

# Microwave Engineering and Antennas

## General Introduction

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Microwave Engineering and Antennas

## General

- Master-level course within an Electrical Engineering program
- Trend towards integrated antenna systems
- Ideal combination of passive and active microwave engineering and antenna theory

# Microwave Engineering and Antennas

## Objectives

- Introduction into microwave engineering, passive and active components
- Introduction into antenna theory and phased-arrays
- Hands-on design of microwave circuits and antennas with state-of-the-art design tools

# Microwave Engineering and Antennas

## Required Background

- Circuits (Bachelor level)
- Electromagnetics, static and dynamic fields (Bachelor level)

# Microwave Engineering and Antennas

## Lecturers



Prof. dr. ir. Bart Smolders



Prof. dr. ir. Domine Leenaerts

# Microwave Engineering and Antennas

## **Exercises and Labs**

- On-line exercises and quizzes
- Labs using state-of-the-art simulation tools

# Microwave Engineering and Antennas

## Study material

- Book: ***Modern Antennas and Microwave Circuits***  
by A.B. Smolders, H.J. Visser, U. Johannsen  
*on-line available*
- Additional material will be provided

# Microwave Engineering and Antennas

## **What we expect from you**

- Watch the weblectures each week
- Perform the exercises and quizzes
- Use the discussion forum to seek help

## **Other requirements**

- You need a PC or laptop
- Download open-source software QUCS and CST (student version)

# Microwave Engineering and Antennas

## Applications

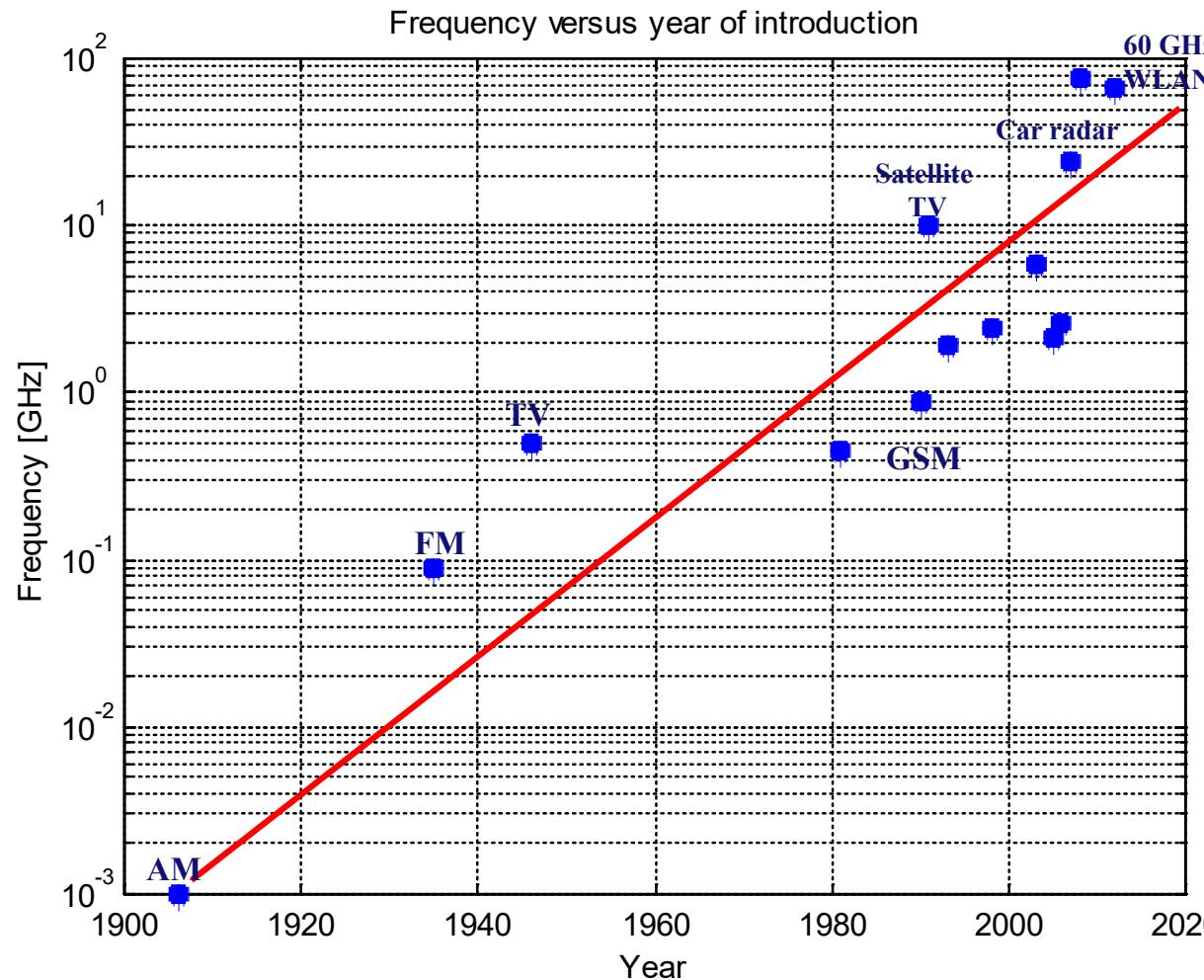
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# Applications

## **Objective of this lecture**

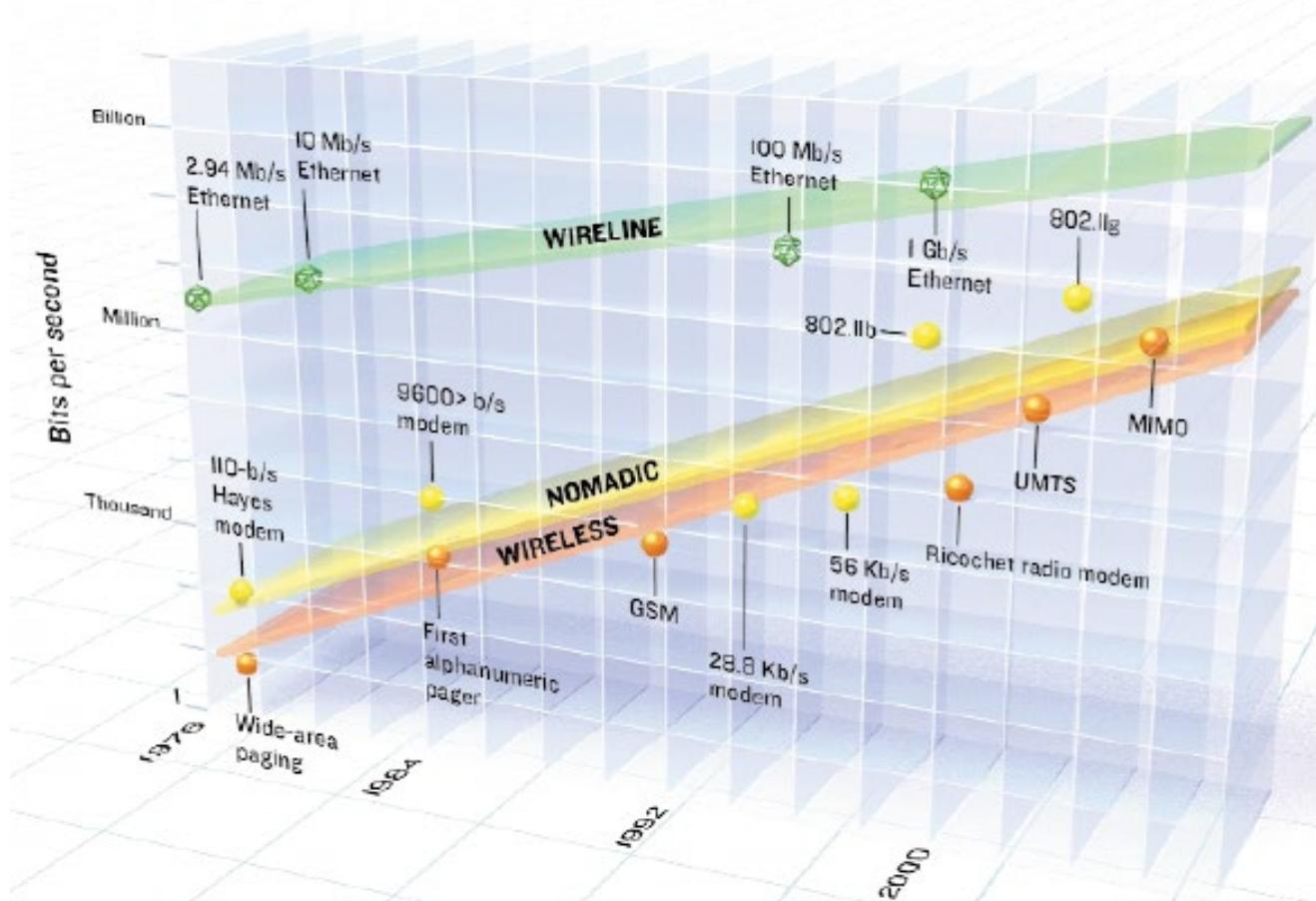
- Describe trends in wireless applications
- Introduce examples of applications

# Trend 1: increase of operational frequency



- More bandwidth
- New applications

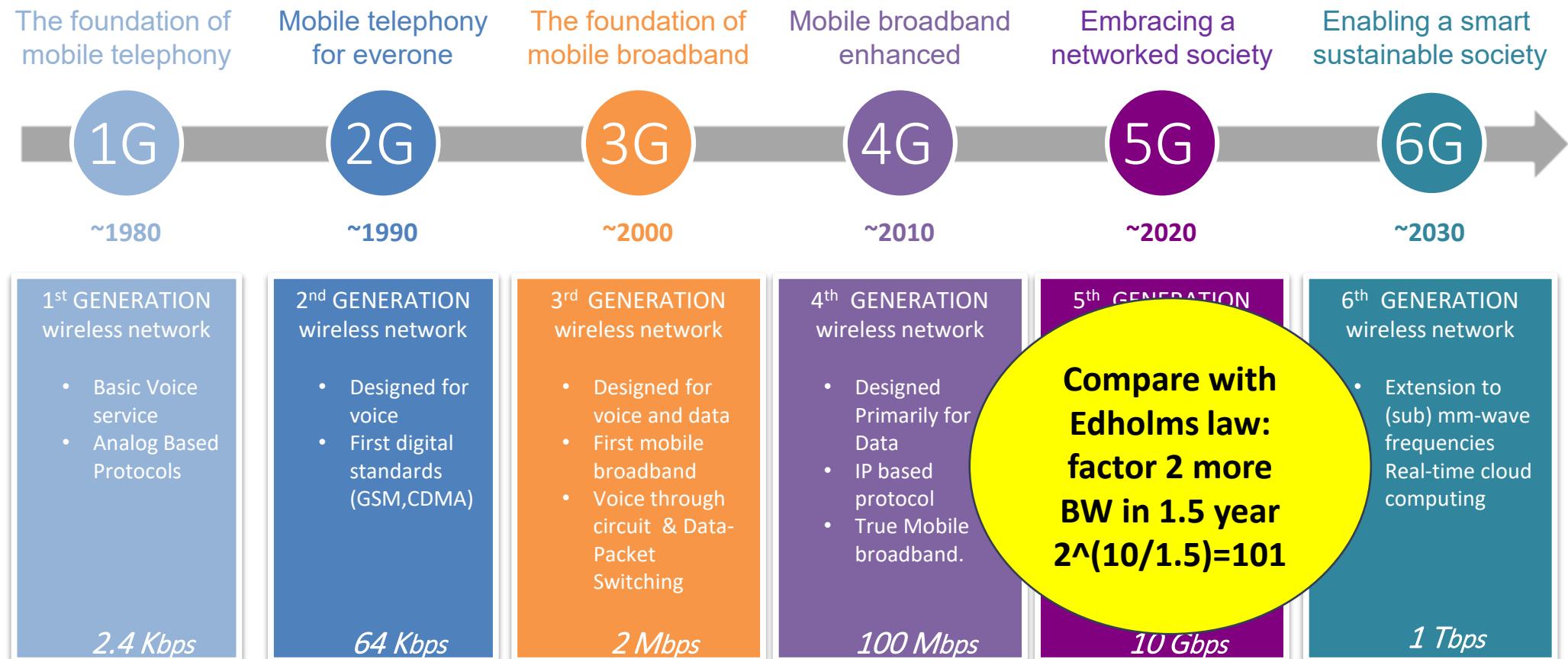
# Trend 2: increase of bandwidth and datarate



- Edholm's law
- Required bandwidth/datarate doubles each 18 months

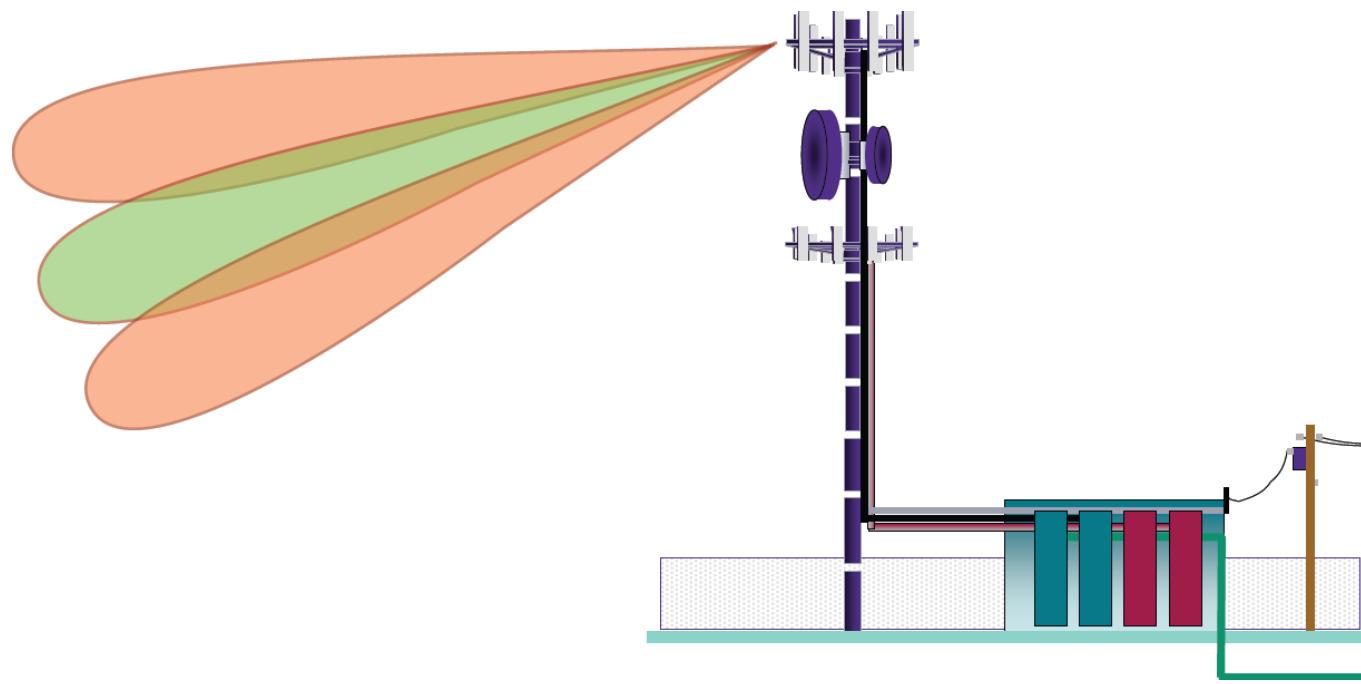
# Trend 2: increase of bandwidth and datarate

## Evolution of wireless standards



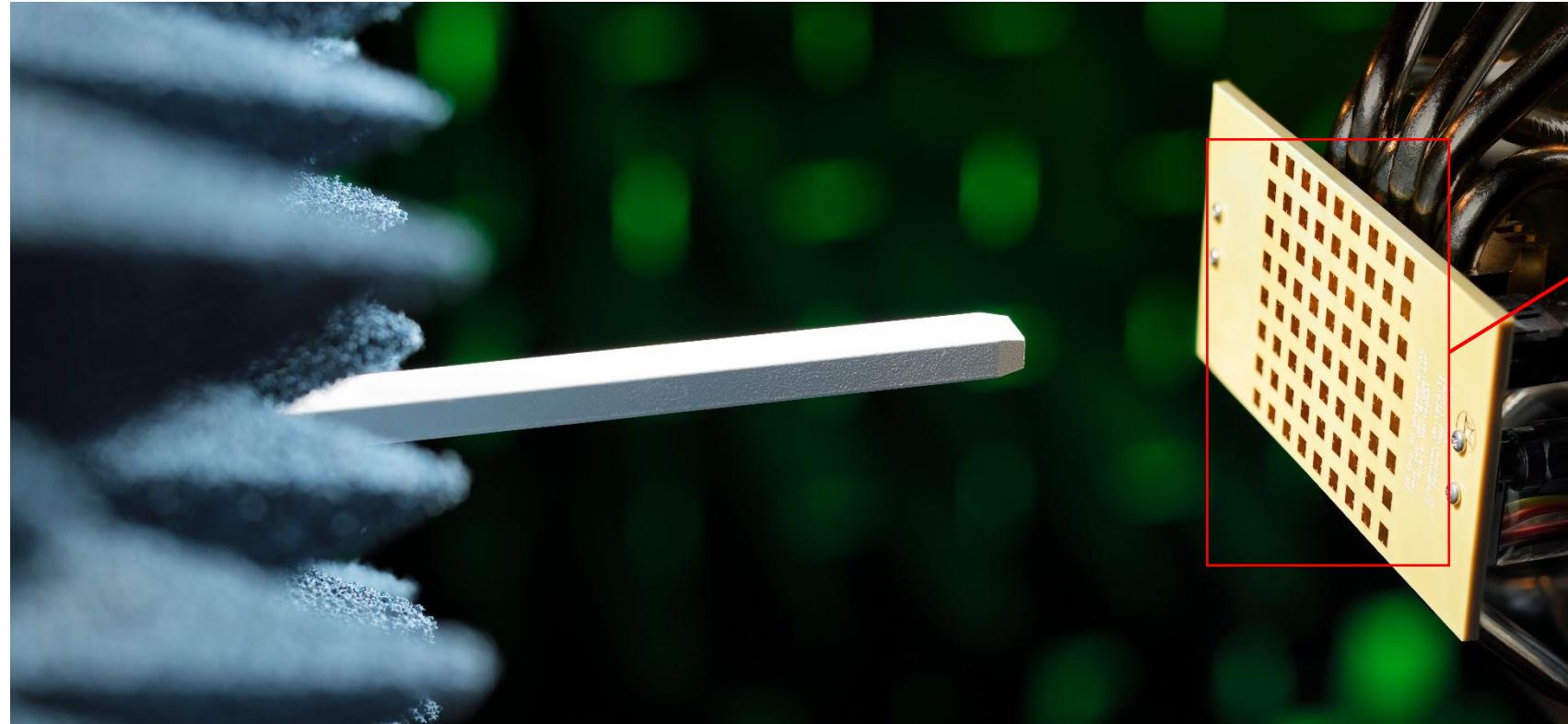
# Applications: Wireless communications

## Basestations 4G/5G/XG



# Applications: Wireless communications

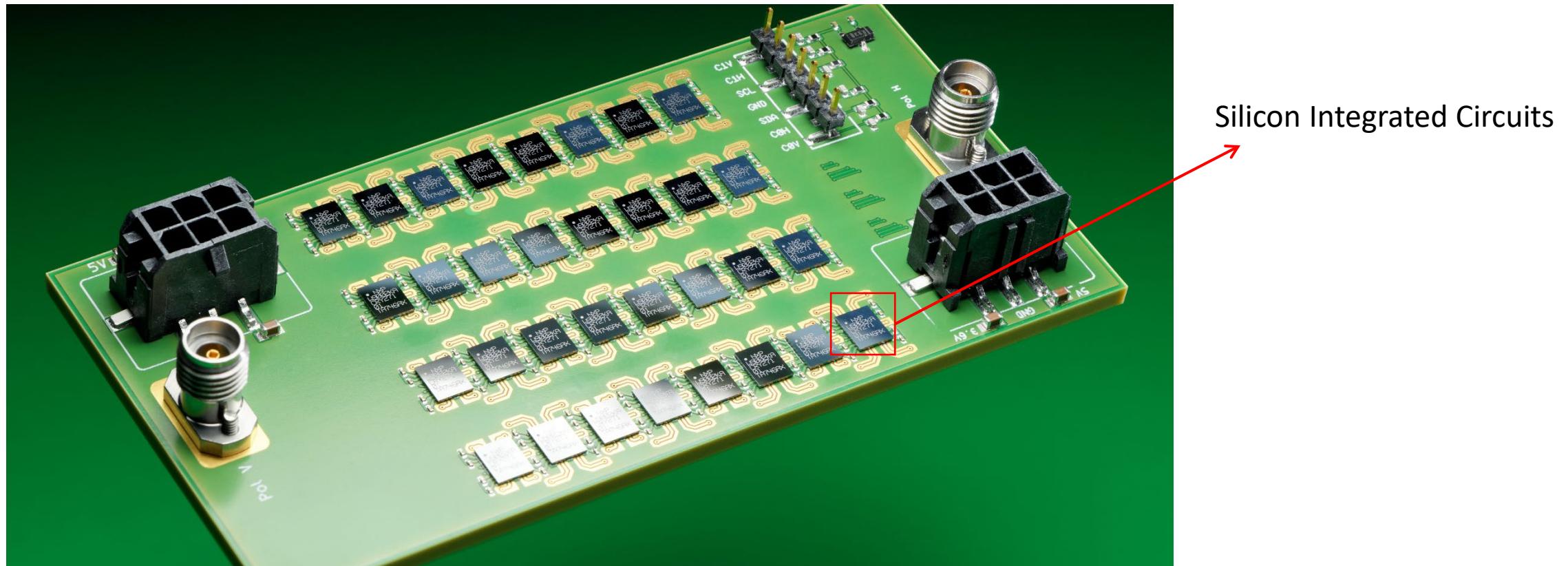
## Phased-array for 5G NR Basestations (26.5-29.5 GHz)



8x8 phased array

# Applications: Wireless communications

# Phased-array for 5G NR Basestations (26.5-29.5 GHz)



# Applications: Wireless communications

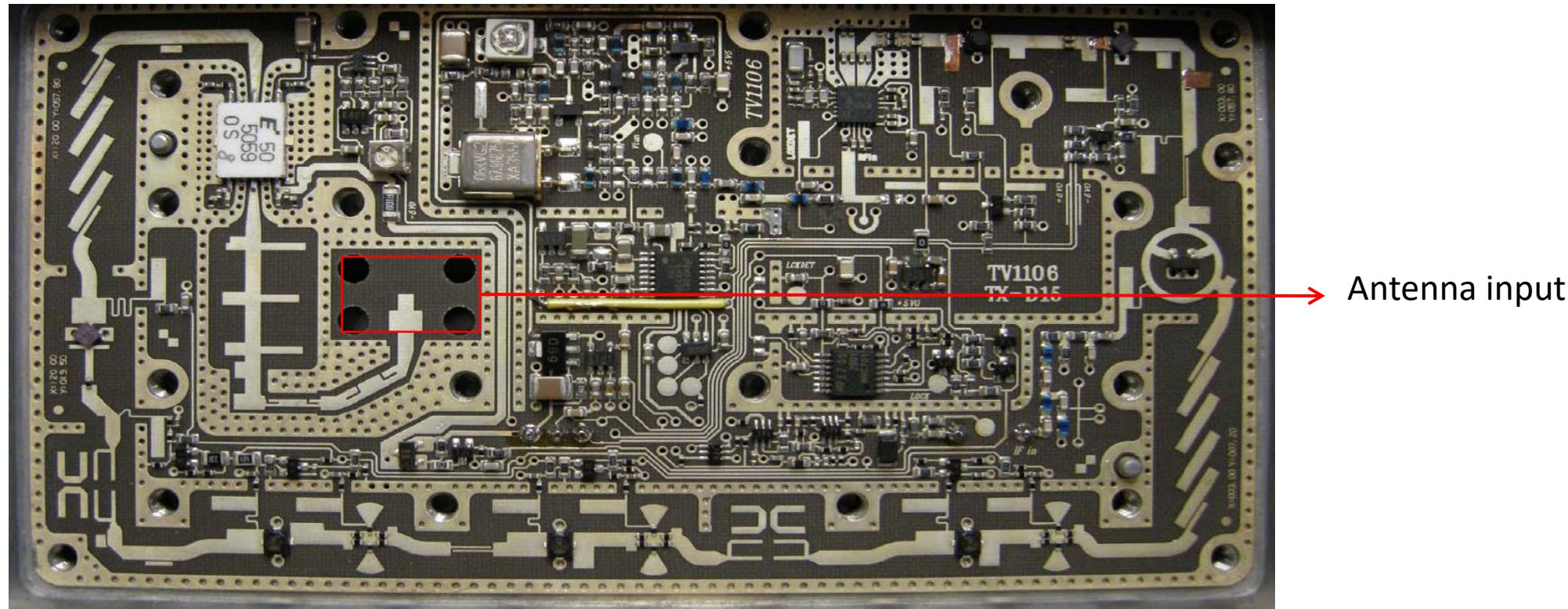
## Mobile phone

- Multiple wireless applications
  - WiFi, Bluetooth, 4G/5G, GPS, NFC...
- Large number of antennas
- Large number of integrated circuits (ICs)



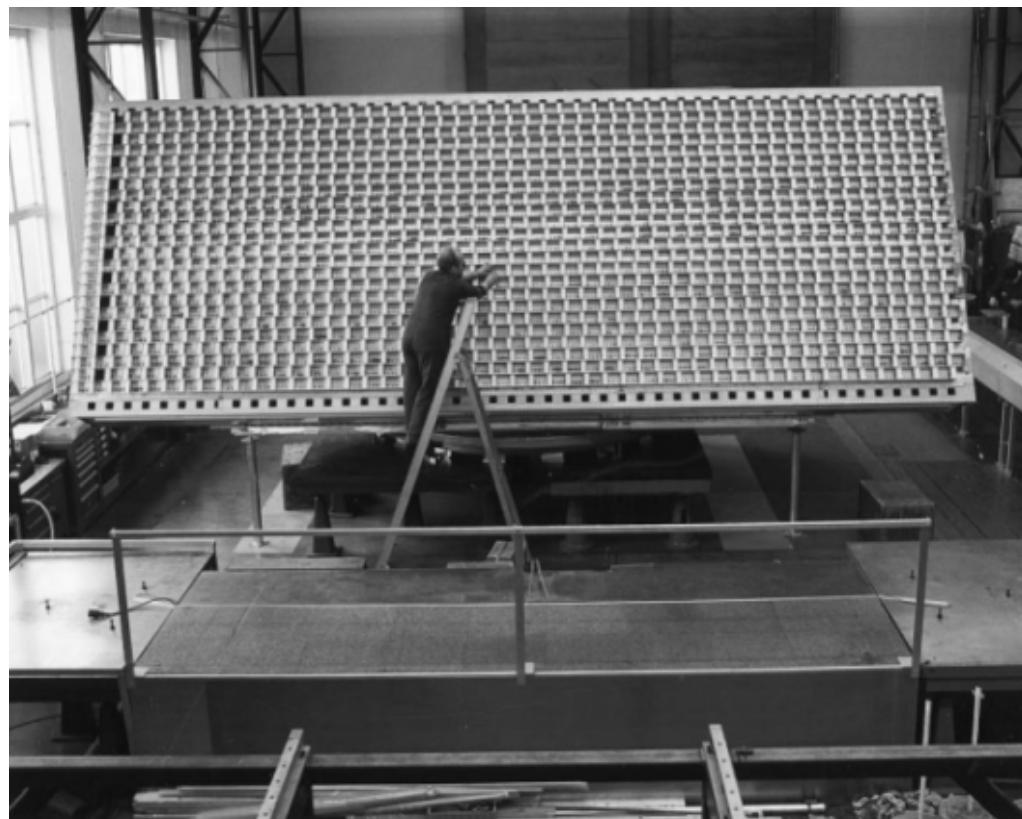
# Applications: Wireless communications

## Satellite communications (11-40 GHz)



# Applications: Radar

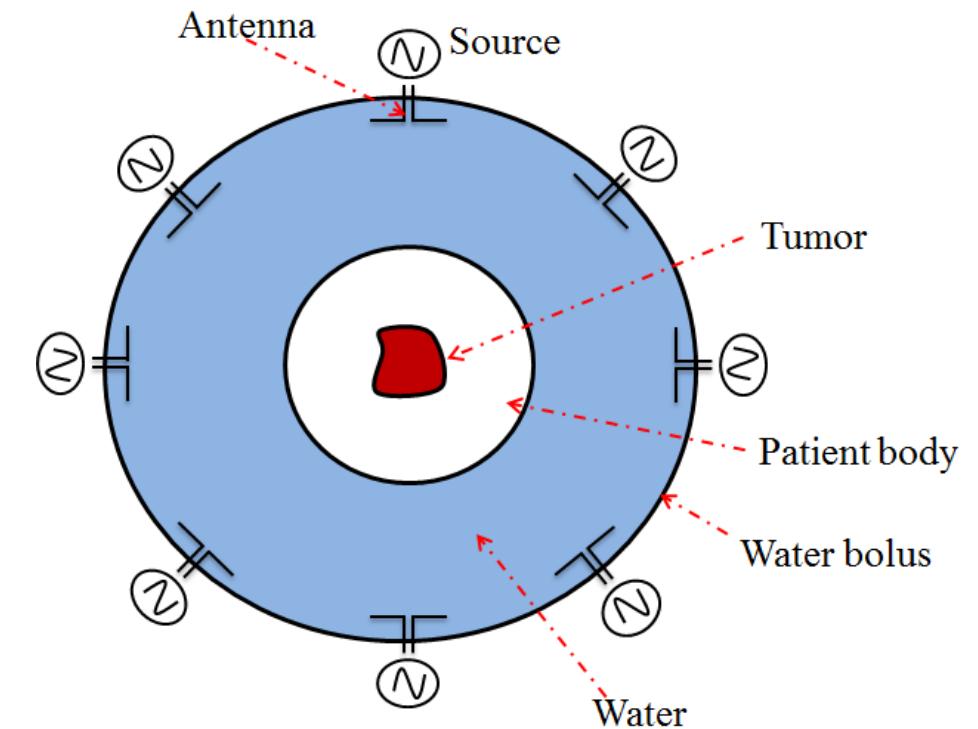
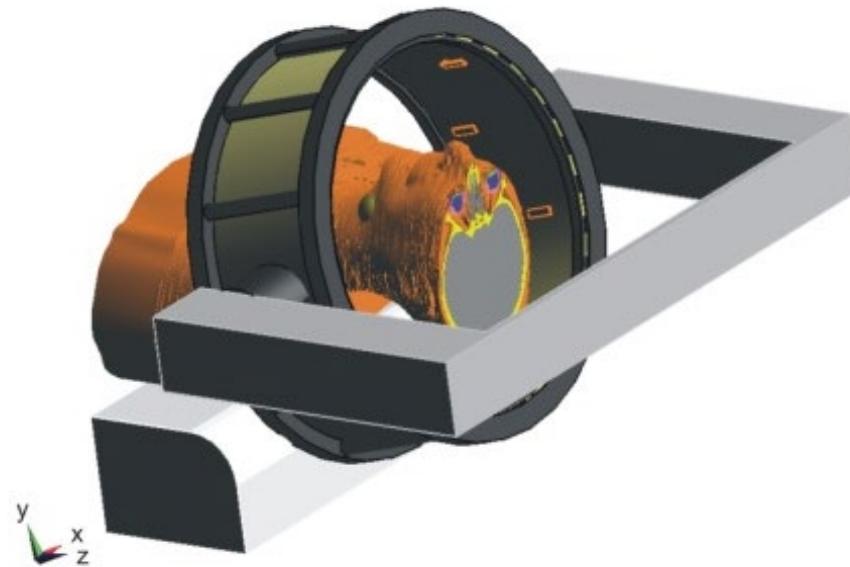
## Phased-array radar (L-band)



- 1024 antenna elements
- Electronic beamsteering

# Applications: Medical

## Hyperthermia (433 MHz)



# Applications: Medical

## Radio astronomy (10 MHz-500+ GHz)



- Square Kilometer Array
- Multi beams
- Wideband
- Receive only

# Applications

## Summary

- Trends
  - Higher frequencies
  - More bandwidth
- Applications
  - Wireless communications
  - Radar
  - Medical
  - Radio astronomy

# Microwave Engineering and Antennas

## Time-harmonic signals and fields

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# Time-harmonic signals and fields

## **Objective of this lecture**

- Signals in the time domain
- Frequency domain and phasors
- Time harmonic fields

# Time harmonic signals

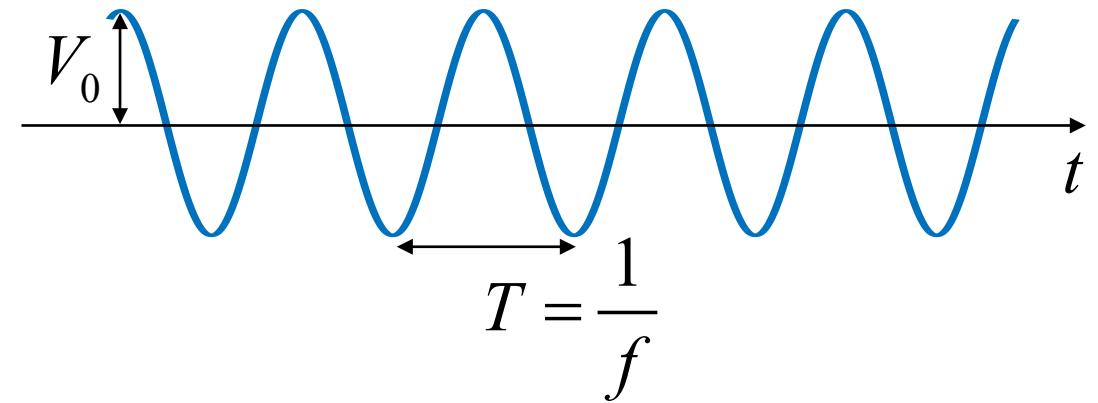
## Voltage in time domain

$$v(t) = V_0 \cos(\omega t + \varphi)$$

$\omega = 2\pi f$ ,  $\varphi$  phase offset

$$v(t) = \operatorname{Re} [V_0 e^{j\varphi} e^{j\omega t}]$$

$$= \operatorname{Re} [V e^{j\omega t}]$$



$V$ : Time-independent complex voltage (phasor)  
Frequency-domain representation of the voltage

# Time harmonic signals

## Usage and properties

We will omit the term  $e^{j\omega t}$  when working with phasors

## Time derivative

$$\frac{\partial v(t)}{\partial t} = \text{Re} [ \boxed{j\omega V} e^{j\omega t} ]$$

# Time-average power

## Dissipated power in load

$$p_i(t) = v(t)i(t)$$

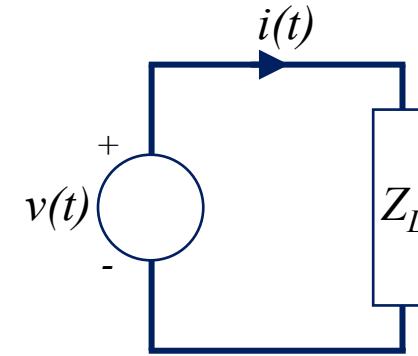
Instantaneous power

$$P_{av} = \frac{1}{T} \int_0^T v(t)i(t)dt$$

Time-average power

$$= \frac{1}{T} \int_0^T |V| |I| \cos(\omega t + \varphi_1) \cos(\omega t + \varphi_2) dt$$

$$= \frac{1}{2} \operatorname{Re} [VI^*]$$



$$v(t) = \operatorname{Re} [Ve^{j\omega t}]$$

$$i(t) = \operatorname{Re} [Ie^{j\omega t}]$$

$$\boxed{V = |V| e^{j\varphi_1}}$$

$$\boxed{I = |I| e^{j\varphi_2}}$$

# Time harmonic Fields

**Time domain Electric field vector of a plane wave in free space**

$$\vec{E}(\vec{r}, t) = \vec{E}_0(\vec{r}) \cos(\omega t - k_0 r + \varphi)$$

Position vector

wavenumber

$r = |\vec{r}|$

$$\vec{E}(\vec{r}, t) = \operatorname{Re} [\vec{E}(\vec{r}) e^{j\omega t}]$$

Time-independent complex Electric field vector (phasor)

$$\vec{E}(\vec{r}) = \vec{E}_0(\vec{r}) e^{j\varphi} e^{-jk_0 r}$$

# Summary

- In the entire course we will assume time-harmonic signals and fields
- Time domain and frequency domain representation of signals
- Scalar and vector quantities
- Time-average power

# Microwave Engineering and Antennas

## Hands-On Design

Ulf Johannsen, Assistant Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Microwave Engineering and Antennas – Hands-On Design

## Motivation

- Putting theory into practice
- Gaining deeper understanding
- Preparation for a successful microwave engineering and antennas career

# Microwave Engineering and Antennas

## Objectives

- Applying state-of-the-art design tools
- Designing standard microwave components in practice
- Assessment of various design options to come to the best trade-off

# Microwave Engineering and Antennas

## **Study resources**

- Lecture material
- Qucs
- CST Microwave Studio (student version)

# QuCS

- Quite Universal Circuit Simulator (QuCS) is a free-software electronics circuit simulator under GPL.
- You can **download** a copy from <http://qucs.sourceforge.net/>
- A **short description of mathematical functions** that can be used in QuCS equation (including some standard microwave engineering parameters) can be found here:  
[https://web.mit.edu/qucs\\_v0.0.19/docs/en/mathfunc.html](https://web.mit.edu/qucs_v0.0.19/docs/en/mathfunc.html)

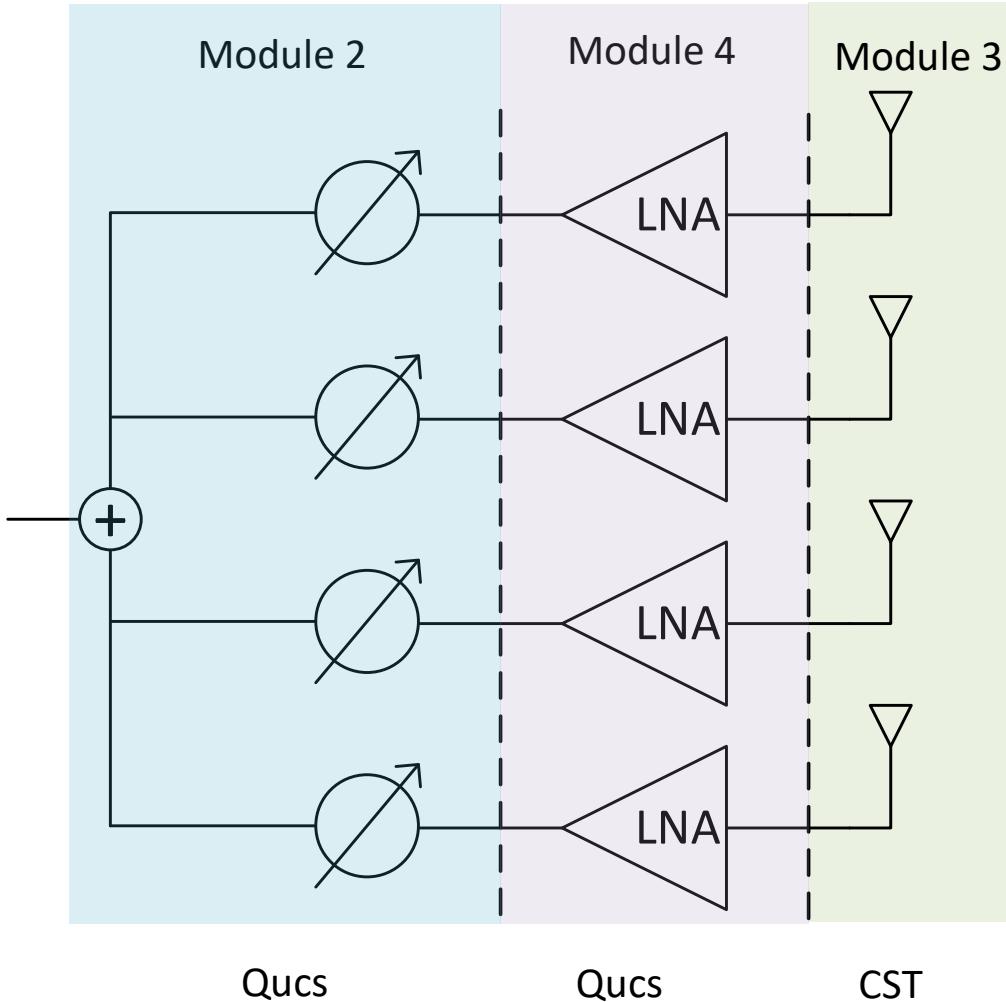


# CST Microwave Studio (student version)

- CST Studio Suite® is a high-performance **3D EM analysis software** package for designing, analyzing and optimizing electromagnetic components and systems.
- You can download the **student edition** of **CST Studio Suite** from  
<https://www.3ds.com/products-services/simulia/products/cst-studio-suite/student-edition/>



# Hands-On Design Assignment



## 4-Element Analogue Beamforming Receiver-Array

- 5.8 GHz ISM band
- $\pm 45^\circ$  beamsteering range
- Main-lobe antenna gain of 9dBi for entire beamsteering range
- System noise figure < 2.7dB

# Microwave Engineering and Antennas

## **What we expect from you**

- Work out the assignment (alone or in a small group)
- Use the discussion forum to seek help
- Submit your design report along with the Qucs/CST file(s) of your final design
- Assess the designs of your peers

## **Other requirements**

- You need a PC or laptop
- Download open-source software QUCS and CST (student version)

# Microwave Engineering and Antennas



## Transmission lines part 1 The lossless line

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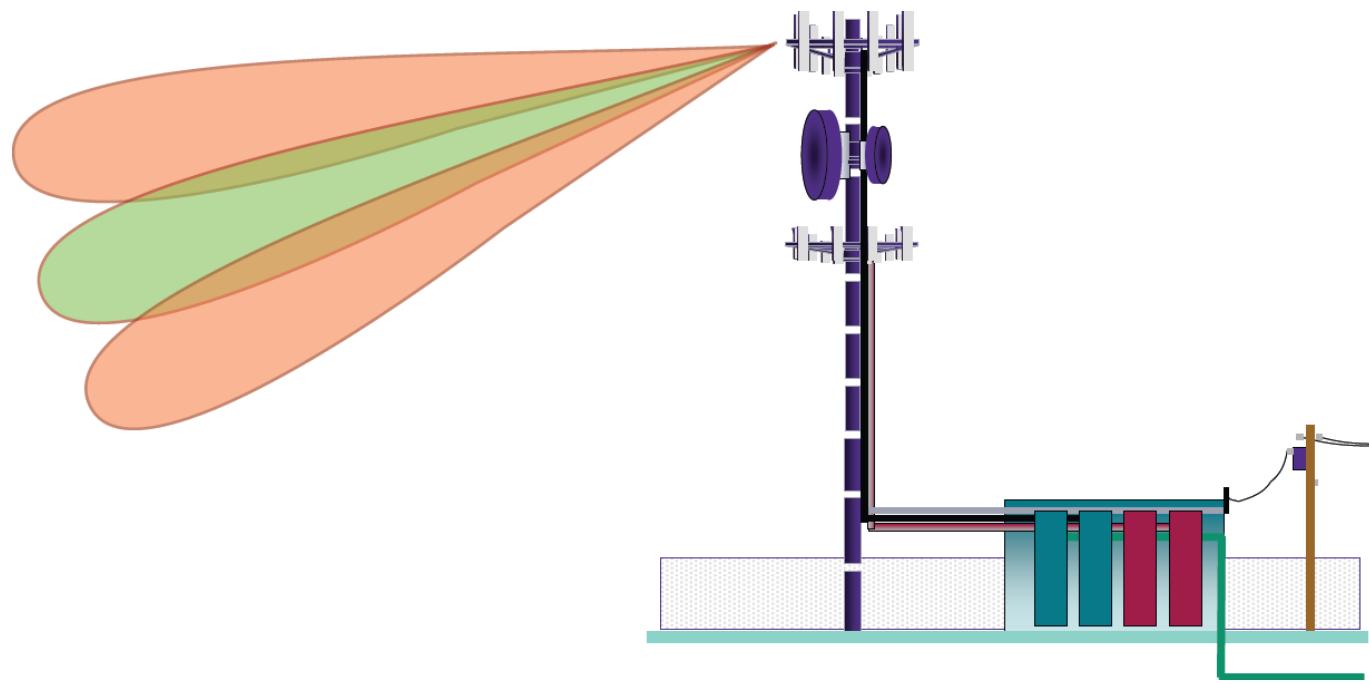
# Transmission lines: The lossless line

## **Objective of this lecture**

- Introduce theory of ideal transmission lines
- Apply theory to terminated lossless line.
- Discuss a few examples

