

Analysis & Design of Algorithms (CS302)

Gomory-Hu Tree Algorithm

Problem Description

Given a graph $G(V, E)$, a k -cut is a partition of the vertices V into k sets S_1, S_2, \dots, S_k . Any edge $(u,v) \in E$ with $u \in S_i$ and $v \in S_j$ ($i \neq j$) is said to be crossing the cut and is a cut edge. The *capacity* of a k -cut is the sum of weights of all the cut edges.

Minimum K-Cut Problem: Given a graph $G(V, E)$, find the minimum weight set of edges $E' \subseteq E$ such that removing E' from G leaves k connected components i.e. find the k -cut with the minimum capacity.

Algorithm

MINIMUM K-CUT WITH GOMORY-HU TREE ($G(V, E)$, $w: E \rightarrow \mathbb{R}^+$, k)

1. Compute the Gomory-Hu Tree of G , call it T
2. Pick the $k - 1$ lightest edges in the T , call them e_1, e_2, \dots, e_{k-1}
3. Return the union of the corresponding cuts, C , in G ,

$$C = C_{e_1} \cup C_{e_2} \cup \dots \cup C_{e_{k-1}}$$

Gomory-Hu Tree

Definition: Given an undirected weighted graph $G(V, E, c)$, a cut-tree $T(V, F, w)$ is a tree with edge-set F and capacities w that fulfills the following properties:

1. Each Edge in T corresponds to min-cut between two adjacent vertices in a tree.
2. Equivalent Flow Tree: For any pair of vertices $s, t \in V$, maximum s - t flow in G is equal to the maximum s - t flow in T .
3. Cut Property: A minimum s - t cut in T is also a minimum s - t cut in G .

Gomory-Hu Tree Construction Algorithm

The algorithm maintains a partition of V , (sets S_1, \dots, S_t), and a spanning tree T on the vertex set $\{S_1, \dots, S_t\}$.

Initially, there exists only the set $S_1 = V$.

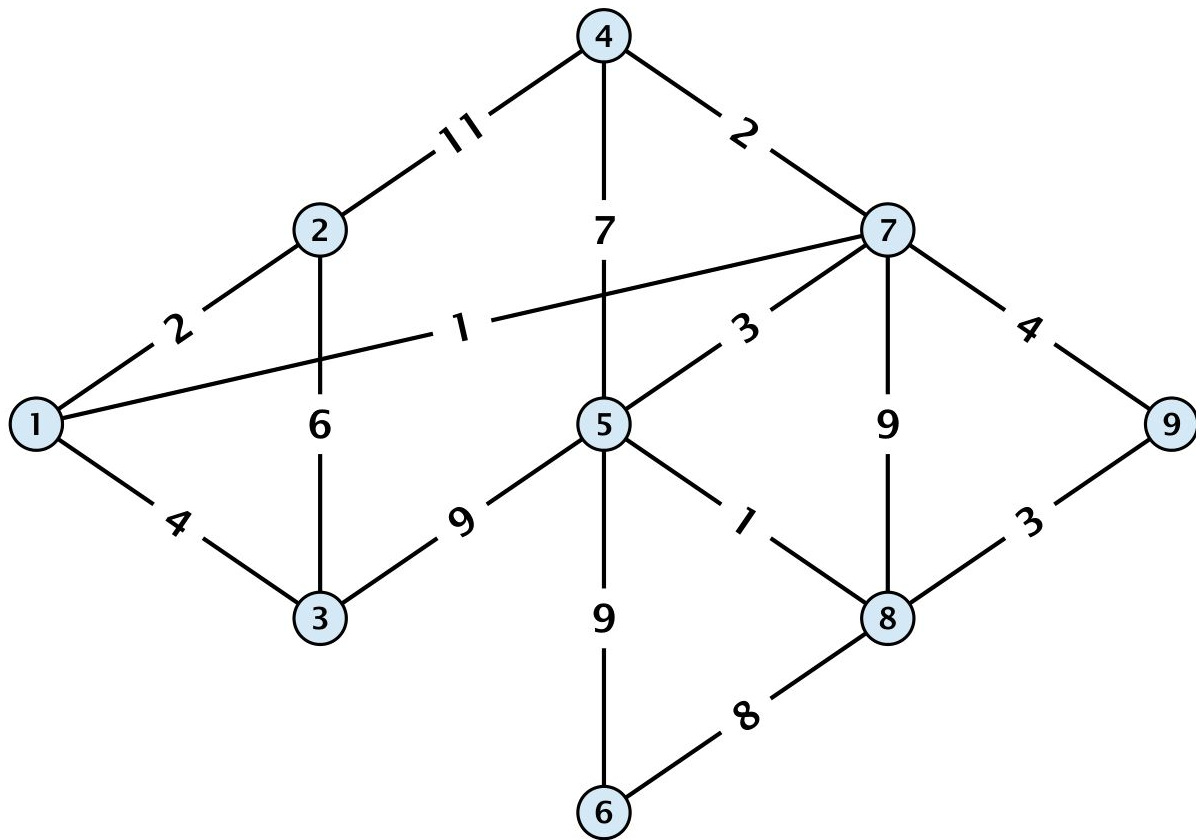
Then the algorithm performs $n - 1$ split-operations:

- In each such split-operation it chooses a set S_i with $|S_i| \geq 2$ and splits this set into two non-empty parts X and Y .
- S_i is then removed from T and replaced by X and Y .
- X and Y are connected by an edge, and the edges that before the split were incident to S_i are attached to either X or Y .

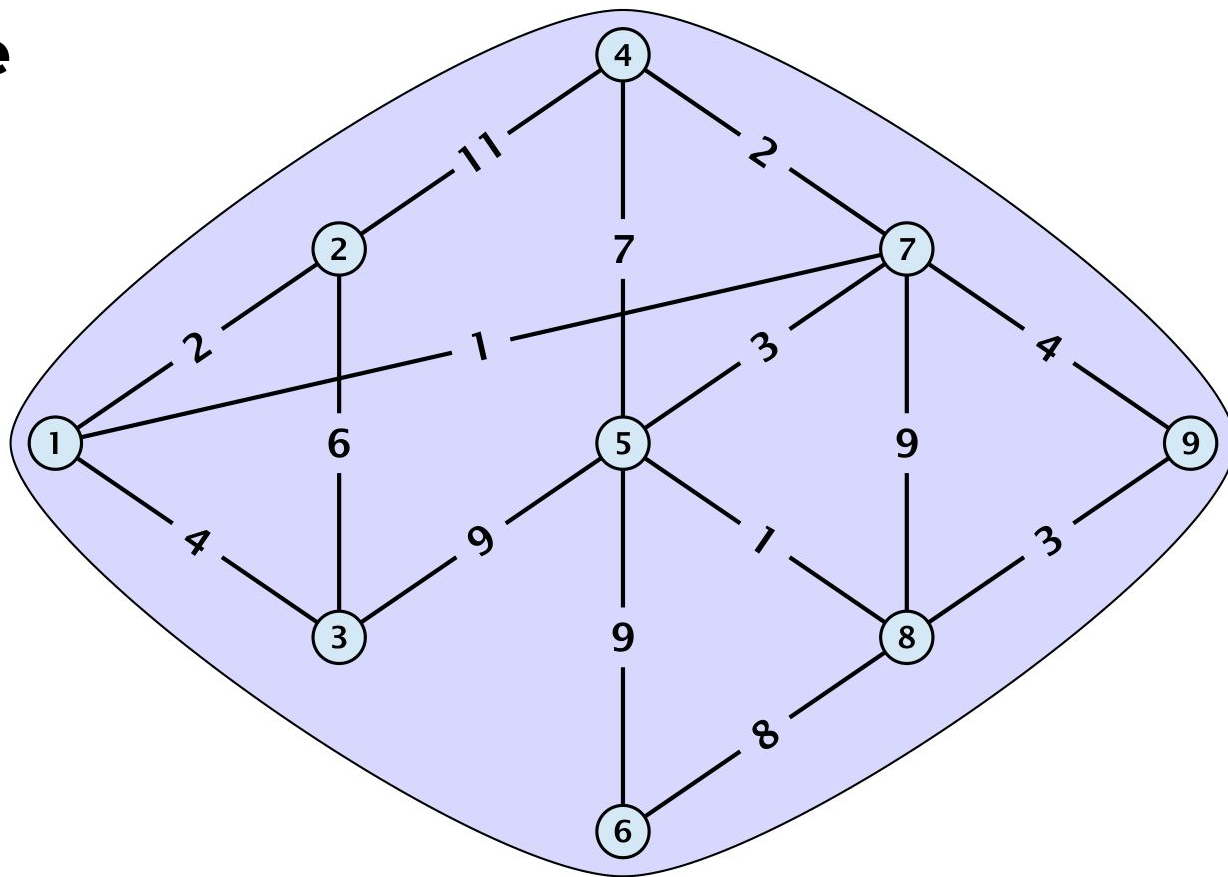
Details of the Split-operation

- Select S_i that contains at least two nodes a and b .
- Compute the connected components of the forest obtained from the current tree T after deleting S_i . Each of these components corresponds to a set of vertices from V .
- Consider the graph H obtained from G by contracting these connected components into single nodes.
- Compute a minimum a - b cut in H . Let A and B denote the two sides of this cut.
- Split S_i in T into two sets/nodes $X = S_i \cap A$ and $Y = S_i \cap B$ and add edge $\{X, Y\}$ with capacity equal to that of the cut.
- Replace an edge $\{S_i, S_j\}$ with $\{X, S_j\}$ if $S_j \in A$ and by $\{Y, S_j\}$ if $S_j \in B$.

