



#### Exercise

**Q1.** Assume array **arr** has the following elements:

```
int[] arr = {2,4,6,8,10,12,14,16};
```

```
public int binarySearch (int key, int first, int last)
  throws NotFoundException {
   if (first > last)
      throw new NotFoundException ("not found");
   else {
      int middle = (first + last) / 2;
      if (key == arr[middle])
          return arr[middle];
      else if (key < arr[middle])
          return binarySearch (key, first, middle-1);
      else
          return binarySearch (key, middle+1, last);
    }
}</pre>
```

Draw the recursion trace of the algorithm with the following calls:

- (a) binarySearch(16,0,7);
- (b) **binarySearch(15,0,7)**;

For each call describe

- the value of each parameter
- what the middle

# Solution (a)

int[] arr =  $\{2,4,6,8,10,12,14,16\}$ ;

#### binarySearch(16,0,7);

Middle is 7/2 = 3. Since arr[3] = 8 < 16, the right hand side is called

#### binarySearch(16,4,7);

Middle is 11 / 2 = 5. Since arr[5] = 12 < 16, the right hand side is called:

#### binarySearch(16,6,7);

Middle is 13 / 2 = 6. Since arr[6] = 14 < 16, the right hand side is called

#### binarySearch(16,7,7);

Middle is 14 / 2 = 7. Since arr[7] = 16 we are done and **16 is returned**.

# Solution (b)

 $int[] arr = {2,4,6,8,10,12,14,16};$ 

#### binarySearch(15,0,7);

Here middle is 7/2 = 3.

Since arr[3] = 8 < 15, the right hand side is called

#### binarySearch(15,4,7);

Here middle is 11/2 = 5.

Since arr[5] = 12 < 15, the right hand side is called

#### binarySearch(15,6,7);

Here middle is 13/2 = 6.

Since arr[6] = 14 < 15, the right hand side is called

#### binarySearch(15,7,7);

Here middle is 14/2 = 7.

Since arr[7] = 16 > 15, the left hand side is called.

#### binarySearch(15,8,7);

Here middle first > last (8 > 7) so NotFoundException ("not found") is thrown

#### Next few lectures

- Lecture 11 (yesterday): linear/binary search
  - Lots of live coding
  - You're now ready for lab 6
- Lecture 12 (today): trees
  - New concept: trees
- Lecture 13: implementing binary search trees
  - Lots of live coding
  - Lab 7 Q1 and Q2
- Lecture 14: priority queues
  - New concept: priority queues
  - Lab 7 Q3 and Q4

#### Software Development 3 (F27SG)

#### Lecture 12

# (Binary Search) Trees



**Rob Stewart** 

#### Motivation

- Last lecture we saw Binary Search
  - Linear search: O(N) growth
  - Binary searh: O(log N) growth
  - Data must be sorted
- On a fixed, read only, data collection
- What about changing data between searches?

#### Motivation

- We often need to insert and remove elements
- Key (K) our lookup field
- Value (V) what we want out from the data
- Consider some operations
  - Get number V from phone book with name K='Rob'
  - Put number V=0131 in phone book with name K='Rob'
  - Remove number for name K='Rob'
- will require re-ordering the array every time O(N)
- Could a dynamic data structure help improve efficiency of these operations?

#### Recap

- We've seen Dynamic Data Structures
  - Formed of Nodes
    - Value (s)
    - 1 or more object references to other nodes
  - Nodes are linked
- Variations in DDS are due to the topology of how nodes are connected to each other.
  - Singly Linked Lists
  - Doubly Linked Lists
  - Trees (today)

#### Overview

- Today: we will talk about Tree DDS in general
  - What are they?
  - What do they look like?
- From next week: we will start covering specific types of trees
  - There are LOTS
  - Binary Search Trees
- We will try to show the point of these

This is a tree! ... or is it?



This is how **Computer Scientists** Think of Trees!

