

Abstract geometric lines in the top left corner, consisting of several thin, light brown lines that intersect to form various polygons and shapes, creating a modern, minimalist design element.

# CAPSTONE PROJECT

## Image Caption Generator

Sing Ee Shawn

# ABOUT ME

Through the 12-week DSI program, I went from learning the basics of Python to exploring machine learning using libraries like scikit-learn, but I also excited to try more.

Deep learning with TensorFlow and Keras seemed like a good gateway into working with computer vision and big data and a good milestone at this point.

## MACHINE LEARNING

A machine can be trained to generate a sentence in a natural language based on the features identified within an image.

## MY HOBBY

As a photography hobbyist, it would be interesting to train a model that can automatically generate captions for the images I share.

## STEPS INVOLVED

Before we add on additional complexities, the base model needs to correctly identify the features, then generate a simple caption describing the features.

## GOAL

**To train an attention mechanism-based caption generator that is able to generate a descriptive caption of an image with a BLEU-1 score of at least 0.5.**

# PROBLEM STATEMENT

# IMAGE CAPTION GENERATOR



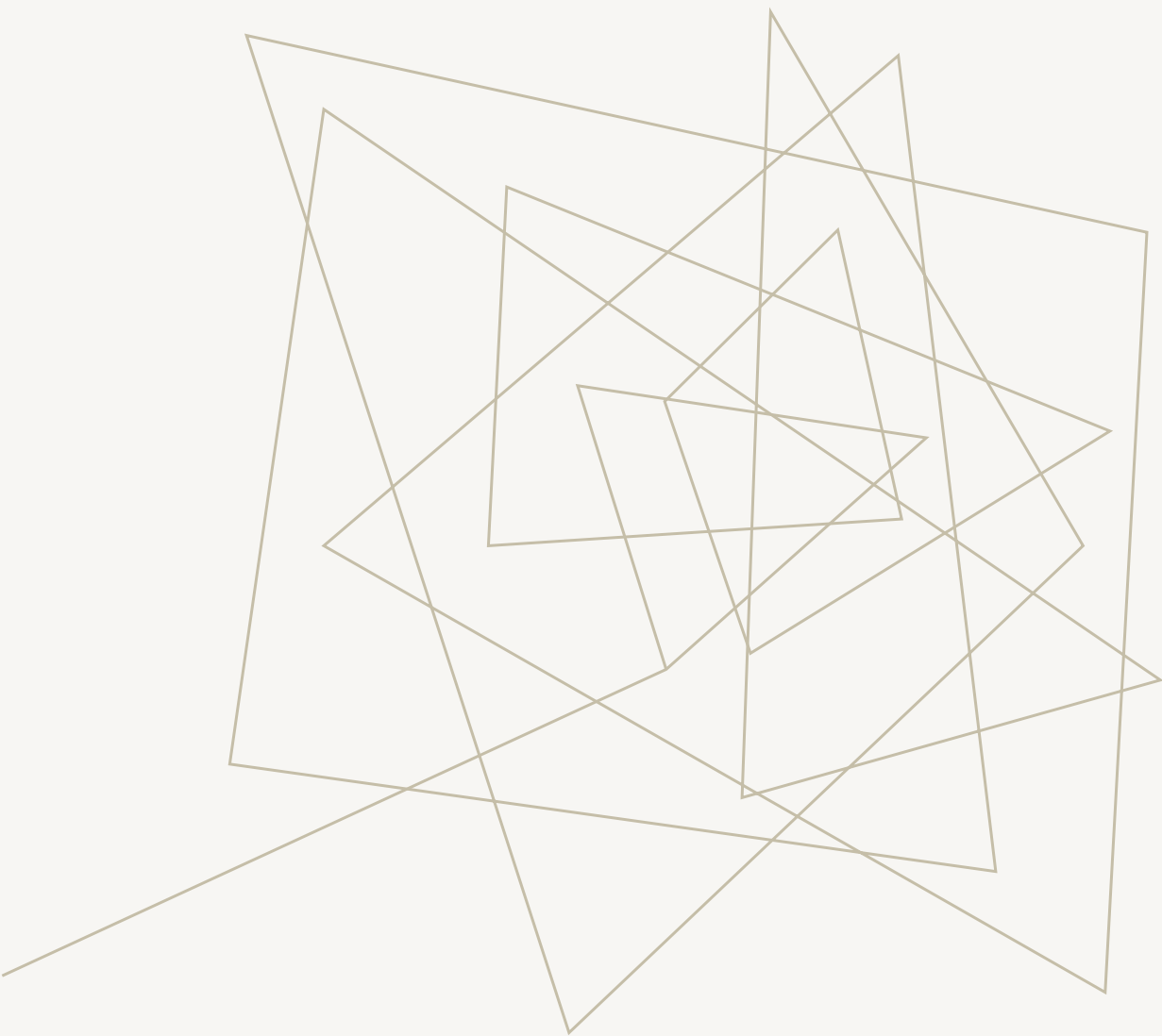
## STEP 1: Caption Generator

Man in red shirt is surfing in the ocean

Goal

## STEP 2: Text Generation AI

**Man in a red shirt is surfing in the ocean** off Australia's Gold Coast, one of the world's top surfing destinations.



**DATASET**

# Flickr30k

## Flickr

Online photo hosting service and community started in 2004

