Leur 3

# **Unsupervised ML of Diffuse Scattering** (X-ray)



#### Golden Needle in Hay Stacks: X-ray Diffuse Scattering



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**Geoff Pleiss** (Cornell, CS)



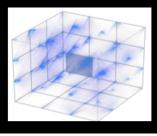
(CHESS)

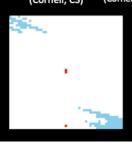


(Cornell, CS)



K. Winberger A. Wilson (Cornell, ORIE)





$$I(\vec{q}) = (\Psi_{out}(\vec{r}))^2 \kappa A^2 \int d\vec{r} \cdot \int d\vec{r} e^{i\vec{q} \cdot \vec{R}} \rho(\vec{r}_s) \rho(\vec{r}_s - \vec{R})$$

$$I_{cont}(\vec{r}_s) = (\Psi_{out}(\vec{r}_s))^2 \kappa A^2 \int d\vec{r}_s \int d\vec{r}_s e^{i\vec{q} \cdot \vec{R}} \rho(\vec{r}_s) \rho(\vec{r}_s - \vec{R})$$

If 
$$\exists$$
 density wave:  $\rho(\vec{r};T) = \rho_0 + \text{Re}[\Delta \hat{a}(T) e^{i\hat{O}\cdot\hat{r}}]$ 

$$I(\vec{O};T) \propto |\Delta_{\hat{a}}(T)|^2$$

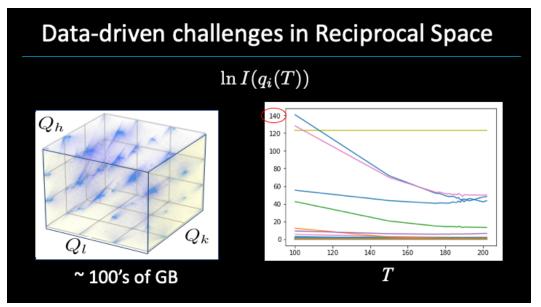
#### What does diffraction measure?

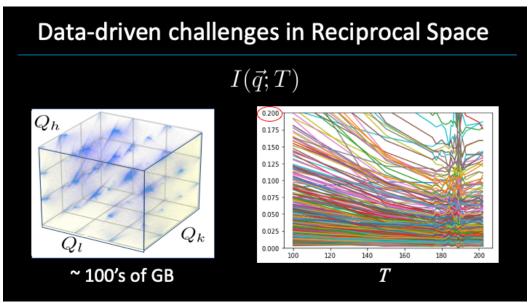
- $I(\vec{q};T) \propto |\widetilde{\rho}(\vec{q};T)|^2$ : Fourier amplitude of density
- Density Wave with wave vector  $ec{Q}$

$$\rho(\vec{r};T) = \rho_0 + \operatorname{Re}\left[\Delta_{\vec{Q}}(T) e^{i\vec{Q}\cdot\vec{r}}\right]$$

•  $I(\vec{Q};T) \propto |\Delta_{\vec{Q}}(T)|^2$ : the Order Parameter

Mallenge of Dealing with Real Data : Noise and high intensity background (atomic positions giving Bragg Peak)





Mission:

Months of inspection =

Discover Models  $\mu_k^*(\tau)$ 's there by Cluster.

- St. Demo: Gaussian Mixture Model for Clustering - Simple code building on Scikit Learn.

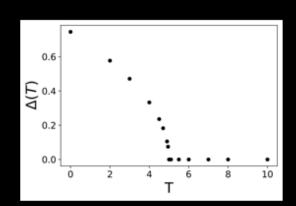
https://github.com/iamc/ML-CM-2019/blob/master/Eun-Ah\_Kim/Summer\_School\_GMMs\_and\_GPs.ipynb

- A First half of the notabook demonstrates how to use the GMM function setup in Scikit learn to cluster using Ganssian Mixture Model.
- O second half of the notebook implements a Ganssian process which is a form of generative modeling literally following the theory in following pages.

# A Traditional Generative Model

Training Data

$$\mathbb{T} \equiv \{T_1, T_2, \cdots, T_m\}$$
  
 $\mathbf{\Delta} \equiv \{\Delta_1, \Delta_2, \cdots, \Delta_m\}$ 



Prediction given testing points  $\mathbb{T}^* \equiv \{T_1^*, T_2^*, \cdots, T_n^*\}$ 

$$\Delta^* =$$

# A Traditional Generative Model

- Ginzburg-Landau Theory:  $\Delta_{\vec{Q}}(T; \{r, s\}) = \sqrt{\frac{r(T-T_c)}{c}}$
- **Training Data**

$$\mathbb{T} \equiv \{T_1, T_2, \cdots, T_m\}$$
 Regression  $\{r^*, s^*\}$   $\Delta \equiv \{\Delta_1, \Delta_2, \cdots, \Delta_m\}$ 

