

state.

- 1.12 Let  $D = \{w \mid w \text{ contains an even number of } a\text{'s and an odd number of } b\text{'s and does not contain the substring } ab\}$ . Give a DFA with five states that recognizes  $D$  and a regular expression that generates  $D$ . (Suggestion: Describe  $D$  more simply.)
- 1.13 Let  $F$  be the language of all strings over  $\{0,1\}$  that do not contain a pair of 1s that are separated by an odd number of symbols. Give the state diagram of a DFA with five states that recognizes  $F$ . (You may find it helpful first to find a 4-state NFA for the complement of  $F$ .)
- 1.14
- Show that if  $M$  is a DFA that recognizes language  $B$ , swapping the accept and nonaccept states in  $M$  yields a new DFA recognizing the complement of  $B$ . Conclude that the class of regular languages is closed under complement.
  - Show by giving an example that if  $M$  is an NFA that recognizes language  $C$ , swapping the accept and nonaccept states in  $M$  doesn't necessarily yield a new NFA that recognizes the complement of  $C$ . Is the class of languages recognized by NFAs closed under complement? Explain your answer.

- 1.15 Give a counterexample to show that the following construction fails to prove Theorem 1.49, the closure of the class of regular languages under the star operation.<sup>7</sup> Let  $N_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  recognize  $A_1$ . Construct  $N = (Q_1, \Sigma, \delta, q_1, F)$  as follows.  $N$  is supposed to recognize  $A_1^*$ .

- The states of  $N$  are the states of  $N_1$ .
  - The start state of  $N$  is the same as the start state of  $N_1$ .
  - $F = \{q_1\} \cup F_1$ .
- The accept states  $F$  are the old accept states plus its start state.
- Define  $\delta$  so that for any  $q \in Q_1$  and any  $a \in \Sigma_\epsilon$ ,

$$\delta(q, a) = \begin{cases} \delta_1(q, a) & q \notin F_1 \text{ or } a \neq \epsilon \\ \delta_1(q, a) \cup \{q_1\} & q \in F_1 \text{ and } a = \epsilon. \end{cases}$$

(Suggestion: Show this construction graphically, as in Figure 1.50.)

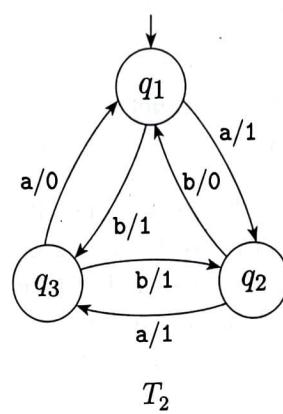
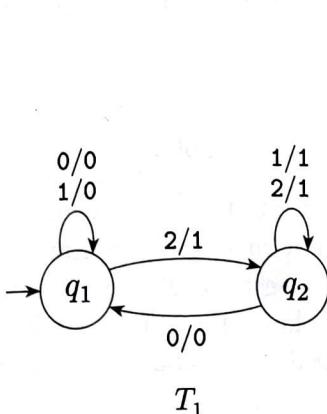
<sup>7</sup>In other words, you must present a finite automaton,  $N_1$ , for which the constructed automaton  $N$  does not recognize the star of  $N_1$ 's language.

- 1.22** In certain programming languages, comments appear between delimiters such as `/*` and `*/`. Let  $C$  be the language of all valid delimited comment strings. A member of  $C$  must begin with `/*` and end with `*/` but have no intervening `/*`. For simplicity, assume that the alphabet for  $C$  is  $\Sigma = \{a, b, /*, */\}$ .

- Give a DFA that recognizes  $C$ .
- Give a regular expression that generates  $C$ .

- 1.23** Let  $B$  be any language over the alphabet  $\Sigma$ . Prove that  $B = B^*$  iff  $BB \subseteq B$ .

- 1.24** A *finite state transducer* (FST) is a type of deterministic finite automaton whose output is a string and not just *accept* or *reject*. The following are state diagrams of finite state transducers  $T_1$  and  $T_2$ .