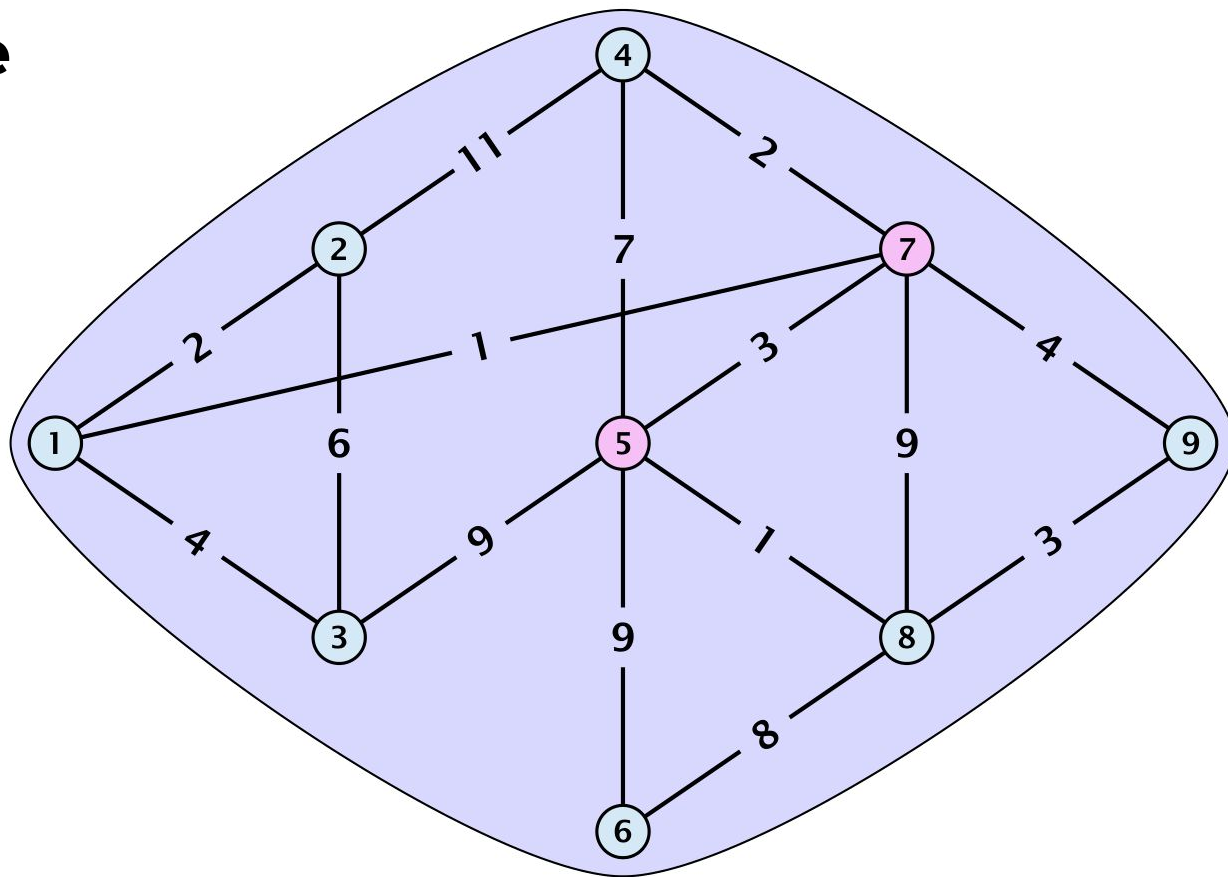
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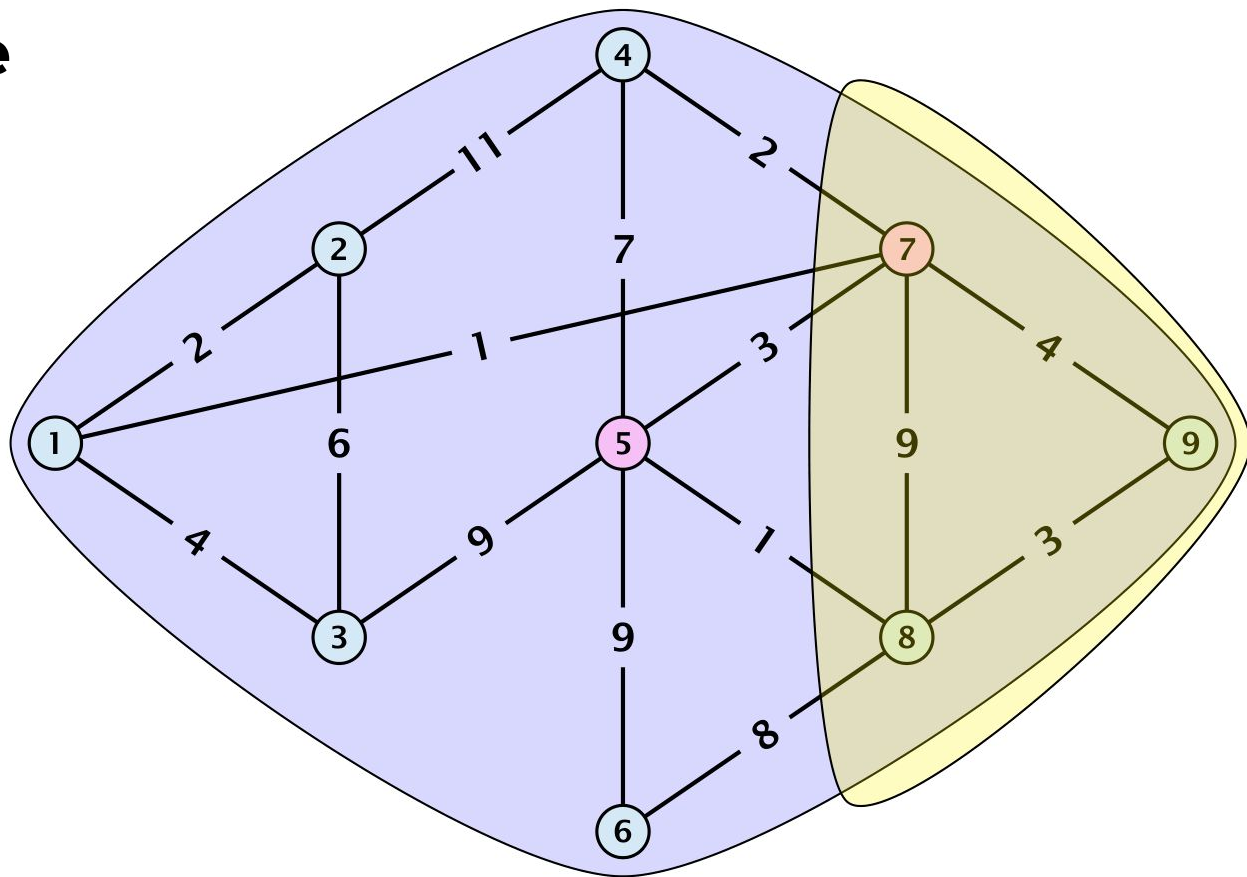
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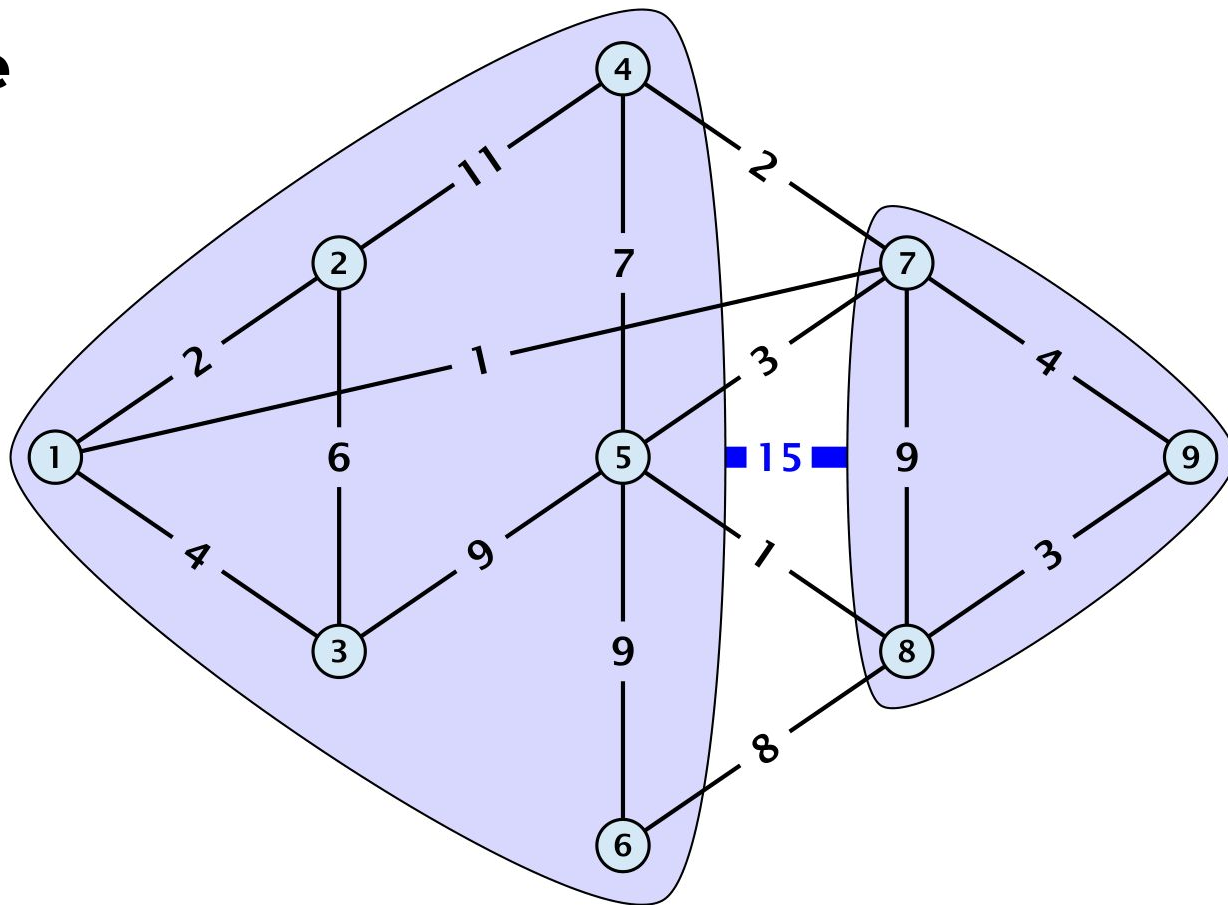
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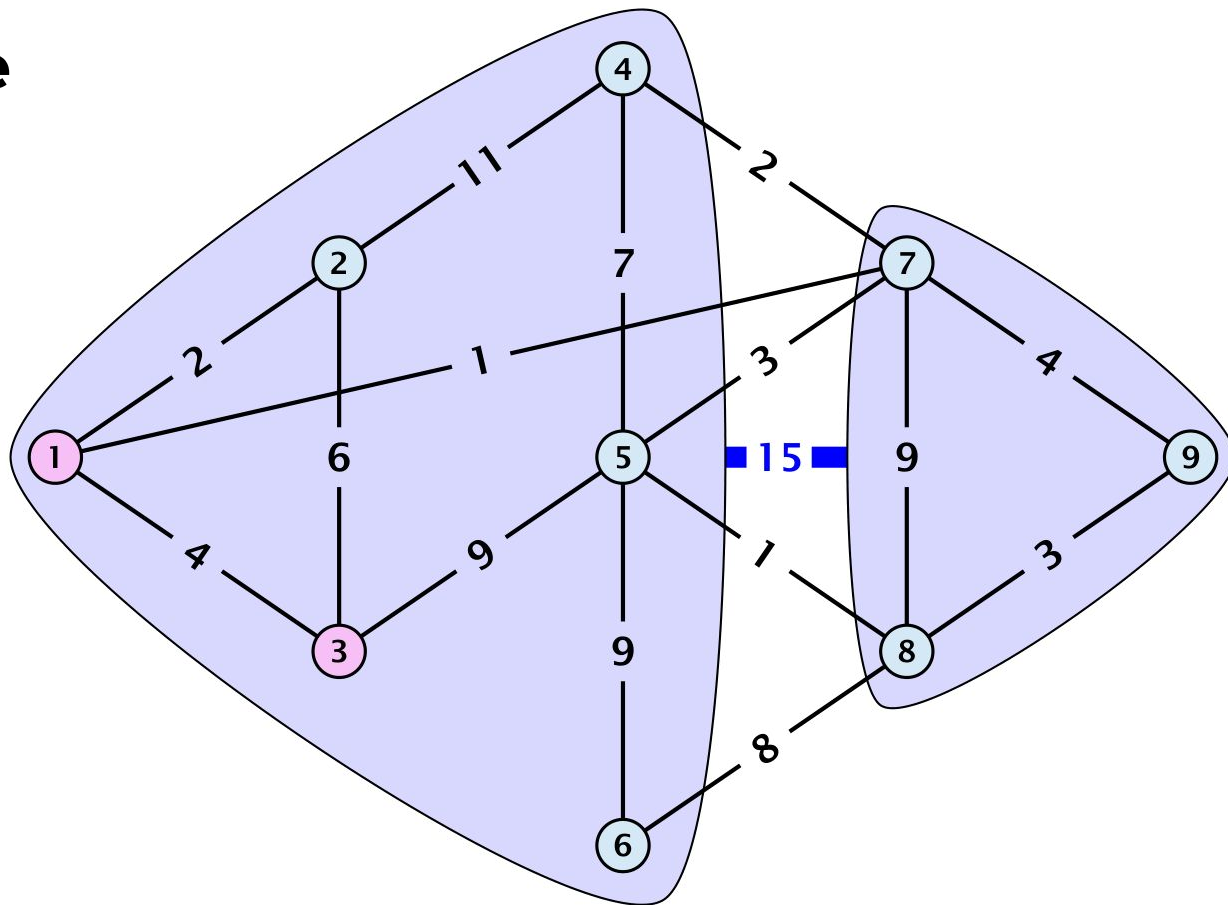
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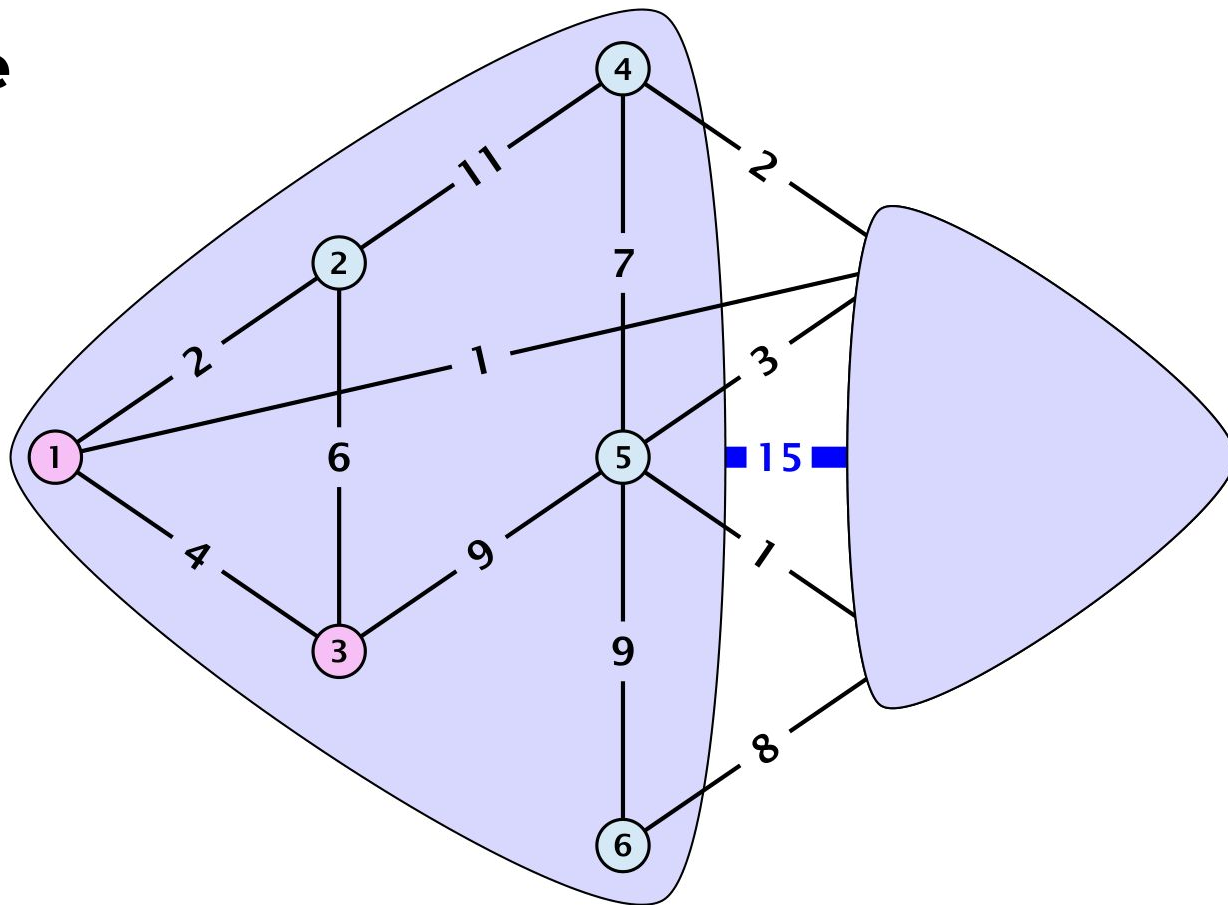
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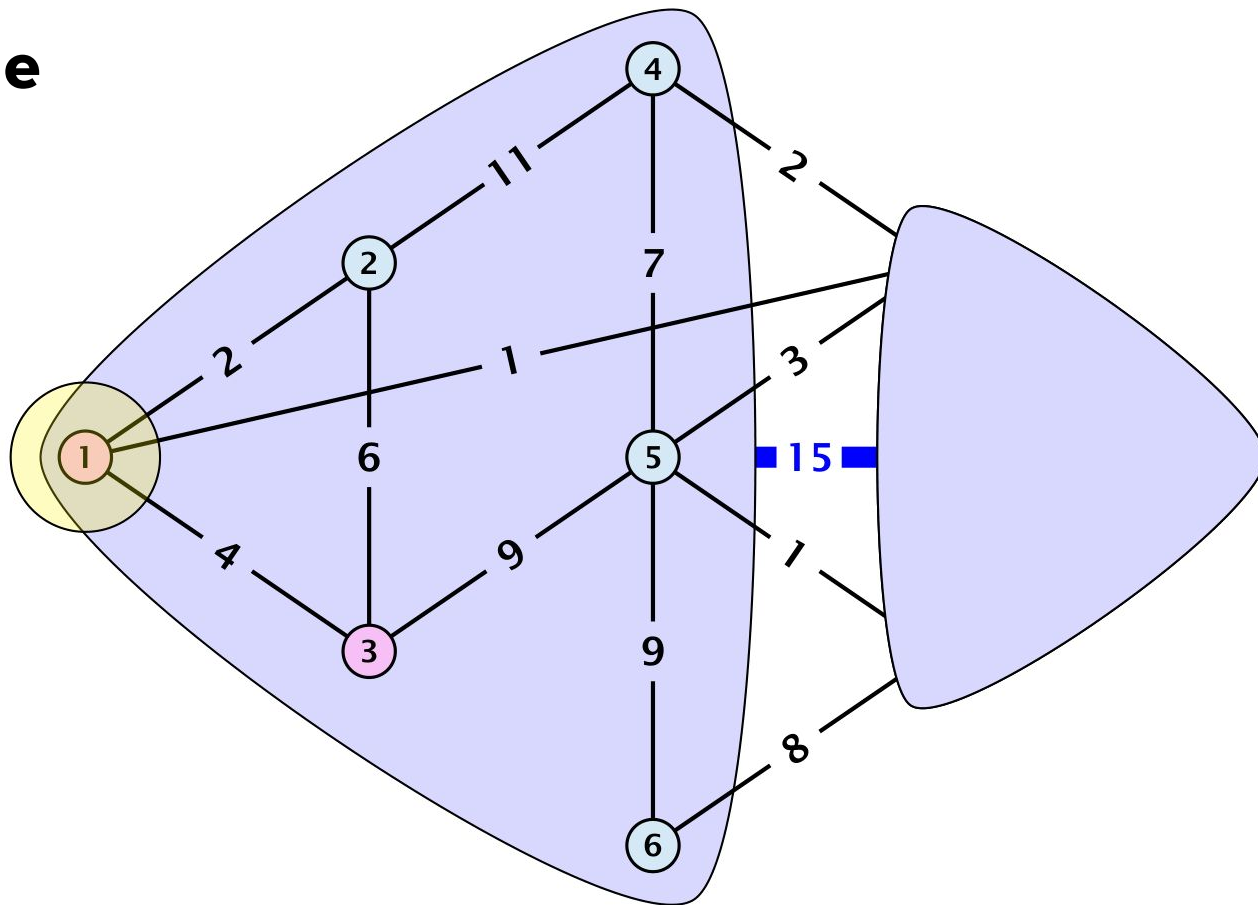
