



# Regular Expressions

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Reading: Chapter 3

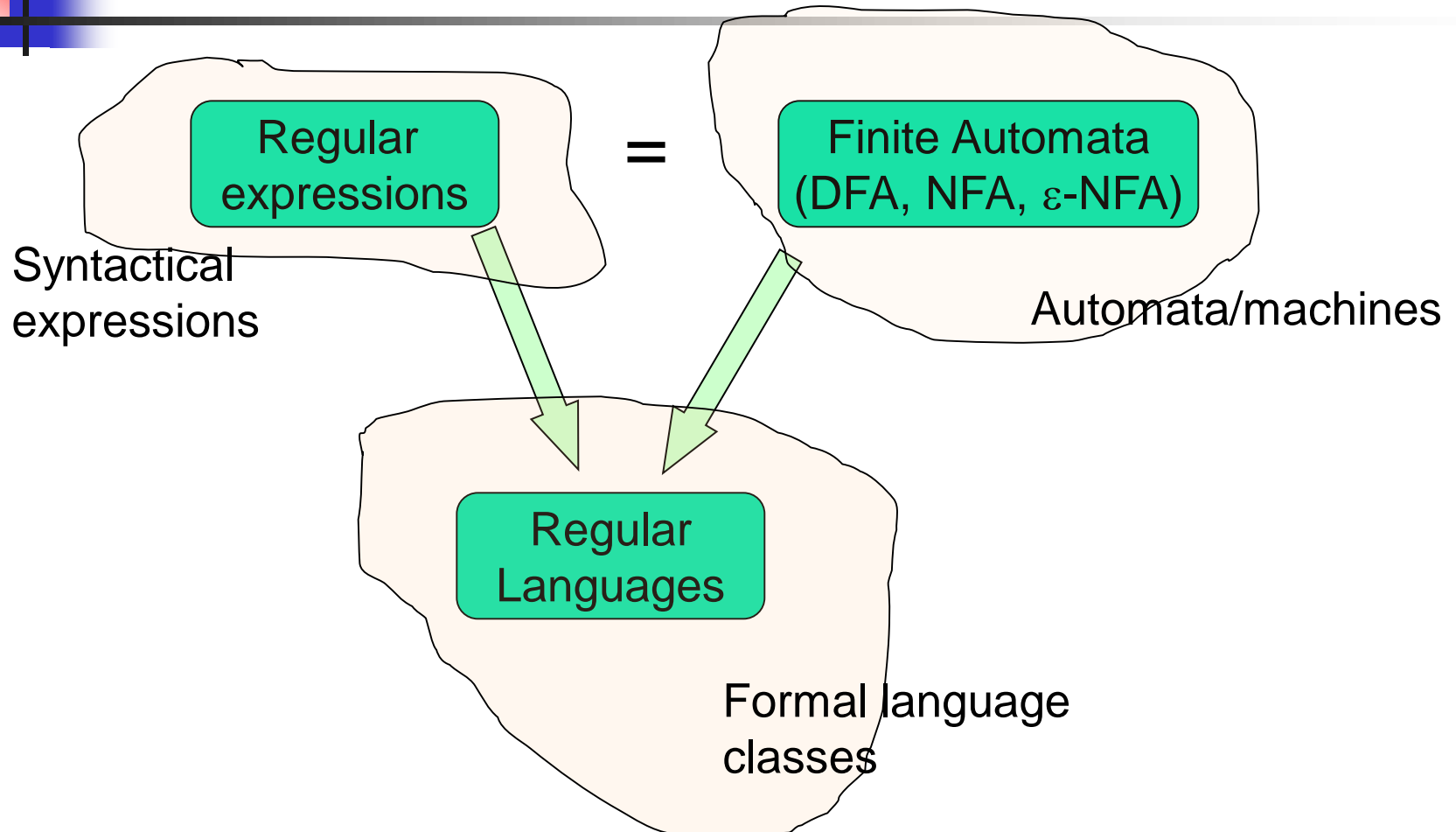


# Regular Expressions vs. Finite Automata

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- Offers a declarative way to express the pattern of any string we want to accept
  - E.g.,  $01^* + 10^*$
- Automata  $\Rightarrow$  more machine-like
  - < input: string , output: [accept/reject] >
- Regular expressions  $\Rightarrow$  more program syntax-like
- Unix environments heavily use regular expressions
  - E.g., bash shell, grep, vi & other editors, sed
- Perl scripting – good for string processing
- Lexical analyzers such as Lex or Flex

# Regular Expressions





# Language Operators

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- Union of two languages:
  - $L \cup M$  = all strings that are either in  $L$  or  $M$
  - Note: A union of two languages produces a third language
- Concatenation of two languages:
  - $L . M$  = all strings that are of the form  $xy$   
s.t.,  $x \in L$  and  $y \in M$
  - The *dot* operator is usually omitted
    - i.e.,  $LM$  is same as  $L.M$

“i” here refers to how many strings to concatenate from the parent language L to produce strings in the language  $L^i$

# Kleene Closure (the \* operator)

- Kleene Closure of a given language L:
  - $L^0 = \{\epsilon\}$
  - $L^1 = \{w \mid \text{for some } w \in L\}$
  - $L^2 = \{w_1w_2 \mid w_1 \in L, w_2 \in L \text{ (duplicates allowed)}\}$
  - $L^i = \{w_1w_2\dots w_i \mid \text{all } w\text{'s chosen are } \in L \text{ (duplicates allowed)}\}$
  - (Note: the choice of each  $w_i$  is independent)
  - $L^* = \bigcup_{i \geq 0} L^i$  (arbitrary number of concatenations)

