

# Principle's of Real Analysis by Walter Rudin

## Solutions guide

Harry Collins

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All exercises are from Principle's of Real Analysis by Walter Rudin.  
Any mistakes are my own.

### 1 Chapter 2 Basic of Topology

1: The empty set is a subset of every set

*Proof.* Assume there is a set  $X$  such that  $\emptyset$  is not a subset of  $X$ . Then the empty set contains an element not in  $X$ . By definition  $\emptyset$  contains no element. Thus  $\emptyset$  is a subset of every set.  $\square$

2: The set of algebraic numbers is countable

*Proof.* Define  $f : P_n \rightarrow \mathbb{Z}^{n+1}$  by  $f(a_0z^n + a_1z^{n-1} + \dots + a_n) = (a_0, a_1, \dots, a_n)$ . Where  $P_n$  denotes a polynomial of degree at most  $n$  with integer coefficients.

Suppose that  $f(a_0z^n + a_1z^{n-1} + \dots + a_n) = f(b_0z^n + b_1z^{n-1} + \dots + b_n)$ . Clearly  $(a_0, a_1, \dots, a_n) = (b_0, b_1, \dots, b_n)$  hence the function is injective. Similarly, letting  $y \in \mathbb{Z}^{n+1}$ . The polynomial  $y_0z^n + y_1z^{n-1} + \dots + y_n$  applied to  $f$ , shows  $f$  is surjective. Hence  $f$  is bijective.

Since the integers are countable, we have that  $\mathbb{Z}^{n+1}$  is countable and therefore  $P_n$  is countable. For each  $p(z) \in P_n$ , let  $\theta_{p(z)}$  denote the set of all roots of  $p(z)$ . Clearly  $\theta_{p(z)}$  is a finite set.

Defining  $T = \bigcup_{p(z) \in P_n} \theta_{p(z)}$  is at most countable. Observe that every positive integer is an algebraic number, hence the set of algebraic numbers is infinite and since it is a subset of  $T$ , it follows the set of algebraic numbers is countable.  $\square$

3: There exist Real numbers which are not algebraic

*Proof.* Suppose all Real numbers were algebraic. Then the set of Real numbers would be countable. This is a contradiction.  $\square$

4: Is the set of all irrational numbers countable?

*Proof.* The set of irrational numbers is not countable. Suppose it was then this would mean  $\mathbb{R} = (\mathbb{R} \setminus \mathbb{Q}) \cup \mathbb{Q}$  would be countable. Contradiction.  $\square$

5: Construct a bounded set of real numbers with exactly three limit points

*Proof.* Let  $A = A_1 \cup A_2 \cup A_3$  where  $A_1 = \{\frac{1}{n} : n \in \mathbb{N}\}$ ,  $A_2 = \{10 + \frac{1}{n} : n \in \mathbb{N}\}$  and  $A_3 = \{20 + \frac{1}{n} : n \in \mathbb{N}\}$  then clearly  $A_1, A_2, A_3$  are all bounded sets and have limit points 0, 10, 20 respectively. Hence  $A = \{0, 10, 20\}$   $\square$

6: Let  $E'$  be the set of all limit points of  $E$ . Prove that  $E'$  is closed. Prove that  $\bar{E}$  and  $E'$  have the same limit points. Do  $E$  and  $E'$  always have the same limit points?

*Proof.* Let  $p \in (E')'$  and  $N_r(p)$  be a neighbourhood of  $p$  for some  $r$ . There exists  $q \neq p \in N_r(p)$  such that  $q \in E'$ . Hence  $q$  is a limit point of  $E$  therefore there exists  $t \neq q$  in  $N_w(q)$  such that  $t \in E$  for all  $w > 0$ . Let  $w = \frac{1}{2} \min\{d(p, q), r - d(p, q)\}$ , then  $N_w(q) \subset N_r(p)$ .

We also have  $t \neq p$ . Suppose that  $t = p$  then  $p \in N_w(q)$ . If  $w = \frac{1}{2}d(p, q)$  this means  $d(p, q) < w = \frac{1}{2}d(p, q)$ . Contradiction. Also if  $w = \frac{1}{2}(r - d(p, q))$  then  $r - d(p, q) \leq d(p, q)$  but  $d(p, q) < w = \frac{1}{2}(r - d(p, q))$ . This implies that  $2d(p, q) < r - d(p, q)$  contradiction.

