

UNIT – III, Module – 2

Lecture 32: Graph/Tree Connectivity

[Graphic sequence; Degree sequence, theorems; Graph realization problem; Havel-Hakimi theorem, algorithm steps]

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Notation table

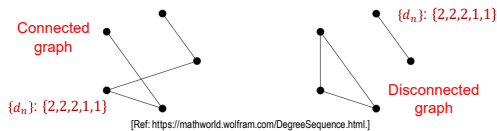
Symbol / Notation	Meaning
$\{d_n\}$	Degree sequence of simple graph of n vertices. Also graphic sequence, if graph realization possible from $\{d_n\}$.

Graphic sequence

- Graphic sequence: solution to problem of finding some or all graphs represented by given degree sequence as nonincreasing sequence of nonnegative integer terms.
- Property:: **Graphic sequence**: also called graphical sequence.
- Property:: Graphic sequence → Degree sequence.

Graphic sequence

- Degree sequence: list of vertex degrees of all vertices of given graph in form of sequence.
- Property: **Degree sequence** of simple graph $G = (V, E)$ ($|V| = n$): sequence $\{d_n\}$, where $d = \deg(v)$, $v \in V$, $d \geq 0$; usually listed in monotonic nonincreasing order — $d_1 \geq d_2 \geq \dots \geq d_n$.



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Graphic sequence

- Degree sequence:
 - Property: **(Theorem)**: For given finite nonnegative sequence $\{d_n\}$, $\{d_n\}$ to be considered as degree sequence of some graph (with self-loops and parallel edges), if and only if $\sum_{i=1}^n d_i$ to become even.
- Proof:** Two cases to prove — (A) Degree sequence \rightarrow Even degree-sum; (B) Even degree-sum \rightarrow Degree sequence.
- (A) Degree sequence \rightarrow Even degree-sum. **[Necessity]**
 Let $\{d_n\}$ representing degree sequence of graph $G = (V, E)$, $|V| = n$.
 Then, d_i ($1 \leq i \leq n$) representing vertex degree of some vertex in G .
 So, $\sum_{i=1}^n d_i$ to become even, as per Handshaking theorem. (contd. to next slide)

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Graphic sequence

- Degree sequence:
 - Proof of (Theorem) contd.:**
 - (B) Even degree-sum \rightarrow Degree sequence. **[Sufficiency]**
- Based on principle of mathematical induction.
- (Basis step)** $n = 1$. Even nonnegative sum to represent vertex degree of some graph $G = (V, E)$, where $|V| = 1$, $|E| = \text{half of sum}$.
 So, $\{d_1\}$ to become degree sequence.
- (Inductive step)** Inductive hypothesis: premise that even sum of $n - 1$ terms in $\{d_{n-1}\}$ sequence to become degree sequence of some graph. (contd. to next slide)

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Graphic sequence

- Degree sequence:

Proof of (Theorem) contd-2.:

Premise: in sequence $\{d_n\}$, $\sum_{i=1}^n d_i = (\sum_{i=1}^{n-1} d_i) + d_n$ to be even.

Two possible nature of d_n leading to two cases.

Case-1: term d_n to be odd.

Then, $(\sum_{i=1}^{n-1} d_i)$ also to be odd, so that $\sum_{i=1}^n d_i$ to remain even.

So, sequence $\{d_{n-1}\}$ no longer degree sequence.

To get back degree sequence from sequence $\{d_{n-1}\}$, let any

$k \in \{1, \dots, n-1\}$ be chosen from $\{d_{n-1}\}$ so that term d_k be odd.

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Graphic sequence

- Degree sequence:

Proof of (Theorem) contd-3.:

Then, new sequence $\{d'_{n-1}\}$ to be defined so that $(\sum_{i=1}^{n-1} d'_i)$ become even. For sequence $\{d'_{n-1}\}$, to define every term:

$$d'_i = \begin{cases} d_i, & i \neq k \\ d_i - 1, & i = k. \end{cases}$$

Thus, odd term d_k converted to even d'_k , resulting in even $(\sum_{i=1}^{n-1} d'_i)$.

Then, based on sequence $\{d'_{n-1}\}$, some graph $G' = (V', E')$, where

$|V'| = n-1$, $V' = \{v_1, \dots, v_{n-1}\}$, $|E'| = \frac{1}{2} \cdot (\sum_{i=1}^{n-1} d'_i)$, to be formed, such that terms in $\{d'_{n-1}\}$ representing degrees of v_1, \dots, v_{n-1} .

