

# CS 302.1 - Automata Theory

## Lecture 10

Shantanav Chakraborty

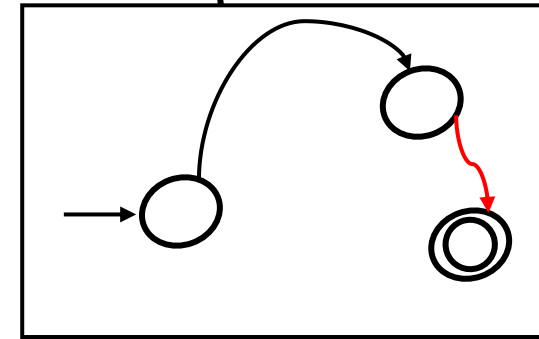
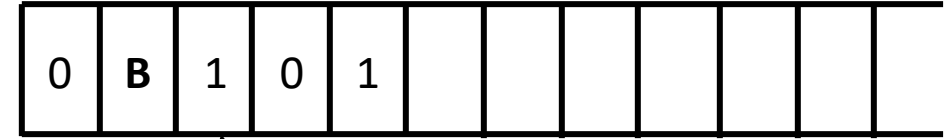
Center for Quantum Science and Technology (CQST)

Center for Security, Theory and Algorithms (CSTAR)

IIIT Hyderabad



A diagram showing a transition from a left oval to a right oval, labeled  $a, b, L/R$ .

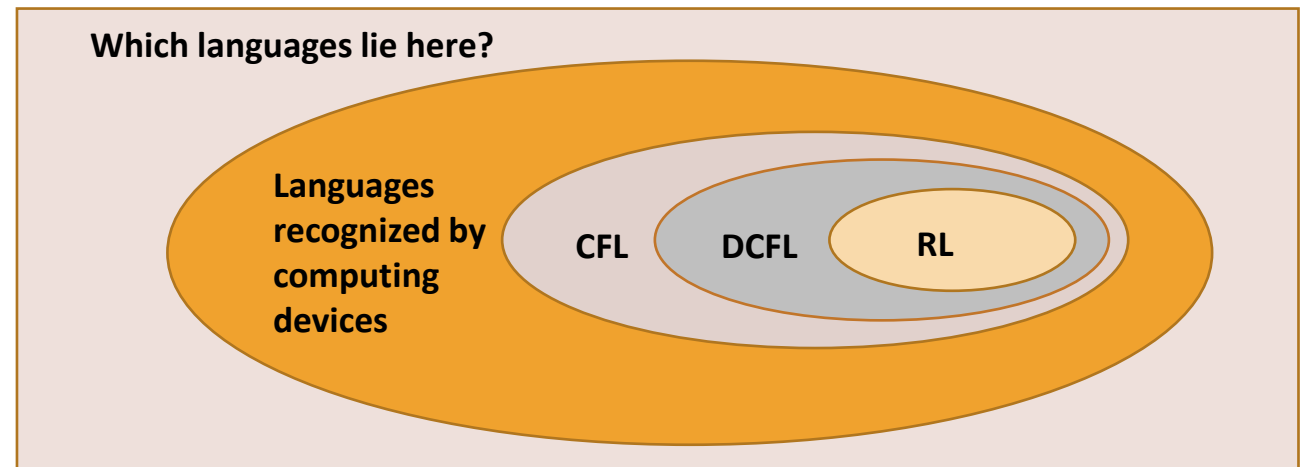


- TM **halts** and **accepts/rejects** on reaching the **accept** or **reject** states
- TM may never halt – it may loop forever.

**Configuration of a TM:** Combination of the current tape contents, the current state and the current head location.       $X\ 0\ 0\ 1\ 1\ 1\ B\ B\ B\ B\ \dots$

$$X\ 0\ 0\ 1\ 1\ 1\ B\ B\ B\ B\ \dots$$
$$\uparrow q_1$$

- $C_1$  is the start configuration  $M$  on  $w$ .
- Each  $C_i$  yields  $C_{i+1}$ .
- $C_k$  is an accepting configuration



# Variants of Turing Machine models

A TM model  $\mathcal{M}_1$  is equivalent to another model  $\mathcal{M}_2$ :

- $\mathcal{M}_2$  can be simulated by  $\mathcal{M}_1$  and vice versa.

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- The head can move left, right or stay put?
- We have  $k$  read/write tapes instead of one?
- We had a two-way infinite tape, instead of one?
- TM has a printer attached?
- We introduced non-determinism?

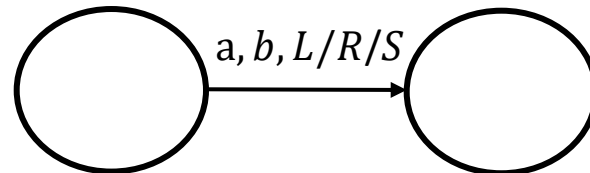
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**Lazy Turing Machine:** The head can either move left, move right or stay put ( $L, R, S$ )



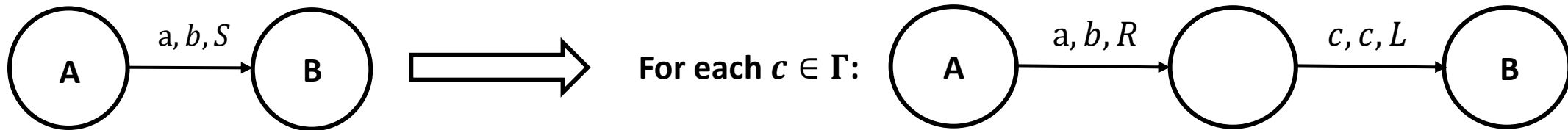
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Hence a *lazy Turing machine* model is **equivalent** to a standard Turing Machine model.

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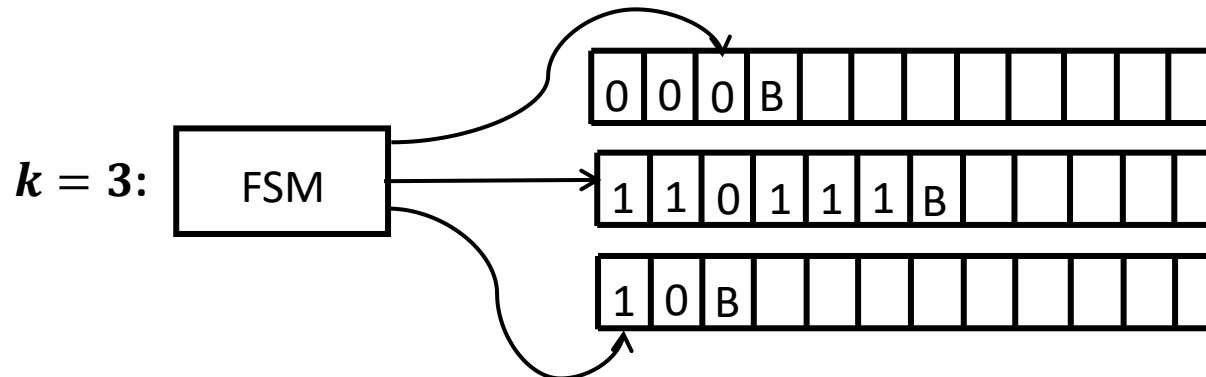
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$$\delta_S: Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R\}^k, \text{ i.e. } \delta_S(q_i, a_1, \dots, a_k) = (q_j, b_1, \dots, b_k, L, R, \dots, L)$$