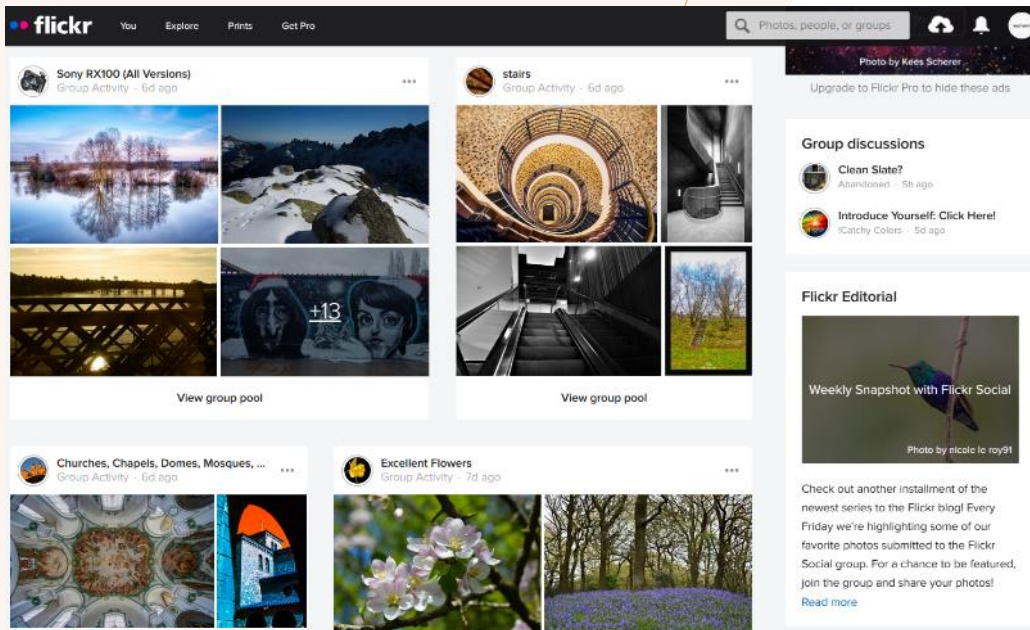
## SOURCE

[\*From image descriptions to visual denotations: New similarity metrics for semantic inference over event descriptions\*](#)

Peter Young and Alice Lai and Micah Hodosh and Julia Hockenmaier

## DATA

**158,915** crowd sourced **captions** describing **31,783 images** sourced from Flickr, mostly focusing on people involved in everyday activities and events.



# DATA SAMPLES



A **child** is dancing with an elderly man .

An old man is just dancing with a **woman** .

An elderly man is dancing with a young **lady** .

An elderly man is dancing with a young girl .

A young woman dancing with an old man while other people watch .



Two friends **hold trophies** .

Two men **enjoy the weather** outside .

Two friends are comparing **trophy** 's .

Two men **enjoying drinks** at an outdoor event .

Two men outside **looking at metalwork objects** .



A girl swings on a rope swing .

The small girl is swinging on the rope

A girl rides a swing while another girl watches .

A young girl wearing a yellow shirt swings on a tree rope .

A little girl with a yellow shirt swings while a little girl in green watches .

# CAPTIONS CORPUS

## 1,957,129 TOTAL WORDS

Total number of words across all the captions

## 18,293 UNIQUE WORDS

Words that appear at least once throughout all the captions

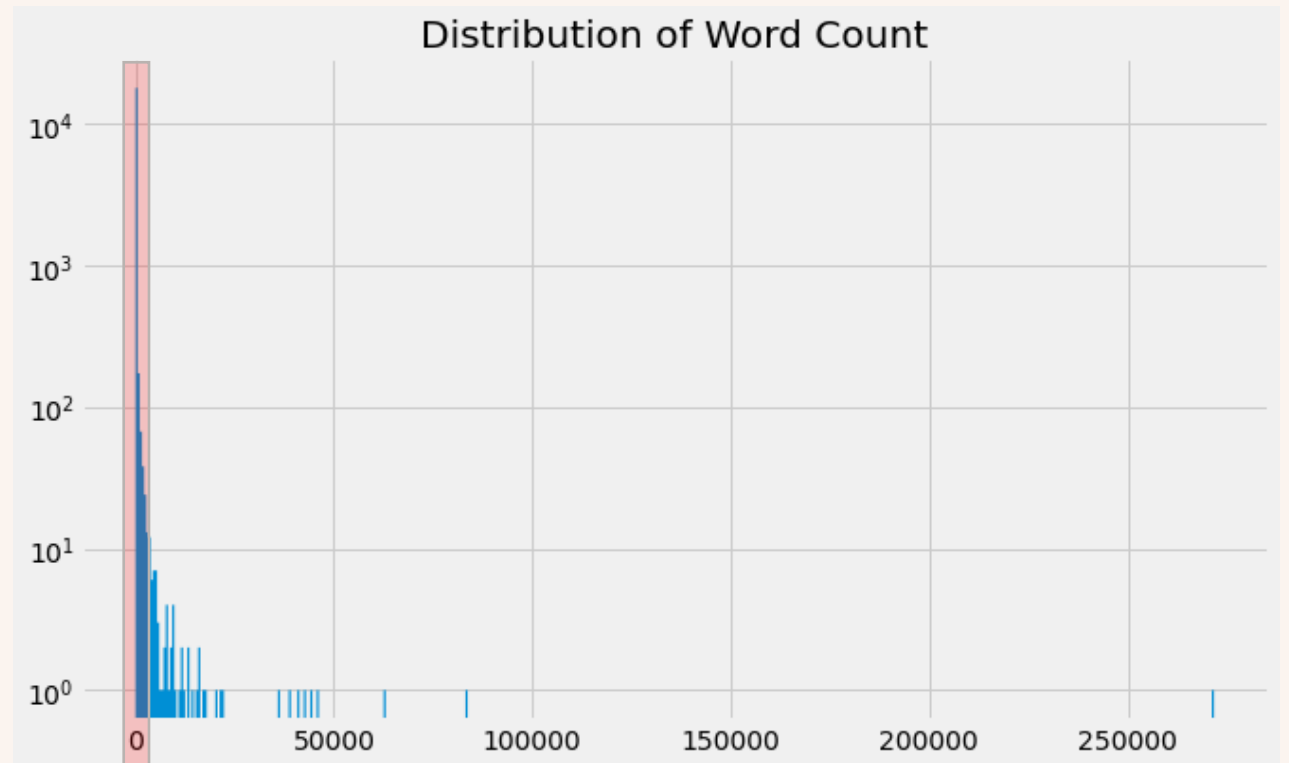
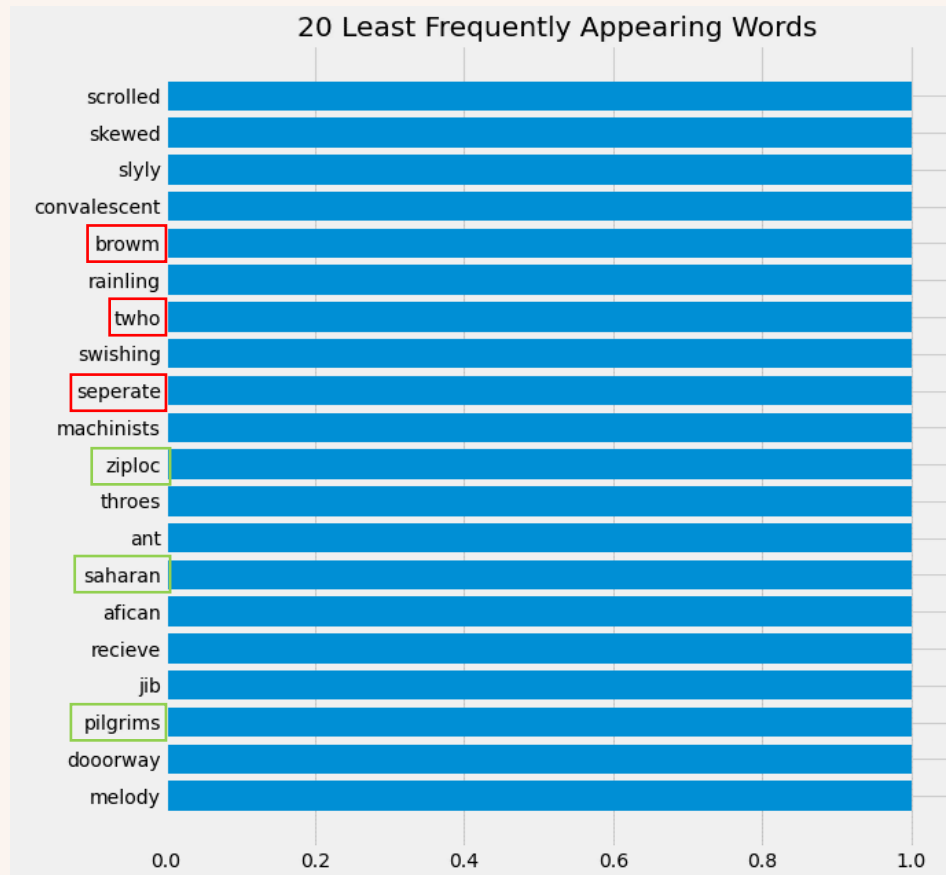
## BETWEEN 2 TO 78 WORDS