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Graphic sequence

- Degree sequence:

Proof of (Theorem) contd-4.:

Now, new vertex v_n to be added to G' , with edge $e = \{v_k, v_n\}$.

This edge e to justify decrement $d_k - 1$ while defining d'_k .

Graph G' then having $V' = V' \cup \{v_n\}$. In modified G' , to accommodate

remaining degrees of vertex v_n (i.e., $d_n - 1$, of even value), $\frac{d_n - 1}{2}$

number of self loops of v_n added to G' . Then, $|E'| = \frac{1}{2} \cdot (\sum_{i=1}^n d_i)$.

So, $\{d_n\}$ to become degree sequence.

Case-2: term d_n to be even. Then, $(\sum_{i=1}^{n-1} d_i)$ also to be even. So,

$(\sum_{i=1}^n d_i) - 2$ also even.

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Graphic sequence

• Degree sequence:
Proof of (Theorem) contd-5.:
Degree-sum decremented by 2 to accommodate new edges later.
Then new sequence $\{d''_{n-1}\}$ to be defined to accommodate degree reduction, in which let any $k \in \{1, \dots, n-1\}$, $l \in \{1, \dots, n-1\}$, $k \neq l$ be chosen from $\{d_{n-1}\}$ so that term d_k, d_l be even, and to define:

$$d''_i = \begin{cases} d_i, & i \neq k, i \neq l \\ d_i - 1, & i = k \\ d_i - 1, & i = l. \end{cases}$$

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Graphic sequence

• Degree sequence:
Proof of (Theorem) contd-6.:
Then, based on sequence $\{d''_{n-1}\}$, some graph $G' = (V', E')$, where $|V'| = n-1$, $V' = \{v_1, \dots, v_{n-1}\}$, $|E'| = \frac{1}{2} \cdot (\sum_{i=1}^{n-1} d''_i)$, to be formed, representing degrees of v_1, \dots, v_{n-1} .
Now, new vertex v_n to be added to G' , with two edges $e_1 = \{v_k, v_n\}$, $e_2 = \{v_l, v_n\}$. Both edges e_1, e_2 to justify decrement $d_k - 1, d_l - 1$ while defining d''_k, d''_l respectively.
Graph G' then having $V' = V' \cup \{v_n\}$.

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Graphic sequence

• Degree sequence:
Proof of (Theorem) contd-7.:
In modified graph G' , to accommodate remaining degrees of vertex v_n (i.e., $d_n - 2$, of even value), $\frac{d_n - 2}{2}$ number of self loops of v_n added to G' . Then, $|E'| = \frac{1}{2} \cdot (\sum_{i=1}^n d_i)$.
So, $\{d_n\}$ to become degree sequence.
Combinedly, even vertex degree-sum \rightarrow degree sequence. ■

Graphic sequence

- Degree sequence:
 - Property:: (**Corollary**): Number of odd-valued terms in any degree sequence to be always even.
 - [Based on previous theorem: number of vertices of odd degree in undirected graph to be always even.]
 - Property:: For r -regular graph $G = (V, E)$, where $|V| = n$, its degree sequence $\{d_n\}$ to contain only multiple (here, n) copies of single integer term r .

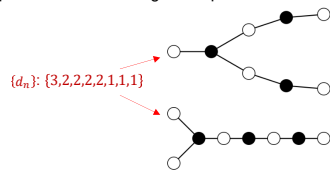
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Graphic sequence

- Degree sequence:
 - Property:: Possibility for two topologically (structurally) distinct graphs to have same degree sequence.

[Ref: [https://en.wikipedia.org/wiki/Degree_\(graph_theory\)](https://en.wikipedia.org/wiki/Degree_(graph_theory)).]

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Graphic sequence

- Degree sequence:
 - Property:: **k -connected degree sequence**: if some k -connected graph construction possible corresponding to degree sequence.

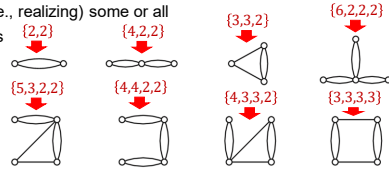
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Graphic sequence

- Graphic sequence:
 - Property: **Graphic sequence**: sequence $\{d_n\}$ (usually listed in monotonic nonincreasing order of nonnegative terms), such that constructing (i.e., realizing) some or all possible graphs considering $\{d_n\}$ as degree sequence.



[Ref: J Li et al., Group Connectivity, Strongly \mathbb{Z}_m -Connectivity, and Edge Disjoint Spanning Trees, SIAM Journal on Discrete Mathematics 31(3):1909-1922, 2017.]