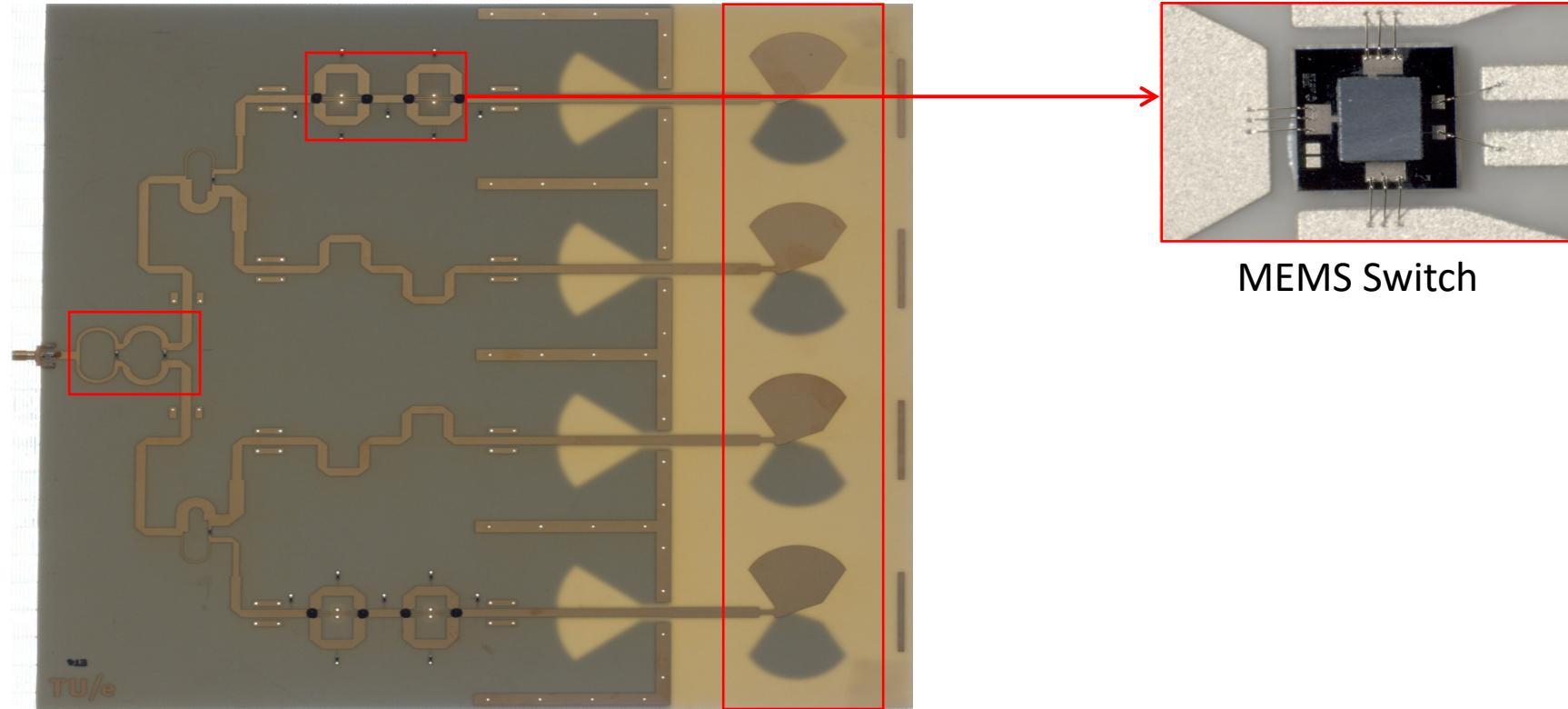
# Wireless communications

## Basestations 4G/5G/XG

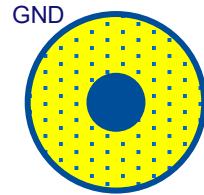


# Wireless communications

## RF Beamformer for Basestation (1.6-2.4 GHz)

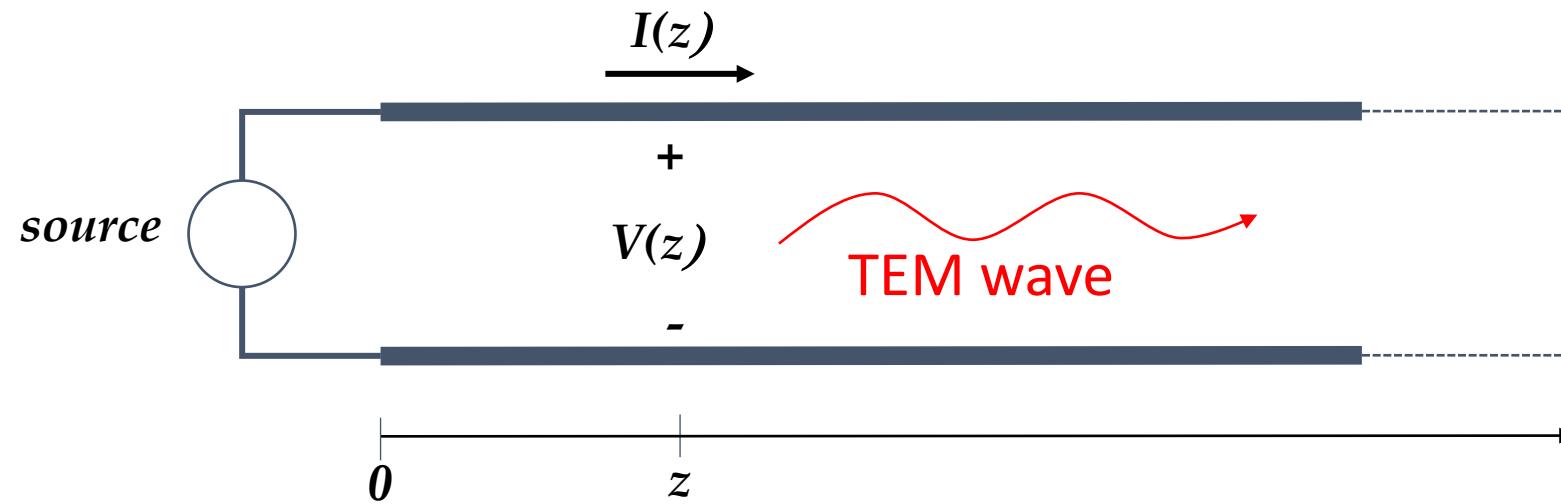


# Examples of transmission lines (Tlines)



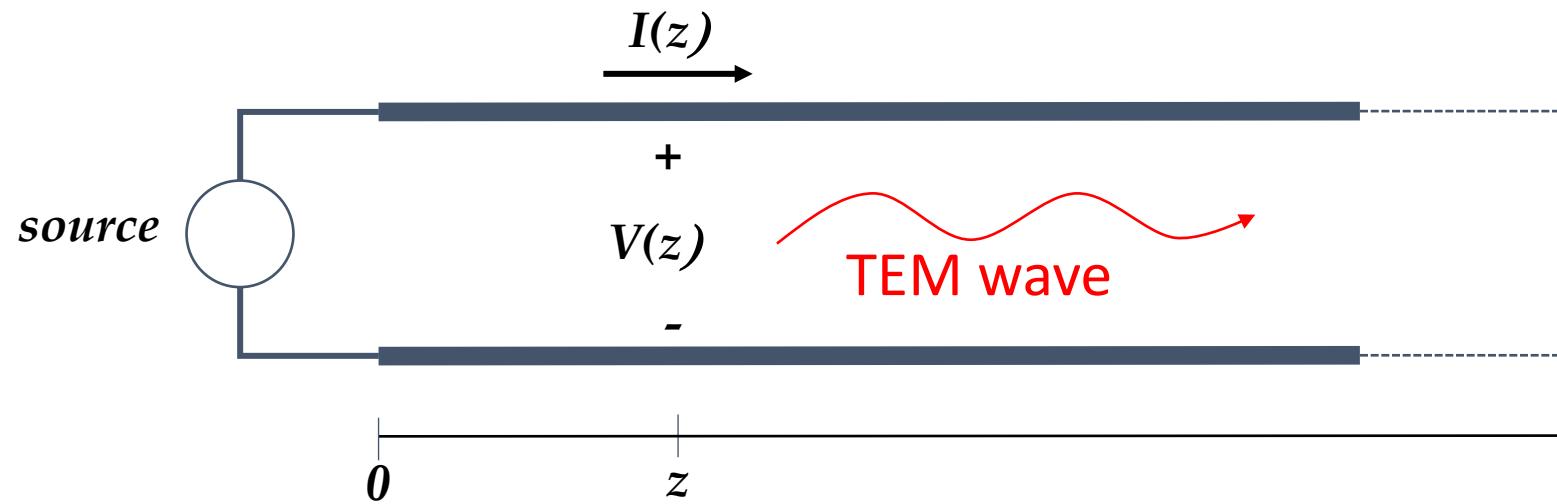
Coaxial cable, TEM mode

# Basic Transmission line along $z$ -axis



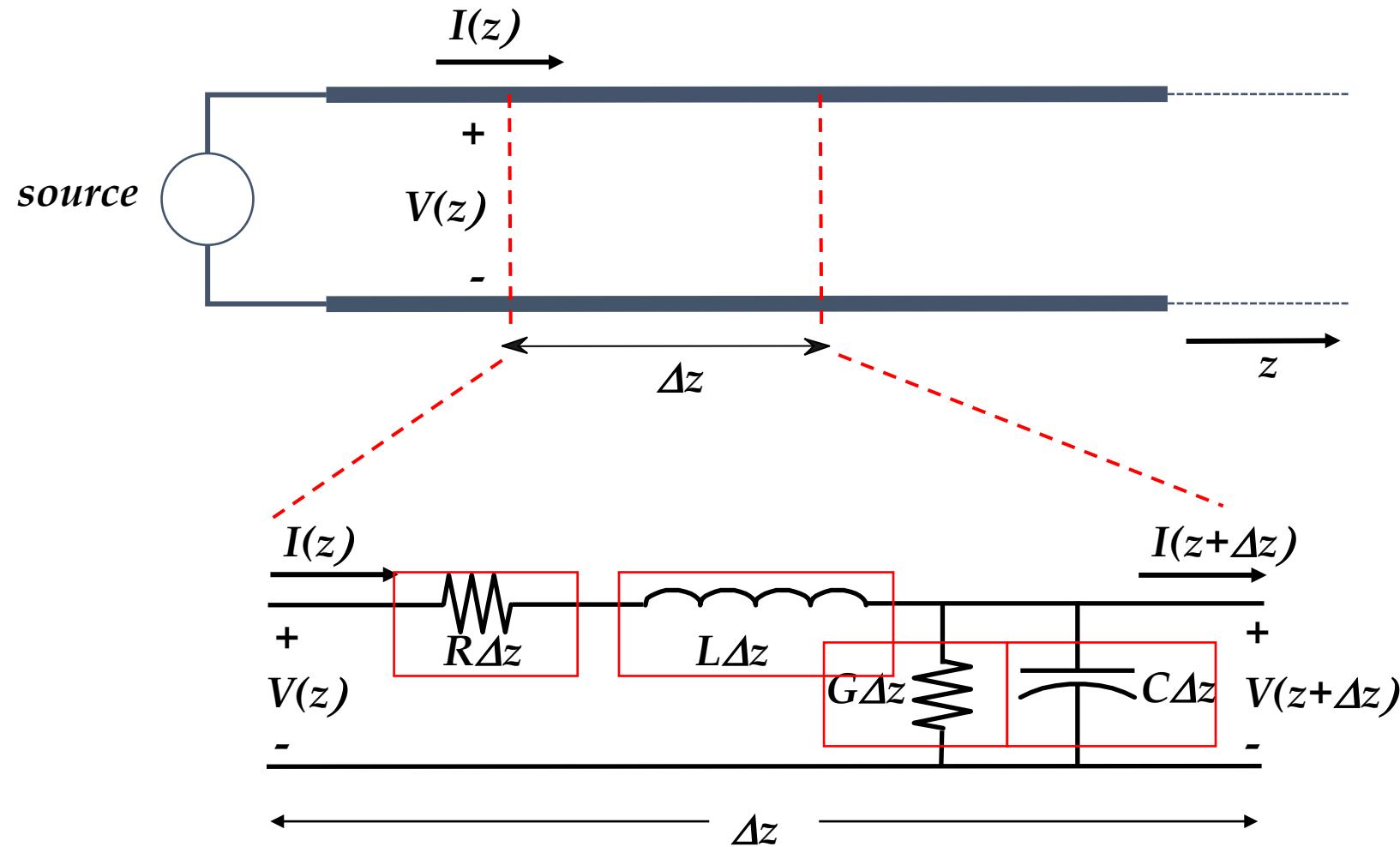
- We will assume Transverse ElectroMagnetic (TEM) waves only.
- Question: How to apply Kirchhoff's law?

# Basic Transmission line along $z$ -axis

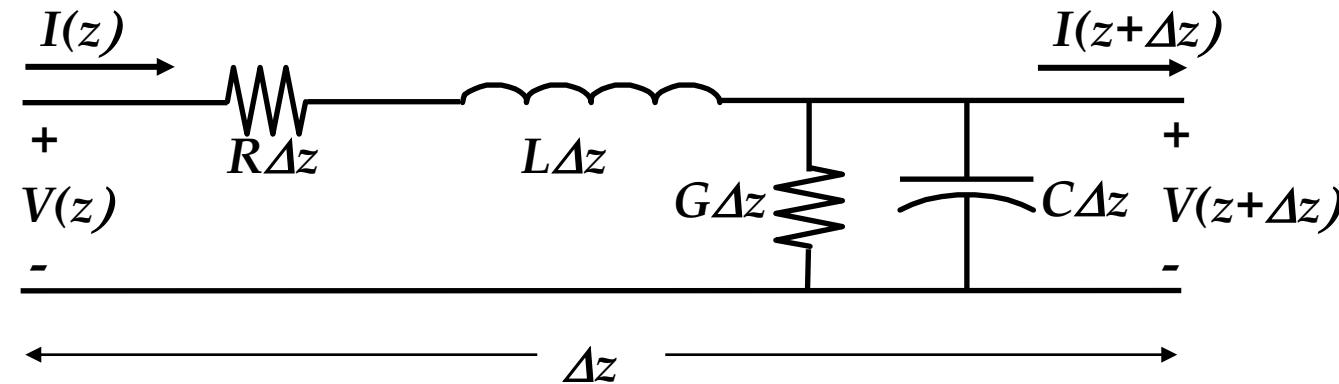


- A short piece of length  $\Delta z$  ( $\ll \lambda$ ) and can be modelled as a lumped-element circuit
- Question: How???

# Lumped-element circuit model



# Applying circuit theory



**Apply Kirchhoff's voltage and current laws:**

$$V(z) - \boxed{I(z)R\Delta z} - \boxed{I(z)j\omega L\Delta z} - \boxed{V(z + \Delta z)} = 0$$

$$I(z) - \boxed{V(z + \Delta z)G\Delta z} - \boxed{V(z + \Delta z)j\omega C\Delta z} - \boxed{I(z + \Delta z)} = 0$$

# Telegrapher equation

$$\boxed{V(z) - I(z)R\Delta z - I(z)j\omega L\Delta z} - \boxed{V(z + \Delta z)} = 0$$

$$I(z) - V(z + \Delta z)G\Delta z - V(z + \Delta z)j\omega C\Delta z - I(z + \Delta z) = 0$$

**Divide by  $\Delta z$  and take limit  $\Delta z \rightarrow 0$ :**

$$\frac{\partial V(z)}{\partial z} = -(R + j\omega L)I(z)$$

$$\frac{\partial I(z)}{\partial z} = -(G + j\omega C)V(z)$$

# Solution of the Telegrapher equation

$$\frac{\partial V(z)}{\partial z} = -(R + j\omega L)I(z)$$

$$\frac{\partial I(z)}{\partial z} = -(G + j\omega C)V(z)$$



$$V(z) = \boxed{V_0^+ e^{-\gamma z}} + \boxed{V_0^- e^{\gamma z}}$$

$$I(z) = I_0^+ e^{-\gamma z} + I_0^- e^{\gamma z}$$

# Wave propagation on a Tline

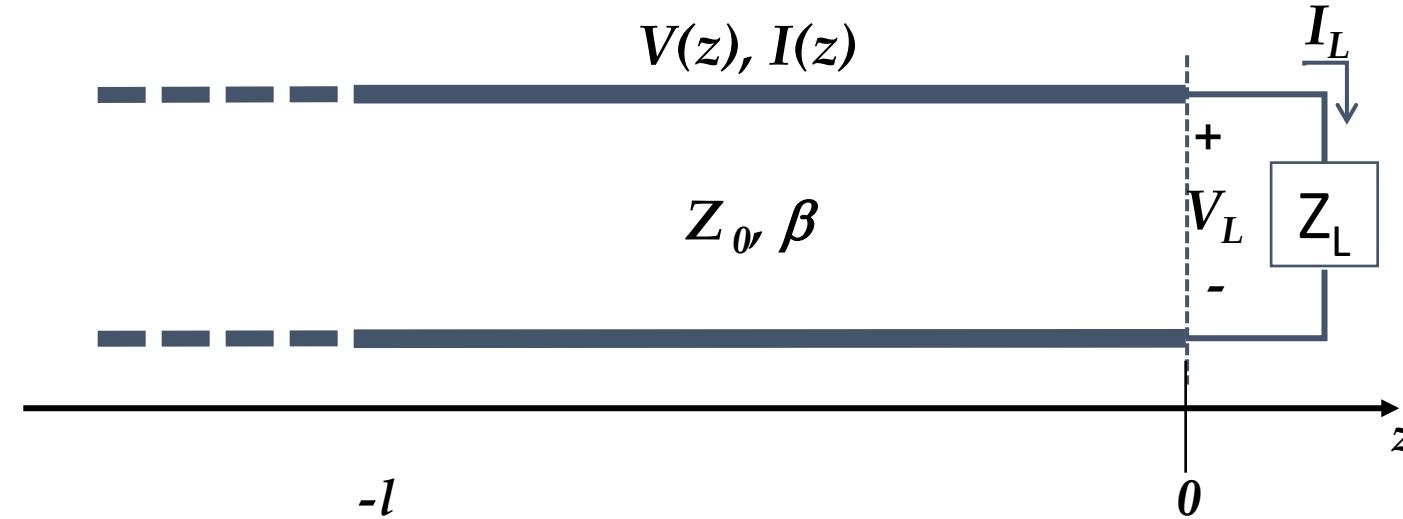
$$V(z) = V_0^+ e^{-\gamma z} + V_0^- e^{\gamma z}$$

$$I(z) = I_0^+ e^{-\gamma z} + I_0^- e^{\gamma z}$$

$$\begin{aligned}\gamma &= \boxed{\alpha} + \boxed{j\beta} \\ &= \sqrt{(R + j\omega L)(G + j\omega C)}\end{aligned}$$

$$Z_0 = \frac{V_0^+}{I_0^+} = \frac{R + j\omega L}{\gamma}$$

# The terminated lossless Tline ( $\alpha=0$ )



$$V(z) = V_0^+ e^{-j\beta z} + V_0^- e^{j\beta z}$$

$$I(z) = \frac{V_0^+}{Z_0} e^{-j\beta z} - \frac{V_0^-}{Z_0} e^{j\beta z}$$

$$\boxed{Z_L = \frac{V_L}{I_L}} = \frac{V(0)}{I(0)}$$

$$= \boxed{\frac{V_0^+ + V_0^-}{V_0^+ - V_0^-} Z_0}$$

# The terminated lossless Tline

$$Z_L = \frac{V_O^+ + V_O^-}{V_O^+ - V_O^-} Z_0$$

**Rewriting gives:**

$$V_O^- = \frac{Z_L - Z_0}{Z_L + Z_0} V_O^+$$

$$\Gamma = \frac{V_O^-}{V_O^+} = \frac{Z_L - Z_0}{Z_L + Z_0}$$

Reflection coefficient at z=0

# Some examples

Short ( $Z_L = 0$ )

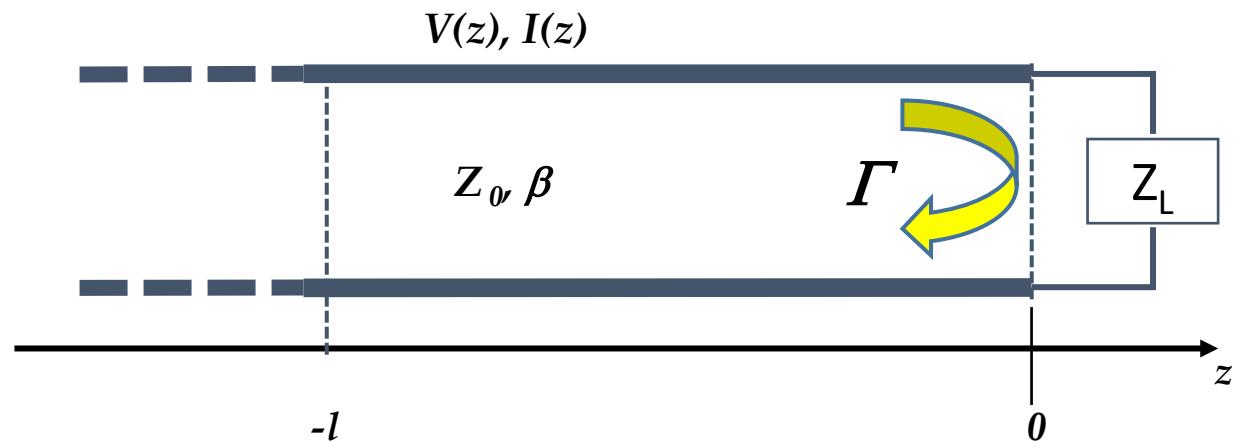
$$\Gamma = \frac{Z_L - Z_0}{Z_L + Z_0} = \frac{0 - Z_0}{0 + Z_0} = -1$$

Open ( $Z_L = \infty$ )

$$\Gamma = 1$$

Load ( $Z_L = Z_0$ )

$$\Gamma = 0$$



# The terminated lossless Tline

**The voltage along the line at  $z=-l$  can be written as**

$$\begin{aligned}|V(z)| &= \left| V_0^+ e^{-j\beta z} + V_0^- e^{j\beta z} \right| = \left| V_0^+ \right| \left| 1 + \Gamma e^{2j\beta z} \right| \\ &= \left| V_0^+ \right| \left| 1 + |\Gamma| e^{j(\theta - 2\beta l)} \right| \text{ at } z = -l\end{aligned}$$

with  $\Gamma = |\Gamma| e^{j\theta}$

**The voltage fluctuates along the line**

$$V_{\max} = \left| V_0^+ \right| \left| 1 + |\Gamma| \right|$$

$$V_{\min} = \left| V_0^+ \right| \left| 1 - |\Gamma| \right|$$

$$VSWR = \frac{V_{\max}}{V_{\min}} = \frac{1 + |\Gamma|}{1 - |\Gamma|}$$

# Summary

- Transmission line is a distributed network
- Telegrapher equation
- Terminated transmission line.
- Reflection coefficient
- Voltage Standing Wave Ratio (VSWR)

# Microwave Engineering and Antennas

## Transmission lines part 2 The terminated line and lossy lines

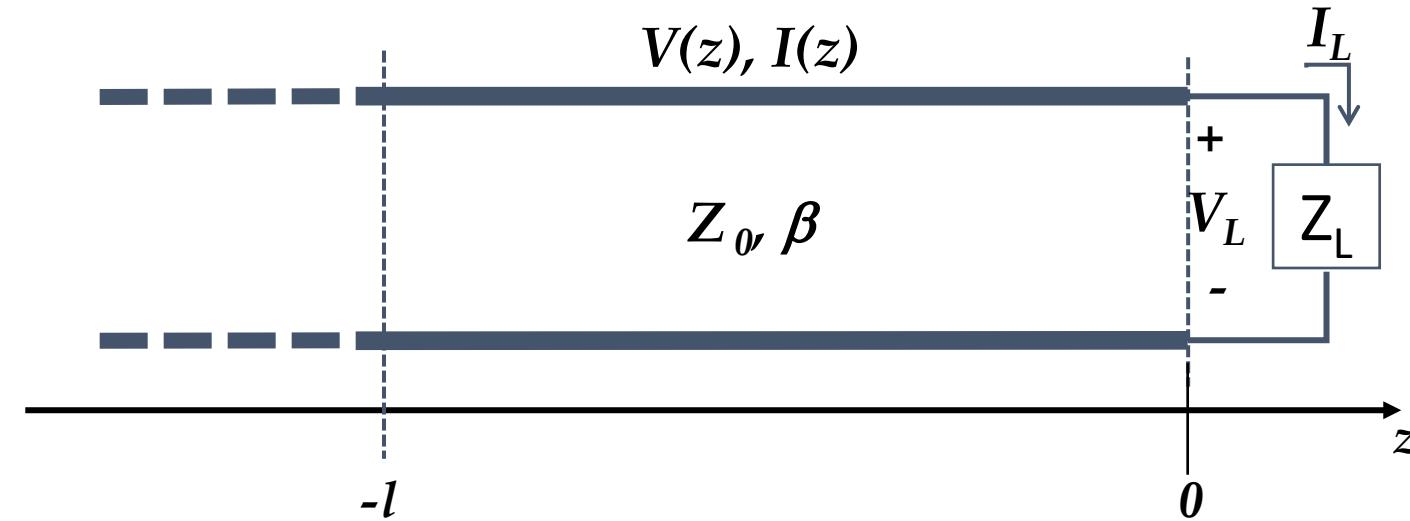
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# Transmission lines: The terminated line and lossy lines

## Objective of this lecture

- Derive expression for input impedance of terminated line
- Introduce return loss and insertion loss
- Quarter-wave transformer
- Extend theory towards lossy lines

# The terminated lossless Tline

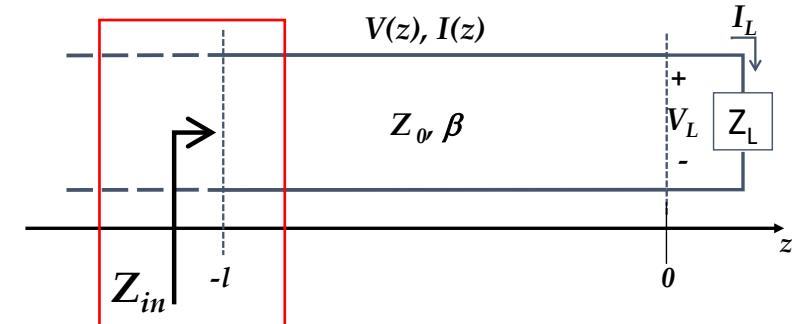


$$V(z) = \boxed{V_0^+ e^{-j\beta z}} + \boxed{V_0^- e^{j\beta z}}$$

$$I(z) = \frac{V_0^+}{Z_0} e^{-j\beta z} - \frac{V_0^-}{Z_0} e^{j\beta z}$$

$$\Gamma(z=0) = \frac{V_0^-}{V_0^+} = \frac{Z_L - Z_0}{Z_L + Z_0}$$

# The terminated lossless Tline



**The input impedance at  $z=-l$  is**

$$Z_{in} = \frac{V(z = -l)}{I(z = -l)} = \frac{V_0^+ e^{-j\beta z} + V_0^- e^{j\beta z}}{I_0^+ e^{-j\beta z} - I_0^- e^{j\beta z}} = \frac{V_0^+ \left[ e^{j\beta l} + \Gamma e^{-j\beta l} \right]}{V_0^+ \left[ e^{j\beta l} - \Gamma e^{-j\beta l} \right]} Z_0$$

$$\Gamma = \frac{Z_L - Z_0}{Z_L + Z_0}$$

Reflection coefficient at  $z=0$

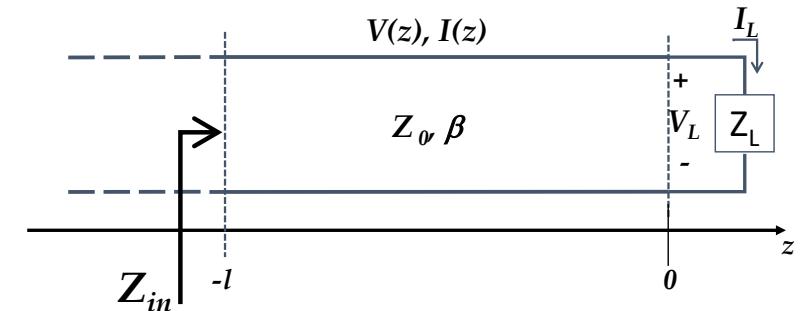
$$Z_{in} = Z_0 \frac{Z_L + jZ_0 \tan \beta l}{Z_0 + jZ_L \tan \beta l}$$

# Example

Consider a short-circuited Tline of length  $l$ :

$$Z_L = 0$$

$$\begin{aligned} Z_{in} &= Z_0 \frac{Z_L + jZ_0 \tan \beta l}{Z_0 + jZ_L \tan \beta l} \\ &= jZ_0 \tan \beta l \end{aligned}$$



This is equivalent to an inductor ( $Z_{in}=j\omega L$ )

# Return loss

The incident ( $P_{in}$ ) and reflected power ( $P_r$ ) are related to the reflection coefficient  $\Gamma$

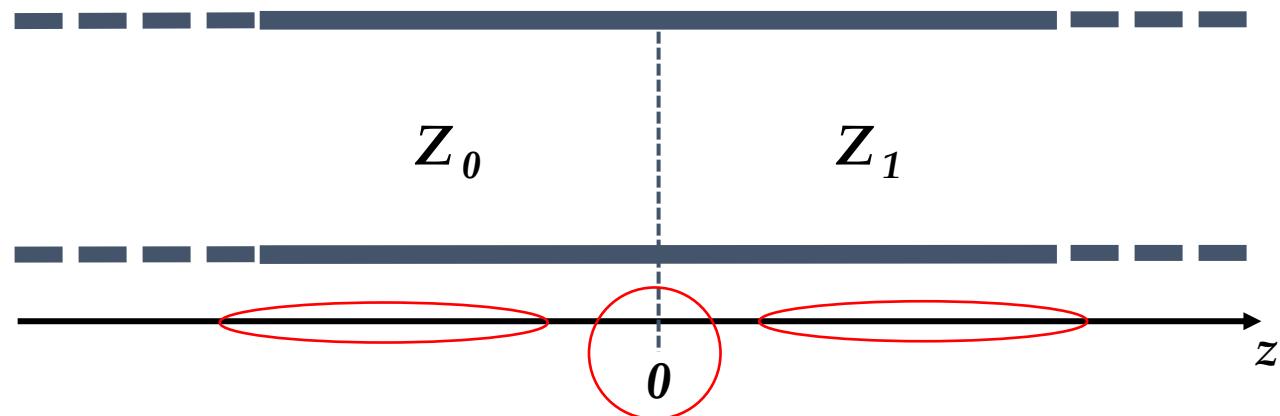
$$\frac{P_r}{P_{in}} = \frac{\left( \frac{|V_0^-|^2}{2R_L} \right)}{\left( \frac{|V_0^+|^2}{2R_L} \right)} = |\Gamma|^2 \quad \text{in case of } Z_L = R_L$$

The return loss is now defined as

$$RL = -10 \log_{10} |\Gamma|^2 = -20 \log_{10} |\Gamma| \quad [\text{dB}]$$

# Insertion loss

**Consider two Tlines connected to each other**



$$z \leq 0 \quad V_0(z) = V_0^+ (e^{-j\beta z} + \Gamma e^{j\beta z})$$

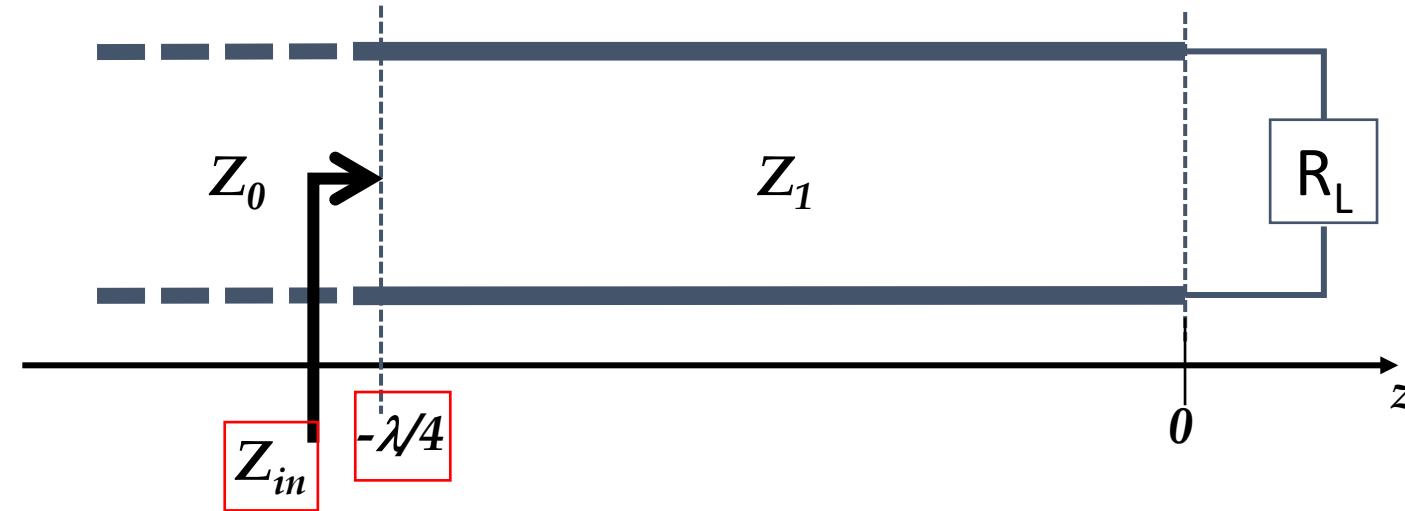
$$z \geq 0 \quad V_1(z) = V_0^+ T e^{-j\beta z}$$

$$\begin{aligned} T &= 1 + \Gamma = 1 + \frac{Z_1 - Z_0}{Z_1 + Z_0} \\ &= \frac{2Z_1}{Z_1 + Z_0} \end{aligned}$$

**The insertion loss is now defined as**

$$IL = -10 \log_{10} \left( \frac{P_1}{P_{in}} \right) = -10 \log_{10} \left( \frac{\frac{|V_1|^2}{2Z_1}}{\frac{|V_0^+|^2}{2Z_0}} \right) = -20 \log_{10} |T| + 10 \log_{10} \left( \frac{Z_1}{Z_0} \right) \quad [\text{dB}]$$

# The quarter-wave transformer



**Input impedance at  $z=-\lambda/4$ :**

$$Z_{in} = Z_1 \frac{R_L + jZ_1 \tan \beta l}{Z_1 + jR_L \tan \beta l}$$

$$\beta l = \frac{2\pi}{\lambda} \frac{\lambda}{4} = \frac{\pi}{2}$$

$$Z_{in} = \frac{Z_1^2}{R_L}$$

$\Gamma = 0$  if  $Z_1 = \sqrt{Z_0 R_L}$

# Example

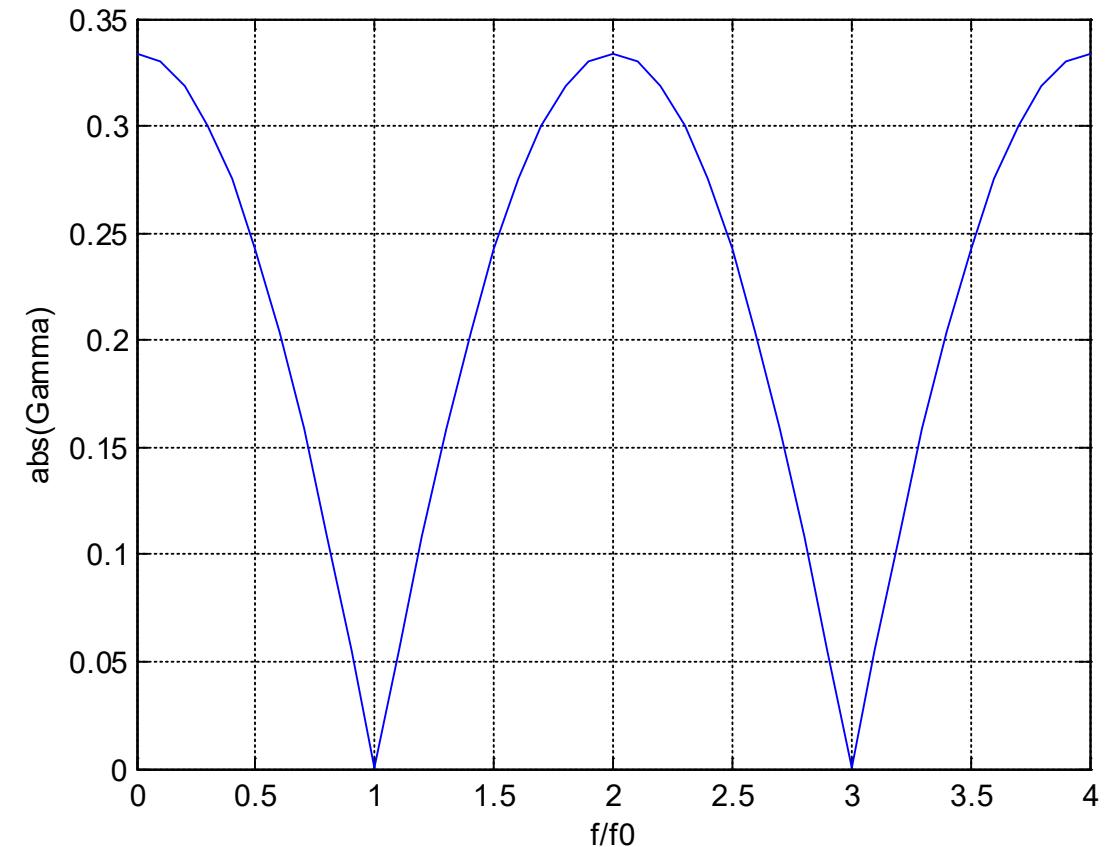
**Design a quarter-wave transformer to match a 100 Ohm load to a 50 Ohm transmission line.**

$$\Gamma = 0 \text{ if } Z_1 = \sqrt{Z_0 R_L} = 70.71\Omega$$

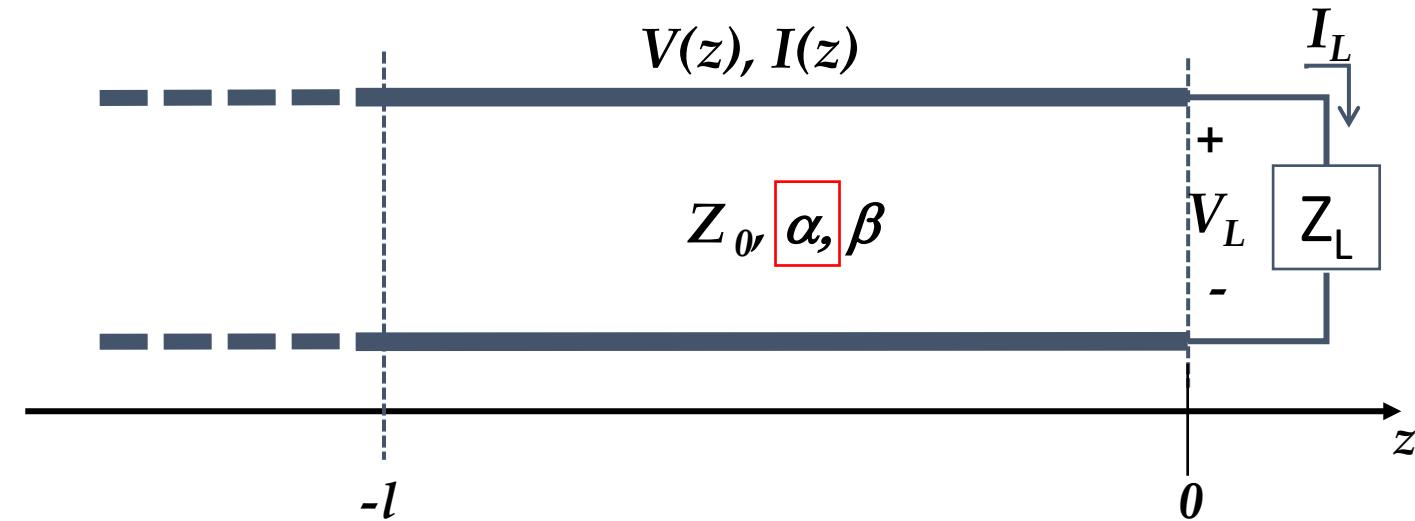
$$Z_{in} = Z_1 \frac{R_L + jZ_1 \tan \beta l}{Z_1 + jR_L \tan \beta l}$$

$$|\Gamma| = \left| \frac{Z_{in} - Z_0}{Z_{in} + Z_0} \right|$$

$$\beta l = \frac{\pi f}{2f_0}$$



# The terminated lossy Tline



$$V(z) = V_0^+ (e^{-\gamma z} + \Gamma e^{\gamma z}) \quad \gamma = \alpha + j\beta$$

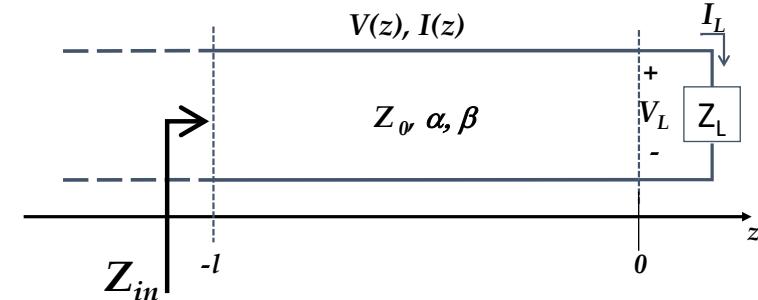
$$I(z) = \frac{V_0^+}{Z_0} (e^{-\gamma z} - \Gamma e^{\gamma z})$$

# The terminated lossy Tline

**The input impedance at  $z=-l$  on the Tline is:**

$$Z_{in} = \frac{V(z = -l)}{I(z = -l)} = \frac{V_0^+ [e^{\gamma l} + \Gamma e^{-\gamma l}]}{V_0^+ [e^{\gamma l} - \Gamma e^{-\gamma l}]} Z_0$$

$$= Z_0 \frac{Z_L + jZ_0 \tanh \gamma l}{Z_0 + jZ_L \tanh \gamma l}$$



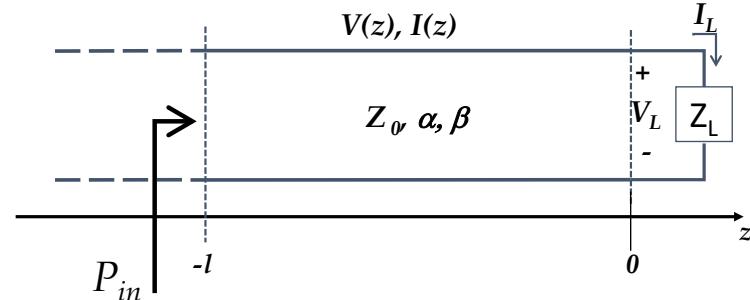
# The terminated lossy Tline

**The power delivered at  $z=-l$  is:**

$$P_{in} = \frac{1}{2} \operatorname{Re}(V(-l)I^*(-l)) = \frac{|V_0^+|^2}{2Z_0} (e^{2\alpha l} - |\Gamma|^2 e^{-2\alpha l})$$

**The power delivered to the load at  $z=0$  is:**

$$P_L = \frac{1}{2} \operatorname{Re}(V(0)I^*(0)) = \frac{|V_0^+|^2}{2Z_0} (1 - |\Gamma|^2)$$



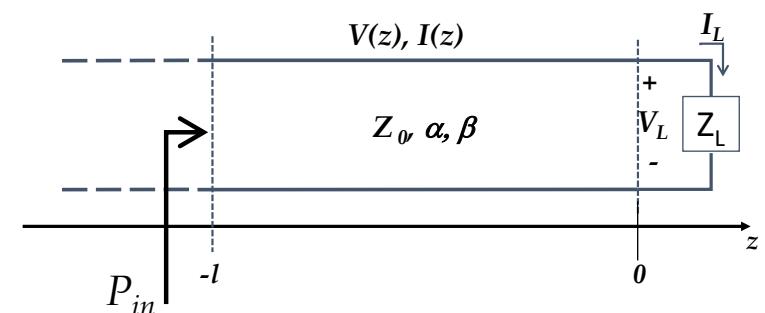
# The terminated lossy Tline

**The loss in the line is the difference between  $P_{in}$  and  $P_L$ :**

$$P_{loss} = P_{in} - P_L = \frac{|V_0^+|^2}{2Z_0} \left( [e^{2\alpha l} - 1] + |\Gamma|^2 [1 - e^{-2\alpha l}] \right)$$

Loss of incident wave

Loss of reflected wave



# Summary

- The terminated Tline is an impedance tuner
- Special case: Quarter-wave transformer
- Lossy Tline

# Microwave Engineering and Antennas

## Microwave Networks

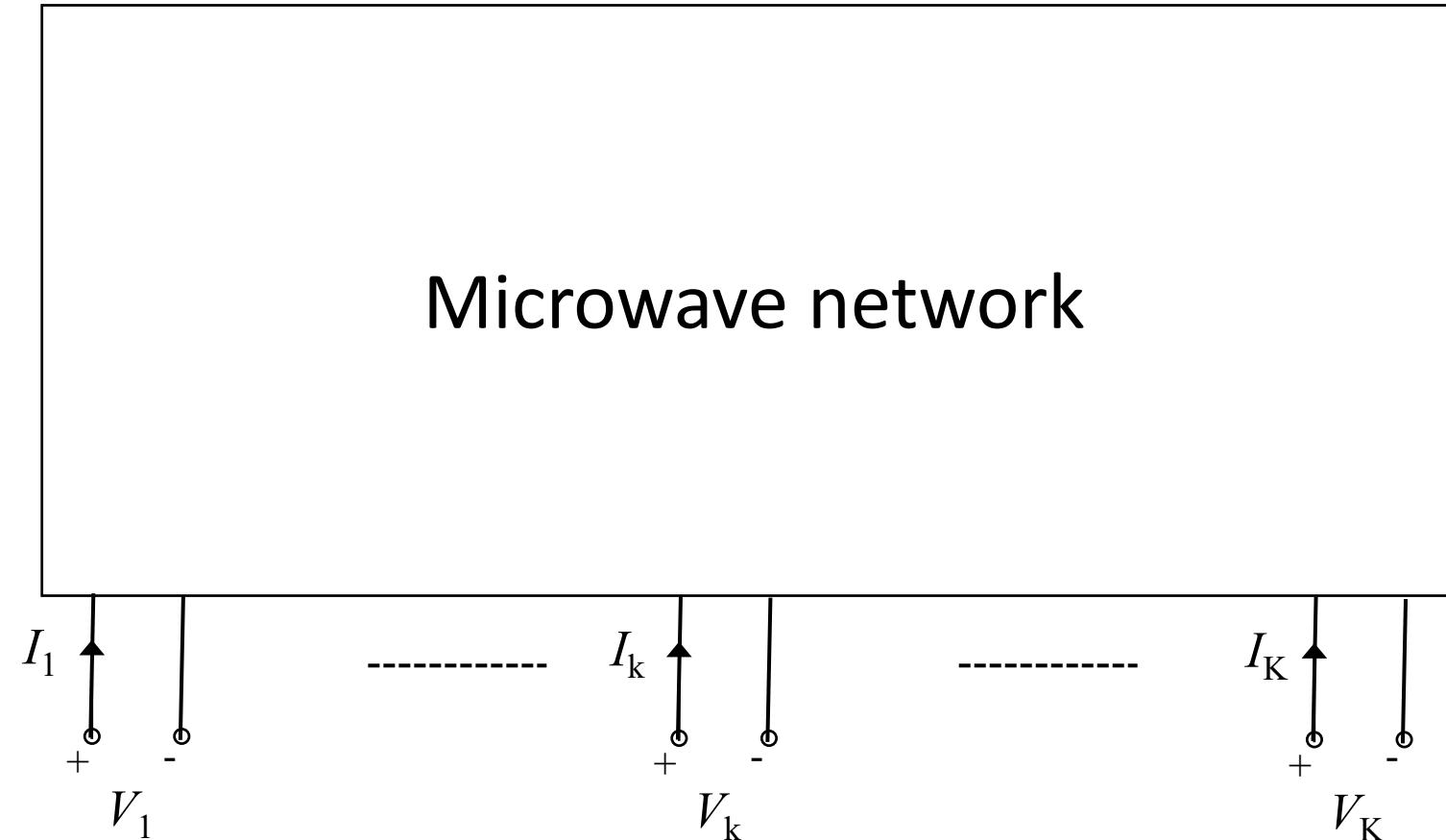
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Microwave Networks

## Objective of this lecture

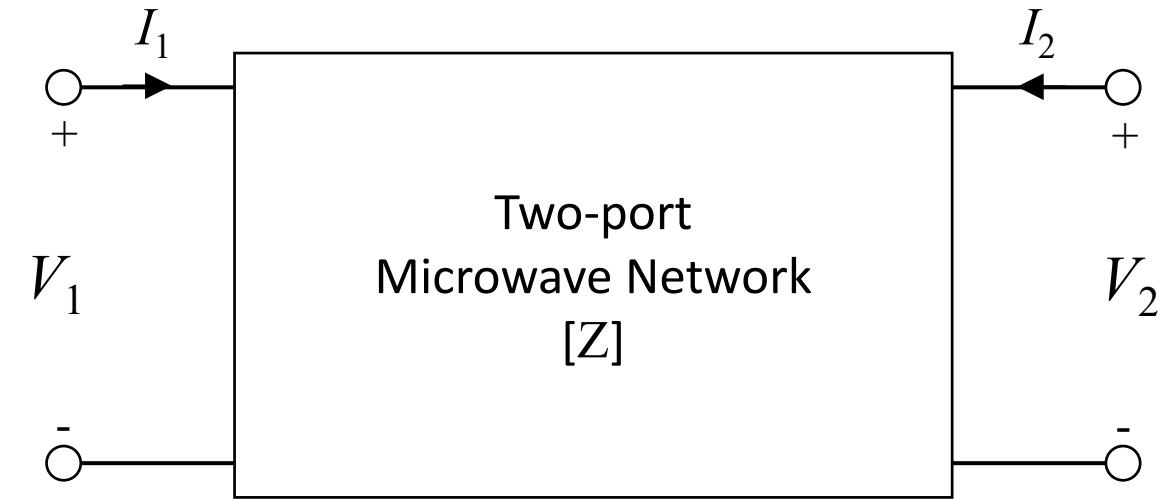
- Introduction of a  $K$ -port microwave network
- Introduction of impedance matrix and scattering matrix
- Input and output reflection coefficient of 2-port network
- Discuss example

# $K$ -port microwave network



# Impedance matrix

$$\begin{bmatrix} V_1 \\ V_2 \end{bmatrix} = \begin{bmatrix} Z_{11} & Z_{12} \\ Z_{21} & Z_{22} \end{bmatrix} \begin{bmatrix} I_1 \\ I_2 \end{bmatrix}$$



$$Z_{11} = \frac{V_1}{I_1} \Big|_{I_2=0}$$

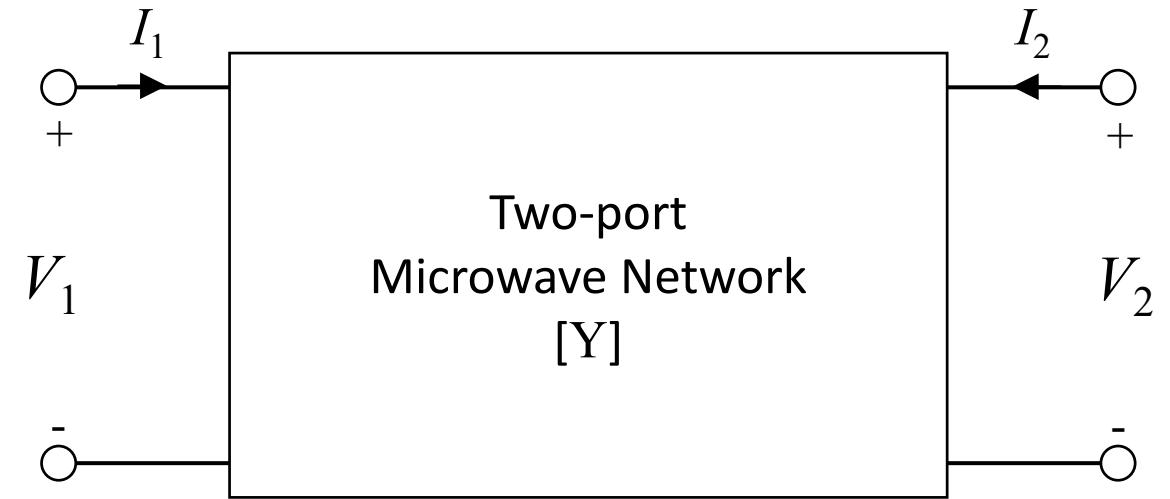
$$Z_{12} = \frac{V_1}{I_2} \Big|_{I_1=0}$$

$$Z_{21} = \frac{V_2}{I_1} \Big|_{I_2=0}$$

$$Z_{22} = \frac{V_2}{I_2} \Big|_{I_1=0}$$

# Admittance matrix

$$\begin{bmatrix} I_1 \\ I_2 \end{bmatrix} = \begin{bmatrix} Y_{11} & Y_{12} \\ Y_{21} & Y_{22} \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \end{bmatrix}$$



$$Y_{11} = \left. \frac{I_1}{V_1} \right|_{V_2=0}$$

$$Y_{12} = \left. \frac{I_1}{V_2} \right|_{V_1=0}$$

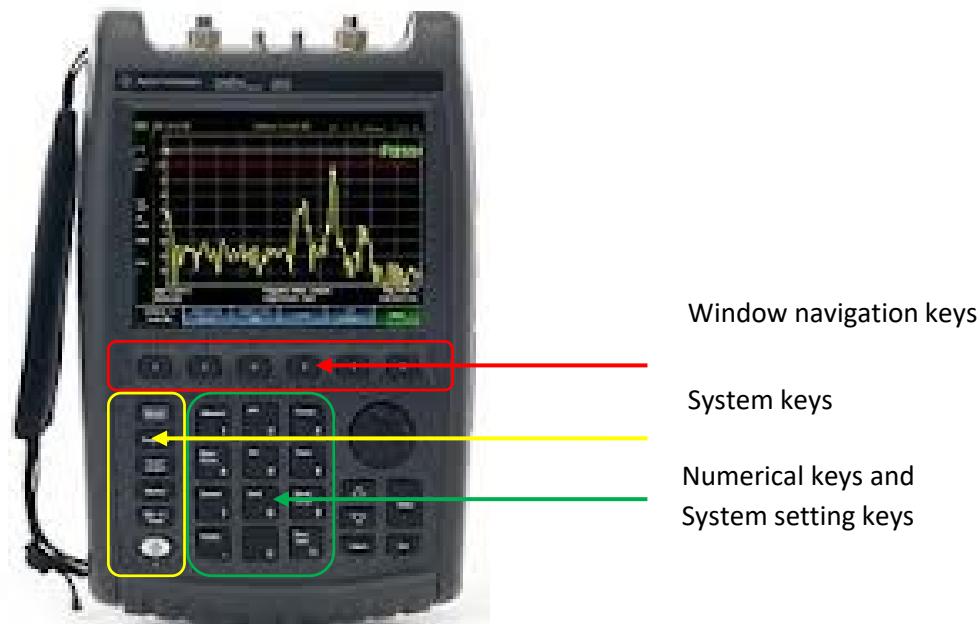
$$Y_{21} = \left. \frac{I_2}{V_1} \right|_{V_2=0}$$

$$Y_{22} = \left. \frac{I_2}{V_2} \right|_{V_1=0}$$

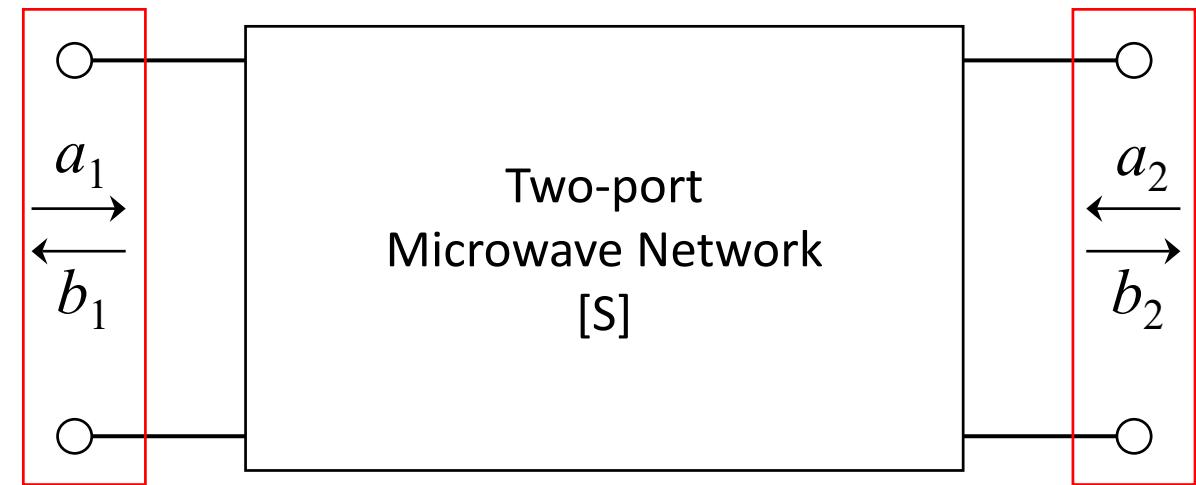
# Impedance/Admittance matrix

## Problem at microwave frequencies

- We cannot measure voltages and currents directly!
- We can measure the complex amplitude (amplitude and phase) of the incident and reflected waves using a vector network analyser (VNA).