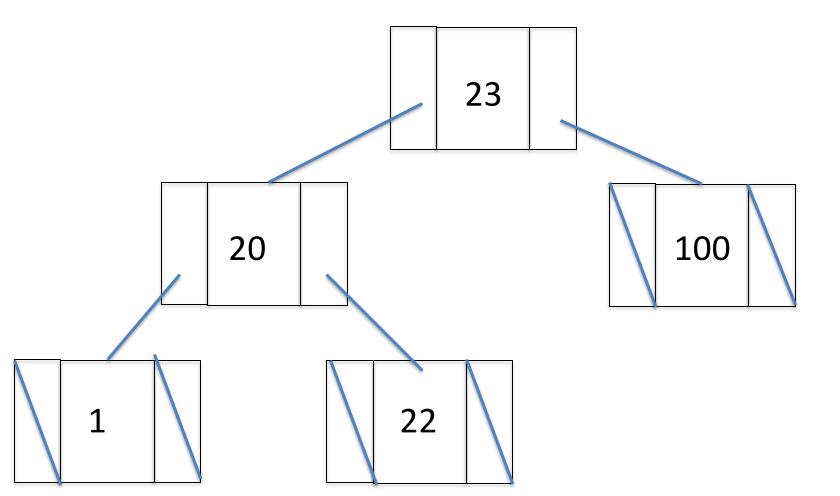


- All Trees are formed from Nodes
  - A value element
  - At least two references to the same type of object
- Trees have a hierarchical relationship

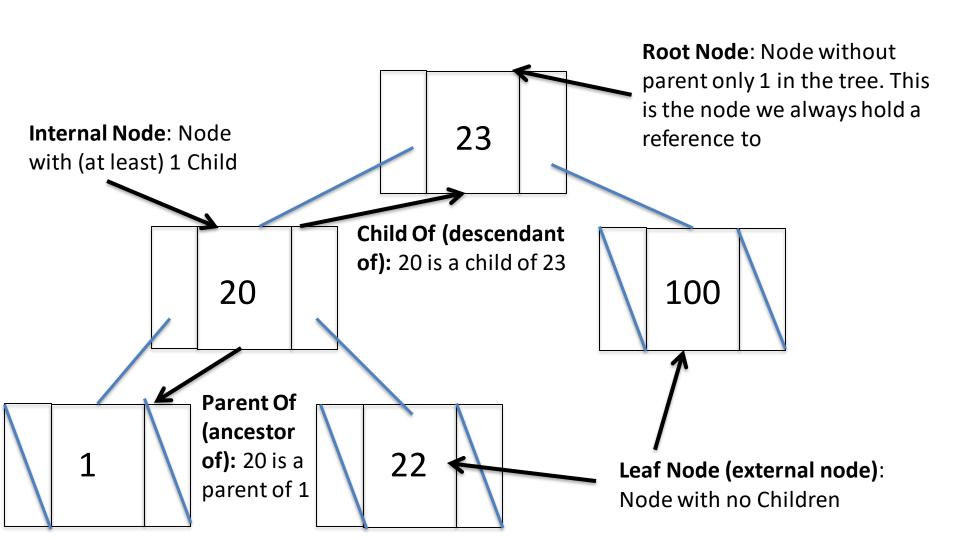
- Tree nodes similar to Doubly Linked List nodes
- As before
  - We can't randomly access variables
  - Nodes have no idea where they are in the tree



• This is how we draw trees!





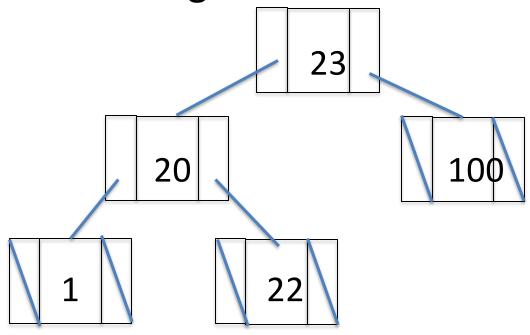


- links between nodes are Edges
- We also talk about Paths through the tree.
  - The sequence of nodes we go through to get to any node (usually starting from the root)
    - E.g. 23->20->1 or 23->100



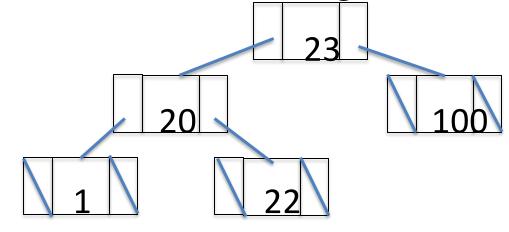
## Trees height

What is the height of this tree?



