrow \{ I_0, \dots, I_n \}$$

- Create the parsing act<sup>n</sup> table as follows:

→ If  $a$  is a terminal,  $A \rightarrow \alpha \cdot a \beta$  in  $I_i$ ; &  $\text{goto}(I_i, a) = I_j$ ; then  $\text{act}^n[I_i, a] = I_j$   
 $I_j$  shifts<sup>j</sup>

→ If  $A \rightarrow \alpha \cdot$  is in  $I_i$ , then  $\text{act}^n[I_i, a]$  is produce  $A \rightarrow \alpha$  for all  $a$  in FOLLOW( $A$ ) where  $A \neq S'$ .

→ If  $S' \rightarrow S \cdot$  is in  $I_i$ , then  $\text{act}^n[I_i, \$]$  is accept.

→ If any conflicting acts generated by these rules, the grammar is not SLR(1)

- Create the parsing goto table

→ For all non-terminals  $A$ , if  $\text{goto}(I_i, A) = I_j$ ; then  $\text{goto}[I_i, A] = j$

• All entries not defined by (2) & (3) are errors.

• Initial state of the parser contains  $S' \rightarrow \cdot S$

### LR Parsing Algorithm

let  $a$  be the 1st symbol of  $w\#$ ;

while(1) { /\* repeat forever \*/ }

    let  $s$  be the state on top of stack;

    if ACTION[s, a] = shift  $t$ ) {

        push  $a$  then  $t$  onto stack;

        advance ip to the next i/p symbol;

}

    else if (ACTION[s, a] = reduce  $A \rightarrow \beta$ ) {

        pop  $|\beta|$  symbols of the stack;

        let state  $t$  now be on top of the stack;

        push  $A$  then GOTO[t, A] on top of the stack;

        output the productn  $A \rightarrow \beta$ ;

}

    else if (ACTION[s, a] == accept) break; /\* parsing is done \*/

    else call error-handling routine;

}

$$\textcircled{1} \quad S \rightarrow L = R \mid R$$

$$L \rightarrow *R \mid id$$

$$R \rightarrow L$$

Ans:  $S \rightarrow L=R$

$S \rightarrow R$

$L \rightarrow *R$

$L \rightarrow id$

$R \rightarrow L$

$I_0 = \{ S^l \rightarrow \circ S \}$

$S \rightarrow \circ L=R$

$S \rightarrow \circ R$

$L \rightarrow \circ *R$

$L \rightarrow \circ id$

$R \rightarrow \circ L?$

$I_1 = \{ S^l \rightarrow S \circ \}$

$I_2 = \{ S \rightarrow L \circ = R \}$

$R \rightarrow L \circ \}$

$I_3 = \{ S \rightarrow R \circ \}$

$I_5 = \{ L \rightarrow id \circ \}$

GOTO( $I_2, =$ )

$\{ S \rightarrow L = \circ R \} \Rightarrow I_5$

$R \rightarrow \circ L$

$L \rightarrow \circ *R$

$L \rightarrow \circ id \}$

GOTO( $I_6, R$ )

$\{ S \rightarrow L = R \circ \} \Rightarrow I_9$

~~GOTO(~~

GOTO( $I_6, L$ )

$\{ R \rightarrow L \circ \} \Rightarrow I_8$

GOTO( $I_4, R$ )

$\{ L \rightarrow *R \circ \} \Rightarrow I_7$

GOTO( $I_4, id$ )

$\{ L \rightarrow id \circ \} = I_5$

GOTO( $I_6, *$ )

$\{ R \rightarrow L \circ \} = I_4$

$\{ L \rightarrow id \circ \} = I_5$

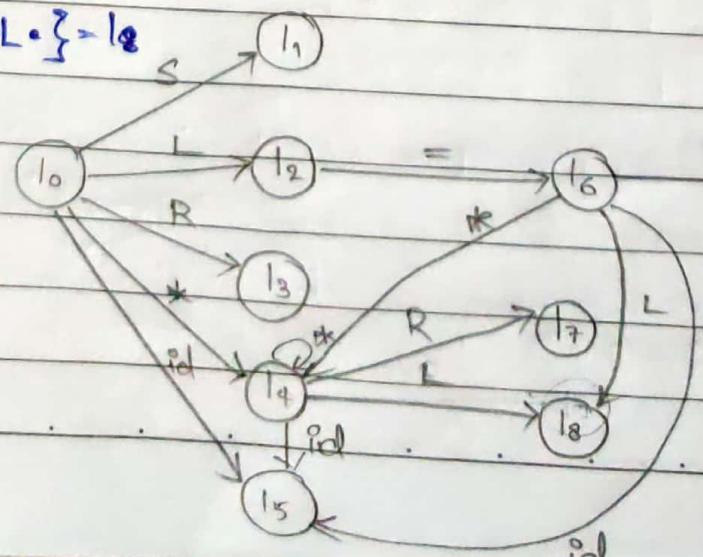
GOTO( $I_4, L$ )