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Graphic sequence

- Graph realization: graph having degree sequence $\{d_n\}$ "realize" $\{d_n\}$.
 - Property: **Graph realization problem**: for given finite sequence $\{d_n\}$ of natural numbers, problem of constructing some graph G , such that G to satisfy given degree sequence $\{d_n\}$.
 - Property: **Graphic**: sequence $\{d_n\}$ to be called graphic, if some graph realizing $\{d_n\}$.
 - Property: Solution to graph realization problem:
 - Havel-Hakimi theorem (applicable to simple graphs);
 - Erdős-Gallai theorem.

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Graphic sequence

- Graph realization:
 - Property: **Havel-Hakimi Theorem**: For $n > 1$, sequence $\{d_n\}$ to become graphic, if and only if sequence $\{d'_{n-1}\}$ to be graphic, where $\{d'_{n-1}\}$ to be obtained from $\{d_n\}$ by deleting largest term \hat{d} of $\{d_n\}$ and decrementing 1 from \hat{d} number of next largest terms of $\{d_n\}$; sequence $\{d_1\}$, where $d_1 = 0$, to be only $n = 1$ (i.e., single-term) graphic sequence.
- Proof**: For $n = 1$, theorem statement to become trivial.
 For $n > 1$, two cases to prove — (A) $\{d_n\}$ graphic $\rightarrow \{d'_{n-1}\}$ graphic;
 (B) $\{d'_{n-1}\}$ graphic $\rightarrow \{d_n\}$ graphic.

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd.:

(A) $\{d_n\}$ graphic $\rightarrow \{d'_{n-1}\}$ graphic. [Necessity]

Premise that simple graph $G = (V, E)$ realizing $\{d_n\}$, and \hat{n} to be largest term of $\{d_n\}$.

Let $u \in V$, such that $\deg(u) = \hat{n}$, and neighborhood of u ,

$N(u) = \{v \in V \mid v \neq u, \exists e \in E (e = \{u, v\})\}$.

Also, let $v =$ set of vertices of G having degrees $d_{i_1}, d_{i_2}, \dots, d_{i_{\hat{n}}}$, i.e., \hat{n} number of next largest terms in $\{d_n\}$, where $v \subseteq V$.

Two possible cases, based on relation between $N(u)$ and v . (contd. to next slide)

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd-2.:

Case-1: $N(u) = v$.

Then deleting u from G resulting into $G' = G - u$, where $G' = (V', E')$,

such that $V' = V \setminus \{u\}$ and $E' = E \setminus \{e = \{u, v\} \in E \mid v \in v, v \subseteq V'\}$.

Correspondingly, degrees of vertices in v also decremented by 1, i.e., $d_{i_1} - 1, d_{i_2} - 1, \dots, d_{i_{\hat{n}}} - 1$. Also, $d_{\hat{n}} = 0$. So, $\{d'_{n-1}\}$ obtained.

Thus, simple graph $G' = (V', E')$ realizing $\{d'_{n-1}\}$.

Case-2: $N(u) \neq v$.

So, at least one vertex $q \in V$, such that $(q \in N(u)) \wedge (q \notin v)$.

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd-3.:

Target: to increase $|N(u) \cap v|$, preserving vertex degrees to satisfy given degree sequence.

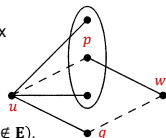
Then, after choosing $p, w \in V$, such that $p \in v$,

and $p \notin N(u)$ (due to $\{u, p\} \notin E$) as well as

$(w \notin N(u)) \wedge (w \notin v)$ and $\{p, w\} \in E$ and $\{q, w\} \notin E$,

next step: to modify G to generate $G^* = (V, E^*)$

such that $(E \neq E^*) \wedge (|E| = |E^*|)$ and $\{d_n^{G^*}\} = \{d_n^G\} = \{d_n\}$, i.e., same degrees.



[Ref: Douglas B West, Introduction to Graph Theory, Second edition, Prentice-Hall, 2001.] (contd. to next slide)

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd-4.:

One possibility for $\mathcal{G} \rightarrow \mathcal{G}^*$ to increase $|N(u) \cap \mathbf{v}|$: removing edges $\{u, q\}, \{p, w\}$, i.e., $\mathbf{E} = \mathbf{E} \setminus \{\{u, q\}, \{p, w\}\}$, and then adding edges $\{u, p\}, \{q, w\}$, i.e., $\mathbf{E} = \mathbf{E} \cup \{\{u, p\}, \{q, w\}\}$.