Length of the captions



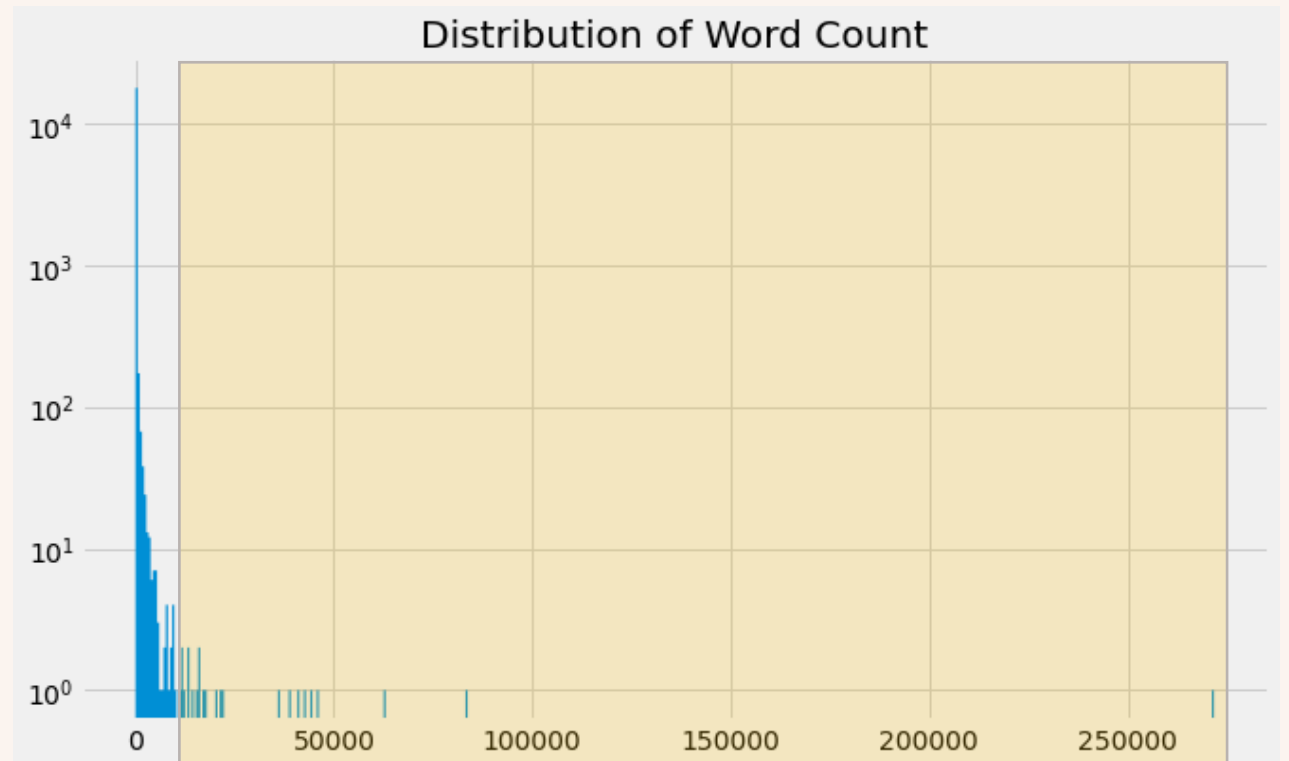
# TOP 20 LEAST COMMON WORDS

Often misspelt or very specific words



# TOP 20 MOST COMMON WORDS

Broad terms, prepositions, articles, adjectives



# CAPTION CLEANING



## ORIGINAL CAPTION

Man reads newspaper in a park while drinking Starbuck 's coffee .

## CONVERT TO LOWER CASE

Man reads newspaper in a park while drinking Starbuck 's coffee .

## REMOVE PUNCTUATION

man reads newspaper in a park while drinking starbuck 's coffee .

