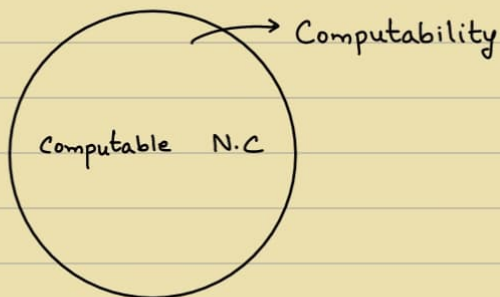


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# Automata Theory

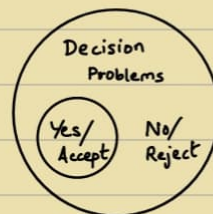
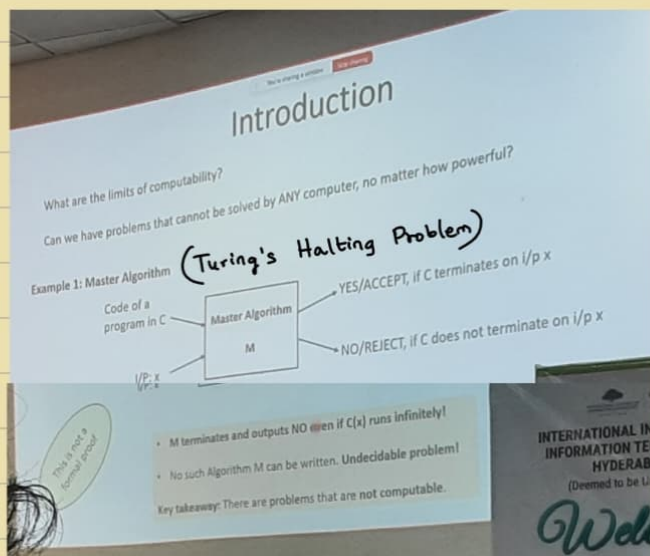
→



$$\mathbb{N} < \mathbb{R}$$

$$\Rightarrow P(\mathbb{N}) < P(P(\mathbb{N}))$$

→



→ Properties of DFA :

- (i) Single start state
- (ii) Unique Transitions
- (iii) Zero or more final states

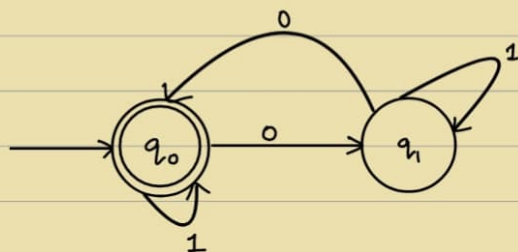
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• Saturday 9<sup>th</sup>, 23<sup>rd</sup> → 5 pm - 6:30 pm

- $Q$  : Finite set of States
- $\Sigma$  : Finite set of Alphabets
- $\delta : Q \times \Sigma \rightarrow Q$  (Transition function)
- $q_0 : q_0 \in Q$ , Start State
- $F : F \subseteq Q$ , Final/Accepting States

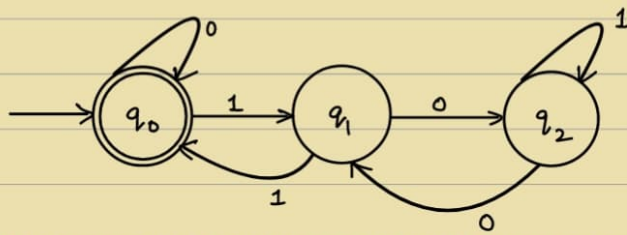
- $\Sigma = \{0, 1\}$

$$L(M) = \{w \mid w \text{ has even no. of 0's}\}$$



- $\Sigma = \{0, 1\}$

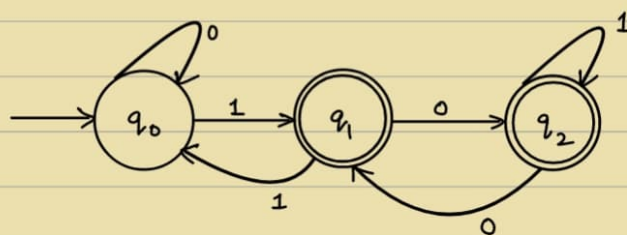
$$L(M) = \{w \mid w \text{ is divisible by 3}\}$$



---

- $\Sigma = \{0, 1\}$

$$L(M) = \{w \mid w \text{ is divisible by 3}\}$$



Note:

If  $L$  is solvable by a DFA, then  $L^c$  also has a DFA.