## Example:

- Let  $L = \{1, 00\}$ 
  - $L^0 = \{\epsilon\}$
  - $L^1 = \{1, 00\}$
  - $L^2 = \{11, 100, 001, 0000\}$
  - $L^3 = \{111, 1100, 1001, 10000, 000000, 00001, 00100, 0011\}$
  - $L^* = L^0 \cup L^1 \cup L^2 \cup \dots$



# Kleene Closure (special notes)

- $L^*$  is an infinite set iff  $|L| \geq 1$  and  $L \neq \{\varepsilon\}$  **Why?**
- If  $L = \{\varepsilon\}$ , then  $L^* = \{\varepsilon\}$  **Why?**
- If  $L = \Phi$ , then  $L^* = \{\varepsilon\}$  **Why?**

$\Sigma^*$  denotes the set of all words over an alphabet  $\Sigma$

- Therefore, an abbreviated way of saying there is an arbitrary language  $L$  over an alphabet  $\Sigma$  is:
  - $L \subseteq \Sigma^*$



# Building Regular Expressions

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- Let  $E$  be a regular expression and the language represented by  $E$  is  $L(E)$
- Then:
  - $(E) = E$
  - $L(E + F) = L(E) \cup L(F)$
  - $L(E F) = L(E) L(F)$
  - $L(E^*) = (L(E))^*$

# Example 1: how to use these regular expression properties and language operators?

- *$L = \{ w \mid w \text{ is a binary string which does not contain two consecutive 0s or two consecutive 1s anywhere} \}$* 
  - E.g.,  $w = 01010101$  is in  $L$ , while  $w = 10010$  is not in  $L$
- Goal: Build a regular expression for  $L$
- Four cases for  $w$ :
  - Case A:  $w$  starts with 0 and  $|w|$  is even
  - Case B:  $w$  starts with 1 and  $|w|$  is even
  - Case C:  $w$  starts with 0 and  $|w|$  is odd
  - Case D:  $w$  starts with 1 and  $|w|$  is odd
- Regular expression for the four cases:
  - Case A:  $(01)^*$
  - Case B:  $(10)^*$
  - Case C:  $0(10)^*$
  - Case D:  $1(01)^*$
- Since  $L$  is the union of all 4 cases:
  - Reg Exp for  $L = (01)^* + (10)^* + 0(10)^* + 1(01)^*$
- If we introduce  $\varepsilon$  then the regular expression can be simplified to:
  - Reg Exp for  $L = (\varepsilon + 1)(01)^*(\varepsilon + 0)$





## Some more examples:

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- $L2 = \{ w \mid w \text{ is a binary string which ends with 1 does not contain } 00 \}$ .
  - E.g.,  $w = 01010101$  is in  $L$ , while  $w = 10010$  is not in  $L$
- Goal: Build a regular expression for  $L2$ 
  - Reg Exp for  $L = (1+01)^*(1+01)$
- $L3 = \{ w \mid w \text{ is a binary string which ends with } 01 \}$ .
- Goal: Build a regular expression for  $L3$ 
  - Reg Exp for  $L = (1+0)^*01$



# Precedence of Operators

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- Highest to lowest

- \* operator (star)
- . (concatenation)
- + operator

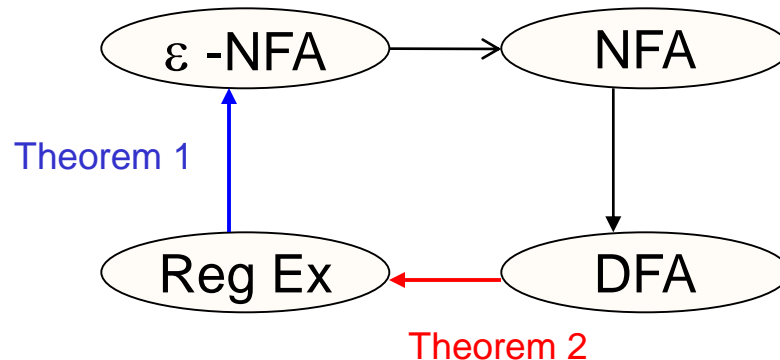
- Example:

- $01^* + 1 = (0 . ((1)^*)) + 1$

# Finite Automata (FA) & Regular Expressions (Reg Ex)

- To show that they are interchangeable, consider the following theorems:
  - Theorem 1: For every DFA  $A$  there exists a regular expression  $R$  such that  $L(R)=L(A)$
  - Theorem 2: For every regular expression  $R$  there exists an  $\varepsilon$ -NFA  $E$  such that  $L(E)=L(R)$

Proofs  
in the book



**Kleene Theorem**

DFA

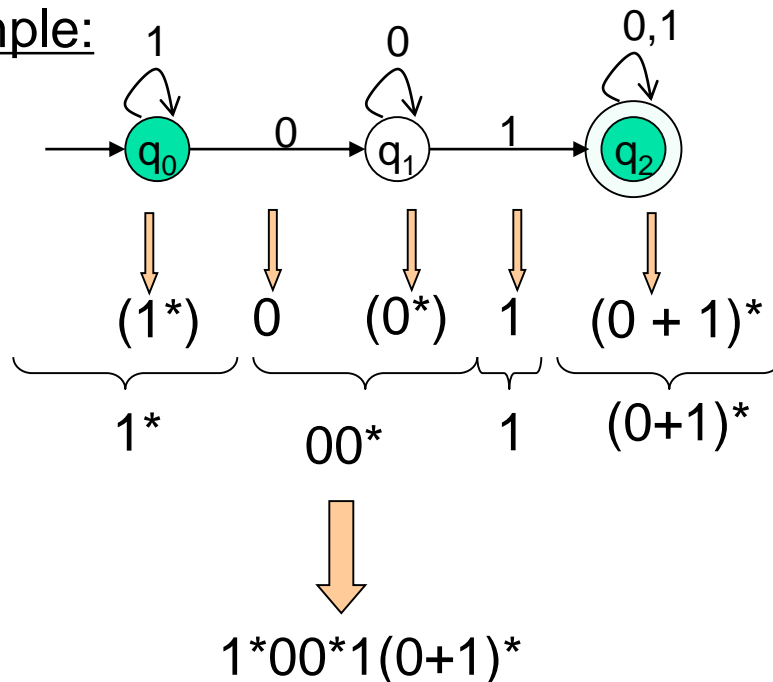
Theorem 2

Reg Ex

# DFA to RE construction

Informally, trace all distinct paths (traversing cycles only once) from the start state to *each of the* final states and enumerate all the expressions along the way