- Must only be 1 path from the root to any Node
  - If not we have a Graph not a Tree
  - Graphs covered in *Data Structures & Algorithms*
    - Year 2, semester 1
- An important number is Tree Height or Depth (h)
  - h = the length of the longest path in the tree
    - i.e. the number of levels of nodes in the hierarchy
  - this is important for Time Complexity calculations

- As with Linked-Lists Trees are recursive
- The Child of any node (and its children)
  - is a valid tree
  - We call this a sub tree
- They lend themselves to recursive algorithms
  - actually really hard to do non-recursive algorithms



#### **Tree Variants**

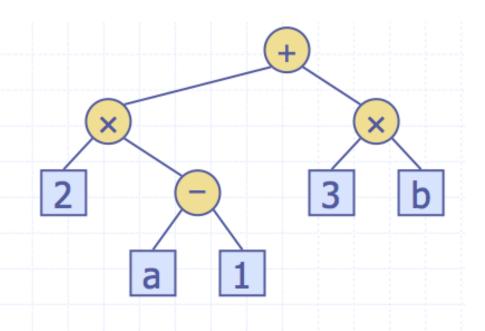
- Multiple Types of Tree
  - Binary Trees
    - Red/Black Trees, AVL-Tree
      - Self balancing
  - K-ary Trees
  - B-Trees
  - R-Tree
    - for geospatial searching
      - e.g. Find nearby venues in Foursquare

#### **Tree Variants**

- Multiple uses of trees
  - Artificial Intelligence
    - Decision trees
  - Operating Systems
    - Computer File Storage
  - Data Storage and Process
    - e.g. fast dictionaries
  - Indexing Databases
- All have the same basic properties
  - (with minor variations)
- We aren't going to cover them all

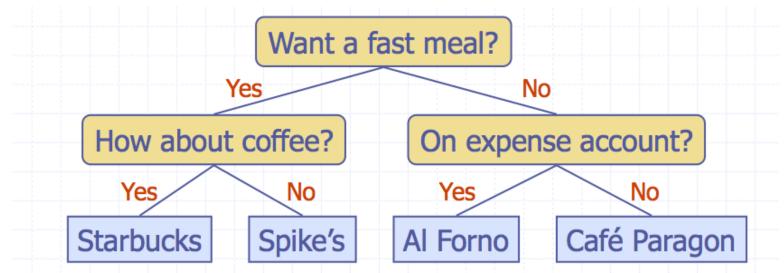
## **Arithmetic Expression Tree**

- A way to represent an arithmetic expression in tree form
  - Internal Nodes: operators
  - External Nodes: operands
- E.g.  $(2x(a-1) + (3 \times b))$
- Allows us to logically traverse the expression



#### **Decision Trees**

- Represents a decision process to achieve a goal
  - Basic form of Artificial Intelligence
    - (e.g. Robot moving towards a goal)
- Internal Nodes = yes/no answer question
- External Nodes = decisions



### **Binary Trees**

- As the name suggests Each Node has (at most) 2
   Children
  - Left Child
  - Right Child
- The Children are typically ordered
  - i.e. there is some relationship between the left child and the node value.
  - E.g.
    - Left Child < node Value < right child (numerically)</li>
    - Left Child (comes before) node Value (comes before) right child
    - Node is question, left child is yes to that question, right child is no.

