# **Chapter 9 – Geographic database**

- § 1 Introduction
- § 2 Database management systems
- § 3 Storing data in DBMS tables
- § 4 SQL
- § 5 Georaphic database types and functions
- § 6 Geographic database design
- § 7 Structuring geographic information
- § 8 Editing and data maintenance
- § 9 Conclusion



# **Learning Objectives**

- After reading this chapter you will be able to:
  - Understand the role of database management systems in GIS
  - Recognize structured query language (SQL) statements
  - Understand the key geographic database data types and functions
  - Be familiar with the stages of geographic database design
  - Understand the key techniques for structuring geographic information, specifically creating topology and indexing
  - Understand the issues associated with multi-user editing and versioning





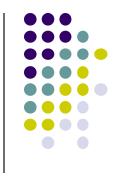
- A database is an integrated set of data on a particular subject
- Advantages over traditional file based datasets:
  - Assembling all data at a single location reduces redundancy
  - Maintenance costs decrease because of better organization and reduced data duplication
  - Applications become data independent so that multiple applications can use the same data and can evolve separately over time
  - User knowledge can be transferred between applications more easily because the database remains constant
  - Data sharing is facilitated and a corporate view of data can be provided to all managers and users
  - Security and standards for data and data access can be established and enforced
  - DBMS are better suited to managing large numbers of concurrent users working with vast amounts of data



- Disadvantages to using databases when compared to files:
  - The cost of acquiring and maintaining DBMS software can be quite high
  - A DBMS adds complexity to the problem of managing data, especially in small projects
  - Single user performance will often be better for files, especially for more complex data types and structures where specialist indexes and access algorithms can be implemented
- This chapter describes how to create and maintain geographic databases, and the concepts, tools, and techniques that are available to manage geographic data in databases.
- Several other chapters provide additional information that is relevant to this discussion



# § 2 Database Management System(DBMS)



- A DBMS is a software application designed to organize the efficient and effective storage and access of data
- Database capabilities:
  - A Data model
  - A dara load capabilities
  - Indexes
  - A Query language
  - Security
  - Controlled update
  - Backup and recovery
  - Database administration tools
  - Application programming interfaces(APIs)

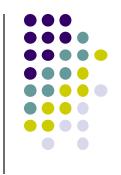




### § 2.1 Types of DBMS

- DBMS can be classified according to the way they store and manipulate data
- Three main types of DBMS are available to GIS users today
  - Relational (RDBMS)
  - Object(ODBMS)
  - Object-relational (ORDBMS)

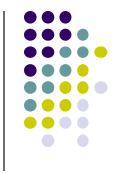




### § 2.1 Types of DBMS(cont.)

- ORDBMS with geographic extensions:
- A query parser is extended to deal with geographic types and functions
- A query optimizer can handle geographic queries efficiently
- A query language can handle geographic types (e.g., points and polygons) and functions (e.g., select polygons that touch each other)
- Indexing services is extended to support multidimensional (i.e., x, y, z coordinates)
  geographic data types
- Storage management the large volume of geographic records with different sizes (especially geometric and topological relationships) is accommodated through specialized storage structures
- Transaction services standard DBMS are designed to handle short (sub-second) transactions and are extended to deal with the long transactions common in many geographic applications
- Replication –can deal with geographic types, and problems of reconciling changes made by distributed users





### § 2.2 Geographic DBMS extensions(DB side)

- Two vendors:
  - IBM: DB2 spatial extender, Informix Spatial Database
  - Oracle Spatial
- None of these is a complete GIS software system in itself
- The focus of extensions is data storage, retrieval, and management
- must be used in conjunction with a GIS except in the case of the simplest query-focused applications





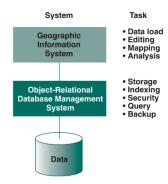


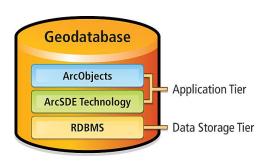
Figure 10.1 The roles of GIS and DBMS

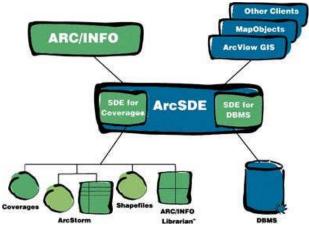


# § 2 Database Management System(cont.)

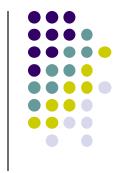
### § 2.3 Geographic middleware extensions(GIS side)

- An alternative to extending the DBMS software kernel to manage geographic data is to build support for spatial data types and functions into a middle-tier (or middleware) application server
  - can also deliver better performance especially in the case of the more complex queries used in high-end GIS applications
  - both the DBMS and the application server hardware resources can be used in parallel









- Relational databases are made up of tables. Each geographic class (layer) is stored as a table
- Database tables can be joined together to create new views of the database