Example:

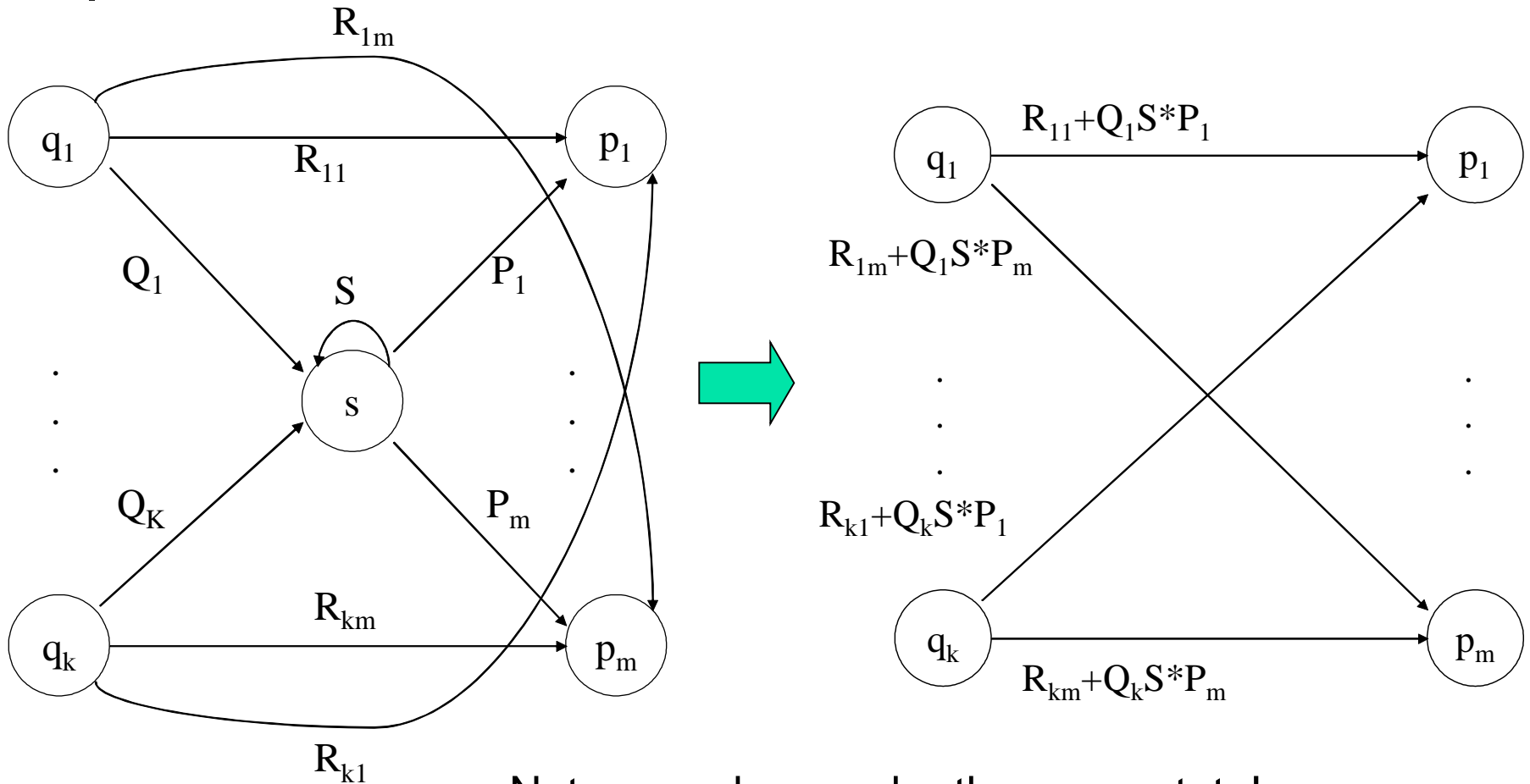


Q) What is the language?

# State Elimination

Consider the figure below, which shows a generic state  $s$  about to be eliminated. The labels on all edges are regular expressions.

To remove  $s$ , we must make labels from each  $q_i$  to  $p_1$  up to  $p_m$  that include the paths we could have made through  $s$ .



Note:  $q$  and  $p$  may be the same state!



# DFA to RE via State Elimination

## (1)

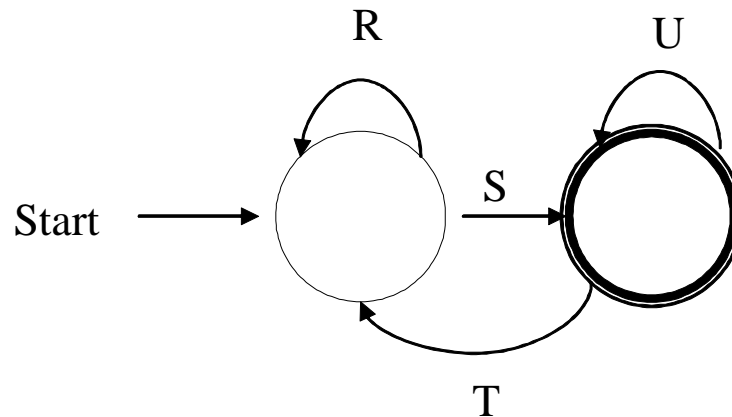
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1. Starting with intermediate states and then moving to accepting states, apply the state elimination process to produce an equivalent automaton with regular expression labels on the edges.
  - The result will be a one or two state automaton with a start state and accepting state.