Hence every neighbourhood of  $N_r(p)$  contains a point  $s$  such that  $s \neq p$  and  $s \in E$ . Thus  $p$  is a limit point of  $E$  and  $p \in E'$  and  $E'$  is closed.

Suppose that  $p \in (\bar{E})'$  Then  $p$  is a limit point of  $\bar{E} = E \cup E'$ . Hence for all  $r > 0$  there exists  $q \in N_r(p), q \neq p$  such that  $q \in \bar{E} = E \cup E'$ . If  $q \in E$  then  $p$  is a limit point of  $E$  already and therefore  $p \in E'$ . If  $q \in E'$  by the same argument as above  $p \in E'$ . Thus  $(\bar{E})' \subset E'$ .

Conversely suppose that  $p \in E'$  and  $N_r(p)$ . Since  $p$  is a limit point of  $E$  there exists a point  $q \neq p$  in  $N_r(p)$  such that  $q \in E$ . Hence  $q \in \bar{E}$  therefore  $p$  is a limit point of  $\bar{E}$ . Hence  $E' \subset (\bar{E})'$ . Thus  $\bar{E}' = E'$ .

The sets  $E$  and  $E'$  do not need to contain the same limit points. For example,  $E = \{\frac{1}{n} : n \in \mathbb{N}\}$  has the limit point  $\{0\} = E'$ . Then  $E'' = \emptyset$  (finite sets have no limit points).  $\square$

7A: Let  $A_1, A_2, \dots$  be subsets of a metric space. If  $B_n = \bigcup_{i=1}^n A_i$  prove that  $\bar{B}_n = \bigcup_{i=1}^n \bar{A}_i, n = 1, 2, 3, \dots$

*Proof.* Let  $x \in \bar{B}_n$ , then  $x \in B_n$  or  $x \in B'_n$ . If  $x \in B_n$  then  $x \in A_i$  for some  $i$  so  $x \in \bar{A}_i$ . Hence  $x \in \bigcup_{i=1}^n \bar{A}_i$ . If  $x \in B'_n$  then  $x$  is a limit point of  $B_n$ . Therefore  $x \in A'_i$  for some  $i$ . To see this observe that if  $x \notin A'_i$  for all  $i$ , then for every  $i$  there exists a neighbourhood  $N_{r_i}(x)$  for some  $r_i > 0$ , such that  $N_{r_i}(x) \cap A_i = \emptyset$ . Denote the minimum of the  $r_i$  by  $r$  i.e.  $r = \min_{i \in [1, n]} \{r_i\} > 0$ . Hence  $N_r(x) \cap A_i = \emptyset$  for all  $i \in \{1, 2, \dots, n\}$ , thus  $N_r(x) \cap B_n = \emptyset$ . Therefore,  $x \in A'_i$  for some  $i$  and  $\bar{B}_n \subseteq \bigcup_{i=1}^n \bar{A}_i$ .

On the other hand, if  $x \in \bigcup_{i=1}^n \bar{A}_i$  then  $x \in \bar{A}_i$  and  $x \in A_i$  or  $A'_i$  for some  $i \in \{1, 2, \dots, n\}$ . If  $x \in A_i$  then  $x \in B_n \subseteq \bar{B}_n$ . Similarly, if  $x \in A'_i$  there exists  $y \in N_r(x)$  for all  $r > 0$  and  $y \neq x$  such that  $y \in A_i$ . By definition,  $A_i \subseteq B_i$  so  $x$  is also a limit point of  $B_n$ . This implies that  $\bigcup_{i=1}^n \bar{A}_i \subseteq \bar{B}_n$ . Therefore we have that  $\bar{B}_n = \bigcup_{i=1}^n \bar{A}_i$  □

7B: If  $B = \bigcup_{i=1}^{\infty} A_i$  prove that  $\bar{B} \supset \bigcup_{i=1}^{\infty} \bar{A}_i$ . Show the inclusion may be proper.