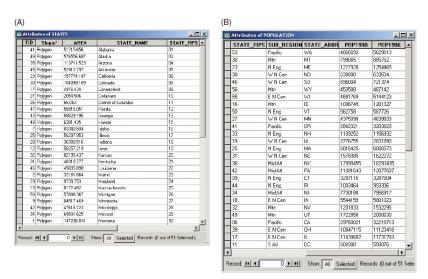


Figure 10.2 GIS database tables for US States: (A) STATES table; (B) POPULATION table; (C) joined table - COMBINED
STATES and POPULATION

FID	Shape*	AREA	STATE NAME	STATE FIPS	SUB REGION	STATE ABBR	P0P1990	P0P1996	Ī
0	Polygon	67286.675	Washington	53	Pacific	WA	4666692	5629613	1
- 1	Polygon	147236.031	Monlana	30	Min	ит	799065	885762	1
2	Polygon	32161.6E4	Maine	23	N Eng	ИЕ	1227928	1254465	
3	Polygon	70810.156	North Dakota	38	W/N Cen	ND	638800	633534	
4	Polygon	77193.625	South Dakota	46	W N Cen	SD	696004	721374	
5	Polygon	97799.492	Wyoming	56	Min	WY	453588	487142	
6	Polygon	56088.066	Wisconsin	55	E N Cen	WI	4891769	5144123	
7	Polygon	83340.594	Idaho	16	Min	ID .	1006749	1201327	٦
8	Polygon	9603.218	Vermont	50	N Eng	YT .	562758	587726	٦
9	Polygon	94517.469	Minnesota	27	W/N Cen	MN.	4375099	4639933	1
10	Polygon	97070.750	Oregon	41	Pacific	0R	2842321	3203920	
11	Polygon	9259.514	New Hampshire	33	N Eng	NH	1109252	1156932	
12	Polygon	56257.219	lowa	19	W N Con	IA	2776755	2831890	1
13	Polygon	8172.482	Massachusetts	25	N Eng	Ма	6016425	6066573	1
14	Polygon	77328.336	Nebraska	31	W N Cen	NE	1578385	1622272	
15	Polygon	48560.578	NewYork	36	MidAd	NY	17990455	18293435	
16	Polygon	45359.238	Pennsylvania	42	NidAl	PA	11881643	12077607	
17	Polygon	4976.434	Connecticut	09	N Eng	CT	3267116	3287604	1
18	Polygon	1044.650	Fihode Island	44	N Eng	RI	1003464	993306	1
19	Polygon	7507.302	NewJersey	34	NidAl	NJ	7730188	7956917	٦
20	Polygon	36399.516	Indiana	1.8	E N Con	IN	5544159	5801023	
21	Polygon	110667.297	Nevada	32	Min	NV	1201833	1532295	
	Polygon	84870.187	Utah	49	Min	UT	1722850	2000630	
	Polygon	157774.187	Calfornia	06	Pacific	CA	29760021	32218713	
24	Polygon	41192.863	Ohio	39	E N Den	OH	10847115	11123416	
	Polygon	56297.953	Hinois	17	ENDen	L	11430602	11731783	
26	Polygon	66.063	District of Columbia	11	SAII		606900	550076	
27	Polygon	2054.506	Delawere	10	SAII	DE	666168	724890	1

Figure 10.2 (continued)

# § 3 Storing data in DBMS tables(cont.)

• From conceptual to logical : normalizations

(A)	A)							
ParcelNumb	OwnerNam	OwnerAddress	PostalCode	ZoningCode	ZoningType	Date / AssessedValue		
673/100	Jeff Peters	10 Railway Cuttings	114390	2	Residential	2002 220000		
673-101	Joel Campbell	1115 Center Place	114390	2	Residential	2003 545500		
674-100	Dave Widseler		114391	3	Commercial	99 249000		
674-100		452 Diamond Plaza	114391	3	Commercial	2000 275500		
674-100	D Widseler	452 Diamond Plaza	114391	3	Commercial	2001 290000		
670-231	Sam Camarata	19 Big Bend Bld	114391	2	Residential	2004 450575		
674-112	Chris Capelli	Hastings Barracks	114392	2	Residential	2004 350000		
674-113	Sheila Sullivan	10034 Endin Mansions	114391	2	Residential	02 1005425		

Figure 10.3 Tax assessment database: (A) raw data; (B) cleansed data in a GIS DBMS; (C) data partially normalized into three sub-tables; (D) joined table



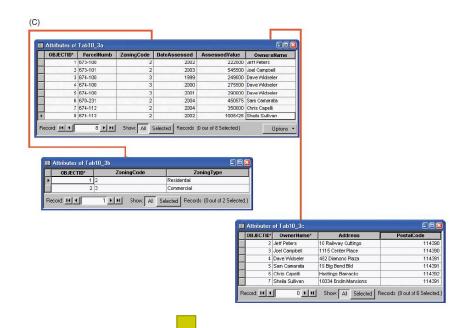
(B)

