

CS34110 Discrete Mathematics and Graph Theory

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UNIT – III, Module – 1

## Lecture 28: Graphs & Trees

[ Tree enumeration; Prüfer sequence; Conversion algorithms of labeled tree  $\leftrightarrow$  Prüfer sequence;  
Proof of Cayley's Theorem ]

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## Tree enumeration

- Tree enumeration:
    - Property:: **Prüfer sequence** [also called **Prüfer code** or **Prüfer numbers**] of labeled tree  $T = (V, E)$  ( $V = \{v_1, v_2, \dots, v_n\}$ ,  $|V| = n$ ,  $n \geq 2$ ): unique sequence  $S$  of  $(n - 2)$  terms (representing encoding of  $T$ ), with each term representing label of vertex from  $V$ , based on labeling function  $\varphi: \mathbb{Z}^+ \rightarrow V$  with  $v_1 = \varphi(1), v_2 = \varphi(2), \dots, v_n = \varphi(n)$ , establishing one-to-one correspondence (i.e., bijection) between 'labeled tree  $T$  on  $n$  vertices' and 'sequence  $S$  of length  $(n - 2)$  on vertex labels  $1, \dots, n$  associated with  $T$ '.

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## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Steps to convert labeled tree into Prüfer sequence):
      - Input:  $T = (V, E)$  ( $|V| = n, n \geq 2$ ), label in  $V$ :  $1, \dots, n$  (as per  $\varphi: \mathbb{Z}^+ \rightarrow V$ ).
        - [i.e., vertex set to become vertex sequence  $V$ , similar to array]
      - Output: Sequence  $S = \{s_{n-2}\}$ .
      - Step-1: Repeat steps 2-5 for  $i = 1, \dots, (n - 2)$ .
      - Step-2: Find pendant vertex with smallest label in  $V$ , and record in  $v_i$ .
      - Step-3:  $V \leftarrow V \setminus v_i$  (deletion operation).
      - Step-4: Find neighbor vertex  $u_i$  of  $v_i$ , having label  $l'$ , based on  $Adj$ .
      - Step-5:  $s_i \leftarrow l'$ .

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## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Steps to convert Prüfer sequence into labeled tree):
      - Input: Sequence  $S = \{s_{n-2}\}$ ,  $\varphi(1), \varphi(2), \dots, \varphi(n)$ .
      - Output:  $T = (\mathbf{V}, \mathbf{E})$  ( $|\mathbf{V}| = n, n \geq 2$ ), adjacency matrix  $Adj$  of  $T$ .
      - Step-1: Set  $\mathbf{V}$  (such that  $|\mathbf{V}| = n$ ):  $\mathbf{V} = \{\varphi(1), \varphi(2), \dots, \varphi(n)\}$ .
      - Step-2: For each vertex  $v_l$  in  $\mathbf{V}$ , set  $\deg(v_l)$  = (number of times  $v_l$  present in  $S$ ) plus 1.
      - Step-3: For each term  $s$  in  $S$ , find first smallest-labeled pendant vertex  $v_t$  in  $\mathbf{V}$  (i.e.,  $\deg(v_t) = 1$ ), add edge  $e = \{v_t, s\}$  to  $E$ , and  $\deg(v_s) = \deg(v_t) - 1$ . **[Iteration nesting]**

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## Tree enumeration

- Tree enumeration:
  - Algorithm:: (Prüfer sequence into labeled tree): contd.
  - Step-4: Add edge of two remaining vertices of degree 1 to E, and decrement their degree by 1.
  - Step-5: Construct  $\text{Adj}$  of  $T$  from  $V, E$ , and draw  $T = (V, E)$ .

## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Labeled tree into Prüfer sequence):: example-1.
- Given:  $T$ , its adjacency matrix  $\text{Adj}$ ,  $V, V$ .
- $V = \{a, b, c, d, e, f\}$ . Iterations  $i = 1, \dots, 4$ .
- $V:$
- |                  |                  |                  |                  |                  |                  |
|------------------|------------------|------------------|------------------|------------------|------------------|
| $\varphi(1) = a$ | $\varphi(2) = b$ | $\varphi(3) = c$ | $\varphi(4) = d$ | $\varphi(5) = e$ | $\varphi(6) = f$ |
| $\deg(a) = 2$    | $\deg(b) = 1$    | $\deg(c) = 1$    | $\deg(d) = 1$    | $\deg(e) = 2$    | $\deg(f) = 3$    |
| 1                | 2                | 3                | 4                | 5                | 6                |
- $\text{Adj} =$
- $$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$
- [Ref: Kenneth H. Rosen, Discrete Mathematics and its Applications, Eighth edition, McGraw-Hill Education, 2019.]

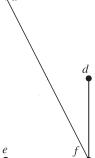
## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Labeled tree into Prüfer sequence):: example-1 (contd.).
- Iteration  $i = 1$ :
- $V:$
- |                  |                  |                  |                  |                  |                  |
|------------------|------------------|------------------|------------------|------------------|------------------|
| $\varphi(1) = a$ | $\varphi(2) = b$ | $\varphi(3) = c$ | $\varphi(4) = d$ | $\varphi(5) = e$ | $\varphi(6) = f$ |
| $\deg(a) = 2$    | $\deg(b) = 1$    | $\deg(c) = 1$    | $\deg(d) = 1$    | $\deg(e) = 1$    | $\deg(f) = 3$    |
| 1                | 2                | 3                | 4                | 5                |                  |
- $\text{Adj} =$
- $$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & X & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & X & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 \end{bmatrix}$$
- Vertex satisfying condition:  $b$ .
- $V = \{a, b, c, d, e, f\}$ .  $S = \{5\}$ .
- $V:$
- |                  |                  |                  |                  |                  |
|------------------|------------------|------------------|------------------|------------------|
| $\varphi(1) = a$ | $\varphi(3) = c$ | $\varphi(4) = d$ | $\varphi(5) = e$ | $\varphi(6) = f$ |
| $\deg(a) = 2$    | $\deg(c) = 1$    | $\deg(d) = 1$    | $\deg(e) = 1$    | $\deg(f) = 3$    |
| 1                | 2                | 3                | 4                | 5                |

### Tree enumeration

- Tree enumeration:

Algorithm:: (Labeled tree into Prüfer sequence):: example-1 (contd-2.).  
Iteration  $i = 2$ :



$Adj = \begin{bmatrix} 0 & 0 & x & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & x & 0 \\ x & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & x & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 & 1 & 0 \end{bmatrix}$  Vertex satisfying condition:  $c$ .

$V = \{a, b, e, d, e, f\}, S = \{5, 1\}$

$V: \begin{array}{|l|l|l|l|} \hline \varphi(1) = a & \varphi(4) = d & \varphi(5) = e & \varphi(6) = f \\ \hline \deg(a) = 1 & \deg(d) = 1 & \deg(e) = 1 & \deg(f) = 3 \\ \hline 1 & 2 & 3 & 4 \\ \hline \end{array}$

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### Tree enumeration

- Tree enumeration:

Algorithm:: (Labeled tree into Prüfer sequence):: example-1 (contd-3.).  
Iteration  $i = 3$ :



$Adj = \begin{bmatrix} 0 & 0 & x & 0 & 0 & x \\ 0 & 0 & 0 & 0 & x & 0 \\ x & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & x & 0 & 0 & 0 & 1 \\ x & 0 & 0 & 1 & 1 & 0 \end{bmatrix}$  Vertex satisfying condition:  $a$ .

$V = \{a, b, e, d, e, f\}, S = \{5, 1, 6\}$

$V: \begin{array}{|l|l|l|l|} \hline \varphi(4) = d & \varphi(5) = e & \varphi(6) = f \\ \hline \deg(d) = 1 & \deg(e) = 1 & \deg(f) = 2 \\ \hline 1 & 2 & 3 \\ \hline \end{array}$

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### Tree enumeration

- Tree enumeration:

Algorithm:: (Labeled tree into Prüfer sequence):: example-1 (contd-4.).  
Iteration  $i = 4$ :



$Adj = \begin{bmatrix} 0 & 0 & x & 0 & 0 & x \\ 0 & 0 & 0 & 0 & x & 0 \\ x & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & x \\ 0 & x & 0 & 0 & 0 & 1 \\ x & 0 & 0 & x & 1 & 0 \end{bmatrix}$  Vertex satisfying condition:  $d$ .