# Scattering matrix



**Normalized complex wave amplitudes:**

$$a_1 = \frac{V_1^+}{\sqrt{Z_0}} = I_1^+ \sqrt{Z_0}$$

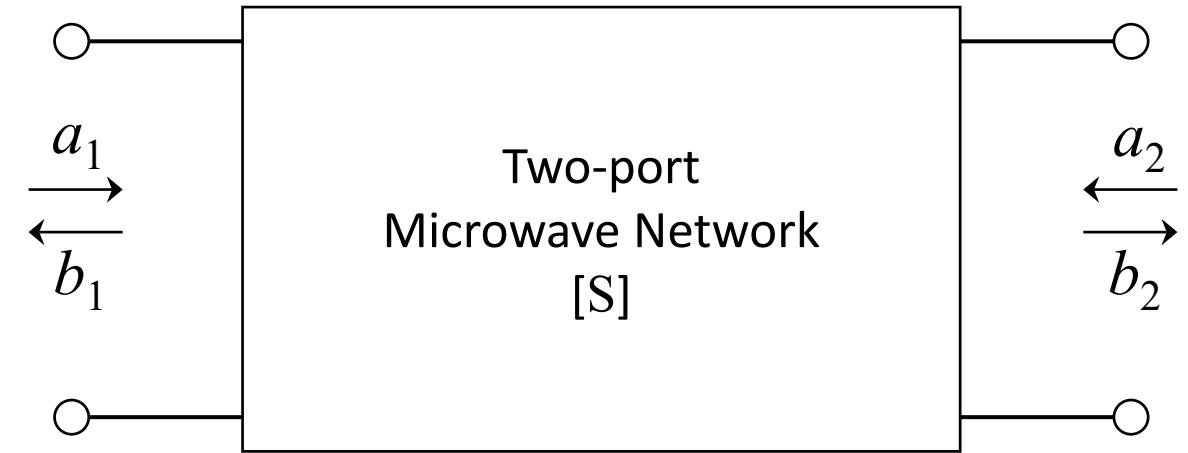
$$b_1 = \frac{V_1^-}{\sqrt{Z_0}} = I_1^- \sqrt{Z_0}$$

$$a_2 = \frac{V_2^+}{\sqrt{Z_0}} = I_2^+ \sqrt{Z_0}$$

$$b_2 = \frac{V_2^-}{\sqrt{Z_0}} = I_2^- \sqrt{Z_0}$$

# Scattering matrix

$$\begin{bmatrix} b_1 \\ b_2 \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix}$$



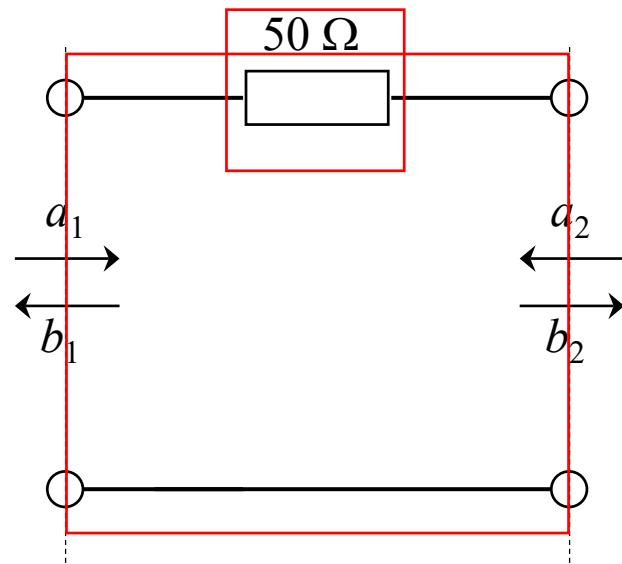
$$S_{11} = \frac{b_1}{a_1} \Big|_{a_2=0}$$

$$S_{12} = \frac{b_1}{a_2} \Big|_{a_1=0}$$

$$S_{21} = \frac{b_2}{a_1} \Big|_{a_2=0}$$

$$S_{22} = \frac{b_2}{a_2} \Big|_{a_1=0}$$

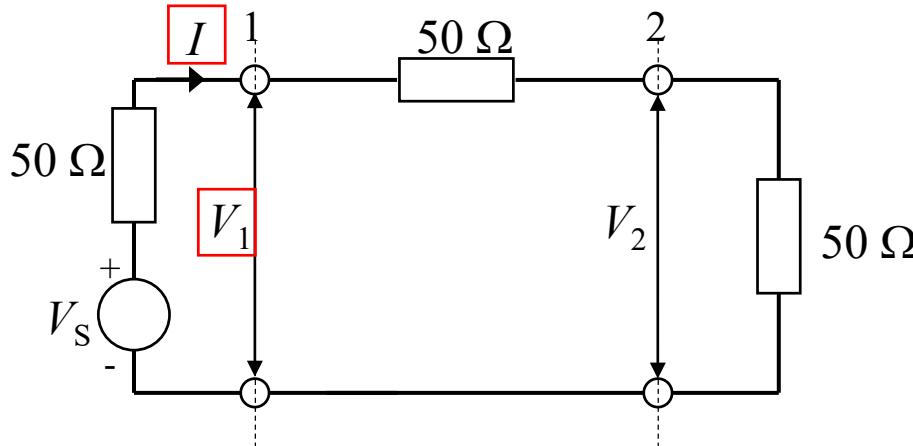
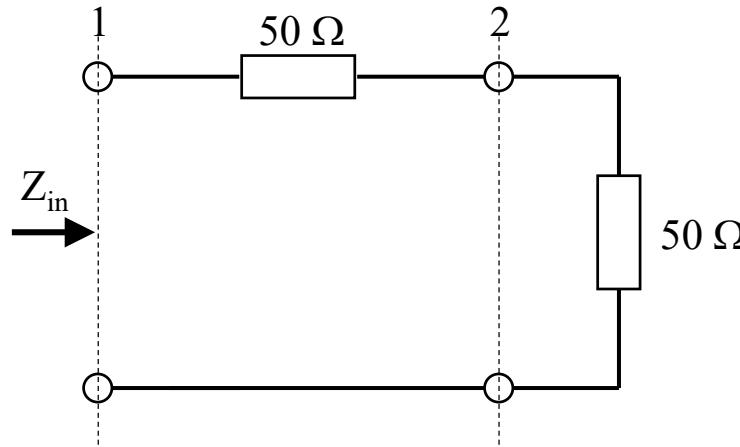
Example: 50 Ohm resistor (lumped element)



**Determine [S] matrix of this two-port,**  
Reference impedance  $Z_0 = 50 \Omega$

# Example: 50 Ohm resistor

Corrected version: note errors in video



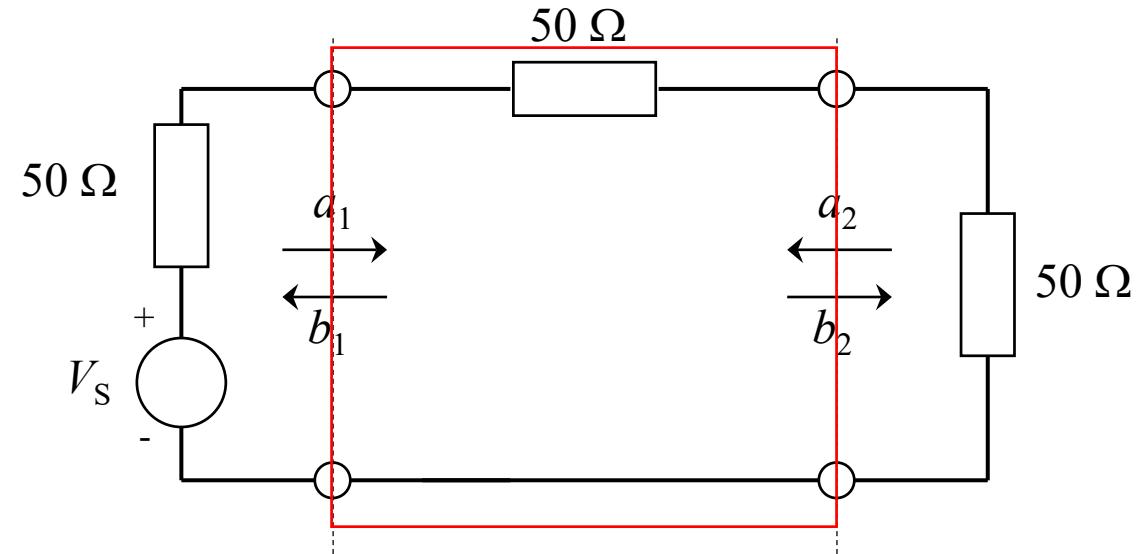
$$S_{11} = \left. \frac{b_1}{a_1} \right|_{a_2=0} = \left. \frac{Z_{in} - Z_0}{Z_{in} + Z_0} \right|_{Z_{in}=100\Omega} = \frac{1}{3}$$

$$S_{21} = \left. \frac{b_2}{a_1} \right|_{a_2, \Gamma_s=0} = \left. \frac{\frac{1}{\sqrt{Z_0}}(V_1 + Z_0 I)}{\frac{1}{2\sqrt{Z_0}}(V_1 + Z_0 I)} \right|_{V_s=V_1+Z_0 I} = \frac{2V_2^-}{(V_1 + Z_0 I)}$$

$$= \frac{2V_2^-}{V_s} = \frac{2 \cdot 50}{50 + 2 \cdot 50} = \frac{2}{3}$$

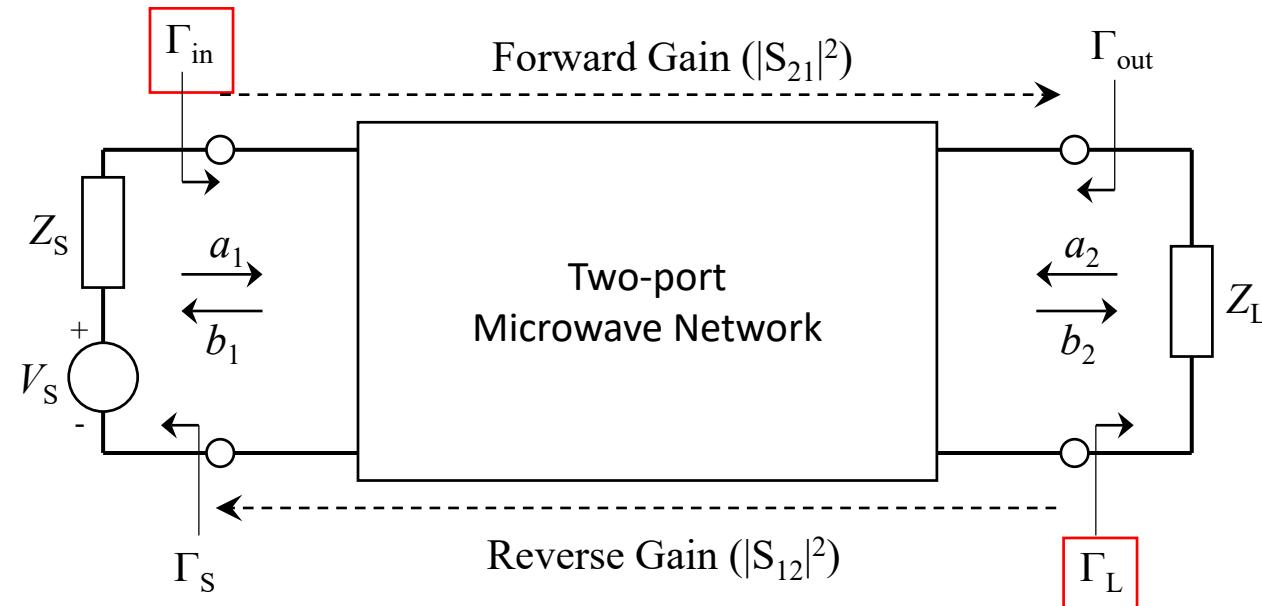
$V_2^- = V_2$   
Since  $V_2^+ = 0$

# Example: 50 Ohm resistor



$$[S] = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix} = \begin{bmatrix} \frac{1}{3} & \frac{2}{3} \\ \frac{2}{3} & \frac{1}{3} \end{bmatrix}$$

# Two-port microwave network



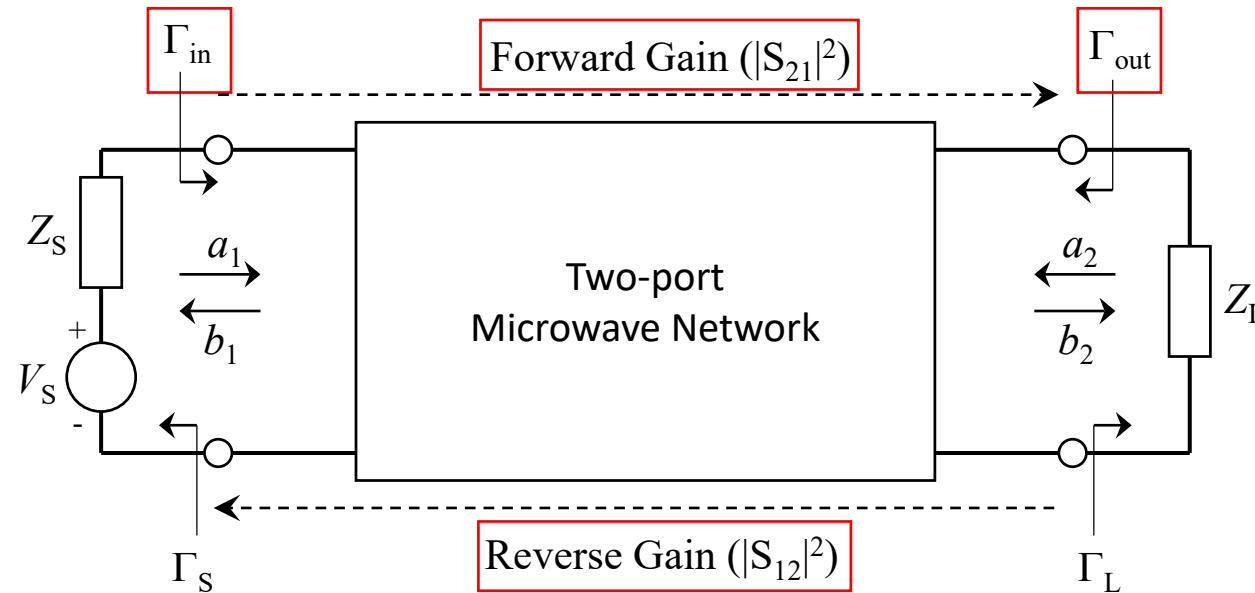
$$b_1 = S_{11}a_1 + S_{12}a_2$$

$$b_2 = S_{21}a_1 + S_{22}a_2$$

$$\Gamma_L = \frac{a_2}{b_2} = \frac{Z_L - Z_0}{Z_L + Z_0}$$

Three equations provide a relation between  $b_1$  and  $a_1$

# Two-port microwave network



$$\Gamma_{in} = \frac{b_1}{a_1} = S_{11} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_L} - S_{22}}, \quad \Gamma_{in} \Big|_{\Gamma_L \rightarrow 0} = S_{11}$$

Input Reflection coefficient

$$\Gamma_{out} = \frac{b_2}{a_2} = S_{22} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_S} - S_{11}}, \quad \Gamma_{out} \Big|_{\Gamma_S \rightarrow 0} = S_{22}$$

Output Reflection coefficient

# Summary

- Microwave networks
- Impedance and Admittance matrix
- Scattering matrix
- Example of a 50 Ohm lumped resistor
- Input/output reflection
- Forward/reverse gain

# Microwave Engineering and Antennas

## Power Combiners

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

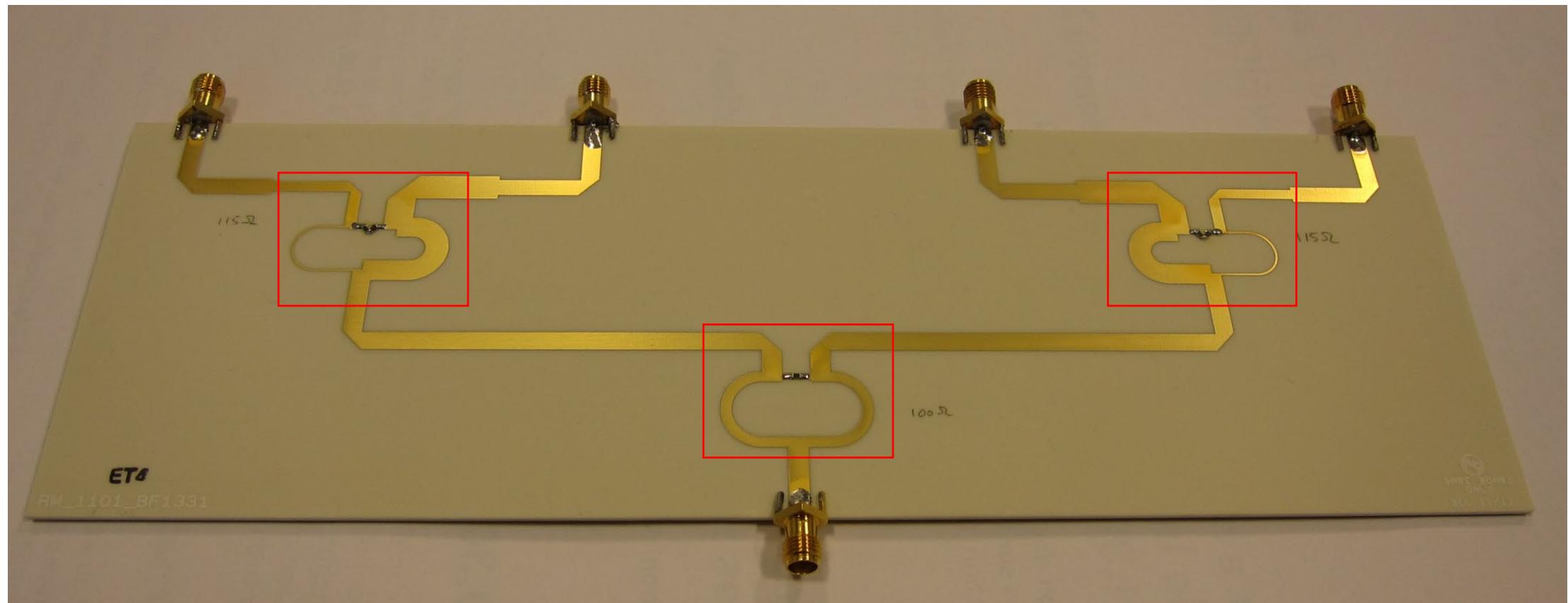
# Power Combiners

## **Objective of this lecture**

- General description
- Introduction of various concepts for power combining
- Provide physical insight
- Discuss example

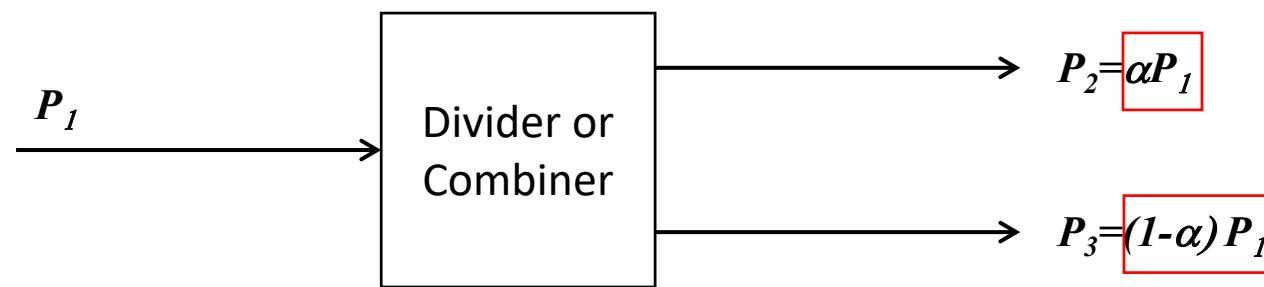
# Example of a power combining network

**1:4 unequal Wilkinson power combiner using microstrip technology**

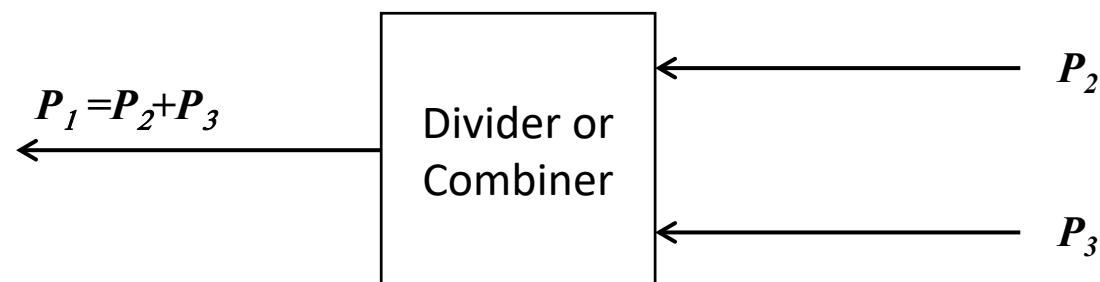


# Power Combiners/Dividers

## Assymmetrical power divider



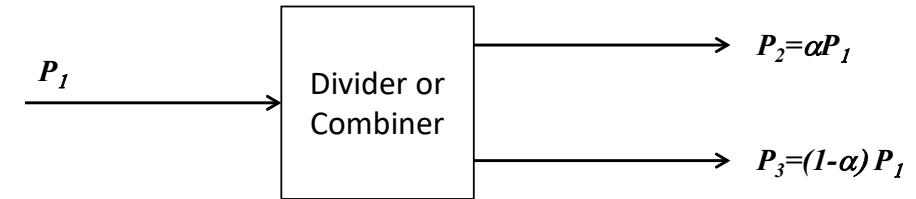
## Symmetrical power combiner



# Power Combiners/Dividers

## Three-port network representation

$$[S] = \begin{bmatrix} S_{11} & S_{12} & S_{13} \\ S_{21} & S_{22} & S_{23} \\ S_{31} & S_{32} & S_{33} \end{bmatrix}$$



**When properly matched at all ports:**  $S_{11} = S_{22} = S_{33} = 0$

$$[S] = \begin{bmatrix} 0 & S_{12} & S_{13} \\ S_{21} & 0 & S_{23} \\ S_{31} & S_{32} & 0 \end{bmatrix}$$

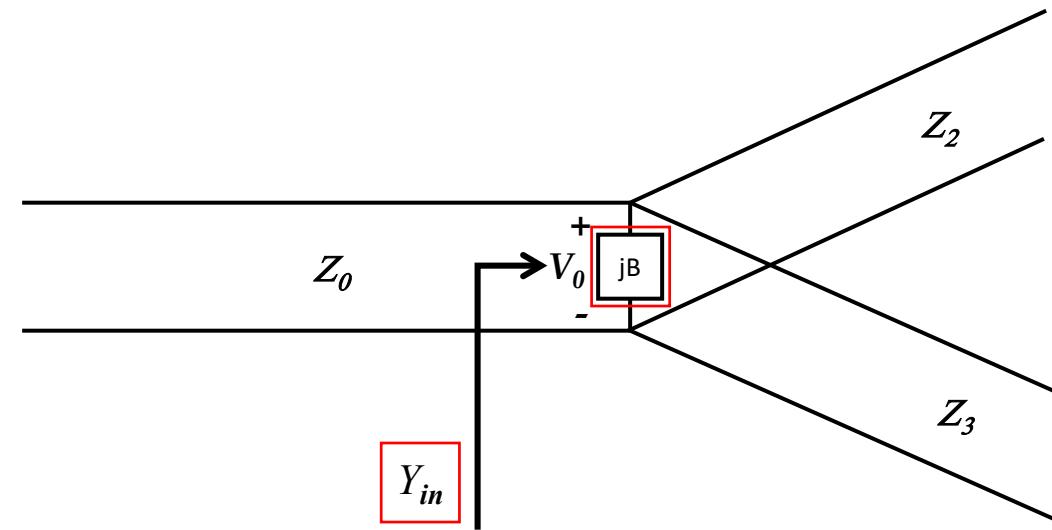
**If we require the network to be lossless,  $[S]$  needs to be unitary**

# Power Combiners/Dividers

## **Unitary condition of $[S]$**

- Cannot be met when  $(S_{12}, S_{13}, S_{23})$  are all non-zero
- Conclusion: a three-port network cannot be lossless, reciprocal and matched at all ports at the same time.
- We always need to compromise on a requirement

# Lossless T-junction divider



## Characteristics

- Junction of three transmission lines
- Susceptance  $B$  is related to fringing fields
- For input matching we need:

$$Y_{in} = jB + \frac{1}{Z_2} + \frac{1}{Z_3} = \frac{1}{Z_0} \quad \xrightarrow{\hspace{1cm}} \quad B = 0$$

$$Y_{in} = \frac{1}{Z_2} + \frac{1}{Z_3} = \frac{1}{Z_0}$$

# Example of a Lossless T-junction divider

Given a source impedance  $Z_0=50 \Omega$ ,

Find  $Z_2$  and  $Z_3$  for an equal-split divider ratio.

## Solution

- The input power delivered to the matched divider is:

$$P_{in} = \frac{V_0^2}{2Z_0}$$

- The output powers are:

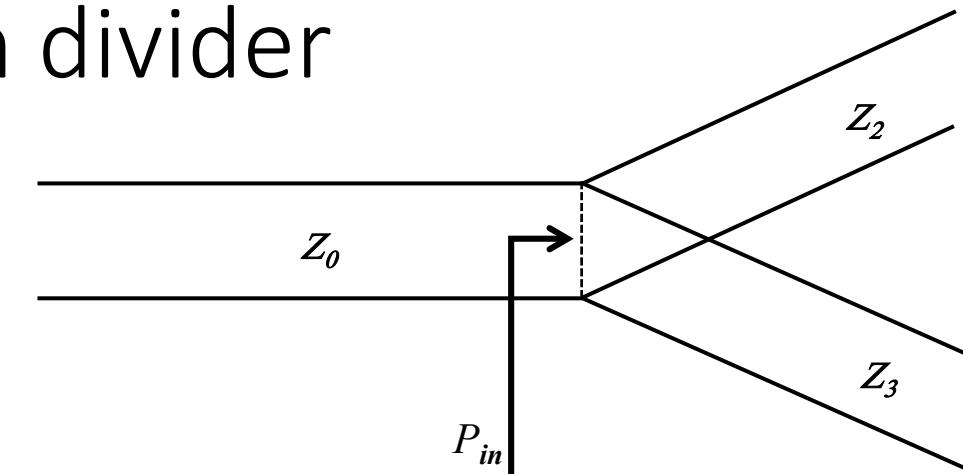
$$P_2 = \frac{V_0^2}{2Z_2} = \frac{1}{2} P_{in}$$

$$P_3 = \frac{V_0^2}{2Z_3} = \frac{1}{2} P_{in}$$



$$Z_2 = 2Z_0 = 100\Omega$$

$$Z_3 = 2Z_0 = 100\Omega$$



# Example of a Lossless T-junction divider

## Solution

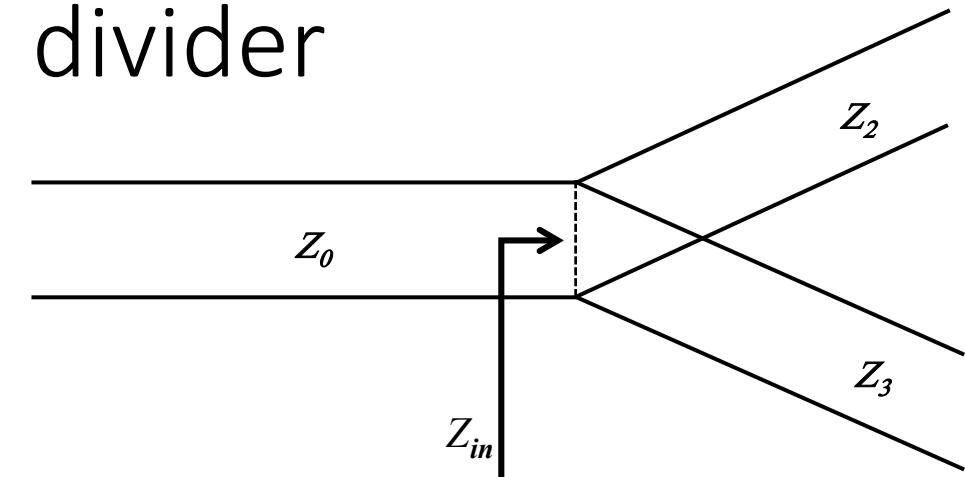
- The input impedance is now matched:

$$Z_{in} = 100 \parallel 100 = 50\Omega$$

- The output are not matched:

$$\Gamma_2 = \frac{Z_{out,2} - Z_2}{Z_{out,2} + Z_2} = \frac{33 - 100}{33 + 100} = -0.5$$

$$\Gamma_3 = \frac{Z_{out,3} - Z_3}{Z_{out,3} + Z_3} = \frac{33 - 100}{33 + 100} = -0.5$$



# Resistive divider

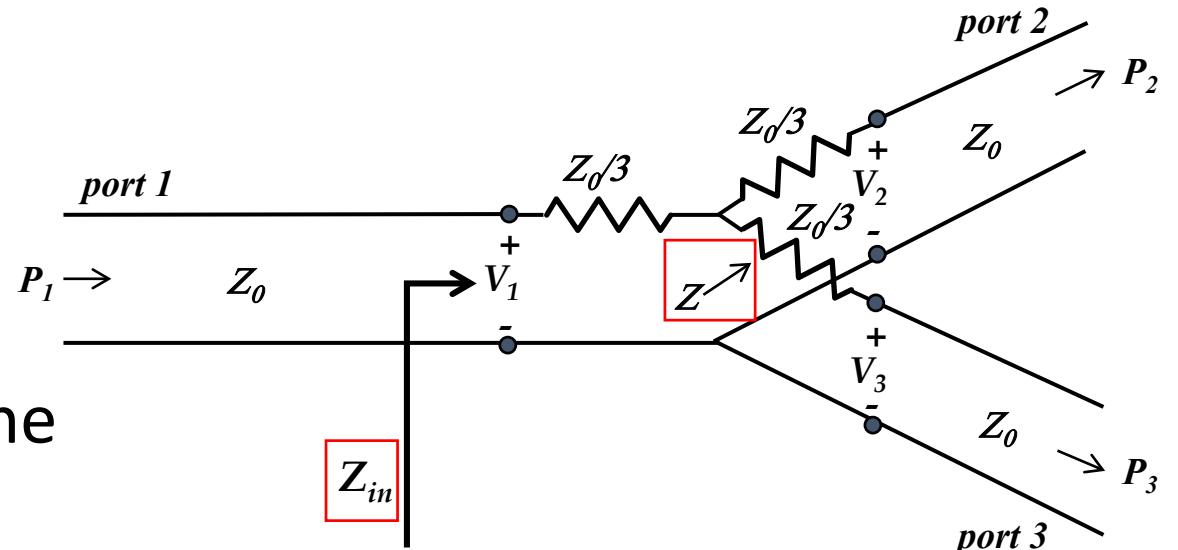
## Characteristics

- The impedance  $Z$  (looking into the  $Z_0/3$  resistor into port 2) is:

$$Z = \frac{Z_0}{3} + Z_0 = \frac{4Z_0}{3}$$

- The input impedance  $Z_{in}$  is now:

$$Z_{in} = \frac{Z_0}{3} + \frac{2Z_0}{3} = Z_0$$



# Resistive divider/combiner

## Derivation of [S]

- Voltage at central node:

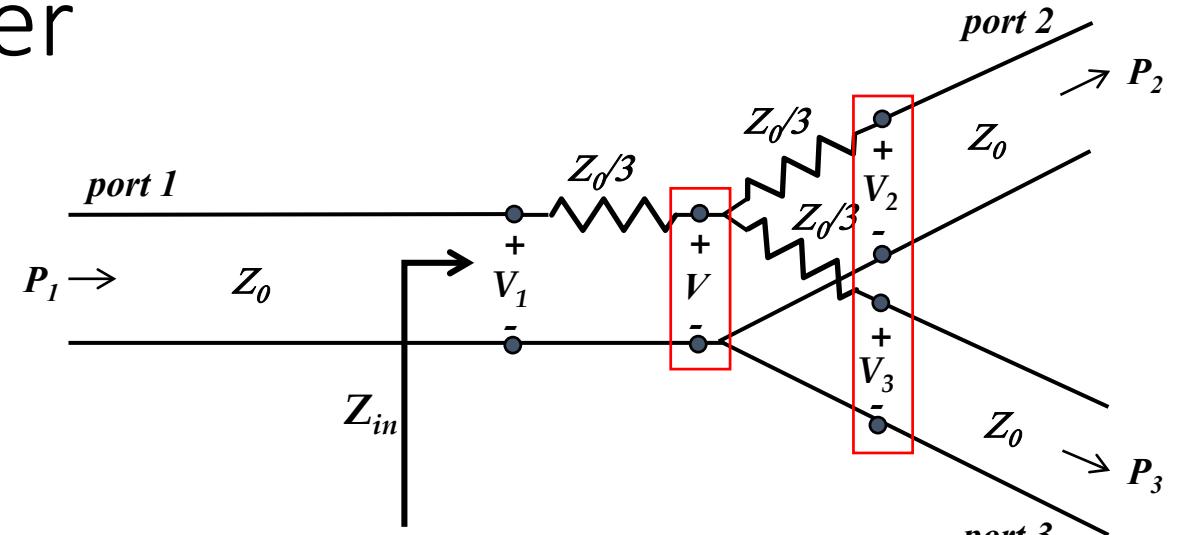
$$V = V_1 \frac{2Z_0/3}{Z_0/3 + 2Z_0/3} = \frac{2V_1}{3}$$

- Output voltages become

$$V_2 = V_3 = V \frac{Z_0}{Z_0 + Z_0/3} = \frac{3V}{4} = \frac{1}{2}V_1$$

- Scattering matrix

$$[S] = \frac{1}{2} \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix} \quad \xrightarrow{\text{blue arrow}}$$



Power loss  
Matching is excellent.

# Resistive divider

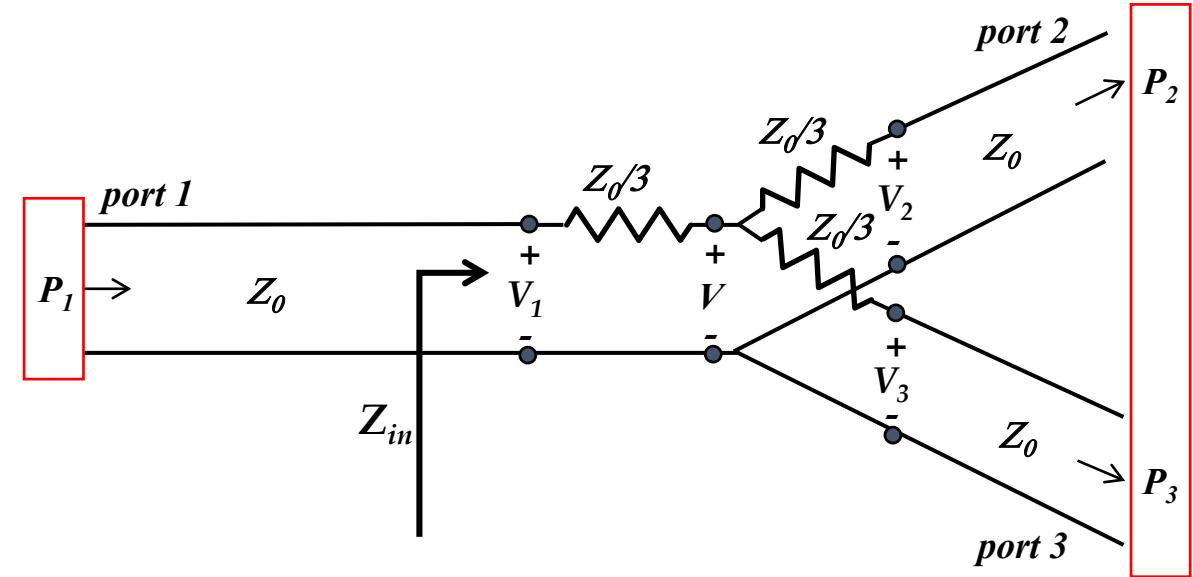
## Power loss

- Input power

$$P_{in} = P_1 = \frac{V_1^2}{2Z_0}$$

- Output power

$$P_{out} = P_2 = P_3 = \frac{(1/2V_1)^2}{2Z_0} = \frac{V_1^2}{8Z_0} = \frac{1}{4}P_{in}$$



So 50% of the input power is dissipated in the resistors.

Therefore, resistive dividers are only interesting in a limited number of applications, e.g. in a compact on-chip (IC) design.

# Summary

- Power combiners/dividers cannot be lossless, have perfect matching, be reciprocal and have perfect isolation at the same time.
- We discussed T-junction and resistive combiners/dividers
- Next web-lecture we will introduce an almost perfect component, the Wilkinson power combiner/divider.