	OBJECTID*	ParcelNumb	OwnerNam	OwnerAddress	PostalCode	ZoningCode	ZoningType	DateAssessed	AssessedValu
Γ	1	673-100	Jeff Peters	10 Railway Cuttings	114390	2	Residential	2002	22000
ī	2	673-101	Joel Campbell	1115 Certer Place	114390	2	Residential	2003	54550
1	3	674-100	Dave Widseler	452 Diamond Plaza	114391	3	Commercial	1999	24900
Ī	4	674-100	Dave Widseler	452 Diamond Plaza	114391	3	Commercial	2000	27550
ī	5	674-100	Dave Widseler	452 Diamond Plaza	114391	3	Commercial	2001	29000
ī	6	670-231	Sam Camarata	19 Big Bend Bld	114391	2	Residential	2004	45057
	7	674-112	Chris Capelli	Hastings Barracks	114392	2	Residential	2004	35000
ī	8	674-113	Sheila Sullivan	10034 Endin Mansions	114391	2	Residential	2002	100542



# § 3 Storing data in DBMS tables(cont.)

Joining of tables



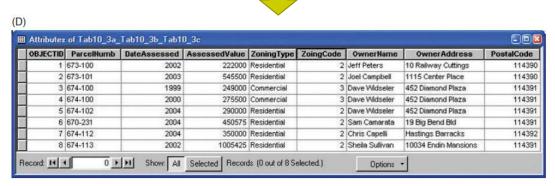


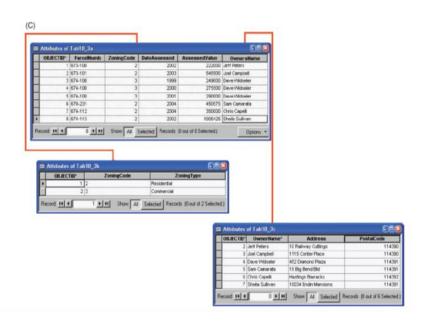
Figure 10.3 (continued)

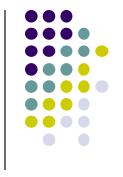


# § 4 SQL

### SQL is the standard database query language

```
SELECT Tab10_3a.ParcelNumb, Tab10_3c.Address,
   Tab10 3a.AssessedValue
FROM (Tab10 3b INNER JOIN Tab10 3a ON
   Tab10 3b.ZoningCode =
   Tab10 3a.ZoningCode) INNER JOIN Tab10 3c
   ON Tab10_3a.OwnersName =
   Tab10 3c.OwnerName
WHERE (((Tab10 3a.AssessedValue)>300000) AND
   ((Tab10 3b.ZoningType) = "Residential"));
 CREATE TABLE Countries (
               VARCHAR (200) NOT NULL PRIMARY
   KEY.
 shape
               POLYGON NOT NULL
 CONSTRAINT spatial reference
 CHECK
            (SpatialReference(shape) = 14)
INSERT INTO Countries
(Name, Shape) VALUES ('Kenya', Polygon('(x
   y, x y, x y, x y)), (2))
SELECT Countries. Name,
FROM Countries
WHERE Area(Countries.Shape) > 11000
```





## § 5 Geographic database types and functions

- There have been several attempts to define a superset of geographic data types (variation of point, line and area)that can represent and process geographic data in databases
- Unfortunately space does not permit a review of them all
- Model developed International Standards Organization (ISO) and the Open Geospatial Consortium(OGC) standards

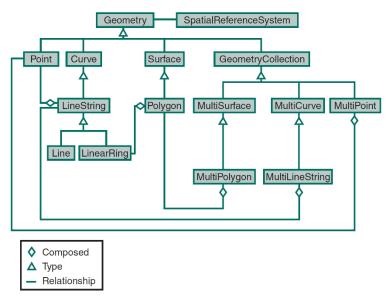
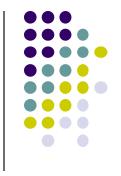


Figure 10.5 Geometry class hierarchy (Source: after OGC 1999) (Reproduced by permission of Open Geospatial Consortium, Inc.)

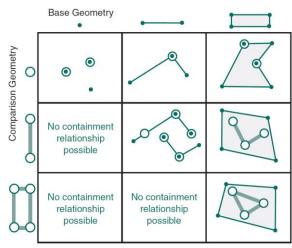
# § 5 Geographic database types and functions(cont.)



- Nine functions for testing relationship
- Equals, Disjoint, Intersects, Touches, Crosses, Within, Constains, Overlaps,
   Relate

#### (A) Contains

Does the base geometry contain the comparison geometry?

