

Feb 9<sup>th</sup>, 2017

## Convolutional Neural Networks

Motivation: MLPs and AEs have too many parameters (= weights)

e.g.

\* a tiny pic of  $30 \times 30$  resolution: for a neural network, it is 900 inputs.

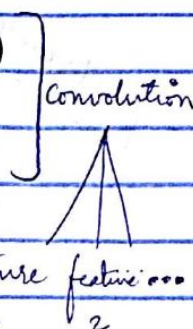
first layer will have 80,000 weights!!

\*  $224 \times 224$  images of faces: 65,000 pixels.  $\therefore$  65,000 inputs for MLP.

AE is not designed for this kind of task. 1st layer = 600,000 weights

we combined 3 ideas:

- ① receptive fields <sup>(neighborhoods)</sup> in the vision system (of cats)
- ② weight sharing (for a bunch of inputs)
- ③ down-sampling (idea from A.E.)  
= pooling



so far, feature extraction was manually engineered (SIFT)  
(manually handcrafted features)  
Network should itself extract features. Not rely on anybody else outside  
Breakthrough.

What is Convolution?

physical system: an input acts on a linear physical system to produce an output.

$x(t)$  = input

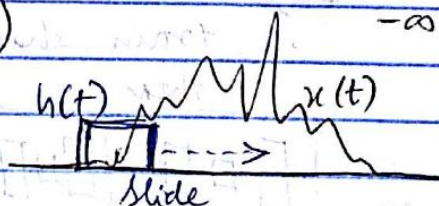
$y(t)$  = output

$h(t)$  = unit impulse response of system

$$y(t) = x(t) * h(t) = \int_{-\infty}^{+\infty} x(\tau) h(t-\tau) d\tau$$

$$y(n) = \sum_{k=-\infty}^{\infty} x(k) h(n-k)$$

$\tau$  = dummy variable



$$h = \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix}$$

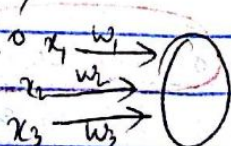
$$X = \begin{bmatrix} 200 \\ \text{grid} \\ 200 \end{bmatrix}$$

$$\sum \begin{bmatrix} 10 & 10 & 10 \\ 50 & 50 & 50 \\ 100 & 100 & 100 \end{bmatrix} * \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix} = \sum \begin{bmatrix} 10 & 0 & 10 \\ -50 & 0 & 50 \\ -100 & 0 & 100 \end{bmatrix} = 0$$