## REMOVE SINGLE CHARACTER WORDS

man reads newspaper in a park while drinking starbuck s coffee

## CLEANED CAPTION

man reads newspaper in park while drinking starbuck coffee

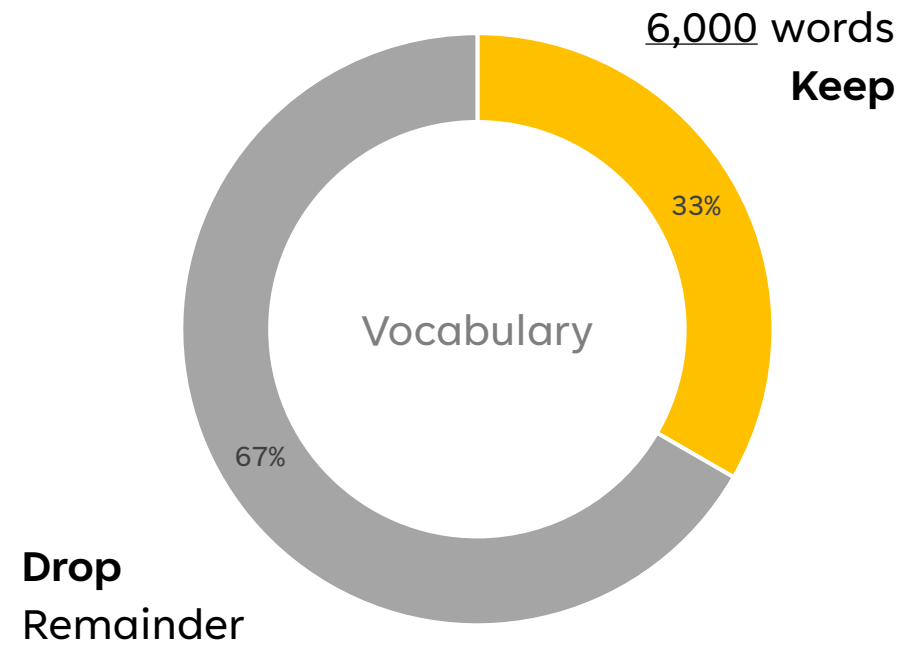
## AFTER CLEANING

Shortest Caption

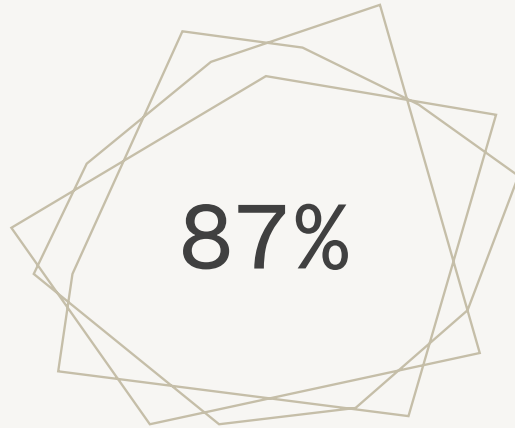
1 word

Longest Caption

72 words



## DATASET SPLIT



### TRAIN

27,648 images

138,240 captions



### VALIDATION

3,072 images

15,360 captions



### TEST

1,063 images

5,315 captions

# SHORTEST CAPTION

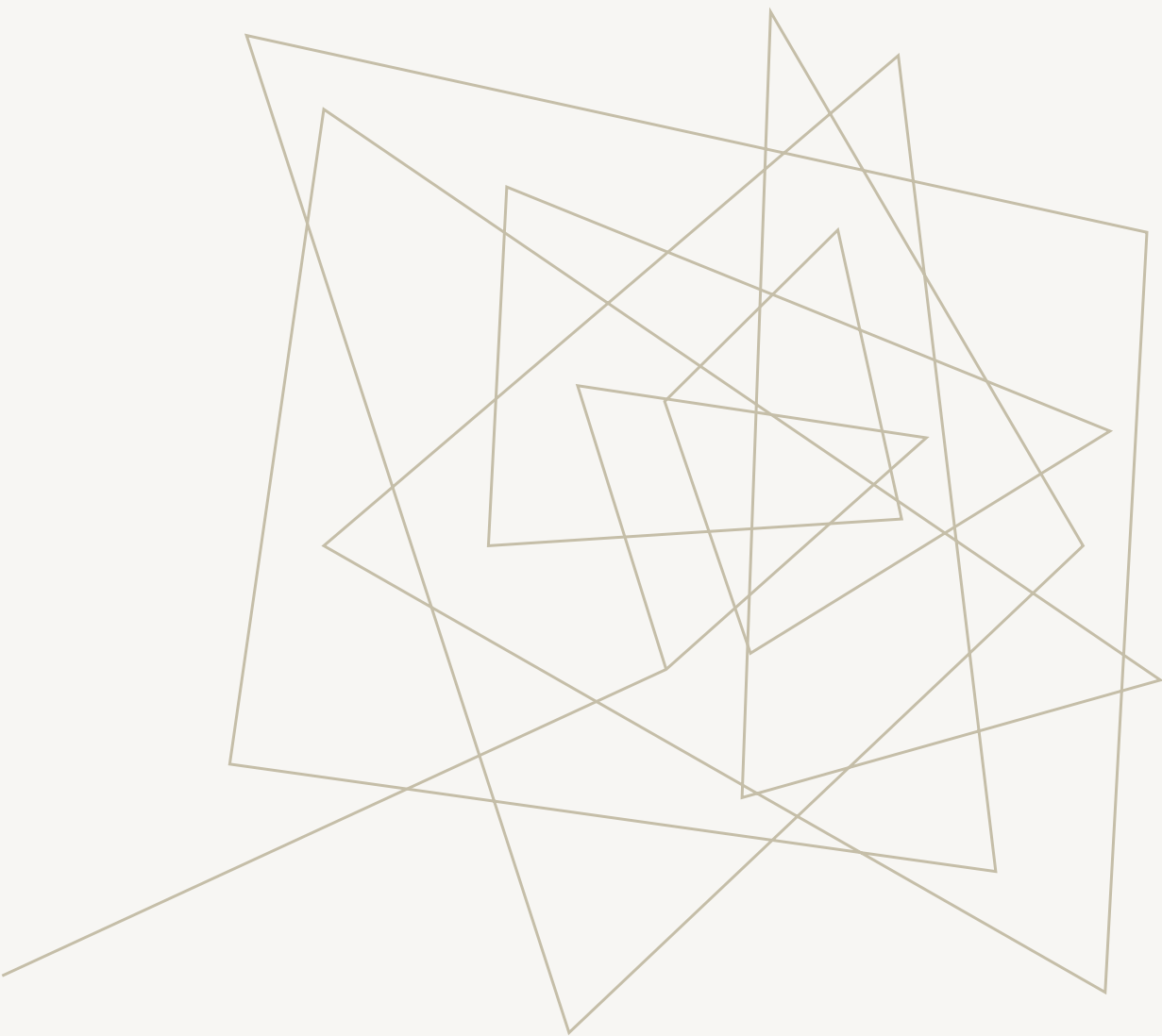
“snowboarder”