Regression

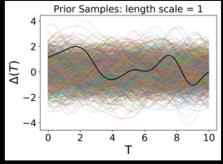


• Prediction given testing points  $\mathbb{T}^* \equiv \{T_1^*, T_2^*, \cdots, T_n^*\}$ 

$$\Delta^* = \{ \Delta(T_i^*; r^*, s^*) \}_{i=1,\dots,n}$$

## **Generative Model: Guassian Process**

- Fit for the function
- Gaussian Probability Distribution over a Function Space



# $\mathbb{T} \equiv \{T_1, T_2, \cdots, T_m\}$ $\Delta \equiv \{\Delta_1, \Delta_2, \cdots, \Delta_m\}$ $\mathbb{GP}$ $\mathbb{Q}$ $\mathbb{$

#### Goal: Find the conditional probability

Train Data 
$$p(\mathbf{y}^*|\mathbf{y}, \mathbf{X}, \mathbf{X}^*) = ?$$
 Test Data 
$$\mathbf{y} = \begin{bmatrix} \Delta_1 \\ \vdots \\ \Delta_m \end{bmatrix} \qquad \mathbf{y}^* = \begin{bmatrix} \Delta(T_1^*) \\ \vdots \\ \Delta(T_{m'}^*) \end{bmatrix}$$
 
$$\mathbf{X} = \begin{bmatrix} T_1 \\ \vdots \\ T_m \end{bmatrix} \qquad \mathbf{X}^* = \begin{bmatrix} T_1^* \\ \vdots \\ T_{m'}^* \end{bmatrix}$$

#### **Gaussian Process**

Assume

Train data  $p(\mathbf{y}, \mathbf{y}^* | \mathbf{X}, \mathbf{X}^*) = \mathcal{N} \left( 0, \begin{bmatrix} K(\mathbf{X}, \mathbf{X}) + \sigma^2 I & K(\mathbf{X}^*, \mathbf{X}) \\ K(\mathbf{Y}, \mathbf{Y}^*) & K(\mathbf{Y}^*, \mathbf{Y}^*) \end{bmatrix} \right)$ 

Test data

Kernel (correlation between inputs)

Noise

Integrate out y

$$p(\mathbf{y}^*|\mathbf{y}, \mathbf{X}, \mathbf{X}^*) = ?$$

#### **Gaussian Process**

Assume

Gaussian Distribution

Gaussian Distribution

Train data 
$$p(\mathbf{y}, \mathbf{y}^* | \mathbf{X}, \mathbf{X}^*) = \mathcal{N}\left(0, \begin{bmatrix} K(\mathbf{X}, \mathbf{X}) + \sigma^2 I & K(\mathbf{X}^*, \mathbf{X}) \\ K(\mathbf{X}, \mathbf{X}^*) & K(\mathbf{X}^*, \mathbf{X}^*) + \sigma^2 I \end{bmatrix}\right)$$

Test data

Kernel (correlation between inputs)

Noise

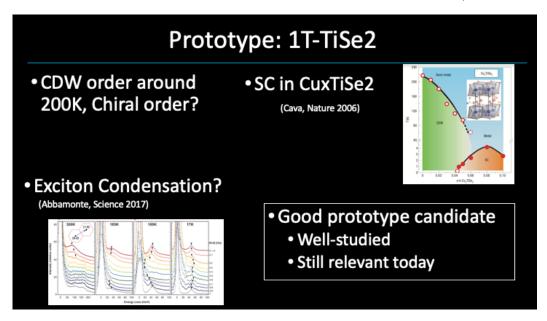
Integrate out y

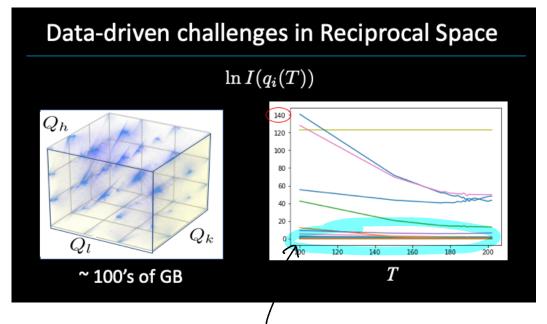
$$p(\mathbf{y}^*|\mathbf{y}, \mathbf{X}, \mathbf{X}^*) = \mathcal{N}(\mu^*, \Sigma^*)$$

$$\mu^* = K(\mathbf{X}^*, \mathbf{X})(K(\mathbf{X}, \mathbf{X}) + \sigma^2 I)^{-1}\mathbf{y}$$

$$\Sigma^* = K(\mathbf{X}^*, \mathbf{X}^*) + \sigma^2 I - K(\mathbf{X}^*, \mathbf{X})(K(\mathbf{X}, \mathbf{X}) + \sigma^2 I)^{-1}K(\mathbf{X}, \mathbf{X}^*)$$