$\{ R \rightarrow L \circ \} \Rightarrow I_8$

GOTO( $I_4, *$ )

$\{ L \rightarrow *R \circ \} = I_4$

$\{ L \rightarrow id \circ \} = I_5$



$C \leftarrow \{l_0, l_1, l_2, l_3, l_4, l_5, l_6, l_7, l_8, l_9\}$ 
 $\text{FIRST}(S) = \{\text{id}, *\}$ 
 $\text{FOLLOW}(S) = \{\$\}$ 
 $\text{FIRST}(L) = \{*, \text{id}\}$ 
 $\text{FOLLOW}(L) = \{\$, =\}$ 
 $\text{FIRST}(R) = \{*, \text{id}\}$ 
 $\text{FOLLOW}(R) = \{\$, =\}$ 

 Ac<sup>n</sup> table

Goto table

state	id	*	=	\$	S	L	R
0	s5	s4			1	2	3
1				acc			
2			915, 916	915			
3			912	912			
4	s5	s4			8	7	
5			914	914			
6	s5	s4			8	9	
7			913	913			
8			915	915			
9			911				

 $L \rightarrow \cdot * R \Rightarrow \text{shift to state } 4 \Rightarrow s4 \quad \} \text{ state } 0$ 
 $L \rightarrow \cdot id \Rightarrow \cdot \quad \cdot \quad \cdot \quad \cdot \quad 5 \Rightarrow s5 \quad \} \text{ state } 0$ 
 $S' \rightarrow S \cdot \Rightarrow \text{accept} \quad \} \text{ state } 1$ 
 $S \rightarrow L \cdot = R \Rightarrow \text{shift to state } 6 \quad \cancel{\text{state } 2} \quad \} \text{ state } 2 \rightarrow \text{shift-reduce conflict}$ 
 $R \rightarrow L \cdot \Rightarrow \text{reduce } R \rightarrow L \Rightarrow 915 \text{ in } \text{FOLLOW}(R)$ 

This is an ambiguous grammar.

$\therefore$  We cannot construct Parsing table

- $S \rightarrow R \cdot \Rightarrow$  reduce  $S \rightarrow R \Rightarrow g(2)$  in FOLLOW( $R$ ) } state 3  
 $L \rightarrow \cdot id \Rightarrow$  shift to state 5 } state 4  
 $L \rightarrow \cdot *R \Rightarrow$  " " " 4 } state 4  
 $L \rightarrow id \cdot \Rightarrow$  reduce  $L \rightarrow id \Rightarrow g(4)$  in FOLLOW( $L$ ) } state 5  
 $L \rightarrow \cdot *R \Rightarrow$  shift to state 4 } state 6  
 $L \rightarrow \cdot id \Rightarrow$  shift to state 5 } state 5  
 $L \rightarrow *R \cdot \Rightarrow$  reduce  $L \rightarrow *R \Rightarrow g(3)$  in FOLLOW( $L$ ) } state 7  
 $R \rightarrow L \cdot \Rightarrow$  reduce  $R \rightarrow L \Rightarrow g(5)$  in FOLLOW( $R$ ) } state 8  
 $S \rightarrow L = R \cdot \Rightarrow$  reduce  $S \rightarrow L = R \Rightarrow g(1)$  in FOLLOW( $S$ ) } state 9

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### Canonical LR Parsing (CLR/LR)

#### Canonical LR(1) Items

- In LR(1) items each item is in the form:  $[A \rightarrow \alpha B, a]$
- An LR(1) item  $[A \xrightarrow{*} \alpha B, a]$  is valid for a variable prefix if there is a derivation