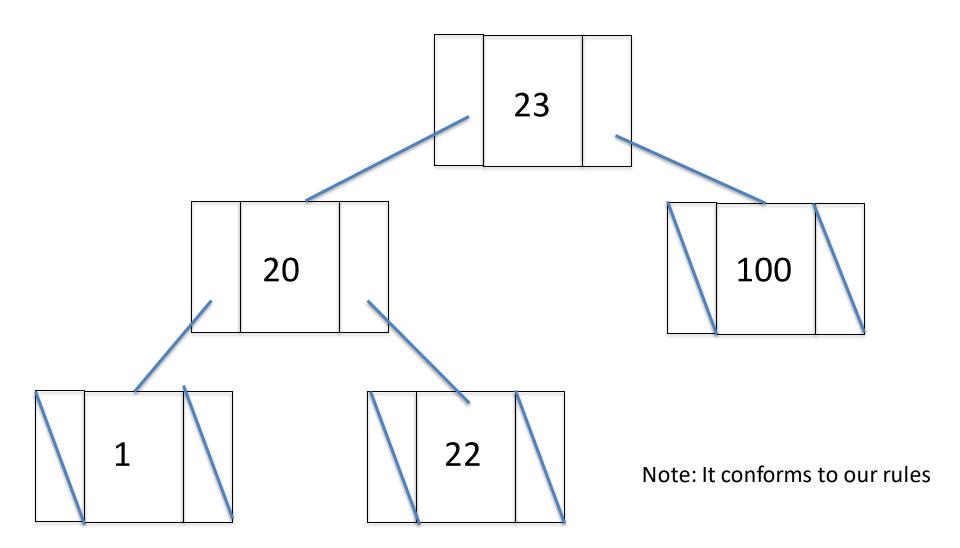
## The *Map* ADT

- Binary Search Trees efficient way of storing a map
- A map allows us to store elements so that they can be quickly located with a key
  - E.g. a dictionary
- They key operations are
  - -v = get(k)
    - Get element associated with key k
  - put(k,v)
    - Add value v associated with key k
  - remove(k)
    - Remove element identified by key k

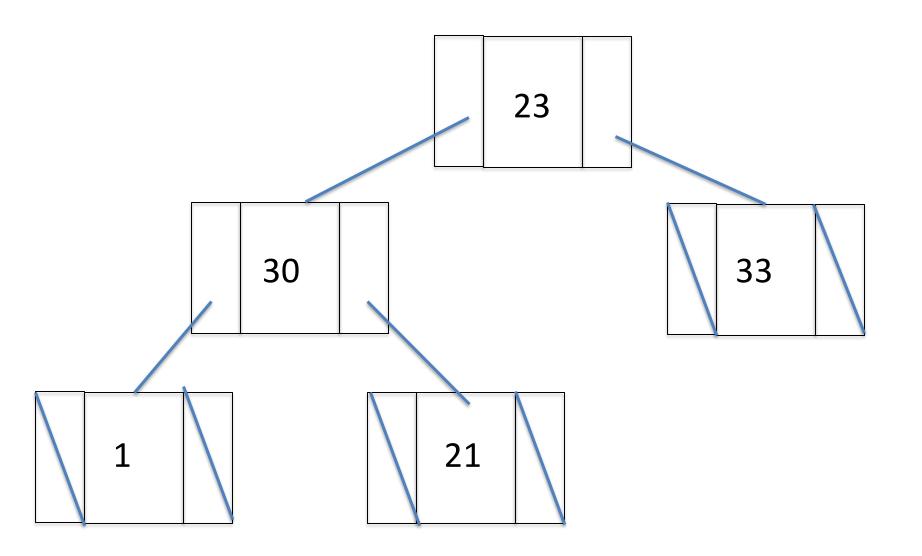
## Binary Search Trees

- An Efficient way to store map or ordered data
- Some additional rules
  - For the value (index) in the current node
    - All values (keys) in its left subtree are smaller
    - All values (keys) in its right subtree are greater
  - There is no = (i.e. no duplicate values allowed)

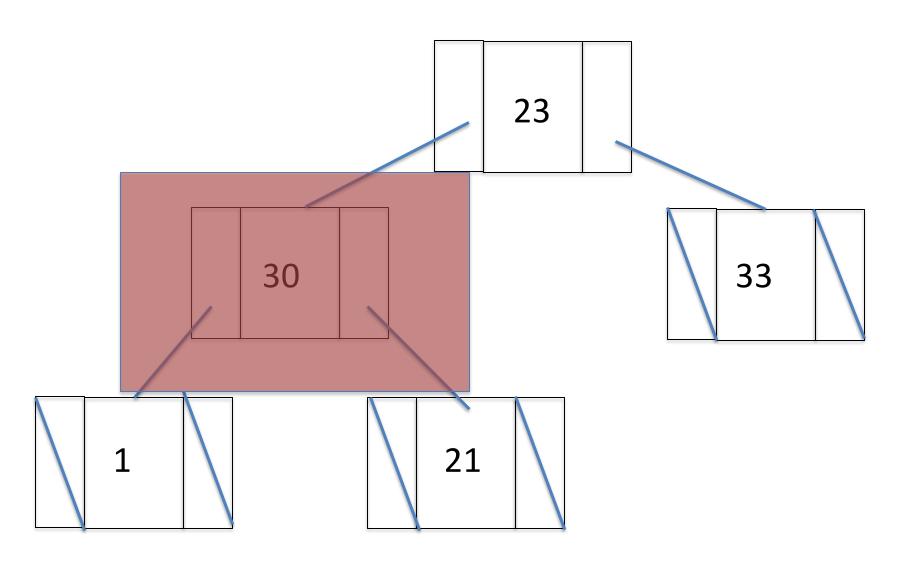
# Binary Search Tree Example



## Not a Binary Search Tree — WHY?



# Not a Binary Search Tree



### Getting Around A Tree

- We term a structured order of (recursively) visiting each Node as a Traversal
- There are three types
  - InOrder Traversal
  - PreOrder Traversal
  - PostOrder Traversal