↪ Final ↔ Start

→ NFA: [Non-deterministic Finite Automata]

Single start state + Multiple Final States

Some transitions can be missing

$\epsilon$  - Transitions

Multiple transitions are possible on the same input for a state

Crash → Rejecting Run

$Q$ : Finite set of States

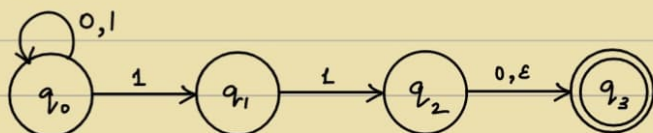
$\Sigma$ : Finite set of Alphabets

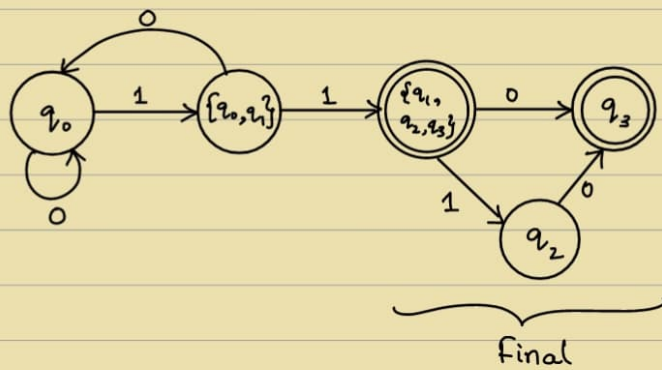
$\delta: Q \times \Sigma \rightarrow P(Q)$  (Transition Function)

$q_0: q_0 \in Q$ , Start State

$F: F \subseteq Q$ , Final/Accepting States

• NFA to DFA:

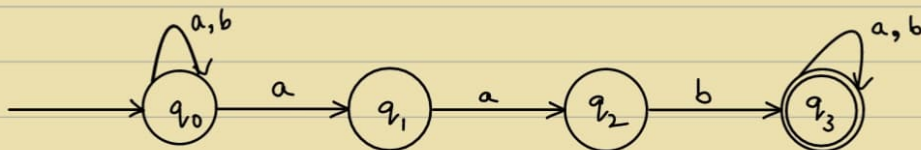




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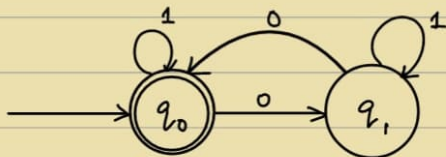
### Tutorial :

Q)  $L = \{w \in \{a, b\}^* \mid w \text{ contains 'aab' substring}\}$

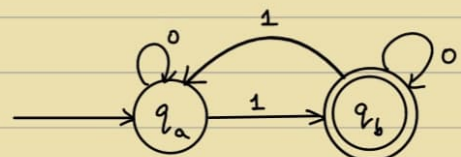


Q)  $L = \{w \in \{a, b\}^* \mid w \text{ contains even no. of 0s \& odd no. of 1s}\}$

$L_1 = \{w \in \{a, b\}^* \mid w \text{ contains even no. of 0s}\}$



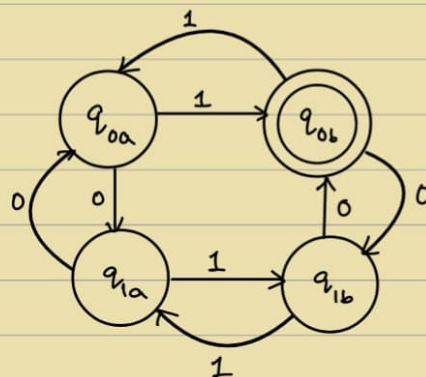
$L_2 = \{w \in \{a, b\}^* \mid w \text{ contains odd no. of 1s}\}$



$$L_1 \cap L_2 = L$$

$$Q = \{q_{0a}, q_{1a}, q_{0b}, q_{1b}\}$$

$$F = \{q_{0b}\}$$



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$$L = \{0, 1\}^*, L^* = \{0, 1\}^* \\ = \{\epsilon, 0, 1, 00, 11, \dots\}$$