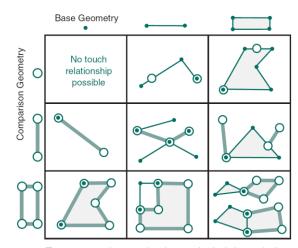


For the base geometry to contain the comparison geometry, it must be a superset of that geometry.

A geometry cannot contain another geometry of higher dimension.

#### (B) Touches

Does the base geometry touch the comparison geometry?

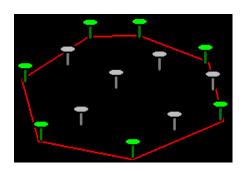


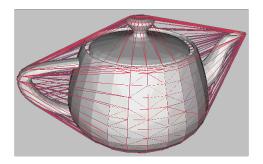
Two geometries touch when only their boundaries intersect.

**Figure 10.6** Examples of possible relations for two geographic database operators: (A) Contains; and (B) Touches operators (*Source*: after Zeiler 1999)

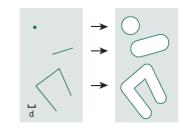
# § 5 Geographic database types and functions(cont.)

- Seven functions for geometric analysis
- Distance, Buffer, Convex Hull, Intersection, Union, Difference, SymDifference



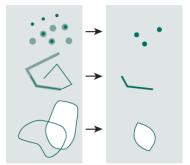


#### (A) Buffer



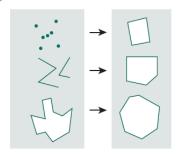
Given a geometry and a buffer distance, the buffer operator returns a polygon that covers all points whose distance from the geometry is less than or equal to the buffer distance.

#### (C) Intersection



The intersect operator compares a base geometry (the object from which the operator is called) with another geometry of the same dimension and returns a geometry that contains the points that are in both the base geometry and the comparison geometry.

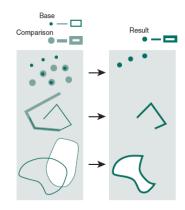
#### (B) Convex Hull



Given an input geometry, the convex hull operator returns a geometry that represents all points that are within all lines between all points in the input geometry.

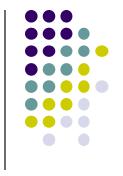
A convex hull is the smallest polygon that wraps another geometry without any concave areas.

#### (D) Difference



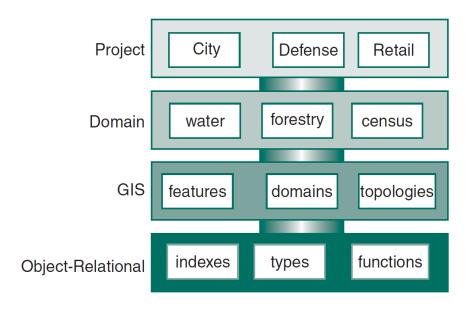
The difference operator returns a geometry that contains points that are in the base geometry and subtracts points that are in the comparison geometry.

Figure 10.7 Examples of spatial analysis methods on geometries: (A) Buffer; (B) Convex Hull; (C) Intersection; (D) Difference (Source: after Zeiler 1999)



# § 6 Geographic database design

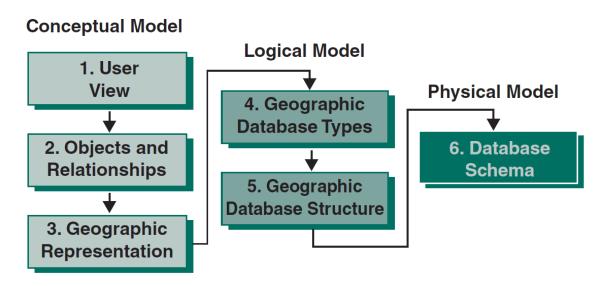
 All GIS and DBMS packages have their own core data model that defines the object types and relationships that can be used in an application



**Figure 10.8** Four levels of data model available for use in GIS projects with examples of constructs used



- Database design involves three key stages
  - conceptual, logical, and physical



**Figure 10.9** Stages in database design (*Source*: after Zeiler 1999)



### § 7.1 Topology creation

- Topology can be created for vector datasets using either batch or interactive techniques
  - Batch topology builders are required to handle CAD, survey, simple feature, and other unstructured vector data imported from nontopological systems, an iterative process because manual editing is required to make corrections
  - Interactive topology creation is performed dynamically at the time objects are added to a database using GIS editing software
- Two database-oriented approaches have emerged in recent years for storing and managing topology: Normalized and Physical

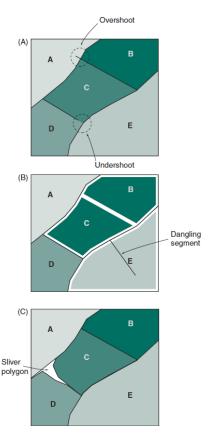


Figure 9.9 Examples of human errors in digitizing: (A) undershoots and overshoots; (B) invalid polygons; and (C) sliver polygons