*Proof.* The main result follows in a similar fashion to 7A so is omitted. For the proper inclusion consider  $A_i = \{\frac{1}{i}\}$   $i \in \mathbb{N}$ . Then  $B = \{1, \frac{1}{2}, \dots\}$ . It follows that  $A'_i = \emptyset$  and therefore  $\bar{A}_i = \{\frac{1}{i}\}$ . Hence,  $\bigcup_{i=1}^{\infty} \bar{A}_i = \{1, \frac{1}{2}, \frac{1}{3}, \dots\}$ . But,  $\bar{B} = \{0, 1, \frac{1}{2}, \dots\}$  therefore  $\bar{B} \supset \bigcup_{i=1}^{\infty} \bar{A}_i$ . □

8: Is every point of every open set  $E \subset \mathbb{R}^2$  a limit point of  $E$ ? Answer the same question for closed sets in  $\mathbb{R}^2$ .

*Proof.* For the first part of the question, yes it is true. To see this, consider the following. Let  $p = (p_1, p_2)$  be in the open set  $E$ . Then, since  $E$  is open in  $\mathbb{R}^2$ ,  $N_s(p) \subseteq E$  for some  $s > 0$ . Let  $r > 0$  then without loss of generality suppose  $s \leq r$ . Clearly  $(q_1, q_2) \in N_s(p) \subseteq N_r(p)$ . If instead,  $r < s$  let  $p' = (p_1 + \frac{r}{2}, p_2)$ . Then

$$0 < \sqrt{(p_1 + \frac{r}{2} - p_1)^2 + (p_2 - p_2)^2} = \frac{r}{2} < r$$

we have that  $p' \in N_r(p)$ ,  $p' \neq p$ . Hence  $p$  is a limit point of  $E$ .

For closed sets the question is false. As an example let  $E = \{(1, 0), (3, 0)\}$  then  $E$  is closed but  $E' = \emptyset$ . □

9A: Prove the Interior of  $E$ ,  $E^\circ$  is always open

*Proof.* Let  $x \in E^\circ$ . We must show that  $x$  is an interior point of  $E^\circ$ . By definition  $x$  is an interior point of  $E$  so there exists a neighbourhood  $N_x$  of  $x$  such that  $N_x \subseteq E$ . For each  $y \in N_x$ , there exists a neighbourhood  $N_y$  of  $y$  such that  $N_y \subseteq N_x \subseteq E$ . Therefore every point of  $N_x$  is an interior point of  $E$ . Thus  $x$  is an interior point of  $E^\circ$ .  $\square$

9B: Prove that  $E$  is open if and only if  $E^\circ = E$

*Proof.* We must have  $E^\circ \subseteq E$  by definition. Furthermore  $E$  is open if and only if every point of  $E$  is an interior point of  $E$  i.e.  $E \subseteq E^\circ$ . The result follows.  $\square$

9C: If  $G \subset E$  and  $G$  is open, prove that  $G \subset E^\circ$

*Proof.* Let  $x \in G$ . Then there exists a neighbourhood  $N$  of  $x$  such that  $N \subseteq G \subseteq E$ . Hence  $x$  is an interior point of  $E$ . Thus  $x \in E^\circ$  thus  $G \subseteq E^\circ$   $\square$

9D: Prove that the complement of  $E^\circ$  is the closure of the complement of  $E$

*Proof.* Suppose  $x \in (E^\circ)^c$ . Then  $x$  is not an interior point of  $E$ . If  $x \notin E$  then  $x \in E^c \subseteq \bar{E}^c$ . On the other hand, if  $x \in E$  then every neighbourhood  $N$  of  $x$  satisfies  $N \cap E^c \neq \emptyset$ . Therefore,  $x$  is a limit point of  $E^c$  and  $x \in (E^c)' \subseteq \bar{E}^c$ . Therefore  $(E^\circ)^c \subseteq \bar{E}^c$ .

On the other hand, if  $x \in \bar{E}^c$  then  $x \in E^c$  or  $x \in (E^c)'$ . If we have  $x \in E^c, x \notin E$ . Since  $E^\circ \subseteq E$ ,  $x \notin E^\circ$  therefore  $x \in (E^\circ)^c$ . If  $x \in (E^c)'$  then  $x$  is a limit point of  $E^c$ . If  $x \notin (E^\circ)^c$  then we have  $x \in E^\circ$ . Therefore  $x$  is an interior point of  $E$  so there is a neighbourhood  $N$  of  $x$  such that  $N \subseteq E$ . Therefore,  $N \cap E^c = \emptyset$ . This contradicts the fact  $x$  is a limit point of  $E^c$ . Hence we have  $x \in (E^\circ)^c, \bar{E}^c \subseteq (E^\circ)^c$ .

