

# ECE/PHY 235 - Introduction to Solid State Electronics

## Refined Comprehensive Review Notes

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### Overview

These notes summarize essential topics in solid state electronics, focusing on concepts from band theory to semiconductor physics, doping, carrier transport, and p-n junction behavior. They include both conceptual explanations and relevant equations. Use these notes to:

- Understand the physics behind semiconductor behavior.
- Review key equations and their physical significance.
- Reinforce concepts with example scenarios and practice problems.

# Fundamentals of Solid State Physics

## Energy Bands

- In solids, individual atomic energy levels spread into energy *bands*.
- **Valence Band (VB):** Highest range of electron energies normally occupied.
- **Conduction Band (CB):** Range of higher energy states into which electrons can be excited to conduct electricity.
- **Band Gap ( $E_G$ ):** Energy difference between CB minimum ( $E_C$ ) and VB maximum ( $E_V$ ).

## Material Types:

- **Insulators:** Large  $E_G$  (few eV). At typical temperatures, negligible carriers in CB.
- **Semiconductors:** Smaller  $E_G$  (1 eV), allowing thermal excitation of electrons from VB to CB, increasing conductivity with  $T$ .
- **Metals:** Partially filled band or overlapping bands, abundant carriers even at  $T = 0$ , hence high conductivity.

## Fermi-Dirac Distribution

- Probability an energy state at energy  $E$  is occupied by an electron:

$$f(E) = \frac{1}{1 + e^{(E-E_F)/(kT)}}$$

- At  $T = 0$ ,  $f(E)$  is a step function at  $E = E_F$ .
- At  $T > 0$ ,  $f(E)$  becomes smoother around  $E_F$ . States below  $E_F$  are mostly filled; states above  $E_F$  are mostly empty.

## Intrinsic Semiconductors

- Pure semiconductors with no doping.
- Intrinsic carrier concentration ( $n_i$ ):

$$n_i = \sqrt{N_C N_V} e^{-E_G/2kT}$$

- At equilibrium:  $n = p = n_i$ .
- **Intrinsic Fermi Level ( $E_i$ ):** Often lies near mid-gap.

## Effective Density of States

- $N_C$  and  $N_V$  represent the effective density of states in the CB and VB:

$$N_C = 2 \left( \frac{2\pi m_e^* kT}{h^2} \right)^{3/2}, \quad N_V = 2 \left( \frac{2\pi m_h^* kT}{h^2} \right)^{3/2}$$

### Relation $np = n_i^2$

Under thermal equilibrium:

$$np = n_i^2$$

This holds for both intrinsic and extrinsic semiconductors, a key relation for analyzing carrier concentrations in doping scenarios.

# Extrinsic Semiconductors and Doping

## Doping Basics

- **n-type:** Adding donor impurities (e.g., P in Si) introduces extra electrons in the CB.
- **p-type:** Adding acceptor impurities (e.g., B in Si) creates holes in the VB.

## Carrier Concentrations in Doped Semiconductors

If  $N_D$  (donor concentration) and  $N_A$  (acceptor concentration) are introduced:

- **n-type:**  $n \approx N_D$ ,  $p = \frac{n_i^2}{N_D}$
- **p-type:**  $p \approx N_A$ ,  $n = \frac{n_i^2}{N_A}$

## Fermi Level Position

- For n-type:  $E_F$  moves closer to  $E_C$ .

$$E_F - E_C = kT \ln \left( \frac{N_D}{N_C} \right)$$

- For p-type:  $E_F$  moves closer to  $E_V$ .

$$E_V - E_F = kT \ln \left( \frac{N_A}{N_V} \right)$$

## Carrier Transport Mechanisms

### Electrons and Holes as Carriers

- Electrons: Negative charges in conduction band.
- Holes: Positive charges representing the absence of electrons in valence band.
- Under an electric field  $E$ , electrons drift opposite to  $E$ , holes drift in the same direction as  $E$ .