# DFA to RE State Elimination

## (2)

2. If the two states are different, we will have an automaton that looks like the following:

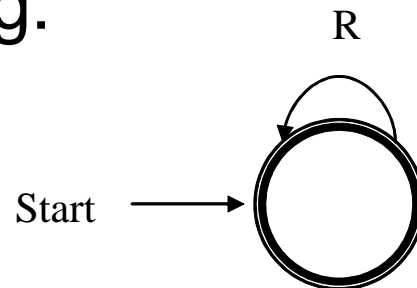


We can describe this automaton as:  $(R+SU^*T)^*SU^*$

# DFA to RE State Elimination

## (3)

3. If the start state is also an accepting state, then we must also perform a state elimination from the original automaton that gets rid of every state but the start state. This leaves the following:



We can describe this automaton as simply  $R^*$ .



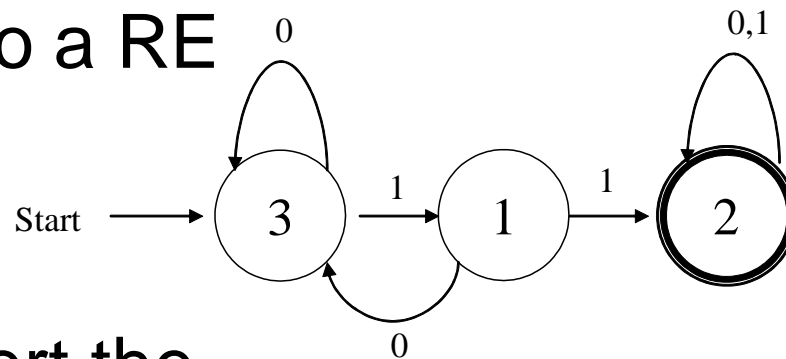
# DFA to RE State Elimination

(4)

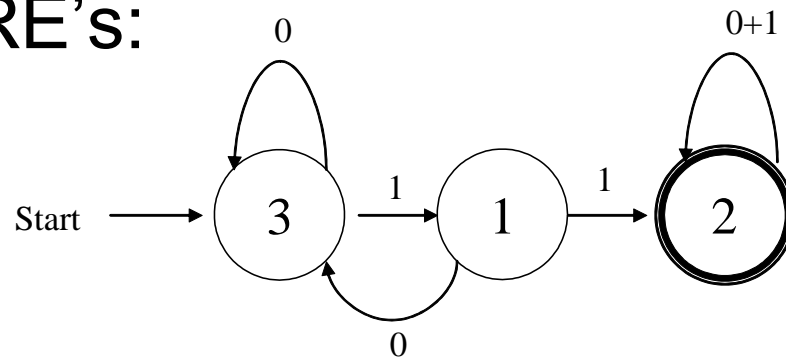
4. If there are  $n$  accepting states, we must repeat the above steps for each accepting states to get  $n$  different regular expressions,  $R_1, R_2, \dots R_n$ . For each repeat we turn any other accepting state to non-accepting. The desired regular expression for the automaton is then the union of each of the  $n$  regular expressions:  $R_1 \cup R_2 \dots \cup R_N$

# DFA $\rightarrow$ RE Example

- Convert the following to a RE

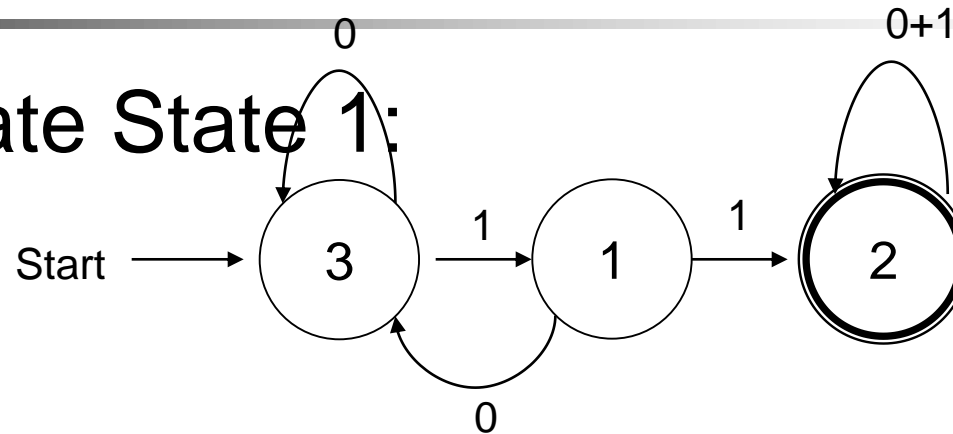


- First convert the edges to RE's:



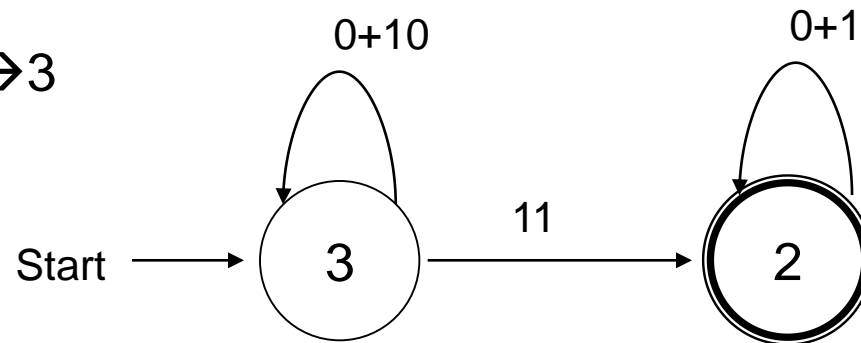
# DFA $\rightarrow$ RE Example (2)

## ■ Eliminate State 1:



## ■ To:

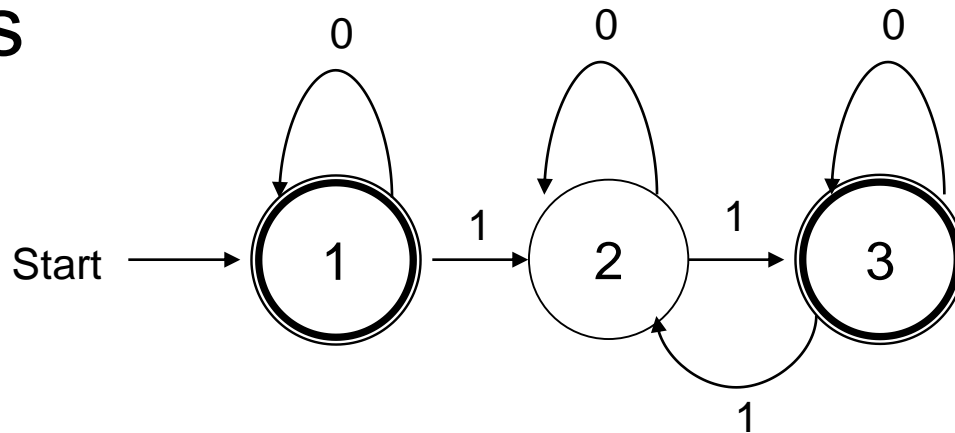
Note edge from 3  $\rightarrow$  3



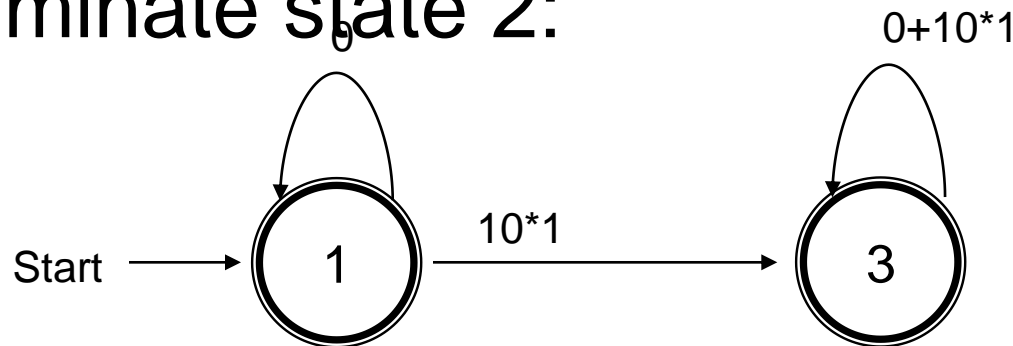
Answer:  $(0+10)^*11(0+1)^*$

# Second Example

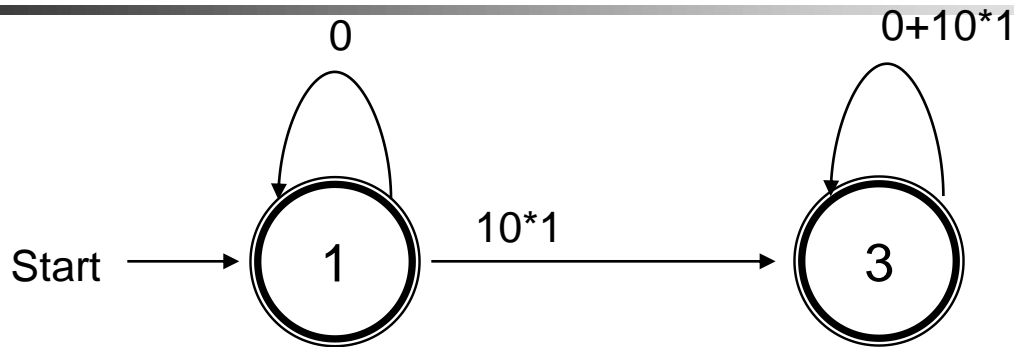
Automata that accepts even number of 1's



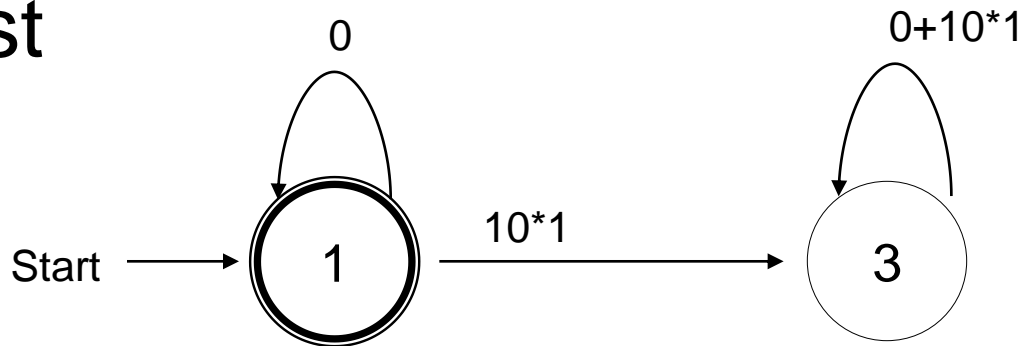
- Eliminate state 2:



## Second Example (2)

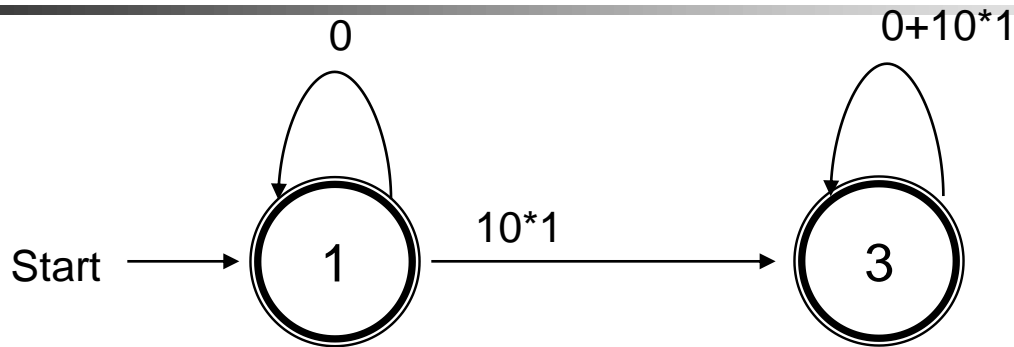


- Two accepting states, turn off state 3 first

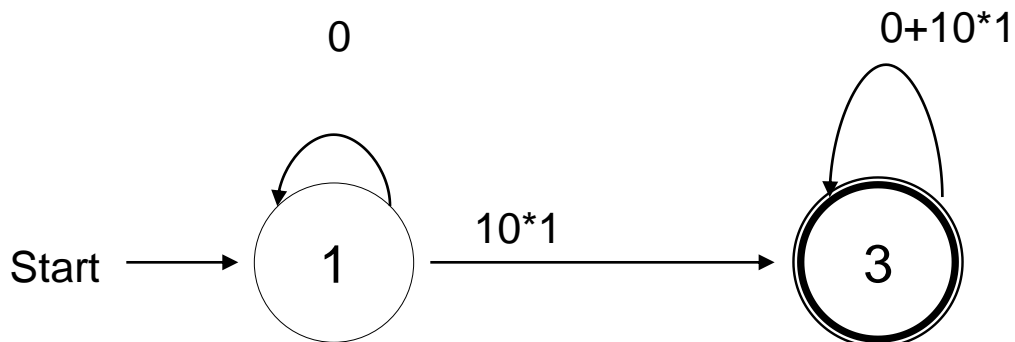


This is just  $0^*$ ; can ignore going to state 3 since we would “die”

## Second Example (3)



- Turn off state 1 second:



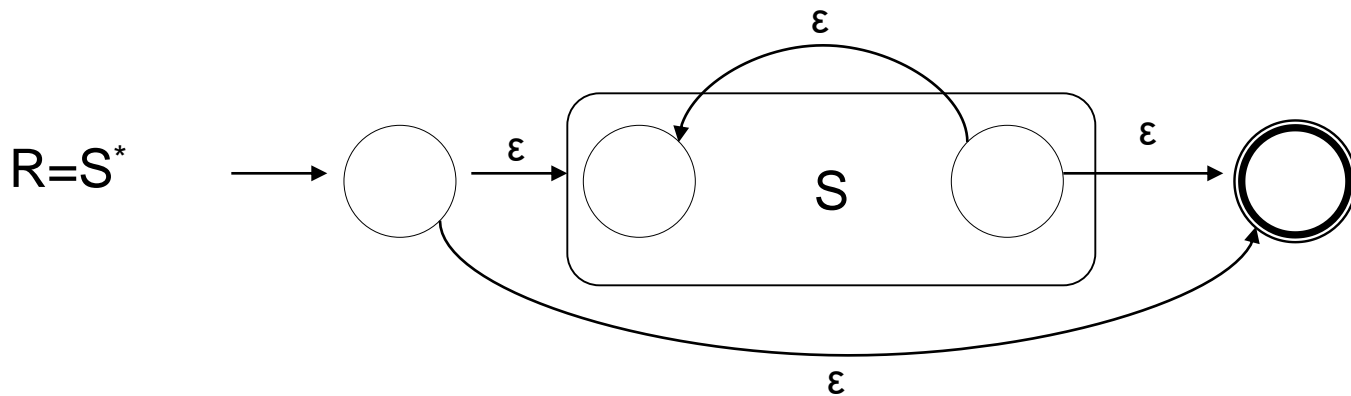
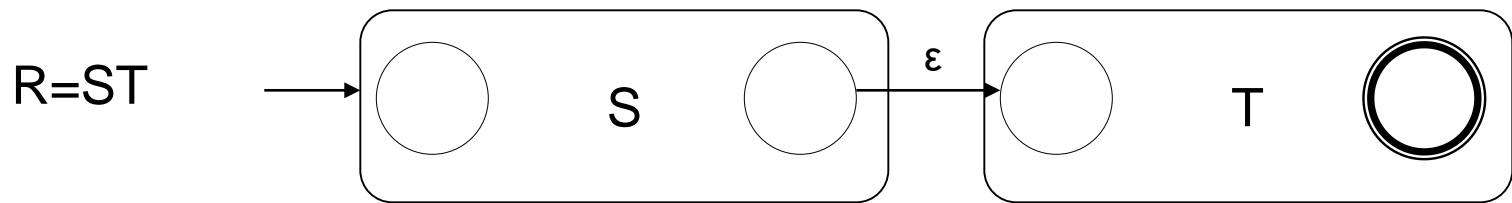
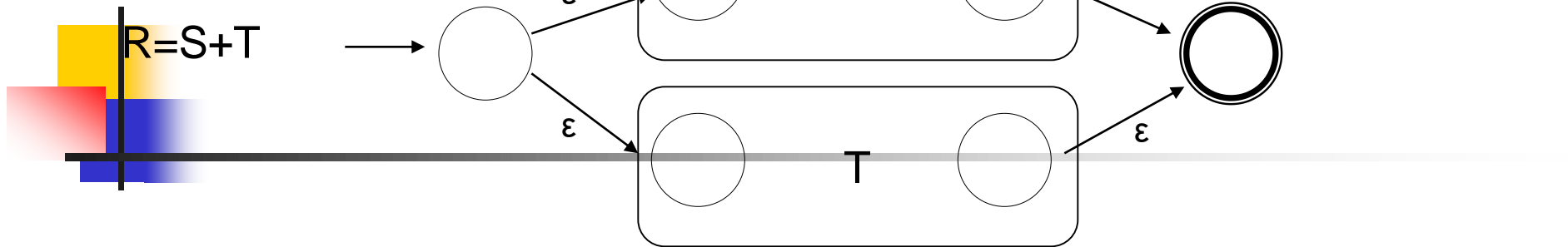
This is just  $0^*10^*1(0+10^*1)^*$