Combining the two results together we have that  $(E^\circ)^c = \bar{E}^c$ .  $\square$

9E: Do  $E$  and  $\bar{E}$  always have the same interiors?

*Proof.* This is false. As a counterexample let  $E = (-1, 0) \cup (0, 1) \in \mathbb{R}$ . Then  $E^\circ = E$  but  $\bar{E} = E \cup E' = [-1, 1]$ . Hence  $\bar{E}^\circ = (-1, 1)$ . Thus  $E^\circ \neq \bar{E}^\circ$   $\square$

9F: Do  $E$  and  $E^\circ$  always have the same closures?

*Proof.* This is false. Let  $E = \{2\} \in \mathbb{R}$ . Then  $E$  is closed thus  $\bar{E} = E$ . But  $E^\circ = \emptyset$  thus  $\bar{E}^\circ = \emptyset$ .  $\square$

10: Let  $X$  be an infinite set. For  $p \in X$  and  $q \in X$  define

$$d(p, q) = \begin{cases} 1 & p \neq q \\ 0 & p = q \end{cases}$$

Prove this is a metric. Which subsets of the resulting metric space are open? Which are closed? Which are compact?

*Proof.* Clearly  $d(p, q) > 0, p \neq q, d(p, p) = 0$ . Furthermore clearly  $d(p, q) = d(q, p)$ . There exists  $r \in X$  (since  $X$  is infinite) such that  $r \neq p$  and  $r \neq q$ . Therefore,  $r \in X$  has several cases. Case 1:  $p = q = r$ . Then  $d(p, q) = d(p, r) = d(r, q) = 0$  thus  $d(p, q) = d(p, r) + d(r, q)$ . Case 2:  $p = q, r \neq p$ . Then we have  $d(p, q) = 0$  and  $d(p, r) = d(r, q) = 1$  thus  $d(p, q) < d(p, r) + d(r, q)$ . Case 3:  $p \neq q$  and  $r = p$ . Then we have  $d(p, q) = d(r, q) = 1$  and  $d(p, r) = 0$  so we have  $d(p, q) = d(p, r) + d(r, q)$ . Case 4:  $p \neq q$  and  $r = q$ . Then we have  $d(p, q) = d(p, r) = 1$  and  $d(r, q) = 0$  so we have  $d(p, q) = d(p, r) + d(r, q)$ . Case 5:  $p \neq q$  and  $r \neq q$ . Then we have  $d(p, q) = d(p, r) = d(r, q) = 1$  so we have  $d(p, q) < d(p, r) + d(r, q)$ .

Thus  $d$  is a metric.

Let  $x \in X$  and consider  $N_r(x) = \{y \in X : d(x, y) < r\}$ . Then  $N_r(x) \subset \{x\}$ , for  $0 < r \leq 1$ , the set  $\{x\}$  is open in  $X$  for all  $x \in X$ . Thus every subset of  $X$  is open in  $X$ , since unions of open sets are open. Hence every subset of  $X$  is also closed. Clearly every finite subset of  $X$  is compact. Now assume  $K \subseteq X$  is compact and infinite. Then for  $x \in K$  let  $G_x = \{x\}$  is open in  $X$  and  $K \subseteq \bigcup_{x \in K} G_x$ , then the collection  $\{G_x\}$  is an open cover of  $K$ . Now since  $K$  is compact there exists an  $n > 0$  such that  $K \subseteq \bigcup_{i=1}^n G_i = \{x_1, \dots, x_n\}$ .

This contradicts  $K$  being infinite. Hence  $K$  is compact in  $X$  if and only if  $K$  is a finite subset of  $X$ .  $\square$

11A: Let  $x, y \in \mathbb{R}$  is  $d_1(x, y) = (x - y)^2$  a metric?