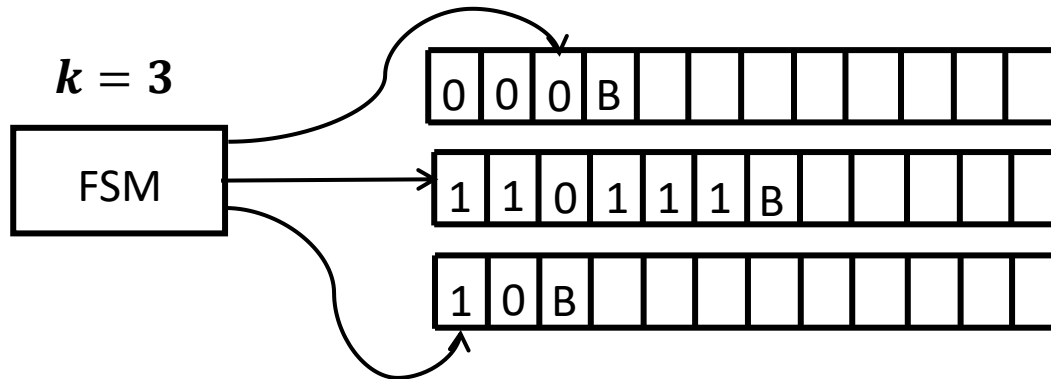
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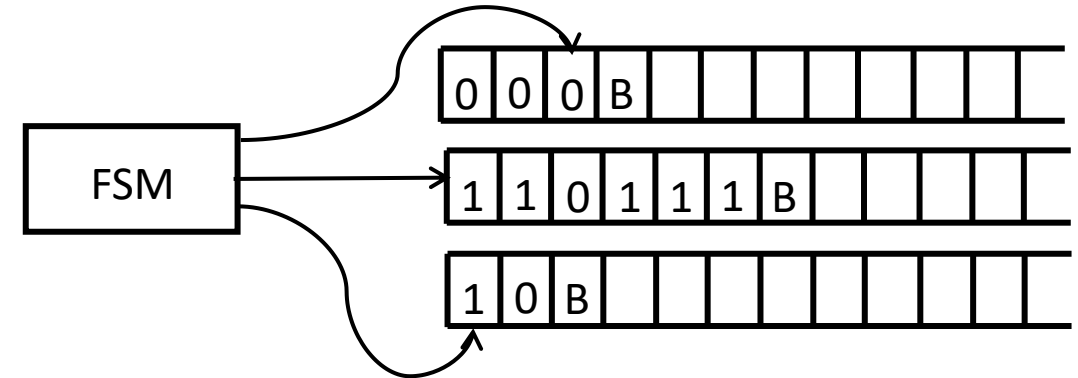


- To simulate  $S$  with  $M$ , we store the entire information of the  $k$  tapes in one single tape.
- $M$  uses  $\$$  to separate the contents of the  $k$  tapes.
- To keep track of the locations of the  $k$  heads,  $M$  marks the symbols where the heads would be, with a  $'_'$ .

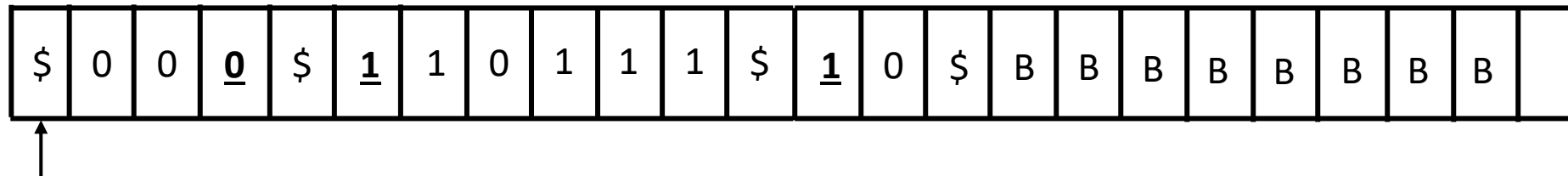
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- Single tape TM  $M$  **first scans the entire tape from leftmost \$ to rightmost \$** ( $k + 1$  in all) to determine the symbols under the virtual heads. Then it makes a second pass to update the tape according to  $\delta_S$ .
- If it so happens that  $M$ 's head needs to go to the right of any of the intermediate \$  $\Rightarrow S$  has moved the head on the corresponding tape to the unread blank symbols. Starting from this cell to the rightmost \$, **shift one cell to the right to make space to write a blank on the empty tape cell** and simulate as before.

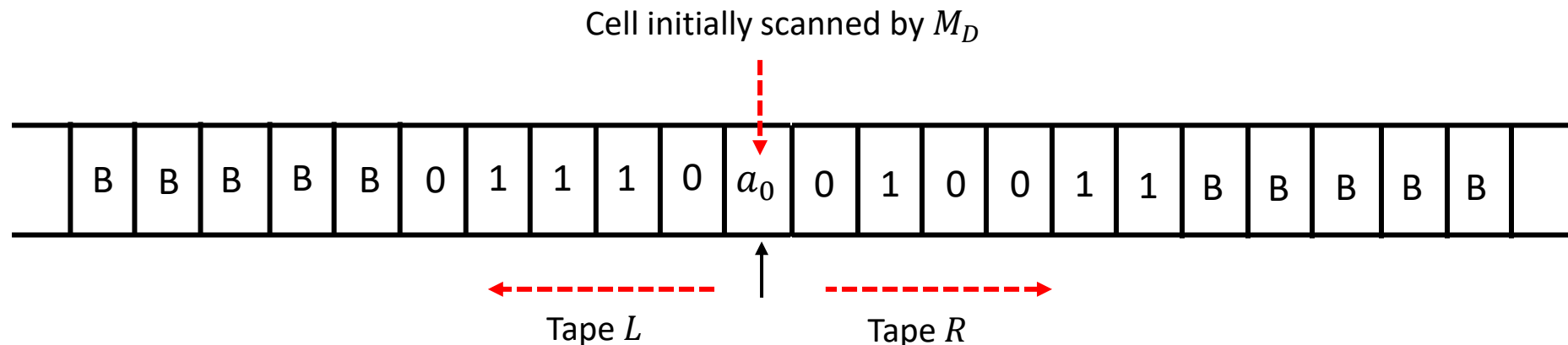
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**Two-way infinite Tape:** Let  $M_D$  be the TM equipped with this power.

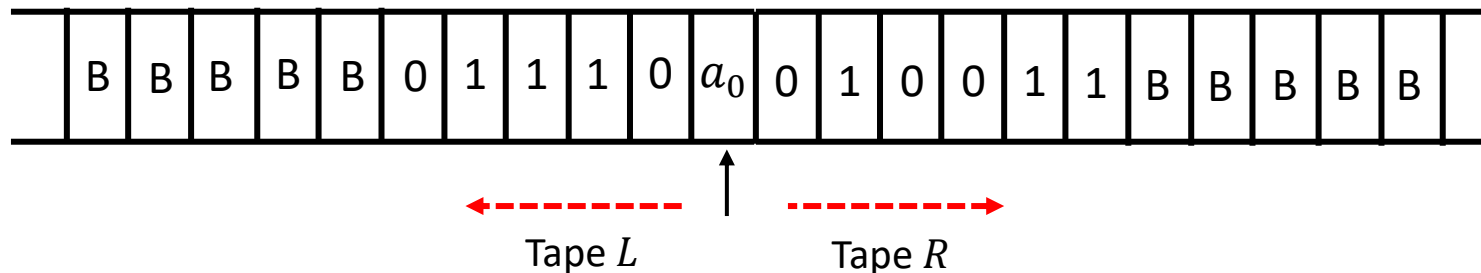


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- Cut the two tapes of  $M_D$  into Tape  $R$  and  $(\text{Tape } L)^R$ . We get a two-tape TM.
- Whenever  $M_D$  uses the tape to the right of the  $a_0$ , Tape  $R$  is used.
- When  $M_D$  uses the tape to the left of  $a_0$ ,  $(\text{Tape } L)^R$  is used.