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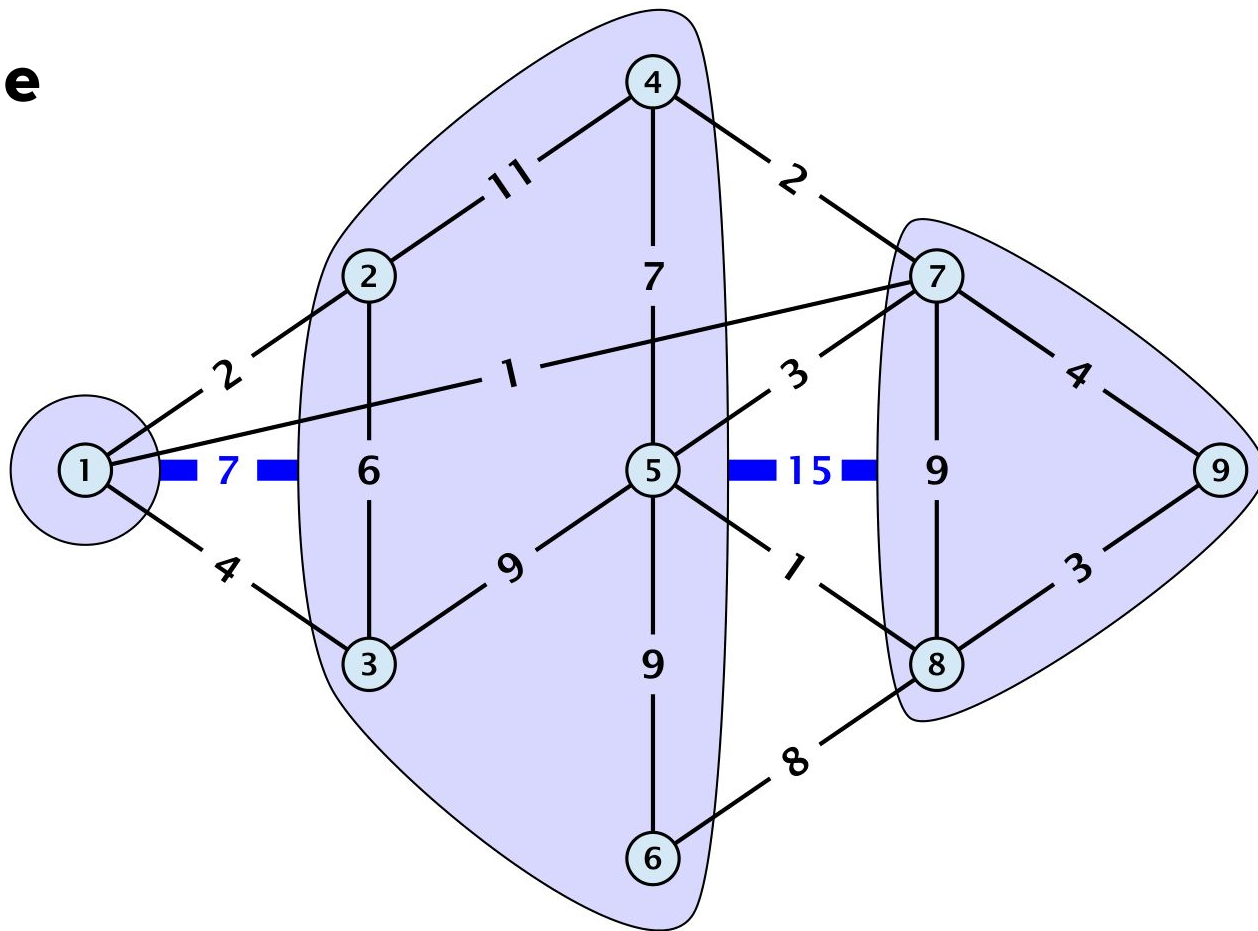
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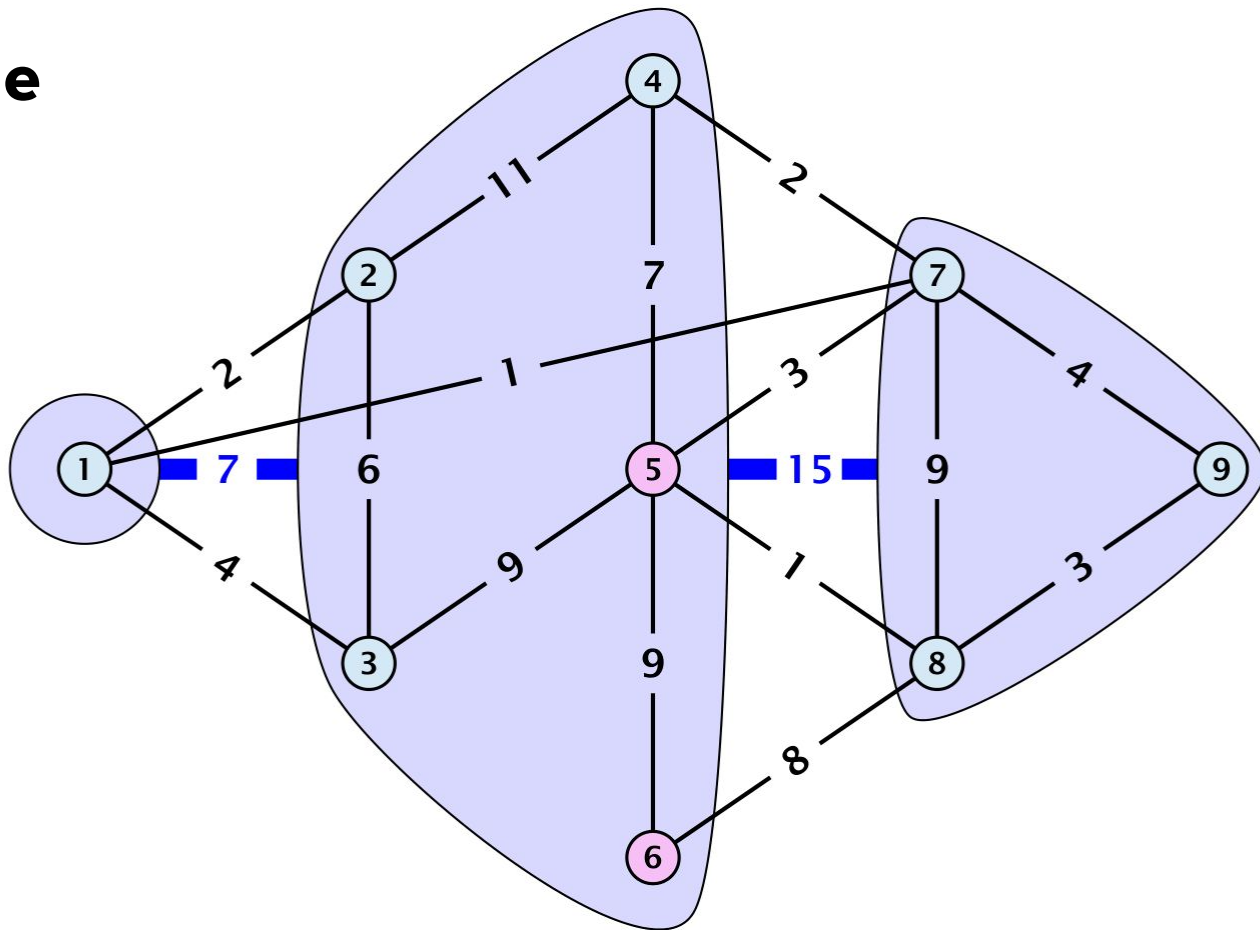
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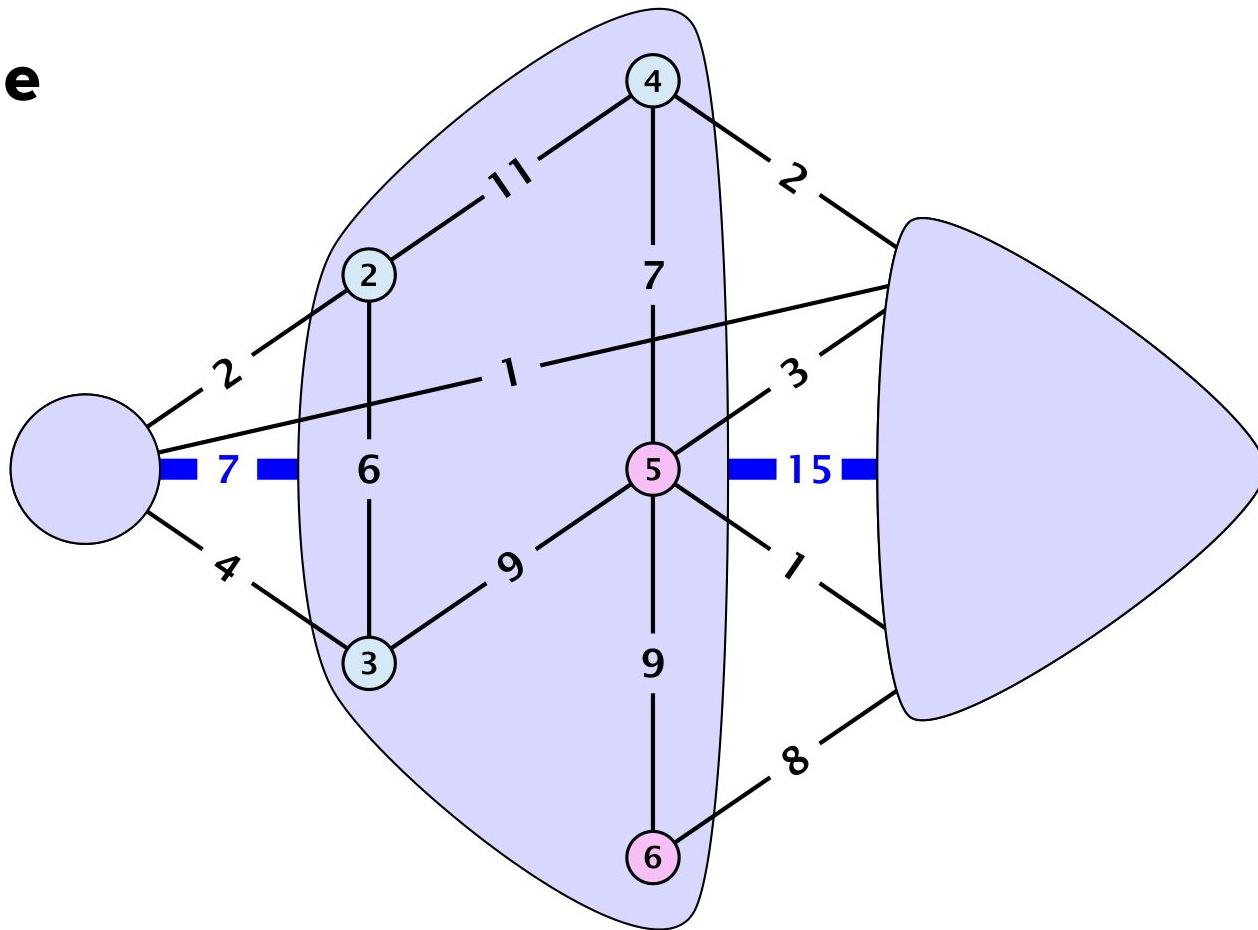
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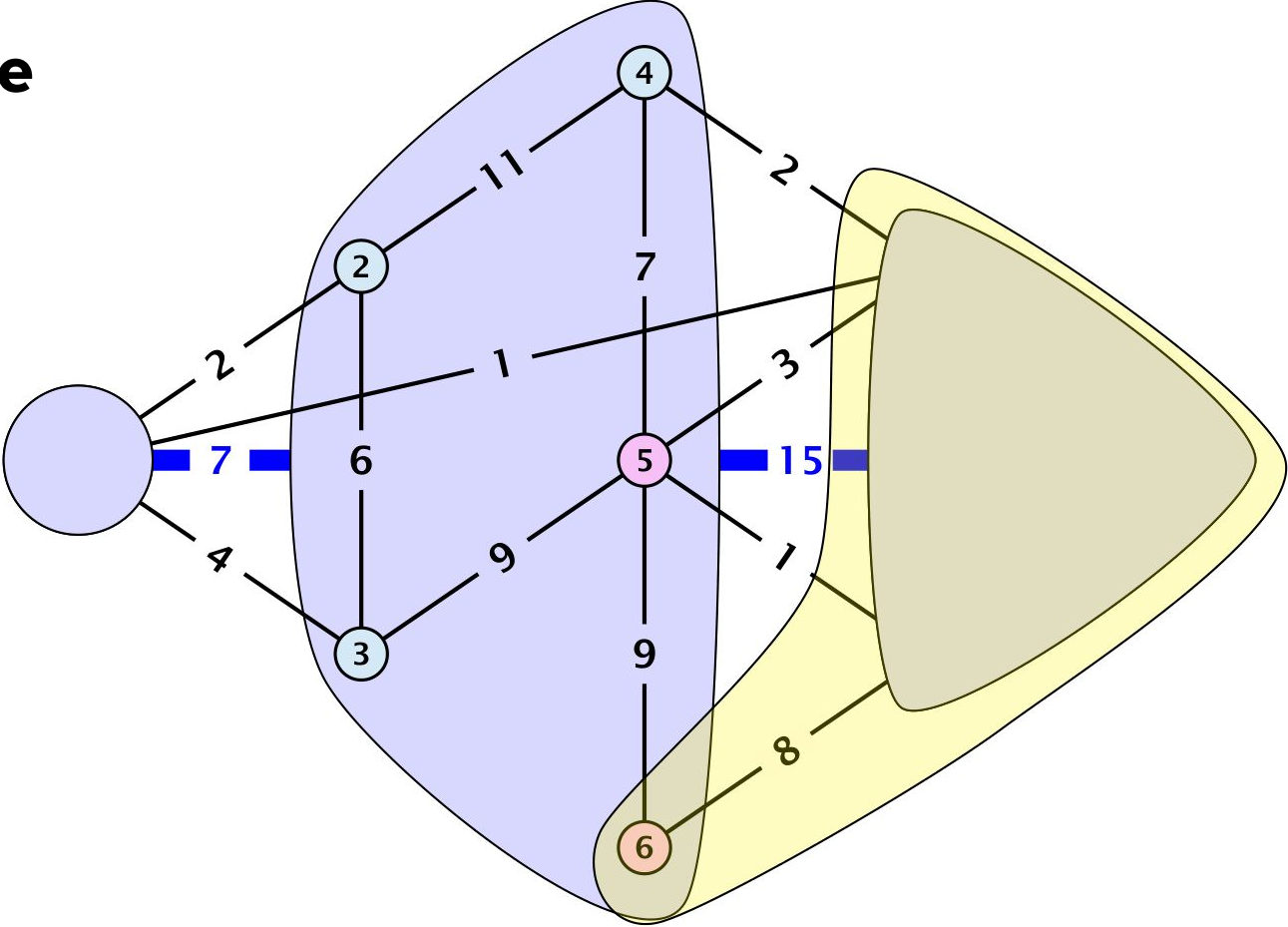
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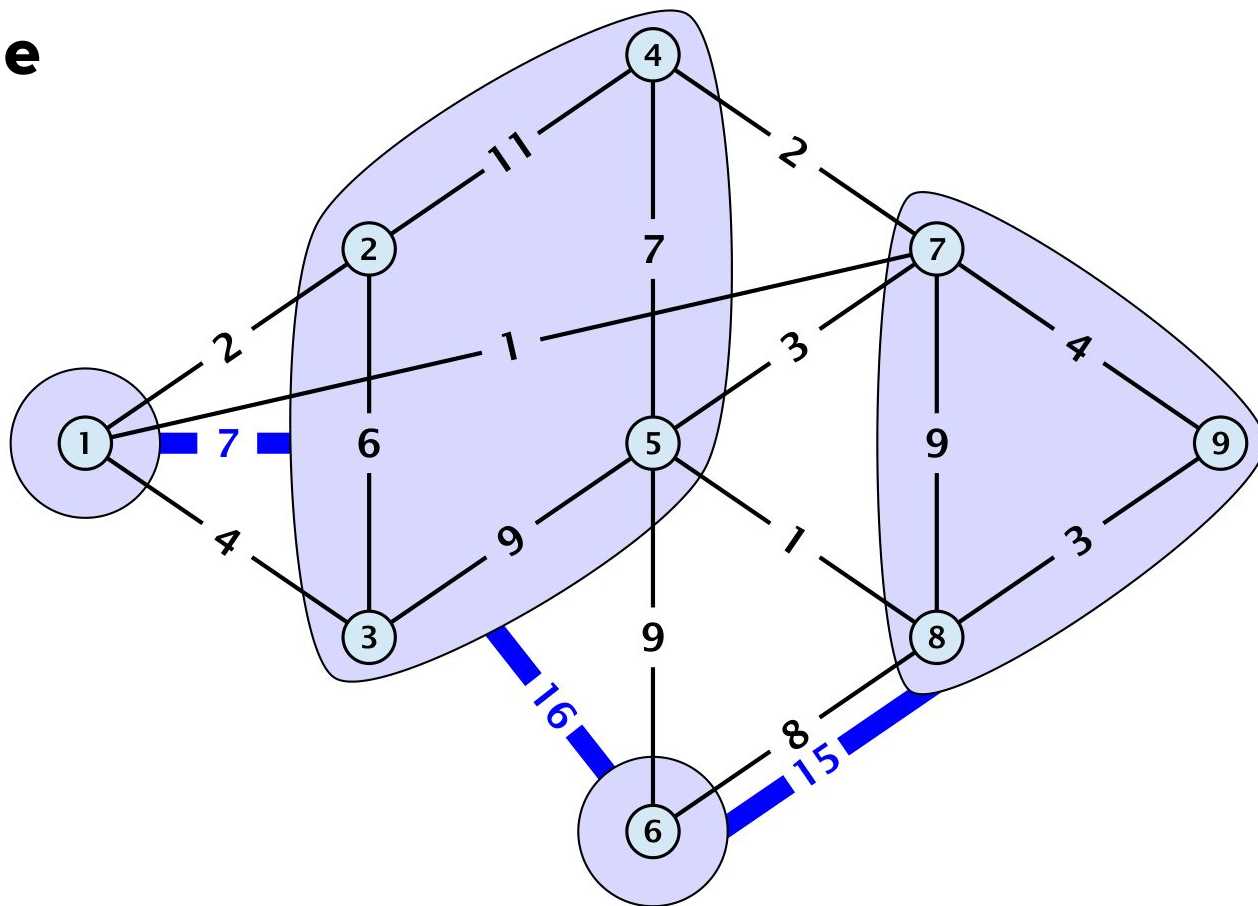