vertical edge detector

no vertical edges

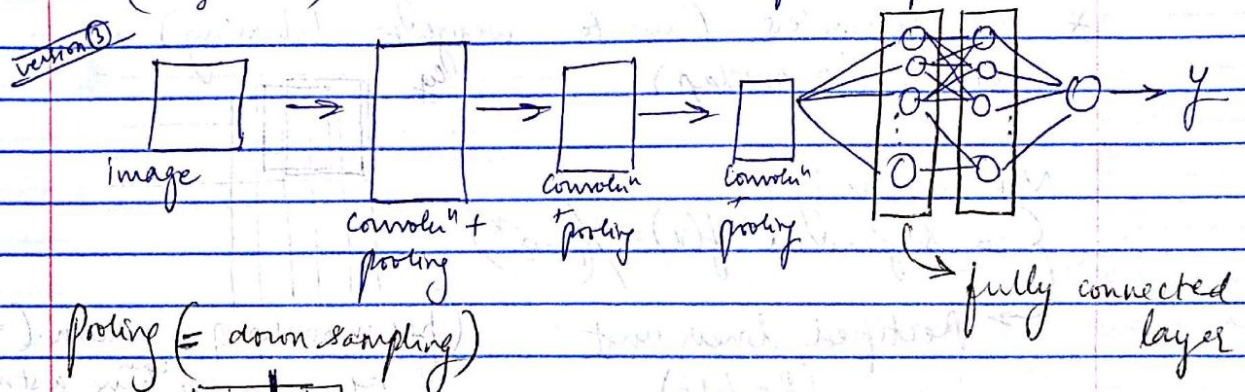
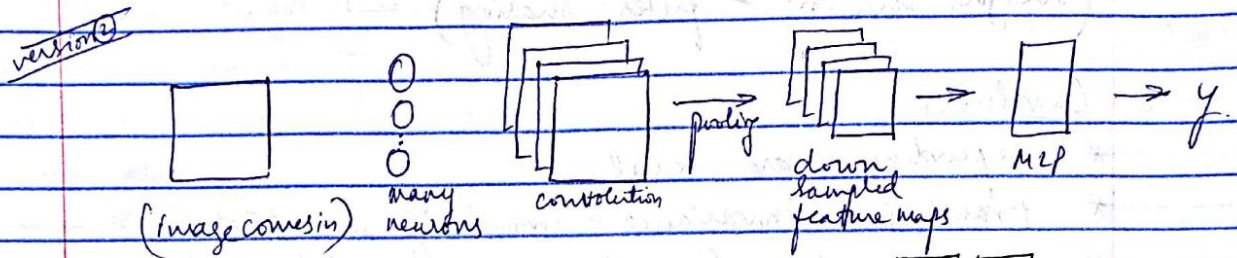
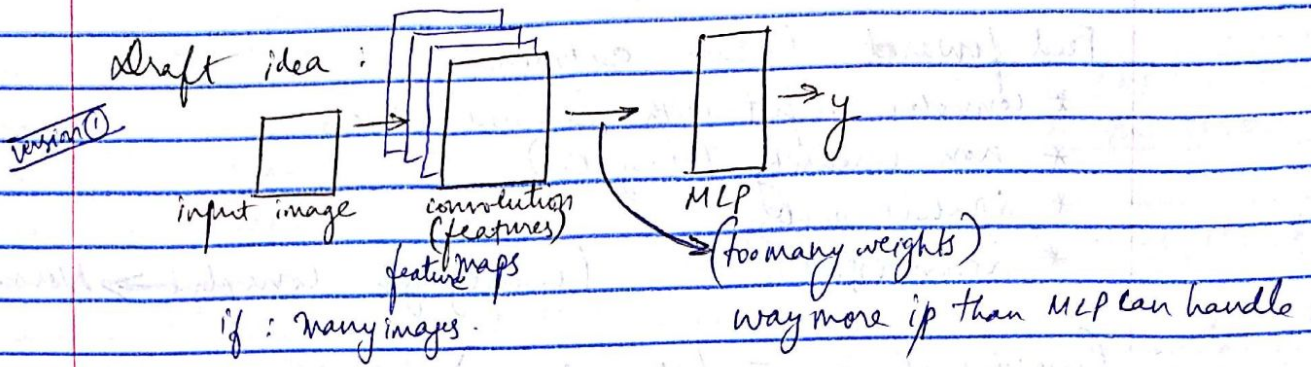
Weights as filters?



(has horizontal edge)

$$y = w_1 x_1 + w_2 x_2 + w_3 x_3$$





pooling (= down sampling)

1	2	2	1
3	4	3	2
5	6	5	7
10	8	9	8

2x2

4	3
10	9

max pooling (maximum in each 2x2 block)

Convolution = getting features.

pooling = reduce dimensionality

MLP layer = classification

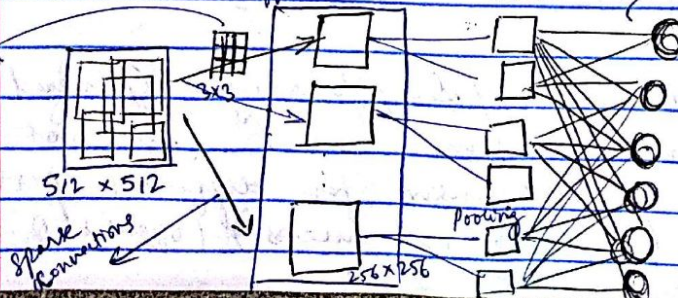
MLP: can never handle raw data.

