

# Update on Force Implementation in QMCPACK

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## Current Status

- Forces in nonlocal pseudopotential calculations work\* in both open and periodic boundary conditions with VMC.
- We support reasonable wave functions: Slater-Jastrow with one & two-body Jastrows. Einspline and LCAO type single particle orbitals.
- Scales like  $O(N^4)$ .

## Under Development

- DMC forces.
- $O(N^3)$  evaluation for force components.
- User friendliness.
- More supported wave functions: 3-body Jastrow and multi-determinant wave functions.

\*Code exists and is implemented in QMCPACK. However, it's a research code which is currently being validated and requires source tweaks to enable.

## Zero-Variance Zero-Bias Estimator\*\* (VMC)

$$\mathbf{F}_{ZVZB} = \left( -\nabla_I \hat{H} \right) + \frac{(\hat{H} - E_L)(-\nabla_I \Psi_T)}{\Psi_T} + 2(E_L - \langle E_L \rangle) \frac{(-\nabla_I \Psi_T)}{\Psi_T} \quad (1)$$

$$= -\nabla_I E_L + 2(E_L - \langle E_L \rangle) \frac{(-\nabla_I \Psi_T)}{\Psi_T} \quad (2)$$

### Why?

- Above expression is derived from  $-\nabla_I \langle E_L \rangle$ , instead of Hellman-Feynman theorem.
- In the limit that  $\Psi_T \rightarrow \Phi_0$  and  $\nabla_I \Psi_T \rightarrow \nabla_I \Phi_0$ , we achieve exact answer with zero variance!
- In practice, variance reduction and reduction of error.

\*\*Assaraf & Caffarel, JCP, 119(20), 10536-10552, (2003)

## Hamiltonian with Non-Local Pseudopotentials

$$\hat{H} = \hat{T} + \hat{V}_{e-e} + \hat{V}_{e-I}^L + \hat{V}_{e-I}^{NL} \quad (3)$$

Only explicit ion dependence is in the “local” electron-ion term  $\hat{V}_{e-I}^L$  and the nonlocal term  $\hat{V}_{e-I}^{NL}$

- $\nabla_I \hat{V}_{e-I}^L$  is done for open and periodic boundary conditions. Ewald or optimized breakup used for PBC's.
- Nonlocal term  $\hat{V}_{e-I}^{NL}$  done for VMC and DMC within the locality approximation.  
**No T-moves.**

Badinsky & Needs, PRE, 76(3), 036707, (2007)

	QMC	HF	$\Delta$	Z
$F_{0,x}^I$	0.02472(3)	0.02464	0.00009	2.882
$F_{0,y}^I$	0.01943(3)	0.01936	0.00007	2.384
$F_{0,z}^I$	0.00773(3)	0.00779	-0.00006	-2.069
$F_{0,x}^{nI}$	-0.01382(5)	-0.01384	0.00003	0.471
$F_{0,y}^{nI}$	-0.00927(5)	-0.00935	0.00008	1.552
$F_{0,z}^{nI}$	-0.00028(5)	-0.00030	-0.00002	0.373

**Table:** Perturbed BCC unit cell in PBC. 8 Na atoms, BFD 1 electron pseudopotential with  $s$ ,  $p$ , and  $d$  channels.