# LONGEST CAPTION

“man wearing helmet red pants with white stripes going down the sides and white and red shirt is on small bicycle using only his hands while his legs are up in the air while another man wearing light blue shirt with dark blue trim and black pants with red stripes going up the sides is standing nearby gesturing toward the first man and holding small figurine of one of the seven dwarves





**MODEL**



# MODEL WORKFLOW

- Feature extraction
- Tokenization

Prepare data

- Callbacks
- Loss and accuracy

Training

TensorFlow  
Dataset


- Batching
- Shuffling
- Pre-fetching

Evaluation

- BLEU Score
- Predictions

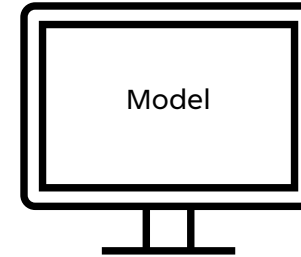
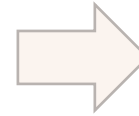
# CAPTION GENERATION

1010  
1010  
**Input 1:**  
Feature map  
(7, 7, 576)

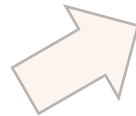
  
**Input 2:**  
Caption tokens



TensorFlow  
Dataset



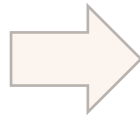
Caption  
Generator



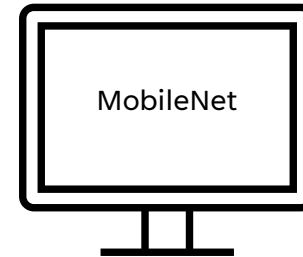
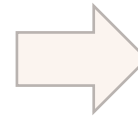
## INPUT 1: FEATURE MAP



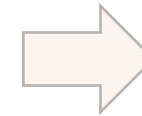
**Input:**  
Image



Resized  
(224, 224, 3)



Passed into  
image encoder



1010  
1010

**Output:**  
Feature map  
(7, 7, 576)

## IMAGE ENCODER – MOBILENETV3

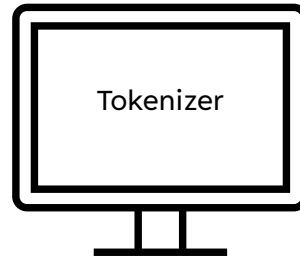
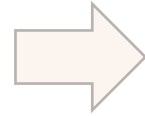
- Image classification model without classification layer
- Developed by Google researchers
- Pre-trained on the ImageNet dataset
  - 1.4M images
  - 1,000 classes



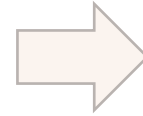
## INPUT 2: CAPTION TOKENS



**Input:**  
Caption



Passed into  
TextVectorization  
Layer



1010  
1010

**Output:**  
Integer sequence

## TOKENIZER

- TextVectorization layer adapted on training captions to compute a vocabulary with 6,000 words
- Adds a [START] and [END] marker

Caption  
(16 words)

two young guys with shaggy hair look at their  
hands while hanging out in the yard



Added  
markers

[START] two young guys with shaggy hair look at  
their hands while hanging out in the yard [END]



Convert words to index

Tokenized  
output  
(18 integers)

[2 13 22 329 11 1993 89 186 17 63 161 24  
320 72 4 5 472 3]

# CAPTION GENERATOR

## Token Output layer

- Generates token probabilities

## Feed Forward layer

- Passes information through

## Cross Attention layer

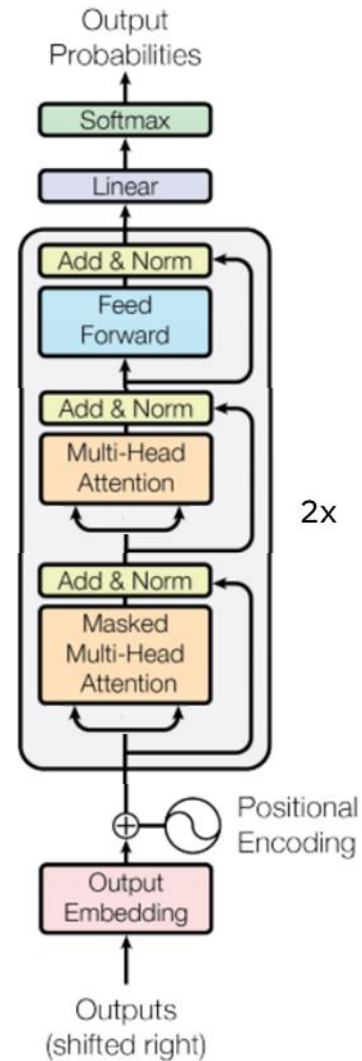
- Returns the attention\_scores for plotting

## Causal Self Attention layer

- Creates a causal mask to ensure output only depends on previous elements

## Sequence Embedding layer

- Provides a sequential order to the tokens



## Output

Tokenized output

[ 13 22 329 11 1993 89 186 17 63 161 24 320 72 4 5 472 3 ]



Convert index to words

Caption

two young guys with shaggy hair look at their hands while hanging out in the yard [END]