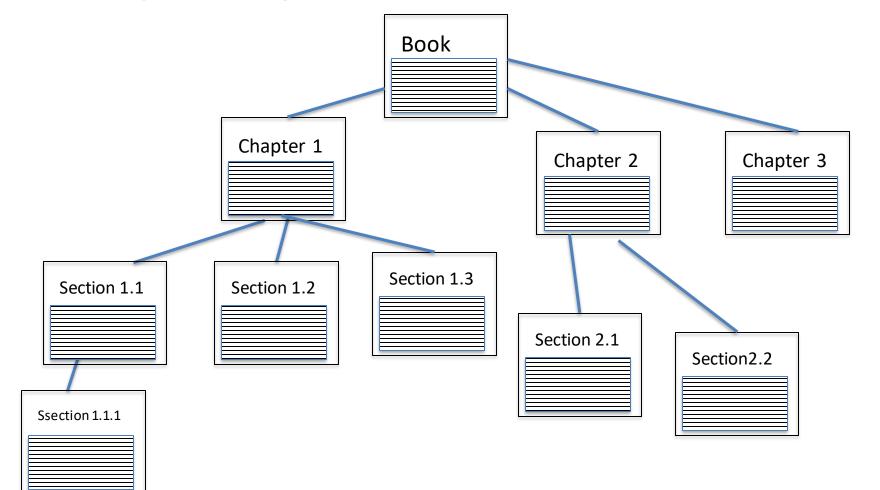
### Getting Around A Tree

- InOrder, PreOrder and PostOrder Traversal
  - differ in terms of what we deal with first:
    - Current node value or children?
    - Which child?

- This applies to all forms of Trees
  - (not just binary trees)

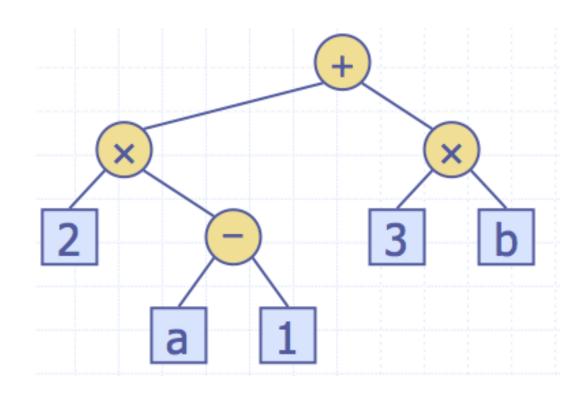
#### **PreOrder Traversal**

- Deal with the Node value, then the Children
  - E.g. **Printing** a Structured Document

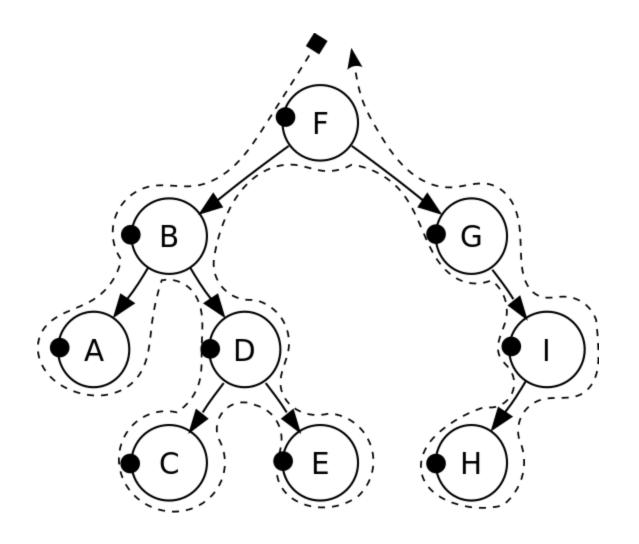


#### **Post Order Traversal**

- Deal with the children, then with node
  - E.g. Calculating an arithmetic expression