*Validated against Quantum Espresso (PBC) and GAMESS (open BC).*

## ZVZB Force Estimator

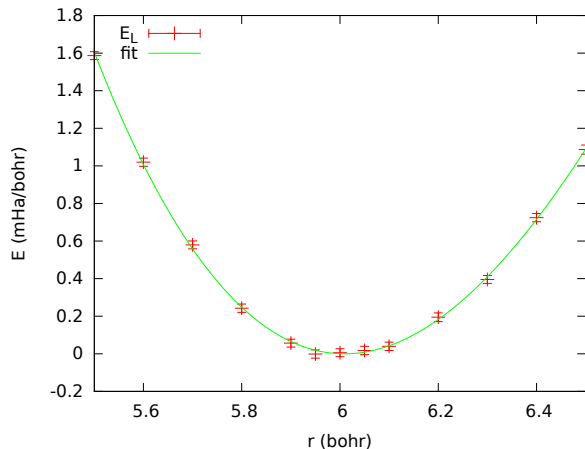
$$\mathbf{F}_{ZVZB} = \underbrace{\left(-\nabla_I \hat{H}\right) + \frac{(\hat{H} - E_L)(-\nabla_I \Psi_T)}{\Psi_T}}_{-\nabla_I E_L} + 2(E_L - \langle E_L \rangle) \frac{(-\nabla_I \Psi_T)}{\Psi_T} \quad (4)$$

We need the following terms

$$\frac{\nabla_i^2(\nabla_I \Psi_T)}{\Psi_T}, \quad \frac{\hat{V}_{NL}(\nabla_I \Psi_T)}{\Psi_T}, \quad \frac{\nabla_I \Psi_T}{\Psi_T}$$

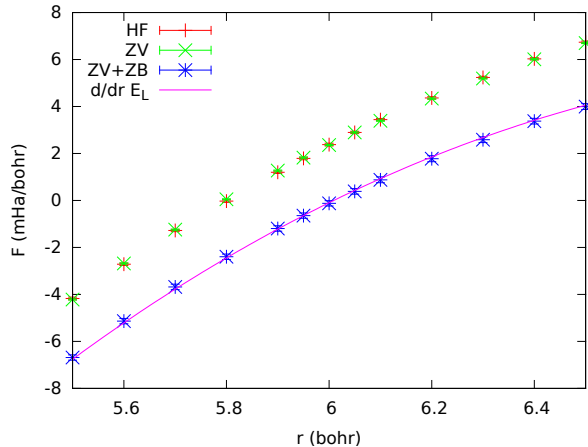
- Above terms implemented Slater-Jastrow wave functions.
- Support einspline and LCAO orbitals, one and two body Jastrows.
- Forces from determinants are slow  $O(N^4)$ . No 3-body Jastrow.

- Consider a sodium dimer in periodic boundary conditions.
- BFD pseudopotential with 1 electron valence.
- Cubic box with  $L = 20a_0$ .
- Considered Jastrow only (1-bdy & 2-bdy) wave function, optimized at  $r = 6.05a_0$  and frozen.





# Force Comparison For Jastrow Wave Function



- The full ZVZB estimator matches differentiation of the local energy to within error bars.
- Large bias which the ZB term corrects.
- Error bar on HF+ZV term is 2-3x lower than the bare HF term.

## VMC with Guiding Function

$$\langle \hat{O}_L \rangle = \frac{\int d\mathbf{r} |\Psi_T|^2 O_L(\mathbf{r})}{\int d\mathbf{r} |\Psi_T|^2} = \frac{\int d\mathbf{r} |\Psi_G|^2 (w^{VMC}(\mathbf{r}) O_L(\mathbf{r}))}{\int d\mathbf{r} |\Psi_G|^2 w^{VMC}(\mathbf{r})} = \frac{\langle w^{vmc} \hat{O}_L \rangle}{\langle w^{vmc} \rangle} \quad (5)$$

$$w^{VMC}(\mathbf{r}) = \left| \frac{\Psi_T(\mathbf{r})}{\Psi_G(\mathbf{r})} \right|^2 \quad (6)$$