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$L$  is regular  $\Rightarrow \exists p$  s.t.  $\forall s \in L$  with  $|s| \geq p$

$\exists x, y, z$  with  $s = xyz$

$$(|xy| \leq p) \wedge (|y| \geq j) \wedge (\forall i \geq 0, xy^iz \in L)$$

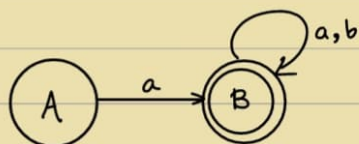
$\forall p$  s.t.  $\forall s \in L$  with  $|s| \geq p$

$\exists x, y, z$  with  $s = xyz$

$\neg(|xy| \leq p) \wedge \neg(|y| \geq j) \wedge \neg(\forall i \geq 0, xy^iz \in L) \Rightarrow L$  is not regular

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H.W: Convert Finite Automata to REG & vice-versa



$$A \rightarrow aB$$

$$B \rightarrow aB / bB / \epsilon$$

$$\text{Reg} \Rightarrow \text{REG}$$

$$\text{REG} \Rightarrow \text{DFA}$$

$$\text{REG} \Rightarrow \text{LLG}$$

$$\text{LLG} \Rightarrow \text{REG}$$

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**Chomsky Normal Form**

Often it is easier to work with CFG in a simple standardized form - the Chomsky Normal Form (CNF) is one of them.

**Chomsky Normal Form**

A CFG  $G$  is in CNF if every rule of  $G$  is of the form

$\text{Var} \rightarrow \text{Var Var}$   
 $\text{Var} \rightarrow \text{ter}$   
 $\text{Start Var} \rightarrow \epsilon$

where  $\text{Var}$  can be any variable, including the Start Variable,  $\text{Start Var}$ .

**Why are CNFs useful?**

- Suppose you are given a CFG  $G$  and a string  $w$  as input and you have to write an algorithm that decides whether  $G$  generates  $w$ .
- Your algorithm outputs YES if  $G$  generates  $w$  and NO, otherwise.

$$\left. \begin{array}{l} B \xRightarrow{*} x, 2|x|-1 \\ C \xRightarrow{*} y, 2|y|-1 \end{array} \right\} w = xy$$

$$\left. \begin{array}{l} 1x \leq k \\ 1y \leq k \end{array} \right\}$$

$$(2|x|-1) + (2|y|-1) + 1$$

$$= 2(k+1) - 1$$

$$A \rightarrow BC$$

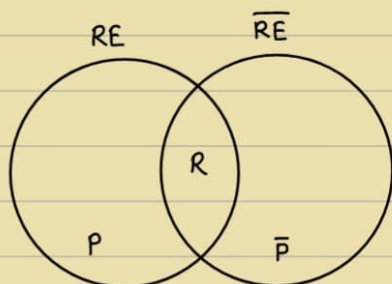
$$\downarrow \quad \downarrow$$

$$x \quad y$$

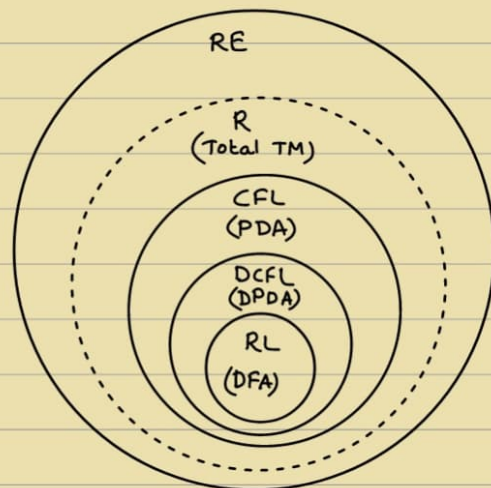
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$$R \subseteq RE$$

$$R \subseteq \text{Co-RE} (\overline{RE})$$



$$R = RE \cap \text{Co-RE}$$





## Quiz - 1 Prep :

→  $M$  decides  $L$  if

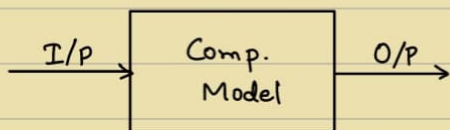
$\forall w \in L, M(w)$  accepts

$\forall w \notin L, M(w)$  rejects

→ DFA :  $(Q, F, \Sigma, q_0, \delta)$

$\hookrightarrow F \subseteq Q$   $\hookrightarrow Q \times \Sigma \rightarrow Q$   
 $\hookrightarrow q_0 \in Q$