$V = \{a, b, e, d, e, f\}, S = \{5, 1, 6, 6\}$

$V: \begin{array}{|l|l|l|l|} \hline \varphi(5) = e & \varphi(6) = f \\ \hline \deg(e) = 1 & \deg(f) = 1 \\ \hline 1 & 2 \\ \hline \end{array}$

Prüfer sequence

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## Tree enumeration

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- Tree enumeration:
  - Algorithm:: (Prüfer sequence into labeled tree): example-2.  
Given: Prüfer sequence  $S, \varphi(1), \varphi(2), \dots, \varphi(n)$ .

$$S = \{5, 1, 6, 6\}.$$

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## Tree enumeration

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- Tree enumeration:
  - Algorithm:: (Prüfer sequence into labeled tree): example-2 (contd.).  
Initialize  $V$ , vertex array  $V$  with degree 1 for all vertices;  $|V| = |S| + 2$ .

$$S = \{5, 1, 6, 6\}.$$

$$V = \{\varphi(1), \varphi(2), \varphi(3), \varphi(4), \varphi(5), \varphi(6)\}.$$

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(4)$	$\varphi(5)$	$\varphi(6)$
$\deg(1) = 1$	$\deg(1) = 1$	$\deg(3) = 1$	$\deg(4) = 1$	$\deg(5) = 1$	$\deg(6) = 1$

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## Tree enumeration

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- Tree enumeration:
  - Algorithm:: (Prüfer sequence into labeled tree): example-2 (contd-2.).  
Update vertex array  $V$  with degree values as per terms of  $S$ .

Iterations: outer –  $i = 1, \dots, 4$ ; inner –  $j = 1, \dots, 6$ .

$$S = \{5, 1, 6, 6\}.$$

$$V = \{\varphi(1), \varphi(2), \varphi(3), \varphi(4), \varphi(5), \varphi(6)\}.$$

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(4)$	$\varphi(5)$	$\varphi(6)$
$\deg(1) = 2$	$\deg(1) = 1$	$\deg(3) = 1$	$\deg(4) = 1$	$\deg(5) = 2$	$\deg(6) = 3$

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## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Prüfer sequence into labeled tree): example-2 (contd-3).  
Iteration  $i = 1, j = 2$   
 $E = \{\{(\varphi(2), \varphi(s_1=5))\}\}$ .

$$V = \{\varphi(1), \varphi(2), \varphi(3), \varphi(4), \varphi(5), \varphi(6)\}.$$

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(4)$	$\varphi(5)$	$\varphi(6)$
$\deg(1)=2$	$\deg(1)=0$	$\deg(3)=1$	$\deg(4)=1$	$\deg(5)=1$	$\deg(6)=3$
1	2	3	4	5	6

## Tree enumeration

- Tree enumeration:
    - Algorithm: (Prüfer sequence into labeled tree): example-2 (contd-4.).  
Iteration  $i = 2, j = 3$

$$V = \{\varphi(1), \varphi(2), \varphi(3), \varphi(4), \varphi(5), \varphi(6)\}.$$

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(4)$	$\varphi(5)$	$\varphi(6)$
$\deg(1)=1$	$\deg(1)=0$	$\deg(3)=0$	$\deg(4)=1$	$\deg(5)=1$	$\deg(6)=3$
1	3	4	5	6	

## Tree enumeration

- Tree enumeration:
    - Algorithm:: (Prüfer sequence into labeled tree): example-2 (contd-5.).  
Iteration  $i = 3, j = 1$   
 $E = \{\{\varphi(2), \varphi(s_1=5)\}, \{\varphi(3), \varphi(s_2=1)\}, \{\varphi(1), \varphi(s_3=6)\}\}.$

$$V = \{\varphi(1), \varphi(2), \varphi(3), \varphi(4), \varphi(5), \varphi(6)\}.$$