$S \Rightarrow^* S A_w \Rightarrow S a B_w$ , where

$\delta = S \alpha$  &  $a \rightarrow$  either 1st symbol of  $w$ ,  $w$  is  $\epsilon$  &  $a$  is  $\$$ .

eg: $S' \rightarrow S$	$I_0 = \{ [S' \rightarrow \cdot S, \$] \}$	$I_1 = \text{GOTO}(I_0, S)$
$S \rightarrow \cdot B B$	$I_1 = \{ [S \rightarrow \cdot B B, \$] \}$	$I_2 = \text{GOTO}(I_0, B)$
$B \rightarrow \cdot a B$	$I_2 = \{ [B \rightarrow \cdot a B, a/b] \}$	$I_3 = \text{GOTO}(I_0, a)$
$B \rightarrow \cdot b$	$I_3 = \{ [B \rightarrow \cdot b, a/b] \}$	$I_4 = \text{GOTO}(I_0, b)$
$I_1 = \{ [S' \rightarrow S, \$] \}$	$I_3 = \{ [B \rightarrow \cdot a B, a/b] \}$	
$I_2 = \{ [S \rightarrow B B, \$] \}$	$[B \rightarrow \cdot a B, a/b]$	
$[B \rightarrow \cdot b, \$] \}$	$[B \rightarrow \cdot b, a/b] \}$	
	$I_4 = \{ [B \rightarrow b, a/b] \}$	

$$\text{GOTO}(I_2, B) = \{ [S \rightarrow BB\cdot, \$] \} = I_5$$

$$\text{GOTO}(I_2, a) = \{ [B \rightarrow a \cdot B, \$] \}$$

$$[B \rightarrow \cdot aB, \$]$$

$$[B \rightarrow \cdot b, a/b] \} = I_6$$

$$\text{GOTO}(I_3, B) = \{ [B \rightarrow aB\cdot, a/b] \} = I_8$$

$$\text{GOTO}(I_3, a) = \{ [B \rightarrow a \cdot B, a/b] \}$$

$$[B \rightarrow \cdot aB, a/b]$$

$$[B \rightarrow \cdot b, a/b] \} = I_3$$

$$\text{GOTO}(I_3, b) = \{ [B \rightarrow b\cdot, a/b] \} = I_4$$

$$\text{GOTO}(I_2, b) = \{ [B \rightarrow b\cdot, \$] \} = I_7$$

$$\text{GOTO}(I_6, B) = \{ [B \rightarrow aB\cdot, \$] \} = I_9$$

$$\text{GOTO}(I_6, a) = \{ [B \rightarrow a \cdot B, \$] \}$$

$$[B \rightarrow \cdot aB, \$]$$

$$[B \rightarrow \cdot b, \$] \} = I_6$$

$$\text{GOTO}(I_6, b) = \{ [B \rightarrow b\cdot, \$] \} = I_7$$

### Canonical LR(1) Parsing Table

- Method

→ Construct  $C = \{ I_0, I_1, \dots, I_n \}$ , the collection of LR(1) items for  $G^*$ .

→ State  $i$  is constructed from state  $I_i$ :

- \* If  $[A \rightarrow \alpha \cdot aB, b]$  is in  $I_i$  &  $\text{Goto}(I_i, a) = I_j$ , then set ACTION  $[i, a]$  to "shift j"

\* If  $[A \rightarrow \alpha \cdot, a]$  is in  $I_i$ , then set ACTION  $[i, a]$  to "reduce  $A \rightarrow \alpha$ "

\* If  $[S' \rightarrow S \cdot, \$]$  is in  $I_i$ , then set ACTION  $[i, \$]$  to "Accept"

State	Action Table			GoTo Table	
	a	b	\$	s	B
0	s3	s4		1	2
1			accept		
2	s6	s7			5
3	s3	s4			8
4	s3	s3			
5			s1		
6	s6	s7			9
7	<del>s3</del>	<del>s3</del>	s3		
8	s2	s2			
9			s2		

LALR (Look Ahead) Parser

- It produces the no. of states of a CLR parser.

eg:  $I_4 : \text{GOTO}(I_0, b)$

$\{B \rightarrow b\cdot, a/b\}$

$I_7 : \text{GOTO}(I_2, b)$

$\{B \rightarrow b\cdot, \$\}$

Combine  $I_4$  &  $I_7$  to form  $I_{47} = \{B \rightarrow b\cdot, a/b/\$\}$