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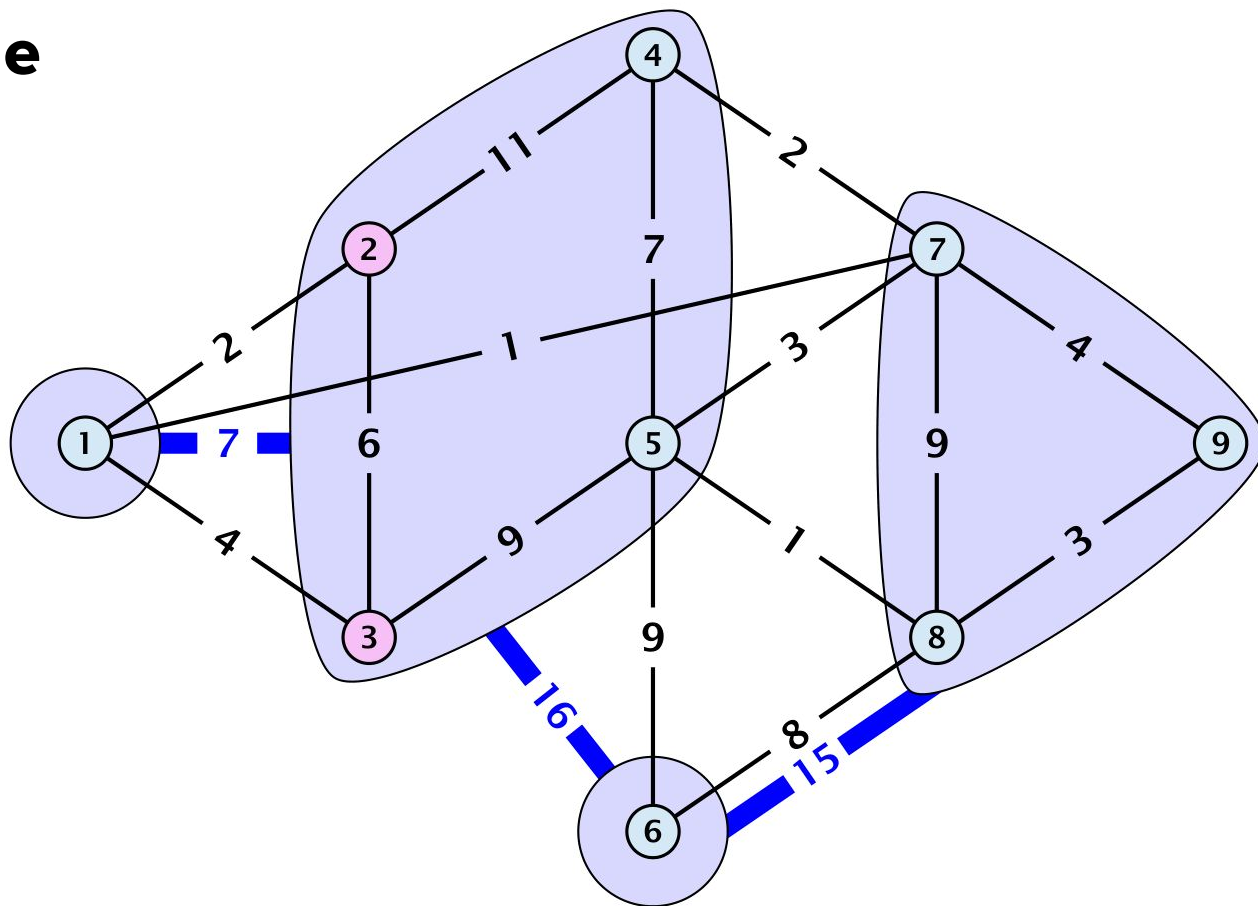
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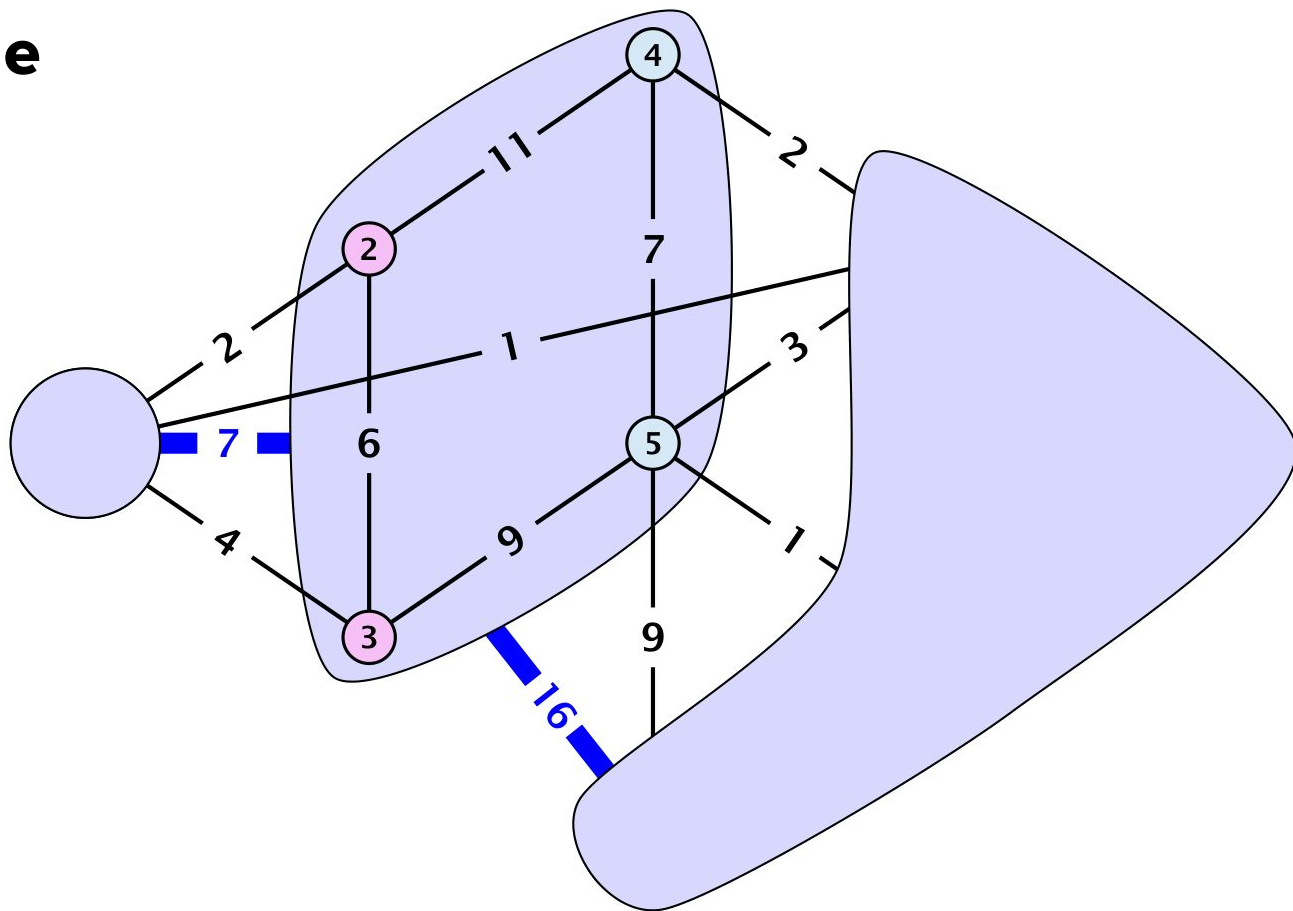
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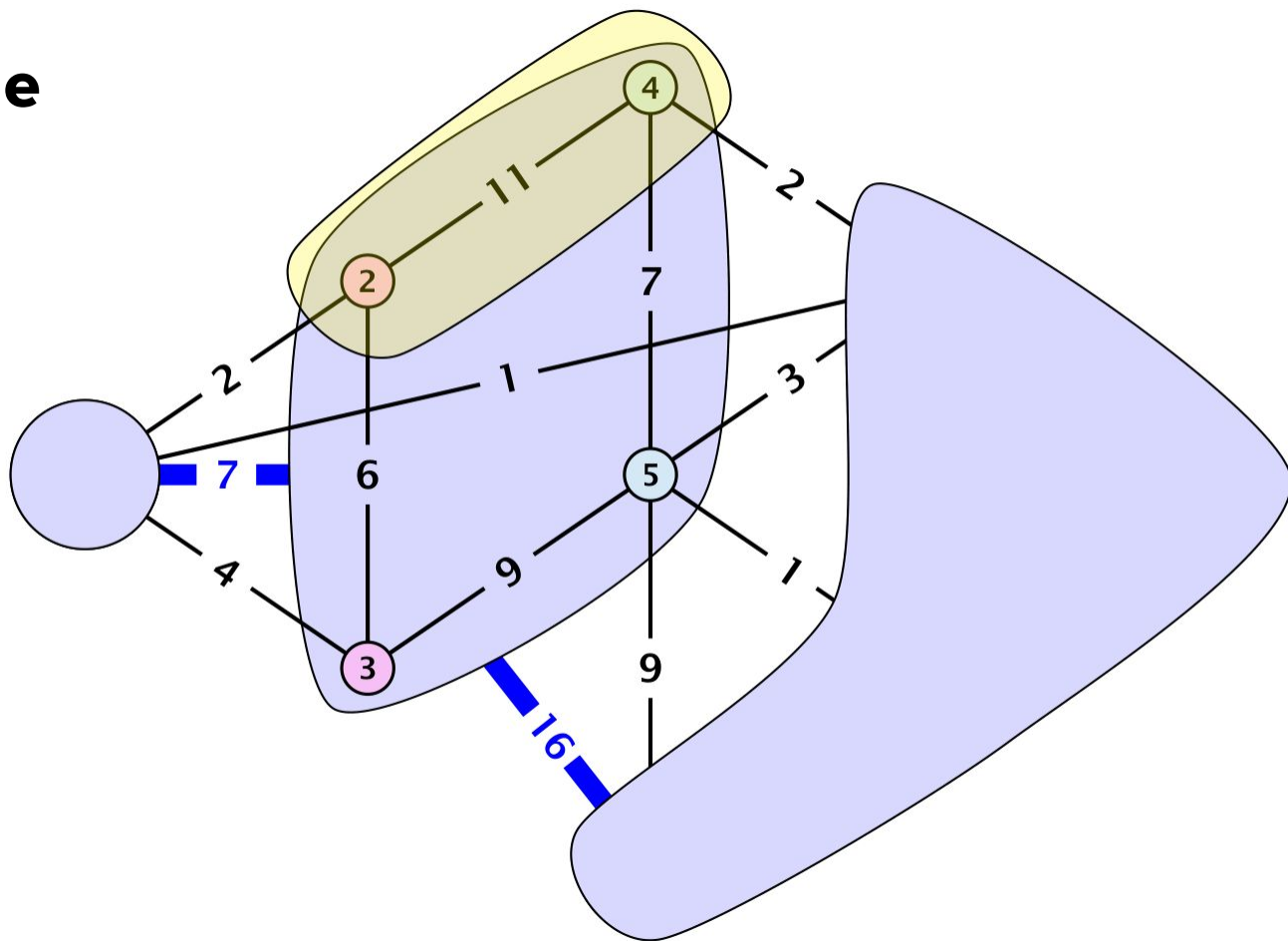
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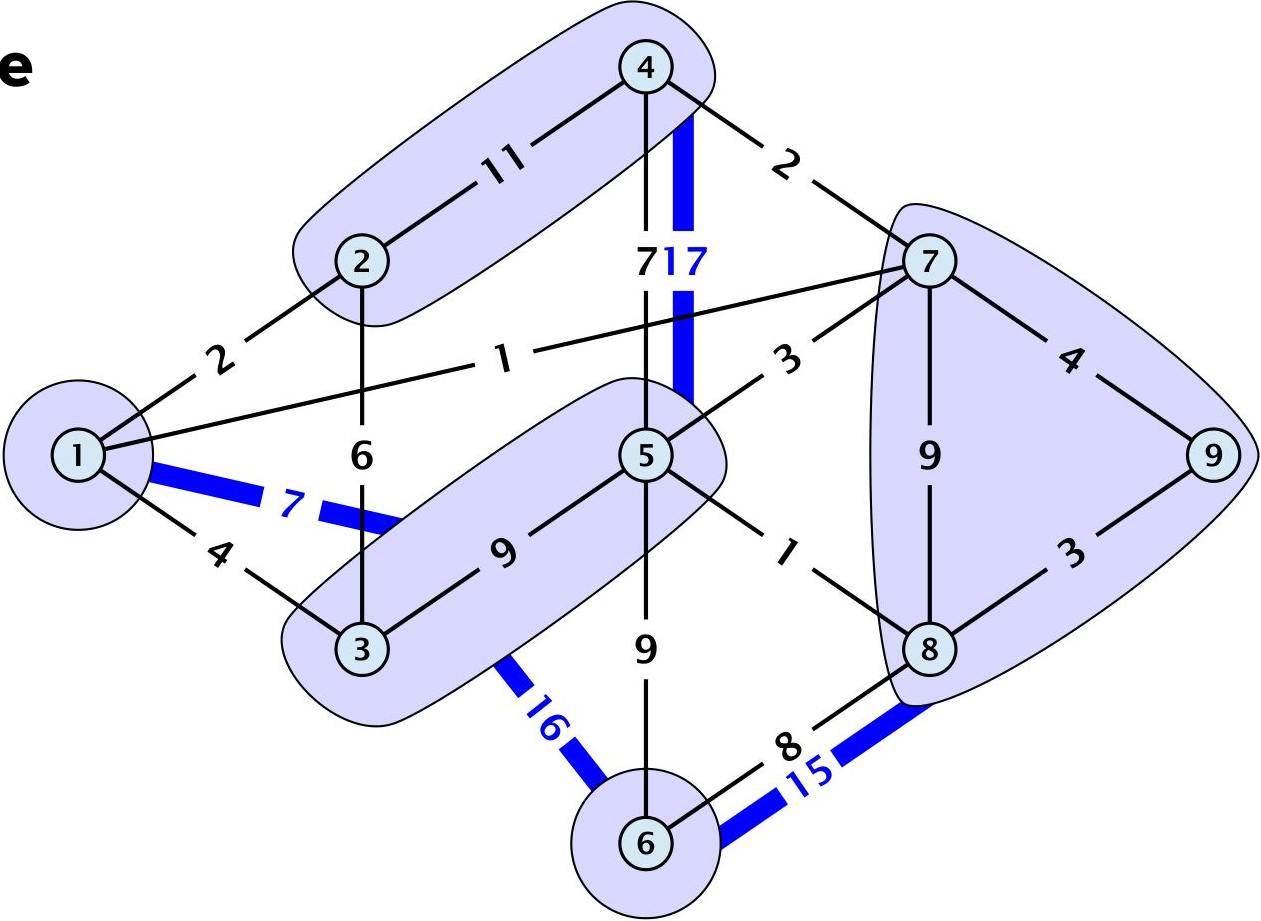
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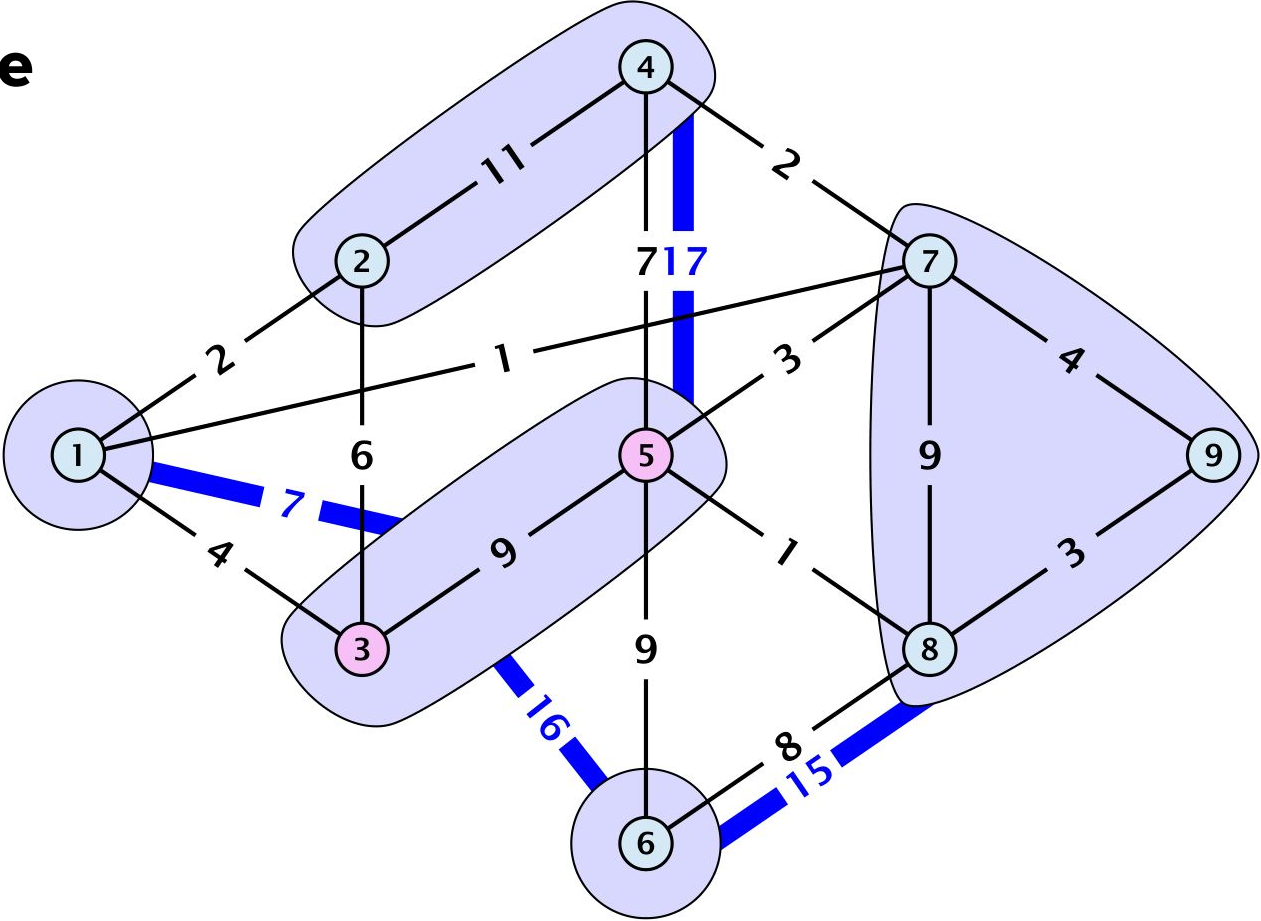