*Proof.* This is not a metric. Consider  $d_1(4, 1) = 9, d_1(4, 2) = 4, d_1(2, 1) = 1$ . Thus  $d_1(4, 1) > d_1(4, 2) + d_1(2, 1)$ . Hence not a metric.  $\square$

11B:  $d_2(x, y) = \sqrt{|x - y|}$

*Proof.* This is a metric. We omit the proof of the 2 simpler properties as they are clear. For the triangle inequality property, observe for any non-negative  $p, q$  we have  $p + q \leq p + 2\sqrt{pq} + q$ . Thus  $\sqrt{p + q} \leq \sqrt{p} + \sqrt{q}$ , thus for any  $x, y, z \in \mathbb{R}$   $d_2(x, y) = \sqrt{|x - y|} \leq \sqrt{|x - z| + |z - y|} \leq \sqrt{|x - z|} + \sqrt{|z - y|} = d_2(x, z) + d_2(z, y)$ . Hence we have a metric.  $\square$

11C:  $d_3(x, y) = |x^2 - y^2|$

*Proof.* This is not a metric. As a counterexample  $d_3(1, -1) = 0$   $\square$

11D:  $d_4(x, y) = |x - 2y|$

*Proof.* This is not a metric. Consider  $d_4(1, 1) = 1$   $\square$

11E:  $d_5(x, y) = \frac{|x-y|}{1+|x-y|}$

*Proof.* This is a metric. Clearly  $d_5(x, x) = 0, d_5(x, y) > 0, x \neq y$ . Also  $d(x, y) = d(y, x)$ .

We now recall that for  $p, q, r \geq 0, \leq q + r$  then  $\frac{p}{1+p} \leq \frac{q}{1+q} + \frac{r}{1+r}$ . Letting  $p = |x - y|, q = |x - z|, r = |y - z|$  into the above we have that  $d_5(x, y) \leq d_5(x, z) + d_5(z, y)$ .  $\square$

12: Let  $K \subset \mathbb{R}$  consist of 0 and the numbers  $\frac{1}{n}$  prove that  $K$  is compact directly from the definition.

*Proof.* Let  $K = \{0, 1, \frac{1}{2}, \dots\}$  and let  $\{G_\alpha\}$  be open subsets of  $\mathbb{R}$  such that  $K \subseteq \bigcup_\alpha G_\alpha$ . Then  $0 \in G_{\alpha_1}$  for some  $\alpha_1$ . Since  $G_{\alpha_1}$  is open in the reals, 0 is an interior point of  $G_{\alpha_1}$ . Therefore there exists an interval  $(a, b)$  such that  $(a, b), a < 0 < b, \subseteq G_{\alpha_1}$ . By the Archimedean property, there exists  $N$  such that  $Nb > 1$ . Thus  $\frac{1}{n} \in (a, b) \subseteq G_{\alpha_1}$  for all positive integers  $n \geq N$ .

So  $K = \{1, \frac{1}{2}, \dots, \frac{1}{N-1}\} \cup \{0, \frac{1}{N}, \frac{1}{N+1}, \dots\}$ . By the above we have  $\{0, \frac{1}{N}, \frac{1}{N+1}, \dots\} \subseteq G_{\alpha_1}$ .

Additionally, since we have  $\{1, \frac{1}{2}, \dots, \frac{1}{N-1}\}$  is finite there are finitely many  $G_{\alpha_m}$  such that  $\{1, \frac{1}{2}, \dots, \frac{1}{N-1}\} \subseteq G_{\alpha_2} \cup G_{\alpha_3} \cup \dots \cup G_{\alpha_m}$ .

Thus we have  $K \subseteq \bigcup_{i=1}^m G_{\alpha_i}$ . Hence  $K$  is compact.  $\square$

13: Construct a compact set of real numbers whose limit points form a countable set.

*Proof.* Let  $A_0 = \{0, 1, \frac{1}{2}, \dots\}$  and  $A_n = \{\frac{1}{x} + \frac{1}{y} : x = y, y + 1, \dots\}$ , for  $x \in \mathbb{N}$ . We define  $G = \bigcup_{n=0}^{\infty} A_n$ . It is clear that  $A_0, A_n$  have the limit points 0 and  $\frac{1}{n}$  respectively. Therefore  $\{0, 1, \frac{1}{2}, \dots\} \subseteq G'$ . Let  $p \in \mathbb{R}$  be a limit point of  $G$ . Then if  $p < 0$  let  $\delta = \frac{|p|}{2}$  hence  $(p - \delta, p + \delta) \cap G = \emptyset$ . If instead  $p > 2$  we let  $\delta = \frac{p-2}{2}$  hence  $(p - \delta, p + \delta) \cap G = \emptyset$ . The remaining case,  $1 < p \leq 2, \delta = \frac{1}{2} \min(p-1, 2-p)$  therefore  $(p - \delta, p + \delta) \cap G$  contains finitely many points of  $G$ . Hence  $G' \subseteq [0, 1]$ .