## Drift Current

- Caused by an applied electric field  $\mathcal{E}$ .
- Electron drift current:

$$J_{e,\text{drift}} = ne\mu_e\mathcal{E}$$

- Hole drift current:

$$J_{h,\text{drift}} = pe\mu_h\mathcal{E}$$

- $\mu_e, \mu_h$ : mobilities, influenced by scattering (phonons, impurities, defects).

## Diffusion Current

- Caused by spatial carrier concentration gradients.
- Electrons:

$$J_{e,\text{diff}} = eD_e \frac{dn}{dx}$$

- Holes:

$$J_{h,\text{diff}} = -eD_h \frac{dp}{dx}$$

- Total current:

$$J = J_{\text{drift}} + J_{\text{diff}}$$

## Einstein Relation

- Relates diffusion coefficient  $D$  and mobility  $\mu$ :

$$\frac{D}{\mu} = \frac{kT}{q} = V_T$$

## Continuity Equations

- Relate changes in carrier concentrations to current flow and generation/recombination:

$$\frac{\partial n}{\partial t} = \frac{1}{q} \frac{\partial J_n}{\partial x} - (R - G)$$

$$\frac{\partial p}{\partial t} = -\frac{1}{q} \frac{\partial J_p}{\partial x} - (R - G)$$

- At steady state ( $\partial/\partial t = 0$ ), spatial gradients in current must balance generation and recombination.

# p-n Junction: Formation and Behavior

## Formation of the p-n Junction

- Bringing p-type and n-type materials together causes carriers (electrons from n-side, holes from p-side) to diffuse across the junction.
- Recombination near the junction forms a **depletion region** devoid of free carriers but rich in fixed ionized donors and acceptors.
- This depletion region sets up an electric field and a built-in potential  $V_{bi}$  that counteracts further diffusion.

## Built-In Potential

- The built-in potential arises from the difference in doping on the two sides:

$$V_{bi} = \frac{kT}{q} \ln \left( \frac{N_A N_D}{n_i^2} \right)$$

## Equilibrium Conditions

- At equilibrium, diffusion currents of electrons/holes are balanced by their respective drift currents created by the electric field in the depletion region.
- Net current = 0 under no external bias.

## Junction Under Forward and Reverse Bias

- **Forward Bias (p-side positive w.r.t. n-side):** Lowers the barrier, allows increased injection of minority carriers across the junction, leading to a large forward current.
- **Reverse Bias (p-side negative w.r.t. n-side):** Increases the barrier, reduces carrier injection. Only a small saturation current flows due to minority carriers.

## Minority Carrier Distributions

For forward bias  $V_a$ :

$$\begin{aligned} p(x_n) &= p_{n0} (e^{V_a/V_T} - 1) + p_{n0}, \\ n(x_p) &= n_{p0} (e^{V_a/V_T} - 1) + n_{p0}, \end{aligned}$$

where  $p_{n0}$  and  $n_{p0}$  are equilibrium minority concentrations on the n and p sides, respectively.

In practice, we often write:

$$p(x_n) = p_{n0} e^{V_a/V_T}, \quad n(x_p) = n_{p0} e^{V_a/V_T}$$

assuming  $e^{V_a/V_T} \gg 1$  for forward bias.

## Current-Voltage (I-V) Characteristics

- The diode equation (Shockley equation):

$$I = I_s (e^{V_a/V_T} - 1)$$

- $I_s$ : reverse saturation current, depends on doping and carrier lifetimes:

$$I_s = A \left( \frac{qD_p p_{n0}}{L_p} + \frac{qD_n n_{p0}}{L_n} \right)$$

## Key Length Scales: Diffusion Lengths

- The minority carrier diffusion length  $L = \sqrt{D\tau}$  indicates how far carriers diffuse before recombination.
- In analyzing p-n junctions,  $L_n$  (for electrons in p-side) and  $L_p$  (for holes in n-side) simplify the exponential carrier decay profiles away from the depletion region.