### § 7.1.1 Normalized model(topological)

- focuses on the storage of an arc-node data structure
  - Be normalized because each object is decomposed into individual topological primitives for storage in a database and then subsequent reassembly when a query is posed
  - e.g., polygon objects are assembled at query time by joining together tables containing the line segment geometries and topological primitives that define topological relationships

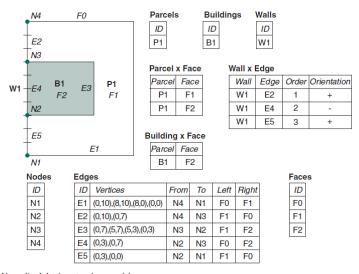
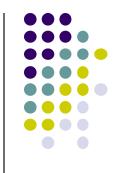


Figure 10.10 Normalized database topology model



### § 7.1.1 Normalized model(cont.)

- Advantages
  - Similar to topological structure supporting spatial relationship
  - Easy to understand
  - Storage efficient
  - easily lend itself to access via a SQL API
- Disadvantages
  - Query performance
  - Management of topological structure in DBMS
  - Update issues
- Implemented in Oracle Spatial and can be accessed via a SQLAPI making it easily available to a wide variety of users



### § 7.1.2 Physical model(spaghette)

- Topological primitives are not stored in the database
  - Topological relationships are computed on-the-fly whenever they are required by client applications

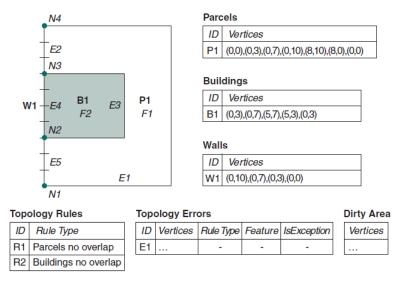
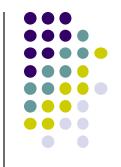
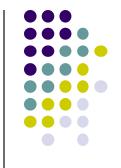


Figure 10.11 Physical database topology model

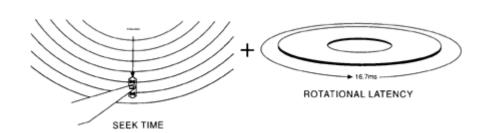


### § 7.1.2 Physical model(cont.)

- Topological primitives are not stored in the database
  - Physical model requires that an external client or middle-tier application server is responsible for validating the topological integrity of datasets
  - Topologically correct features are then stored in the database using a much simpler structure than the Normalized model
  - When compared to the Normalized model, the Physical model offers two main advantages of simplicity and performance
  - Even when topology is required it is faster to retrieve feature geometries and recompute topology outside the database
- Implemented in ESRI ArcGIS and offers fast update and query performance for high-end GIS applications
- ESRI has also implemented a long transaction and versioning model based on the physical database topology model



- A typical database consists of a collection of files, which contain a set of records, which are stored on a magnetic disk
- A disk block is the atomic unit of storage
- The transfer time of a disk block to or from a disk has three measurement components
  - Seek time time to move disk heads to the correct track
  - Latency rotation time of the disk to the correct position
  - CPU transfer time time for the block transfer to the CPU







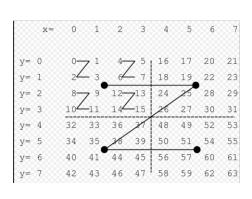
SSD

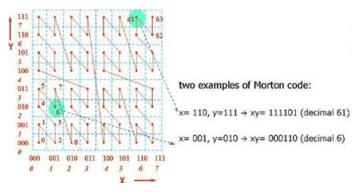
VS

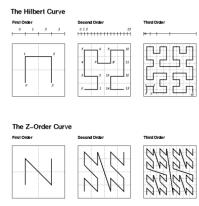
HDD



- Seek time being the dominant factor, performance may be maximized when the mechanical movement of disk heads is kept to a minimum
  - Data to be accessed together should be physically stored close together
  - Proper indexing can focus the search







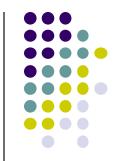
Z-order Curve Morton code Hilbert Curve

- Records, fields, file organization and access methods
  - Record a collection of data items describing some logical entity
  - Field a place for a data item
    - Variable-length
    - Fixed-length
    - Keys
  - File a collection of records usually of the same type
    - Fixed-length or variable-length

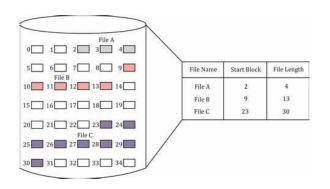


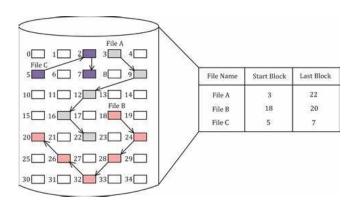
	ID +	FirstName •	Surname +	Age	-
	1	John	Jones		35
	2	Tracey	Smith		25
1	3	Anne	McNeil		30
1	4	Andrew	Francis		37
	5	Gillian	Carpenter		32
	6	Karen	Rogers		22
	7	Amy	Sanders		42
	8	Kevin	White		38
	9	Charlie	Anderson		40
	10	Mary	Brown		26
	11	Andrew	Smith		32
	12	James	Francis		28
	13	Karen	Jones		30
1	14	Edward	Kent		32
	15	Jenny	Smith		26
1	16	Angela	Jones		41
*	(New)				