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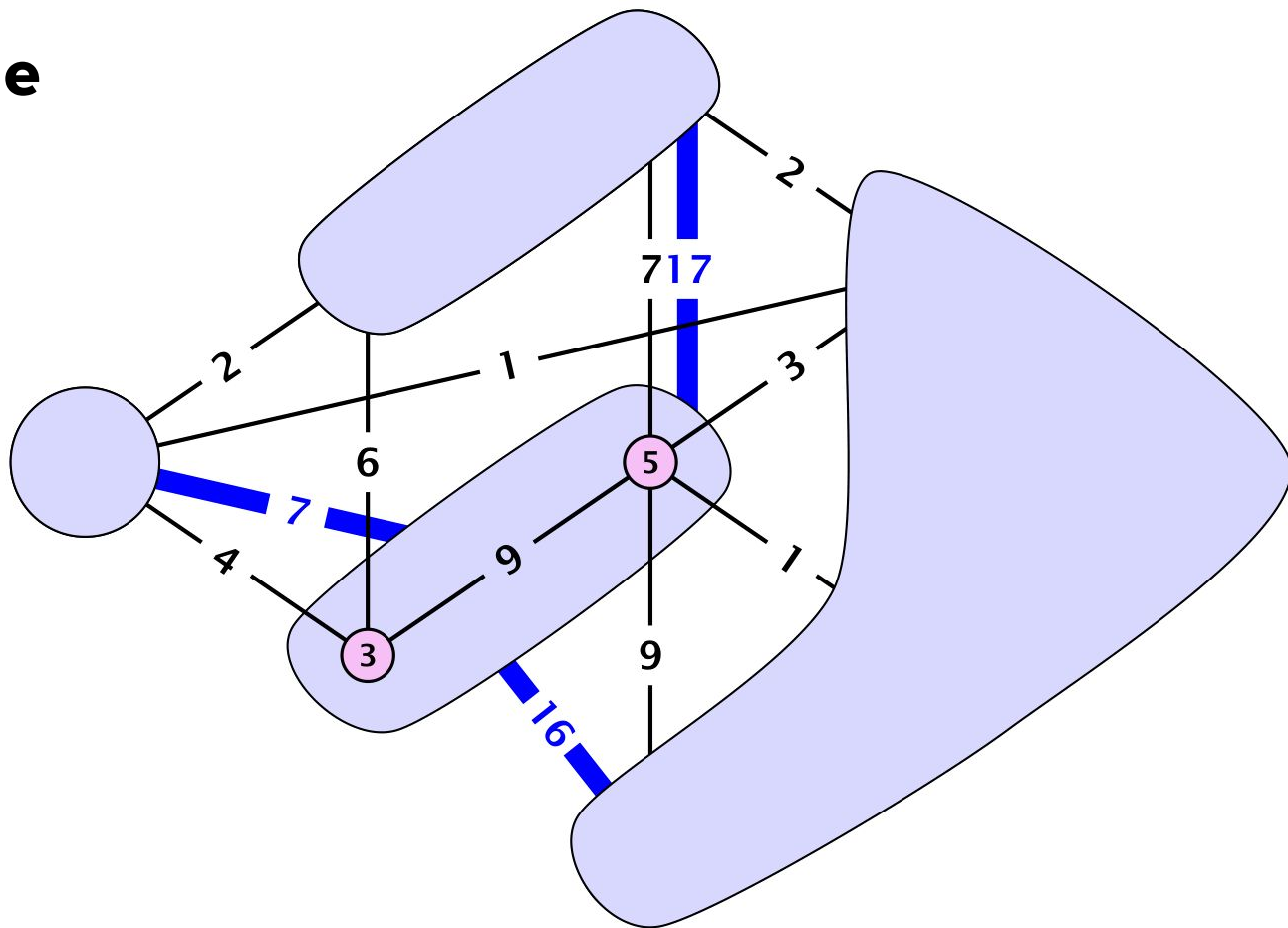
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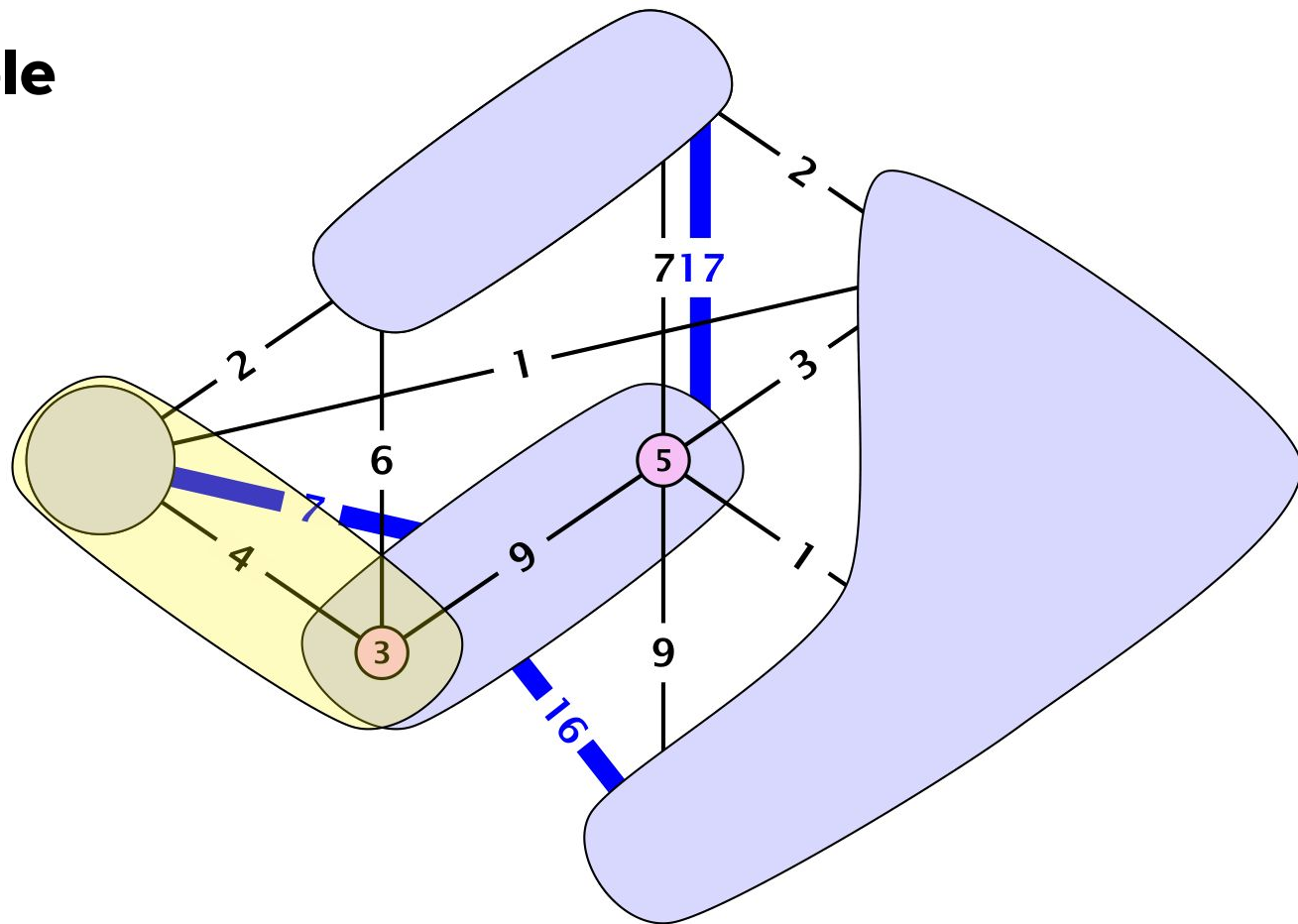
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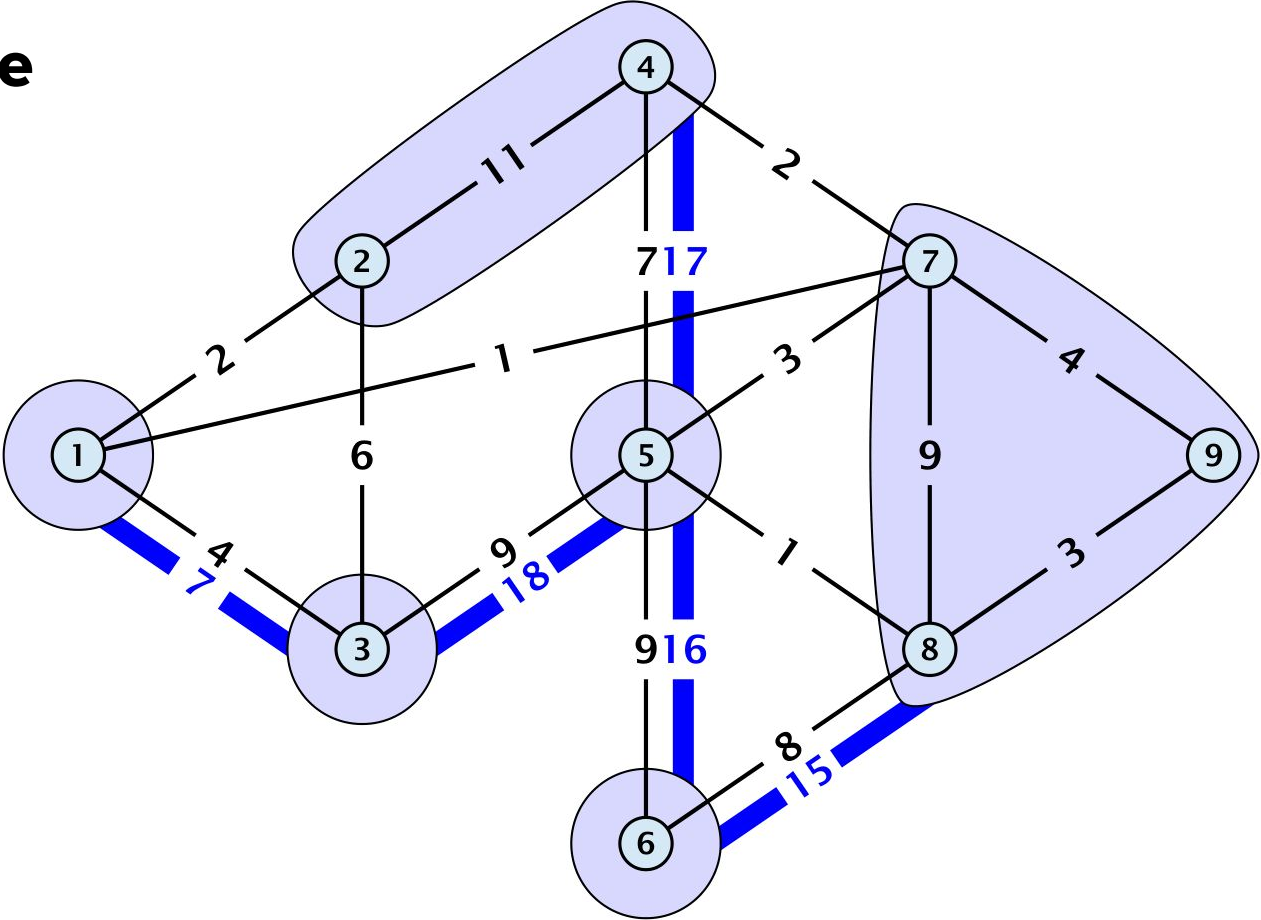
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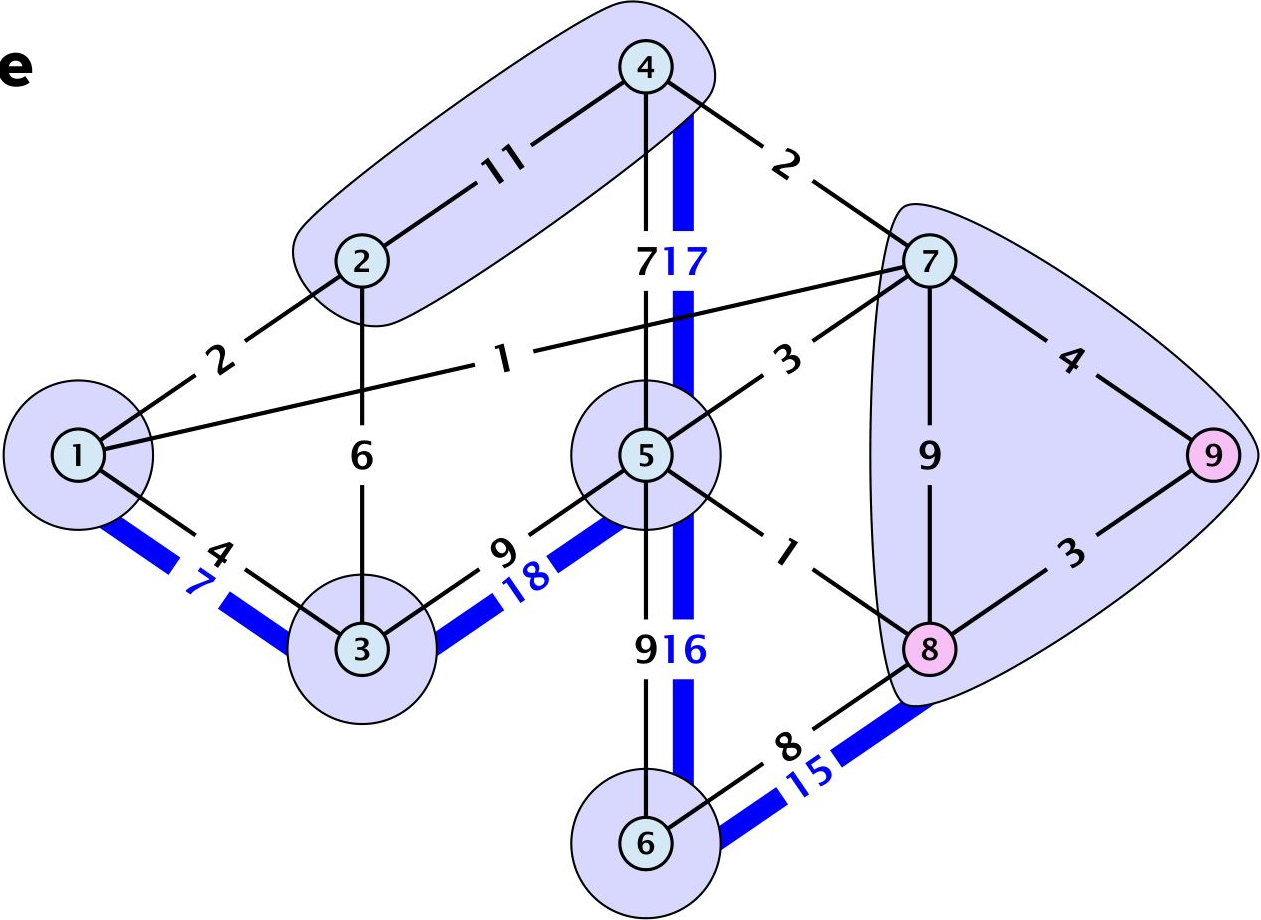
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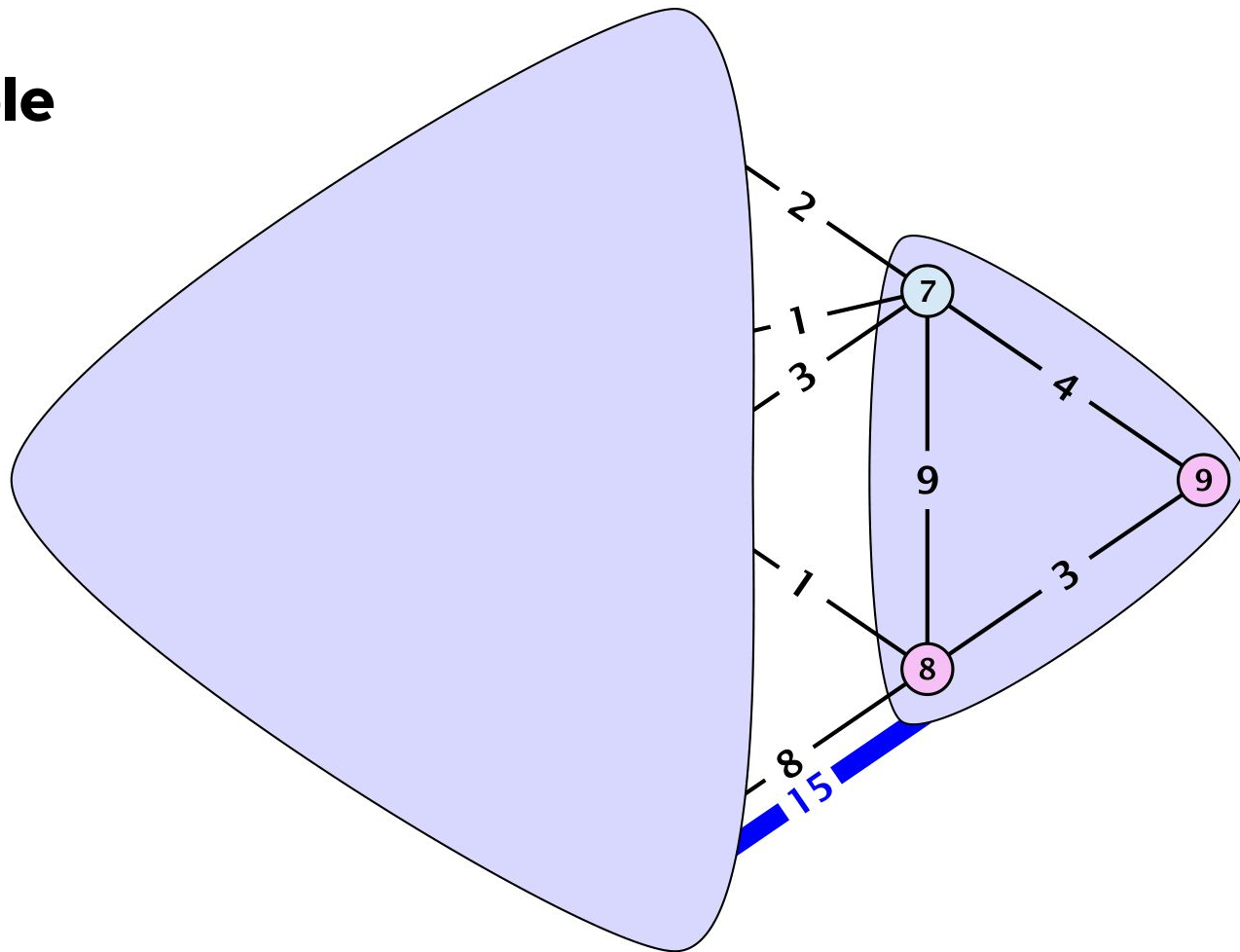