# Microwave Engineering and Antennas

## Wilkinson Power Combiner/Divider

Bart Smolders, Professor

Department of Electrical Engineering

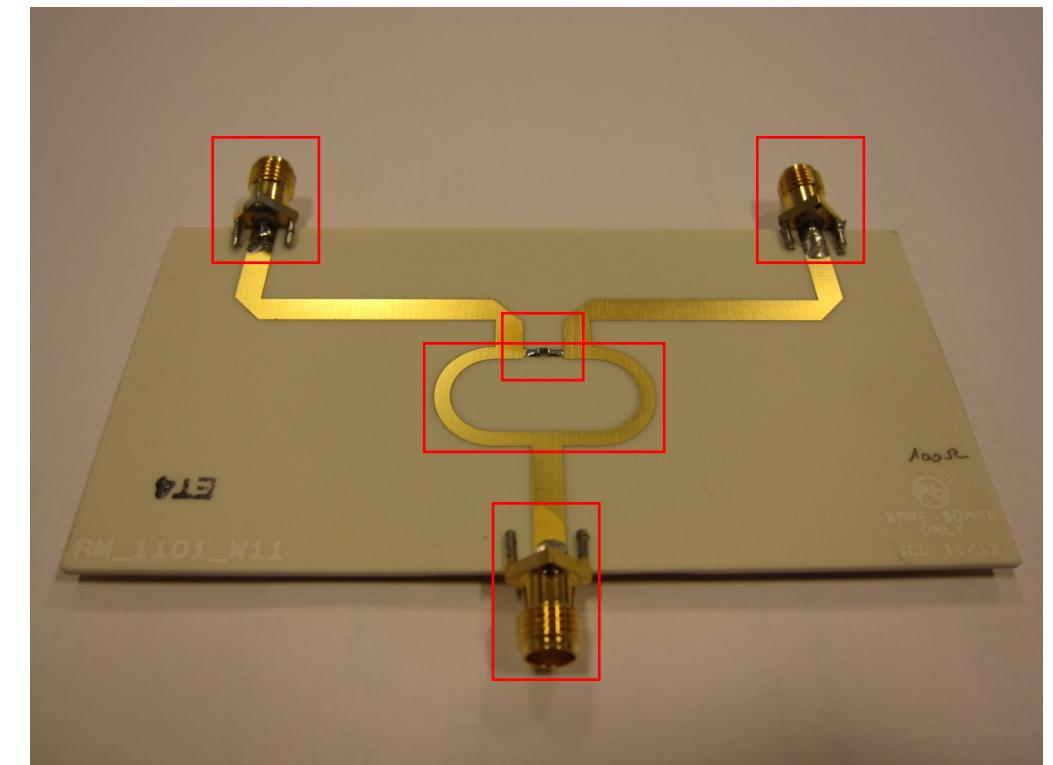
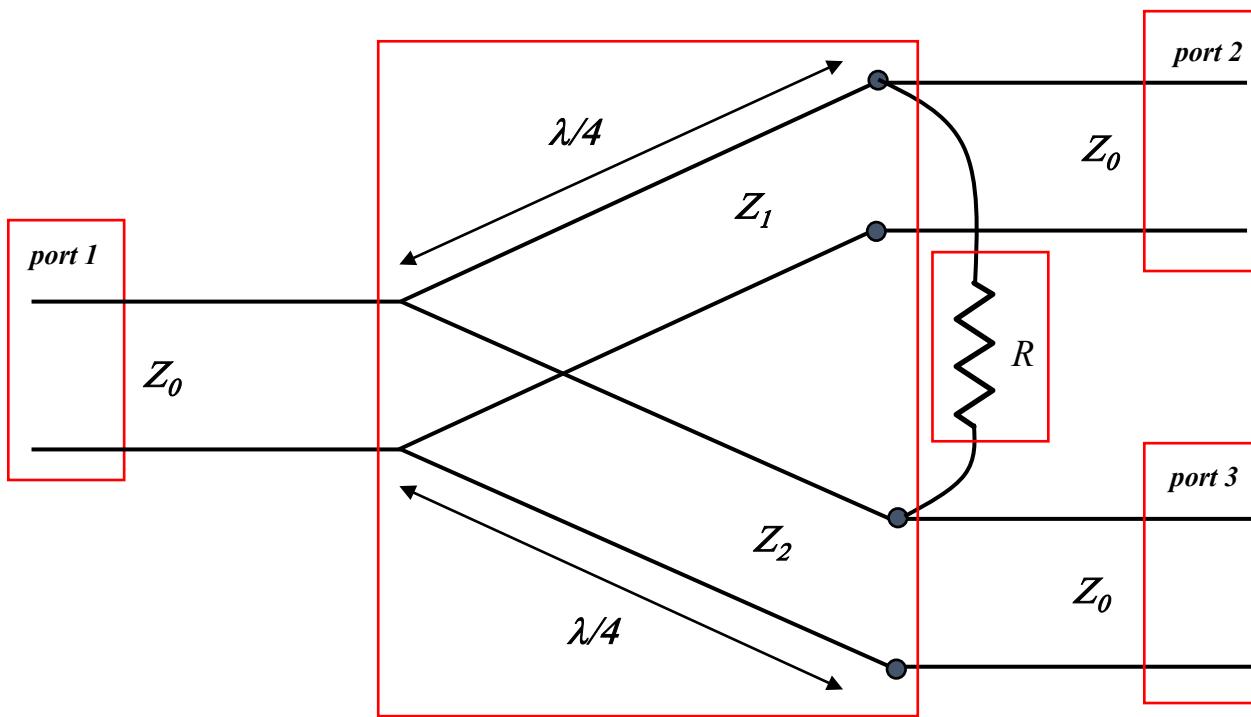
Center for Wireless Technology Eindhoven

# Wilkinson power combiner/divider

## Objective of this lecture

- Introduction of the Wilkinson power combiner
- Even-odd mode analysis
- Derive scattering matrix

# Wilkinson Power Combiners/Dividers



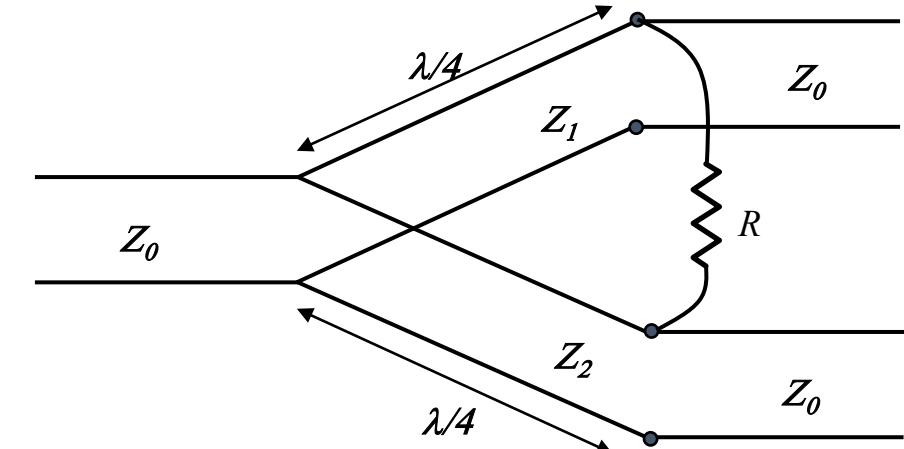
# Wilkinson Power Combiners/Dividers

## Advantages

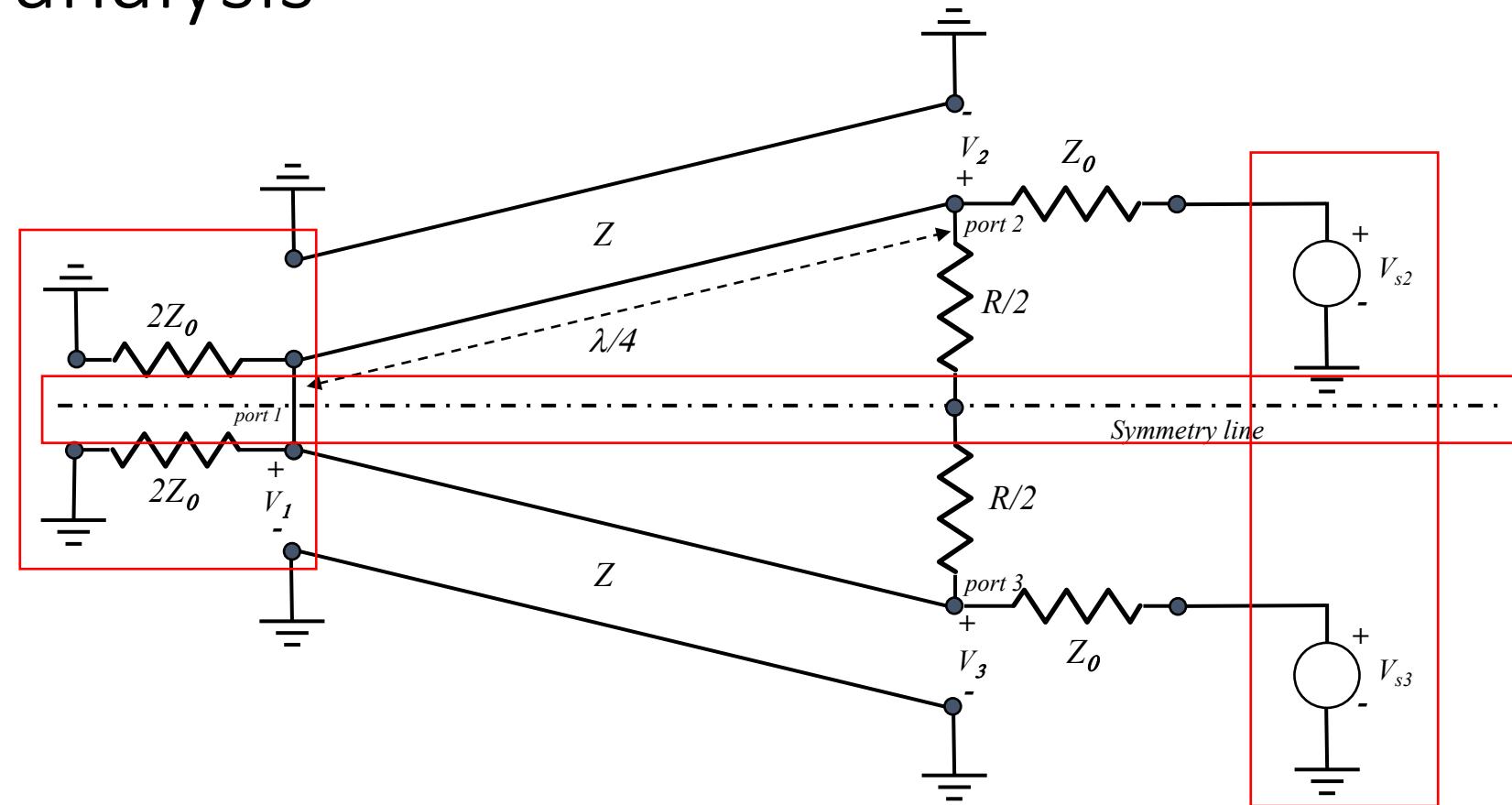
- Good matching at all ports
- Low loss
- High isolation between port 2 and port 3
- Various divider-ratios possible

## Modelling approach

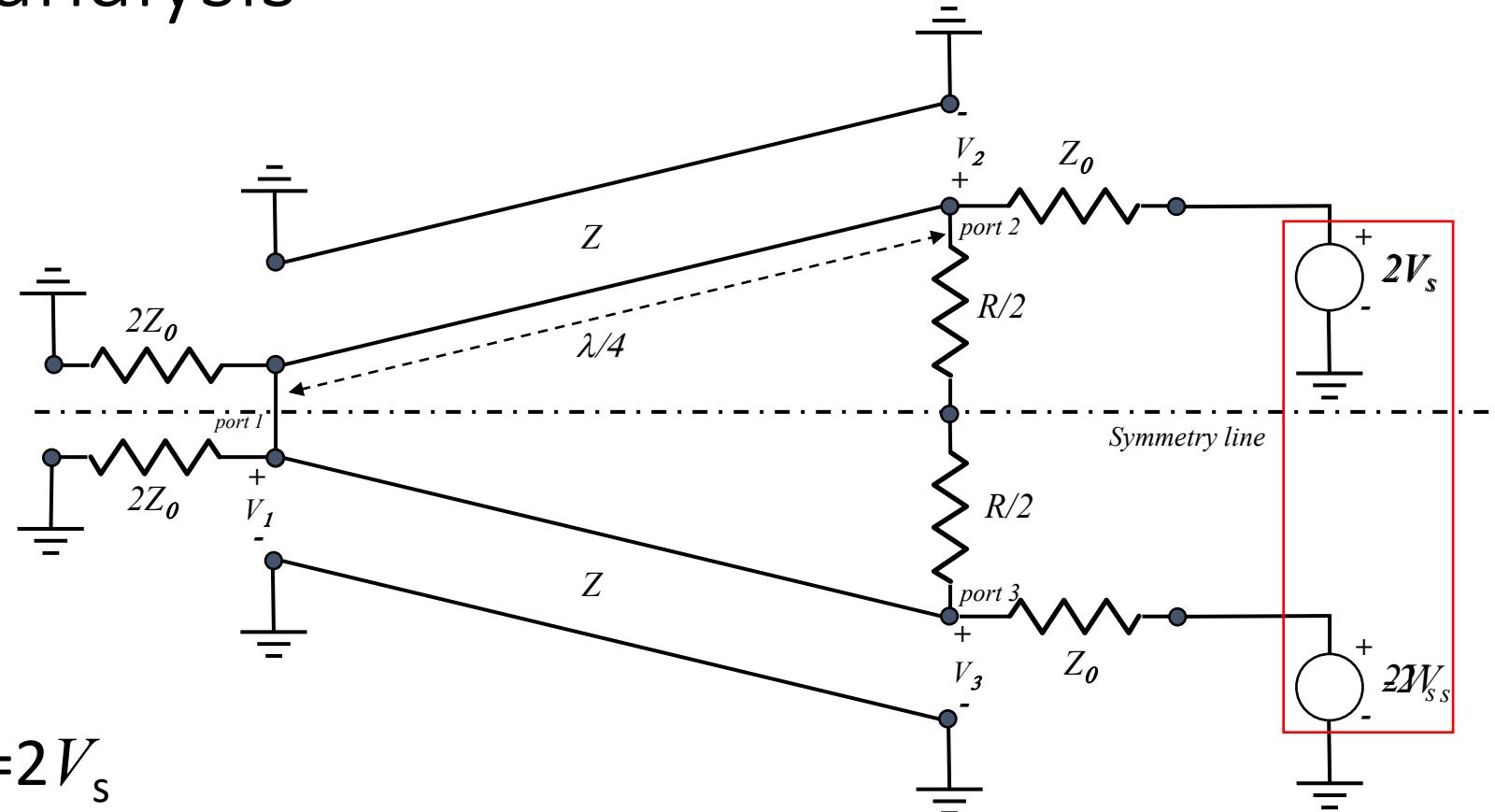
- Consider a combiner
- Consider only the symmetrical equal-split case:  $Z_1 = Z_2 = Z$
- Use odd-even mode technique
- Resistor  $R = 2Z_0$



# Even-odd mode analysis



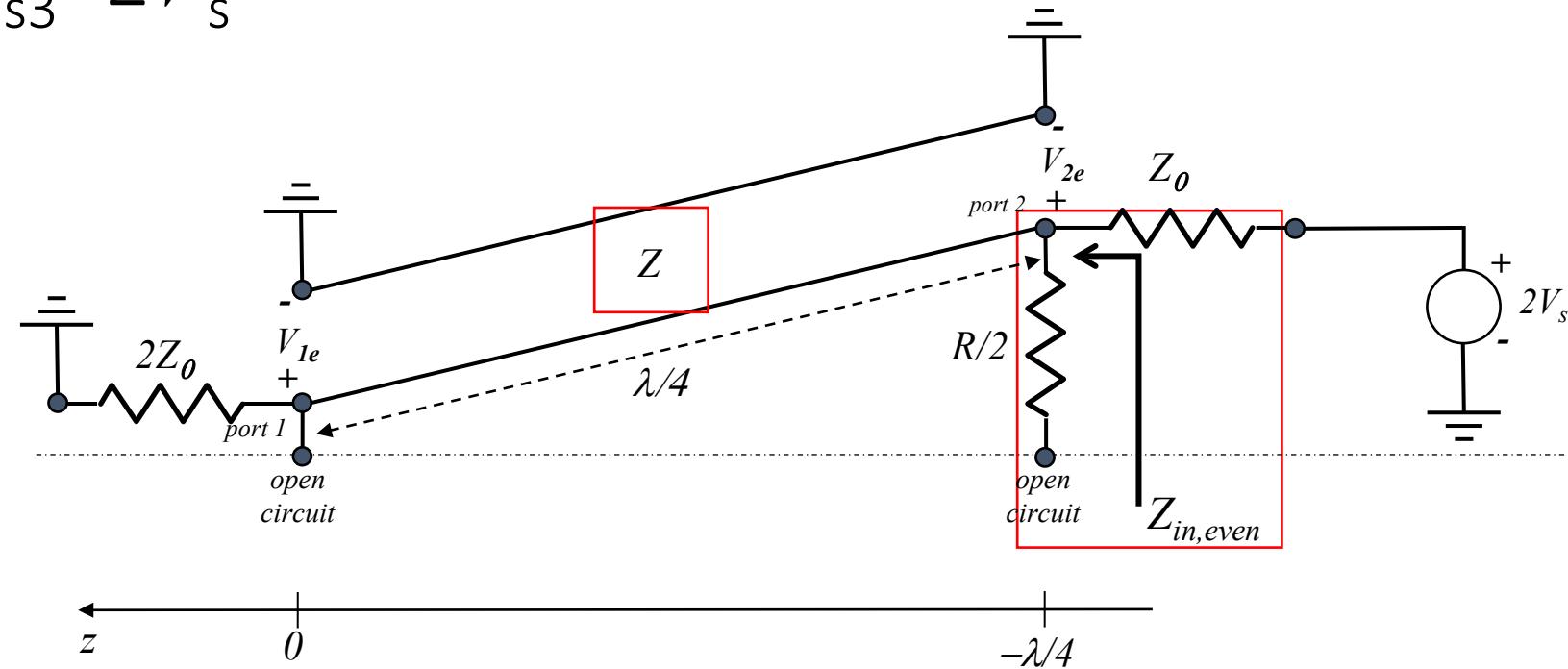
# Even-odd mode analysis



## Even-odd mode

- Even-mode:  $V_{s3} = V_{s2} = 2V_s$
- Odd-mode:  $V_{s3} = -V_{s2} = -2V_s$

Even mode  $V_{s2}=V_{s3}=2V_s$



Impedance looking into port 2:

$$Z_{in,even} = \frac{Z^2}{2Z_0} = \frac{(\sqrt{2}Z_0)^2}{2Z_0} = Z_0 \text{ with choice } Z = \sqrt{2}Z_0$$

# Even mode

Voltage along the  $\lambda/4$  Tline:

$$V(z) = V_0^+ (e^{-j\beta z} + \Gamma e^{j\beta z})$$

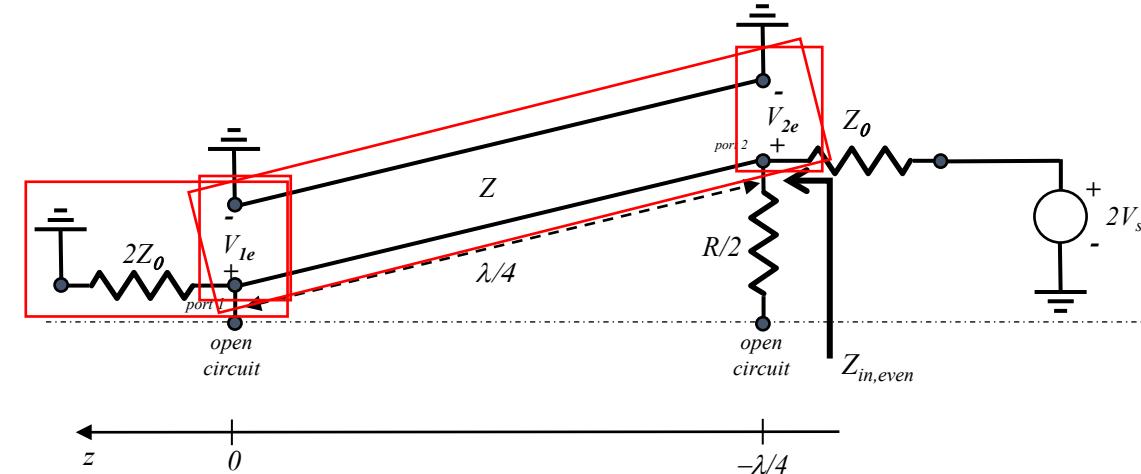
Port voltages are now:

$$V_{2e} = V(z = -\frac{\lambda}{4}) = jV_0^+ (1 - \Gamma) = V_s$$

$$V_{1e} = V(z = 0) = V_0^+ (1 + \Gamma) = jV_s \frac{\Gamma + 1}{\Gamma - 1}$$

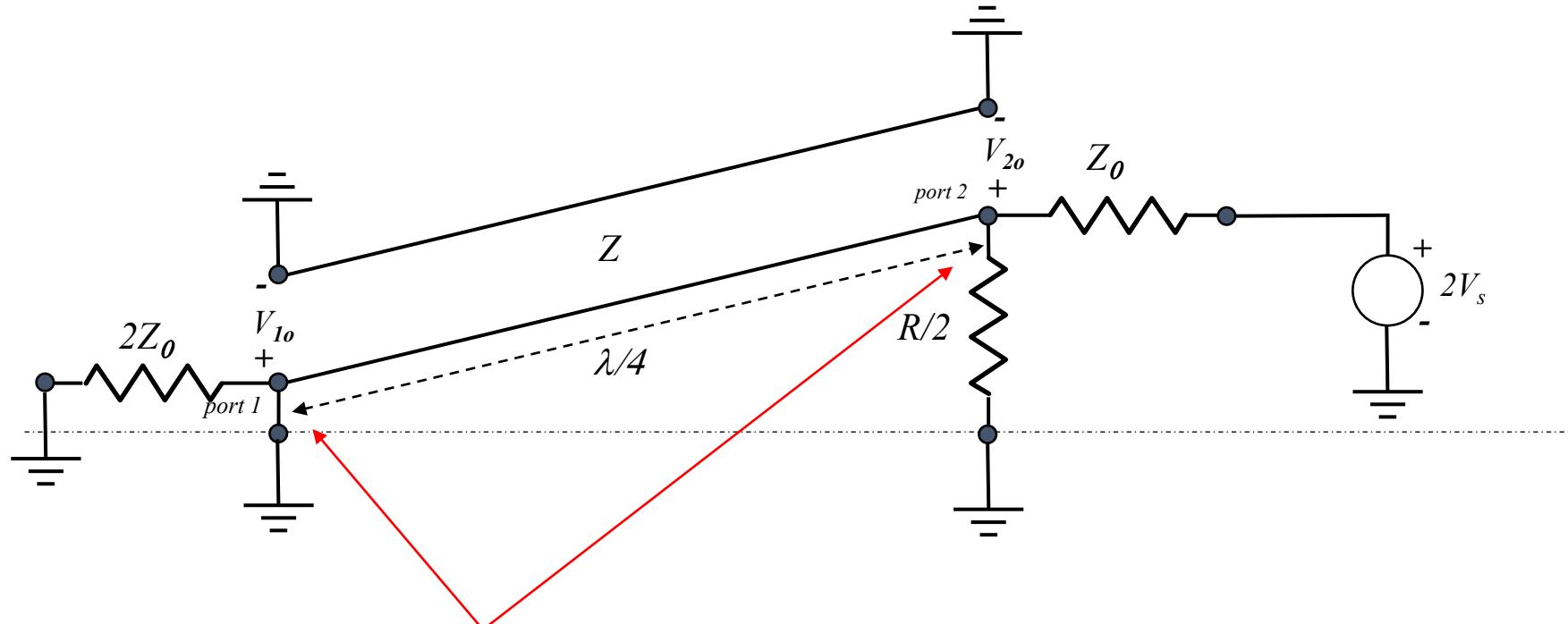
With  $\Gamma$  the reflection coefficient looking at port 1 into the load  $2Z_0$

$$\Gamma = \frac{2Z_0 - \sqrt{2}Z_0}{2Z_0 + \sqrt{2}Z_0} = \frac{2 - \sqrt{2}}{2 + \sqrt{2}}$$



→  $V_{1e} = -jV_s \sqrt{2}$

Odd mode  $V_{s2} = -V_{s3} = 2V_s$



$\lambda/4$  Tline transforms a short into an open:  $Z_{in} = \frac{Z^2}{Z_{load}}$



$$\begin{aligned} V_{2o} &= V_s & R &= 2Z_0 \\ V_{1o} &= 0 \end{aligned}$$

# Total: Even+odd using superposition

- Scattering parameters

$$S_{22} = S_{33} = 0$$

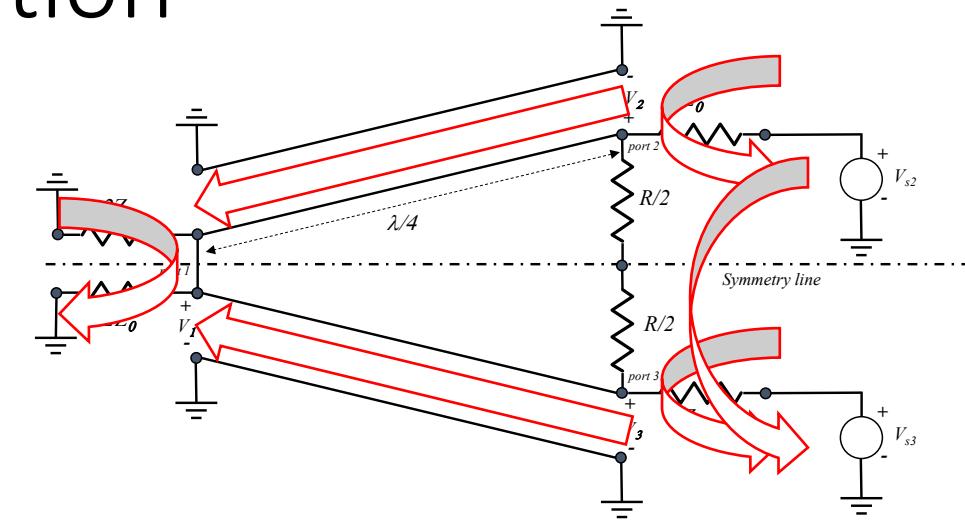
$$S_{12} = S_{21} = \frac{V_1^e + V_1^o}{V_2^e + V_2^o} = -j / \sqrt{2}$$

$$S_{13} = S_{31} = -j / \sqrt{2}$$

$$S_{23} = S_{32} = 0$$

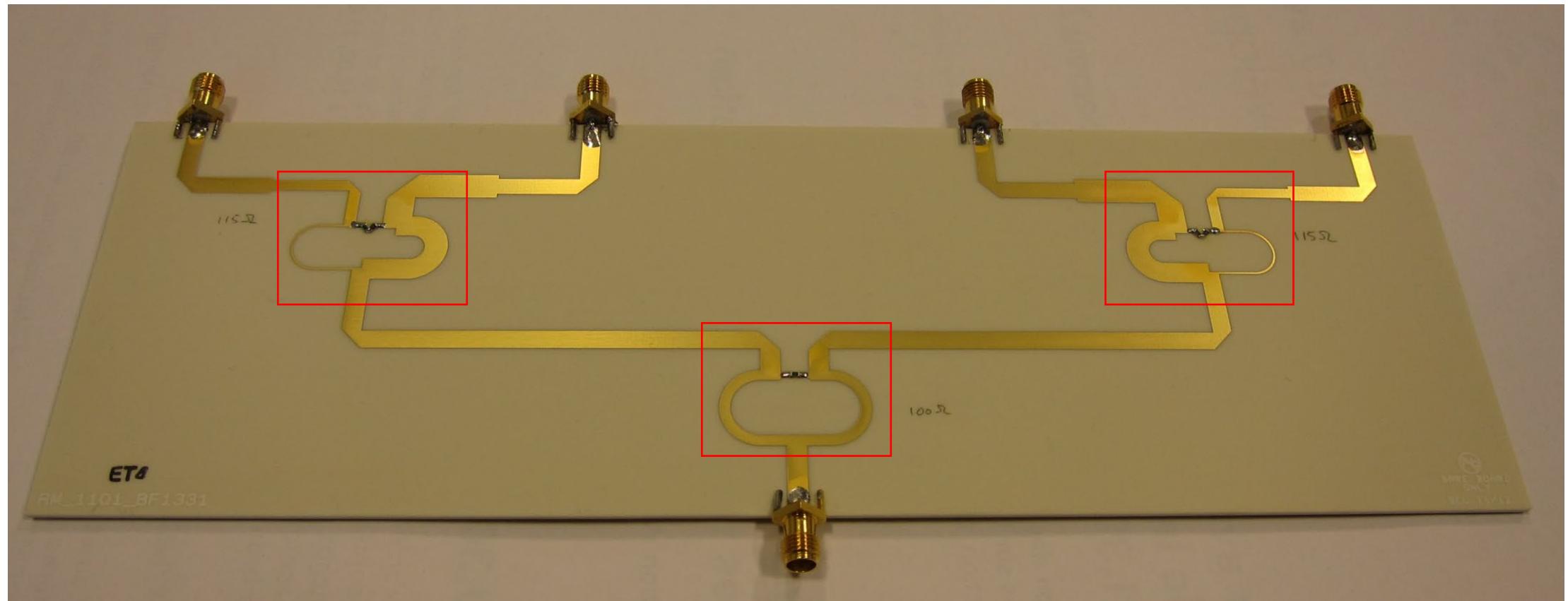
- Furthermore, it can be shown that with  $Z = \sqrt{2}Z_0$

$$S_{11} = 0$$



# Example of a power combining network

## 1:4 Wilkinson power combiner using microstrip technology



# Summary

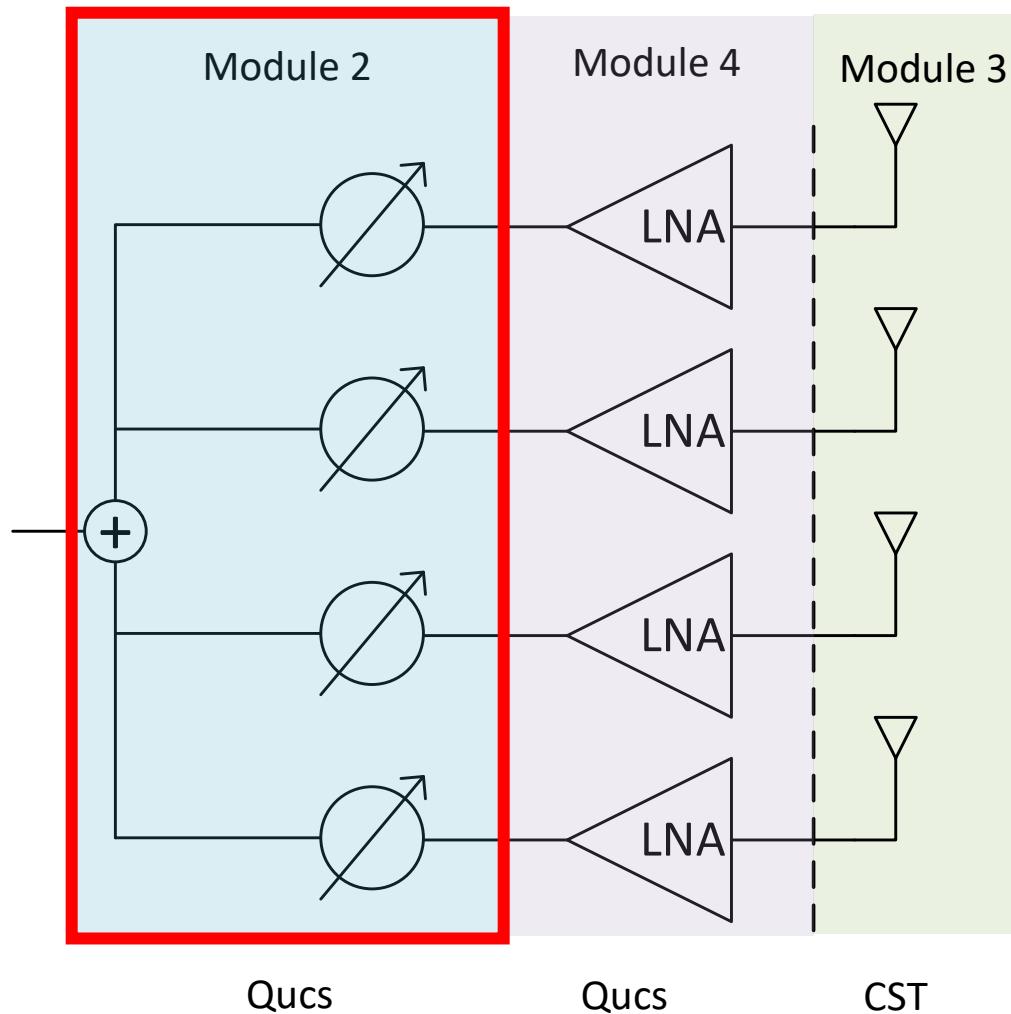
- The ideal Wilkinson power combiner behaves as the almost “perfect” combiner
  - Lossless combiner
  - All ports are matched
  - Input ports are isolated
  - Can be used as divider or combiner
- In practice, losses will occur (typ. 1 dB) and the isolation will be limited (typ. -20 dB).
- Practical designs will also be frequency dependent (typ. 20% bandwidth).

# Microwave Engineering and Antennas

## Hands-On Design: Beamsteering Network

Ulf Johannsen, Assistant Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Hands-On Design Assignment



## 4-Element Analogue Beamforming Receiver-Array

- 5.8 GHz ISM band
- $\pm 45^\circ$  beamsteering range
- Main-lobe antenna gain of 9dBi for entire beamsteering range
- System noise figure < 2.7dB

# Qucs

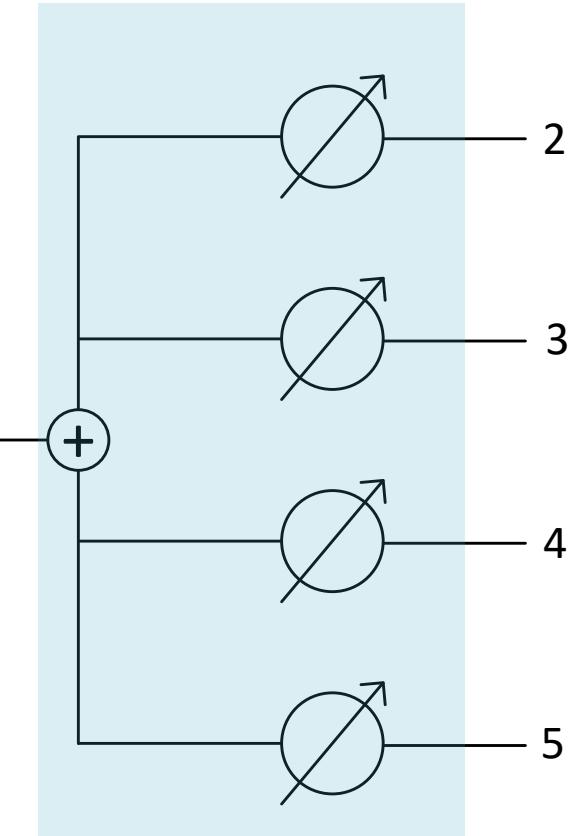
- Quite Universal Circuit Simulator (Qucs) is a free-software electronics circuit simulator under GPL.
- You can **download** a copy from <http://qucs.sourceforge.net/>
- A **short description of mathematical functions** that can be used in Qucs equation (including some standard microwave engineering parameters) can be found here:  
[https://web.mit.edu/qucs\\_v0.0.19/docs/en/mathfunc.html](https://web.mit.edu/qucs_v0.0.19/docs/en/mathfunc.html)



# Task and Design Requirements

- Design a 4:1 power combiner network
- Design a passive phase shifter
- The overall design, shown on the right, must fulfil the following requirements:

ID	Parameter	Requirement
1	Operating bandwidth	5.725 – 5.875 GHz
2	Port match (within operating bandwidth)	$S_{ii} < -10dB$ , for $i = 1, 2, 3, 4, 5$
3	Insertion loss	$IL < 13dB$
4	Phase shifter: 1. Range 2. Resolution	<ol style="list-style-type: none"> <li>1. <math>0^\circ \leq \varphi \leq 354^\circ</math></li> <li>2. <math>\Delta\varphi \leq 6^\circ</math></li> </ol>



# Rules and Tips

- You **may use the resistor, capacitor and inductor models from the Qucs library.**
- For the power combiner, you may want to study the **Wilkinson power combiner**.
- Show your **genuine attempt** to design what was asked of you. For a genuine attempt you
  - Have **all design choices** as long as you design the components yourself in Qucs or CST (student version). Commercial **off-the-shelf solutions are not permitted**.
  - Must use a **switched transmission line phase shifter**. The required phase shift is then achieved by a time delay. You must use the SP4T **switch model provided** on Coursera.
  - Must upload **all necessary design files** and a **design report** that describes and explains the design.

# Rubric for peer review

Excellent (6 pts)	Very good (5 pts)	Good (4 pts)	Fair (3 pts)	Sufficient (2)	Insufficient (0 pts)
<ul style="list-style-type: none"> <li>The report describes <b><u>all</u></b> design aspects in detail, such that the reader/reviewer can implement the design in Qucs her-/himself.</li> <li><b>All</b> design aspects are explained clearly and correctly.</li> <li>The design achieves the specified requirements <b><u>without exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes almost all design aspects in detail. Only <b><u>minor details are missing</u></b> such that the reviewer <b><u>can implement the design</u></b> in Qucs her-/himself.</li> <li>Most design aspects are explained clearly and correctly. <b><u>Only minor inaccuracies</u></b> are present. The understanding is not significantly affected by this.</li> <li>The design achieves the specified requirements with <b><u>one exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>A few details are missing</u></b> such that the reviewer may not be able to implement <b><u>some minor details</u></b> of the design in Qucs her-/himself.</li> <li>There are <b><u>only a few inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. The understanding of a few aspects of the design is slightly affected.</li> <li>The design achieves the specified requirements with <b><u>two exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Some details are missing</u></b> such that the reviewer may <b><u>not</u></b> be able to implement <b><u>every aspect of the design correctly</u></b> in Qucs her-/himself.</li> <li>There are <b><u>some inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. Not every aspect of the design is entirely clear.</li> <li>The design achieves the specified requirements with <b><u>three exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Several details are missing</u></b> such that the reviewer <b><u>struggles</u></b> to implement <b><u>the design correctly</u></b> in Qucs her-/himself.</li> <li>There are <b><u>several inaccuracies/inconsistencies or some mistakes</u></b> in the explanation of the design aspects. About half of the design aspects are not entirely clear.</li> <li>The design achieves the specified requirements with <b><u>four exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report provides insufficient detail such that the reader/reviewer <b><u>cannot implement the design</u></b> in Qucs her-/himself.</li> <li>There are <b><u>major design aspects missing</u></b> or are <b><u>mostly incorrectly</u></b> explained.</li> <li>The <b><u>design does not achieve any of the requirements</u></b>.</li> </ul>

# Microwave Engineering and Antennas

## Smith chart

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Smith chart

## Objective of this lecture

- History and use of Smith Chart
- Visualization of the reflection coefficient and impedance
- Show some examples

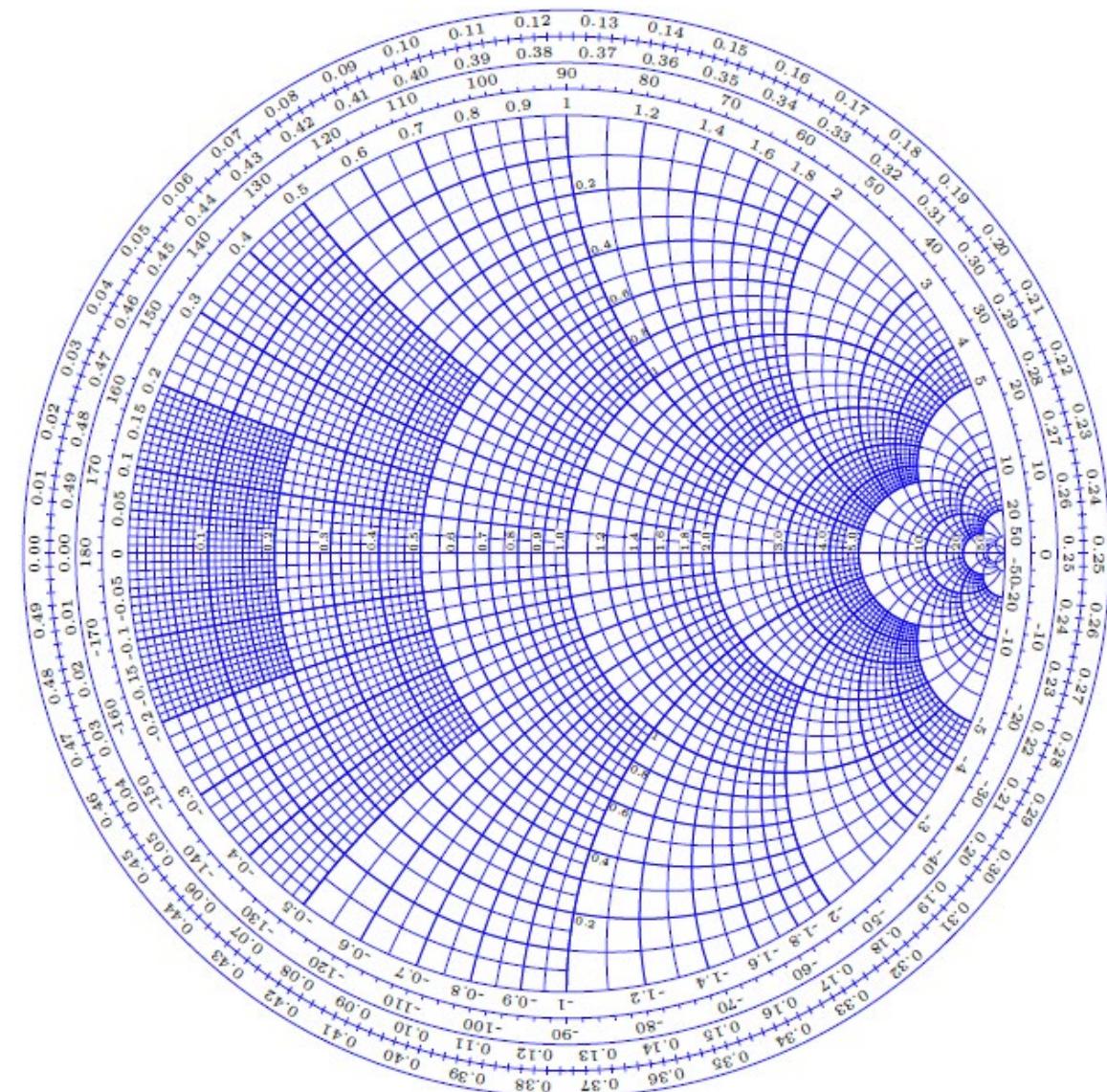
# Smith chart

## History

- Originates from 1939
- Invented by Philip Smith

## Use

- Graphical representation of
  - Reflection coefficient
  - Complex impedance
- Matching and tuning



# Smith chart

## Complex reflection coefficient

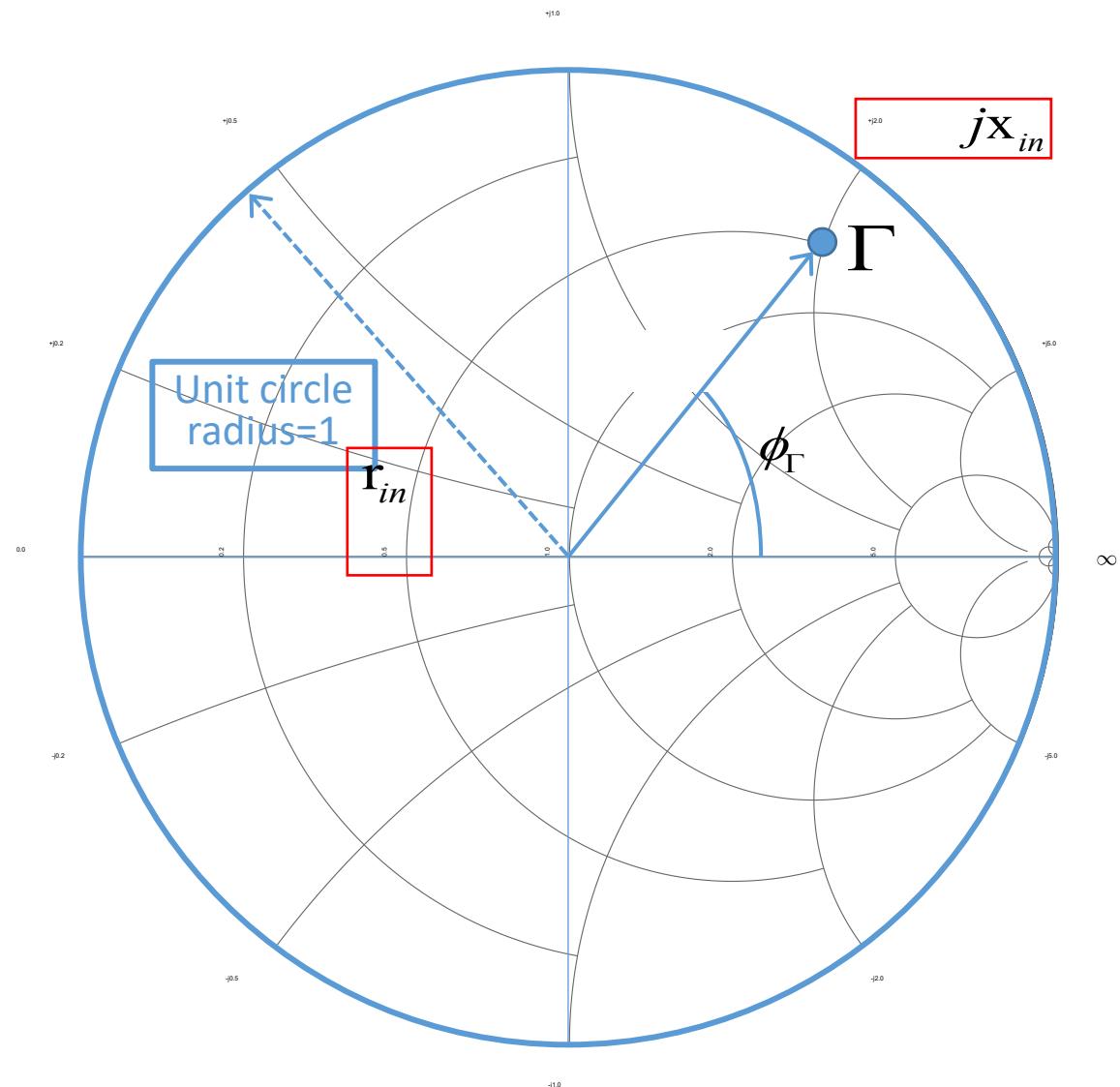
$$\Gamma = \frac{V_0^-}{V_0^+} = \Gamma_r + j\Gamma_i = |\Gamma| e^{j\phi_\Gamma}$$

$$\Gamma = \frac{Z_{in} - Z_0}{Z_{in} + Z_0} = \frac{z_{in} - 1}{z_{in} + 1}$$

$$z_{in} = \frac{Z_{in}}{Z_0} = \boxed{r_{in}} + \boxed{jx_{in}}$$

Normalized input impedance

$$Z_0 = 50 \Omega$$



# Smith chart

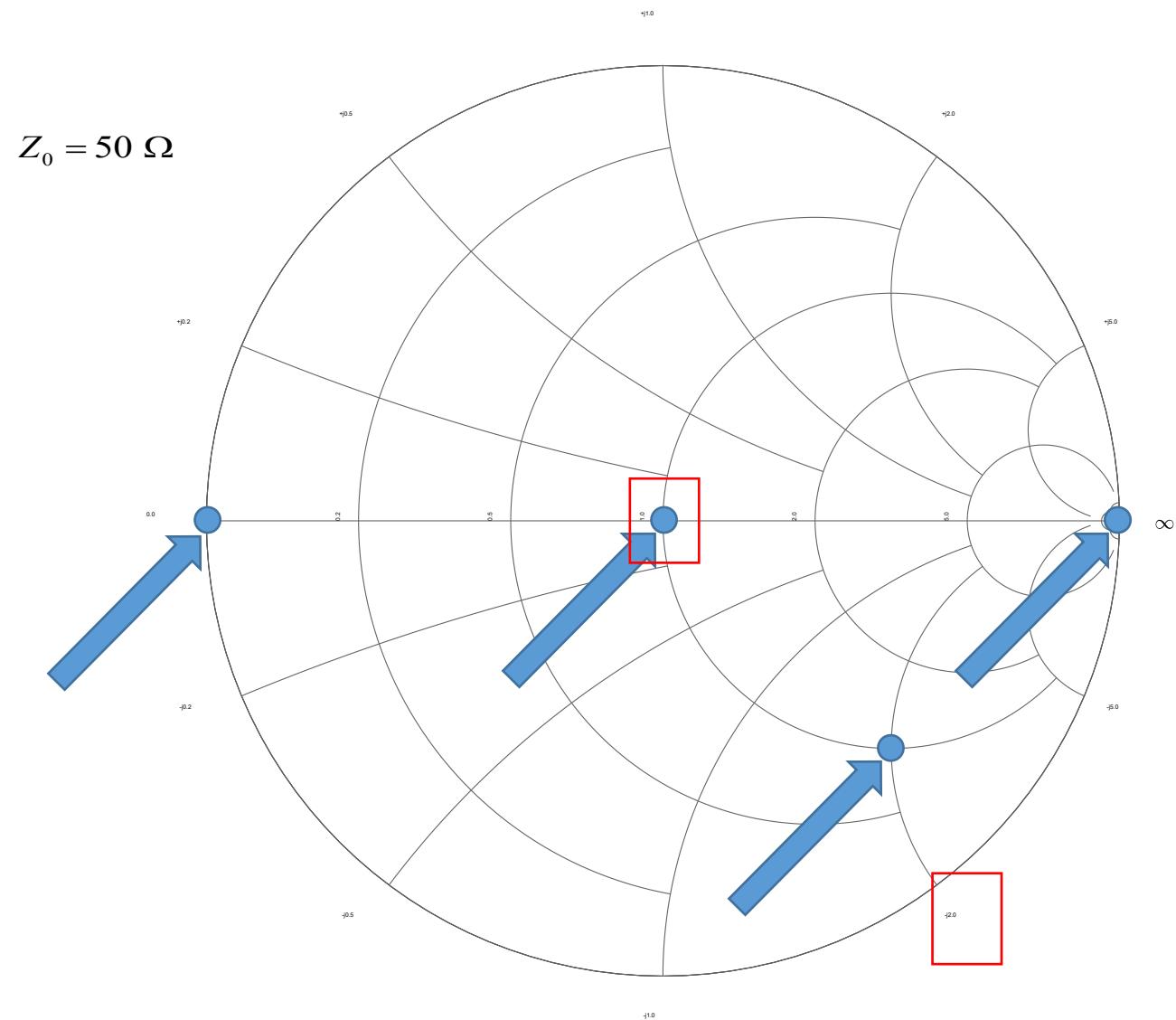
## Some examples

$$Z_{in} = 0 \Omega$$

$$Z_{in} = \infty \Omega$$

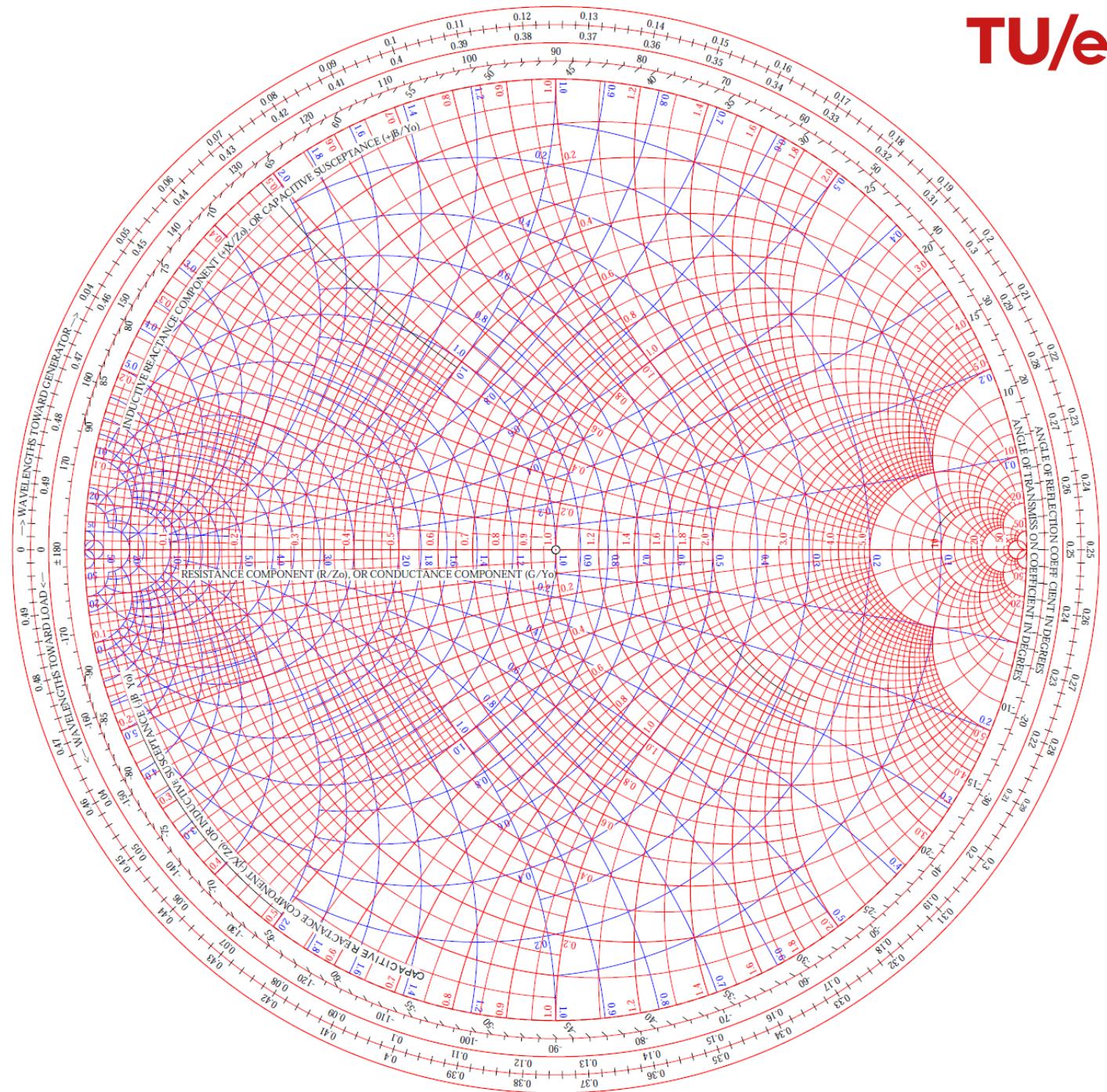
$$Z_{in} = 50 \Omega$$

$$Z_{in} = [50] - [j100] \Omega$$



# Smith chart

## Combined impedance and admittance chart



# Summary

- Smith chart is useful tool to visualize  $\Gamma$  and  $Z_{in}$
- Used in CAD tools and measurement equipment
- We will use it for the design of matching circuits