- Files are stored on disk blocks
  - Contiguous allocation
  - Linked allocation
- File organization describes the physical organization of records on the disk and the way that the blocks of records are linked
- Access methods provide the means for application programs to manipulate files







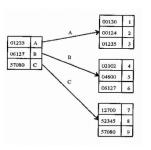
- Access methods
  - Open open a file
  - Find finds the block with the required record and puts it in main memory
  - Read reads the record from main memory into the program memory
  - Delete deletes records in memory and writes updated block to disk
  - Modify updates a field in a record and writes back to disk
  - Insert inserts a record and writes back to disk
  - Close close a file

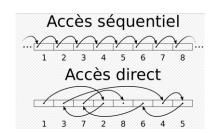
```
typedef struct _구조체
    short
    float
}구조체;
void LoadFP(const char* pszName)
   FILE* fp;
           pszName = "불러드릴파일경로";
   fp = fopen(pszName, "rb");
    int iTest1;
   int iRead:
    iRead = fread(&iTest1, 4, 1, fp);
   fseek(fp, 0, SEEK SET);
    구조체 StructTemp;
   iRead = fread(&iTest1, 4, 1, fp);
   iRead = fread(&StructTemp, sizeof(StructTemp), 1, fp);
   fclose( fp );
```



- Four basic types of file organization
  - Unordered file
    - New records are inserted in the next physical position on the disk
    - Insertions are efficient but subsequent retrievals must search through the data sequentially
  - Ordered (sequential) file
    - New records are inserted according to the values in one or more fields e.g., sorted on name or rank
    - Ordered files allow efficient binary searches
    - New insertions to sequential files are expensive
  - Random file
    - A file organized via an index. Also called a "direct file" or a "direct access file," it enables qui
      ck access to specific records within the file
    - The index points to a specific location, and the file is read from that point

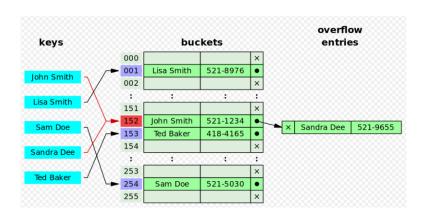
	STUDENTS		
StudId	StudName	DateOfBirth	1
9723456 9724567	COUGHLAN RYAN	10091961 31121976	
9534118		23061964 <del>4</del> 03111979 <b>4</b>	Occurrences
9312876		12121976	

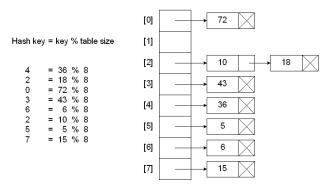




### § 7.2 General Structures and Access Methods (cont.)

- Three basic types of file organization
  - Hashed files
    - Records are inserted at a disk location according to an address derived by a hash function
    - Retrievals repeat the hash function to find the disk location which requires only one disk access
    - One common method of determining a hash key is the division method of hashing(e.g., 质数除余法)



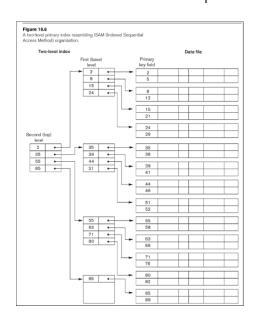


Hashed files 30

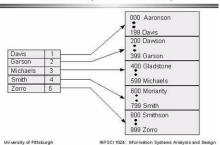


### § 7.3 Indexing

- A special representation of information about objects that improves searching
- Similar in concept to the index of a book
- The index acts on one or more fields of the data file called the indexing field
- A single-level index is an ordered file with each record containing
  - Index field the value of the indexing field
  - Pointer field the address where the data lie
- Indexing allows binary search and logarithmic search times



#### Indexed-Sequential File Example





### § 7.3 Indexing(cont.)

 standard index in DBMS is about how key is related to the address of the record

$$Key(s) = f (address)$$

- not suitable for GIS
- Spatial index is about how key is related to the place, where place is usually a component of space partition(grid, box) to which keys are assigned

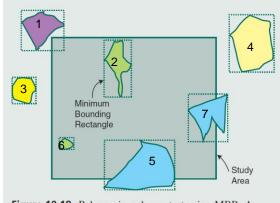
$$Key(s) = f(place)$$

- Because keys assigned to the grid are subset of all objects in the database, speed to find the right object among the subset is much faster
- Four main methods of general practical importance have emerged in GIS: MBR, grid indexes, quadtrees, and R-trees