Now suppose  $p \in [0, 1] \setminus A_0$ , then there exists a positive integer  $k$  such that  $\frac{1}{k+1} < p < \frac{1}{k}$ . Since  $\frac{1}{x} + \frac{1}{x} \geq \frac{1}{x} + \frac{1}{y}$  and also  $\frac{1}{x} + \frac{1}{x} \geq \frac{1}{y} + \frac{1}{y}$ , for all  $y \geq x$  then the maximum of the sets  $G_{k+y} \cup G_{k+y+1} \cup G_{k+y+2} \cup \dots$  is,  $\frac{2}{k+y}$ . Letting  $\delta = \frac{1}{2} \min(p - \frac{1}{k+1}, \frac{1}{k} - p)$  then  $(p - \delta, p + \delta) \subset (\frac{1}{k+1}, \frac{1}{k})$ .

If we have  $\delta = \frac{1}{2}(\frac{1}{k} - p)$  then  $p - \delta = \frac{3p}{2} - \frac{1}{2k} > \frac{1}{2}(\frac{3}{k+1} - \frac{1}{k}) = \frac{2k-1}{2(k+1)^2} > \frac{2}{k+y}$  for all  $y > \frac{4(k+1)^2}{2k-1} - k$ . In this situation  $(p - \delta, p + \delta)$  will contain only a finite number of points from  $G_1 \cup G_2 \cup \dots \cup G_{y-1}$ .

Letting  $\delta = \frac{1}{2} \min(p - \frac{1}{k+1}, \frac{1}{k} - p)$  so that  $(p, \delta, p + \delta) \subset (\frac{1}{k+1}, \frac{1}{k})$ . On the other hand letting  $\delta = \frac{1}{2}(\frac{1}{k} - p)$  it follows that  $p - \delta = \frac{3p}{2} - \frac{1}{2k} > \frac{1}{2}(\frac{3}{k+1} - \frac{1}{k}) = \frac{2k-1}{2(k+1)^2} > \frac{2}{k+y}$  for all  $y > \frac{4(k+1)^2}{2k-1} - k$ . Then  $(p - \delta, p + \delta)$  contains a finite number of points of  $G_1 \cup G_2 \cup \dots \cup G_{y-1}$ . In a similar fashion if  $\delta = \frac{1}{2}(p - \frac{1}{k+1})$ ,  $p - \delta = \frac{p}{2} + \frac{1}{2(k+1)} > \frac{1}{k+1} > \frac{2}{k+y}$  for all  $y > k + 2$ . Again  $(p - \delta, p + \delta)$  contains only a finite number of points of  $G_1 \cup G_2 \cup \dots \cup G_{y-1}$ . Hence in either case  $(p - \delta, p + \delta)$  can only contain a finite number of points of  $G$  and therefore is not a limit point of  $G$ . Thus  $G' = G_0 \subset G$  and it follows  $G$  is closed.

Finally, since for all  $t \in G$  we have  $|t| \leq 2$  (say),  $G$  is a bounded set, thus be Heine-Borel  $G$  is a compact set.  $\square$

14: Give an example of an open cover of the segment  $(0, 1)$  which has no finite sub-cover.

*Proof.* Consider the open sets  $G_n = (\frac{1}{n}, 1)$ ,  $n = 2, 3, \dots$ . If  $x \in (0, 1)$  then by the Archimedian property there exists an  $n$  such that  $nx > 1$  i.e.  $x \in G_n$ . Also  $(0, 1) \subseteq \bigcup_{n=2}^{\infty} G_n$ . Hence  $\{G_2, G_3, \dots\}$  is an open cover of the segment  $(0, 1)$ .

Now assume that  $\{G_{n_1}, G_{n_2}, \dots, G_{n_k}\}$  is a finite subcover of  $(0, 1)$ ,  $n_1, n_2, \dots, n_k \in \mathbb{Z}^+$  and  $2 \leq n_1 < n_2 < \dots < n_k$ . Hence  $(0, 1) \subseteq \bigcup_{i=1}^k G_{n_i} \subseteq G_{n_k}$ , this contradicts that  $\frac{1}{2n_k} \in (0, 1)$  but  $\frac{1}{2n_k} \notin (\frac{1}{n_k}, 1)$ . Thus we do not have a finite subcover.  $\square$

15: Show that Theorem 2.36 and its Corollary become false in  $\mathbb{R}$  if the word compact is replaced by closed or by bounded

*Proof.* Let  $K_n = [n, \infty)$ ,  $n \in \mathbb{N}$ . Then  $K_n$  is closed. Consider  $D = \bigcap_{i=1}^k K_{n_i}$  such that  $1 \leq n_1 < n_2 < \dots < n_k$ , then  $D[n_k, \infty) \neq \emptyset$ . However we have  $\bigcap_{n=1}^{\infty} K_n = \emptyset$ , since for  $x \in \mathbb{R}$ ,  $x > n$  for all  $n$ . This is a contradiction.