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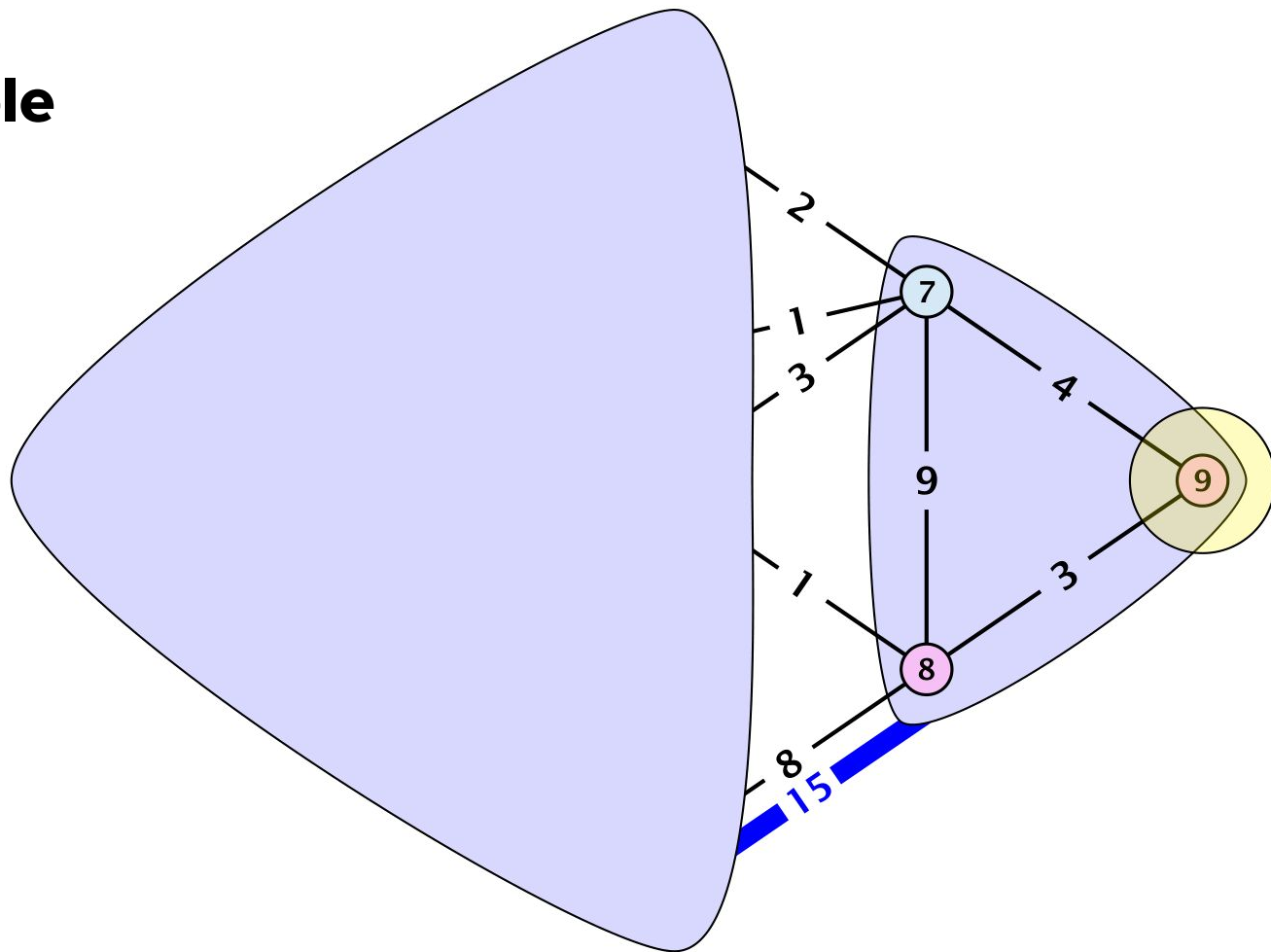
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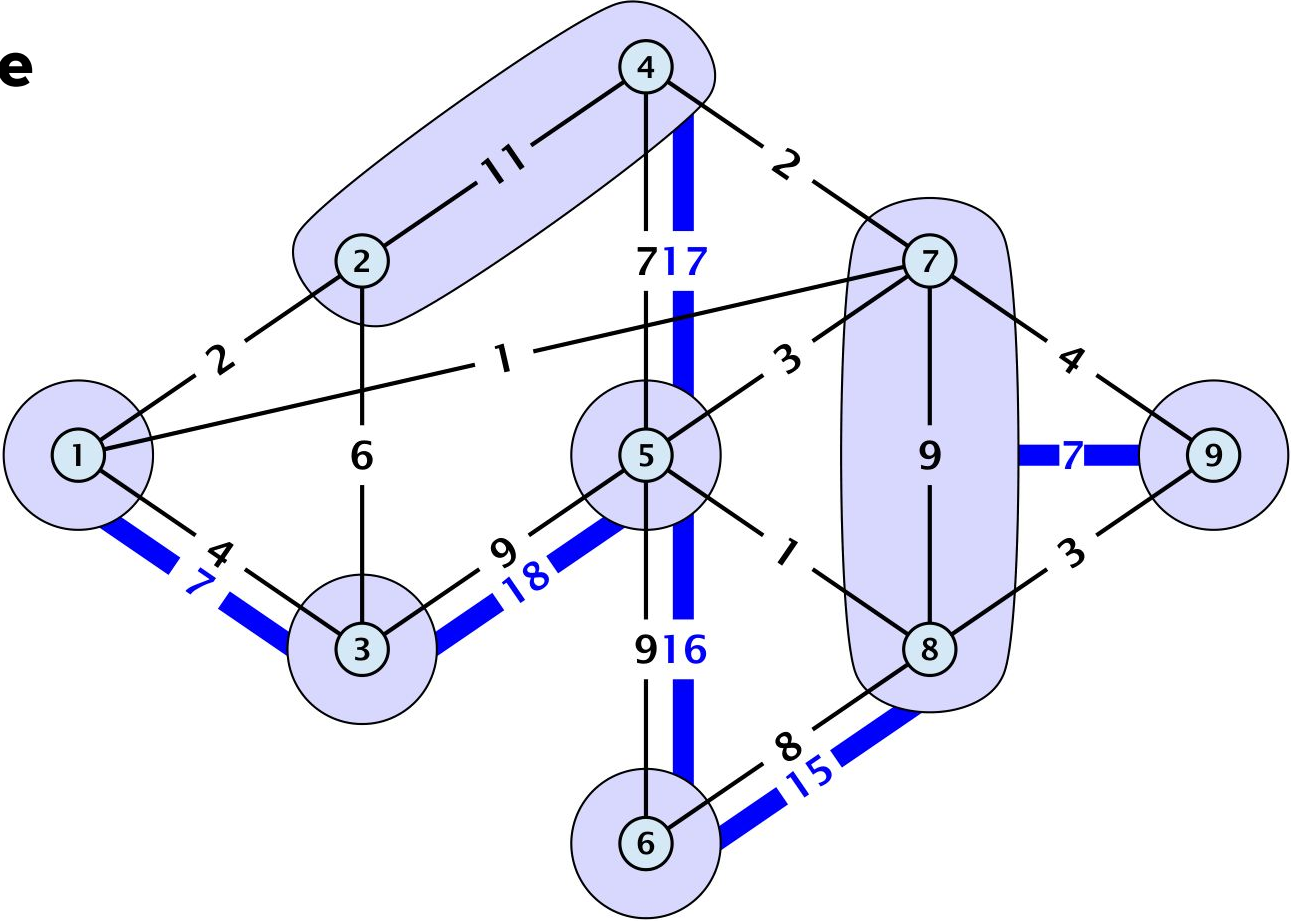
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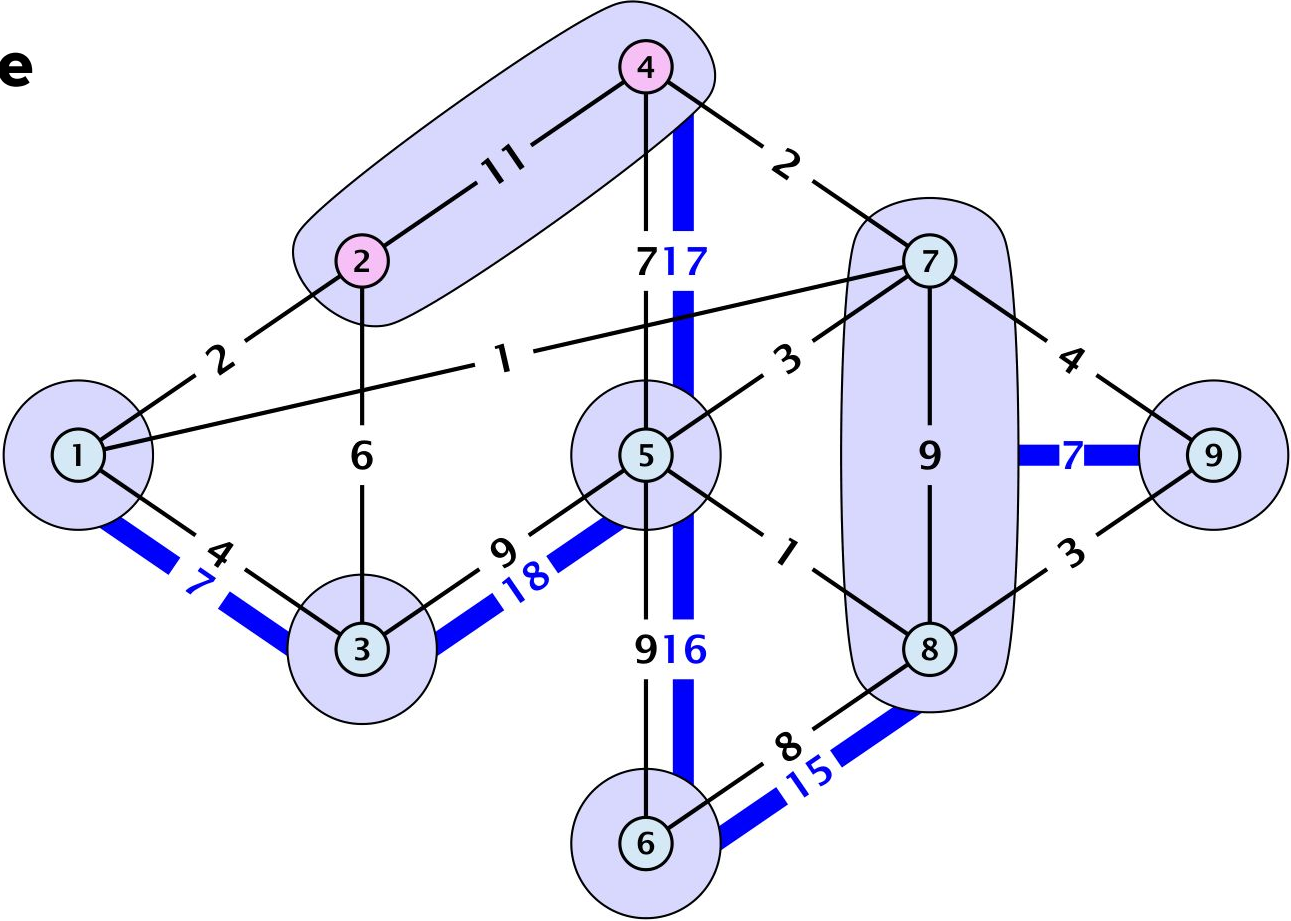
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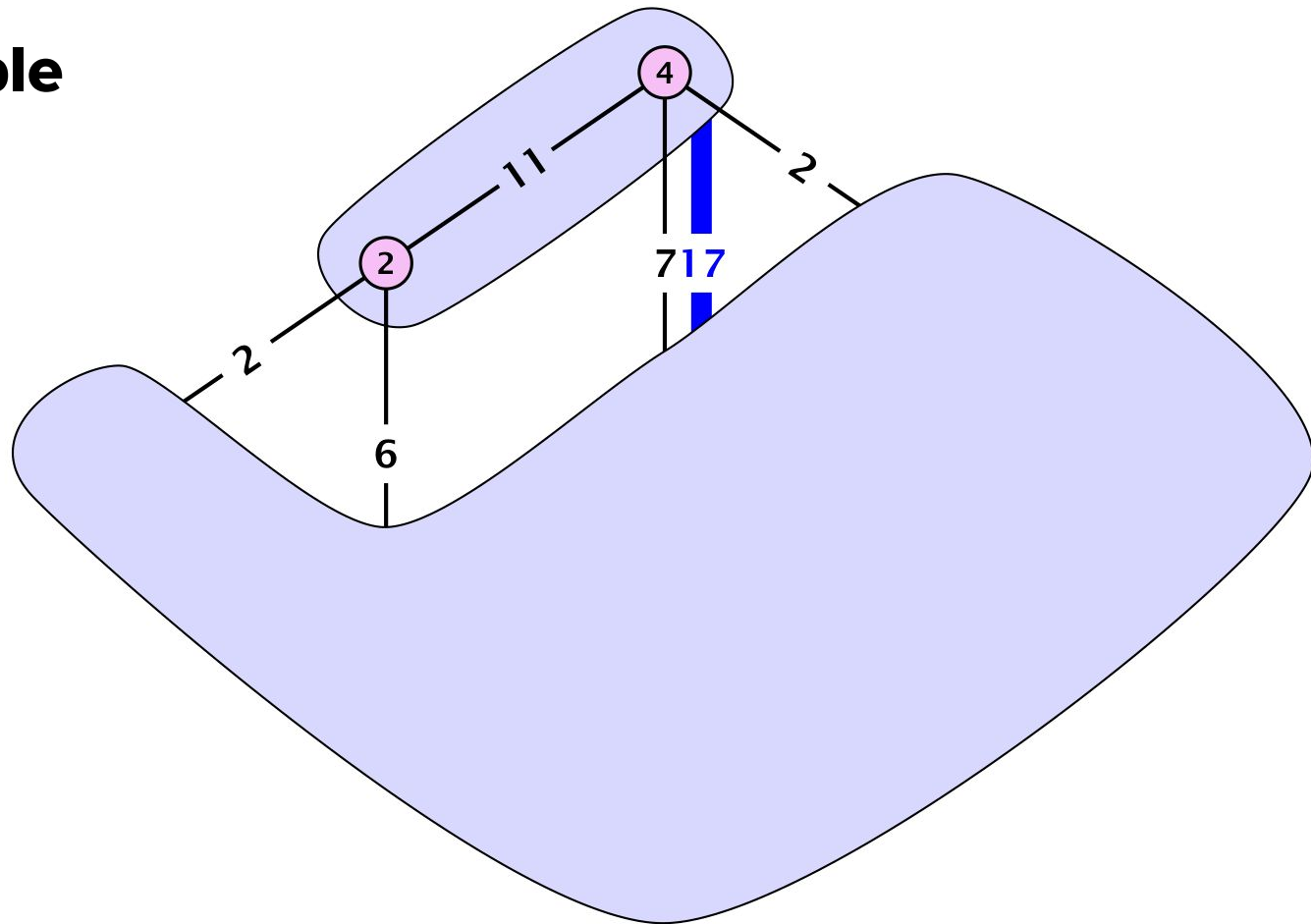
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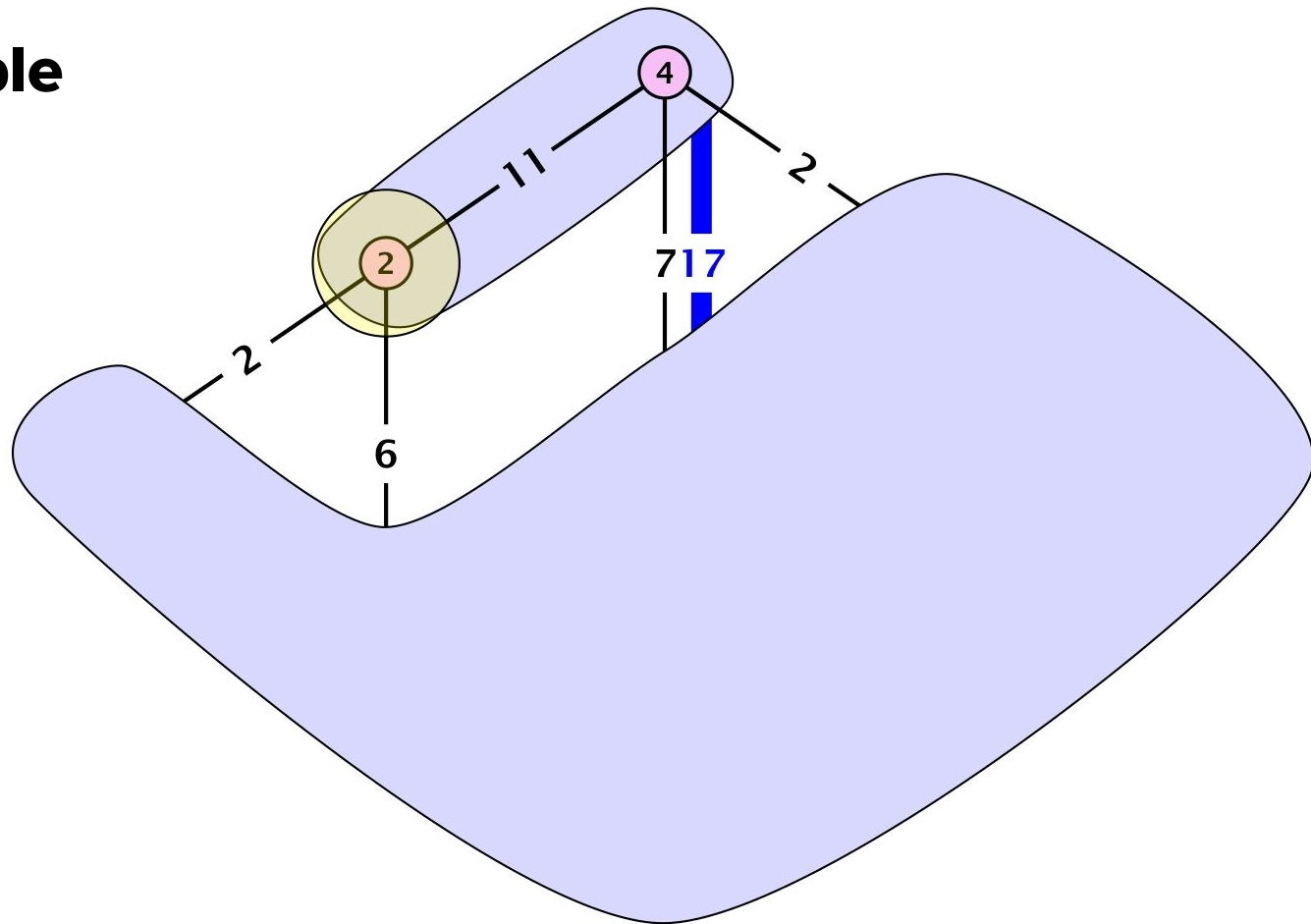