Thus, in one attempt, modified graph \mathcal{G}^* generated preserving edge count and degree sequence and increasing $|N(u) \cap \mathbf{v}|$ by 1.

In case $\mathbf{v} = \emptyset$, increase of $|N(u) \cap \mathbf{v}|$ allowed at most \hat{k} times.

After modification complete, $N(u) = \mathbf{v}$, $\deg(u) = \hat{k}$, and $\mathcal{G}^* = (\mathbf{V}, \mathbf{E}^*)$ realizing $\{d_n\}$. (contd. to next slide)

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd-5.:

Now, following arguments of Case-1, $\mathcal{G}'' = \mathcal{G}^* - u$ realizing $\{d'_{n-1}\}$.

Hence, in either case, $\{d_n\}$ graphic $\rightarrow \{d'_{n-1}\}$ graphic.

(B) $\{d'_{n-1}\}$ graphic $\rightarrow \{d_n\}$ graphic. [Sufficiency]

Let $\{d_n\}$: $\hat{k}, d_{i_1}, d_{i_2}, \dots, d_{i_{\hat{k}}}, \dots, d_n$ (in nonincreasing order), for some $\hat{k} \in \mathbb{Z}^+$, $\hat{k} < n$.

Premise that simple graph $\tilde{\mathcal{G}} = (\tilde{\mathbf{V}}, \tilde{\mathbf{E}})$ realizing $\{d'_{n-1}\}$, where $\{d'_{n-1}\}$: $d'_1, d'_2, \dots, d'_{\hat{k}}, \dots, d'_{n-1}$.

Now, goal to add new vertex u to $\tilde{\mathcal{G}}$ to generate $\mathcal{G} = (\mathbf{V}, \mathbf{E})$. (contd. to next slide)

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Graphic sequence

- Graph realization:

Proof of (Havel-Hakimi Theorem) contd-6.:

Addition of u to $\tilde{\mathcal{G}}$ also requiring addition of \hat{k} edges to connect u to $\tilde{\mathcal{G}}$, such that $\mathbf{V} = \tilde{\mathbf{V}} \cup \{u\}$, $\mathbf{E} = \tilde{\mathbf{E}} \cup \{\{u, v\} \mid v \in \tilde{\mathbf{V}}, \deg(v) = d_{i_i} - 1, 1 \leq i \leq \hat{k}\}$, resulting in $\deg(u) = \hat{k}$ in \mathcal{G} .

Then, $\{d_n\}$ and $\{d'_{n-1}\}$ to become related as: $\{d'_{n-1}\} = \{d_n\}$ after deleting largest term \hat{k} of $\{d_n\}$ and decrementing 1 from \hat{k} number of next largest terms of $\{d_n\}$.

And, \mathcal{G} realizing $\{d_n\}$, as degrees of vertices in \mathbf{V} matching $\{d_n\}$.

Hence, $\{d'_{n-1}\}$ graphic $\rightarrow \{d_n\}$ graphic. ■

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Graphic sequence

- Graph realization:
 - Havel-Hakimi Algorithm:: (Graph realizing degree sequence steps):
Input: Degree sequence $\{d_n\}$ of natural numbers.
Output: $G = (V, E)$ ($|V| = n$), $Adj_G = (a_{ij})_{1 \leq i, j \leq n}$, or FALSE.
Step-1: Initialize empty G , i.e., $V = E = \emptyset$, $Adj_G \leftarrow n \times n$ zero matrix.
Step-2: Find largest degree in $\{d_n\}$, and record in d_i , with position i .
Step-3: Update $\{d_n\}$ by setting 0 in i -th term, and set $V \leftarrow V \cup \{v_i\}$.
Step-4: Find d_i number of terms of largest degrees in $\{d_n\}$, record term positions $\{b_1, \dots, b_{d_i}\}$, and decrement their values by 1.
Step-5: If any negative terms in $\{d_n\}$, return FALSE and terminate. (contd. to next slide)

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Graphic sequence

- Graph realization:
 - Havel-Hakimi Algorithm contd.:
Step-6: For each $j = b_1, \dots, b_{d_i}$, set $V \leftarrow V \cup \{v_j\}$, $E \leftarrow E \cup \{v_j, v_i\}$,
 $a_{ij} \leftarrow a_{ij} + 1$, and $a_{ji} \leftarrow a_{ji} + 1$.
Step-7: Repeat steps 2-6, until terms in $\{d_n\}$ to become all zeros.