$\varphi(1)$	$\varphi(2)$	$\varphi(3)$	$\varphi(4)$	$\varphi(5)$	$\varphi(6)$
$\deg(\varphi(1)) = 0$	$\deg(\varphi(2)) = 0$	$\deg(\varphi(3)) = 0$	$\deg(\varphi(4)) = 1$	$\deg(\varphi(5)) = 1$	$\deg(\varphi(6)) = 2$
1	2	3	4	5	6



## Tree enumeration

- Tree enumeration:

Property:: (**Cayley's Theorem**): Number of labeled trees to be equal to  $n^{n-2}$ , where each labeled tree  $\mathcal{T}$  to have  $n$  vertices in vertex set  $V$  (i.e.,  $|V| = n$ ,  $n \geq 2$ ).

Proof [Prüfer(1918)]: Based on principle of mathematical induction and forming set of Prüfer sequences for trees with  $n$  vertices in  $V$ .

To prove: if presence of bijection function  $\Phi: \mathbf{T} \rightarrow \mathbf{S}$  between  $\mathbf{T} =$  set of labeled trees formed from  $V$ , and  $\mathbf{S} =$  set of Prüfer sequences  $S$  of length  $(|V| - 2) = n - 2$  for encoding trees in  $\mathbf{T}$ . Then, number of labeled trees from  $V$  = unique encoding count =  $|\mathbf{S}|$ .

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## Tree enumeration

- Tree enumeration:

Proof [Prüfer(1918)] (contd.):

For establishing bijective nature of  $\Phi: \mathbf{T} \rightarrow \mathbf{S}$ , to show that for each Prüfer sequence  $S = \{s_{n-2}\} \in \mathbf{S}$ , exactly one tree  $\mathcal{T}$  with vertex set  $V$  to generate  $S$ , i.e.,  $\text{PrüferCoding}(\mathcal{T}) = S$  to have exactly one solution.

*Basis step:*  $n = 2$ . For  $\mathcal{T}$  with  $|V| = 2$  (only one tree possible), length of  $S = 0$ . Then  $\text{PrüferCoding}(\mathcal{T}) = S$  with exactly one solution.

*Inductive step:*

Inductive hypothesis: premise that unique Prüfer sequence  $S'$  for fewer than  $n$  vertices, i.e., length of  $S'$  less than  $(n - 2)$ .

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## Tree enumeration

- Tree enumeration:

Proof [Prüfer(1918)] (contd-2.):

Considering unique Prüfer sequence  $S'$  formed for tree  $\mathcal{T}'$  with  $V'$ ,  $|V'| = n - 1$ , then,  $\text{PrüferCoding}(\mathcal{T}') = S'$ , with length of  $S' = n - 3$ .

Main role of algorithmic steps to determine  $S'$ : to reduce degree of each vertex of  $\mathcal{T}'$  to 1 and then possibly deleting it.

Thus, no pendant vertex  $v$  of  $\mathcal{T}'$  to appear in  $S'$ , due to restrictive precondition of reducing  $\mathcal{T}'$  to single vertex  $u$  before recording  $v$  as neighbor of  $u$ .

Hence, every non-pendant vertex of  $\mathcal{T}'$  to appear in  $S'$ .

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## Summary

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- Focus: Tree enumeration.
- Tree enumeration.
- Prüfer sequence, with properties.
- Algorithm to convert labeled tree into Prüfer sequence.
- Algorithm to convert Prüfer sequence into labeled tree.
- Labeled tree into Prüfer sequence detailed steps, with examples.
- Prüfer sequence into labeled tree detailed steps, with examples.
- Rigorous proof of Cayley's Theorem using Prüfer sequences.

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## References

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2. [Lip07] Seymour Lipschutz and Marc Lars Lipson, *Schaum's Outline of Theory and Problems of Discrete Mathematics*, Third edition, McGraw-Hill Education, 2007.
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4. [Deo74] Narsingh Deo, *Graph Theory with Applications to Engineering and Computer Science*, Prentice-Hall, 1974.
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6. [https://en.wikipedia.org/wiki/Prüfer\\_sequence](https://en.wikipedia.org/wiki/Prüfer_sequence).

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29

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