# Microwave Engineering and Antennas

## Impedance matching with lumped elements

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Impedance matching with lumped elements

## Objective of this lecture

- Explain need for impedance matching
- LC matching
- Show example using the Smith chart

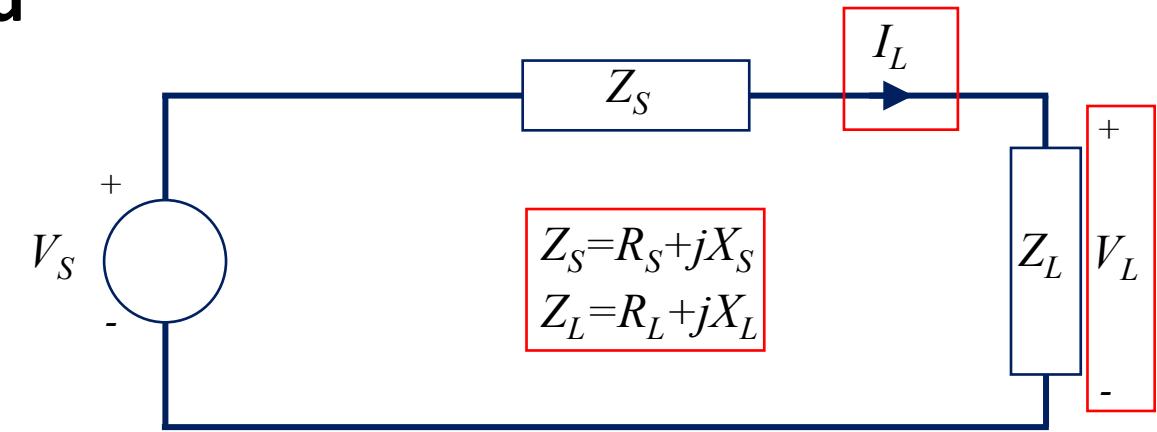
# Power matching

**Time average power delivered to load**

$$P_L = \frac{1}{2} \operatorname{Re} \{ V_L I_L^* \}$$

$$V_L = \frac{V_S Z_L}{Z_S + Z_L}$$

$$I_L = \frac{V_S}{Z_S + Z_L}$$



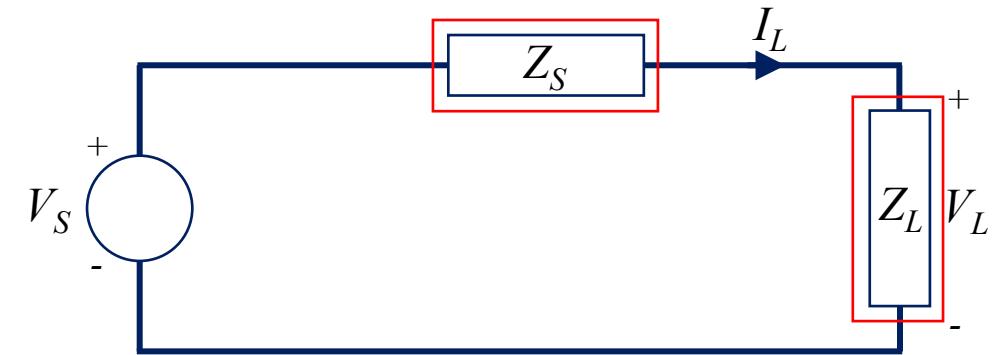
$$\begin{aligned} Z_S &= R_S + jX_S \\ Z_L &= R_L + jX_L \end{aligned}$$

→  $P_L = \frac{1}{2} \operatorname{Re} \left\{ \frac{|V_S|^2 Z_L}{|Z_S + Z_L|^2} \right\} = \frac{1}{2} \frac{|V_S|^2 R_L}{(R_S + R_L)^2 + (X_S + X_L)^2}$

# Power matching

**Time average power delivered to load**

$$P_L = \frac{1}{2} \frac{|V_S|^2 R_L}{(R_S + R_L)^2 + (X_S + X_L)^2}$$



**Maximum power is delivered when**

$$\frac{\partial P_L}{\partial R_L} = \frac{\partial P_L}{\partial X_L} = 0 \quad \longrightarrow \quad R_L = R_S \quad \longrightarrow \quad Z_L = Z_S^*$$

# Matching circuit

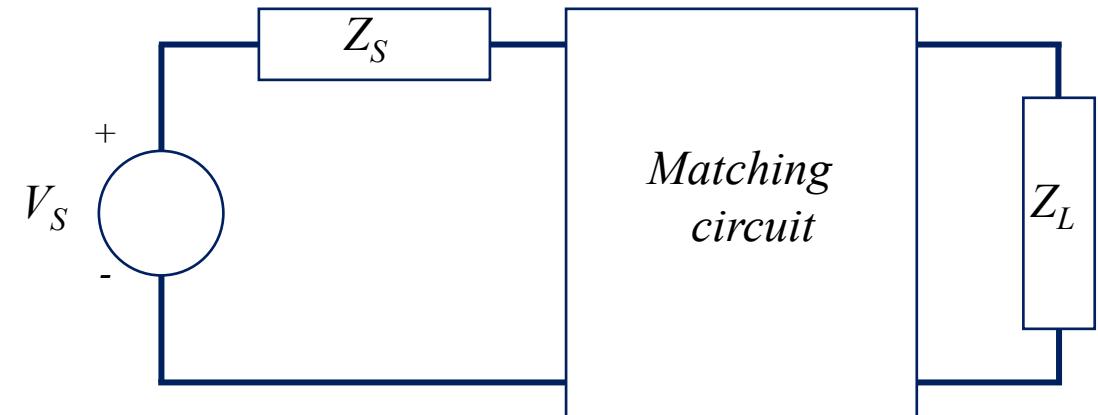
Lumped matching using L and C



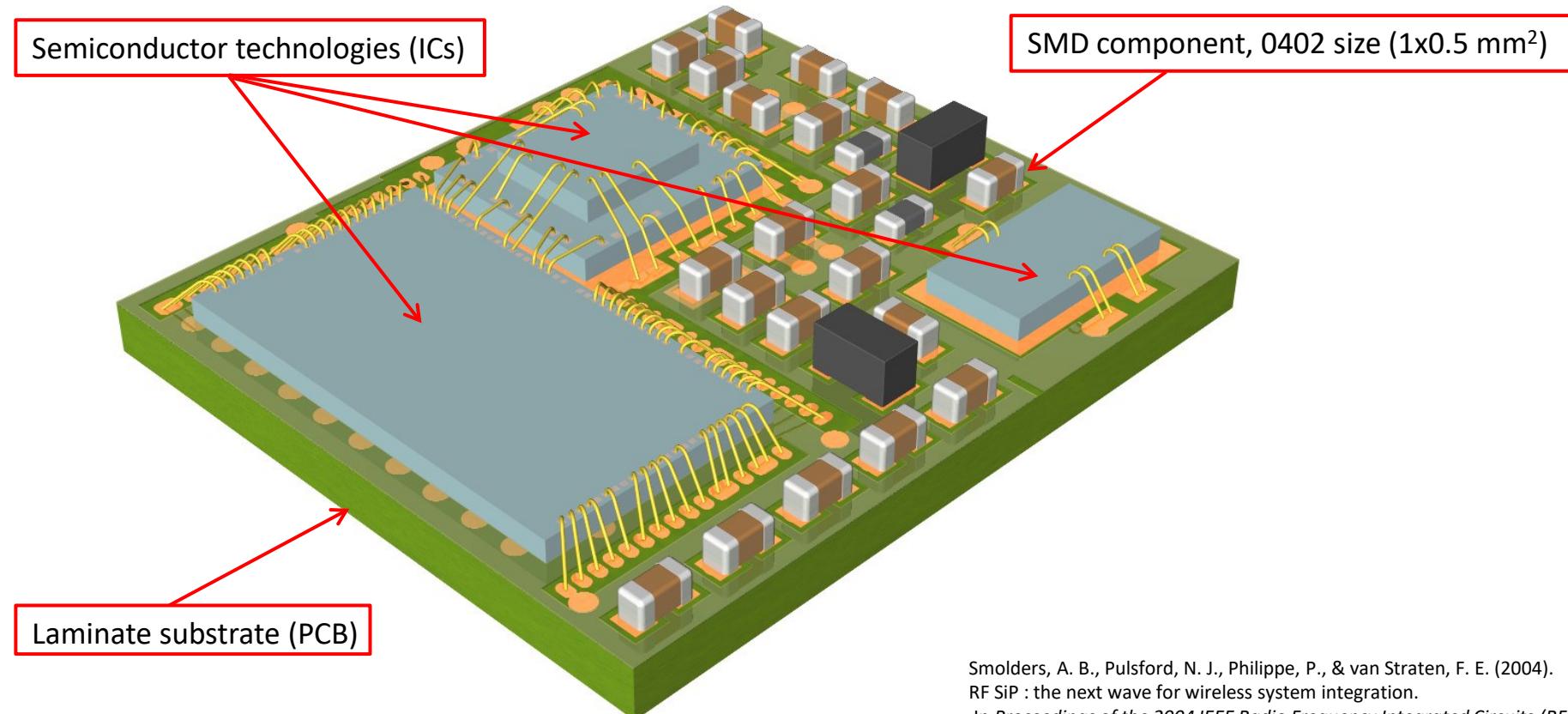
$$Z = j\omega L$$



$$Z = \frac{1}{j\omega C}$$



# When can we use lumped element matching?



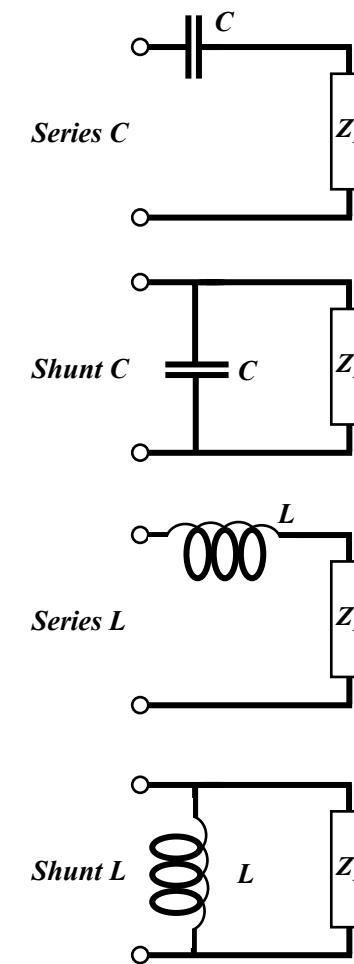
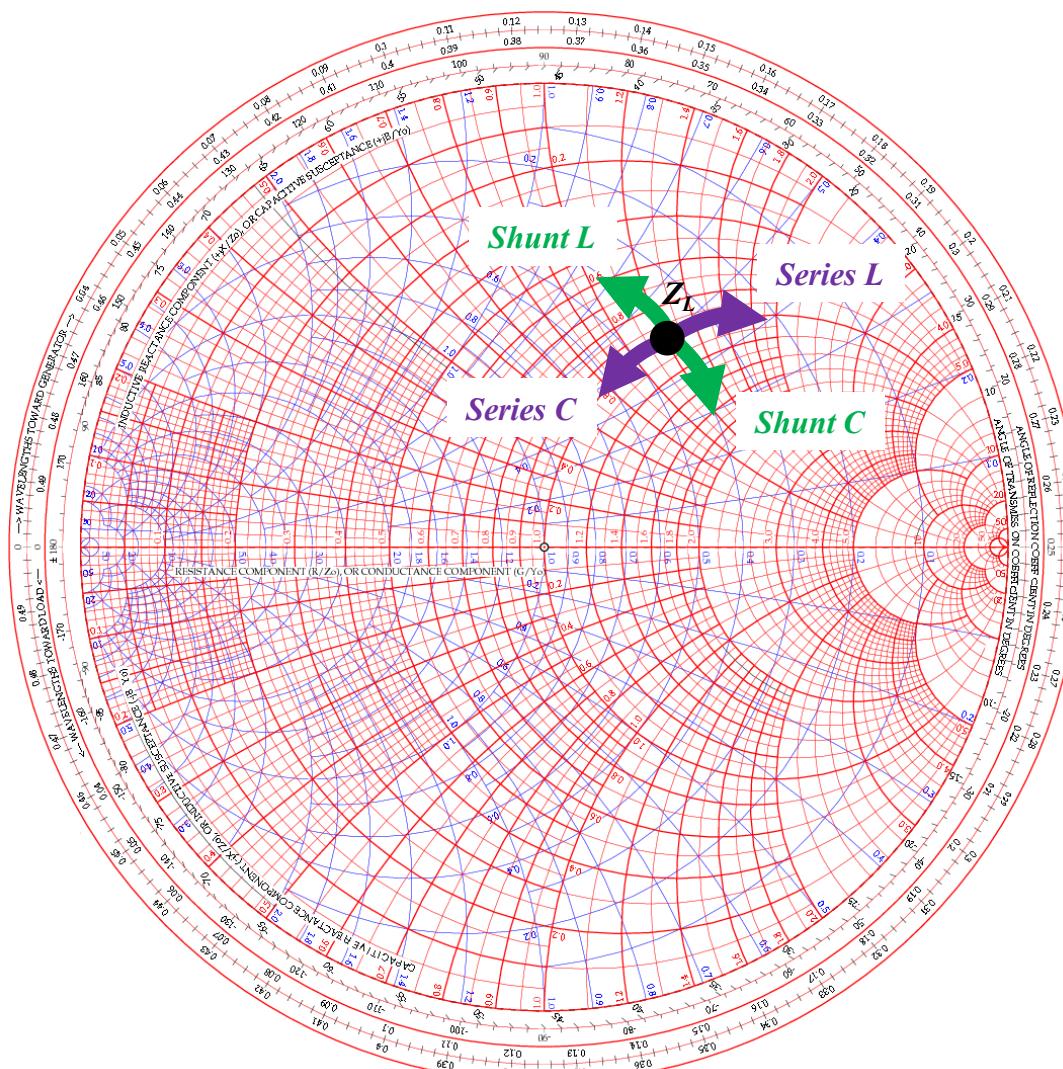
Smolders, A. B., Pulsford, N. J., Philippe, P., & van Straten, F. E. (2004).

RF SiP : the next wave for wireless system integration.

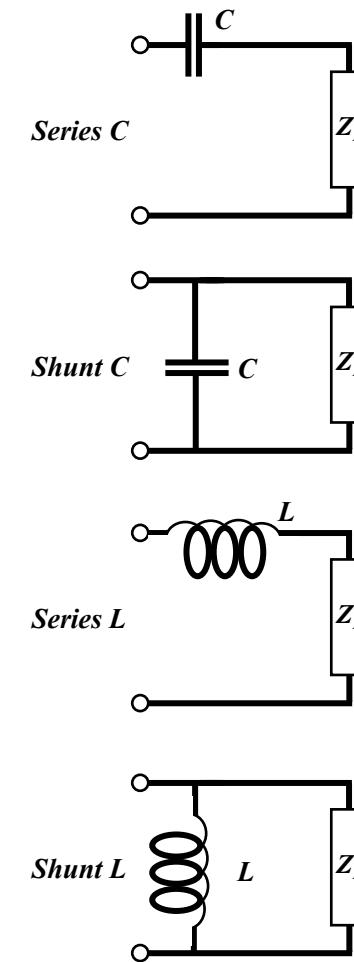
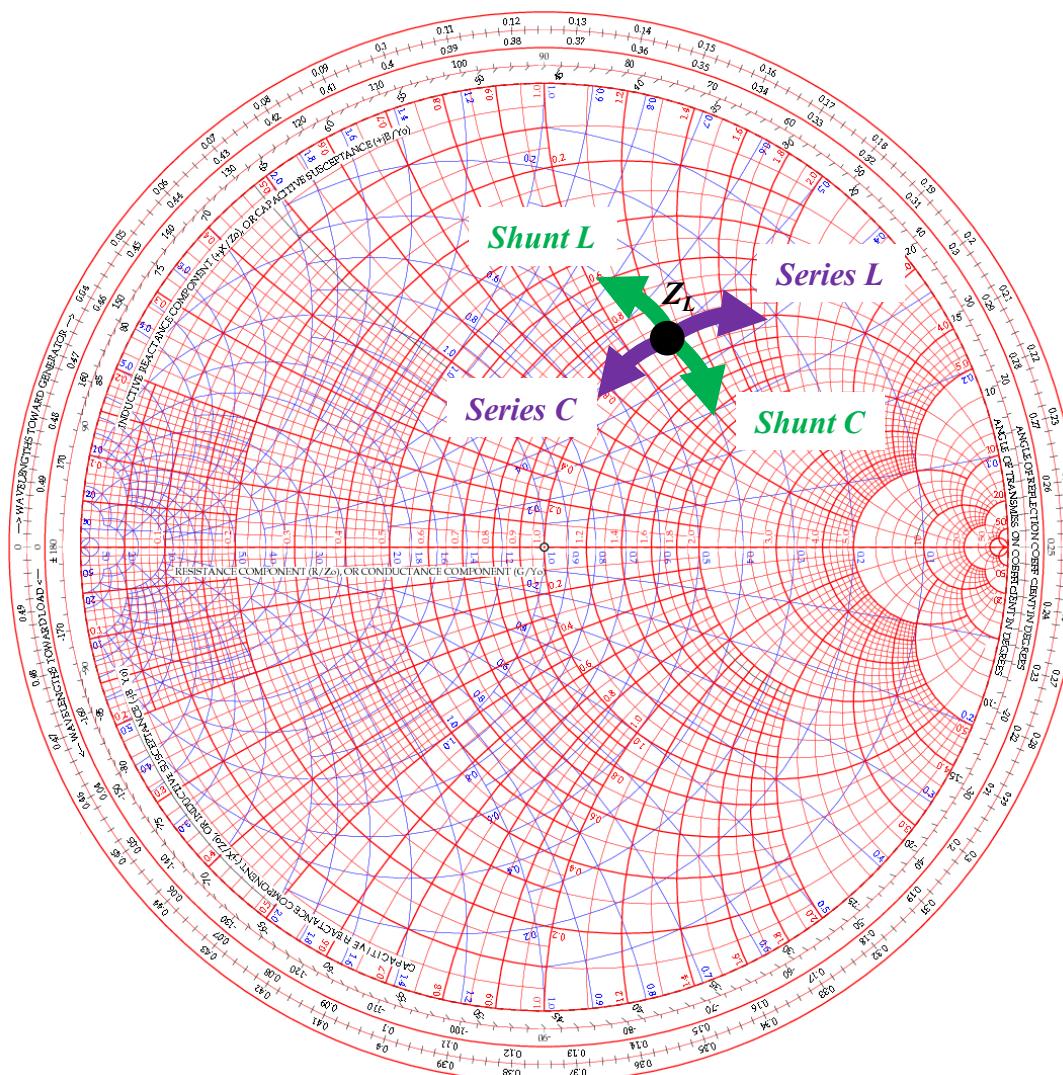
In *Proceedings of the 2004 IEEE Radio Frequency Integrated Circuits (RFIC) Symposium*, pp. 233-236, 2004

**Wavelength must be much larger than size component**

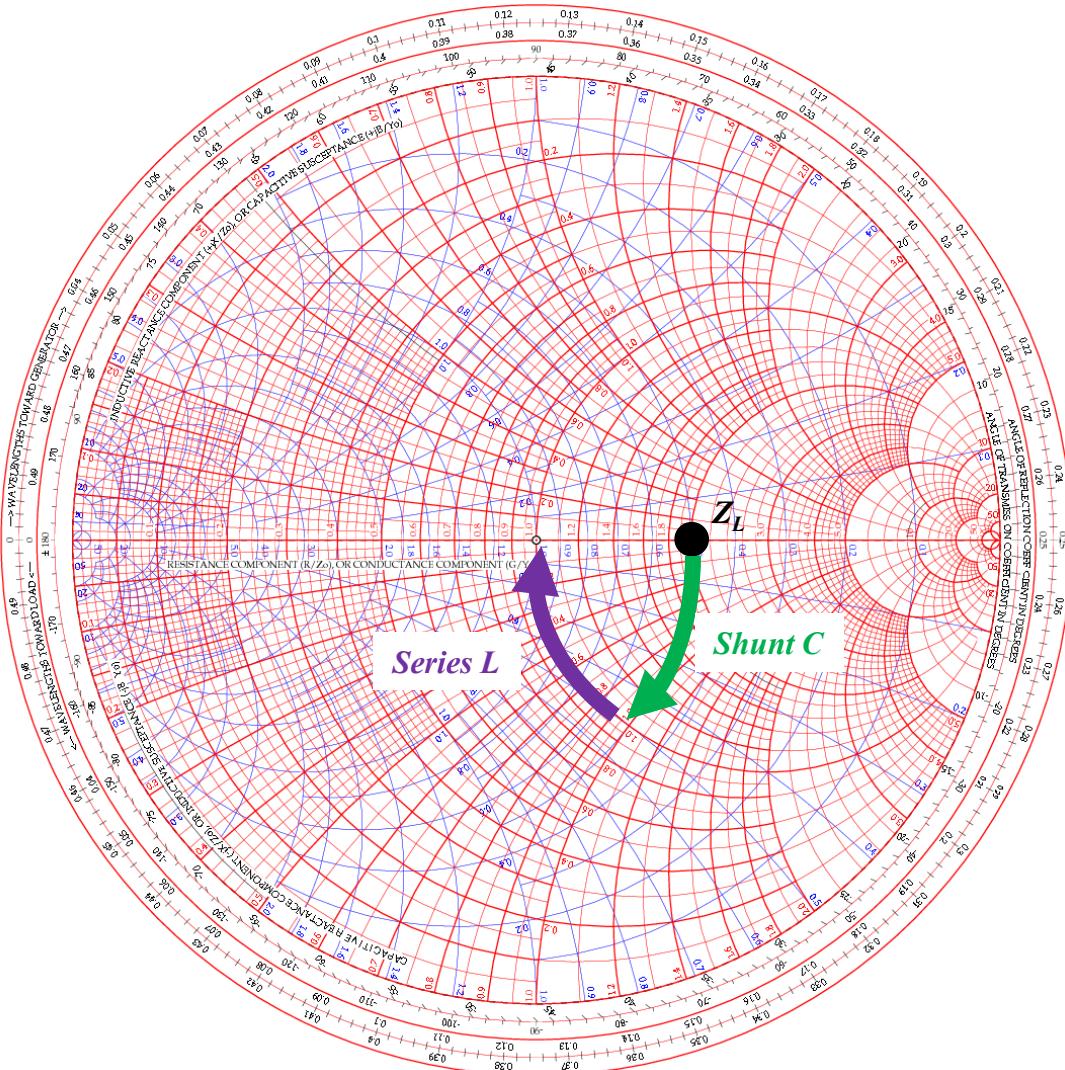
# LC-matching using Smith chart



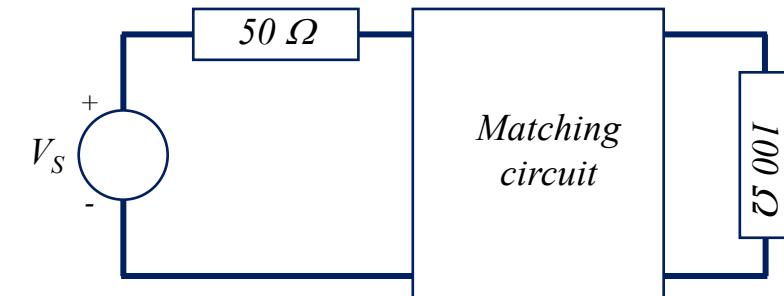
# LC-matching using Smith chart



# Example LC-matching



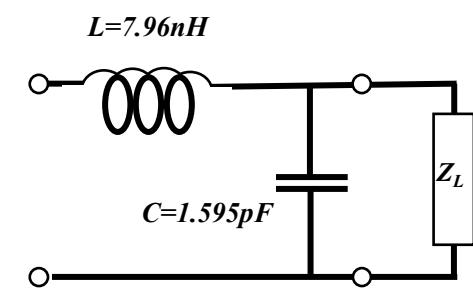
Design matching circuit to match a 100 Ohm load to a 50 Ohm source at  $f=1$  GHz



Step 1: Add Shunt C

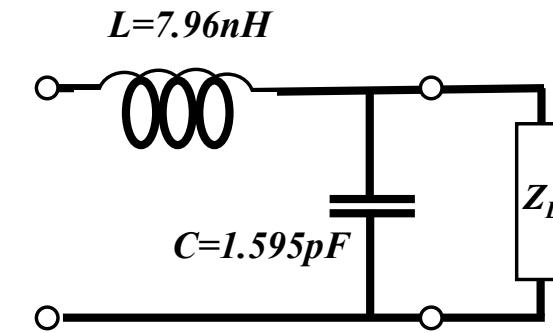
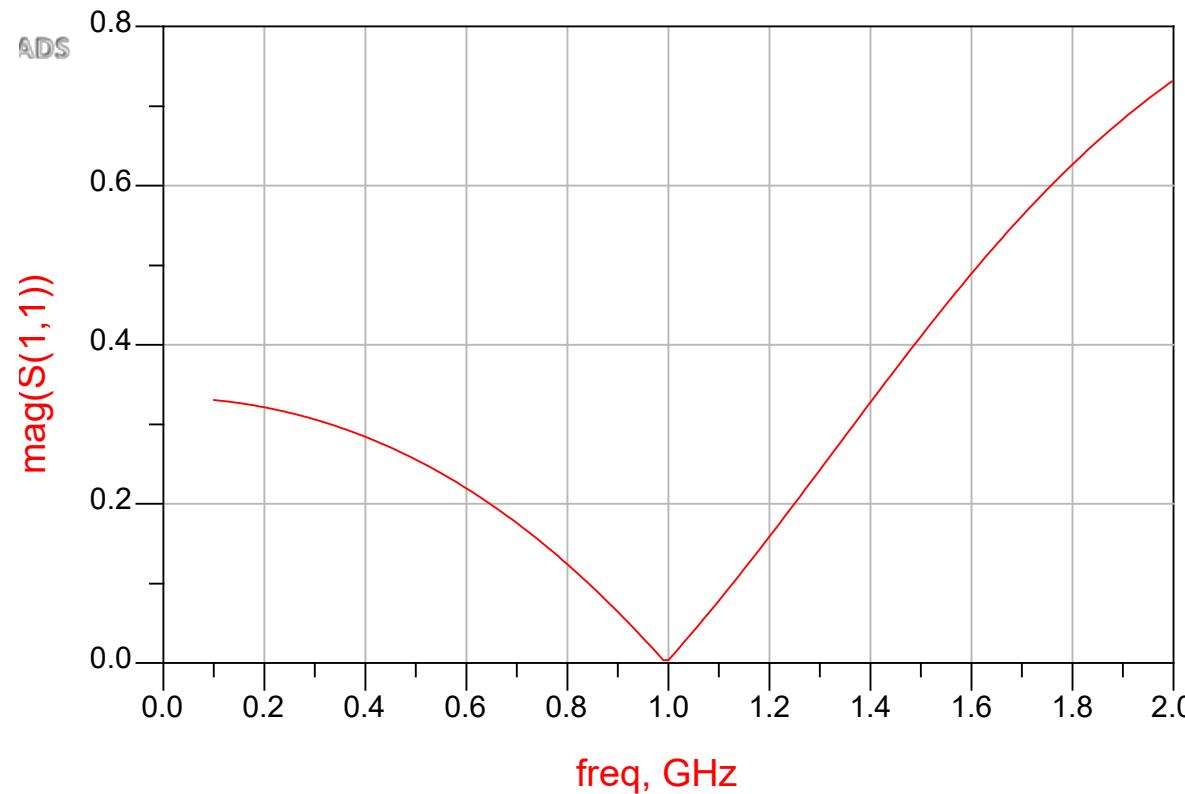
Step 2: Add Series L

Realized matching circuit:



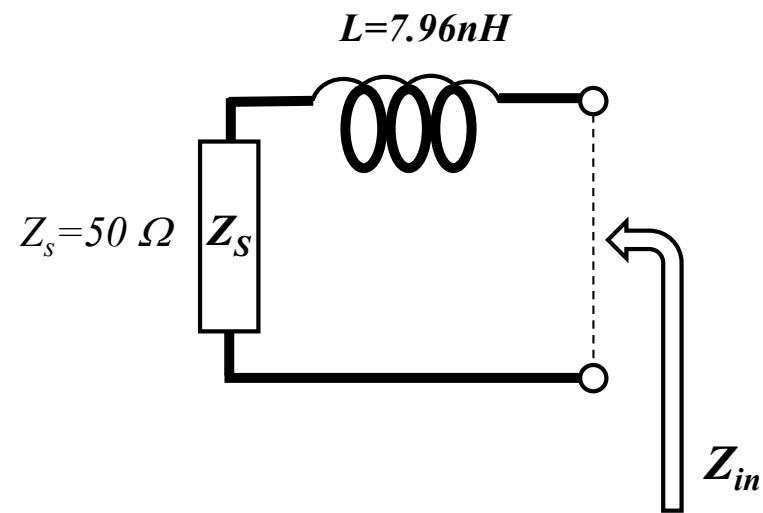
# Example LC-matching

**Frequency response realized matching circuit**

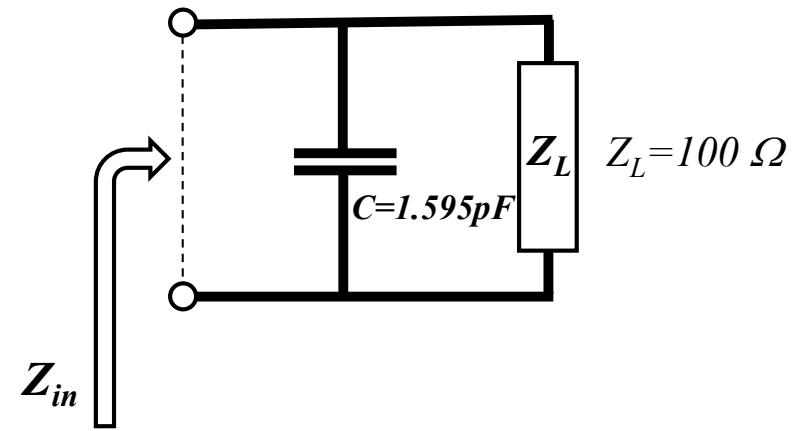


# Example LC-matching

What about conjugate matching?



$$Z_{in} = 50 + j50 \Omega$$



$$Z_{in} = 50 - j50 \Omega$$

# Summary

- Lumped-element matching when size components  $\ll \lambda$
- Smith chart is a useful tool for design of matching circuits
- Example LC matching
- Next web lecture we will extend concept to matching with transmission lines.

# Microwave Engineering and Antennas

## Impedance matching with distributed elements

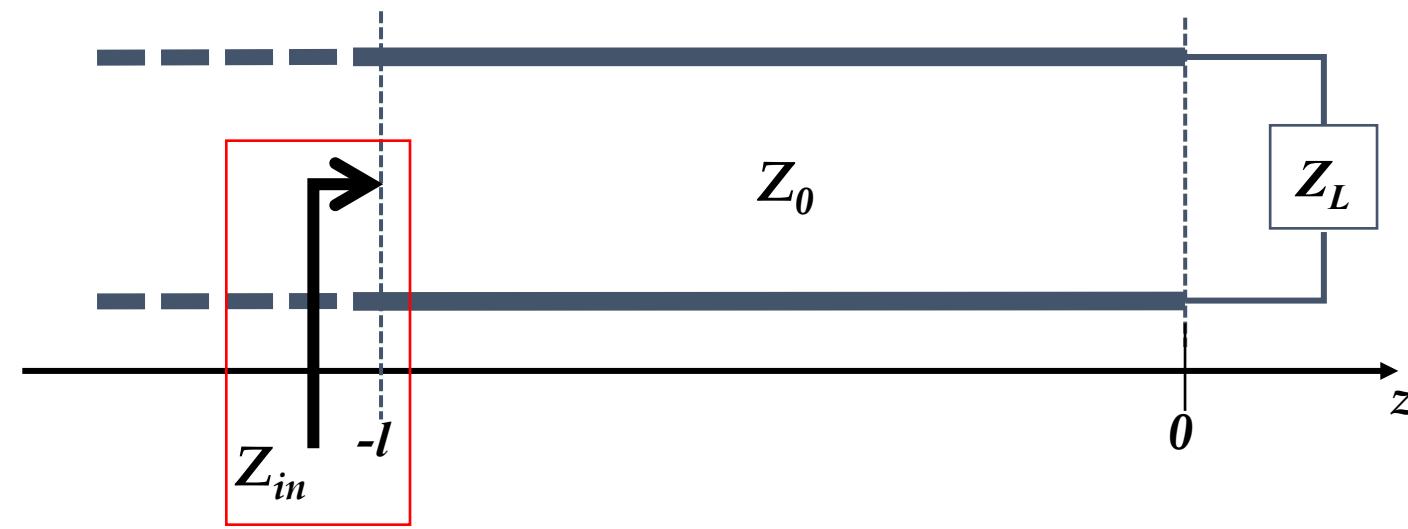
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Impedance matching with distributed elements

## Objective of this lecture

- Richards transformation
- Shunt-stub matching
- Example

# The terminated transmission line



**Input impedance at  $z=-l$ :**

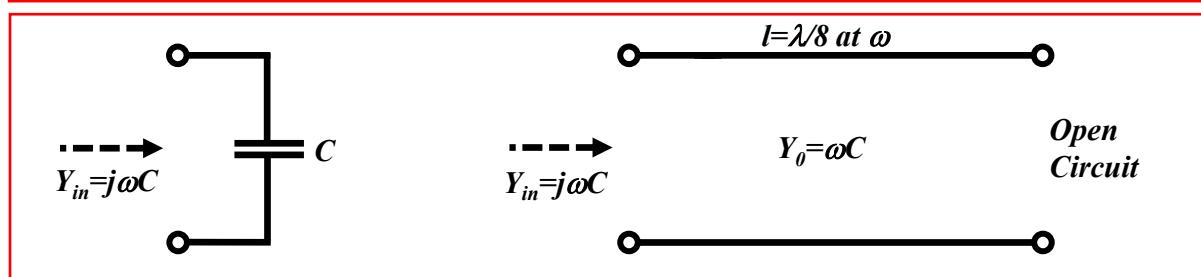
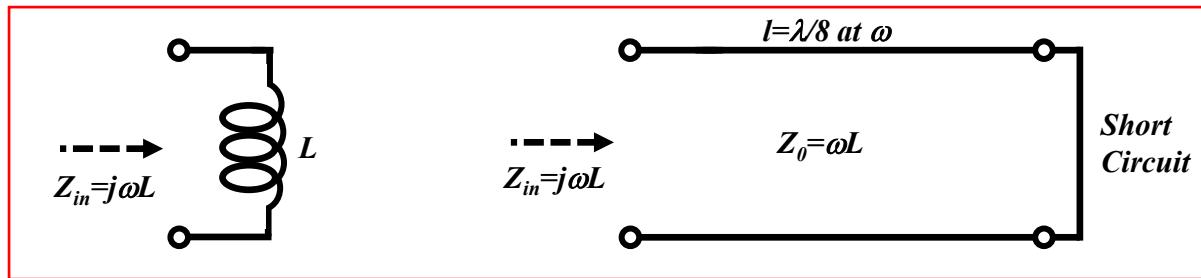
$$Z_{in} = Z_0 \frac{Z_L + jZ_0 \tan \beta l}{Z_0 + jZ_L \tan \beta l} \quad \xrightarrow{\text{Impedance tuner}}$$

# Richards' transformation

**Special cases are short circuit and open terminations:**

$$Z_{in}(Z_L = 0) = jZ_0 \tan \beta l = j\Omega Z_0 = \boxed{j\omega L}$$

$$Z_{in}(Z_L = \infty) = \frac{Z_0}{j \tan \beta l} = \frac{Z_0}{j\Omega} = \boxed{\frac{1}{j\omega C}}$$

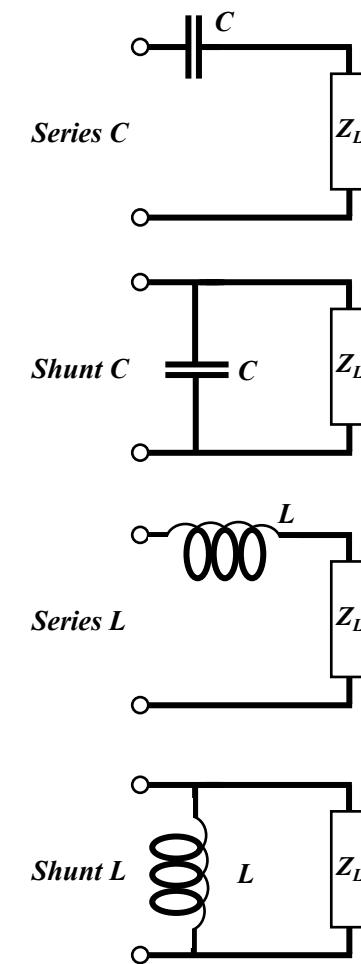
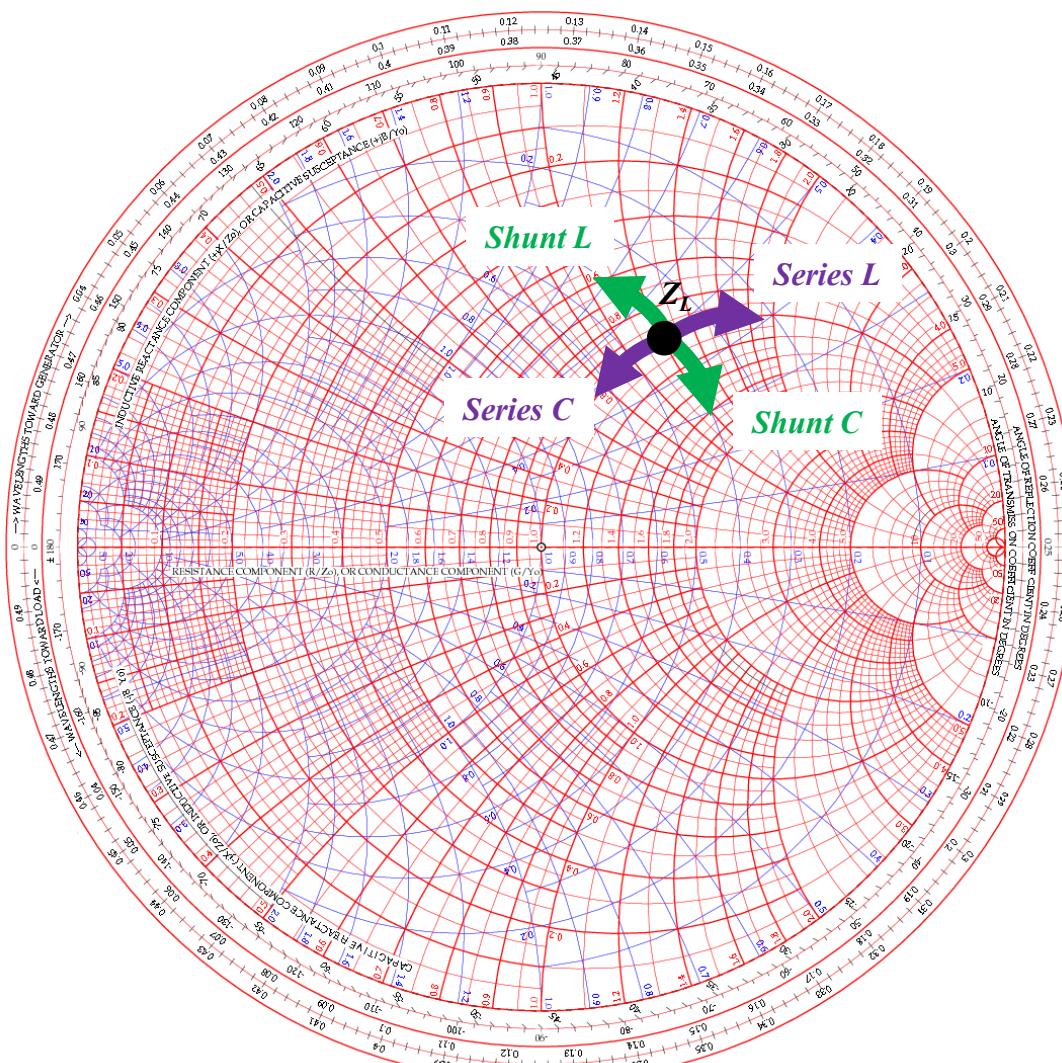


$$\beta = \frac{2\pi}{\lambda}, \text{ with } l = \lambda / 8 \text{ we get:}$$

$$\Omega = \tan \beta l = \tan \left( \frac{2\pi}{\lambda} \frac{\lambda}{8} \right)$$

$$= \tan \left( \frac{\pi}{4} \right) = 1$$

# Equivalent to LC-matching using Smith chart



# Single shunt-stub matching

## Terminated line

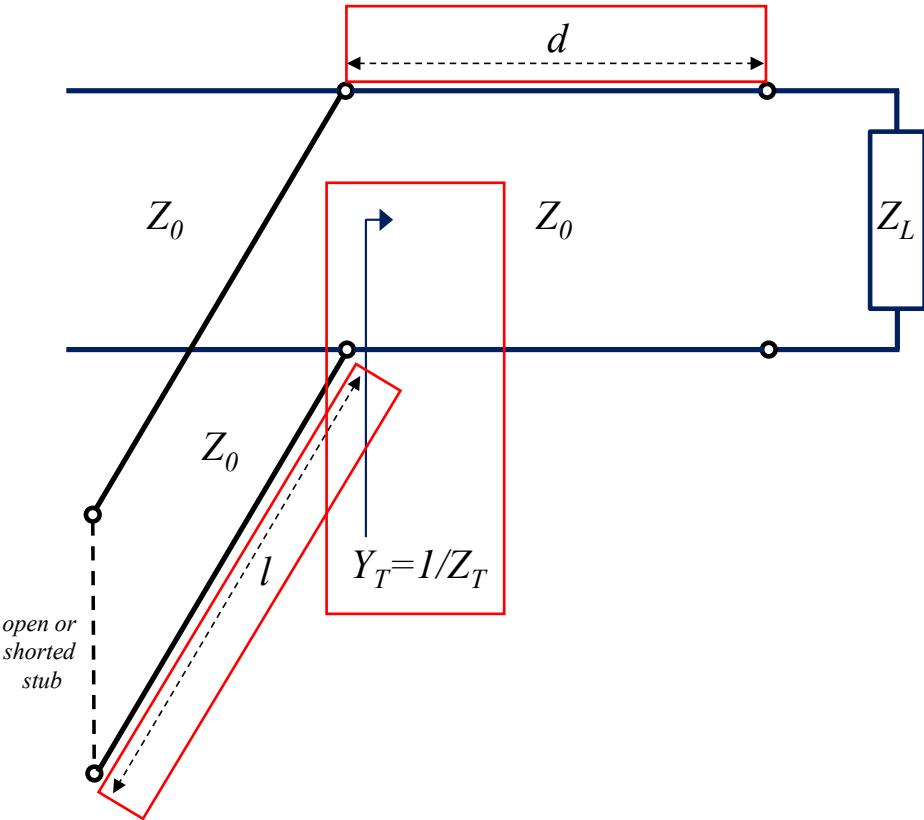
$$Y_T = \frac{1}{Z_T} = \boxed{G_T} + \boxed{jB_T}$$

$$Z_T = Z_0 \frac{Z_L + jZ_0 \tan \beta d}{Z_0 + jZ_L \tan \beta d}$$

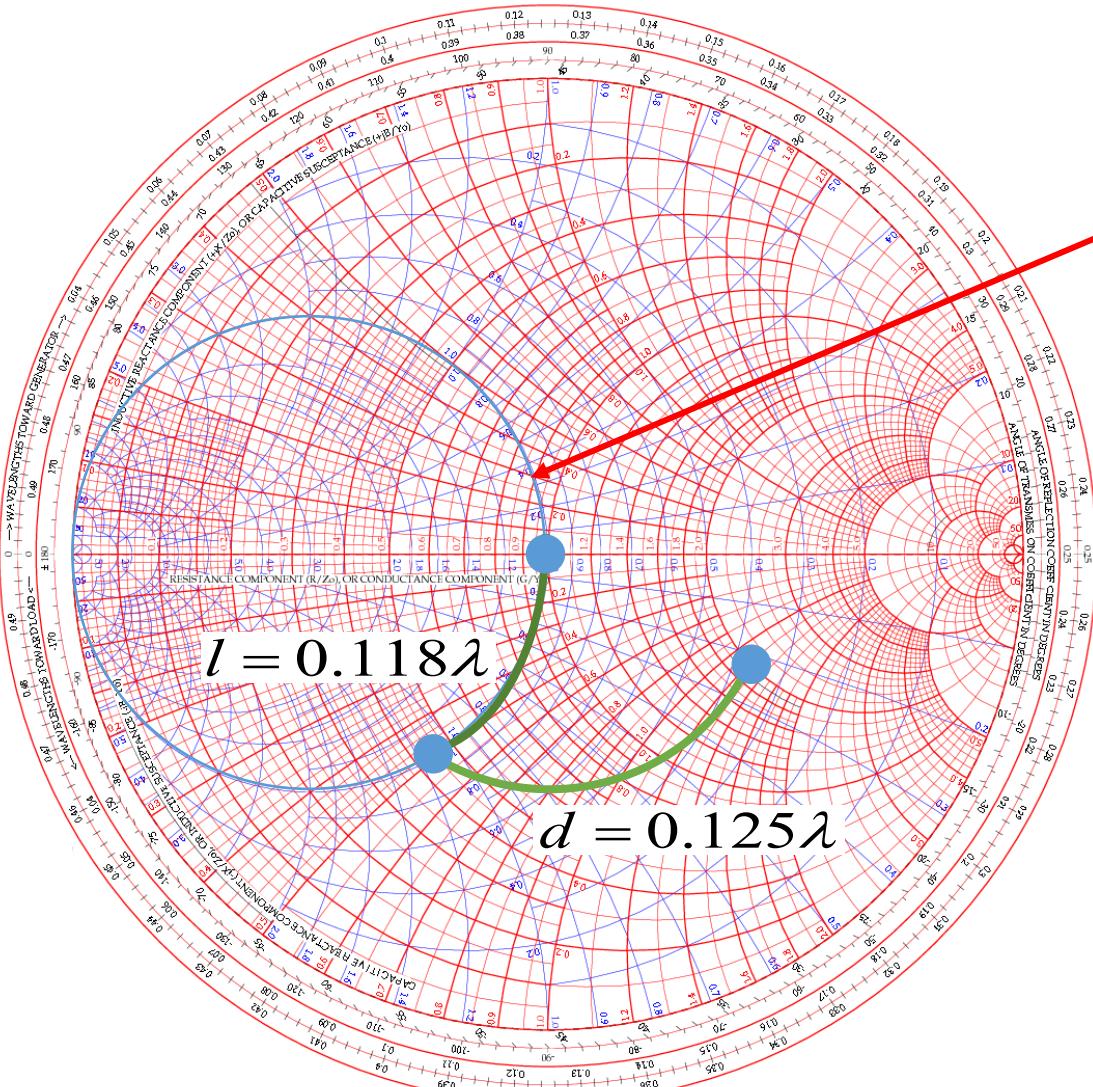
## Matching with stub

$$G_T = Y_0 = \frac{1}{Z_0} \quad \xrightarrow{\text{determine } d}$$

$$Y_s = -jB_T \quad \xrightarrow{\text{determine } l}$$

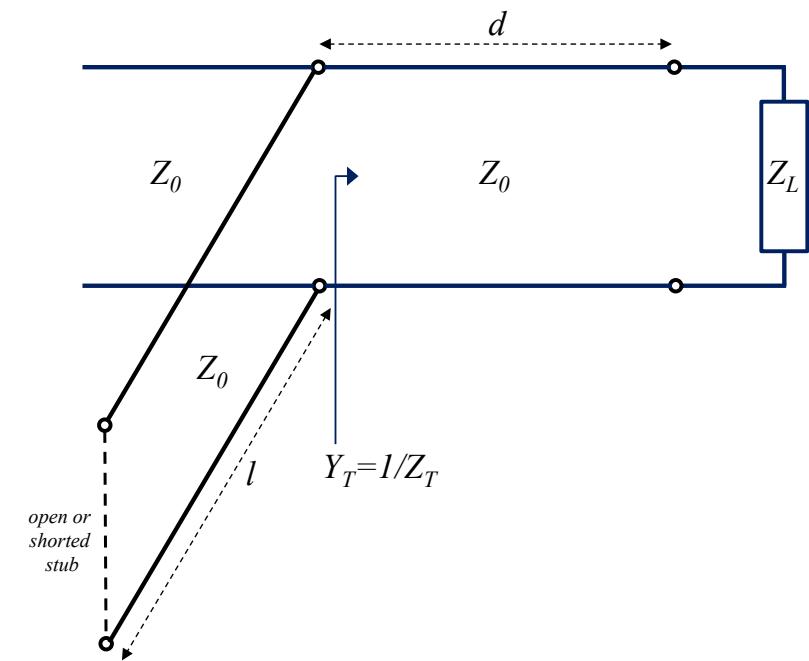


# Example single shunt-stub matching



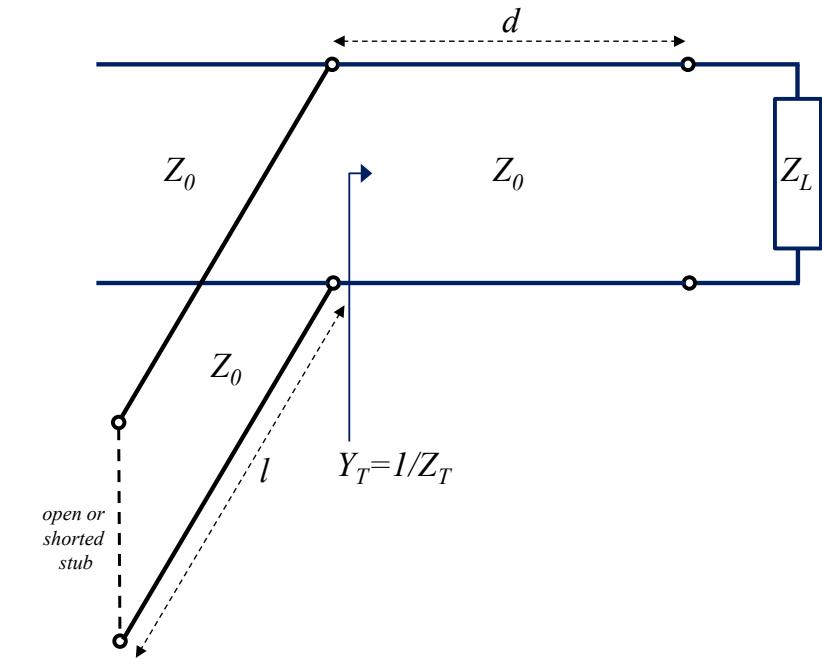
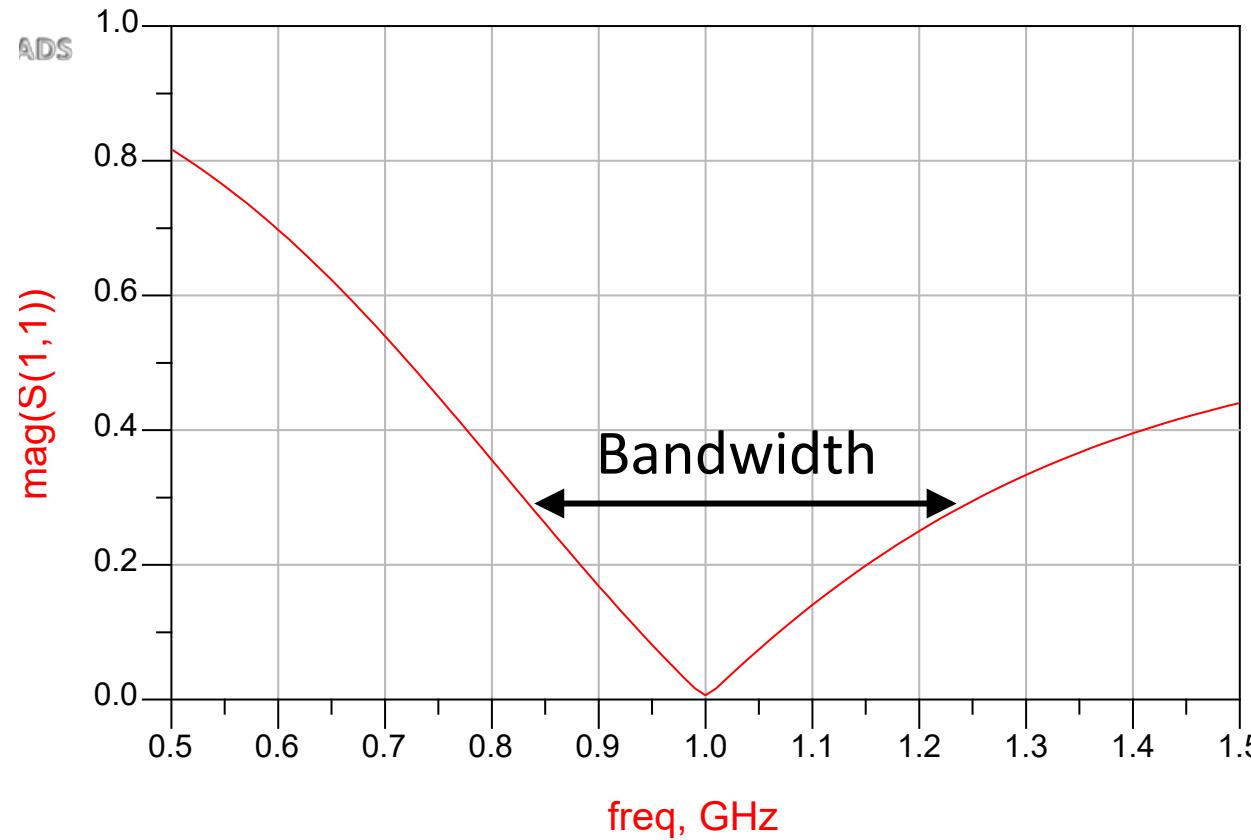
$$G_T = Y_0 = \frac{1}{50\Omega} \text{ line}$$

For a load impedance  $Z_L=100-j60 \Omega$ , design a single-stub shunt matching network to match a  $Z_0=50 \Omega$  line.