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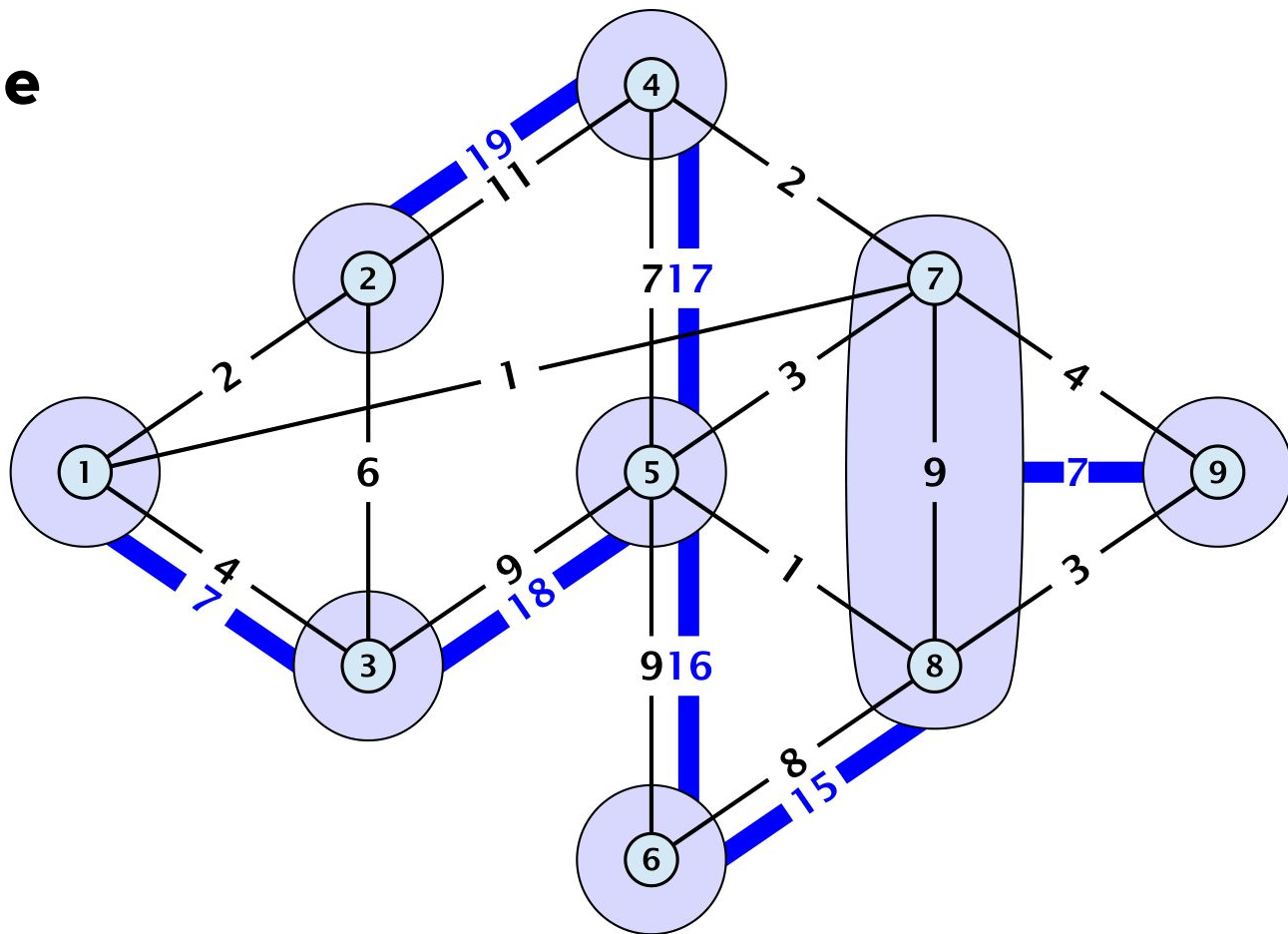
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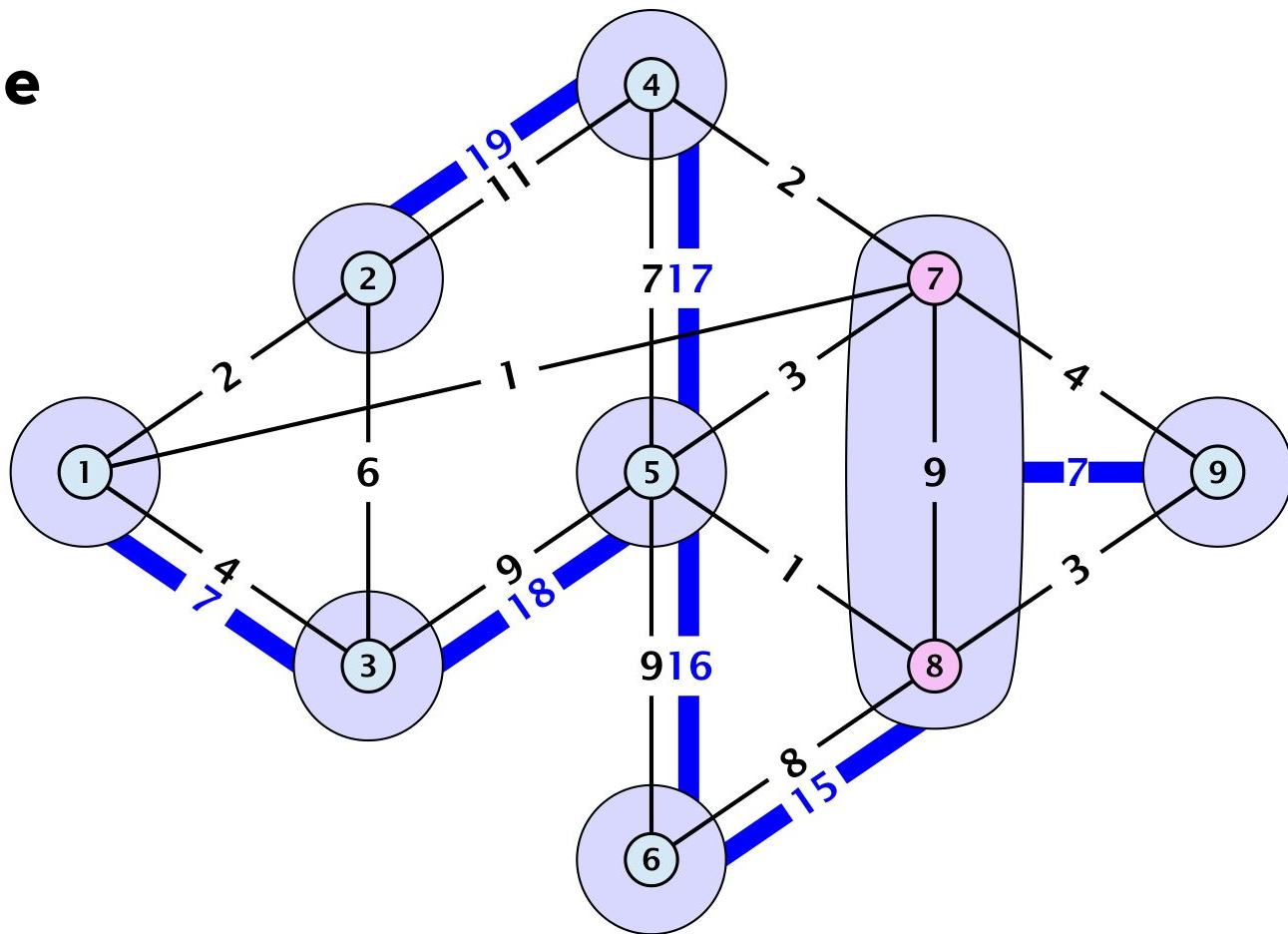
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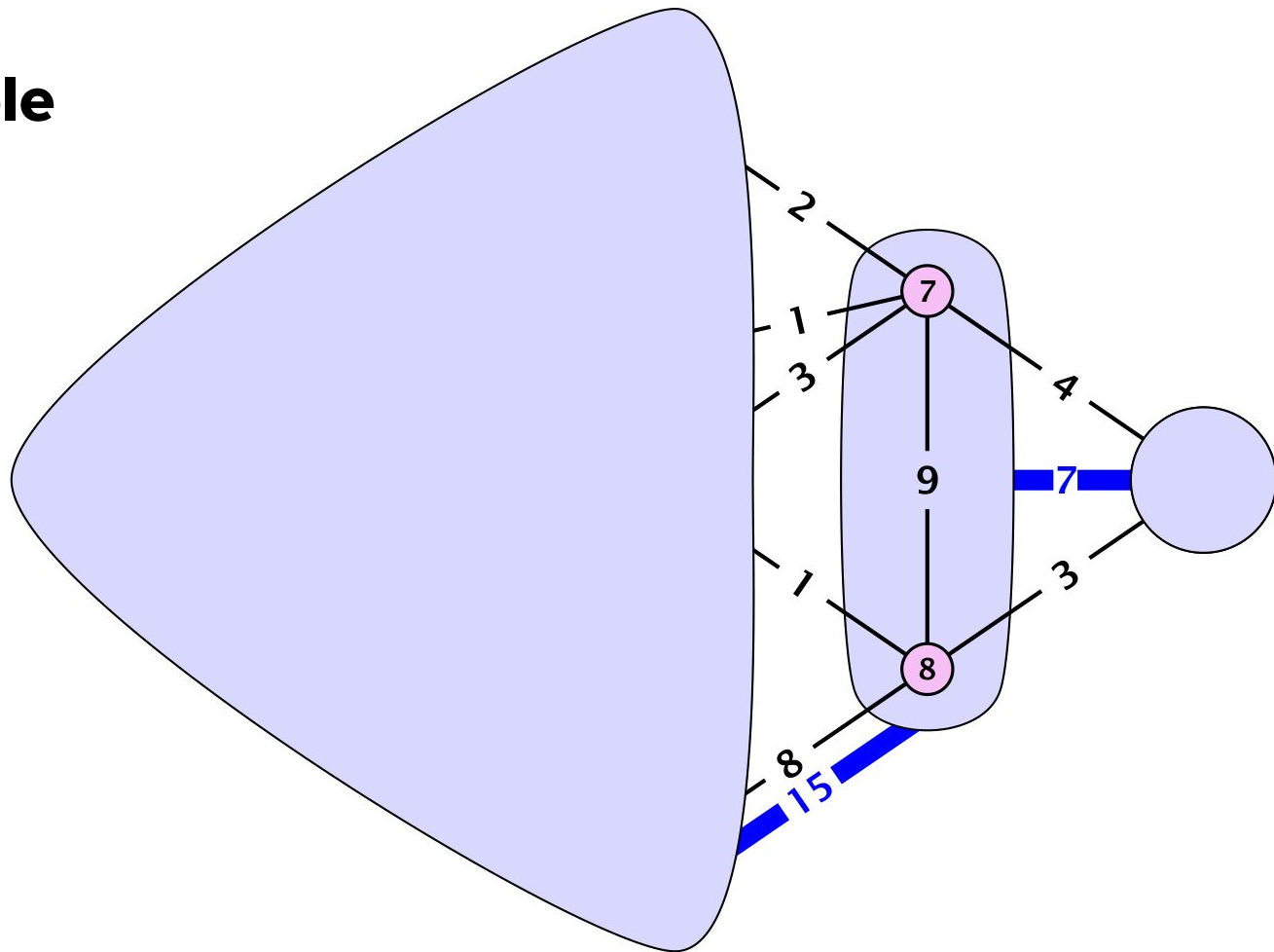
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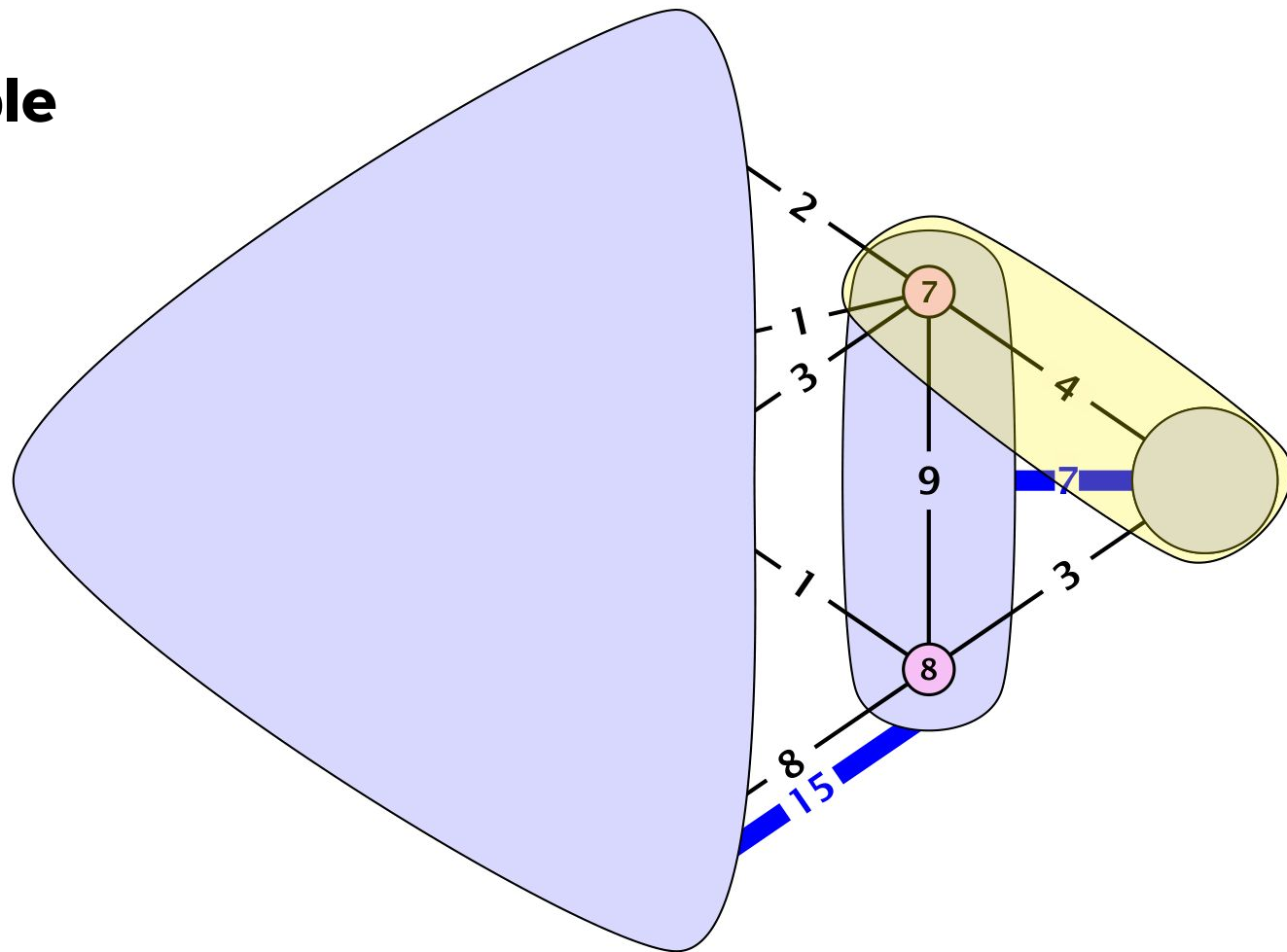
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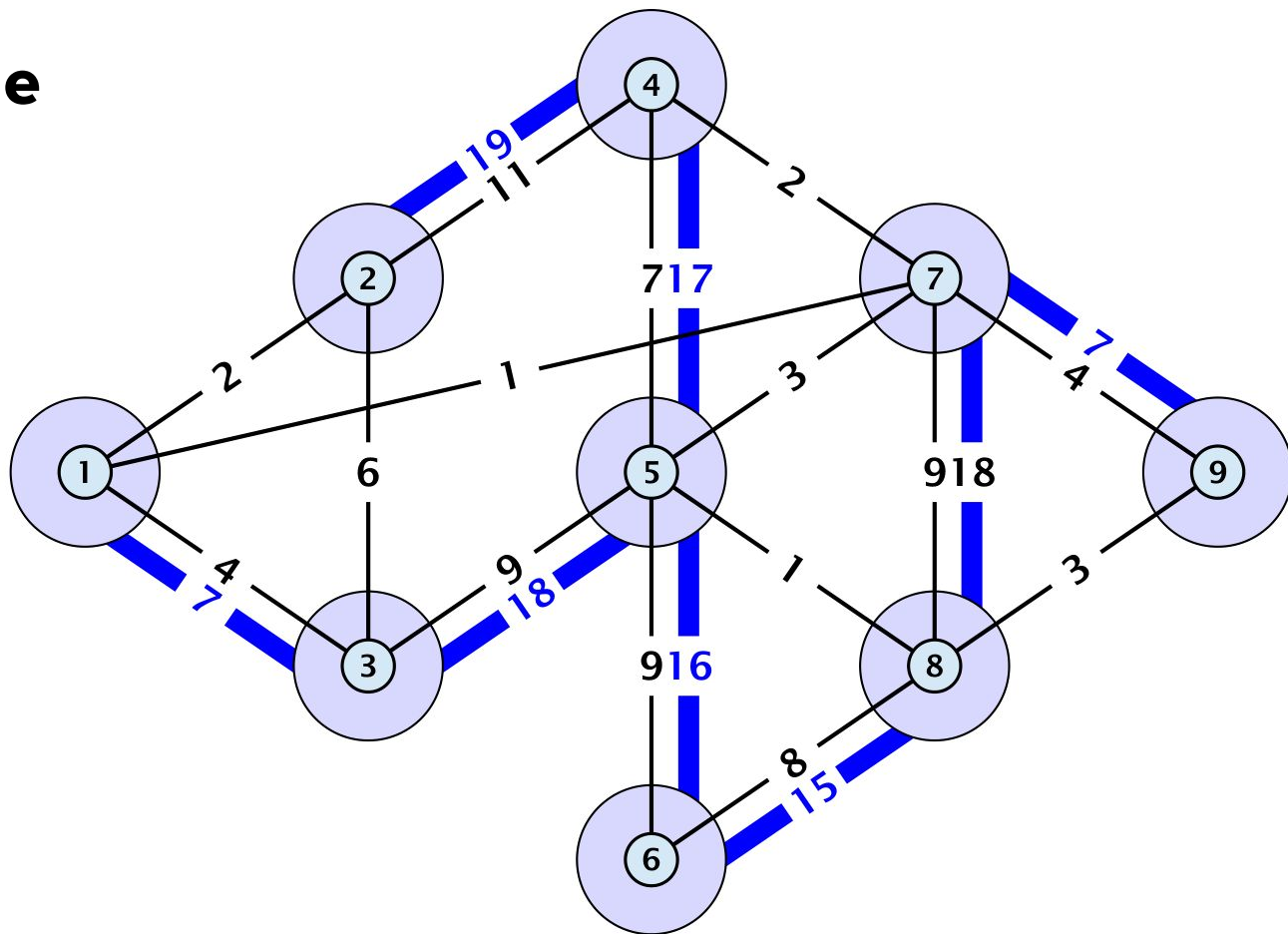
Example



