北京大学国家发展研究院 China Center for Economic Research, NSD

中国经济研究中心 Peking University, Spring 2022

**国 际 贸 易**

**International Trade**

2022年春季学期

周四15:10-18:00 理教303

**课程简介 Course Description**

本课程介绍国际经济学中的微观部分，国际商品贸易与要素流动。主要内容包括：国际贸 易与要素流动的产生原因及其对各国生产、消费以及社会福利的影响等；不同条件下的贸易模式；国际贸易政策与产业政策分析；国际经济中的主要现实问题等。

This is a course on open-economy microeconomics and trade. The goal of this course is to examine several interesting topics such as trade pattern, factor movements, trade policy and its impact on national welfare. The first module of the course will lay out several useful theories regarding the trade pattern, and the second module will help you assemble these theories into an overall analytical framework. The third module will challenge you to apply this analytical framework to real-world applications.

By the end of the course, I hope that you will have developed a keen interest in issues related to international trade, as well as a set of analytical tools that can be applied on other fields. You should be able to analyze, in an unbiased way, a wide array of important international policy issues.

**联系信息** **Contact Information**

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| Instructor 教师: | Prof. Miaojie Yu 余淼杰教授 |
| Office办公室: | 承泽园330 |
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**习题课 Discussion Sections**

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| Teaching Assistant 助教: | 吴双， 佘可欣 |
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**先修要求 Course Prerequisites**

中级微观经济学

Prerequisite for this course is intermediate microeconomics or equivalent. Intermediate macroeconomics is *not* required but preferable.

**教材 Textbook**

余淼杰，《国际贸易学：理论、政策与实证》，北京大学出版社，2021年3月，第二版第一次印刷。

**参考书 References**

1. 保罗·克鲁格曼，茅瑞斯·奥伯斯法尔德《国际经济学：理论与政策》，第10 版，Addison –Wesley 出版社，2016；
2. Robert Feenstra and Alan Taylor, *International Economics*, Worth Publisher, 2015；
3. 《加工贸易与中国企业生产率》，余淼杰著，北京大学出版社，2013年；
4. 《国际贸易的政治经济学分析：理论模型与计量实证》，余淼杰著，北京大学出版社，2009年；
5. 《贸易开放与中国经济发展》，余淼杰著，北京大学出版社，2016年；
6. 《“贸”似如此》，余淼杰著，北京大学出版社，2016年；
7. 《人民币汇率、信贷约束与国际贸易》，余淼杰著，北京大学出版社，2017年；
8. 《余淼杰谈中美贸易》，余淼杰著，北京大学出版社，2018年；
9. 《企业创新、产品质量升级与国际贸易》，余淼杰著，北京大学出版社，2018年；
10. 《中国对外贸易的奇迹：40年开放强国之路》，余淼杰著，格致·上海人民出版社， 2019年；
11. 《大变局中的中国经济“双循环”》 ，余淼杰著，科学出版社， 2021年，
12. 《新发展格局下的中国经济》，余淼杰著，科学出版社， 2021年。

**考核方式 Course Grading**

平时成绩占总成绩20% ；期中考试占总成绩30% ；期末考试占总成绩50% 。

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| Problem Sets 作业 | 4次， 每次3% | 12% |
| Short Proposal 短文 | Jun (待定) | 8% |
| Midterm 期中考 | Apr 21 | 30% |
| Cumulative Final Exam 期末考 | Jun 23 | 50% |

**课程规定 Course Policies**

* All homework assignments are due and must be handed in *during lecture*. 作业上课时交。

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| 作业 | 布置时间 | 上交时间 |
| 第一次 | 03/10 | 03/17 |
| 第二次 | 03/31 | 04/07 |
| 第三次 | 04/28 | 05/12 |
| 第四次 | 05/26 | 06/02 |

* Homework assignments will be handed out in lecture and are discussed in sections.