# Frequency response

**Design frequency 1 GHz**

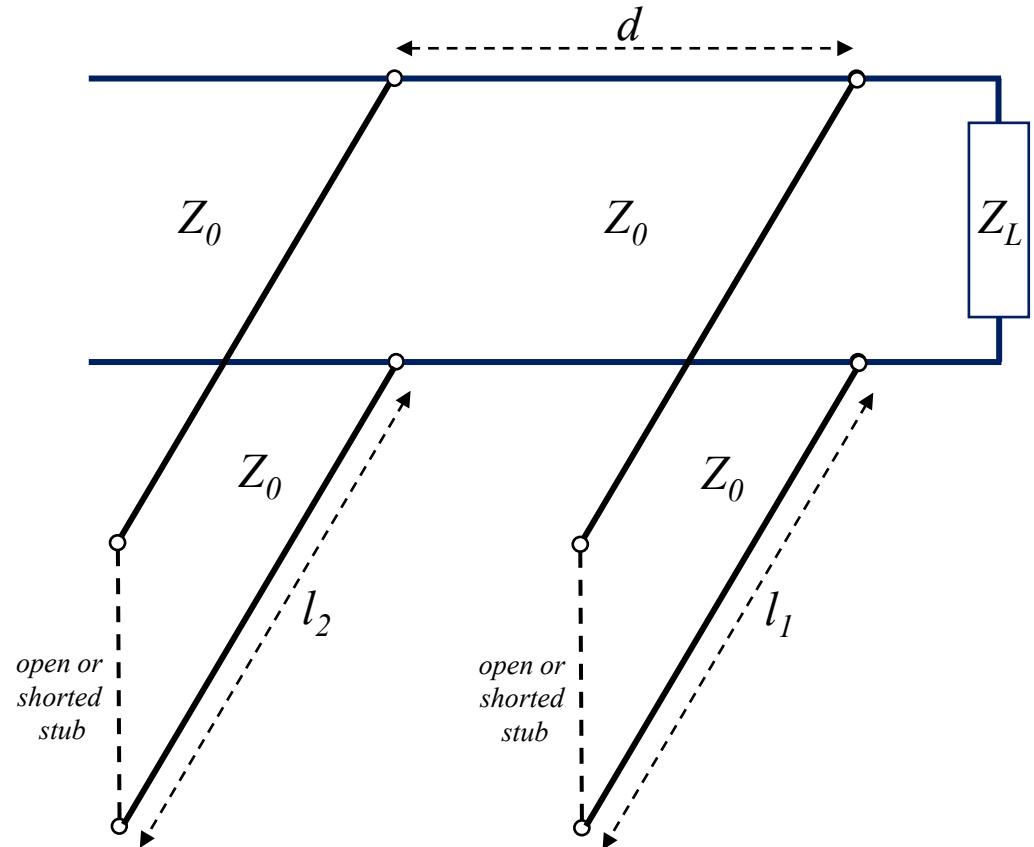


$$Z_L = 100 - j60 \Omega$$
$$Z_S = 50 \Omega$$
$$d = 0.125\lambda$$
$$l = 0.118\lambda$$

# Double shunt-stub matching

## Features

- Fixed line between stubs
- Easier to implement
- Limited in matching range



# Summary

- Transmission line equivalence of LC components
- We can add additional line sections
- Shunt-stub matching
- Smith chart is useful tool

# Microwave Engineering and Antennas

## Microwave Filters

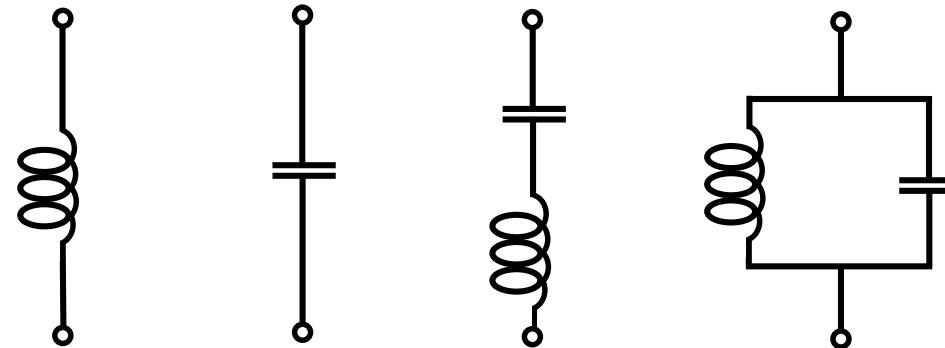
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Microwave filters

## Objective of this lecture

- Lumped-element filters
- Kuroda's identities
- Low-pass filters
- Coupled-line bandpass filters

# Lumped-element filter types



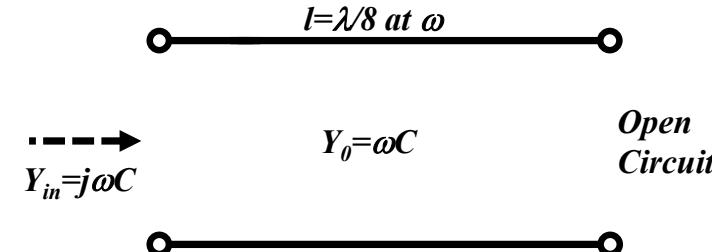
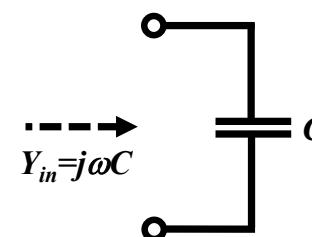
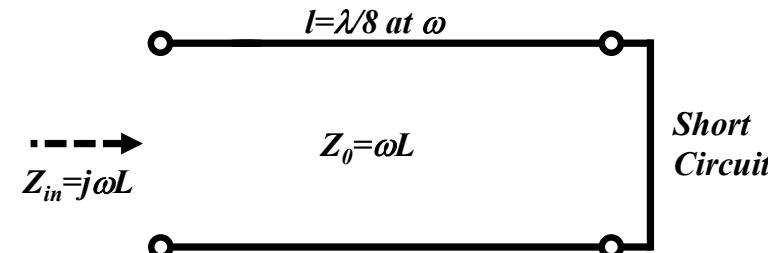
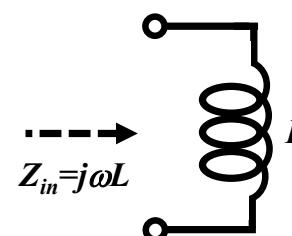
Low-pass

High-pass

Band-pass

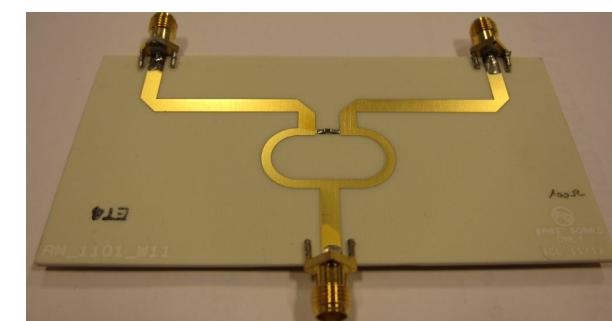
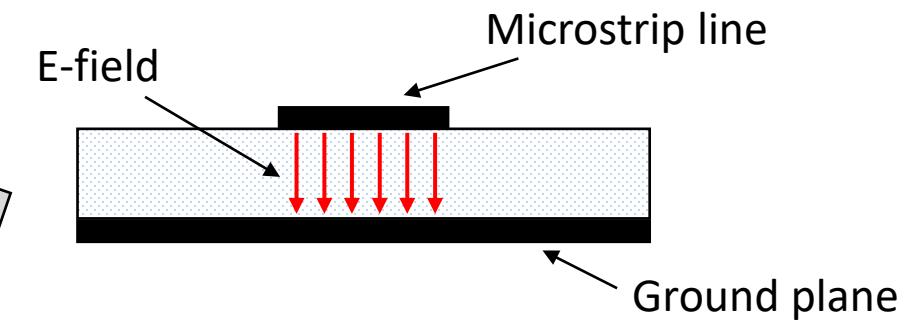
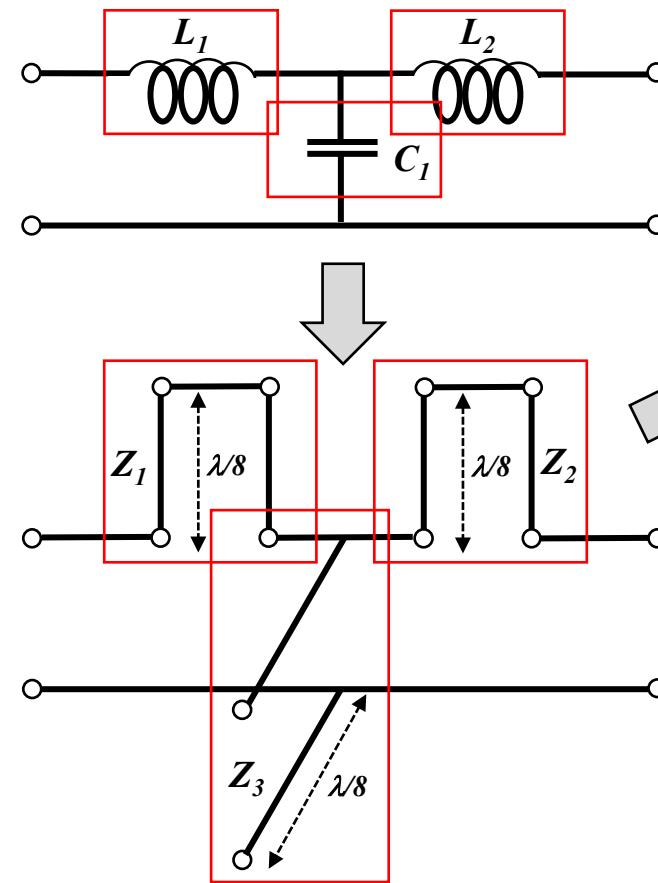
Band-stop

## Richards' transformation:



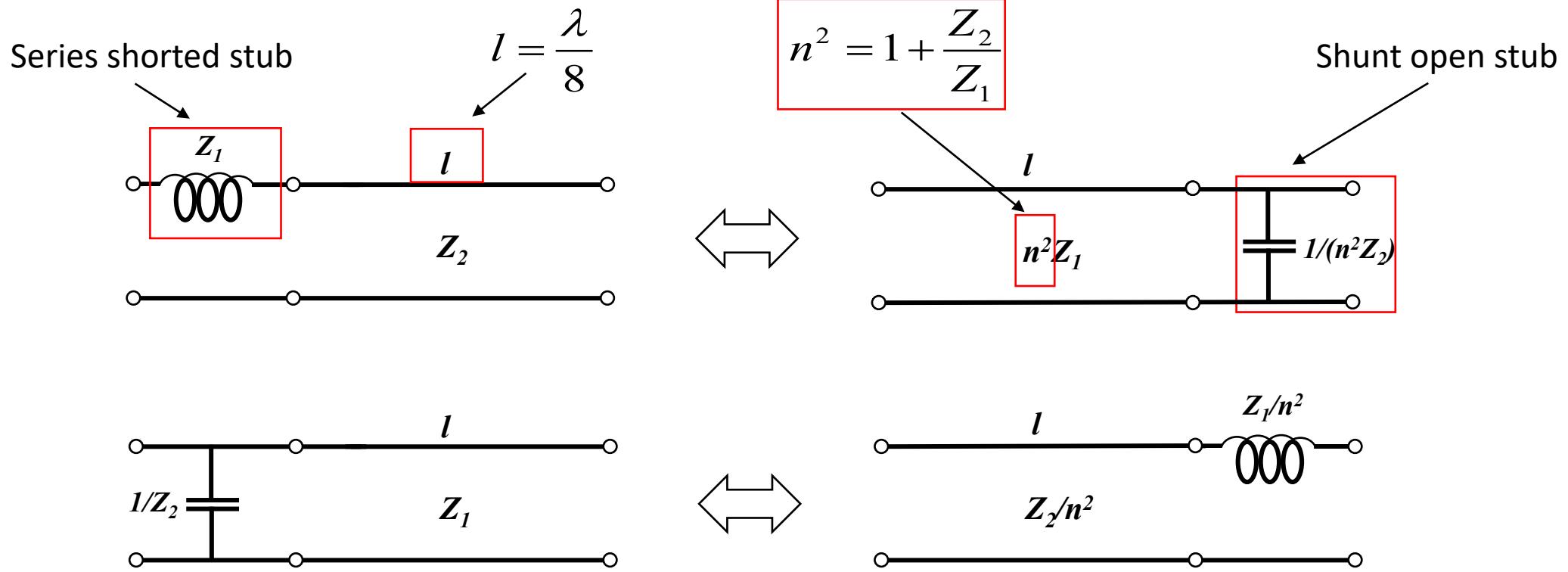
# Problem in conversion to Tlines

## Low-pass prototype filter



# Kuroda's identities

**Transforms series stubs into parallel stubs**

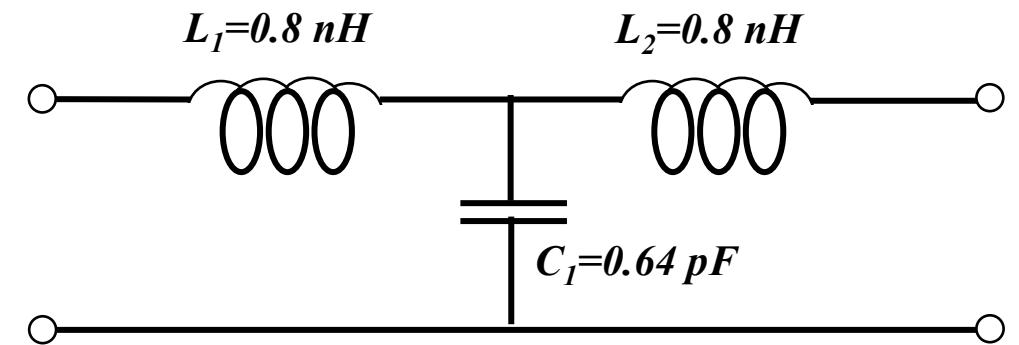


# Design of a microstrip low-pass filter

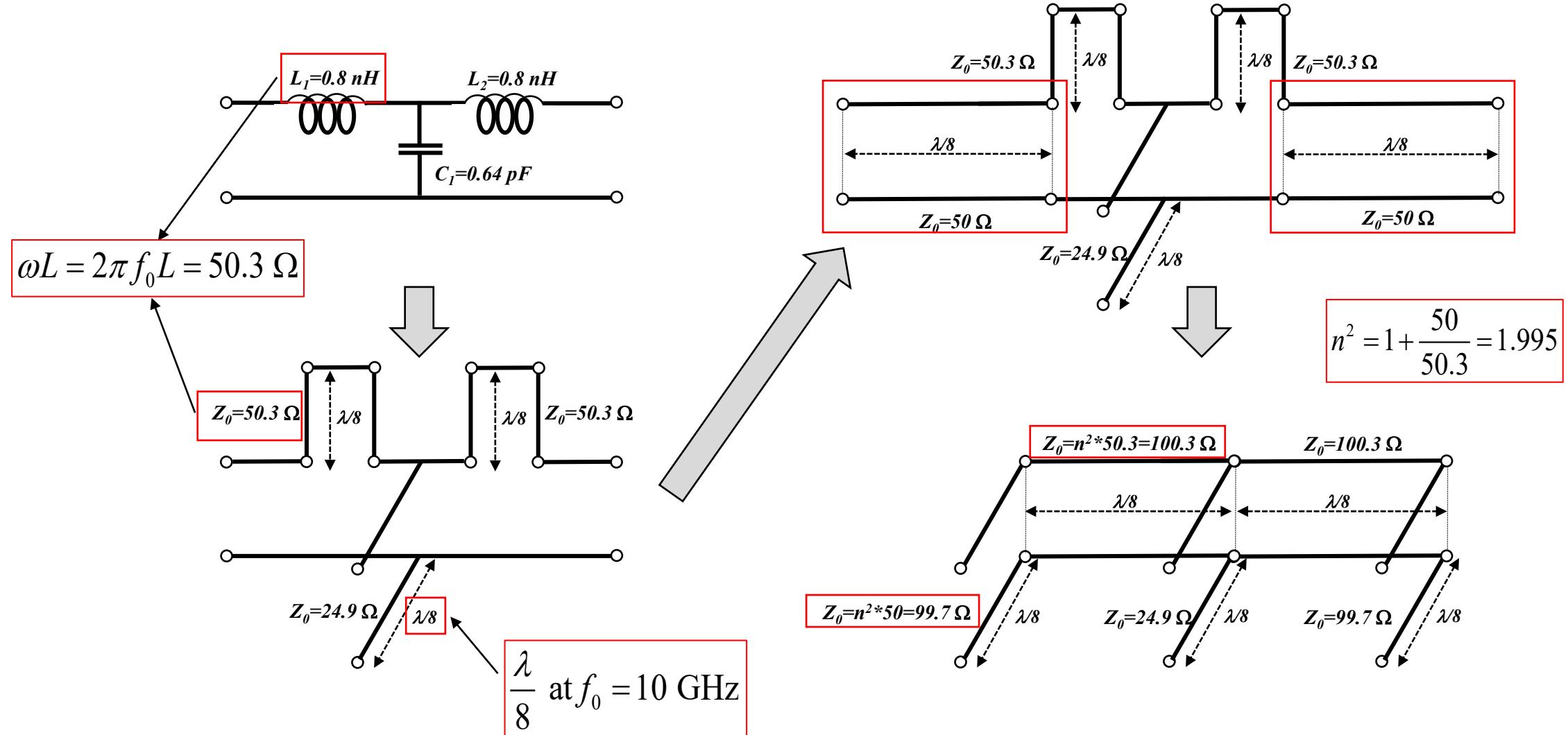
## Specifications

- Maximally-flat
- Third order,  $N=3$
- $f_{-3\text{dB}} = f_0 = 10 \text{ GHz}$
- Reference impedance  $Z_0=50 \Omega$
- Input/output ports  $50 \Omega$

## Step 1: Lumped-element prototype

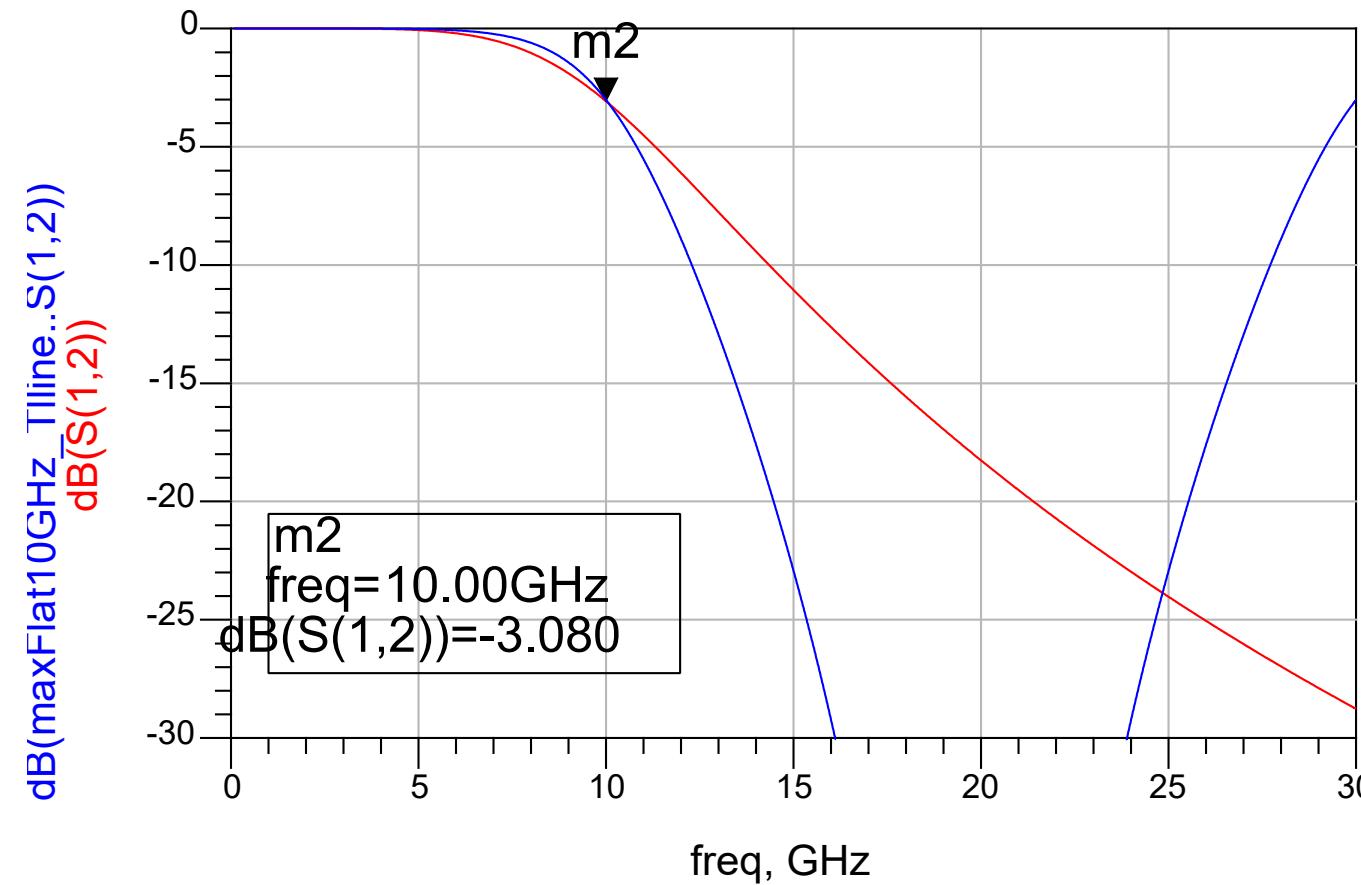


# Design of a microstrip low-pass filter

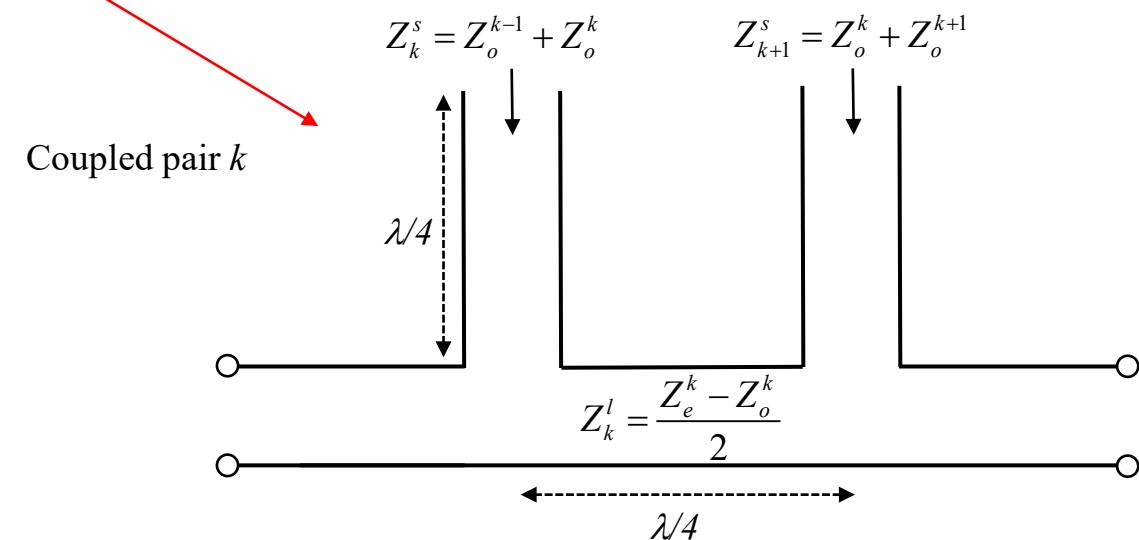
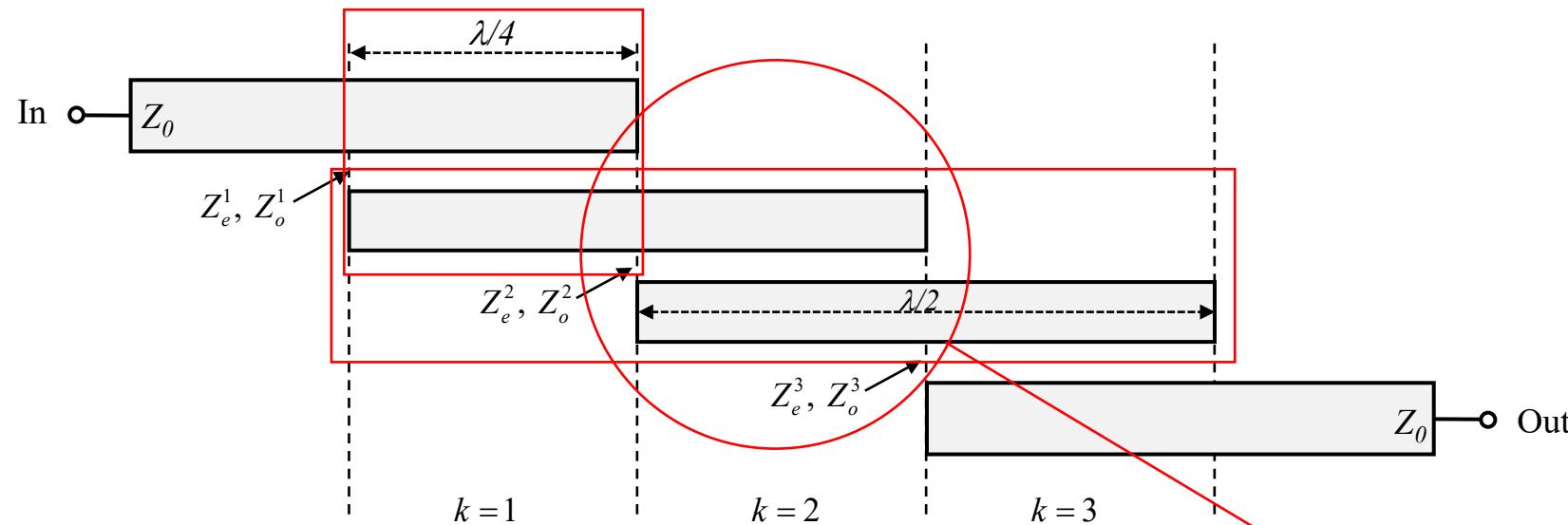


# Frequency response low-pass filter

w pass filter, Ideal circuit (red) versus Transmission line (blue)



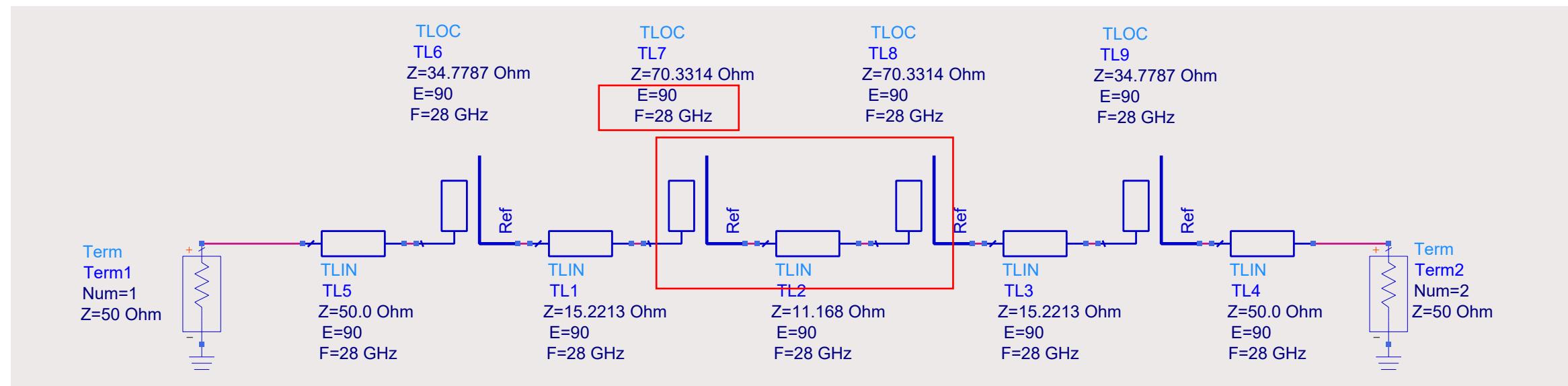
# Coupled-line filter



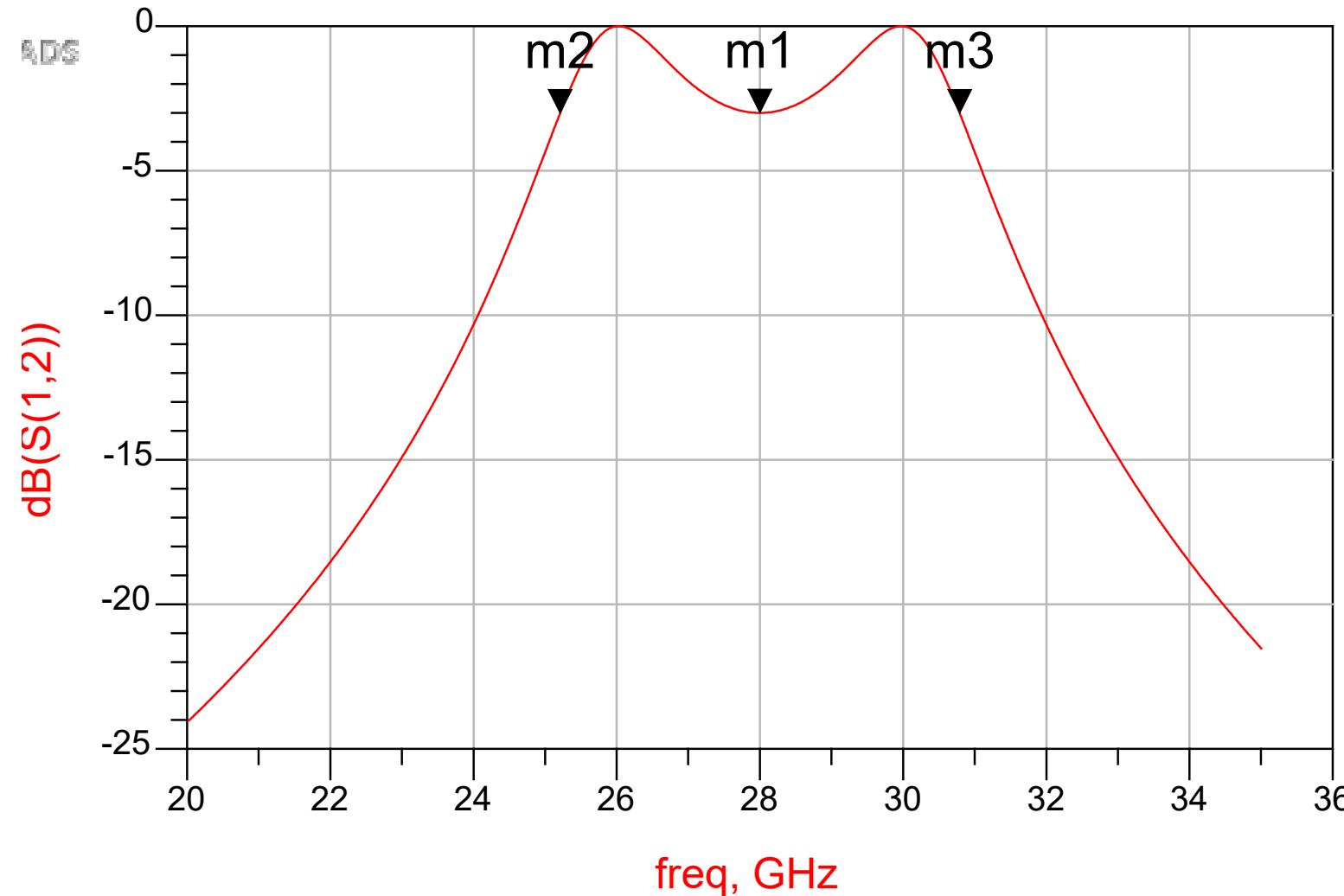
# Coupled-line filter, example

## Specifications

- Chebyshev equal ripple, 3dB in passband
- Two resonators,  $N=2$
- $f_0 = 28 \text{ GHz}$ , 20% bandwidth



# Coupled-line filter, example



# Summary

- Start with low-pass lumped element prototype
- Use Richard's transformation and Kuroda's identities.
- Discussed examples of low-pass and band-pass filter.
- Check the book for design equations

# Microwave Engineering and Antennas

## Introduction of Antennas

Bart Smolders, Professor

Department of Electrical Engineering

Center for Wireless Technology Eindhoven

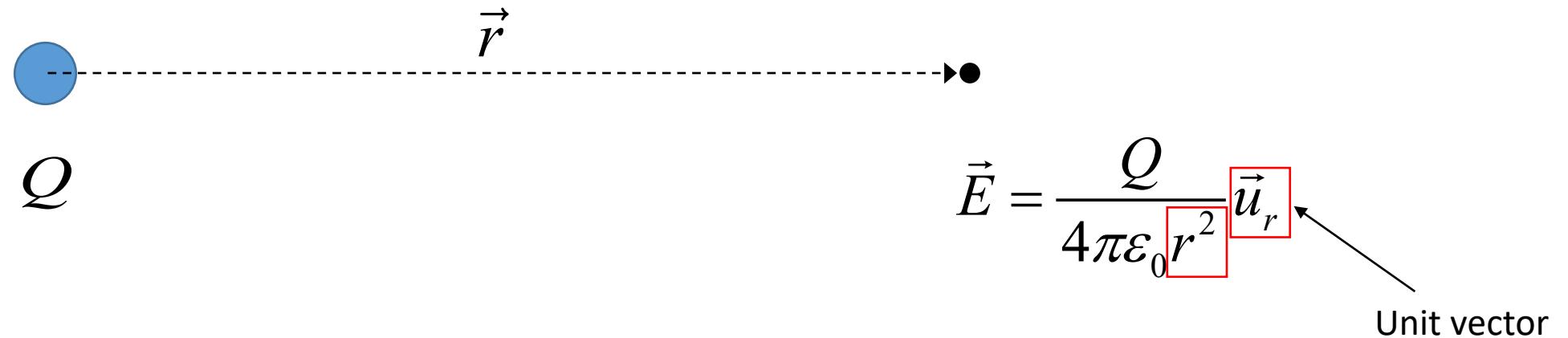
# Introduction of Antennas

## Topics of this lecture

- Electromagnetic radiation
- History of antennas
- Examples of antennas
- Coordinate system
- Field regions

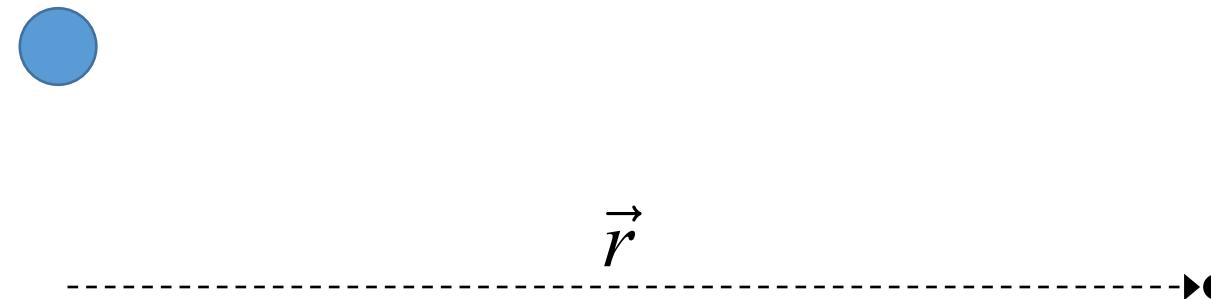
# Electromagnetic radiation

## Electric field due to a static charge



# Electromagnetic radiation

## Electric field due to an accelerating charge



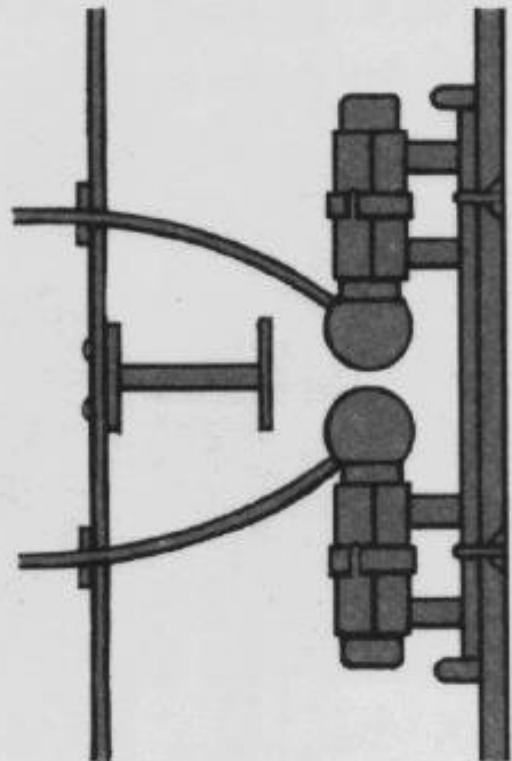
$$\vec{E} = \frac{\vec{E}_0}{4\pi\epsilon_0 r}$$

1/r dependence far  
away from the antenna

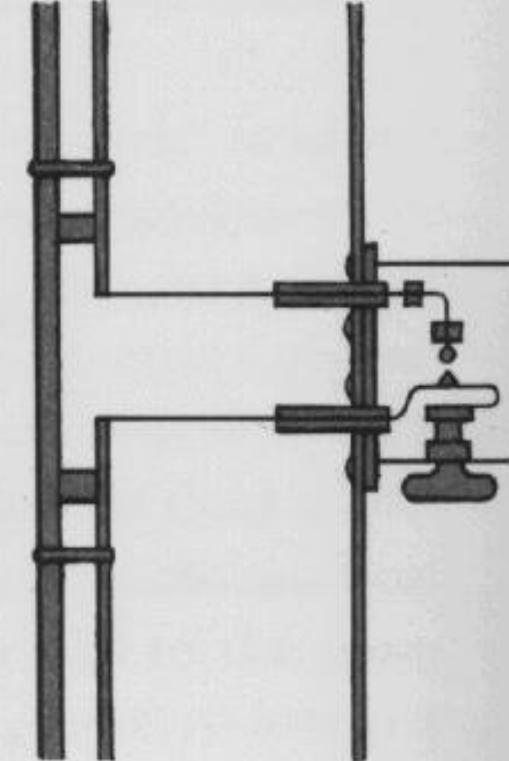
Hi  
Tin



sender



receiver



tlantic  
Marconi)

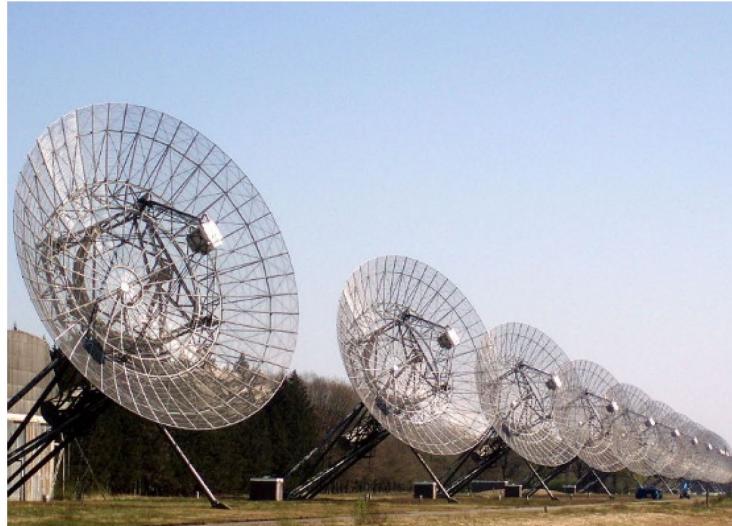
**Once it was shown that the quantity that oscillates in a light wave is the electric field or the magnetic field, Heinrich Hertz artificially produced waves of different wavelength from those of visible light. Above are his oscillator, or sender, and his resonator, or receiver.**

[1] Luigi Galvani, "Viribus electricitatis". Translation by M.G. Foley, Burndy Library 1953 Norwalk.

[2] J.H. Bryant, "The first century of microwaves – 1886 to 1986". IEEE Trans. On MTT, Vol. 36, May 1988, pp. 830-858.