### Why?

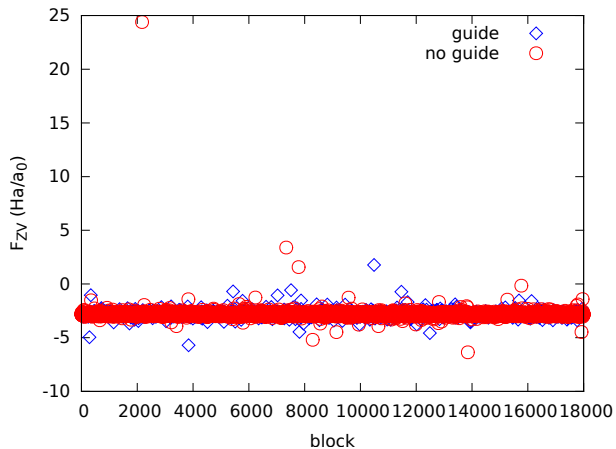
- ZVZB estimators diverge like  $1/d^2$  with distance to node  $d$ .
- We can cancel this divergence in the estimator  $w\hat{O}_L$  by use of a guiding function.
- Finite variance estimate of  $w\hat{O}_L$ !

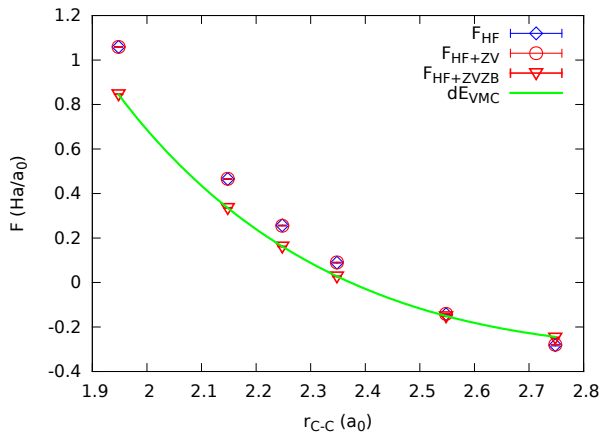
## Guiding Function

$$G(\mathbf{R}) = \frac{1}{\|M^{-1}\|_F} \quad (7)$$

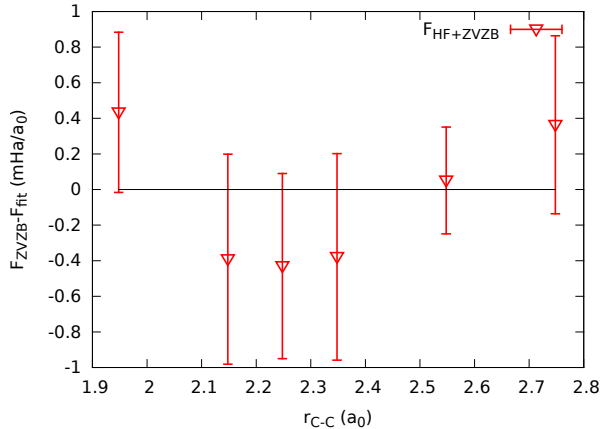
$$\psi_G(\mathbf{R}) = \begin{cases} |\psi_T(\mathbf{R})| & G \geq \epsilon \\ |\psi_T(\mathbf{R})| \left[ \frac{G(\mathbf{R})}{\epsilon} \right]^{\frac{G(\mathbf{R})}{\epsilon} - 1} & G \leq \epsilon \end{cases} \quad (8)$$

- Above guiding function from Attaccalite & Sorella, PRL, 100(11), 114501, (2008).
- Carbon dimer with BFD pseudopotential (4e valence). Open BC's.
- Slater-Jastrow with optimized 1 and 2 body terms. LCAO orbitals from Hartree-Fock (ccVTZ).
- $\epsilon = 1 \times 10^{-4}$





# C<sub>2</sub> Force Comparison for Slater-Jastrow Wave Function



- Reference is a 6th order polynomial fit to energy.
- ZVZB forces agree very well with total energy differentiation.
- Consistently show 2-3x reduction in **error bar** from HF term alone.