作业答案会在习题课讨论

* Homework is graded "check+", "check", and "check-". When determining course grades,the homework is recorded as a 100% for "check+", a 67% for "check", a 33% for "check-",and 0% if not handed in. There is a significant penalty for NOT handing in the homework.

作业分数分三档，优为3分，良为2分，差为1分，不交或逾期均为0分。（作业 请务必在助教离开教室之前上交，**否则视为逾期**，该次作业记0分）

* No make-up exams will be provided. Consider to drop the course if you have conflicts with thefinal exam time. 本课不提供补考机会。除非健康原因或经学校批准，必须在指定时间参加各个考试。如有缺考按北京大学有关规定办理。
* Exams are closed book with a mixture of multiple choice questions, short answer and multiple part analyticalproblems. The final is cumulative with a greater weight on material from the second part of the quarter. 期末考题型为选择、短问及分析题。
* Exams are closed book. 期中期末考试皆为**闭卷**考试，不允许携带计算器。

**课程大纲 Course Outline:**

This is tentative and subject to change. Check back at our class webpage for periodic updates and direct links to papers. Please read the assigned readings before the lecture.

以下大纲会按课程进度作相应调动。

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| **Week** | **Date** | **Contents** |
| **Week 1** | Feb 24 | **Introduction: The World Is Flat** |
| 入门：地球是平的 |
| **World Trade Overview**  全球贸易概况 |
| **Week 2** | Mar 3 | **Gravity Equation of Trade** |
| 贸易的引力模型 |
| **Comparative Advantage: Applications of Simple Models**  比较优势模型 |
| **Week 3** | Mar 10 | **Ricardian Model**  李嘉图模型 |
| **Week 4** | Mar 17 | **Heckscher-Olin Model and Factor Price Equalization**  赫克歇尔-俄林模型和要素价格均等化定理 |
| **Stolper-Samuelson Theorem and Rybczynski Theorem**  斯托尔帕-萨缪尔森定理及罗勃基斯基定理 |
| **Week 5** | Mar 24 | **Specific Factor Model**  特定要素模型 |
| **Week 6** | Mar 31 | **Krugman’s Intra-industry Trade Model**  克鲁格曼的产业内贸易模型 |
| **Week 7** | Apr 7 | **International Factor Movement & Outsourcing**  国际要素流动模型及外包模型 |
| **Import Tariffs and Quota under Perfect Competition**  完全竞争下的关税和配额 |
| **Week 8** | Apr 14 | **Import Tariffs and Quota under Imperfect Competition**  不完全竞争下的关税和配额 |
| **Midterm Review 期中考复习** |
| **Week 9** | Apr 21 | **Midterm in Class 期中考试** |
| **Week 10** | Apr 28 | **Export Subsidies in Agriculture and High-Technology Industries**  农业及高科技部门的出口补贴 |
| **International Polity of Economy**  贸易的政治经济学分析，阅读材料《[国际贸易的政治经济学分析：理论模型与计量实证](http://product.dangdang.com/product.aspx?product_id=20469828)》 |
| **Week 11** | May 5 | **Class Cancelled**  五一假期停课 |
| **Week 12** | May 12 | **GATT/WTO**  关贸总协定和世贸组织 |
| **Regional Trade Agreements**  地区贸易协议 |
| **Week 13** | May 19 | **Infant Industry Protection**保护幼稚工业理论  **Trade Sanctions** 贸易制裁 |
| **Strategic Trade Policy**  策略性贸易政策 |
| **Week 14** | May 26 | **Trade Policy in Developing Countries**  发展中国家的贸易政策 |
| **China’s Trade Liberalization**  中国的贸易自由化进程 |
| **Week 15** | Jun 2 | **Controversies in Trade Policy**  贸易政策讨论 |
| **RMB Revaluation and Sino-US Trade**  人民币升值、中美贸易平衡与特朗普对华经贸政策 |
| **Week 16** | Jun 9 | **Final Review 期末考复习** |
| **Week 18** | Jun 23 | **Final Exam in Class 期末考试** |