Consider  $K_n = (0, \frac{1}{n})$ ,  $n \in \mathbb{N}$ . Then for each  $n$  we have  $K_n$  is bounded. For  $1 \leq n_1 < n_2 < \dots < n_k$  then  $\bigcap_{i=1}^k K_{n_i} = (0, \frac{1}{n_k}) \neq \emptyset$  however  $\bigcap_{n=1}^{\infty} K_n = \emptyset$  since for  $x \in \mathbb{R}$  and  $x \in \bigcap_{n=1}^{\infty} K_n$  then  $x < \frac{1}{n}$  for all  $n \in \mathbb{N}$ .

Observe that in both examples,  $K_{n+1} \subseteq K_n$  thus Theorem 2.36 and the corollary are false if we have closed or bounded.  $\square$

16: Regard  $\mathbb{Q}$  as a metric space with  $d(p, q) = |p - q|$ . Let  $E$  be the set of all  $p \in \mathbb{Q}$  such that  $2 < p^2 < 3$ . Show that  $E$  is closed and bounded in  $\mathbb{Q}$  but  $E$  is not compact. Is  $E$  open in  $\mathbb{Q}$ ?

*Proof.*  $E = \{p \in \mathbb{Q} : 2 < p^2 < 3\} = (-\sqrt{3}, -\sqrt{2}) \cup (\sqrt{2}, \sqrt{3})$ . Observe that  $0 \in \mathbb{Q}$  and also  $|p| < 10$  for every  $p \in E$ . Hence it is clear  $E$  is bounded. Now let  $p \in \mathbb{Q}$  be a limit point of  $E$ . Hence for every  $r > 0$  there exists  $q \in E, q \neq p$  such that  $|p - q| < r$ . Setting  $r = \frac{1}{n}$  for  $n$  sufficiently large we obtain  $0 < q - \frac{1}{n} < p < q + \frac{1}{n}$ . Since  $2 < q^2 < 3$  we have that  $2 < q^2 - \delta$  and  $q^2 + \delta < 3$  for some  $\delta > 0$ .

Pick  $n > \max\{\frac{3q}{\delta}, \frac{1}{q}\}$  then it follows  $p^2 < (q + \frac{1}{n})^2 < q^2 + \frac{3q}{n} < q^2 + \delta < 3$ . We also have,  $p^2 > (q - \frac{1}{n})^2 > q^2 - \frac{2q}{n} > q^2 - \delta > 2$ .

The above inequalities imply that  $p \in E$  hence  $E$  is closed. Now assume that  $E$  is compact in  $\mathbb{Q}$ . Then for each  $n$  consider the following sets:  $U_n = \{p \in \mathbb{Q} : 2 - \frac{1}{n} < p^2 < 3\}$ ,  $U_n^+ = (\sqrt{2 - \frac{1}{n}}, \sqrt{3})$  and  $U_n^- = (-\sqrt{3}, -\sqrt{2 - \frac{1}{n}})$ . Clearly  $U_n = U_n^+ \cup U_n^-$ .

Now, if  $p \in E$  we have  $-\sqrt{3} < p < -\sqrt{2}$  or  $\sqrt{2} < p < \sqrt{3}$ . Thus either  $-\sqrt{3} < p < -\sqrt{2 - \frac{1}{n}}$  or  $\sqrt{2 - \frac{1}{n}} < p < \sqrt{3}$  for some  $n \in \mathbb{N}$ .

Therefore,  $p \in U_n^+ \cup U_n^- = U_n$  for some  $n \in \mathbb{N}$  thus  $E \subseteq \bigcup_{n=1}^{\infty} U_n$ .