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Graphic sequence

- Graph realization:
 - Havel-Hakimi Algorithm:: Example-1.
Given: Degree sequence $\{d_n\}$.

$\{d_n\} : \{4, 3, 3, 3, 3\}$.

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Graphic sequence

• Graph realization:

• Havel-Hakimi Algorithm:: Example-1 (contd.).

Initialize V , E to empty; term-index array j to zero; Adj_j to zero matrix.

$E = \{\}$.

Iterations: until only zero terms in $\{d_n\}$.

$d_i: 1; i: 1.$ $\{d_n\}: \{4,3,3,3,3\}$.

$V = \{\}$.

$j:$

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 $Adj =$

0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0

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Graphic sequence

• Graph realization:

• Havel-Hakimi Algorithm:: Example-1 (contd-2).

Iteration $it = 1$

$E = \{(v_2, v_1), (v_3, v_1), (v_4, v_1), (v_5, v_1)\}$.

$d_i: 4; i: 1.$ $\{d_n\}: \{4,0,3,2,3,2,3,2\}$.

$V = \{v_1, v_2, v_3, v_4, v_5\}$.

$j:$

2	3	4	5	
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 $Adj =$

0	1	1	1	1
1	0	0	0	0
1	0	0	0	0
1	0	0	0	0
1	0	0	0	0

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Graphic sequence

• Graph realization:

• Havel-Hakimi Algorithm:: Example-1 (contd-3).

Iteration $it = 2$

$E = \{(v_2, v_1), (v_3, v_1), (v_4, v_1), (v_5, v_1), (v_3, v_2), (v_4, v_2)\}$.

$d_i: 2; i: 2.$ $\{d_n\}: \{4,0,3,2,0,3,2,1,3,2,1\}$.

$V = \{v_1, v_2, v_3, v_4, v_5\}$.

$j:$

3	4			
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 $Adj =$

0	1	1	1	1
1	0	1	1	0
1	1	0	0	0
1	1	0	0	0
1	0	0	0	0

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Graphic sequence

Graph realization:

Havel-Hakimi Algorithm:: Example-1 (contd-4.).

Iteration $it = 3$

$E = \{\{v_2, v_1\}, \{v_3, v_1\}, \{v_4, v_1\}, \{v_5, v_1\}, \{v_3, v_2\}, \{v_4, v_2\}, \{v_3, v_5\}, \{v_4, v_5\}\}.$

$d_i: 2; i: 5. \quad \{d_n\}: \{40, \cancel{3}20, \cancel{3}210, \cancel{3}210, \cancel{3}20\}.$

$V = \{v_1, v_2, v_3, v_4, v_5\}.$

$j: \begin{bmatrix} 3 & 4 & & & \end{bmatrix}$

$Adj = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \end{bmatrix}$

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Graphic sequence

Graph realization:

Havel-Hakimi Algorithm:: Example-1 (contd-5.).

Iteration stop after $it = 3$, as $\{d_n\}$ becoming all zeros.

$E = \{\{v_2, v_1\}, \{v_3, v_1\}, \{v_4, v_1\}, \{v_5, v_1\}, \{v_3, v_2\}, \{v_4, v_2\}, \{v_3, v_5\}, \{v_4, v_5\}\}.$

$d_i: 2; i: 5. \quad \{d_n\}: \{40, \cancel{3}20, \cancel{3}210, \cancel{3}210, \cancel{3}20\}.$

$V = \{v_1, v_2, v_3, v_4, v_5\}.$

$j: \begin{bmatrix} & & & & \end{bmatrix}$

$Adj = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \end{bmatrix}$

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Graphic sequence

Graph realization:

Havel-Hakimi Algorithm:: Example-1 (contd-6.).

Graph G , its adjacency matrix Adj_G . $\{d_n\}: \{40, \cancel{3}20, \cancel{3}210, \cancel{3}210, \cancel{3}20\}.$

$E = \{\{v_2, v_1\}, \{v_3, v_1\}, \{v_4, v_1\}, \{v_5, v_1\}, \{v_3, v_2\}, \{v_4, v_2\}, \{v_3, v_5\}, \{v_4, v_5\}\}.$

$V = \{v_1, v_2, v_3, v_4, v_5\}.$

$Adj_G = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 \end{bmatrix}$

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Summary

- Focus: Graph realization.
- Graphic sequence.
- Degree sequence, with properties and examples.
- Degree sequence related theorems, with proofs.
- Graphic sequence properties and examples.
- Graph realization.
- Havel-Hakimi theorem, with proof, corresponding algorithm and examples.

References

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