Image adapted from <https://www.tensorflow.org/text/tutorials/transformer>

## Custom 'GenerateText' callback to visualise training progress

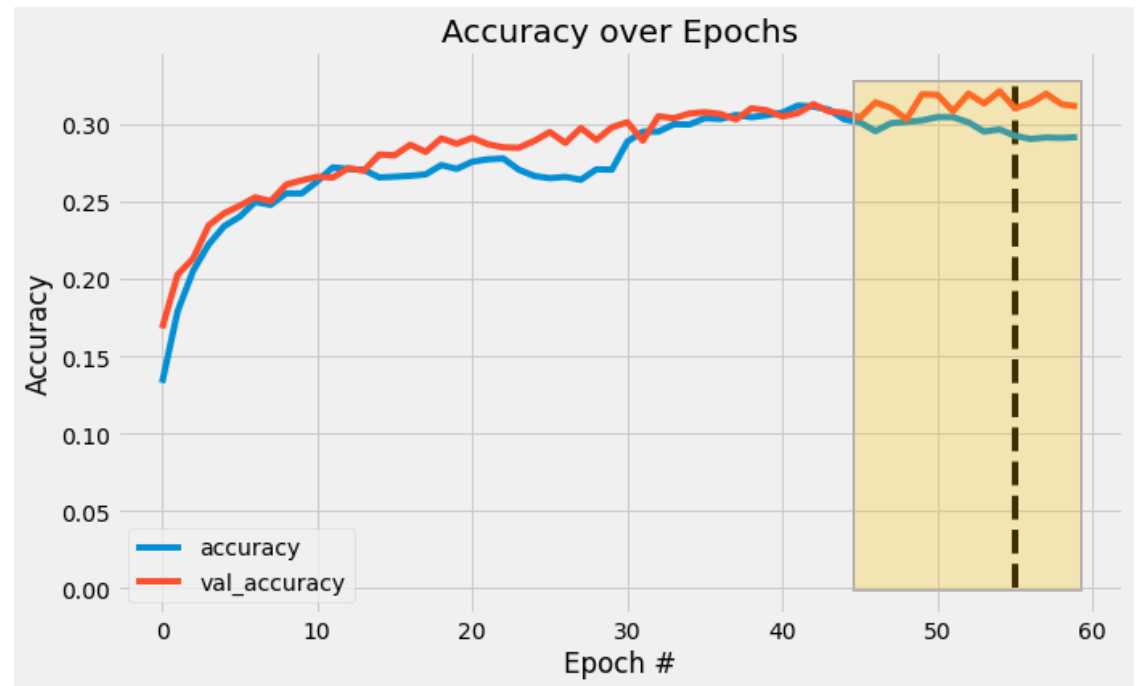
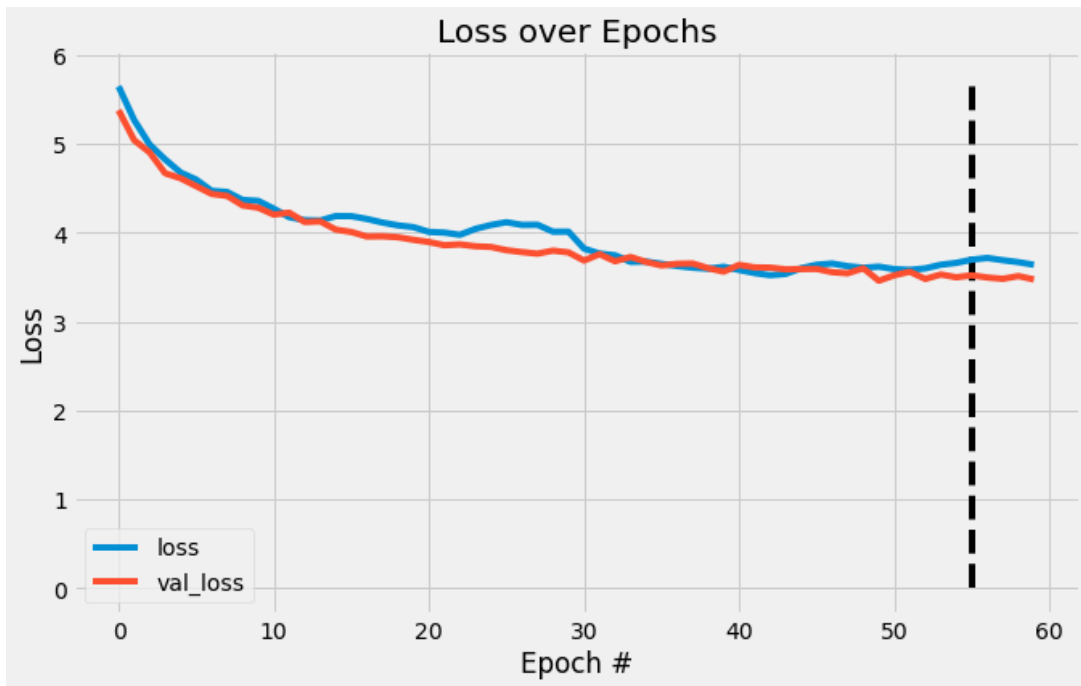
- Epoch 1  
“man in the the the the the the the the the the the the the”
- Epoch 5  
“two men are playing in the water”
- Epoch 10  
“man in red shirt is playing in the water”
- Epoch 20  
“man in red shirt and blue shirt is in the water”
- Epoch 40  
“surfer is surfing wave”
- Epoch 50  
“surfer in red wetsuit is surfing”
- Epoch 55  
“man in red shirt is surfing in the ocean”
- Epoch 60  
“surfer is surfing in the ocean”