# Examples of antennas

**Reflector antenna** (Westerbork Synthesis Radio Telescope)



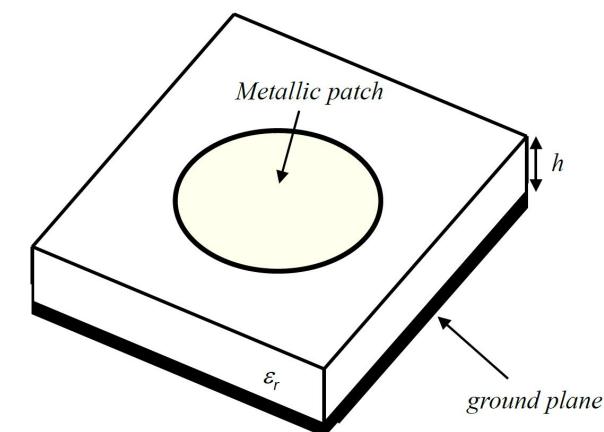
**Wire antenna**



**Horn antenna**

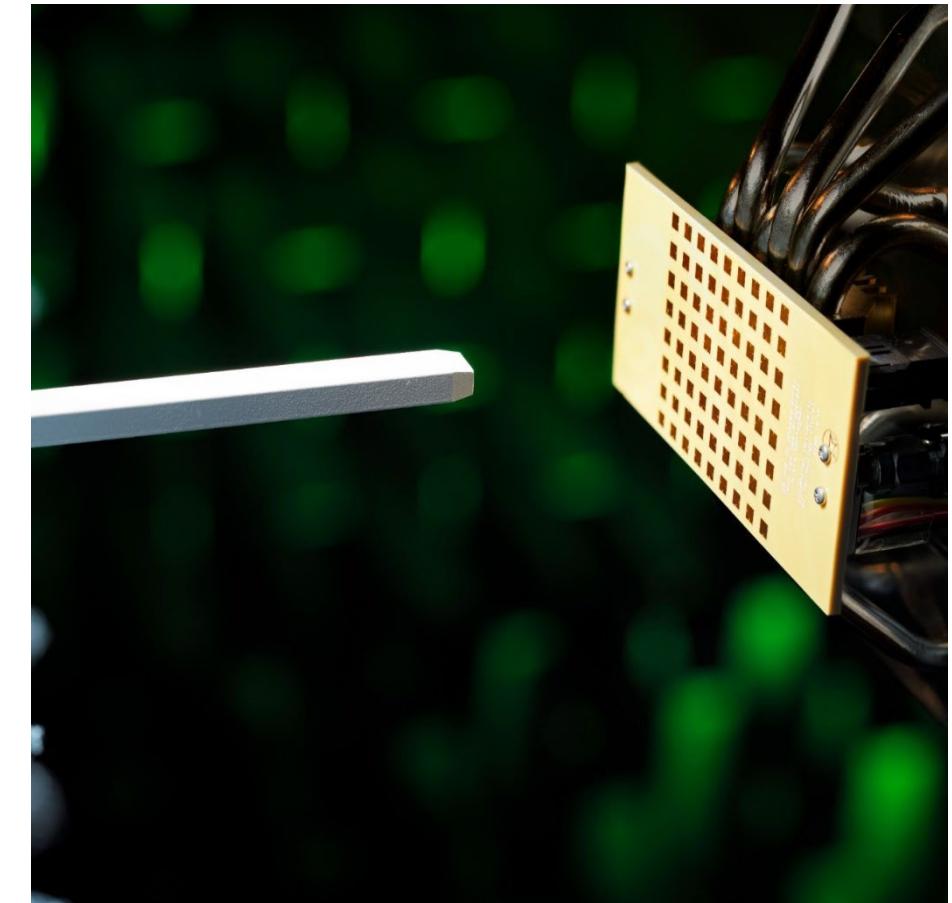
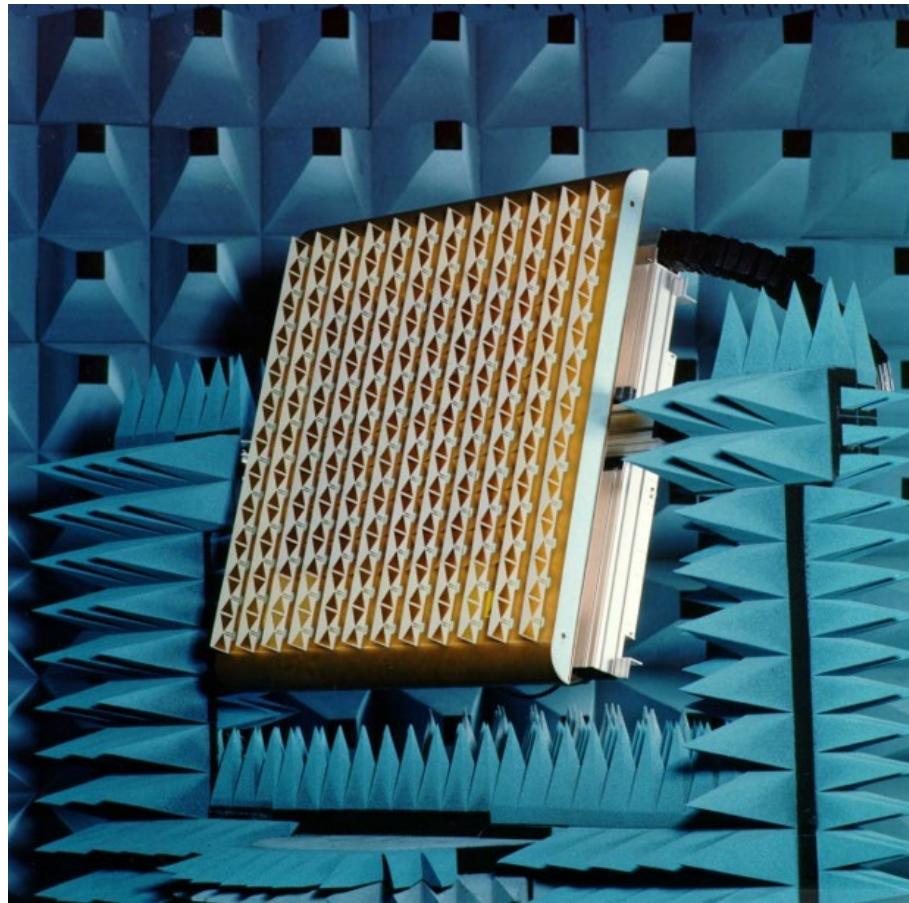


**Microstrip antenna**



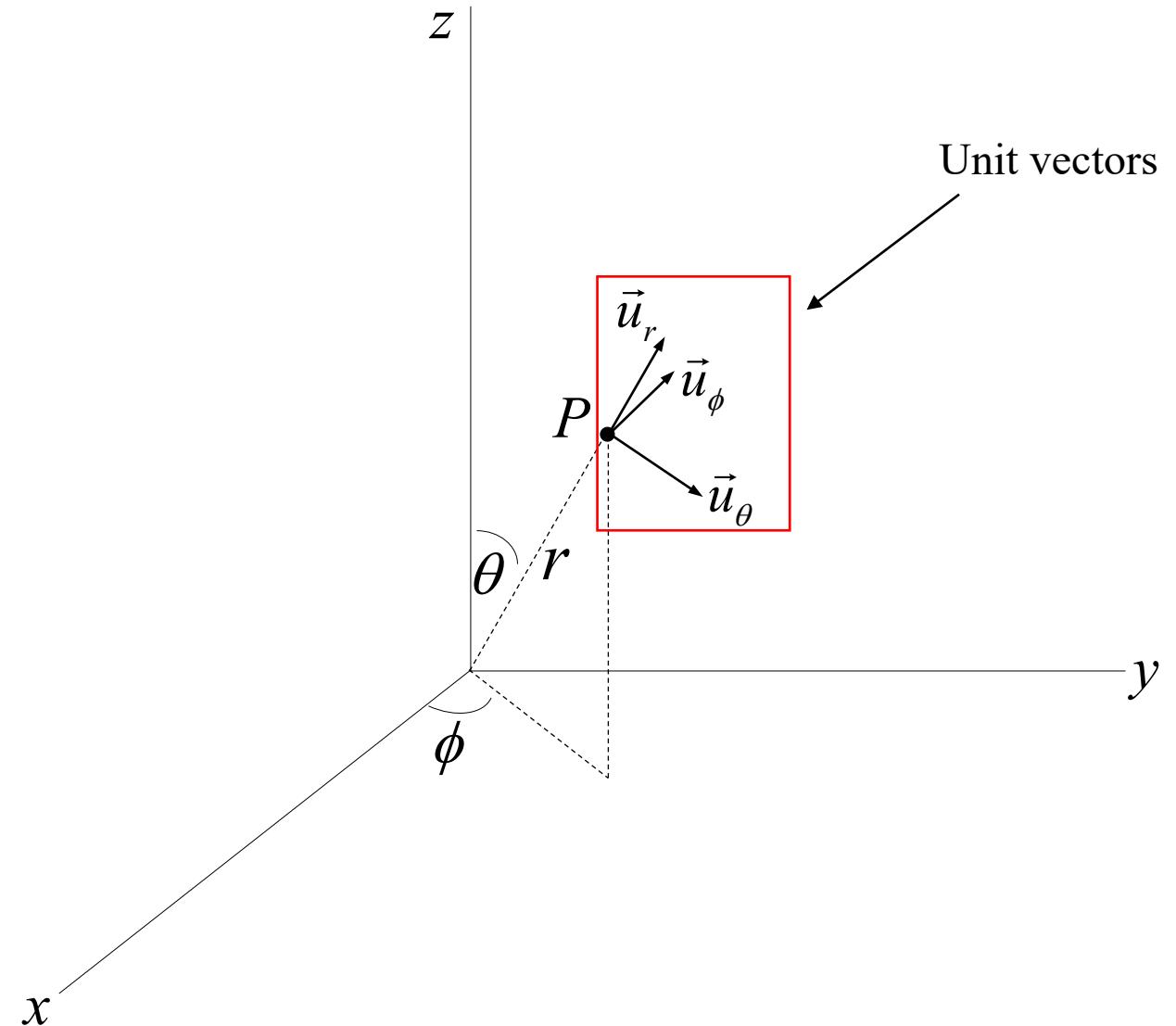
# Examples of antennas

## Phased-arrays



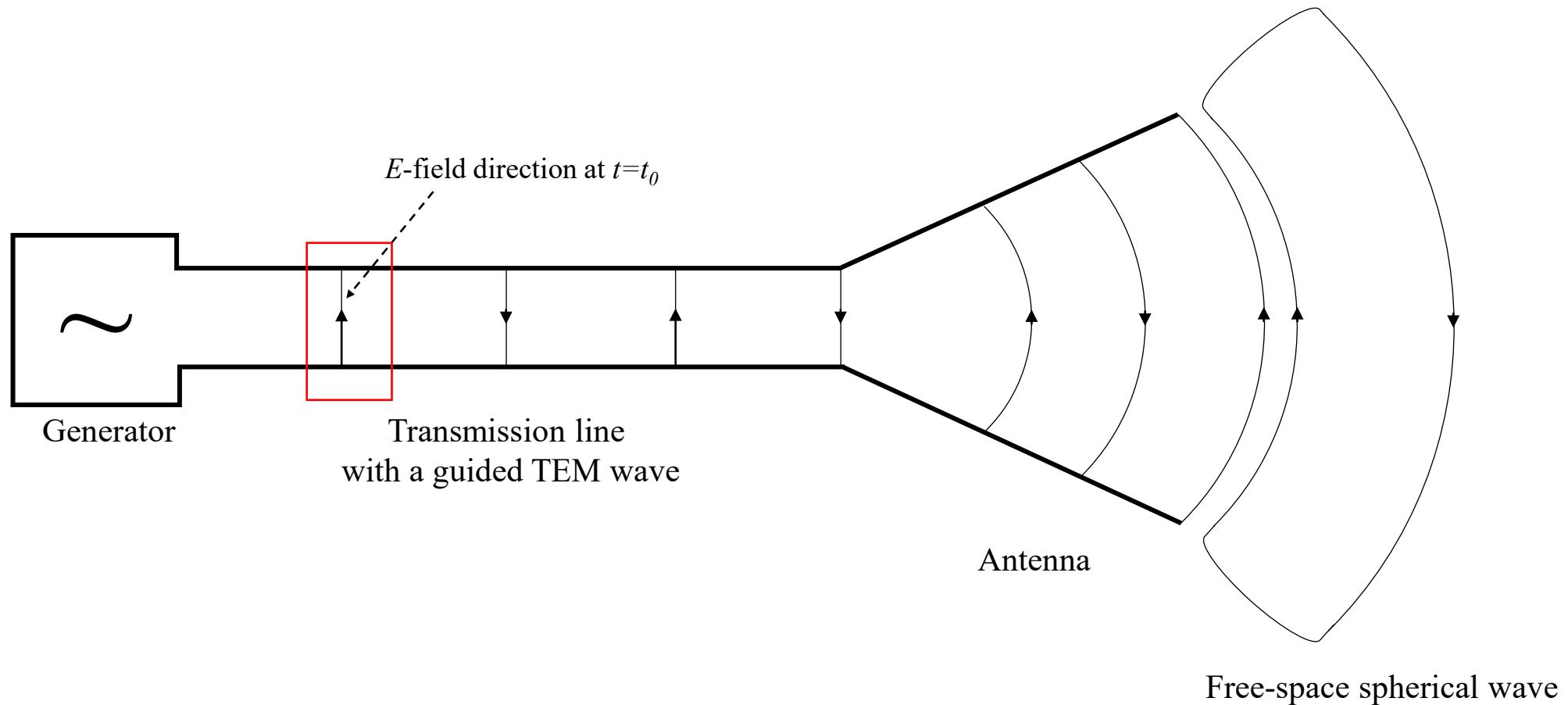
# Coordinate system

## Spherical coordinates

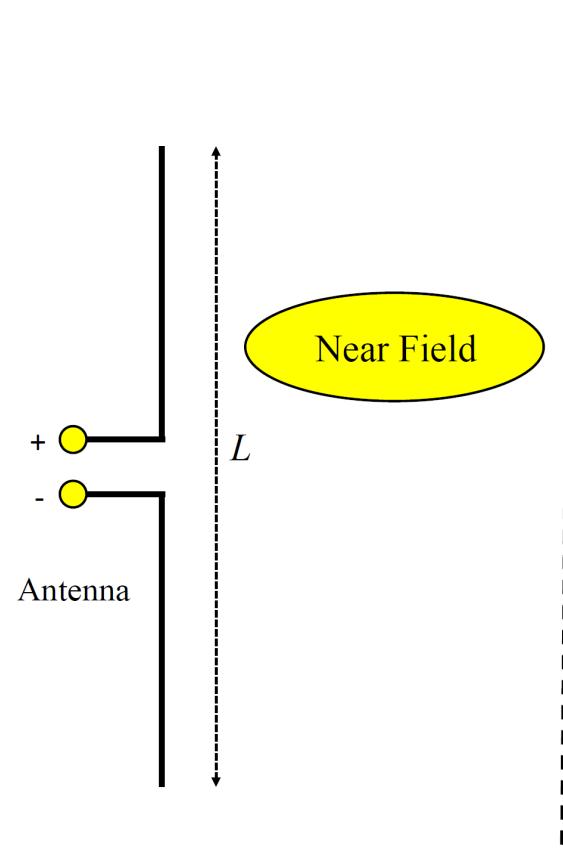


# Field regions

**Creation of waves, situation at  $t=t_0$**



# Field regions



# Summary

- Acceleration of charges is the source of electromagnetic radiation
- Several antenna types exist:
  - Wire antennas
  - Aperture antennas
  - Phased-arrays
- Field regions

# Microwave Engineering and Antennas

## Antenna Parameters

Bart Smolders, Professor

Department of Electrical Engineering

Center for Wireless Technology Eindhoven

# Antenna parameters

## Topics of this lecture

- Pointing vector in the far-field
- Radiation pattern
- Directivity and Antenna Gain
- Effective antenna aperture

# Far field properties antennas

**At large distance from antenna**

$$\vec{E} = E_\theta \vec{u}_\theta + E_\phi \vec{u}_\phi$$

$$E_\theta(\vec{r}) = E_\theta(\theta, \phi) \frac{e^{-jk_0 r}}{r}$$

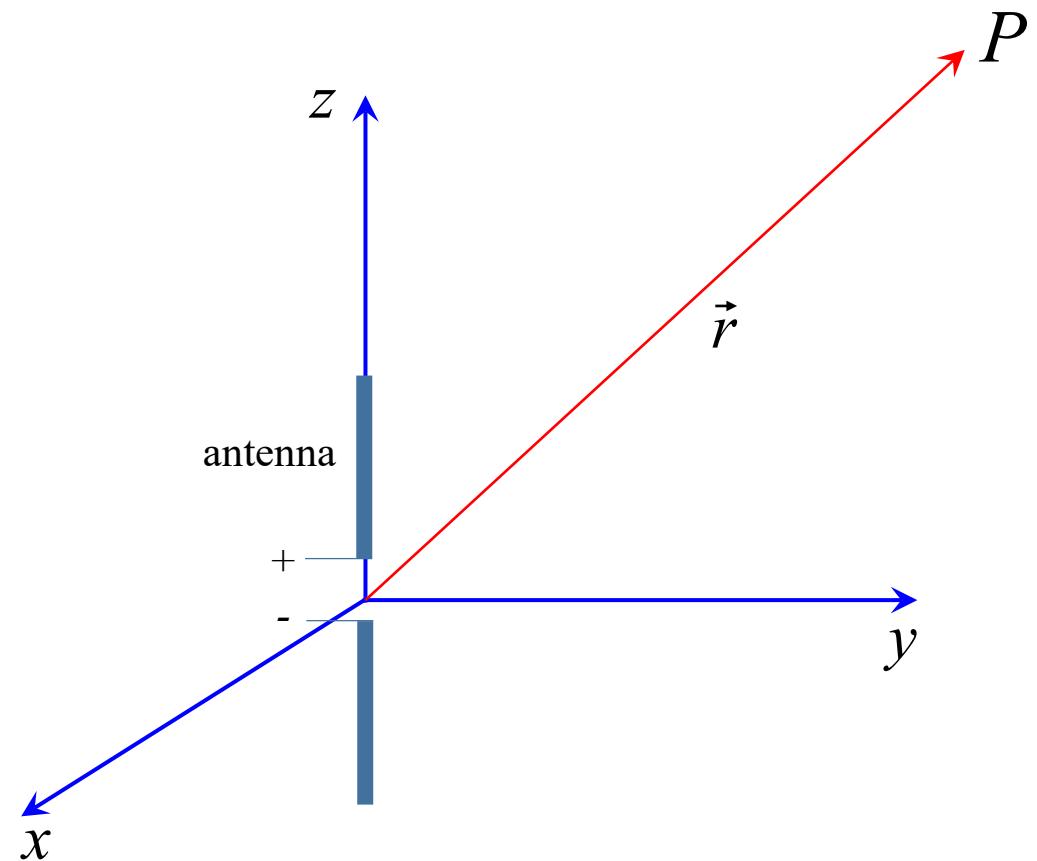
Phase term  $k_0 = \frac{2\pi}{\lambda_0}$

$$E_\phi(\vec{r}) = E_\phi(\theta, \phi) \frac{e^{-jk_0 r}}{r}$$

1/r dependence

$$\vec{H} = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

free-space impedance =  $377 \Omega$



# Far field properties antennas

## Time-average Poynting vector

$$\begin{aligned}
 \vec{S}_p &= \frac{1}{T} \int_0^T \vec{S}_p(\vec{r}, t) dt = \frac{1}{T} \int_0^T \vec{E}(\vec{r}, t) \times \vec{H}(\vec{r}, t) dt \\
 &= \frac{1}{2} \operatorname{Re} \left[ \vec{E}(\vec{r}) \times \vec{H}^*(\vec{r}) \right]
 \end{aligned}$$

Frequency-domain Electric field vector  
 Time-domain Electric field vector

**Substituting**

$$\vec{H} = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

$\vec{S}_p = \frac{1}{2Z_0} |\vec{E}(\vec{r})|^2 |\vec{u}_r| \quad [\text{W/m}^2]$   
 Power flow in the  $r$ -direction

# Radiation pattern

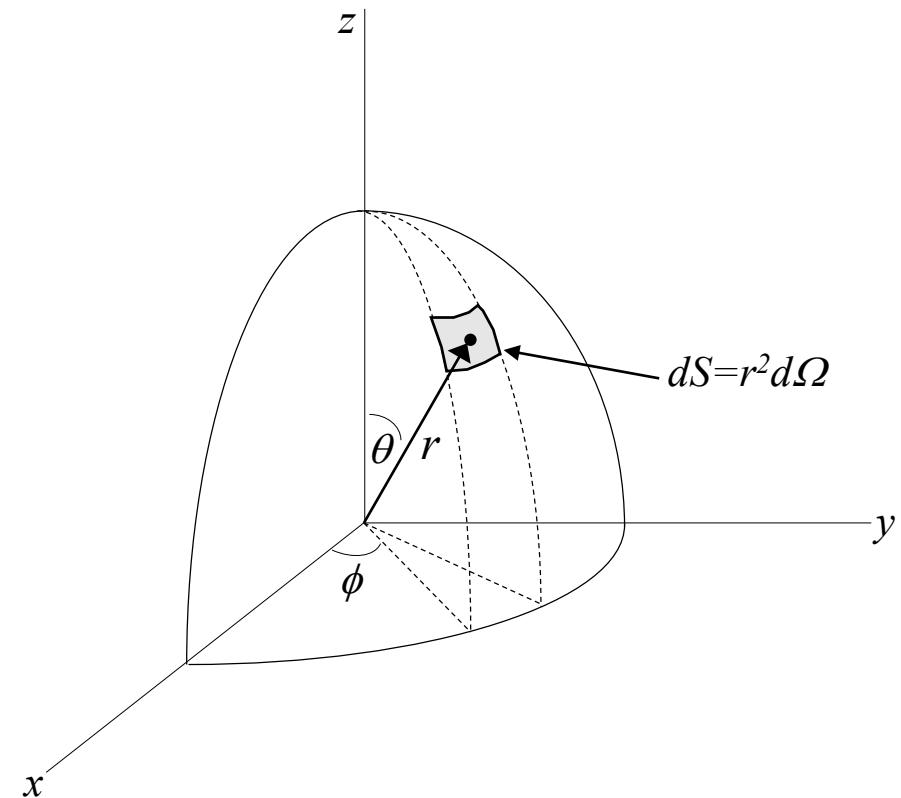
**Radiated power per element of solid angle:**

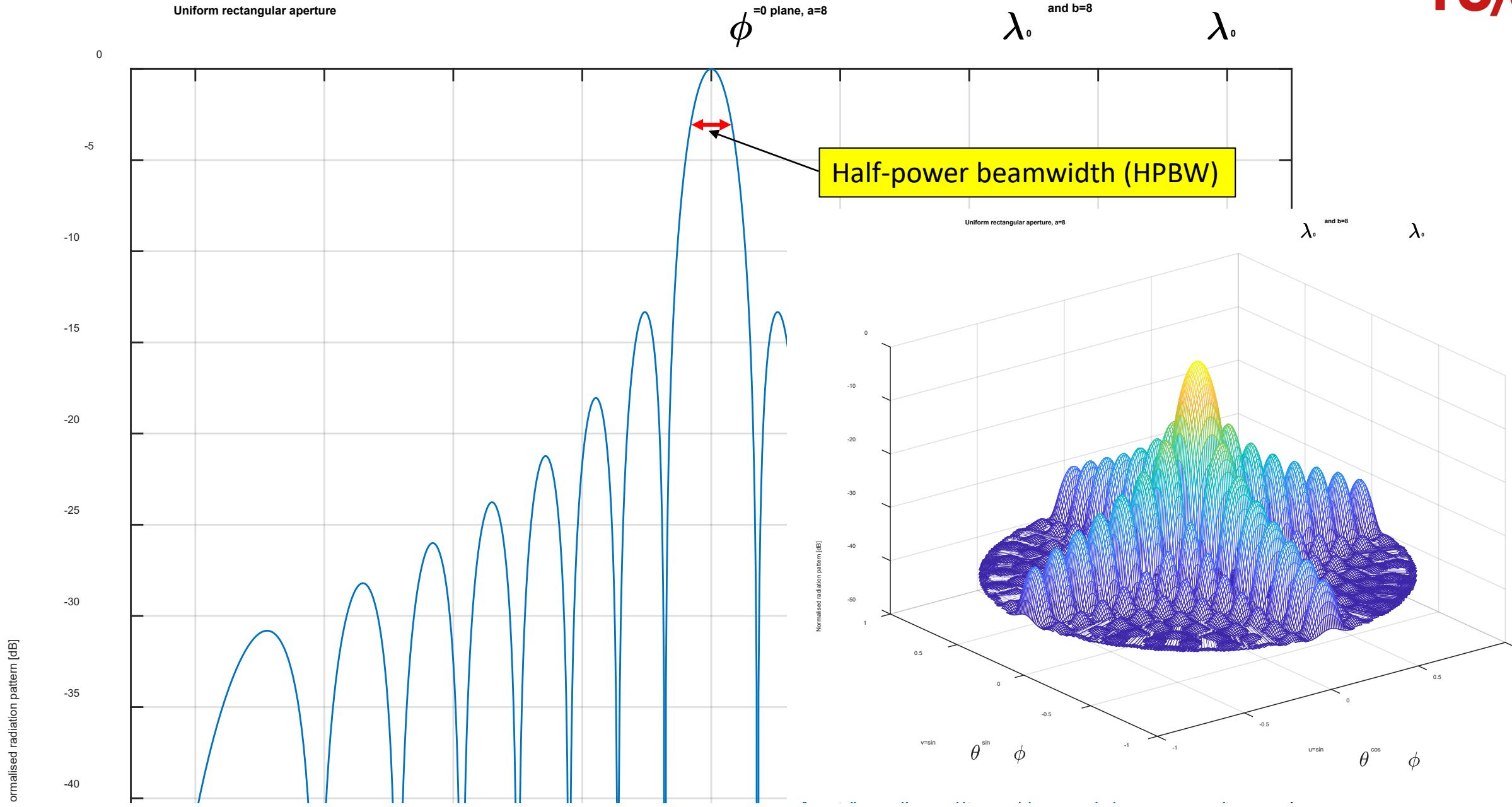
$$P(\theta, \phi) = \left| r^2 \vec{S}_p(\vec{r}) \right|$$

**Normalized radiation pattern**

$$F(\theta, \phi) = \frac{P(\theta, \phi)}{P_{\max}}$$

Maximum value of  $P(\theta, \phi)$





# Directivity and Antenna Gain

## Directivity function

$$D(\theta, \phi) = \frac{P(\theta, \phi)}{P_t / 4\pi}$$

**Directivity**

Total radiated power density over an ideal isotropic radiator

$$D = \max [D(\theta, \phi)]$$

**Antenna Gain**

Expressed in dBi

$$G = \eta D \text{ with } \eta = \frac{P_t}{P_{in}} \text{ the radiation efficiency}$$

# Effective antenna aperture

**Assuming receiving antenna is matched to the receiver electronics:**

$$A_e = G \frac{\lambda_0^2}{4\pi}$$

Free-space wavelength  $\lambda_0=c/f$ , with  $c$  speed of light

# Summary

- Introduction of Poynting vector
- Graphical representation of radiated power using radiation pattern
- Directivity and Antenna Gain [dBi]
- Effective antenna aperture

# Microwave Engineering and Antennas

## Link budget: Radio and Radar equation

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

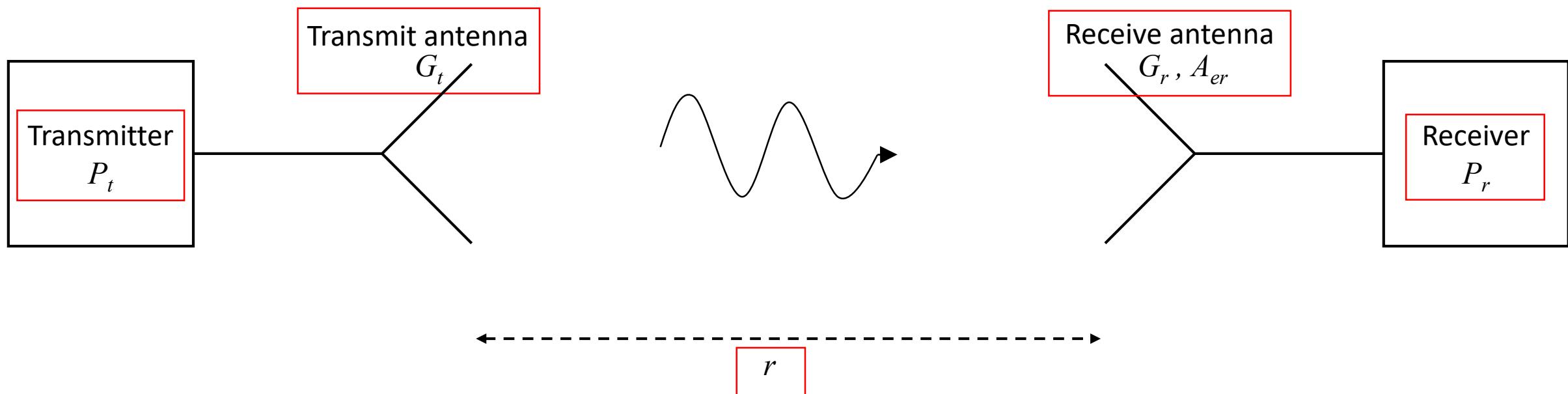
# Link budget

## **Objective of this lecture**

- Introduce the Radio equation
- Show an example, Bluetooth
- Derive the Radar equation

# Radio equation

## Wireless communication system



# Radio equation

## Power density at RX

$$S_r = \frac{P_t G_t}{4\pi r^2} \left[ \frac{W}{m^2} \right]$$

## Received power

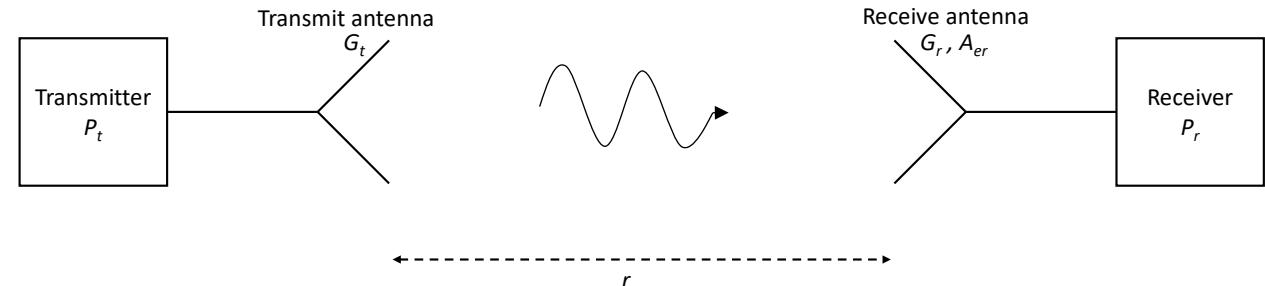
$$P_r = \frac{P_t G_t}{4\pi r^2} A_{er} = \frac{P_t G_t G_r \lambda_0^2}{(4\pi)^2 r^2}$$

Free-space path loss

## Maximum range

$$r_{\max} = \sqrt{\frac{P_t G_t G_r \lambda_0^2}{(4\pi)^2 P_{r,\min}}}$$

Receiver sensitivity



Due to spherical expansion of the transmitted wave

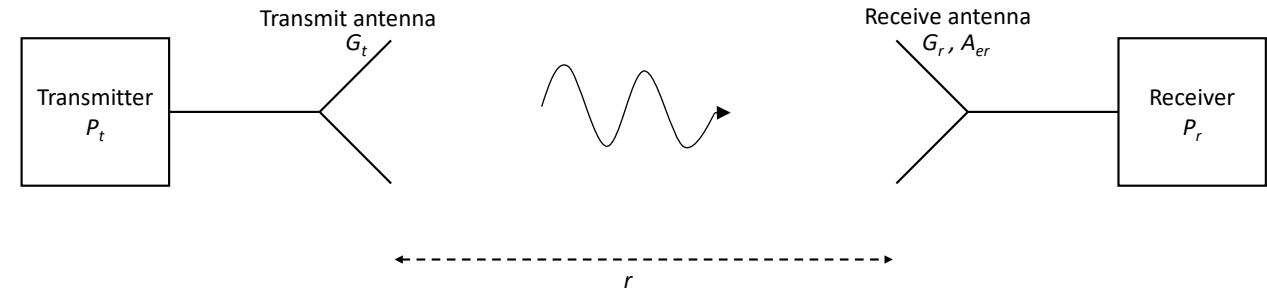
Free-space path loss

Receiver sensitivity

# Example Bluetooth

## Specifications

- Frequency 2.4-2.48 GHz
- Omni directional antennas  $G_t = G_r = 1$
- Output power  $P_t = 1 \text{ mW (0 dBm)}$
- Receiver sensitivity  $P_{r,\min} = 10^{-7} \text{ mW (-70 dBm)}$



## Maximum range

$$r_{\max} = \sqrt{\frac{P_t G_t G_r \lambda_0^2}{(4\pi)^2 P_{r,\min}}} \approx 30 \text{ m}$$

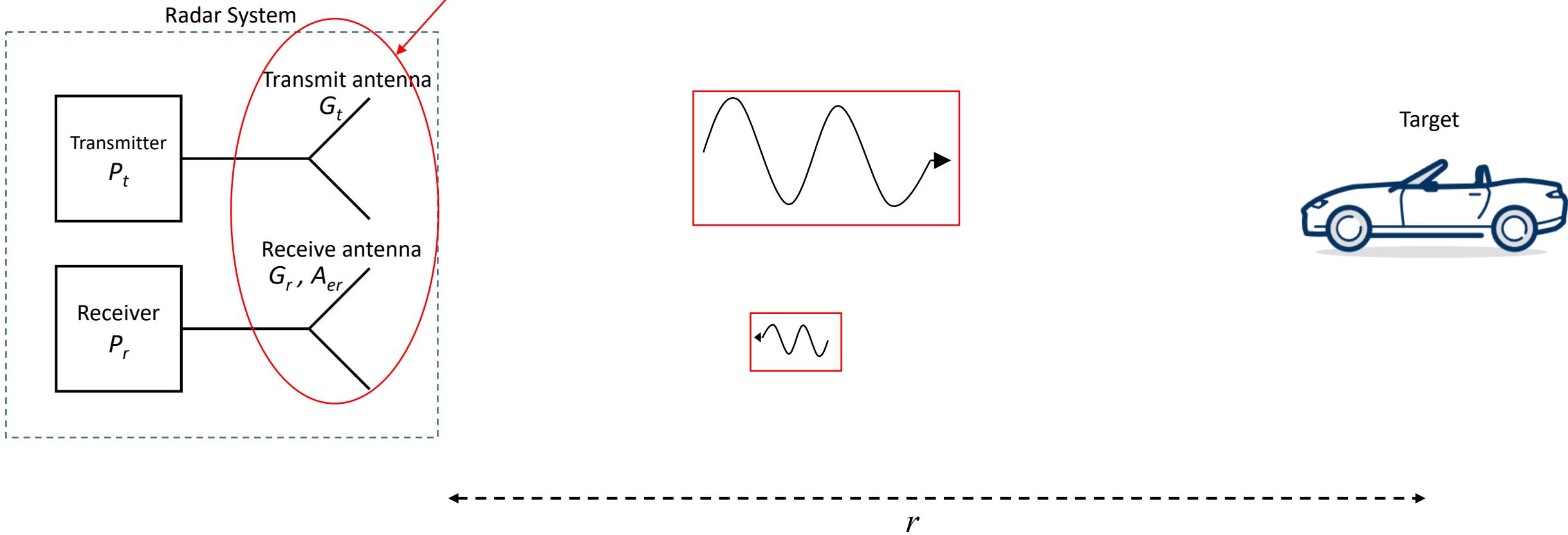
$$\lambda_0 = \frac{c}{f_0} = \frac{3 \cdot 10^8}{2.45 \cdot 10^9} = 12.3 \text{ cm}$$

Corrected

# Radar equation

## Radar system

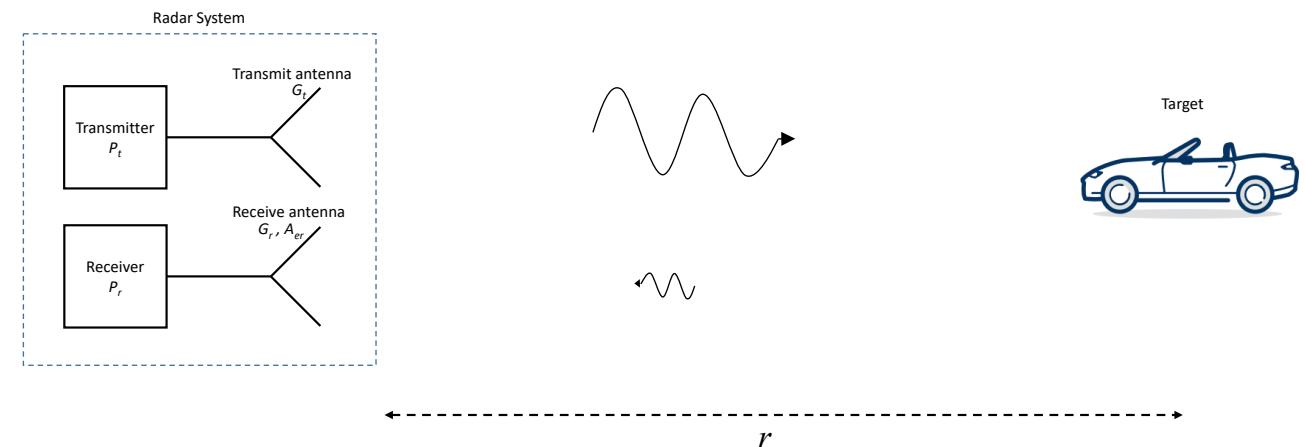
Often the Transmit and Receive antennas are combined into single antenna ( $G_t=G_r=G$ )



# Radar equation

**Power density at RX**

$$S_r = \frac{P_t G_t}{4\pi r^2} \left[ \frac{\text{W}}{\text{m}^2} \right]$$



**Radar cross section target**

$$\sigma \quad [\text{m}^2]$$

**Received power**

$$P_r = S_r \frac{\sigma}{4\pi r^2} A_{er} = \frac{P_t G_t G_r \sigma \lambda_0^2}{(4\pi)^3 r^4}$$



$$r_{\max} = \left( \frac{P_t G_t G_r \sigma \lambda_0^2}{(4\pi)^3 P_{r,\min}} \right)^{\frac{1}{4}}$$

Receiver sensitivity

# Summary

- Introduction of the radio and radar equations
- Determine the maximum range of a system under ideal conditions
- A more detailed model should include the propagation channel as well

# Microwave Engineering and Antennas

## Antenna impedance: Circuit representation of antennas

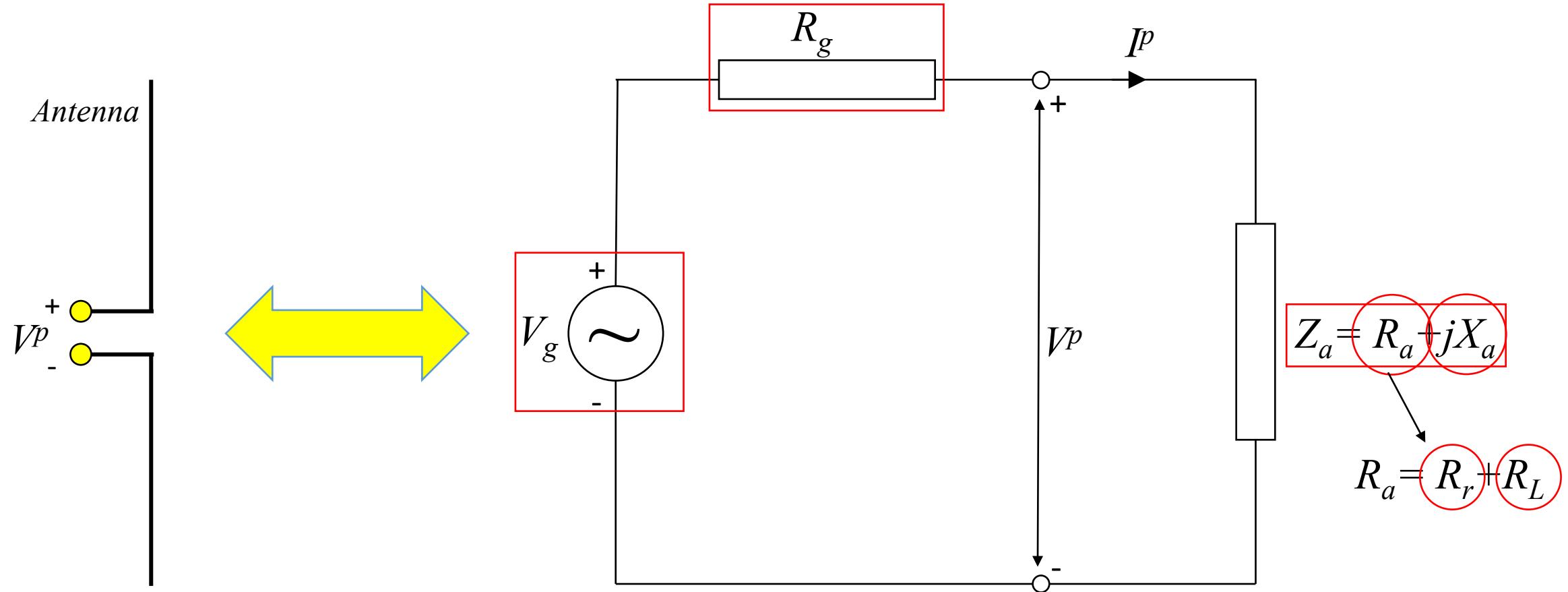
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Antenna impedance

## Objective of this lecture

- Introduce equivalent circuit of antenna
- Derive expression for total radiated power
- Determine input reflection coefficient

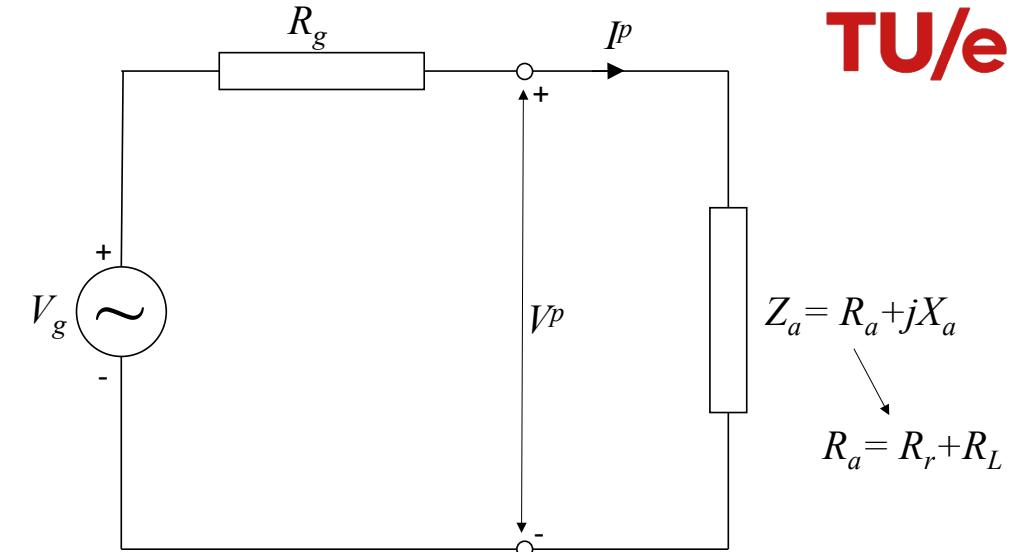
# Equivalent circuit



# Equivalent circuit

## Radiation resistance

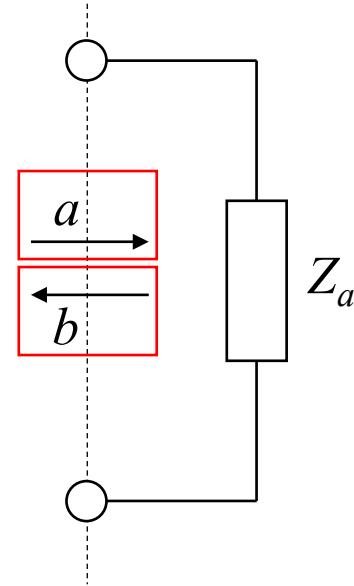
$$R_r = \frac{P_t}{\frac{1}{2} |I^p|^2}$$



## Total radiated power

$$\begin{aligned} P_t &= \iint \vec{S}_p(\vec{r}) \cdot \vec{u}_r r^2 d\Omega \quad \text{Time-average Pointing vector} \\ &= \frac{1}{2} Z_0^{-1} \int_0^{2\pi} \int_0^\pi \left[ |E_\theta(\theta, \phi)|^2 + |E_\phi(\theta, \phi)|^2 \right] \sin \theta d\theta d\phi \quad \text{Far-field components} \\ &\quad \text{free-space impedance } (=377 \Omega) \end{aligned}$$

# Input reflection coefficient



$$\Gamma = \frac{b}{a}$$
$$= \frac{Z_a - Z_0^t}{Z_a + Z_0^t}$$

Characteristic impedance of connected transmission line

# Summary

- Introduction of a circuit model
- Related radiation resistance to the radiated electromagnetic waves
- Determined the input reflection coefficient when the antenna is connected to a transmission line.

# Microwave Engineering and Antennas

## Phased Arrays: Introduction of Linear Arrays

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Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

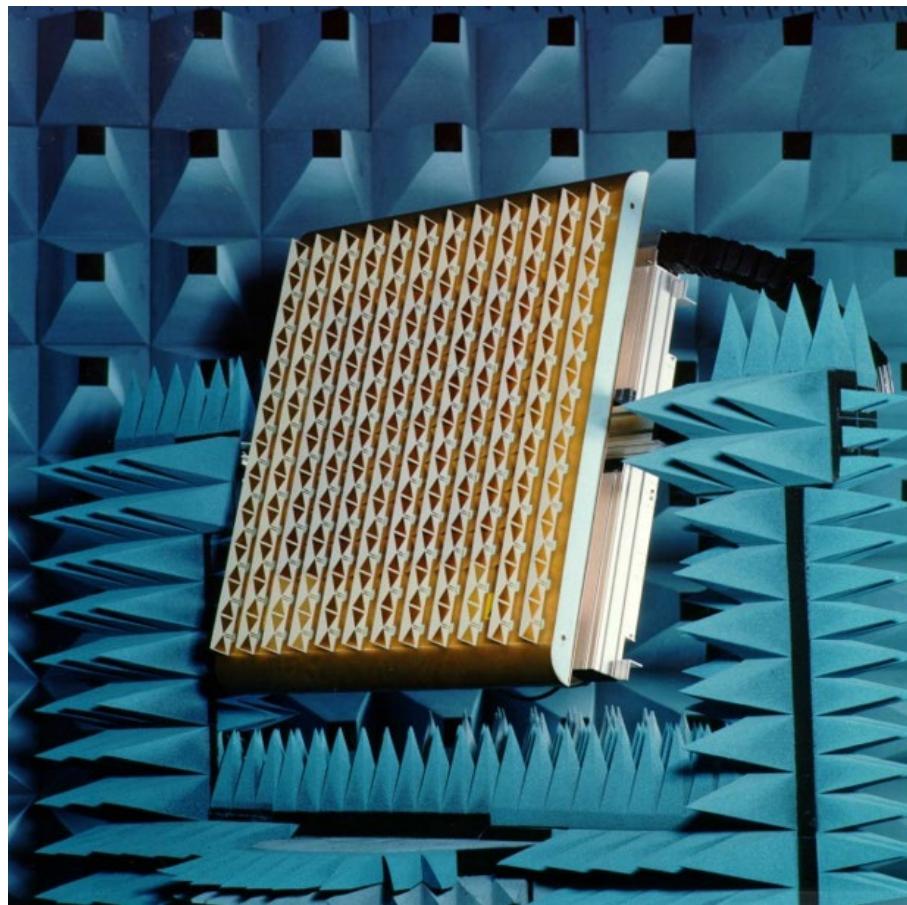
# Phased Arrays

## **Objective of this lecture**

- Introduce the concept of phased arrays.
- Derive expression for the radiation pattern of linear arrays.
- Introduction of the array factor.