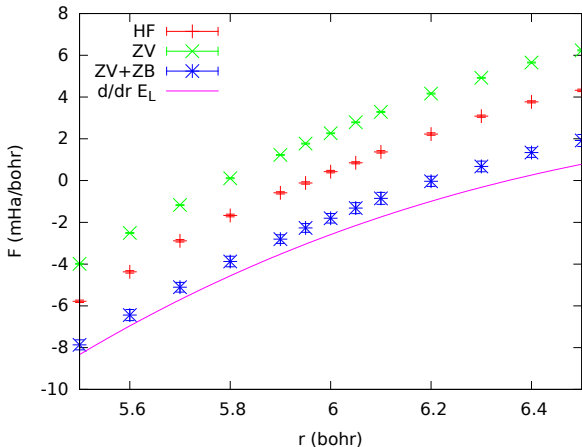
$$\frac{d}{dc}E_{FN} = \left\langle \frac{\langle \mathbf{R} | \frac{d}{dc} \hat{H} | \psi_T \rangle}{\psi_T(\mathbf{R})} + \frac{\langle \mathbf{R} | \hat{H} - E_L | \frac{d}{dc} \psi_T \rangle}{\psi_T(\mathbf{R})} + \underbrace{\left( \frac{\frac{d}{dc} \Phi_0(\mathbf{R})}{\Phi_0(\mathbf{R})} + \frac{\frac{d}{dc} \psi_T(\mathbf{R})}{\psi_T(\mathbf{R})} \right)}_{\approx \partial \psi_T / \psi_T} [E_L - \langle E_L \rangle] \right\rangle_{FN} \quad (9)$$

- Depending how good derivative approximation is, the estimator should be usable.
- There are ways to reduce/eliminate this approximation based on extrapolation and forward-walking. We are looking into these.\*
- Guiding function/post-processing required. In progress.

\*Moroni, Sacconi, Filippi, JCTC, 10(11), 4823-4829 (2014).



# Na<sub>2</sub> in PBC's: DMC Forces



- ZV term reduces variance within DMC. Doesn't average out to zero any more!
- ZB term corrects most of the discrepancy between the HF force and differentiation of DMC total energy.
- However, the error introduced by the approximation  $\nabla\Phi_{FN} \approx \nabla\Psi_T$  is measurable.

## VMC with Guiding Function

$$\langle \hat{O}_L \rangle = \frac{\int d\mathbf{r} \Phi_{FN} |\Psi_T| O_L(\mathbf{r})}{\int d\mathbf{r} \Phi_{FN} |\Psi_T|} = \frac{\int d\mathbf{r} \Phi_{FN} |\Psi_G| (w^{DMC}(\mathbf{r}) O_L(\mathbf{r}))}{\int d\mathbf{r} \Phi_{FN} |\Psi_G| w^{DMC}(\mathbf{r})} = \frac{\langle w^{DMC} \hat{O}_L \rangle}{\langle w^{DMC} \rangle} \quad (10)$$

$$w^{DMC}(\mathbf{r}) = \left| \frac{\Psi_T(\mathbf{r})}{\Psi_G(\mathbf{r})} \right| \quad (11)$$

### Advantages

- Still fixes infinite-variance problem of ZVZB estimators.
- Branching must be done with  $E_L = |\Psi_G|^{-1} \hat{H} |\Psi_G|$ .

## Hamiltonian Block

```
<hamiltonian ...>  
...  
  <estimator name="ac" type="Force" mode="acforce" source="ion0"  
target="e"/>  
</hamiltonian>
```

## QMC Block

```
<qmc ...>  
...  
  <parameter name="useGuide"> yes </parameter>  
</qmc>
```

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\*Assaraf, Moroni, Filippi, JCP, 144, 194105 (2016)

## **Generic QMC, ZVZB estimators, and Guiding Functions**

1. Assaraf & Caffarel, JCP, 119(20), 10536-10552, (2003)
2. Attaccalite & Sorella, PRL, 100(11), 114501, (2008)
3. Moroni, Sacconi, Filippi, JCTC, 10(11), 4823-4829 (2014)
4. Assaraf, Moroni, Filippi, JCP, 144, 194105 (2016)

## **Nonlocal Pseudopotentials in QMC**

1. Badinsky & Needs, PRE, 76(3), 036707, (2007)