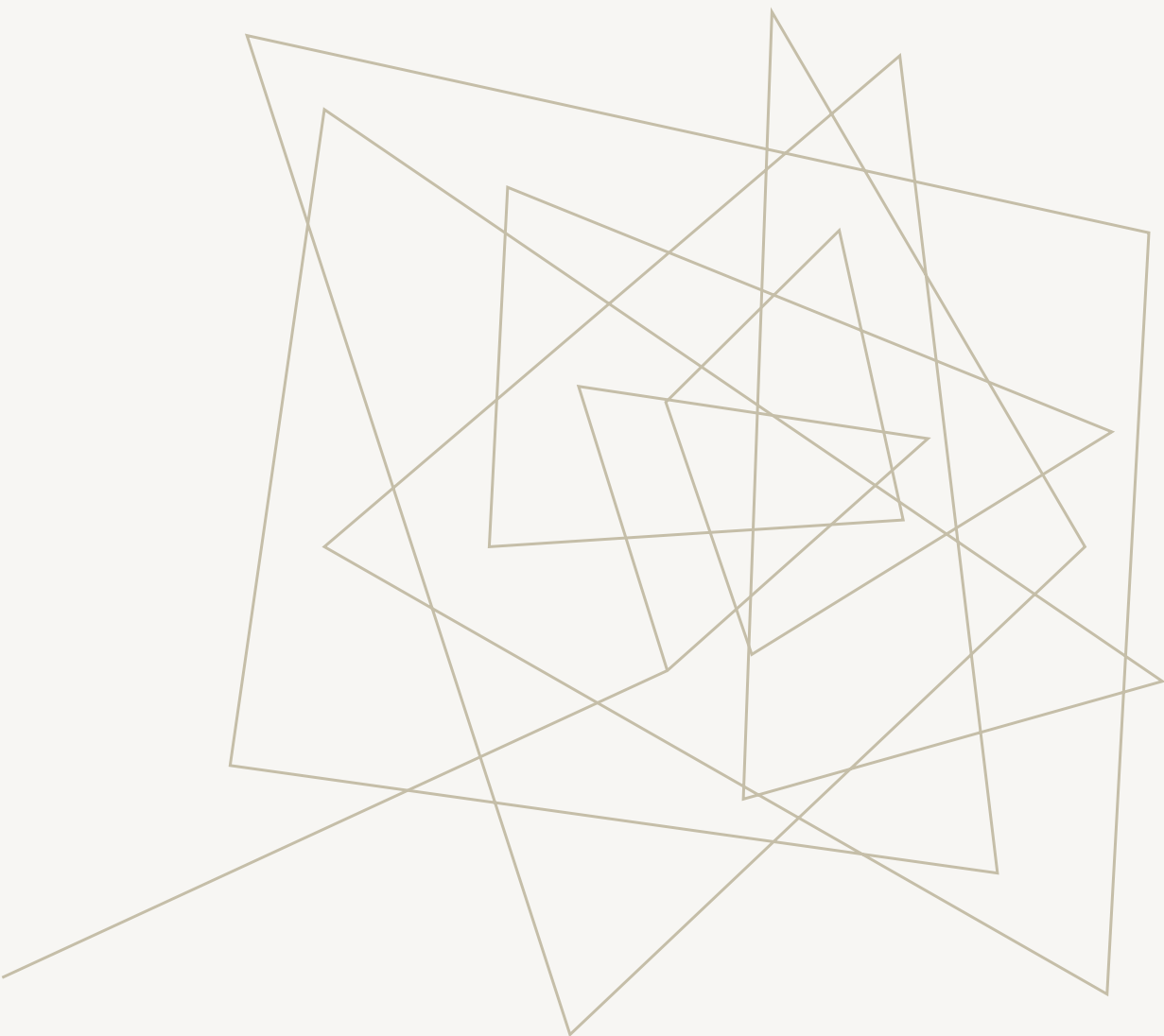




# Achieved highest val\_accuracy at Epoch 55

- 'EarlyStop' callback if no improvements after 5 Epochs
- Training stopped after Epoch 60
- Could have potentially stopped at Epoch 45, as there appears to be a divergence between train and validation scores, which may suggest overfitting





**DOES IT WORK?**

## BLEU SCORE

- Bilingual Evaluation Understudy (BLEU)
- Originally developed to compare performance of translation models
- Easy to compute and understand

<u>Reference</u>	"I like dogs very much"
<u>Prediction</u>	"I like dogs too"
1-gram	"I", "like", "dogs", "very", "much" "I", "like", "dogs", "too"
2-gram	"I like", "like dogs", "dogs very", "very much" "I like", "like dogs", "dogs too"
3-gram	"I like dogs", "like dogs very", "dogs very much" "I like dogs", "like dogs too"
4-gram	"I like dogs very", "like dogs very much" "I like dogs too"



	Weightage				Benchmark <sup>1</sup>	Model Score
	1-gram	2-gram	3-gram	4-gram		
BLEU-1	1	0	0	0	0.60	<b>0.52</b>
BLEU-2	0.5	0.5	0	0	0.41	<b>0.32</b>
BLEU-3	0.33	0.33	0.33	0	0.27	<b>0.20</b>
BLEU-4	0.25	0.25	0.25	0.25	0.18	<b>0.11</b>

<sup>1</sup> Estimated benchmark based on a high performance model. Source: [Where to put the Image in an Image Caption Generator](#)

## PREDICTED CAPTION

man in blue shirt is standing on mountain



standing



on



mountain



[END]



## PREDICTED CAPTION

group of people are standing in the middle of the crowd of people are standing in the background





## PREDICTED CAPTION

people are walking down the street



people



are



walking



down



the



street



[END]