# Summary of Key Equations and Concepts

## Key Equations

- **Fermi-Dirac Distribution:**  $f(E) = \frac{1}{1+e^{(E-E_F)/kT}}$
- **Intrinsic Carrier Concentration:**  $n_i = \sqrt{N_C N_V} e^{-E_G/2kT}$
- **Mass Action Law:**  $np = n_i^2$
- **Drift Current:**  $J_{e,\text{drift}} = ne\mu_e E$ ,  $J_{h,\text{drift}} = pe\mu_h E$
- **Diffusion Current:**  $J_{e,\text{diff}} = eD_e \frac{dn}{dx}$ ,  $J_{h,\text{diff}} = -eD_h \frac{dp}{dx}$
- **Einstein Relation:**  $\frac{D}{\mu} = \frac{kT}{q}$
- **Built-In Potential:**  $V_{bi} = \frac{kT}{q} \ln \left( \frac{N_A N_D}{n_i^2} \right)$
- **Diode Equation:**  $I = I_s (e^{V_a/V_T} - 1)$

## Important Concepts

- **Energy Band Diagrams:** Understand how  $E_F$ ,  $E_C$ , and  $E_V$  shift with doping and bias.
- **Intrinsic vs. Extrinsic:** How doping changes  $E_F$  and carrier concentrations.
- **Carrier Transport:** Distinguish between drift (field-driven) and diffusion (gradient-driven) processes.
- **p-n Junction Behavior:** Depletion region formation, equilibrium conditions, and the impact of forward/reverse bias on carrier injection and current.
- **Recombination and Generation:** Underlying processes that maintain steady-state carrier distributions.

## Visual Aids (Suggested)

- **Energy Band Diagram for a p-n Junction (No Bias):** - Show  $E_C$ ,  $E_V$ , and  $E_F$  on both sides. - Depict the depletion region and bending of bands. - Indicate  $V_{bi}$  as the difference in conduction/valence bands across junction.
- **p-n Junction Under Forward Bias:** - Show reduced barrier height and increased minority carrier injection.
- **Drift vs. Diffusion:** - A simple sketch with concentration gradients (for diffusion) and direction of current under an electric field (for drift).



## Example Problem

**Example:** For a silicon p-n junction with  $N_a = 10^{17} \text{ cm}^{-3}$ ,  $N_d = 2 \times 10^{16} \text{ cm}^{-3}$ , given  $\mu_n, \mu_p, \tau_n, \tau_p, A$ , and  $n_i$ , find the diode current at  $V_a = 0.65 \text{ V}$ .

*Approach:*

1. Calculate  $V_{bi}$  using doping and  $n_i$ .
2. Find minority carrier concentrations  $p_{n0}, n_{p0}$ .
3. Under forward bias,  $p(x_n) = p_{n0}e^{V_a/V_T}$  and  $n(x_p) = n_{p0}e^{V_a/V_T}$ .
4. Determine  $D_n, D_p$  from  $D = \mu V_T$  and  $L_n = \sqrt{D_n \tau_n}, L_p = \sqrt{D_p \tau_p}$ .
5. Compute  $I_s$  and then find  $I$  from the diode equation.

This type of problem consolidates understanding of the diode's I-V behavior, doping effects, and the use of fundamental equations.

## Additional Practice Suggestions

- **True/False Checks:** - At  $T = 0$ , Fermi-Dirac distribution is a perfect step function. (True) -  $np = n_i^2$  holds under thermal equilibrium. (True)
- **Band Diagram Identification:** - Sketch and label diagrams for intrinsic, n-type, and p-type semiconductors.
- **Continuity Equation Problems:** - Given generation/recombination rates, solve for steady-state carrier profiles.

## Final Tips

- Familiarize yourself with the key equations and their physical significance, not just their forms.
- Practice with a variety of problems to be comfortable with applying these concepts under exam conditions.
- Remember the relationships between  $E_F$ , doping, and carrier concentrations.
- Use diagrams and conceptual reasoning to support equation-based solutions.