it needs features. Shallow structure

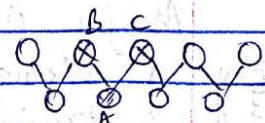
CNN

Sampling makes it invariant to translation. ∴ many samples with diff. convolution mask.

earlier, this filter was manually defined. But in neural network, automatically figured out.



dense connections.



if i change A, only B & C are changed. = weight sharing.

Local Sparsity



## Feed forward Feature extraction

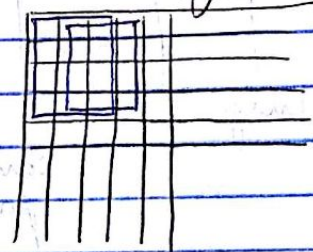
- \* convolve input with learned filters
- \* non-linearity (logistic)
- \* spatial pooling
- \* normalization

(usually you convolve  $\rightarrow$  Normaliz  $\rightarrow$  pool)

(weight sharing = filter sharing)

## Convolution

- \* dependencies are local.
- \* translation invariance - immensely important
- \* Few parameters (due to weight sharing)
- \* Stride (= overlap)



## Non-linearity

$\rightarrow$  Sigmoid  $f(x) = \frac{1}{1+e^{-x}}$

$\rightarrow$  Rectified linear unit (ReLU)

$$f(x) = \max(0, x)$$

This simplifies Backprop.

(has an overlap of 1 column. (= stride = 1)  
if required, we can have a stride of 2 or more. If it is 1, then highly probably computationally expensive)

## Common Models

- AlexNet (2012) winner on imageNet
- ZFNet (2013)
- GoogLeNet (2014)
- VGG (2014 runner up)
- ResNet (2015) winner on imageNet.

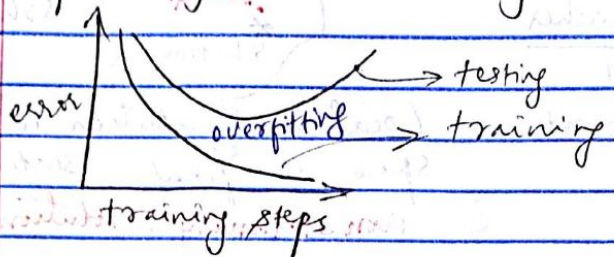
## Major issues with CNN/Deep learning in General

- \* design requires some care (but not THAT bad)
- \* training is still expensive for different problems
- \* generalization (inspite of all success) (opposite of overfitting)



## Regularization

Any change to increase generalization capability (but not necessarily reducing training error). It is about the error of testing. Not training.



## Types of Regularization:

① Data Augmentation  
- translation, scaling, rotation  
(increase the # of training data)

② Early Stopping

③ Dropout

- randomly remove neurons
- neurons become more

insensitive to other neurons. (avoids overfitting)

(learn local dependencies. They become more independent)

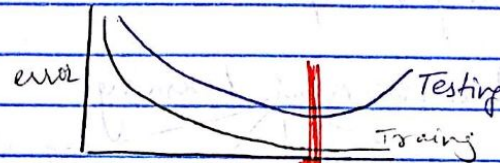
- equivalent of averaging several models.  
(like training multiple N.N.) (ensemble).

④ Weight Penalties (L2 & L1 regularization)

$$\text{Total error } E = \text{error} + \|w\|_{\text{size of weights}}^2$$

$E = \text{error} + \|w\|$  reduce the error, but do it using very small weights.

(already minimized) force it. to choose small weights.  
to reduce E, the only option is to reduce  $\|w\|$ .



stop here - don't train further