Each transition of an FST is labeled with two symbols, one designating the input symbol for that transition and the other designating the output symbol. The two symbols are written with a slash,  $/$ , separating them. In  $T_1$ , the transition from  $q_1$  to  $q_2$  has input symbol 2 and output symbol 1. Some transitions may have multiple input-output pairs, such as the transition in  $T_1$  from  $q_1$  to itself. When an FST computes on an input string  $w$ , it takes the input symbols  $w_1 \dots w_n$  one by one and, starting at the start state, follows the transitions by matching the input labels with the sequence of symbols  $w_1 \dots w_n = w$ . Every time it goes along a transition, it outputs the corresponding output symbol. For example, on input 2212011, machine  $T_1$  enters the sequence of states  $q_1, q_2, q_2, q_2, q_1, q_1, q_1$  and produces output 1111000. On input abbb,  $T_2$  outputs 1011. Give the sequence of states entered and the output produced in each of the following parts.

- $T_1$  on input 011
- $T_1$  on input 211
- $T_1$  on input 121
- $T_1$  on input 0202
- $T_2$  on input b
- $T_2$  on input bbab
- $T_2$  on input bbbbb
- $T_2$  on input  $\epsilon$

- 1.25** Read the informal definition of the finite state transducer given in Exercise 1.24. Give a formal definition of this model, following the pattern in Definition 1.5 (page 35). Assume that an FST has an input alphabet  $\Sigma$  and an output alphabet  $\Gamma$  but not a set of accept states. Include a formal definition of the computation of an FST. (Hint: An FST is a 5-tuple. Its transition function is of the form  $\delta: Q \times \Sigma \rightarrow Q \times \Gamma$ .)
- 1.26** Using the solution you gave to Exercise 1.25, give a formal description of the machines  $T_1$  and  $T_2$  depicted in Exercise 1.24.

- $\textcircled{O}^{*} 1.35$  Let  $A/B = \{w \mid wx \in A \text{ for some } x \in B\}$ . Show that if  $A$  is regular and  $B$  is any language, then  $A/B$  is regular.
- $\textcircled{O} 1.36$  For any string  $w = w_1 w_2 \dots w_n$ , the **reverse** of  $w$ , written  $w^R$ , is the string  $w$  in reverse order,  $w_n \dots w_2 w_1$ . For any language  $A$ , let  $A^R = \{w^R \mid w \in A\}$ . Show that if  $A$  is regular, so is  $A^R$ .
- $\textcircled{O} 1.37$  Let  $\Sigma_3 = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$ .  $\Sigma_3$  contains all size 3 columns of 0s and 1s. A string of symbols in  $\Sigma_3$  gives three rows of 0s and 1s. Consider each row to be a binary number and let  $B = \{w \in \Sigma_3^* \mid \text{the bottom row of } w \text{ is the sum of the top two rows}\}$ . For example,  $\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \in B$ , but  $\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \notin B$ . Show that  $B$  is regular. (Hint: Working with  $B^R$  is easier. You may assume the result claimed in Problem 1.36.)
- $\textcircled{O} 1.38$  Let  $\Sigma_2 = \{\begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}\}$ . Here,  $\Sigma_2$  contains all columns of 0s and 1s of height two. A string of symbols in  $\Sigma_2$  gives two rows of 0s and 1s. Consider each row to be a binary number and let  $C = \{w \in \Sigma_2^* \mid \text{the bottom row of } w \text{ is three times the top row}\}$ . For example,  $\begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \in C$ , but  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \notin C$ . Show that  $C$  is regular. (You may assume the result claimed in Problem 1.36.)
- $\textcircled{O} 1.39$  Let  $\Sigma_2$  be the same as in Problem 1.38. Consider each row to be a binary number and let  $D = \{w \in \Sigma_2^* \mid \text{the top row of } w \text{ is a larger number than is the bottom row}\}$ . For example,  $\begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \in D$ , but  $\begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \notin D$ . Show that  $D$  is regular.
- $\textcircled{O} 1.40$  Let  $\Sigma_2$  be the same as in Problem 1.38. Consider the top and bottom rows to be strings of 0s and 1s, and let  $E = \{w \in \Sigma_2^* \mid \text{the bottom row of } w \text{ is the reverse of the top row of } w\}$ . Show that  $E$  is not regular.
- $\textcircled{O} 1.41$  Let  $B_n = \{a^k \mid k \text{ is a multiple of } n\}$ . Show that for each  $n \geq 1$ , the language  $B_n$  is regular.
- $\textcircled{O} 1.42$  Let  $C_n = \{x \mid x \text{ is a binary number that is a multiple of } n\}$ . Show that for each  $n \geq 1$ , the language  $C_n$  is regular.
- $\textcircled{O} 1.43$  An **all-NFA**  $M$  is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  that accepts  $x \in \Sigma^*$  if *every* possible state that  $M$  could be in after reading input  $x$  is a state from  $F$ . Note, in contrast, that an ordinary NFA accepts a string if *some* state among these possible states is an accept state. Prove that all-NFAs recognize the class of regular languages.