**$M_D$  isn't any more powerful than a one way infinite tape TM.**

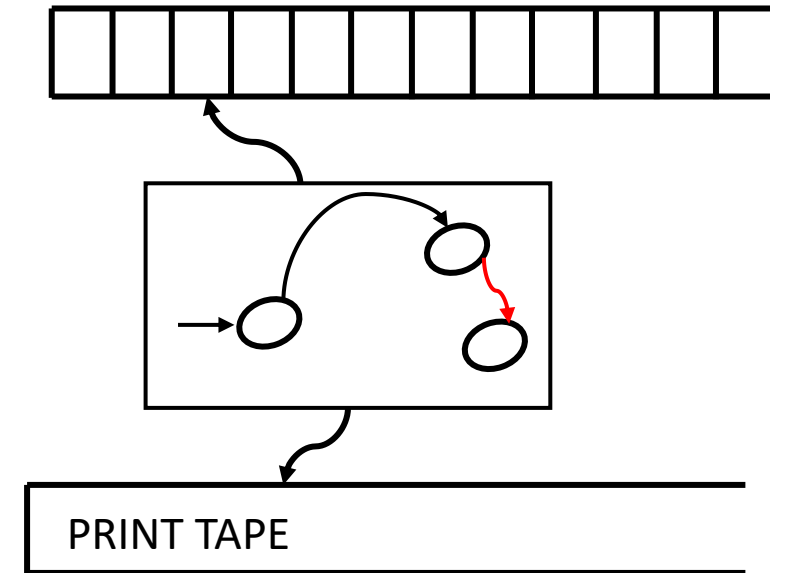
**So a TM with a two-way infinite tape is equivalent to a TM with a one-way infinite tape.**

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**Enumerators:** TM attached with a printer



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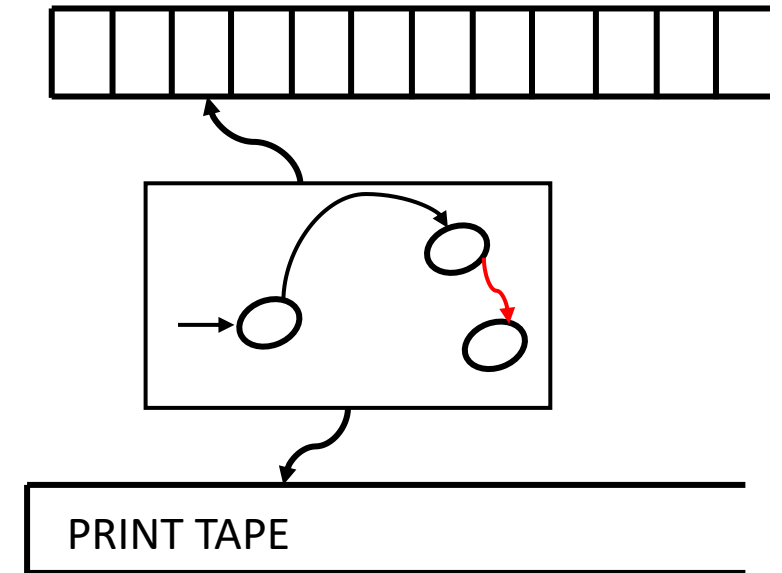
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**Enumerators:** TM attached with a printer

- The Enumerator  $E$  uses the print tape to output strings
- The input tape is initially blank
- The language of  $E$  is the set of strings that it prints out
- If  $E$  does not halt, it may print infinitely many strings in some order

$$\mathcal{L}(E) = \{w \in \Sigma^* \mid w \text{ is printed by } E\}$$



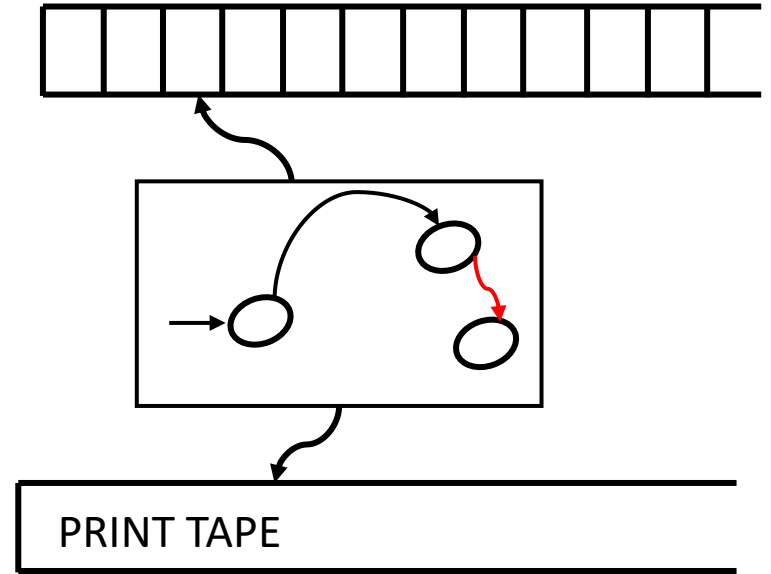
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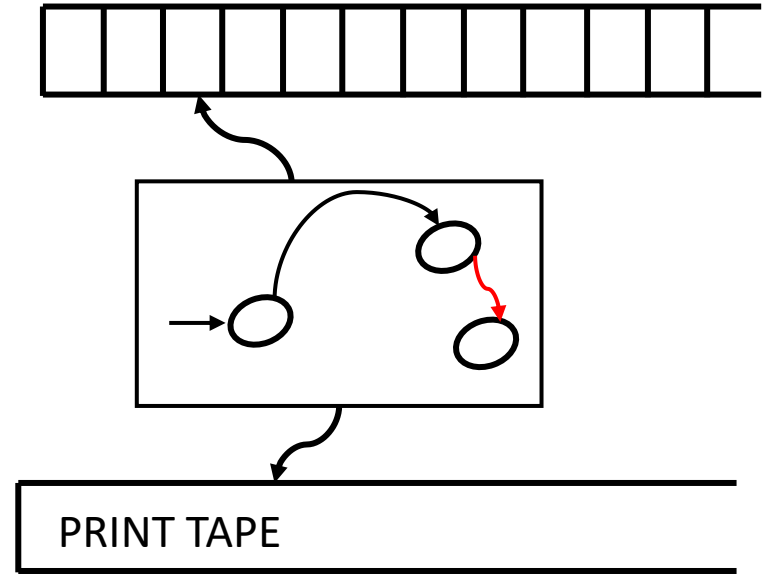
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The set of all finite length (binary) strings is **countably infinite**.





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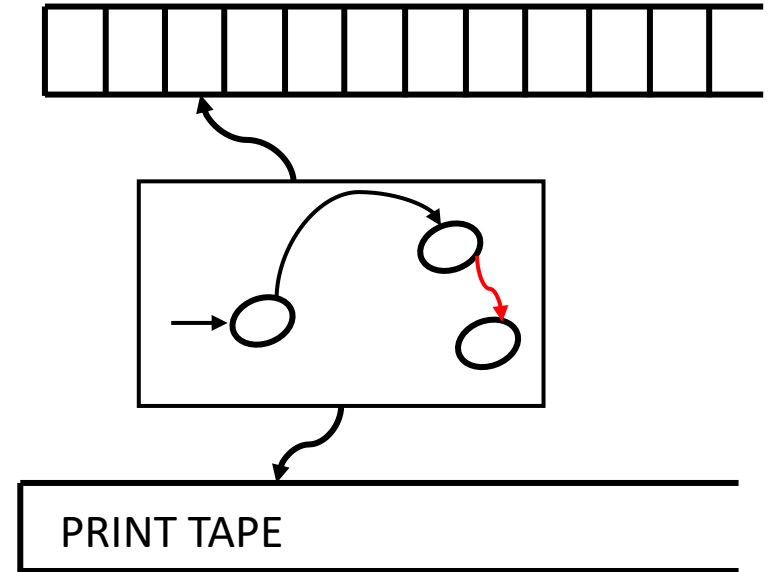
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- Lexicographically generate all binary strings one after the other. There exists a one-one correspondence with  $\mathbb{N}$ .
- We can lexicographically generate all (binary) strings and number them:

$$s_1 = 0, s_2 = 1, s_3 = 00, \dots$$



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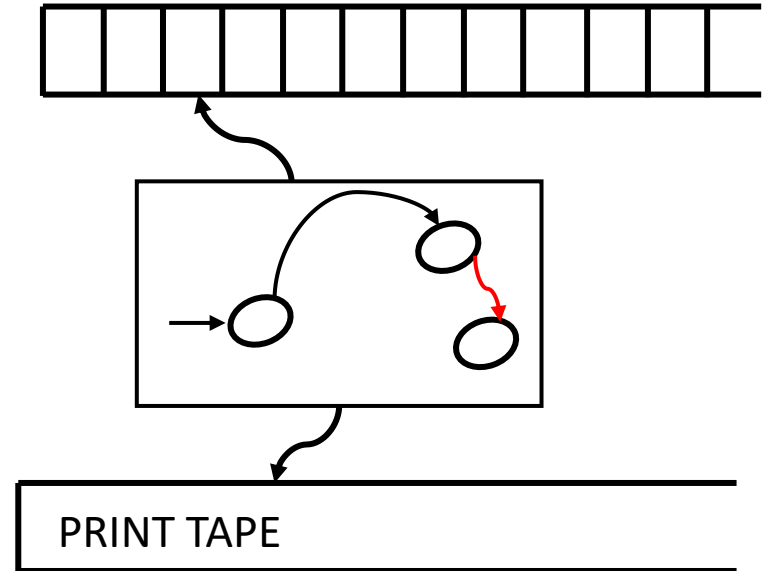
**Proof:**

For  $i = 1, 2, \dots$

For  $j = 1, 2, \dots, i$

Run  $M$  with string  $s_j$  for  $i$  steps.

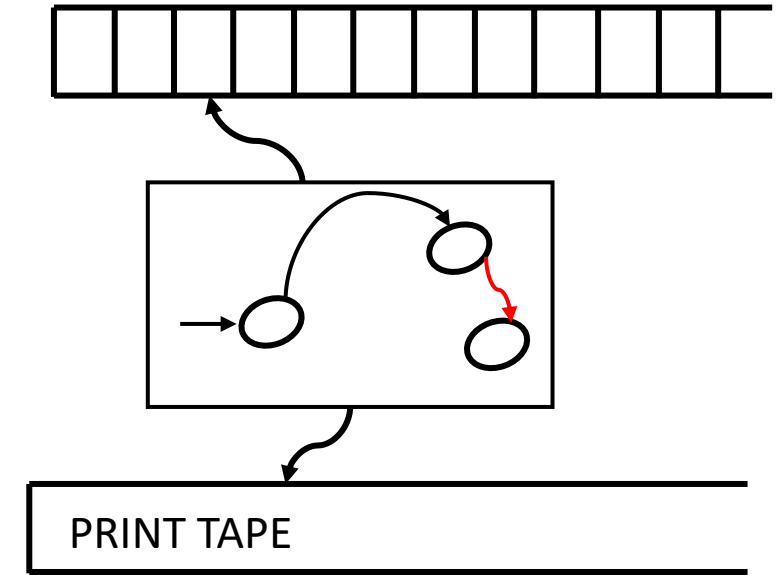
If any string is accepted, then PRINT it.



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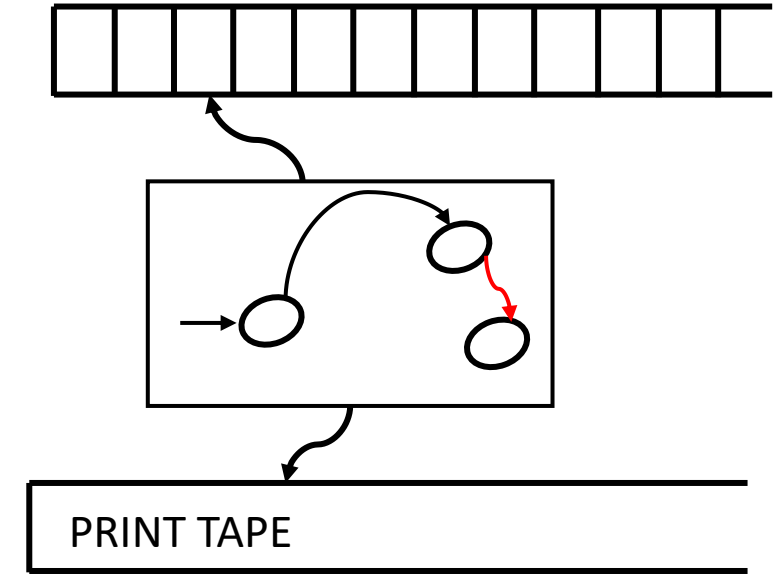
If there exists an Enumerator  $E$ , then there exists a Turing Machine  $M$  such that  $L(M) = L(E)$ .

**Proof: ???**

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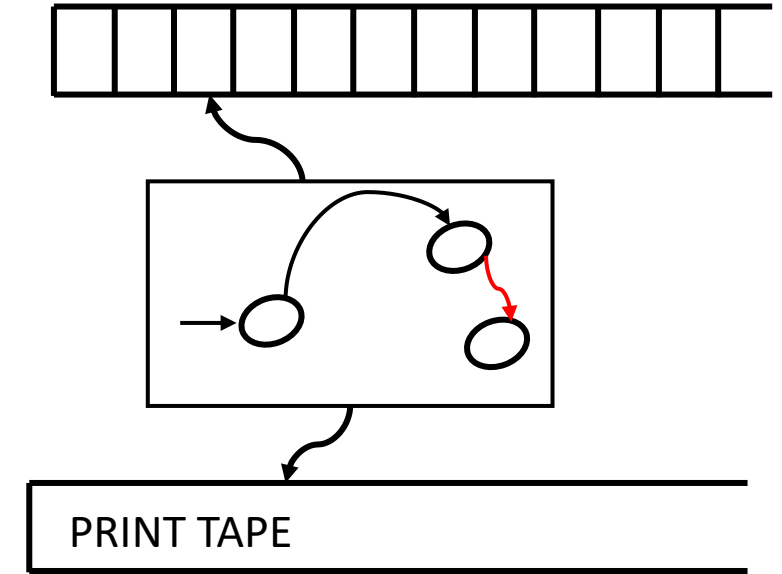
$M$  = On input  $w$ :

1. Run  $E$ . Every time  $E$  prints some string, compare it with  $w$ .
2. If they match, ACCEPT.

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**$E$  and  $M$  are equivalent**