Define the midpoints of the intervals  $(-\sqrt{3}, -\sqrt{2 - \frac{1}{n}})$  and  $(\sqrt{2 - \frac{1}{n}}, \sqrt{3})$  by  $x^-$  and  $x^+$  respectively. Then if  $q \in U_n$  means  $q \in U_n^+$  or  $q \in U_n^-$ . If  $q \in U_n^-$  there exists a positive real number  $t > 0$  such that  $d(x^-, q) = r - t$ , given  $r = |\sqrt{3} - \sqrt{2 - \frac{1}{n}}|$ . Now for all  $s$  such that  $d(q, s) < t$  we have by definition  $d(x^-, s) \leq d(x^-, q) + d(q, s) < r - t + t = r$ . Hence  $s \in U_n^-$ .

In a similar fashion, we also have  $N_t(q) \subseteq U_n^+$  if  $q \in U_n^+$ . Thus it follows that  $q$  is an interior point of  $U_n$  and  $\{U_n\}$  is an open cover of  $E$ .

By the compactness of  $E$  we must have  $E \subseteq U_{n_1} \cup U_{n_2} \cup \dots \cup U_{n_k}$  for positive integers  $n_1, n_2, \dots, n_k$  and  $n_1 < n_2 < \dots < n_k$ . We observe this gives a contradiction since  $U_{n+1} \subseteq U_n$  for all positive integers  $n$  thus  $E \subseteq U_{n_1}$ . A similar argument shows  $E$  is open.  $\square$

17: Let  $E$  be the set of all  $x \in [0, 1]$  whose decimal expansion contains



only the digits 4 and 7. Is  $E$  countable? Is  $E$  dense in  $[0, 1]$ ? Is  $E$  compact? Is  $E$  perfect?

*Proof.*  $x \in E$  is of the form  $x = 0.x_1x_2\dots, x_n \in \{4, 7\}$  for all  $n$ .  $E$  is uncountable. Let  $x = 0.1$  and  $y = 0.2$ , then by definition we have  $x < y < z, \forall z \in E$ . Then  $E$  is not dense in  $[0, 1]$ . Observe that  $0.4 \leq x < 0.8$  and  $[0, 1]$  is bounded it follows that  $E$  is bounded. Let  $x$  be a limit point of  $E$ . Clearly  $0.4 < x < 0.8$ . Suppose  $x \notin E$  and let  $x_n$  be the first digit of  $x$  which isn't 4 or 7. Let  $h = 0.00\dots 01$  then the  $n$ th and  $n+1$ st digit of any number contained in  $(x-h, x+h)$  is not 4 or 7. Hence  $(x-h, x+h) \cap E = \emptyset$ . and  $x$  is not a limit point of  $E$ . Contradiction. Hence,  $x \in E$  and  $E$  is closed and compact by Heine Borel.  $E$  is closed. Let  $x = 0.x_1x_2\dots$  and  $N_h(x) \in E$  and a neighbourhood of  $E$  for  $h > 0$ . Letting  $0 < h < 1$  and  $h_n$  the first non-zero digit of  $h$ . Letting  $y = 0.y_1y_2\dots$  be the number such that if the  $n+1$ st digit of  $x$  is a 4 or 7 then the  $n+1$ st digit of  $y$  is a 7 or 4 and all other digits are maintained as in  $x$ . Clearly  $y \neq x, |x-y| = 0.0\dots 03 < h$  thus  $y \in N_h(x)$ . Clearly  $y \in E$  and  $x$  is a limit point of  $E$ . Thus  $E$  is a perfect set. □

18: Is there a nonempty perfect set in  $\mathbb{R}$  which contains no rational numbers?

*Proof.* Let  $E$  be as in question 17. Then it is a perfect set but could contain a rational number. Consider the set  $F = x + E = \{x + y : y \in E\}$  and  $x = 0.101001000100001\dots$ . Now  $F$  is a translation of  $E$  so is also perfect. The constant  $x$  will ensure elements of  $F$  do not have a terminating or repeating decimal expansion. Hence  $F$  is a perfect set that contains no rational numbers. □

19A: If  $A$  and  $B$  are disjoint closed sets in some metric space  $X$  prove that they are separated.

*Proof.* Given  $A$  and  $B$  are closed it follows  $\bar{A} = A$  and  $\bar{B} = B$ . Using the fact  $A \cap B = \emptyset$  we also have  $\bar{A} \cap \bar{B} = A \cap B = \emptyset$  hence  $A$  and  $B$  are separated. □