- 1.44** The construction in Theorem 1.54 shows that every GNFA is equivalent to a GNFA with only two states. We can show that an opposite phenomenon occurs for DFAs. Prove that for every  $k > 1$ , a language  $A_k \subseteq \{0,1\}^*$  exists that is recognized by a DFA with  $k$  states but not by one with only  $k - 1$  states.
- 1.45** Recall that string  $x$  is a *prefix* of string  $y$  if a string  $z$  exists where  $xz = y$ , and that  $x$  is a *proper prefix* of  $y$  if in addition  $x \neq y$ . In each of the following parts, we define an operation on a language  $A$ . Show that the class of regular languages is closed under that operation.
- <sup>A</sup>a.  $\text{NOPREFIX}(A) = \{w \in A \mid \text{no proper prefix of } w \text{ is a member of } A\}$ .
  - b.  $\text{NOEXTEND}(A) = \{w \in A \mid w \text{ is not the proper prefix of any string in } A\}$ .
- 1.46** Read the informal definition of the finite state transducer given in Exercise 1.24. Prove that no FST can output  $w^R$  for every input  $w$  if the input and output alphabets are  $\{0,1\}$ .
- 1.47** Let  $x$  and  $y$  be strings and let  $L$  be any language. We say that  $x$  and  $y$  are *distinguishable by  $L$*  if some string  $z$  exists whereby exactly one of the strings  $xz$  and  $yz$  is a member of  $L$ ; otherwise, for every string  $z$ , we have  $xz \in L$  whenever  $yz \in L$  and we say that  $x$  and  $y$  are *indistinguishable by  $L$* . If  $x$  and  $y$  are indistinguishable by  $L$ , we write  $x \equiv_L y$ . Show that  $\equiv_L$  is an equivalence relation.

1.60 A **homomorphism** is a function  $f: \Sigma \rightarrow \Gamma^*$  from one alphabet to strings over another alphabet. We can extend  $f$  to operate on strings by defining  $f(w) = f(w_1)f(w_2)\cdots f(w_n)$ , where  $w = w_1w_2\cdots w_n$  and each  $w_i \in \Sigma$ . We further extend  $f$  to operate on languages by defining  $f(A) = \{f(w) | w \in A\}$ , for any language  $A$ .

- Show, by giving a formal construction, that the class of regular languages is closed under homomorphism. In other words, given a DFA  $M$  that recognizes  $B$  and a homomorphism  $f$ , construct a finite automaton  $M'$  that recognizes  $f(B)$ . Consider the machine  $M'$  that you constructed. Is it a DFA in every case?
- Show, by giving an example, that the class of non-regular languages is not closed under homomorphism.

\*1.61 Let the **rotational closure** of language  $A$  be  $RC(A) = \{yx | xy \in A\}$ .

- Show that for any language  $A$ , we have  $RC(A) = RC(RC(A))$ .
- Show that the class of regular languages is closed under rotational closure.