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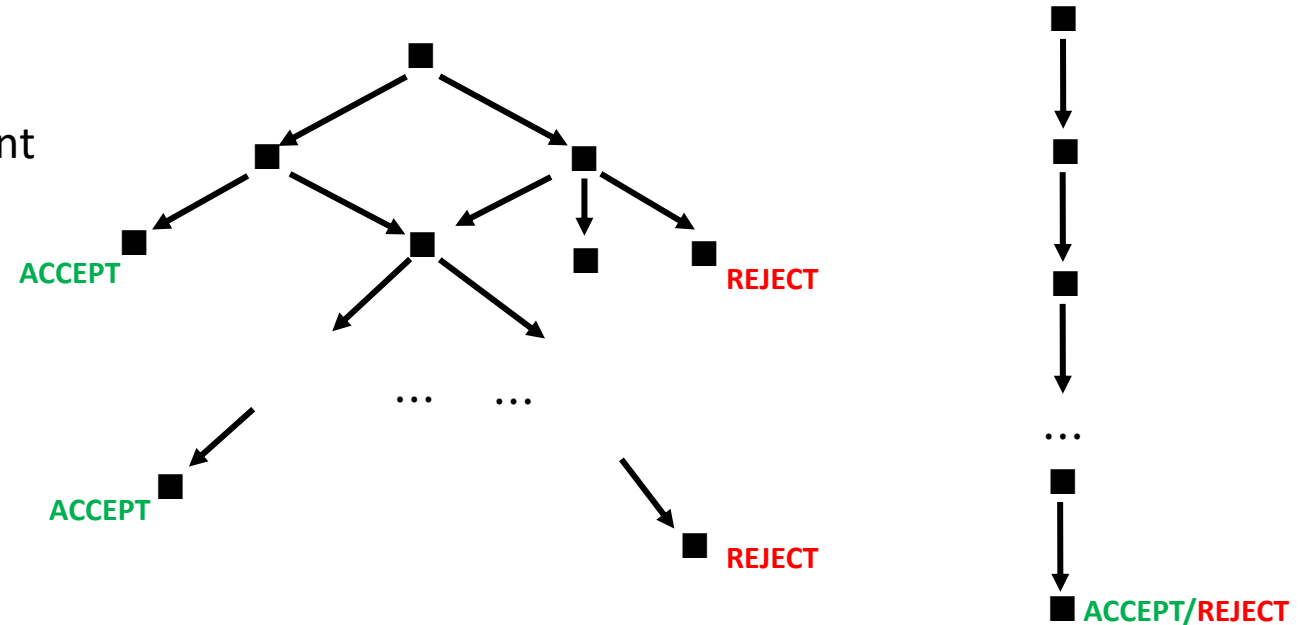
**Non-deterministic Turing Machines (NTM):** In a deterministic Turing machine, from a given configuration, exactly one configuration is available to it at any stage. For an NTM however,

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- Its transition function is  $\delta_N: Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$ , i.e.  $\delta(q_i, a) \rightarrow \{(q_j, b, R), (q_k, c, L) \dots\}$

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- The computation corresponds to a configuration tree: From the starting configuration, the computation has several branches, each of which leads to a different configuration.
- If any branch of the computation leads to an accepting configuration, the NTM accepts. Immediately, DTM is a special case of NTM



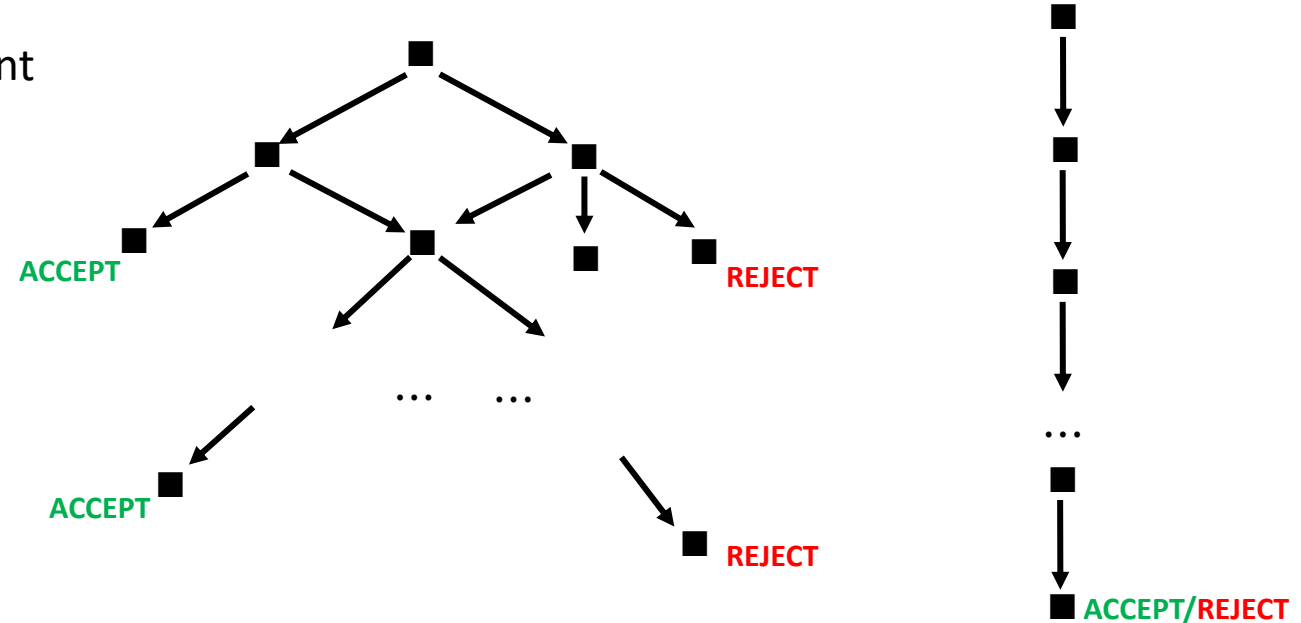
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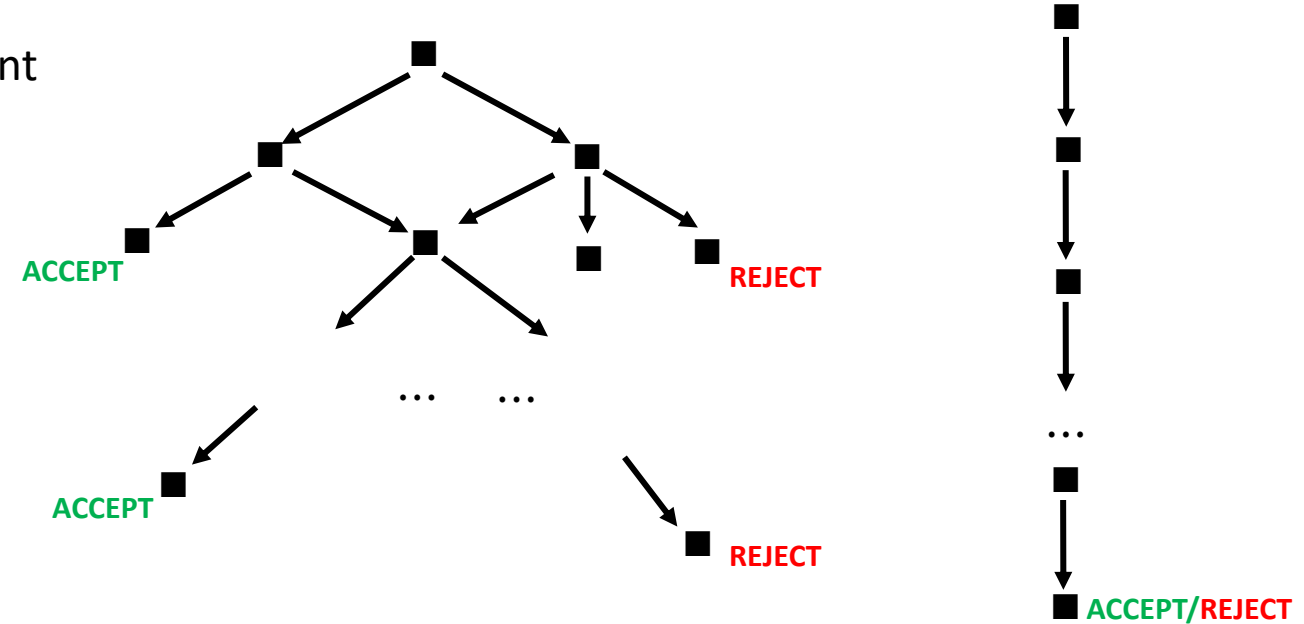
**Are NTMs more powerful than DTMs? No. Any NTM can be simulated by a DTM. How?**

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**Any NTM can be simulated by a DTM**