### § 7.3.1 Minimum Bounding Rectangle(MBR)

- Partitions the whole space into MBR related to each object
- Efficient means to approximate spatial objects and streamline searches
- Detailed geometry stored separately



**Figure 10.18** Polygon in polygon test using MBR. A MBR can be used to determine objects definitely within the study area (green) because of no overlap, definitely out (yellow), or possibly in (blue). Objects possibly in can then be analyzed further using their exact geometries. Note the purple object that is actually completely outside, although the MBR suggests it is partially within the study area

MBR ID	Coordinates	Object ID
1	$X_{1min}, y_{1min}, x_{1max}, y_{1max}$	1
2	$X_{2min}, y_{2min}, x_{2max}, y_{2max}$	2
3	$X_{3min}, y_{3min}, x_{3max}, y_{3max}$	3
4	$X_{4min}, y_{4min}, x_{4max}, y_{4max}$	4
5	$X_{5min}, y_{5min}, x_{5max}, y_{5max}$	5
6	$X_{6min}, y_{6min}, x_{6max}, y_{6max}$	6
7	$X_{7min}, y_{7min}, x_{7max}, y_{7max}$	7



### § 7.3.2 Grid indexes

- A partition of the plane into equal sized cells
- Objects that fall within a particular cell are stored in a contiguous location
- Cell size determination
  - Number of observations is related to the number of cells; cells have a finite associated storage space
  - Types of expected queries: cell size should support the expected precision of query ranges
- An effective structure if there is an even spatial distribution of data; otherwise there are numerous empty cells and corresponding unused disk space



### § 7.3.2 Grid indexes(cont.)

 Grid indexes are easy to create, can deal with a wide range of object types, and offer good performance.

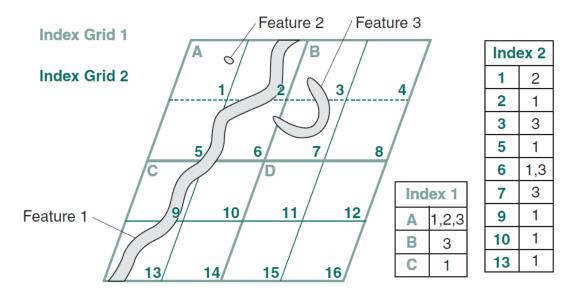
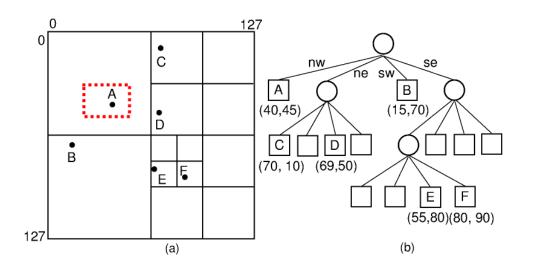


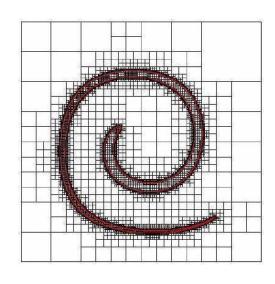
Figure 10.13 A multi-level grid geographic database index



### § 7.3.3 Quadtree indexes

- In many respects quadtrees are a special types of grid
- So quadtree indexes could be regarded as the compression of grid indexes
- Save space and adaptive to the distribution of geo-objects

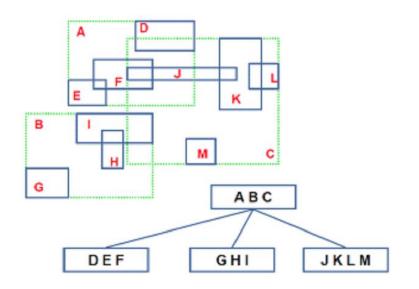


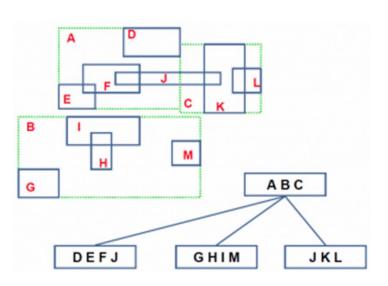




### § 7.3.4 R-tree and R+-tree indexes

- Each node in the tree represents a rectangle
- Non-leaf nodes represent R-tree rectangles that contain the rectangles of its descendants
- Leaf nodes represent the actual rectangles to be indexed
- R+-trees do not permit overlapping of containing rectangles

