



[REDACTED]

Dear Investor,

[REDACTED]

With reference to [REDACTED] circular [REDACTED]/INSP/46704 dated [REDACTED] and [REDACTED]/INSP/55039 dated [REDACTED], [REDACTED], Trading members are required to upload clients securities balance on [REDACTED] basis.

Further, with reference to [REDACTED] circular [REDACTED]/CMPL/49348 dated [REDACTED] and [REDACTED] circular [REDACTED]/INSP/49691 dated [REDACTED], Members are required to upload disaggregated collateral information (segment-wise and asset type wise) at the client level to their Clearing corporations on [REDACTED] basis.

Below are the details pertaining to your fund and securities balance as on [REDACTED] provided by GROWW INVEST TECH PRIVATE LIMITED. along with the details of securities ISIN wise is attached.

| End of [REDACTED] Fund Balances | | End of [REDACTED] securities balance | | |
|---------------------------------|------------------------------|--------------------------------------|------------------------------|-----------------------------|
| Unique Client Code | Net across [REDACTED]s (Rs.) | Total number of ISINs | Total quantity of securities | Total number of Commodities |
| [REDACTED] | 1015.89 | 0 | 0 | 0 |

| Unique Client Code | ISIN | Name of the Securities | Quantity of securities ISIN wise |
|--------------------|------|------------------------|----------------------------------|
|--------------------|------|------------------------|----------------------------------|

Please note that negative number in the file represents debit balance/balance payable by you. **Also, the funds and securities balances provided are what is maintained with your broker and does not include balance in your personal bank account and demat account.**

You are advised to compare the funds, securities and commodities balances received from [REDACTED]

on a [REDACTED] basis with the balances in the [REDACTED] statement of accounts for funds, securities and commodities sent by the stockbroker for the respective date.

In case you find any discrepancy in the balances, we advise you to take up the matter immediately with your Broker. You may contact customer service at [REDACTED] or [REDACTED].

In case of non-resolution of discrepancy by the broker, you may intimate the same to the [REDACTED] at [REDACTED] or [REDACTED] or register an online complaint at [REDACTED]

The data is being provided to the clients on an "as is" and "what-is" basis as provided by the trading member. The [REDACTED] shall not be liable for any delays, errors, omissions, commissions, inaccuracies in rendering the data. In no event shall the [REDACTED] be liable for any damages, including without limitation direct or indirect, special, incidental, or consequential damages, losses or expenses arising in connection with the data provided by the [REDACTED] through this facility.

You are requested note that in the event of trading member being declared as defaulter/expelled, all transactions executed on the exchange trading platform within [REDACTED] prior to the effective date of disablement of the trading member or after the latest monthly/[REDACTED] settlement date, if any, in respect of the claimant whichever is later shall be considered eligible for compensation from [REDACTED] subject to Rules, Byelaws, Regulations, guidelines etc. of the [REDACTED] and [REDACTED] circulars and subject to the appropriate norms laid down by the relevant [REDACTED] for details, you may refer the policy hosted on the website

[REDACTED]

Do's & Dont's

1. Please deal with [REDACTED] registered intermediaries only. Ensure that you have complete knowledge of the products and the risks involved before investing.
2. Offering fixed/guaranteed/regular returns/ capital protection schemes in stock markets whether written or oral is not allowed. Brokers or any of their representatives or Authorised Persons cannot enter into any loan agreement to pay interest on the funds/securities offered by you.
3. Do not fall prey to emails, SMSs and online videos luring you to trade in stock/ securities / schemes promising high returns/profits.
4. Ensure to fill all the required details in the 'KYC' document by yourself and receive duly signed copy of your 'KYC' documents from your broker.
5. Trading in derivatives involves high risk and accordingly investors should understand the product well before trading in such segments/products.
6. Opt for electronic (e-mail) contract notes/financial statements only if you are computer savvy and have your own e-mail account.
7. Do not share your login ID, password, [REDACTED], TPIN with any person including employees of the broker or Authorised Person under any circumstances.
8. Ensure that all your trades are executed as per your instructions.
9. Ensure you receive the payout of funds and securities within [REDACTED] of settlement. In case you have chosen running account of funds, ensure your account is settled on [REDACTED] of every

month / quarter as opted for.

10. Dealing in cash is prohibited. Do not place any securities with the broker or associate of the broker or authorized person of the broker. Do not transfer securities as margin/ collateral to the broker and such securities only must be pledged from the client demat account.

11. Opt for Demat Debit and Pledge Instruction (DDPI) only for transfer of securities for deliveries/settlement obligations, initiating pledging of securities for margins, and for mutual fund/open offer transactions on [REDACTED] platform. DDPI/PoA are optional and should not be insisted on for opening the account.

12. Always keep your mobile number and email id updated with your broker. Don't ignore any SMSs / e-mails with regards to contract notes/trades/funds and securities balances sent by broker/[REDACTED]. Verify the details of the same and report discrepancy, if any, to your broker in writing immediately.

13. Please verify the bank account details of the broker from the website of broker/[REDACTED] before transferring funds to the broker.

14. Claims for funds or securities given to the broker under any arrangement/ agreement of indicative return or claims for funds, without transactions on the [REDACTED] will not be accepted by the [REDACTED] in case of default by your broker.

15. For more details on Investor awareness, please visit-

[REDACTED]

16. For more information related to investments in securities market, please visit [REDACTED]
Website - [REDACTED] and [REDACTED] Mobile App.