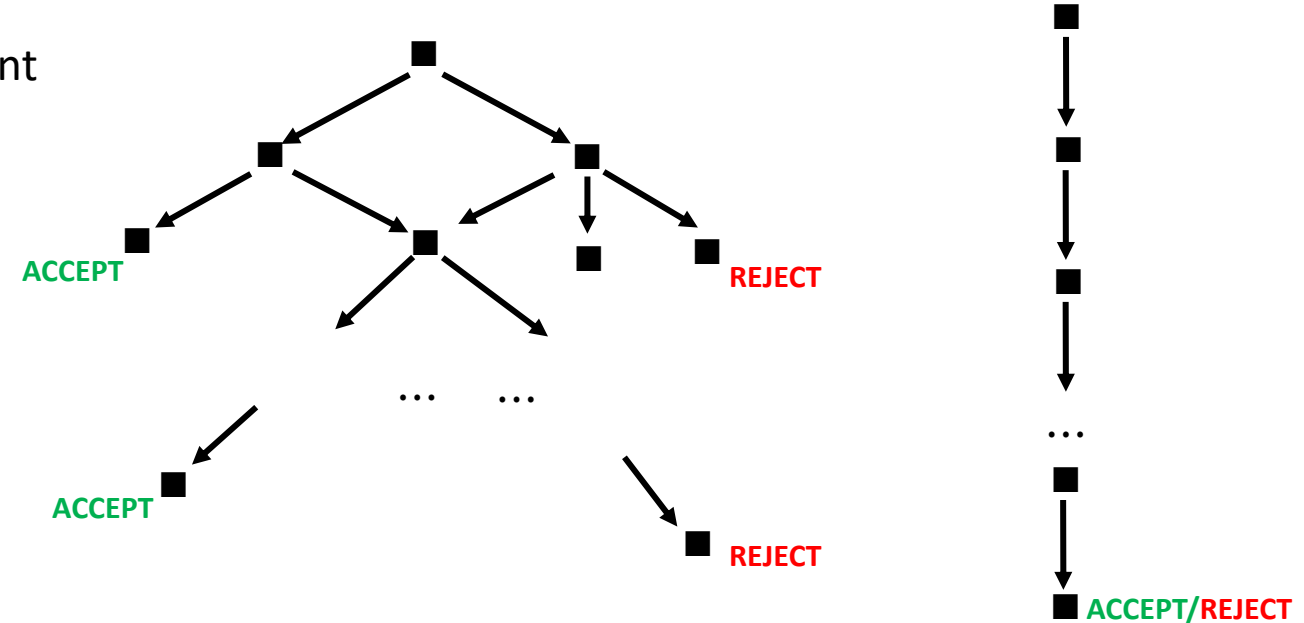
- The DTM searches from among the configurations of the NTM for an accepting configuration.
- Clearly an ordinary Depth First Search shouldn't work
- A branch of the configuration tree can be of infinite depth (when the TM loops forever for that sequence of configuration) and hence the DTM can miss a much shorter accepting configuration.

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Any NTM can be simulated by a 3-tape DTM



Input string  $w$

Generate runs lexicographically

Simulate the run for i/p  $w$

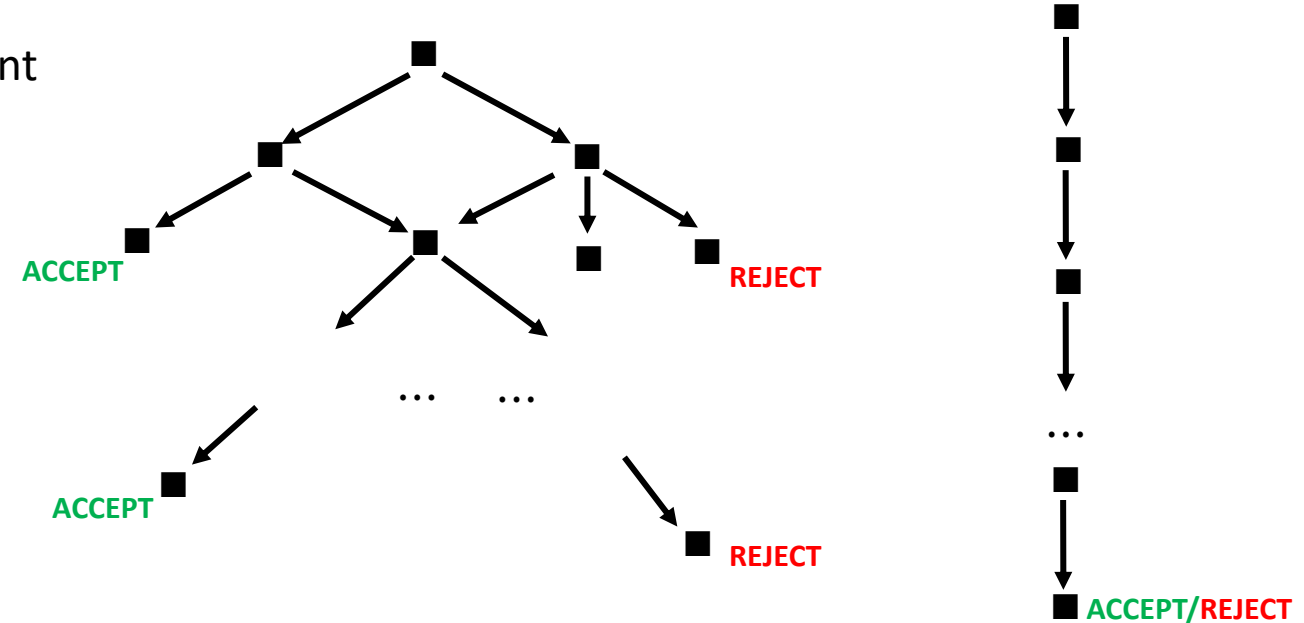
- Tape 1 holds the input string  $w$ .
- As for the content of Tape 2, note that we can always obtain a bound for the maximum number of nodes at any level of the configuration tree (say  $b$ ).

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Generate runs lexicographically

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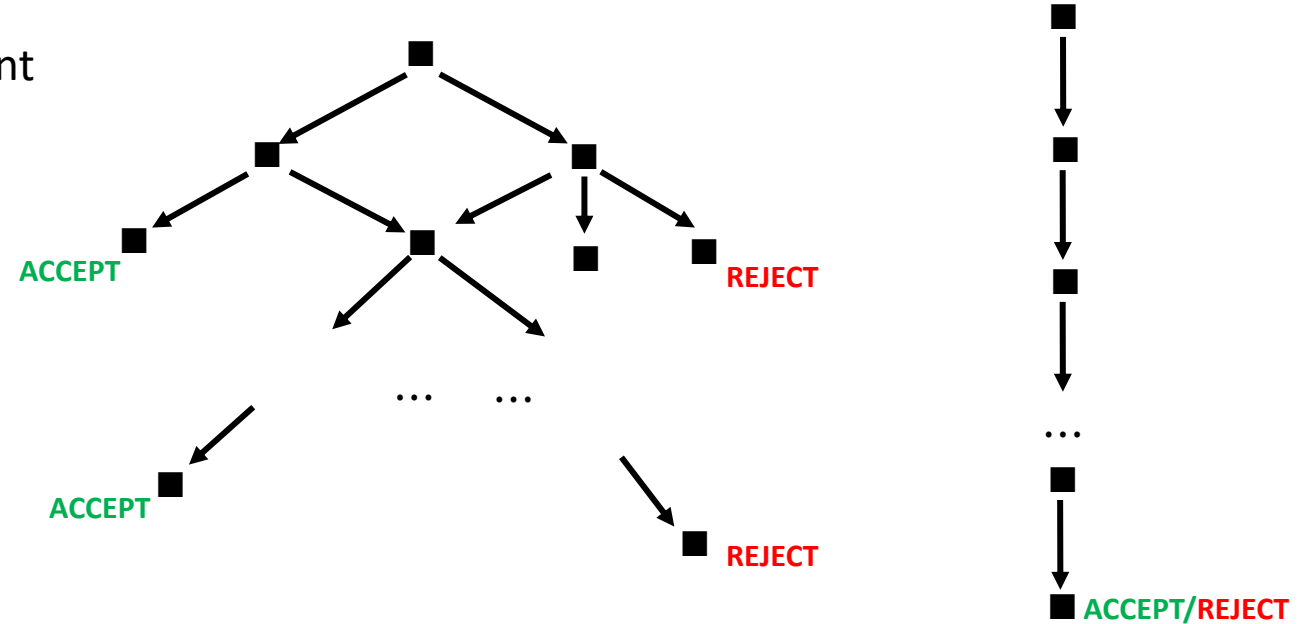
- Tape 1 holds the input string  $w$ .
- As for the content of Tape 2, note that we can always obtain a bound for the maximum number of nodes at any level of the configuration tree (say  $b$ ).
- Let  $C = \{1, 2, \dots, b\}$ , then we can define a run by a string  $s \in C$ . E.g: 122: choose the first node from level 1, second node from level 2, third node from level 3.