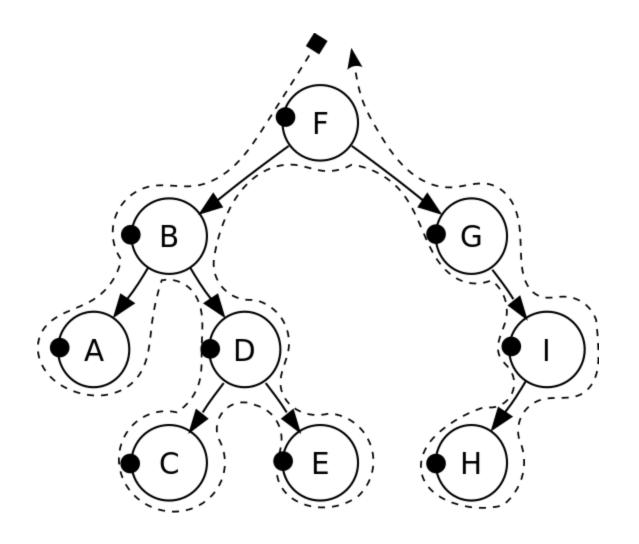
## Pre-Order Traversal



Pre-order: F, B, A, D, C, E, G, I, H.

https://en.wikipedia.org/wiki/Tree\_traversal

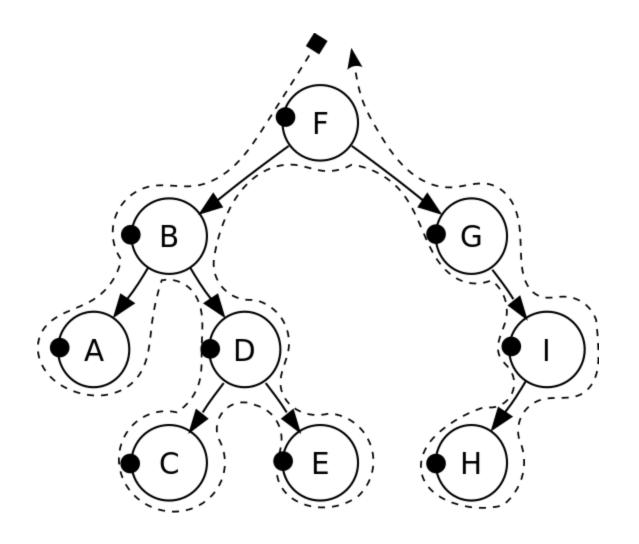
#### **Post-Order Traversal**



Post-order: A, C, E, D, B, H, I, G, F.

https://en.wikipedia.org/wiki/Tree\_traversal

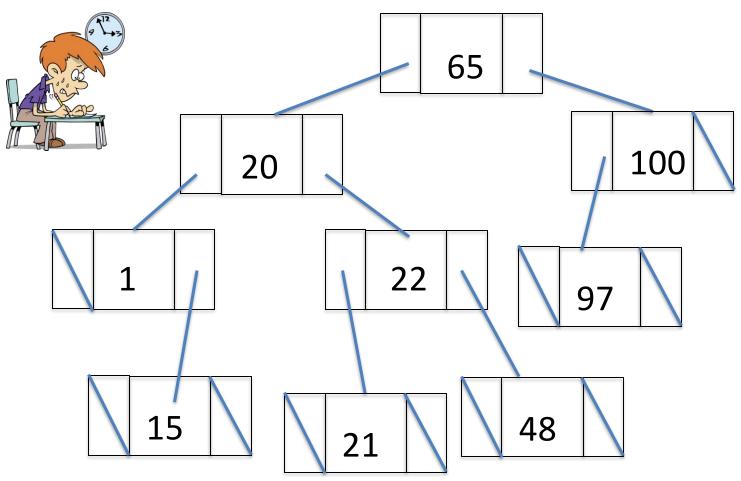
#### **In-Order Traversal**



In-order: A, B, C, D, E, F, G, H, I.

https://en.wikipedia.org/wiki/Tree\_traversal

## Traversal Exercise (homework)



Print numbers using **PreOrder**, **PostOrder** and **InOrder** traversal

# **Trees Space Race**

### Summary

- We have introduced the *Map* ADT
- We have introduced Trees
  - Hierarchical Data Structures
  - Support multiple types of data
  - Very useful in Computer Science
- Efficient ways to store, search and reason over data
- Various types of Tree
  - We've focused on Binary Search Trees
- Next lecture:
  - operations on trees, and how to implement them
  - and some of the pitfalls...
- Attendance sheet