→ Computable :



Computable :

YES instance : Device outputs YES

NO instance : Device outputs NO

→  $M \Rightarrow L$

$\overline{M} \Rightarrow \overline{L}$

$L_1 \cup L_2, L_1 \cdot L_2, L_1^*, L_1 \cap L_2, \overline{L_1} \leftarrow \text{Regular}$   
 $\hookrightarrow \{x_1 x_2 \dots x_k \mid x_i \in L_1 \text{ \& } k \geq 0\}$

→ NFA :  $(Q, F, \Sigma, q_0, \delta)$

$\hookrightarrow F \subseteq Q$   $\hookrightarrow Q \times \Sigma \rightarrow P(Q)$   
 $\hookrightarrow q_0 \in Q$

→ GNFA ??

\* Refer : Arden's Theorem  $(R = RP + Q \Rightarrow R = QP^*)$

\* Refer : Pumping Lemma

$L$  is regular  $\Rightarrow$  Pumping Lemma is satisfied

Pumping Lemma is NOT satisfied  $\Rightarrow L$  is NOT regular

→  $DFA \equiv NFA \equiv \text{Regular Expressions}$

→ Grammar :  $(N, T, P, S)$

$\hookrightarrow$  Terminals :  $a, b$   $\hookrightarrow$  Production Rules  
 $\hookrightarrow$  Non-Terminals (Variables) :  $A, B, S$

\* Refer : Chomsky Normal form

Refer : Ambiguous Grammars (Derivations)

→ CFG: Form of  $V \rightarrow (V \cup T)^*$

$L_1 \cup L_2, L_1 L_2, L_1^* \leftarrow \text{CFLs}$

→ PDA: DFA + memory, recognizes CFGs  
                ↓  
               Stack

Transition :  $a, z_0, Az_0 \rightarrow \text{Operation}, E \rightarrow \text{Pop}$

$\downarrow$   
Top of the stack

$\downarrow$   
I/P symbol

$$\text{PDA} : (Q, F, \delta, q_0, Z, T)$$
  

$$\quad \quad \quad \curvearrowright Q \times Z \times T \rightarrow P(Q \times T)$$

→  $RL \equiv \text{Regular Grammar} \equiv RE \equiv NFA \equiv DFA \subseteq CFL \equiv CFG \equiv PDA$

\* Refer : Pumping Lemma for PDA

→ Turing Machine :  $(Q, \Sigma, \Gamma, q_0, T, \delta, q_{rej})$   
 $\hookrightarrow Q \times T \rightarrow Q \times T \times \{L, R\}$

\* Refer : CYK Algorithm (Cocke - Younger - Kasami)

## Myhill - Nerode Theorem

\* Imp : Conversion from NFA to DFA

$L_1 \cup L_2, L_1 \cap L_2, \bar{L} \leftarrow$  Regular Languages

## DFA minimization

## Conversion from $\epsilon$ -NFA to NFA

$$L \text{ is a CFL} \Rightarrow \exists n \forall u \left( |u| \geq n \rightarrow \exists v \exists w \exists z \exists y \exists z \left( \begin{array}{l} u = vwxyz \\ |wy| \geq 1 \\ |wyz| \leq n \end{array} \wedge \forall i (i \geq 0 \rightarrow vw^i x y^i z \in L) \right) \right)$$
$$\forall n \exists u \left( |u| \geq n \wedge \forall v \forall w \forall x \forall y \forall z \left( \begin{array}{l} u = vwxyz \\ |wy| \geq 1 \\ |wx| \leq n \end{array} \rightarrow \exists i (i \geq 0 \wedge vw^i x y^i z \notin L) \right) \right) \rightarrow L \text{ is not CFL}$$

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$$

- Prove that  $A_{TM}$  is undecidable:

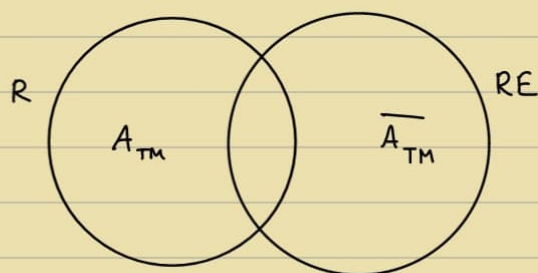
Sol: Proof by contradiction:

Suppose  $A$  be the total TM for  $A_{TM}$

$$A(\langle M, w \rangle) = \begin{cases} \text{Accept, if } M(w) \text{ accepts} \\ \text{Reject, if } M(w) \text{ doesn't accept (Reject/loop)} \end{cases}$$

Contradiction:

$$D(\langle D \rangle) = \{ \text{Accepts, if } D(\langle D \rangle) \text{ rejects} \}$$



$\emptyset$ :  $E_{TM}$  is undecidable

$$\overline{A_{TM}} = \{ \langle M, w \rangle \mid M \text{ does not accept } w \}$$

Using as a subroutine (N)

$$N(\langle M, w \rangle) = \begin{cases} \text{Accept, if } M(w) \text{ does not accept (Reject/Loop)} \\ \text{Reject, if } M(w) \text{ accepts} \end{cases}$$

$$E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM} \wedge L(M) = \emptyset \}$$

$$T_E(\langle T \rangle) = \begin{cases} \text{Accept, } L(T) = \emptyset \\ \text{Reject, } L(T) \neq \emptyset \end{cases}$$

$T$  does not accept any accept, if  $M(w)$  doesn't accept

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$$N(\langle M, w \rangle) = \begin{cases} \text{ACCEPT, if } M(w) \text{ doesn't accept (Reject/Loop)} \\ \text{REJECT, if } M(w) \text{ accepts} \end{cases}$$

$$T_E(\langle T \rangle) = \begin{cases} \text{ACCEPT, if } L(\langle T \rangle) = \emptyset \\ \text{REJECT, if } L(\langle T \rangle) \neq \emptyset \end{cases}$$

•  $w \notin L \Rightarrow w \in \bar{L}$

$$M \rightarrow L \in \text{RE}$$

$\forall w \in L, M(w)$  accepts

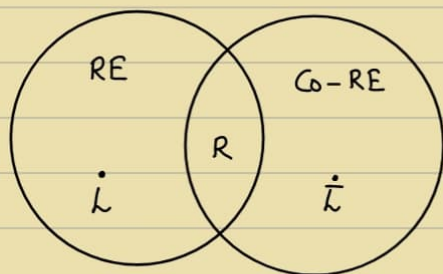
$\forall w \notin L, M(w)$  doesn't accept.

$$\bar{M}(w) = \begin{cases} \text{Run } M(w) \\ \text{Output ACCEPT, if } M(w) \text{ REJECTS} \\ \text{REJECT, if } M(w) \text{ ACCEPTS} \end{cases}$$

For

$\forall w \notin L, w \in \bar{L}, M$  accepts or loops

$\forall w \in L, w \in \bar{L}, M$  rejects



$$\begin{aligned} L &\rightarrow \text{RE} \cap \text{Co-RE} \rightsquigarrow M \\ \bar{L} &\rightarrow \text{Co-RE} \rightsquigarrow \bar{M} \end{aligned}$$

Has a Recognizer & co-recognizer

$$\begin{aligned} R &\subseteq \text{RE} \cap \text{Co-RE} \\ \text{RE} \cap \text{Co-RE} &\subseteq R \quad (\text{Dovetailing}) \\ \therefore R &= \text{RE} \cap \text{Co-RE} \end{aligned}$$

• Closure of Co-RE:

$$L_1 \cup L_2 \equiv (\bar{L}_1 \cap \bar{L}_2)$$

H.W: Closure under star

H.W: Rice's Theorem

Quantum Computation models violates the  
Extended Church Turing Thesis.

Every problem can be efficiently  
solvable in Polynomial Time by  
a Probabilistic Turing Machine.

(DTP class is more powerful  
than the P class.)