# Variants of Turing Machine Models

Is the standard TM model  $\mathcal{M}_1$  more powerful/equivalent to the following TM models where

- The head can move left, right or stay put?
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- **We introduce non-determinism?**

Any NTM can be simulated by a 3-tape DTM



1100011BB

121

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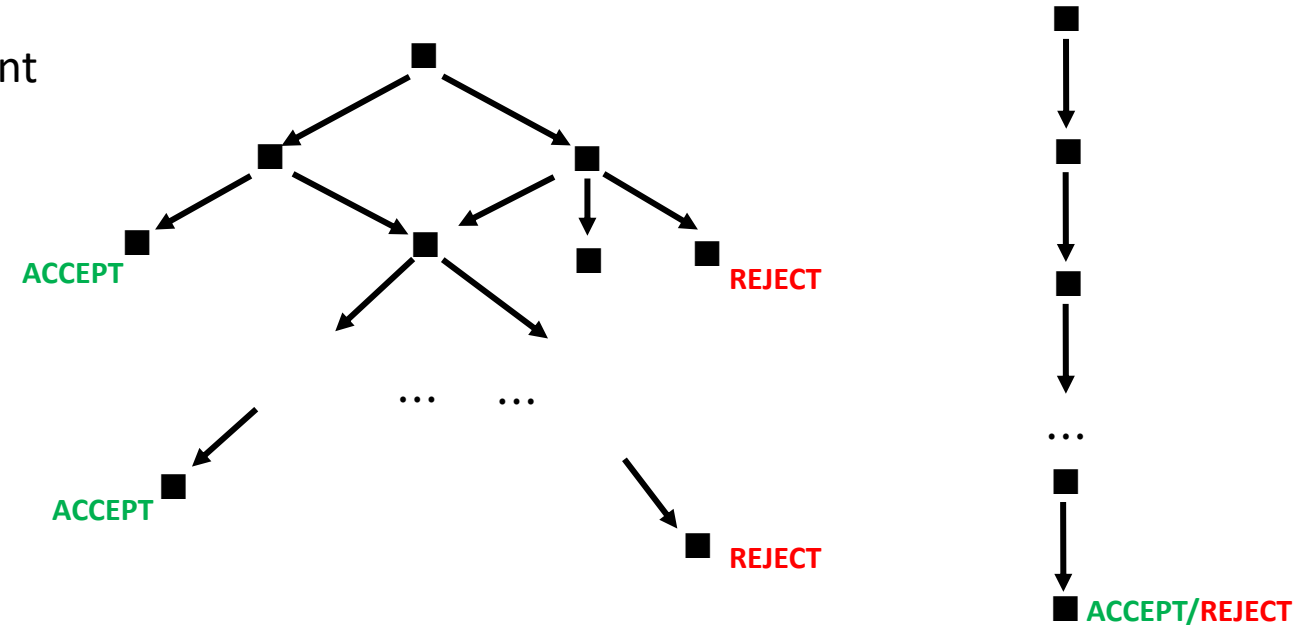
- Tape 1 holds the input string  $w$ .
- Tape 2 generates a string in  $C = \{1, 2, \dots, b\}$  lexicographically: Generate all strings of length 1, then strings of length 2 and so on, i.e.  $\{1, 2, \dots, b, 11, 12, 21, 22, \dots\}$ .
- Some of these runs may be invalid or too short to lead to any accept/reject state.