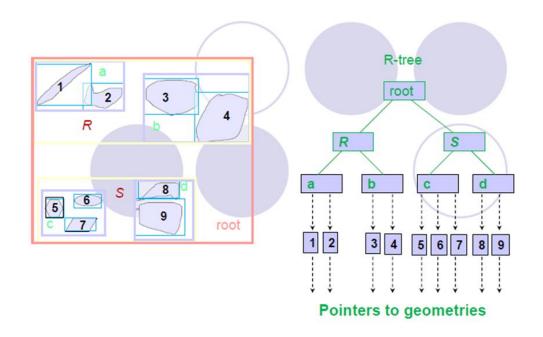






### § 7.3.4 R-tree and R+-tree indexes(cont.)

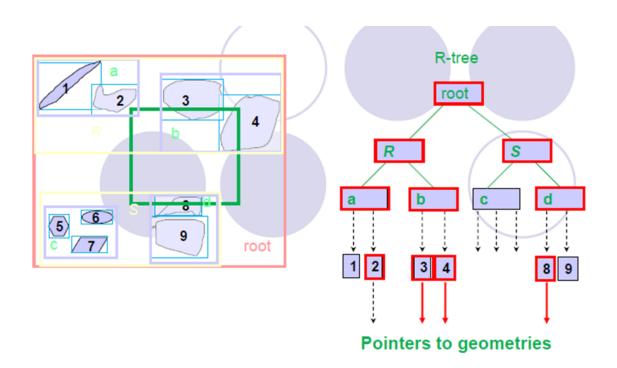
- Based on MBRs
- MBRs of geometric objects form the leaves of the index
- Multiple MBRs are grouped into larger rectangles(MBRs) to form intermediate nodes in the index tree
- Repeat until one rectangle is left that contains everything



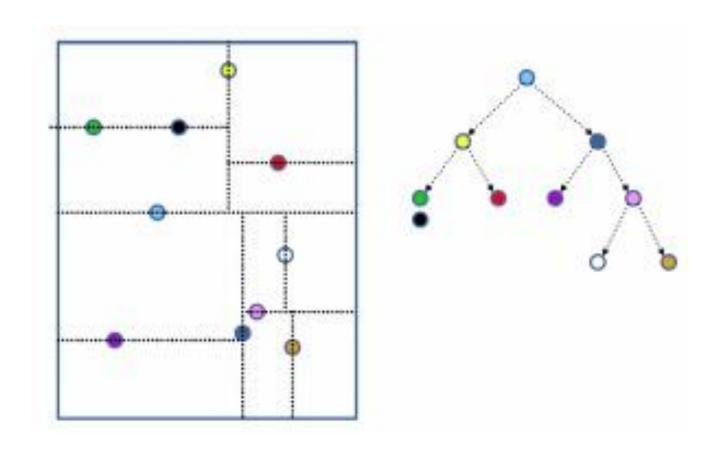


### § 7.3.4 R-tree and R+-tree indexes(cont.)

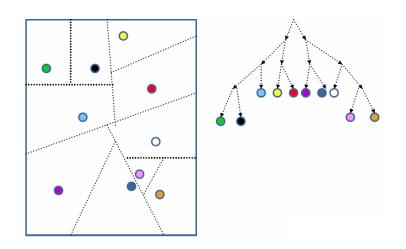
- Consider two types of querie:
- Point query: what object contains the query point?
- Window query: what objects intersects the query window?

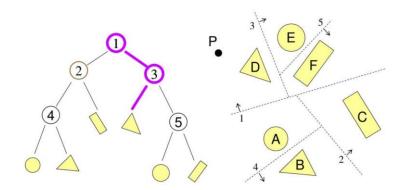


Other Index: K-D Tree



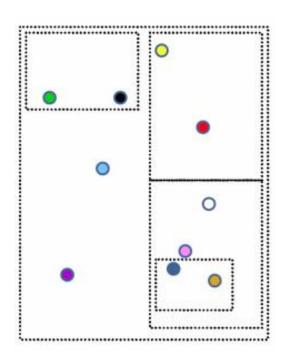
Other Index: BSP Tree (Binary Space Partitioning Tree)







Other Index: BANG File (Balanced And Nested Grid File)

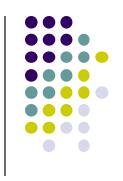






- Editing is the process of making changes to a geographic database by adding new objects or changing existing objects as part of data load or database update and maintenance operations
  - geometry and attribute editing
  - database maintenance(e.g., system administration and tuning)
  - creating and updating indexes and topology
  - importing and exporting data
  - georeferencing objects
- These tools form workflow tasks that are exposed within the framework of a WYSIWYG (what you see is what you get) editing environment
- Data entered into the editor must be stored persistently in a file system or database
- Access to the database must be carefully managed to ensure continued security and quality is maintained





- Database management systems are now a vital part of large modern operational GIS
- They bring with them standardized approaches for storing and, more importantly, accessing and manipulating geographic data using the SQL query language
- Innovative work in the GIS field has extended standard DBMS to
- store and manage geographic data and has led to the development of long transactions and versioning that have application across several fields