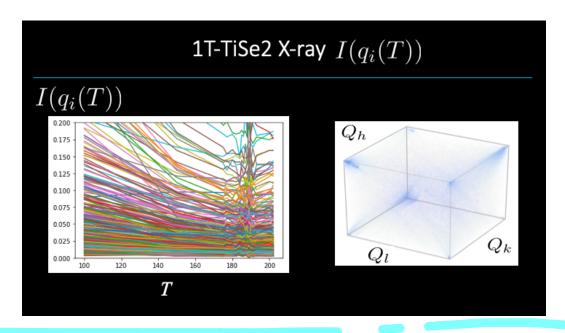
\* Using Gaussian Mixture Model & GP mixture model to "discover" CDW peak locations în X-ray data.



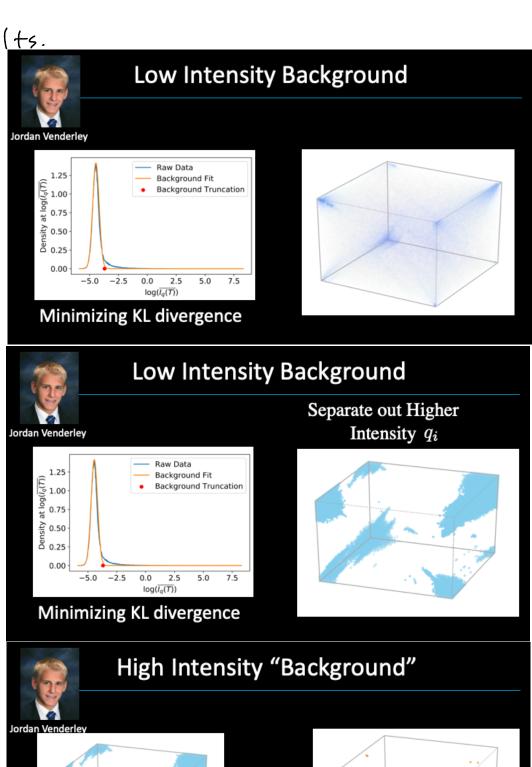


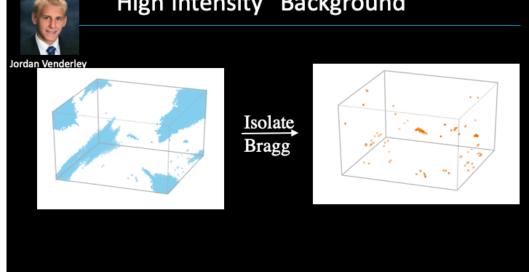
What matters for collective behavior is down in intensity by 10 ~ 106

Just thresh-holding low intensity is not sufficient

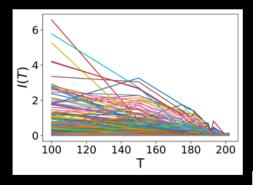


- St. Demo: The full preprocessing, separating Bragg peak from the rest and clustering in action





## **Gaussian Mixture Model Clustering**



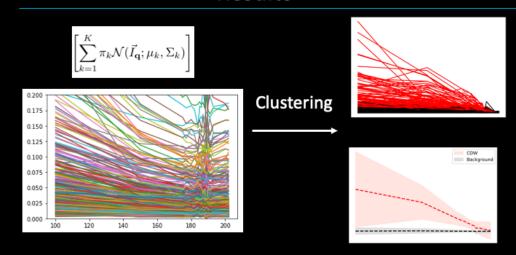
 Hyperparameters to be learned:

$$\{(\pi_1,\mu_1,\Sigma_1),\cdots,(\pi_K,\mu_K,\Sigma_K),\}$$

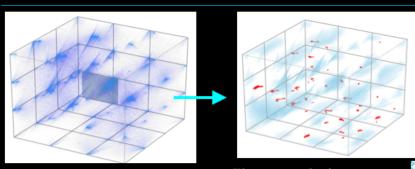
 Maximize the loglikelihood

$$\log p(\mathbf{I}|\mu, \Sigma, \pi) = \sum_{\mathbf{q}} \log \left[ \sum_{k=1}^{K} \pi_k \mathcal{N}(\vec{I}_{\mathbf{q}}; \mu_k, \Sigma_k) \right]$$

#### Results



### Results



TiSe<sub>2</sub> data (150K)

Charge ordering:  $(\pi, \pi, \pi) (\pi, 0, \pi)$