

# Controls assessment

Administrative Controls			
Control Name	Control type and explanation	Needs to be implemented (X)	Priority
Least Privilege	Preventative; reduces risk by making sure vendors and non-authorized staff only have access to the assets/data they need to do their jobs	X	High
Disaster recovery plans	Corrective; business continuity to ensure systems are able to run in the event of an incident/there is limited to no loss of productivity downtime/impact to system components, including: computer room environment (air conditioning, power supply, etc.); hardware (servers, employee equipment); connectivity (internal network, wireless); applications (email, electronic data); data and restoration	X	High
Password policies	Preventative; establish password strength rules to improve security/reduce likelihood of account compromise through brute force or dictionary attack techniques	X	High
Access control policies	Preventative; increase confidentiality and integrity of data	X	High
Account management policies	Preventative; reduce attack surface and limit overall impact from disgruntled/former	X	High

Administrative Controls			
	employees		
Separation of duties	Preventative; ensure no one has so much access that they can abuse the system for personal gain	X	High

Technical Controls			
Control Name	Control type and explanation	Needs to be implemented (X)	Priority
Firewall	Preventative; firewalls are already in place to filter unwanted/malicious traffic from entering internal network	N/A	NA
Intrusion Detection System (IDS)	Detective; allows IT team to identify possible intrusions (e.g., anomalous traffic) quickly	X	High
Encryption	Deterrent; makes confidential information/data more secure (e.g., website payment transactions)	X	High
Backups	Corrective; supports ongoing productivity in the case of an event; aligns to the disaster recovery plan	X	High
Password management system	Corrective; password recovery, reset, lock out notifications	X	Medium
Antivirus (AV) software	Corrective; detect and quarantine known threats	X	High
Manual monitoring, maintenance, and	Preventative/corrective; required for legacy systems to	X	High

intervention	identify and mitigate potential threats, risks, and vulnerabilities		
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Physical Controls			
Control Name	Control type and explanation	Needs to be implemented (X)	Priority
Time-controlled safe	Deterrent; reduce attack surface/impact of physical threats	X	Medium/ Low
Adequate lighting	Deterrent; limit “hiding” places to deter threats	X	Low
Closed-circuit television (CCTV) surveillance	Preventative/detective; can reduce risk of certain events; can be used after event for investigation	X	High
Locking cabinets (for network gear)	Preventative; increase integrity by preventing unauthorized personnel/individuals from physically accessing/modifying network infrastructure gear	X	High
Signage indicating alarm service provider	Deterrent; makes the likelihood of a successful attack seem low	X	Low
Locks	Preventative; physical and digital assets are more secure	X	High
Fire detection and prevention (fire alarm, sprinkler system, etc.)	Detective/Preventative; detect fire in the toy store’s physical location to prevent damage to inventory, servers, etc.	X	High