brown dog is running through the sand

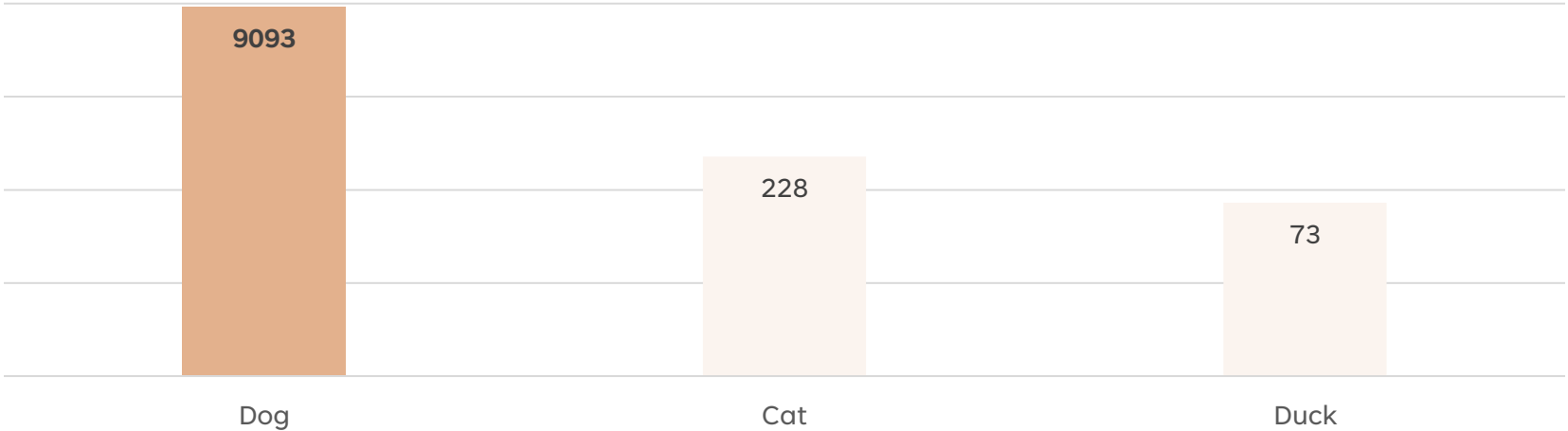


young girl is sitting on the floor

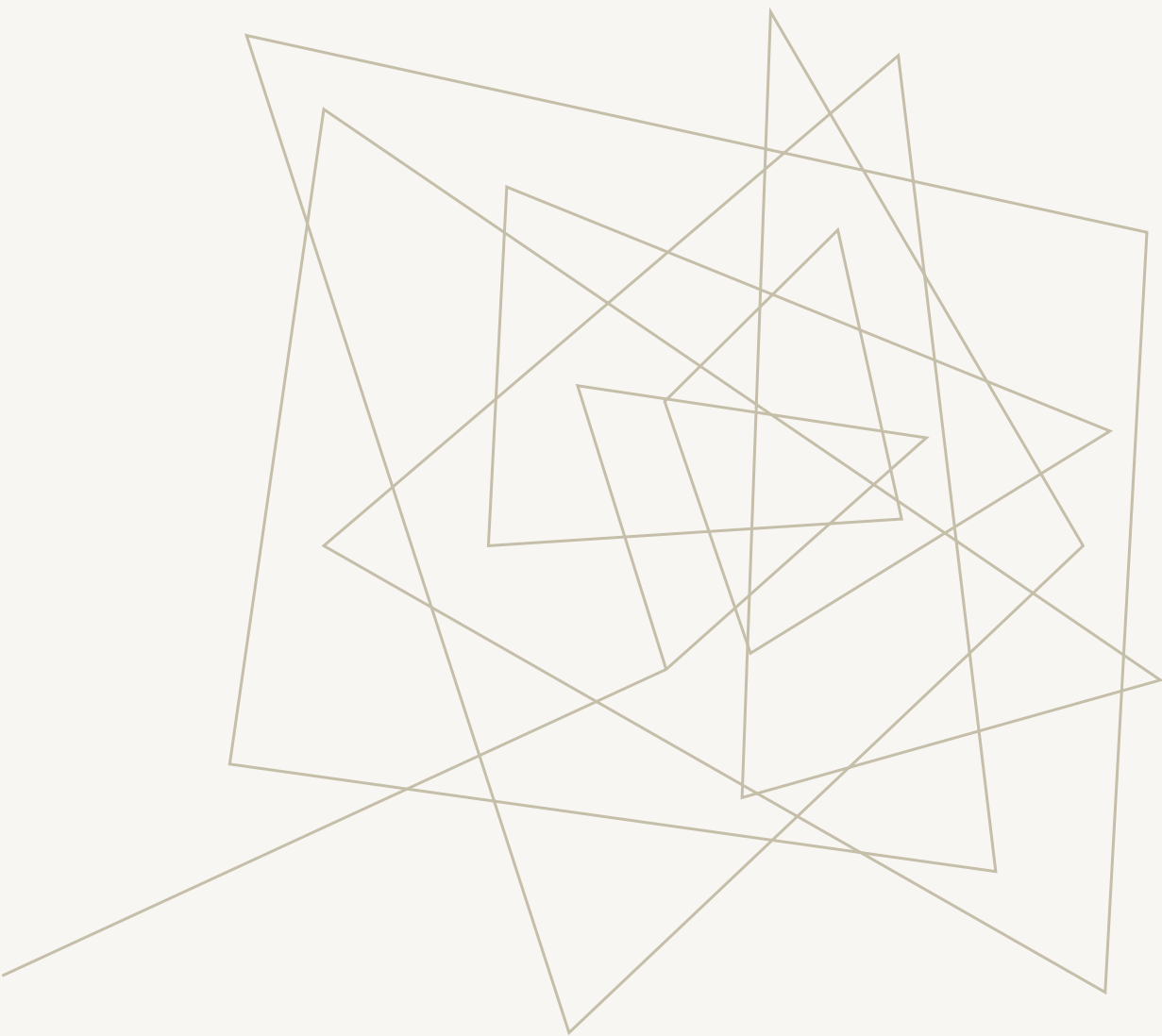


man is standing on the ground with his head

Word Count in Training Text







CONCLUSION

A series of thin, light-brown lines forming various overlapping triangles and polygons, creating a complex geometric pattern in the top-left corner of the slide.

# FUTURE WORK

## CHOICE OF IMAGE ENCODER

Different model with higher prediction accuracy and perhaps more parameters, or utilize transfer learning to fine-tune the model to a specific style of photography

## DATASET

Use of larger datasets such as Microsoft's COCO or Google's Conceptual Captions allows greater exposure

## HYPERPARAMETER TUNING

Unfortunately I did not have time to perform hyperparameter tuning, which could have boosted the final performance of the model.

# IMAGE CAPTION GENERATOR



## STEP 1: Caption Generator

Man in red shirt is surfing in the ocean

Completed

## STEP 2: Text Generation AI

Man in a red shirt is surfing in the ocean off Australia's Gold Coast, one of the world's top surfing destinations.

Next Step

Two thin orange lines intersect on the left side of the slide. One line is horizontal, and the other is diagonal, crossing it.

## SUMMARY


I was able to achieve the goal of training a caption generator with a **BLEU-1 score of 0.52** and produces captions that are intelligible.

Navigating my way through the TensorFlow API and reading up on the various aspects of deep learning was an eye opening experience, and perhaps a fitting project to mark the end of the Data Science Immersive and the start of a longer data science journey ahead.



# THANK YOU

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