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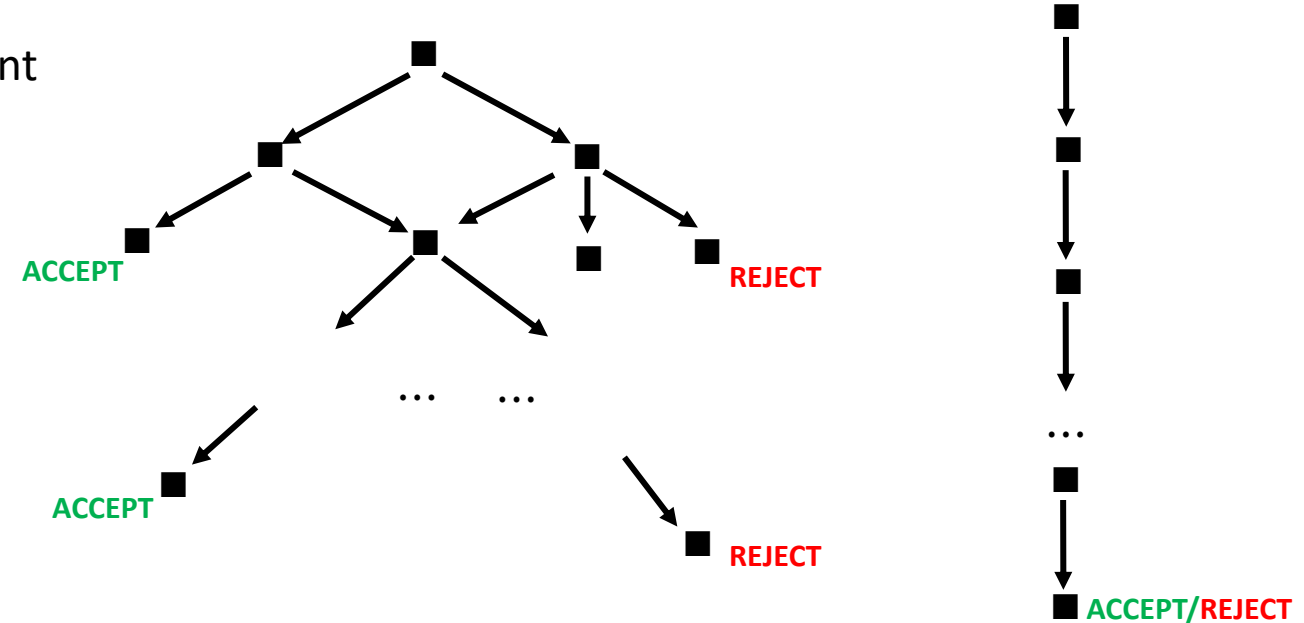
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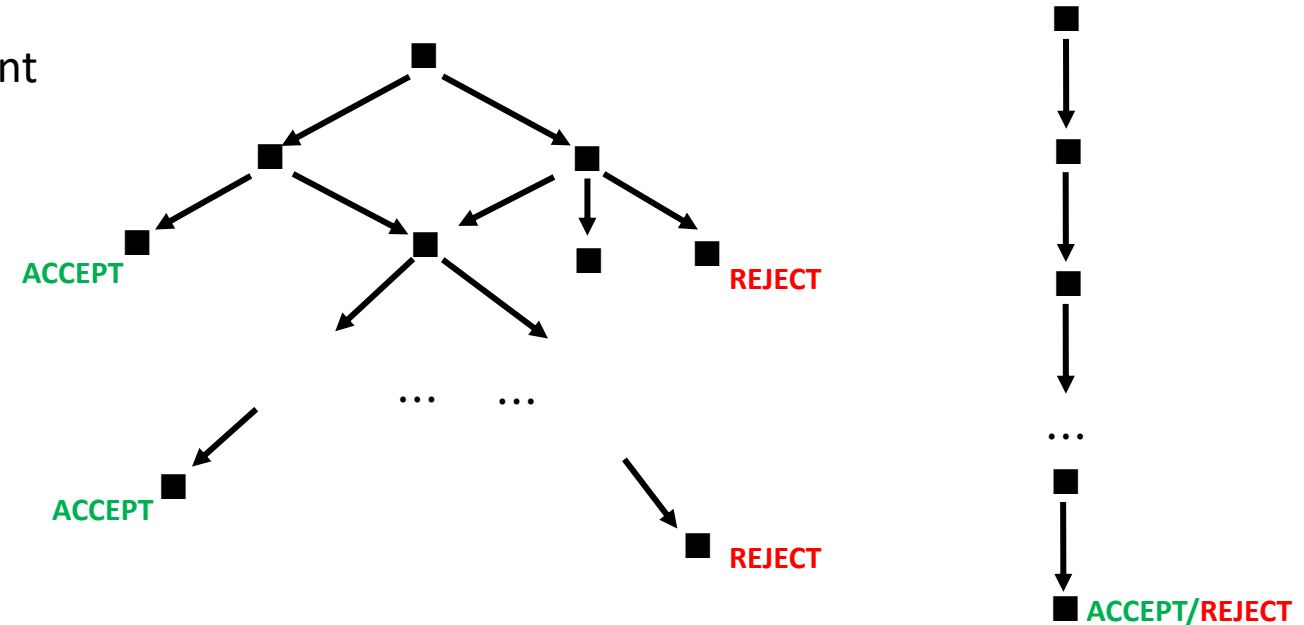
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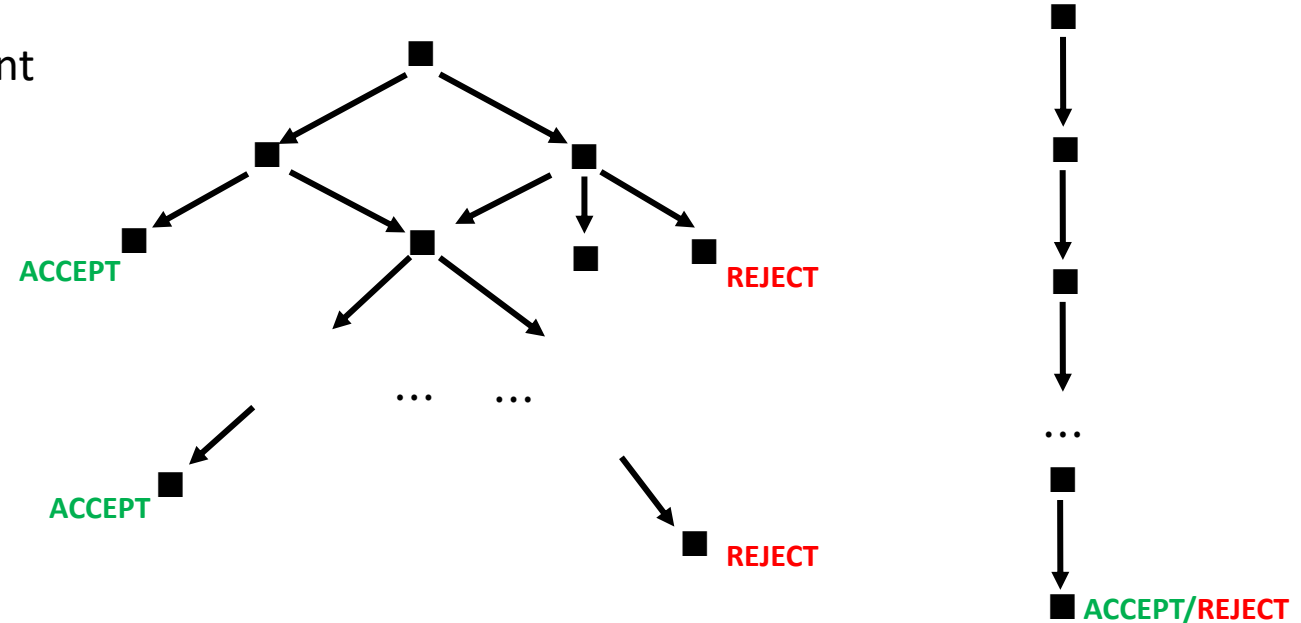


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- If the simulation leads to an accept state – accept the computation
- During the simulation, if the run in Tape 2 is found to be invalid, abort and generate the next lexicographic string

3-tape DTM  $\equiv$  1-tape DTM  $\Rightarrow$  NTM  $\equiv$  DTM

# Variants of Turing Machine Models

Variants of TM:

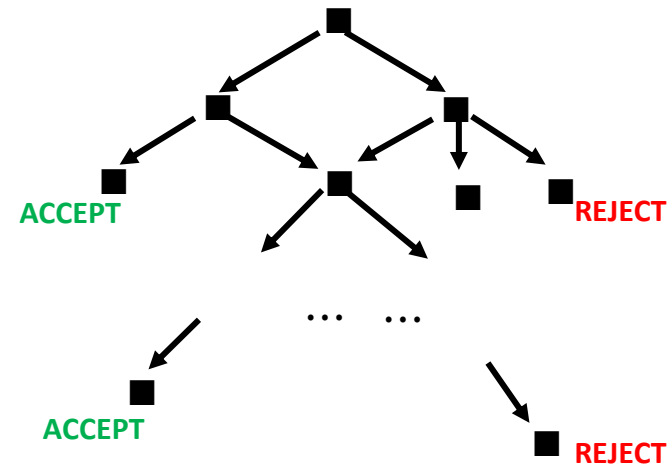
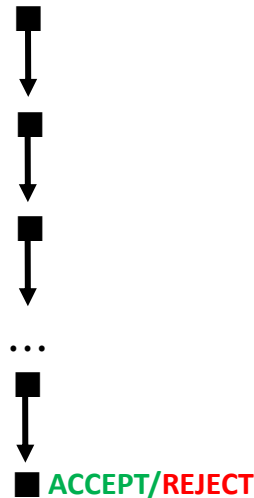
- **The head can move left, right or stay put?**
- **We have  $k$  read/write tapes instead of one?**
- **We have a two-way infinite tape, instead of one?**
- **TM with a printer attached?**
- **We introduce non-determinism?**



**Key takeaway:** A standard TM is quite robust. Adding extra power seems to make no difference in computing power

# Variants of Turing Machine Models

- As an aside, although  $NTM \equiv DTM$ , a DTM may require several more steps to perform the same computation.
- For a moment, consider problems that are computable (TM halts on all inputs).
- For a given decision problem  $L$ , let for all input strings  $|w| = n$ , suppose  $\exists$
- **DTM that halts within  $DTIME$  steps and outputs YES if  $w \in L$  and NO if  $w \notin L$ .**



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- For a given decision problem  $L$ , let for all input strings  $w$  such that  $|w| = n$ , suppose  $\exists$
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- **NTM** that halts within ***NTIME*** steps and outputs YES if  $w \in L$  and NO if  $w \notin L$ .

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(e.g.:  $n^{1000} + 3n^2$ )

**$NP$**  = Set of all problems for which ***NTIME*** is a polynomial in  $n$ .

Clearly,  $P \subseteq NP$ . However, is  $P = NP$  ?

A million dollar problem

Thank You!