Combine from previous slide to  
get  $0^* + 0^*10^*1(0+10^*1)^*$



# RE to $\epsilon$ -NFA construction

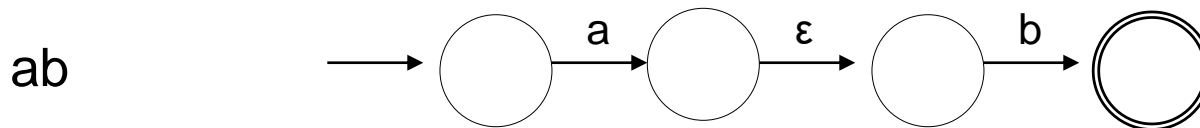
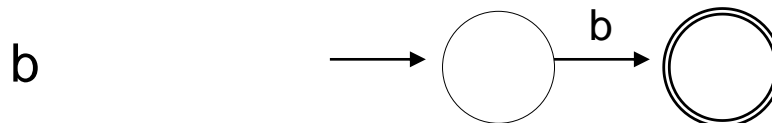
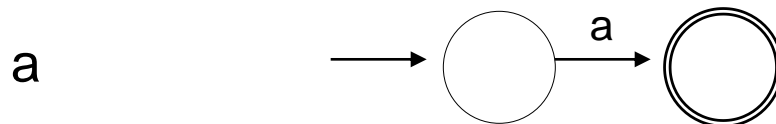
- Suppose  $\epsilon$ -NFA<sub>1</sub> and  $\epsilon$ -NFA<sub>2</sub> are the automata for  $R_1$  and  $R_2$
- Three operations to worry about: union  $R_1 + R_2$ , concatenation  $R_1 R_2$ , closure  $R_1^*$
- With  $\epsilon$ -transitions, construction is straightforward
  - Union: create a new start state, with  $\epsilon$ -transitions into the start states of  $\epsilon$ -NFA<sub>1</sub> and  $\epsilon$ -NFA<sub>2</sub>; create a new final state, with  $\epsilon$ -transitions from the two final states of  $\epsilon$ -NFA<sub>1</sub> and  $\epsilon$ -NFA<sub>2</sub>
  - Concatenation:  $\epsilon$ -transition from final state of  $\epsilon$ -NFA<sub>1</sub> to the start state of  $\epsilon$ -NFA<sub>2</sub>
  - Closure: closure can be supported by an  $\epsilon$ -transition from final to start state; need a few more  $\epsilon$ -transitions (why?)





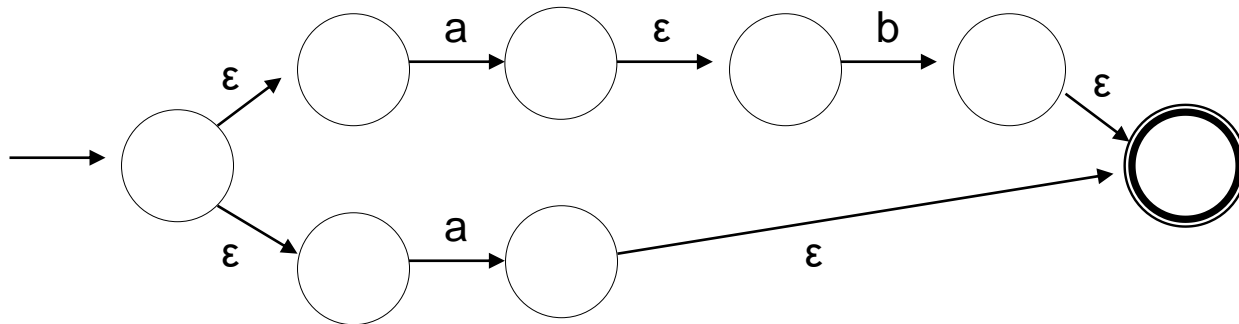
# RE to $\epsilon$ -NFA Example

- Convert  $R = (ab+a)^*$  to an NFA
  - We proceed in stages, starting from simple elements and working our way up