Example



Example



Lemma 1 : For nodes $s, t, x \in V$ we have
 $f(s, t) \geq \min\{f(s, x), f(x, t)\}.$

Proof :- Suppose this was false and for some nodes $s, t, x \in V,$

$$f(s, t) < \min\{f(s, x), f(x, t)\}$$

Consider the minimum s - t cut of the graph into node sets S and T . The capacity of the cut is equal to $f(s, t)$. Now,

If $x \in S$, this is also a x - t cut with capacity $f = f(s, t) < f(x, t)$, which is a contradiction,

Or else $x \in T$, this is a s - x cut with capacity $f = f(s, t) < f(s, x)$, also a contradiction.

$\therefore f(s, t) \geq \min\{f(s, x), f(x, t)\}$ for all nodes $s, t, x \in V$.

Lemma 2 : For nodes $s, t, x_1, \dots, x_k \in V$ we have,
 $f(s, t) \geq \min\{f(s, x_1), f(x_1, x_2), \dots, f(x_{k-1}, x_k), f(x_k, t)\}.$

Proof :- Lemma 2, by induction, is an immediate consequence of Lemma 1.

P(m): For nodes $s, t, x_1, \dots, x_m \in V$ we have, $f(s, t) \geq \min\{f(s, x_1), f(x_1, x_2), \dots, f(x_{m-1}, x_m), f(x_m, t)\}$

Base case: P(m) is true for $m = 1$ (lemma 1)

Inductive Step: Let P(m) be true for $m = k$, then for $m = k + 1$, we have,

$$\min\{f(s, x_1), f(x_1, x_2), \dots, f(x_k, x_{k+1}), f(x_{k+1}, t)\} = \min\{\min\{f(s, x_1), f(x_1, x_2), \dots, f(x_k, x_{k+1})\}, f(x_{k+1}, t)\} \leq \min\{f(s, x_{k+1}), f(x_{k+1}, t)\} \leq f(s, t)$$

For some set of vertices U , we define $\delta(U)$ to be the set of edges with one endpoint in U . Then, for a minimum s - t cut that partitions the vertex set V into S and T , $f(s, t) = c(\delta(S)) = c(\delta(T))$ where c is the *cut function* on the set of vertices.

Definition 1 : Given a finite set E , $f : 2^E \rightarrow \mathbb{R}$ is *submodular* if for all $A, B \in 2^E$, $f(A) + f(B) \geq f(A \cup B) + f(A \cap B)$.

Definition 2 : Given a finite set E , $f : 2^E \rightarrow \mathbb{R}$ is *posi-modular* if for all $A, B \in 2^E$, $f(A) + f(B) \geq f(A - B) + f(B - A)$.

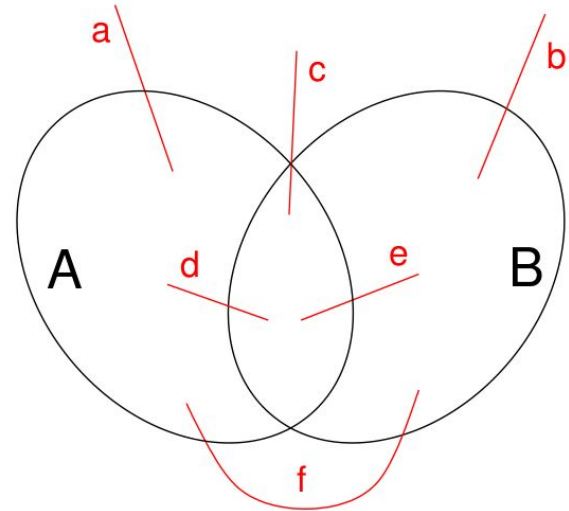
Cut function is submodular

Let's show that the cut function on the vertices of a graph is submodular.

$$c(\delta(A)) = a + c + e + f, c(\delta(B)) = b + c + d + f$$

$$c(\delta(A \cup B)) = a + b + c, c(\delta(A \cap B)) = c + d + e$$

$$\begin{aligned} c(\delta(A)) + c(\delta(B)) &= a + b + 2c + d + e + 2f \\ &= c(\delta(A \cup B)) + c(\delta(A \cap B)) + 2f \\ &\geq c(\delta(A \cup B)) + c(\delta(A \cap B)) \end{aligned}$$



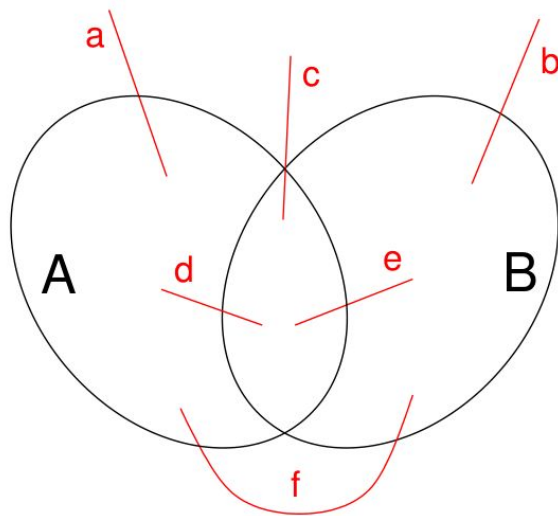
Cut function is posi-modular

Let's show that the cut function on the vertices of a graph is submodular.

$$c(\delta(A)) = a + c + e + f, c(\delta(B)) = b + c + d + f$$

$$c(\delta(A - B)) = a + d + f, c(\delta(B - A)) = b + e + f$$

$$\begin{aligned} c(\delta(A)) + c(\delta(B)) &= a + b + 2c + d + e + 2f \\ &= c(\delta(A - B)) + c(\delta(B - A)) + 2c \\ &\geq c(\delta(A - B)) + c(\delta(B - A)) \end{aligned}$$



Lemma 3 : Let $\delta(W)$ be an s-t minimum cut in a graph G with respect to a capacity function c . Then for any $u, v \in W$, $u \neq v$, there is a u-v minimum cut $\delta(X)$ where $X \subseteq W$.

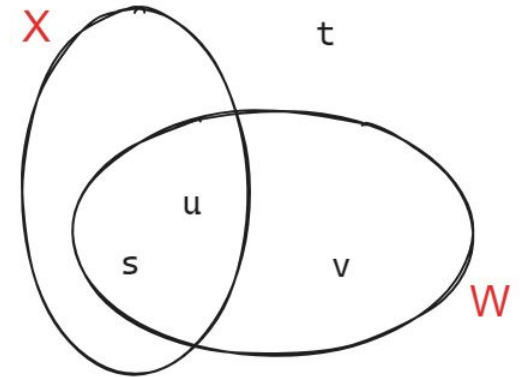
Proof :- Let $\delta(X)$ be any u-v minimum cut that crosses W . Suppose without loss of generality that $s \in W$, $s \in X$, and $u \in X$. Then there are two cases to consider:

Case 1 : $t \notin X$, then, since c is submodular,

$$\Rightarrow c(\delta(X)) + c(\delta(W)) \geq c(\delta(X \cup W)) + c(\delta(X \cap W))$$

Since $X \cup W$ is an s-t cut and $X \cap W$ is an u-v cut,

$$\Rightarrow c(\delta(X \cup W)) \geq f(s, t) = c(\delta(W)) \text{ and } c(\delta(X \cap W)) \geq f(u, v) = c(\delta(X))$$



$\Rightarrow c(\delta(X \cup W)) = f(s, t) = c(\delta(W))$ and $c(\delta(X \cap W)) = f(u, v) = c(\delta(X))$

$\therefore X \cap W$ is a minimum u - v cut.

Case 2 : $t \in X$. Since c is posi-modular,

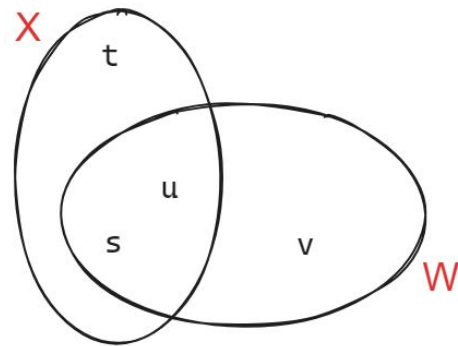
$\Rightarrow c(\delta(X)) + c(\delta(W)) \geq c(\delta(X - W)) + c(\delta(W - X))$

Since $X - W$ is an s - t cut and $W - X$ is an u - v cut,

$\Rightarrow c(\delta(X - W)) \geq f(s, t) = c(\delta(W))$ and $c(\delta(W - X)) \geq f(u, v) = c(\delta(X))$

$\Rightarrow c(\delta(X - W)) = f(s, t) = c(\delta(W))$ and $c(\delta(W - X)) = f(u, v) = c(\delta(X))$

$\therefore W - X$ is a minimum u - v cut.



So, lemma 3 shows that minimum cuts can be uncrossed, a technique that is useful in the construction of the Gomory-Hu Tree.

Theorem: Let T be a Gomory-Hu tree for a graph $G = (V, E)$. Then, for all $u, v \in V$, let st be the edge on the unique path in T from u to v such that $f(s, t)$ is minimized. Then, $f(u, v) = f(s, t)$ and the cut $\delta(W)$ induced by $T - st$ is a u - v minimum cut in G .

Proof :- Consider the path from u to v in T . We note that if $uv = st$, then $f(u, v) = f(s, t)$. Otherwise let $u, x_1, x_2, \dots, x_k, v$ be the path from u to v in T , then by lemma 2, $f(u, v) \geq \min\{f(u, x_1), f(x_1, x_2), \dots, f(x_k, v)\} = f(s, t)$. However, we have that $f(u, v) \leq f(s, t)$ since the cut induced by $T - st$ is a valid u - v cut. Thus, we have $f(u, v) = f(s, t)$ and the cut induced by $T - st$ is a minimum u - v cut.

Theorem: The Minimum K-Cut with Gomory-Hu Tree Algorithm has an approximation ratio of $2 - 2/k$.

Proof :- Let $E^* \subseteq E$ be an optimal k-cut in G that defines a graph of k connected components, V_1, V_2, \dots, V_k . Let E_i^* be the cut that separates the component V_i from the rest of the graph. So, $E^* = \bigcup E_i^*$.

We can assume without loss of generality that $c(\delta(V_1)) \leq c(\delta(V_2)) \leq \dots \leq c(\delta(V_k))$. As before, every edge in E^* is incident to two of the connected components.

Therefore, each edge will be in exactly two of the cuts $\delta(V_i)$, and therefore, $2c(E^*) = c(\delta(V_1)) + c(\delta(V_2)) + \dots + c(\delta(V_k))$.

$$c(C) = \sum_{i=1}^{k-1} w(e_i) \leq \sum_{i=1}^{k-1} c(\delta(V_i)) \leq (1 - \frac{1}{k}) \sum_{i=1}^k c(\delta(V_i)) = 2(1 - \frac{1}{k})c(E^*)$$

where w is the weight function in the Gomory-Hu Tree.

Running Time Analysis

1) Construction of Gomory-Hu tree:-

In the process of constructing the Gomory-Hu tree, the algorithm iteratively finds the minimum cut $V-1$ times, considering each possible pair of vertices. For each iteration, the Ford-Fulkerson algorithm is applied. The time complexity of the Ford-Fulkerson algorithm is $O(V^2 \cdot \text{max_flow})$, where V is the number of vertices.

Therefore, the overall time complexity for constructing the Gomory-Hu tree is given by:

$$T_{\text{Gomory-Hu}} = (V - 1) \cdot O(V^2 \cdot \text{max_flow})$$

Running Time Analysis

2) Finding k-Min Cut Solution-

The min-K-cut algorithm, following Gomory-Hu tree construction, exhibits a concise time complexity of $O(N)$. **This efficiency arises from the algorithm's focus on finding the path between two vertices in the tree** and determining the minimum weight edges along that path (By Sorting) . Mathematically, the time complexity is succinctly expressed as:-

$$T_{\text{minKcut}} = O(N \log N)$$

N represents the number of vertices considered, aligning directly with the total vertices in the Gomory-Hu tree.

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THANK YOU!