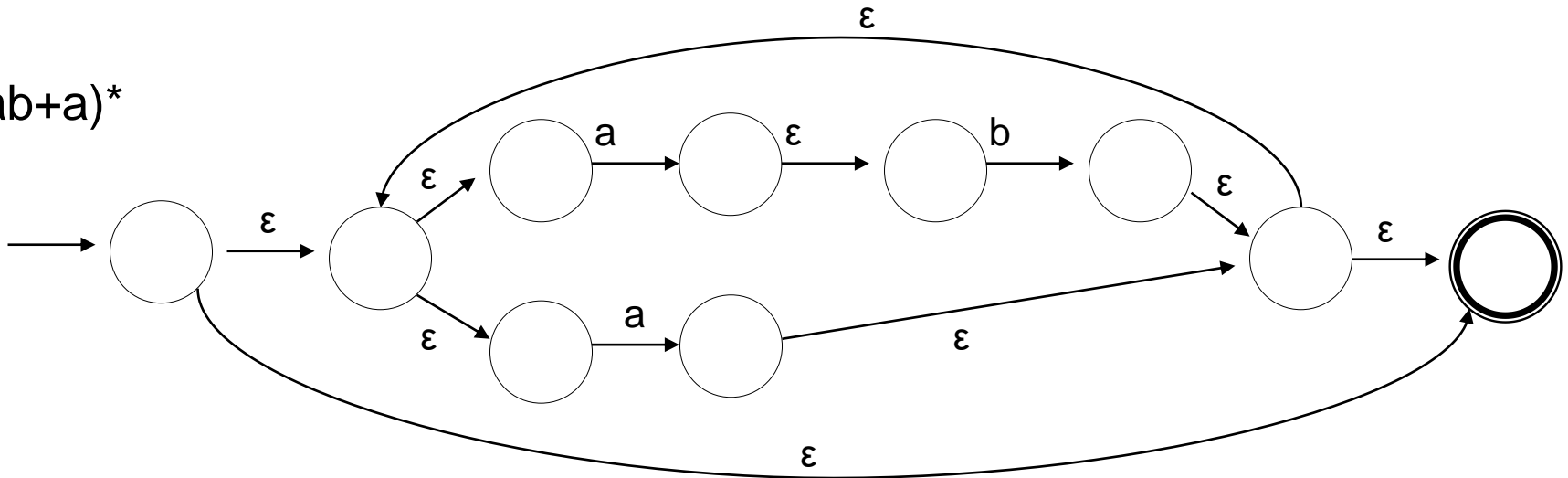


# RE to $\epsilon$ -NFA Example (2)

$ab+a$



$(ab+a)^*$



Reg Ex

Theorem 1

$\epsilon$ -NFA

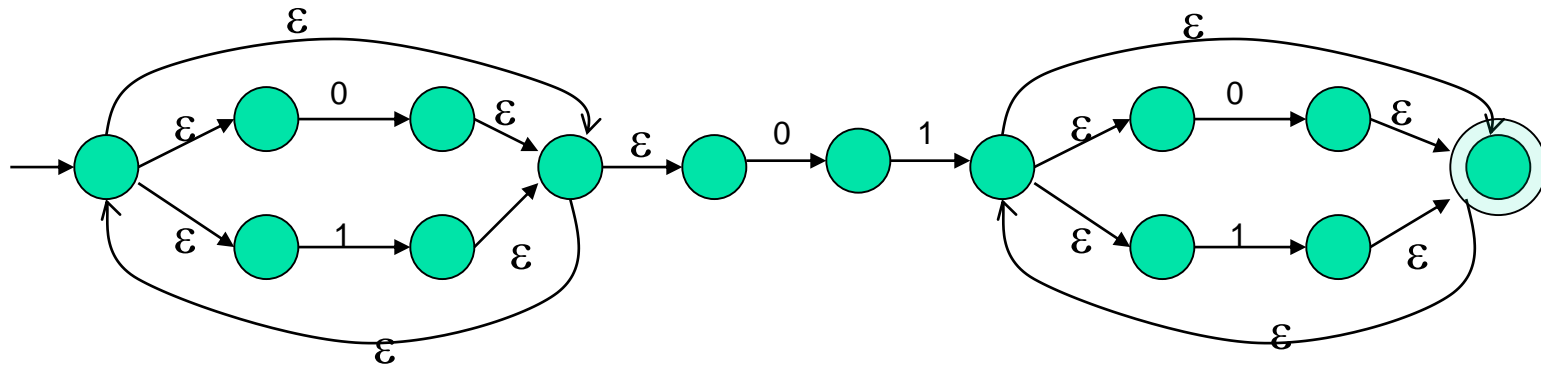
# RE to $\epsilon$ -NFA construction

Example:  $(0+1)^*01(0+1)^*$

$(0+1)^*$

01

$(0+1)^*$





# Algebraic Laws of Regular Expressions

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- Commutative:
  - $E + F = F + E$
- Associative:
  - $(E + F) + G = E + (F + G)$
  - $(EF)G = E(FG)$
- Identity:
  - $E + \Phi = E$
  - $\varepsilon E = E \varepsilon = E$
- Annihilator:
  - $\Phi E = E\Phi = \Phi$



# Algebraic Laws...

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- Distributive:
  - $E(F+G) = EF + EG$
  - $(F+G)E = FE+GE$
- Idempotent:  $E + E = E$
- Involving Kleene closures:
  - $(E^*)^* = E^*$
  - $\Phi^* = \varepsilon$
  - $\varepsilon^* = \varepsilon$
  - $E^+ = EE^*$
  - $E? = \varepsilon + E$



# True or False?

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Let  $R$  and  $S$  be two regular expressions. Then:

1.  $((R^*)^*)^* = R^*$  ?

2.  $(R+S)^* = R^* + S^*$  ?

3.  $(RS + R)^* RS = (RR^*S)^*$  ?



# Summary

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- Regular expressions
- Equivalence to finite automata
- DFA to regular expression conversion
- Regular expression to  $\varepsilon$ -NFA conversion
- Algebraic laws of regular expressions
- Unix regular expressions and Lexical Analyzer