# Applications of phased arrays

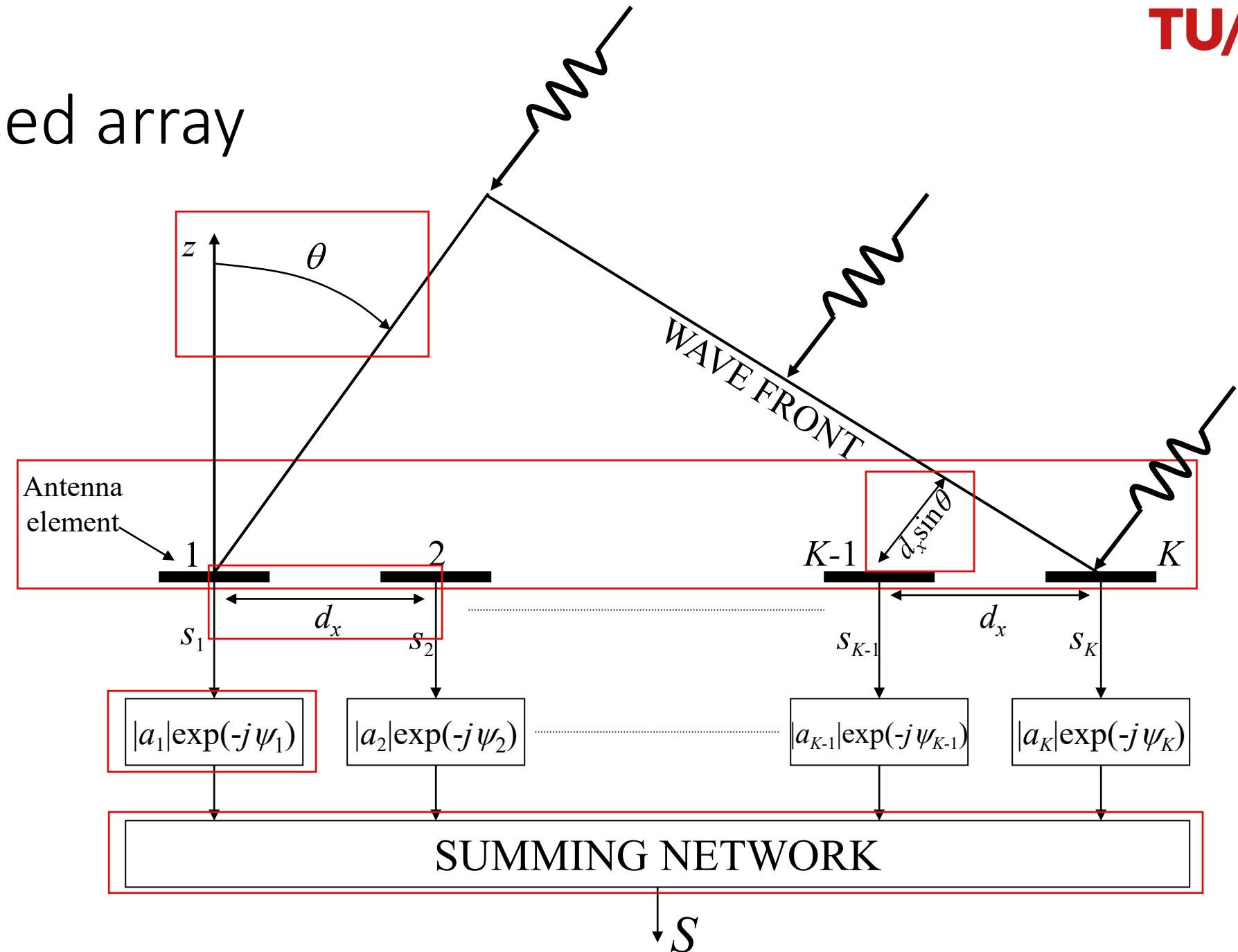
**Radio astronomy**



**Mm-wave 5G basestations**



# Linear phased array



# Linear array of isotropic antennas

**Received signal  $s_k$**

$$s_k = e^{jk_0(k-K)d_x \sin \theta}$$

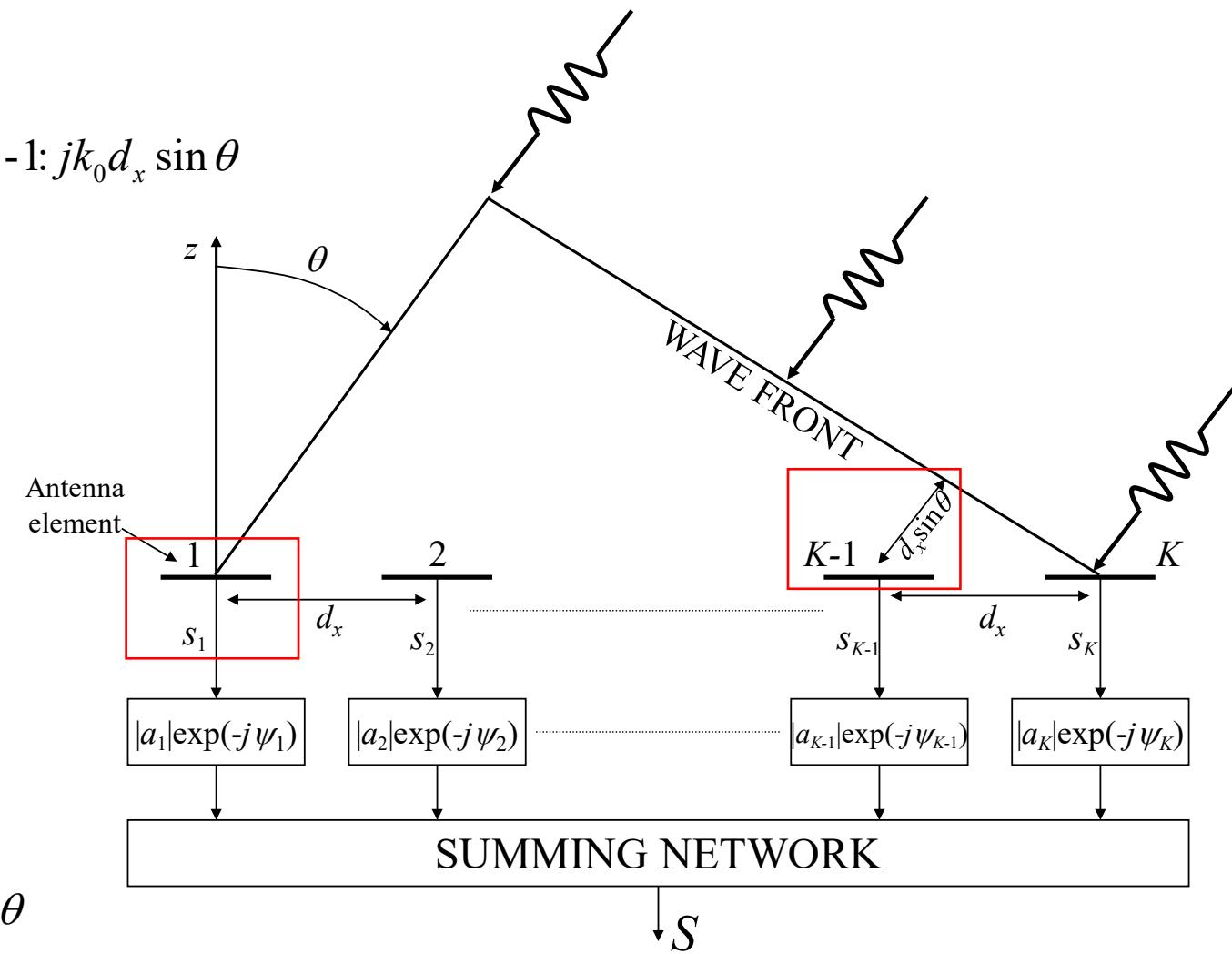
$k_0 = \frac{2\pi}{\lambda_0}$

check  $k = K - 1: jk_0 d_x \sin \theta$

**Transfer phase reference to element  $k=1$**

$$s'_k = e^{jk_0(k-1)d_x \sin \theta}$$

substracted phase  $jk_0(1-K)d_x \sin \theta$



# Linear array of isotropic antennas

**Apply amplitude weighting and phase shifting and combine all signals:**

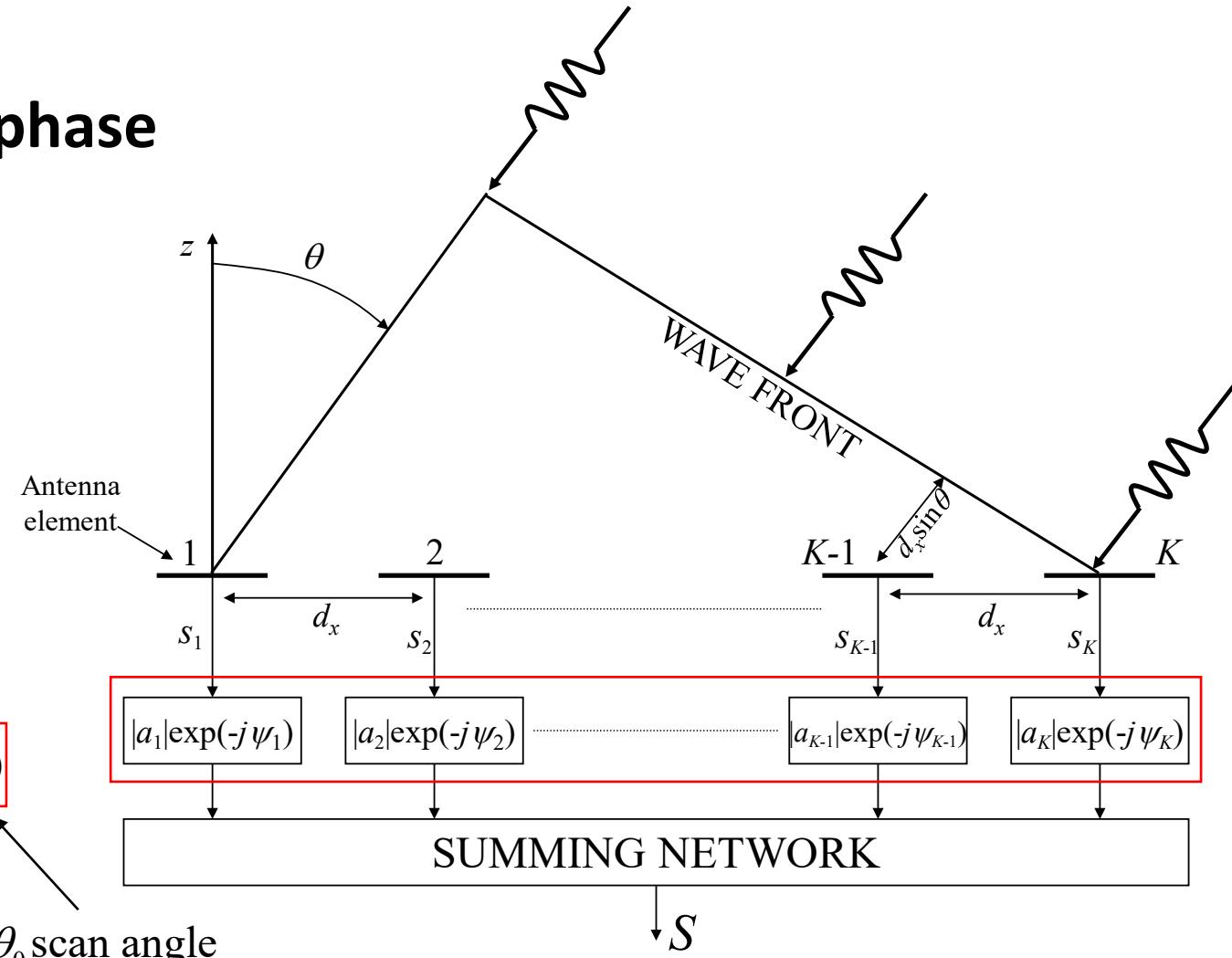
$$S(\theta) = \sum_{k=1}^K |a_k| e^{-j\psi_k} s_k$$

Complex multiplication by electronics

$$= \sum_{k=1}^K |a_k| e^{jk_0(k-1)d_x \sin \theta}$$

$$= \sum_{k=1}^K |a_k| e^{jk_0(k-1)d_x (\sin \theta - \sin \theta_0)}$$

with  $\psi_k = k_0(k-1)d_x \sin \theta_0$  scan angle



# Example radiation pattern linear array

**Radiation pattern is obtained from**

$$F(\theta) = \frac{|S(\theta)|^2}{|S(\theta_0)|^2} \longrightarrow \text{Use dB scale: } 10 \log_{10} [F(\theta)]$$

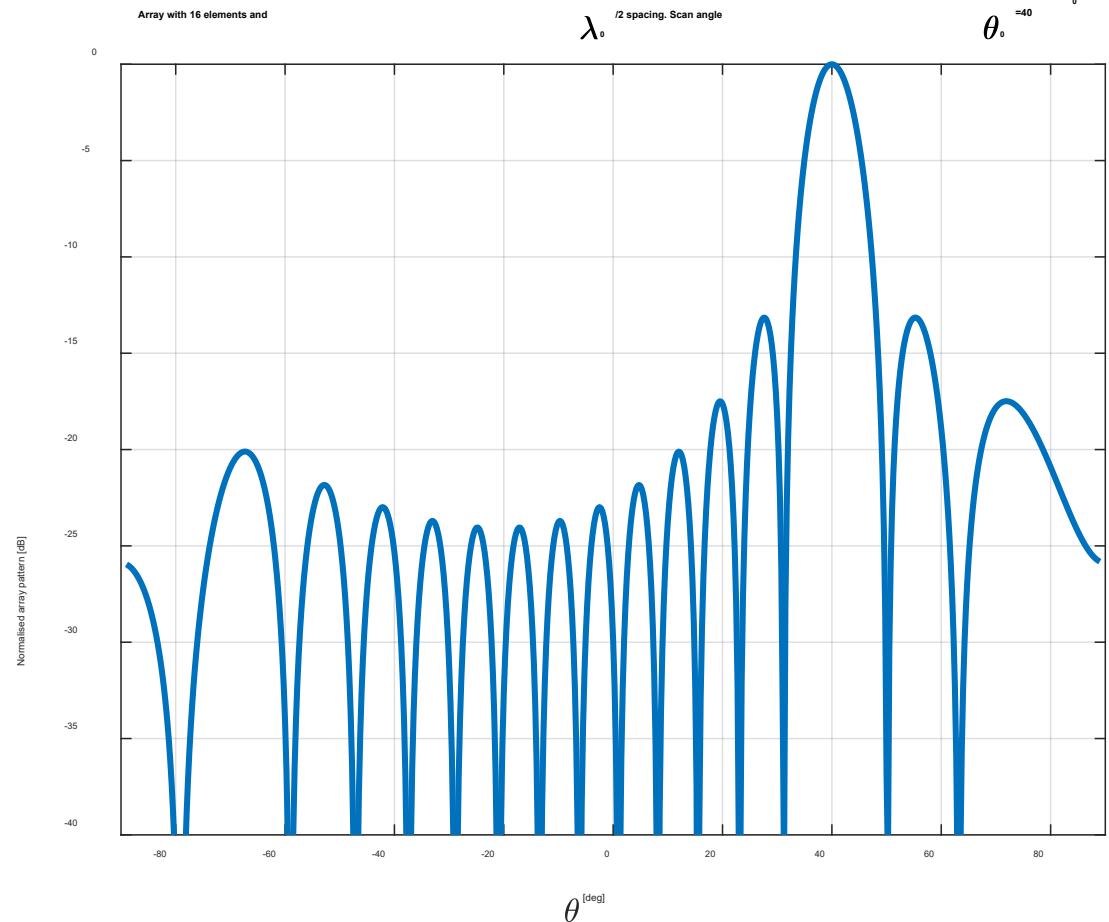
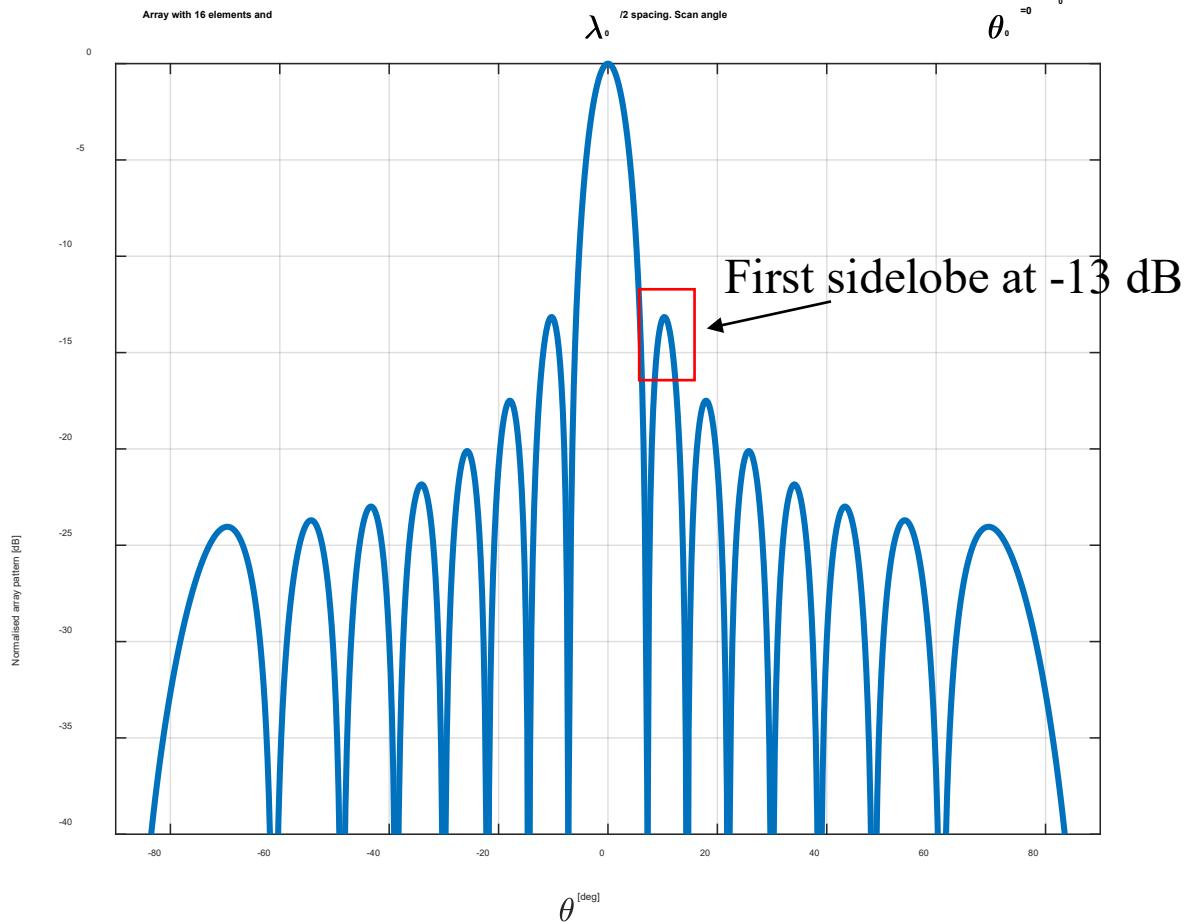
**Example**

$$K = 16 \text{ with spacing } d_x = \frac{\lambda_0}{2},$$

Uniform amplitude weighting:  $|a_k| = 1,$

$\theta_0 = 0^\circ$  and  $\theta_0 = 40^\circ.$

# Example radiation pattern linear array



# Relation to DFT/FFT

## Array factor

$$S(u) = \sum_{k=1}^K |a_k| e^{jk_0(k-1)d_x(u-u_0)} \quad \text{with } u = \sin \theta \text{ and } u_0 = \sin \theta_0$$

## Discrete Fourier Transformation (DFT)

$$F(n) = \sum_{k=1}^K f(k) e^{j \frac{2\pi(k-1)(n-1)}{K}} \quad \text{for } 1 \leq n \leq K$$

$$u - u_0 = \frac{\lambda_0(n-1)}{Kd_x} \quad \longrightarrow \quad \text{FFT if } K \text{ is power of 2}$$

# Summary

- Array factor of a linear array was derived.
- Relation with DFT/FFT was shown.
- We only considered isotropic radiators.
- To extend to real antennas we need to introduce **vector-based antenna theory**.
- This is the topic of the next lectures.
- More details on arrays can be found in another course at our University.

# Microwave Engineering and Antennas

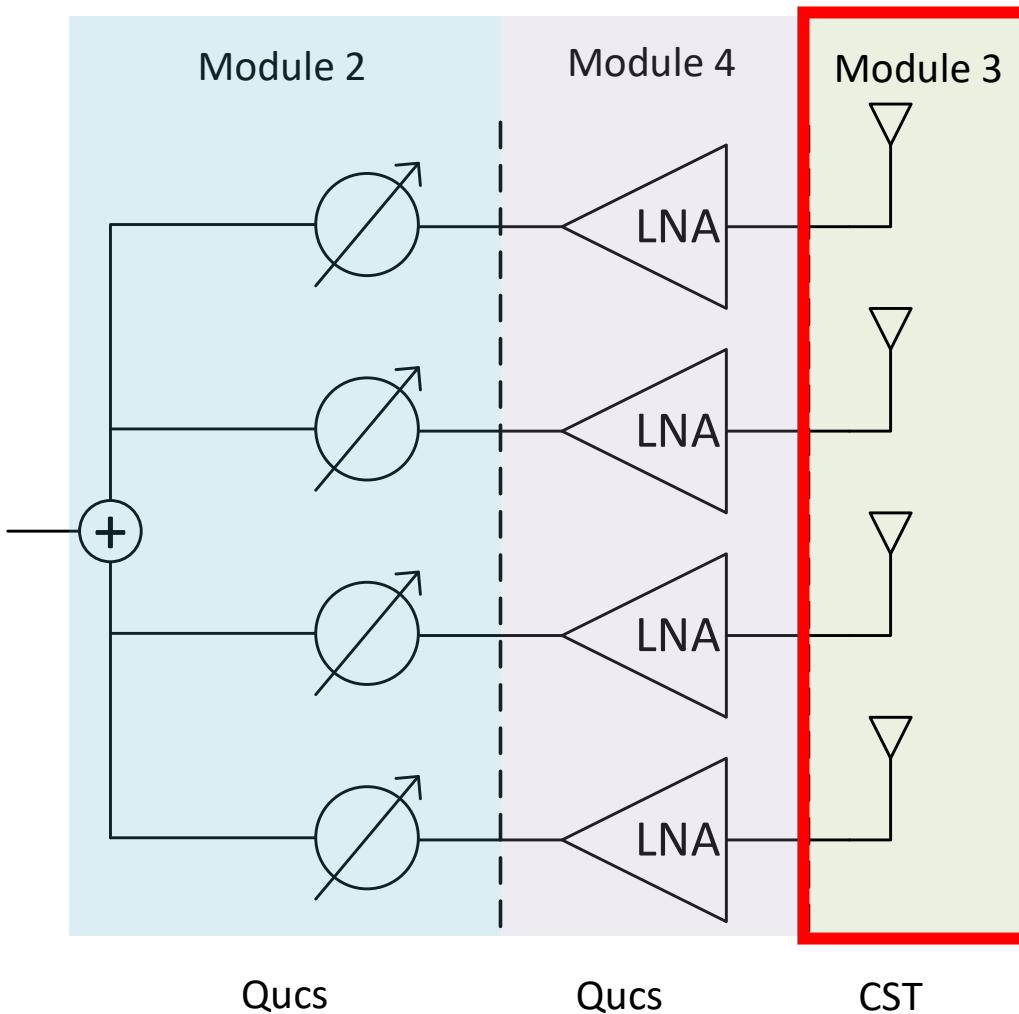
## Hands-On Design: Antenna

Ulf Johannsen, Assistant Professor

Department of Electrical Engineering

Center for Wireless Technology Eindhoven

# Hands-On Design Assignment



## 4-Element Analogue Beamforming Receiver-Array

- 5.8 GHz ISM band
- $\pm 45^\circ$  beamsteering range
- Main-lobe antenna gain of 9dBi for entire beamsteering range
- System noise figure < 2.7dB

# CST Microwave Studio (student version)

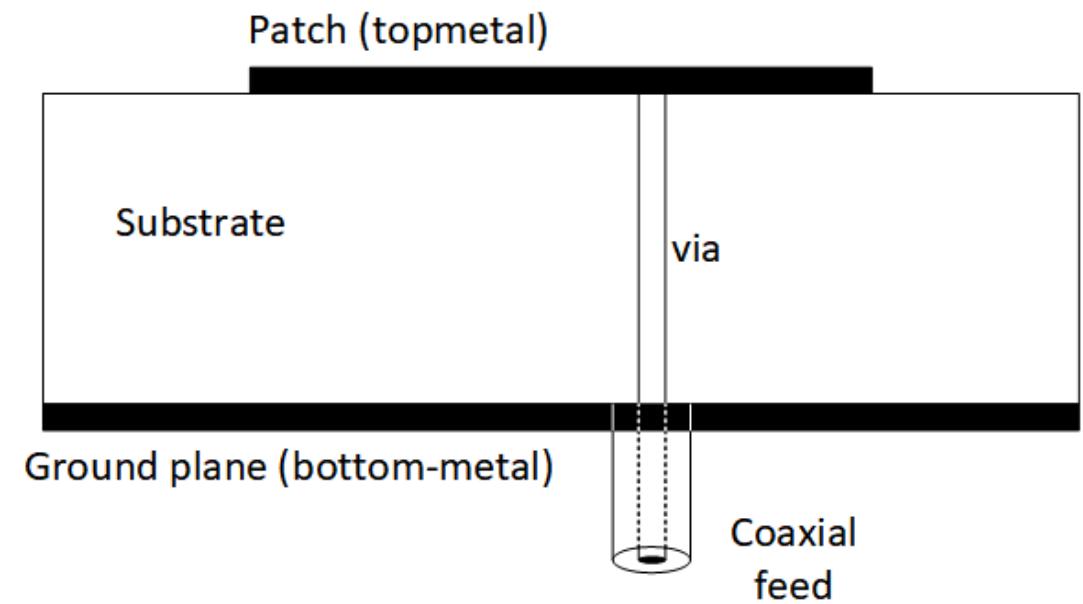
- CST Studio Suite® is a high-performance **3D EM analysis software** package for designing, analyzing and optimizing electromagnetic components and systems.
- You can download the **student edition** of **CST Studio Suite** from  
<https://www.3ds.com/products-services/simulia/products/cst-studio-suite/student-edition/>



# Task and Design Requirements

- Design a via-fed patch antenna that can be used in a 4-element array
- The overall design, shown on the bottom right, must fulfil the following requirements:

ID	Parameter	Requirement
1	Operating bandwidth	5.725 – 5.875 GHz
2	Port match	$S_{11} < -10\text{dB}$ @ $Z_0 = 50\Omega$
3	Radiation efficiency	$\eta_{rad} > 80\%$
4	Antenna gain	6dBi
5	Simulation setup	Make use of a symmetry plane in CST



# Rules and Tips

- You might want to study some **literature on patch antennas**.
- **Vary some antenna dimensions** (including substrate thickness) to find out how your design changes.
- Show your **genuine attempt** to design what was asked of you. For a genuine attempt you
  - have **all design choices** as long as you design the components yourself in CST (student version).
  - must upload **all necessary design files** and a **design report** that describes and explains the design.
  - **must use RO4350B as substrate** from Rogers Corporation as PCB for your design:  
<https://www.rogerscorp.com/Advanced%20Connectivity%20Solutions/RO400%20Series%20Laminates/RO4350B%20Laminates>

# Rubric for peer review

Excellent (6 pts)	Very good (5 pts)	Good (4 pts)	Fair (3 pts)	Sufficient (2)	Insufficient (0 pts)
<ul style="list-style-type: none"> <li>The report describes <b><u>all</u></b> design aspects in detail, such that the reader/reviewer can implement the design in CST her-/himself.</li> <li><b>All</b> design aspects are explained clearly and correctly.</li> <li>The design achieves the specified requirements <b><u>without exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes almost all design aspects in detail. Only <b><u>minor details are missing</u></b> such that the reviewer <b><u>can implement the design</u></b> in CST her-/himself.</li> <li>Most design aspects are explained clearly and correctly. <b><u>Only minor inaccuracies</u></b> are present. The understanding is not significantly affected by this.</li> <li>The design achieves the specified requirements with <b><u>one exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>A few details are missing</u></b> such that the reviewer may not be able to implement <b><u>some minor details</u></b> of the design in CST her-/himself.</li> <li>There are <b><u>only a few inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. The understanding of a few aspects of the design is slightly affected.</li> <li>The design achieves the specified requirements with <b><u>two exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Some details are missing</u></b> such that the reviewer may <b><u>not</u></b> be able to implement <b><u>every aspect of the design correctly</u></b> in CST her-/himself.</li> <li>There are <b><u>some inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. Not every aspect of the design is entirely clear.</li> <li>The design achieves the specified requirements with <b><u>three exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Several details are missing</u></b> such that the reviewer <b><u>struggles</u></b> to implement <b><u>the design correctly</u></b> in CST her-/himself.</li> <li>There are <b><u>several inaccuracies/inconsistencies or some mistakes</u></b> in the explanation of the design aspects. About half of the design aspects are not entirely clear.</li> <li>The design achieves the specified requirements with <b><u>four exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report provides insufficient detail such that the reader/reviewer <b><u>cannot implement the design</u></b> in CST her-/himself.</li> <li>There are <b><u>major design aspects missing</u></b> or are <b><u>mostly incorrectly</u></b> explained.</li> <li>The <b><u>design does not achieve any of the requirements</u></b>.</li> </ul>

# Microwave Engineering and Antennas

## A quick-guide to CST

Ulf Johannsen, Assistant Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

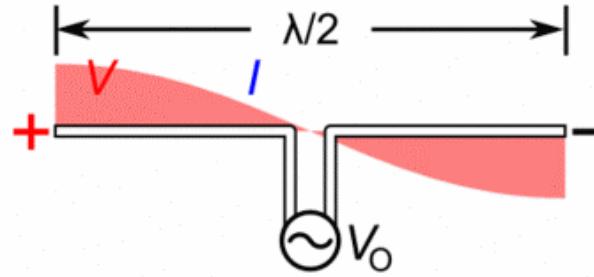
# Content

- CST Workflow
  - Boundary definition
  - Solvers
  - Mesh
  - Ports
  - Analysis of results

# Why simulate antennas?

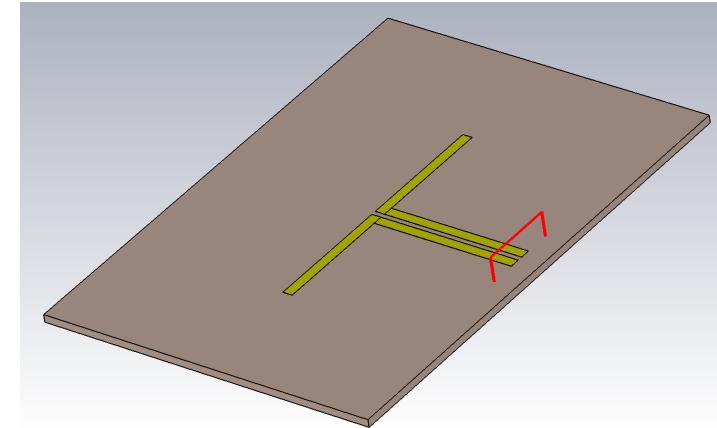
- CST: numerical EM-simulation of antennas
- Bridge gap between theory and measurements
- Design & optimize antennas

Theory

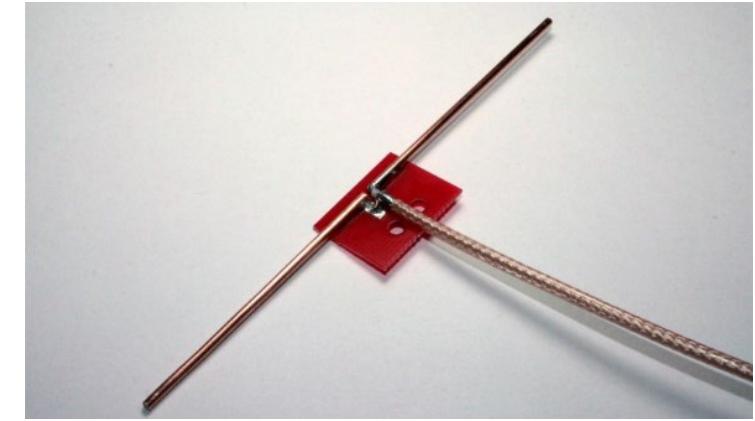


[https://en.wikipedia.org/wiki/Dipole\\_antenna](https://en.wikipedia.org/wiki/Dipole_antenna)

Simulation



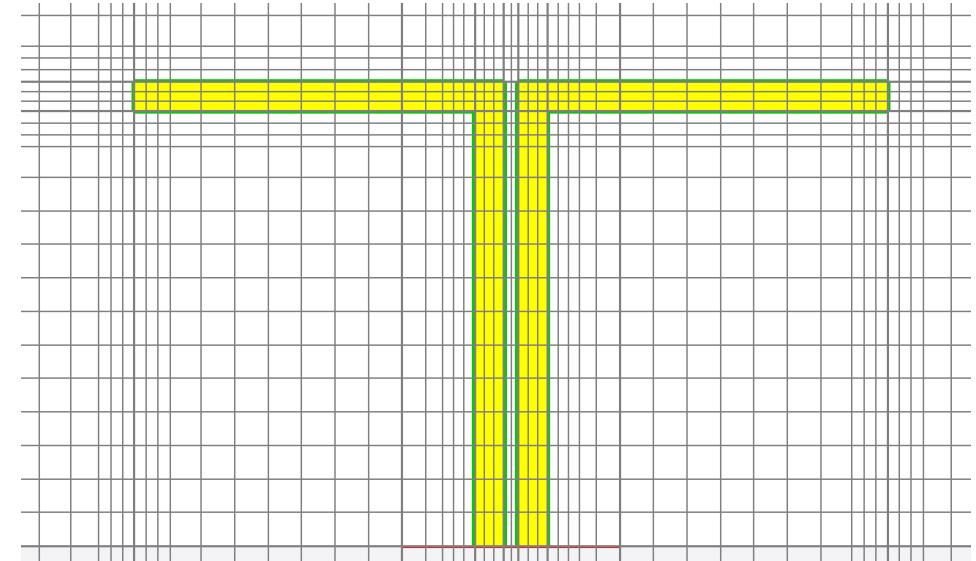
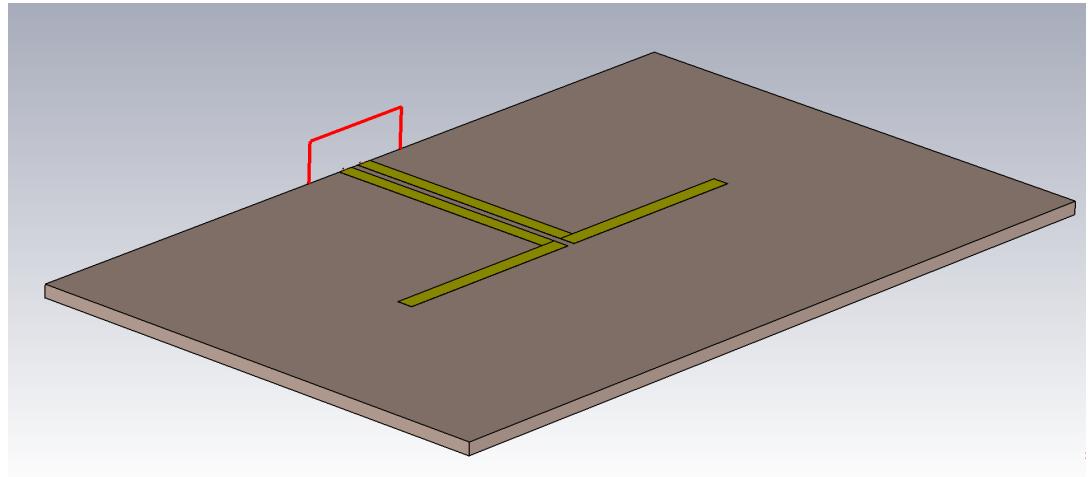
Measurement



<http://www.flytron.com/antennas-filters/100-fine-tuned-dipole-antenna.html>

# CST Workflow

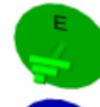
1. Build 3D model
2. Define ports and boundaries
3. Choose solver
4. Mesh is generated
5. Maxwell equations are solved at mesh points until steady state criterion is met
6. Analyze results: S-parameters, input impedance, radiation pattern, efficiency, fields



# Boundaries

## High Frequency

**Electric:** Operates like a perfect electric conductor: all tangential electric fields and normal magnetic fluxes are set to zero.



**Magnetic:** Operates like a perfect magnetic conductor: all tangential magnetic fields and normal electric fluxes are set to zero.



**Open (PML):** The open boundary extends the touching geometry virtually to infinity by using a perfectly matched layer (PML) boundary. Waves can pass this boundary with minimal reflections. Note that in case of a [unit cell](#) simulation with the [general purpose frequency domain solver](#) open boundaries are realized by a [Floquet port](#).



**Open (add space):** Same as Open (PML), but adds some extra space for farfield calculation. This option is recommended for antenna problems. **PIC solver:** Besides the waves that can pass this boundary with minimal reflections, additionally charged particles can be absorbed by the PML. Only available for PIC on CPU.



**Periodic:** Connects two opposite boundaries with a definable phase shift such that the calculation domain is simulated to be periodically expanded in the corresponding direction. Thus, changing one boundary to periodic always changes the opposite boundary to periodic as well.



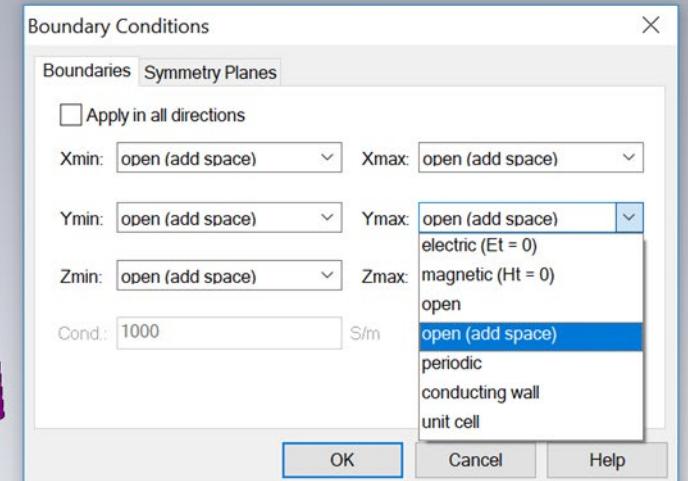
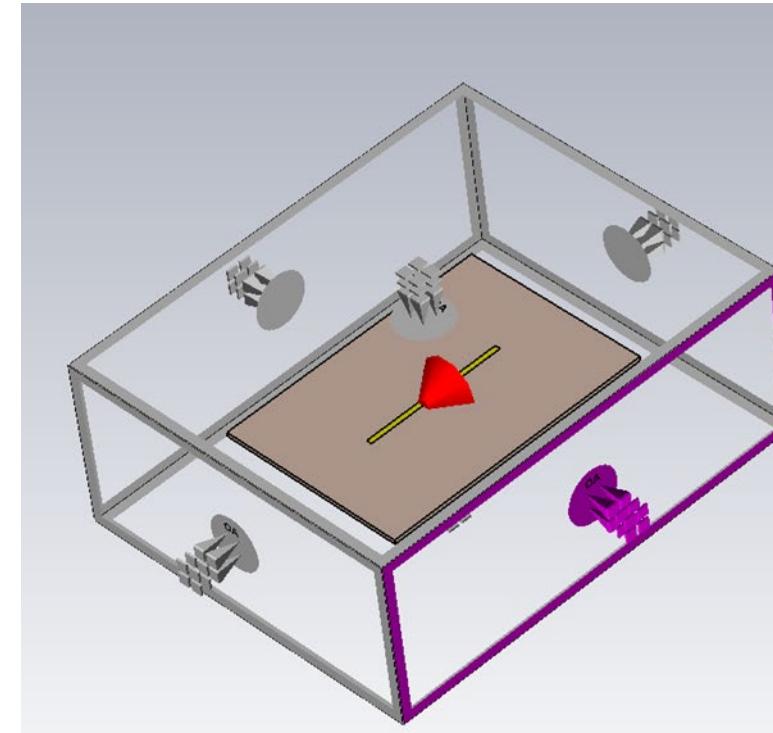
If you select a periodic boundary condition you may enter a phase shift for this boundary in the [Phase Shift/Scan Angle](#) property page.

Simulating antenna models with periodic boundaries enables you to examine the near field influence among the individual antennas. However, note that the resulting structure represents an infinitely extended antenna pattern. Hence the farfield results are most accurate in conjunction with the definition of an (preferably large) [antenna array](#) in the farfield postprocessing. Please refer to the [farfield overview](#) for details.

**Conducting Wall:** This boundary behaves like a wall of [lossy metal material](#).

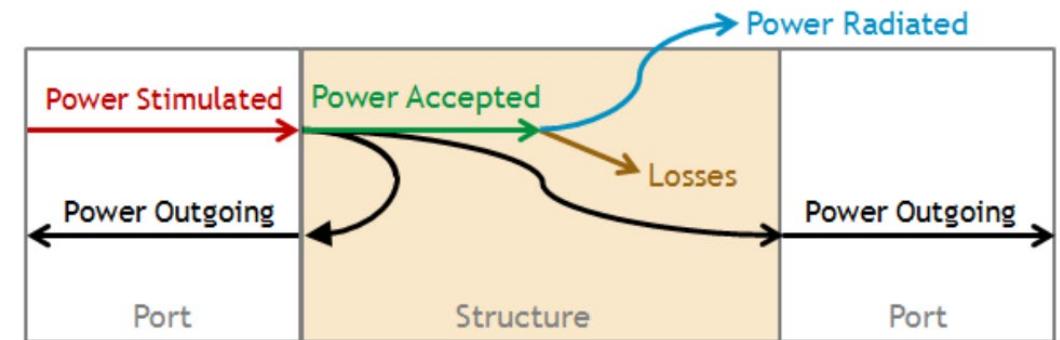
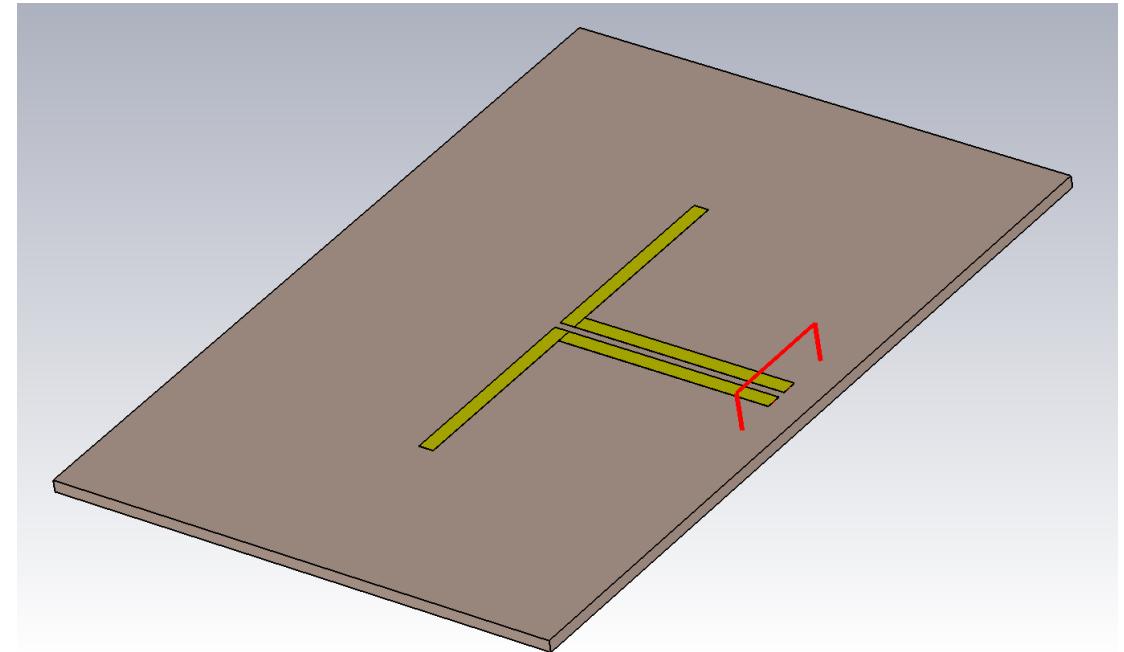


**Unit Cell:** A Unit Cell boundary condition is very similar to the Periodic boundary condition. In addition, a two-dimensional periodicity other than one in the direction of the coordinate axes can be defined ([Boundary Conditions - Unit Cell](#)). If there are open boundaries perpendicular to the Unit Cell boundaries, they are realized by Floquet modes, similar to modes of a wave guide port . The farfield considerations are the same as for the periodic boundaries.



# Ports

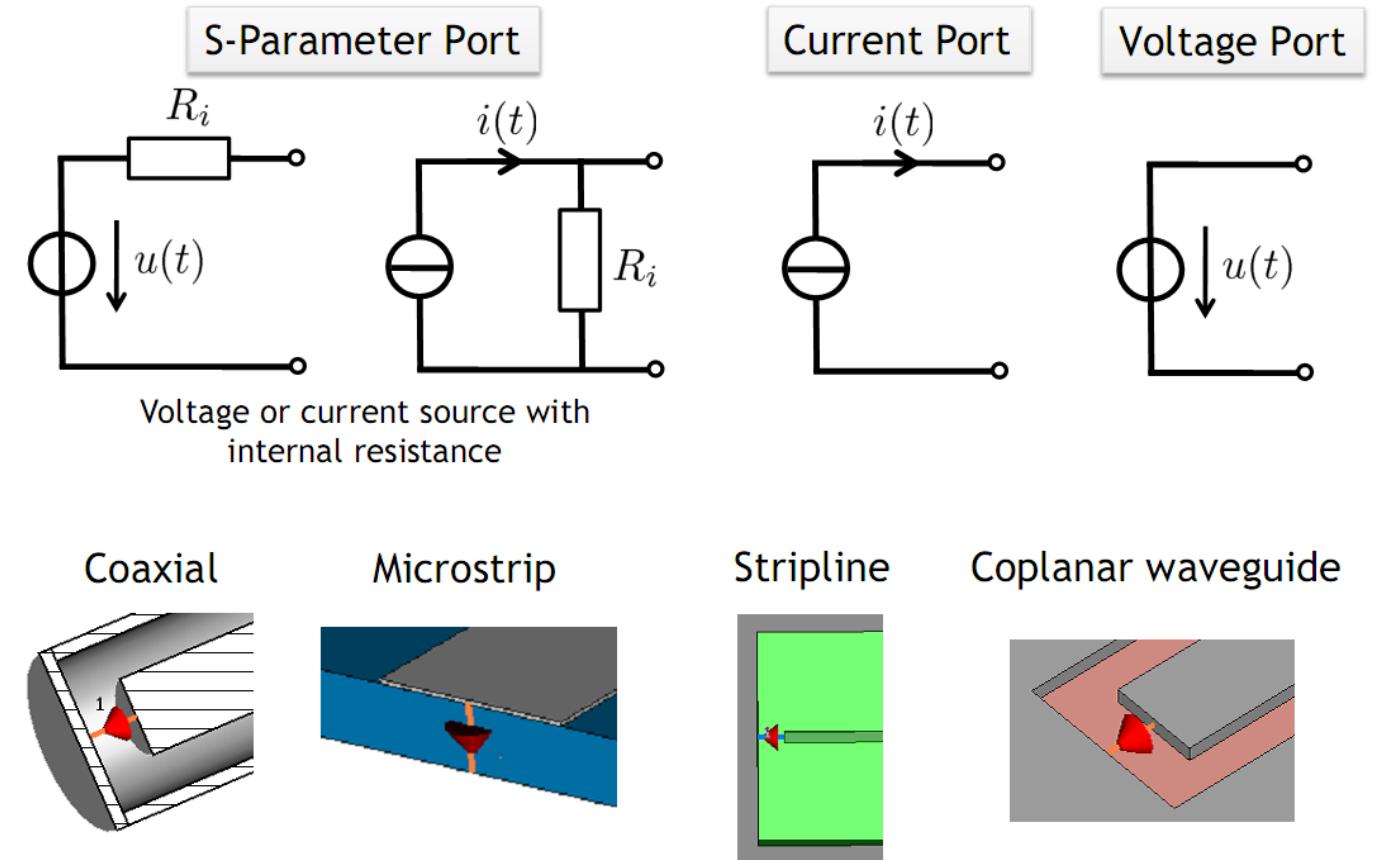
- Required to excite model
- Types:
  - Discrete Port
  - Waveguide Port
  - Plane Wave



$$\text{Power Stimulated} = \text{Power Accepted} + \text{Power Outgoing} \text{ (all Ports)}$$

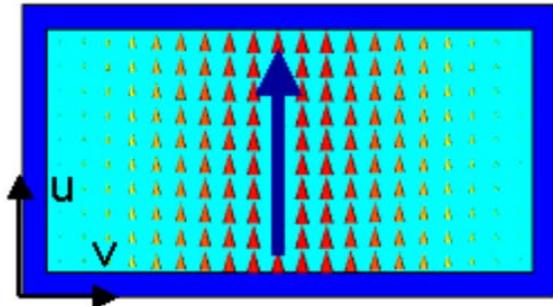
# Discrete Port

- Lumped element model
- Point/Edge/Face source

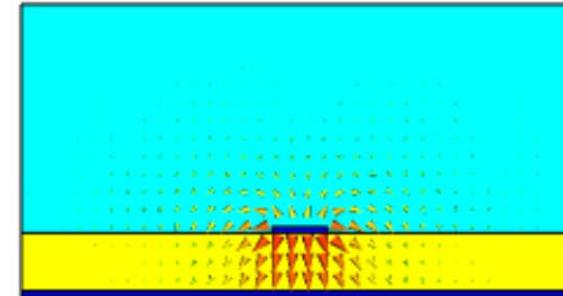


# Waveguide Port

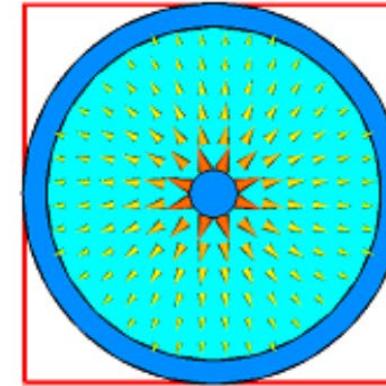
- Infinitely long waveguide
- Mode pattern waveguide should match mode pattern transmission line
- Fields should be enclosed by port



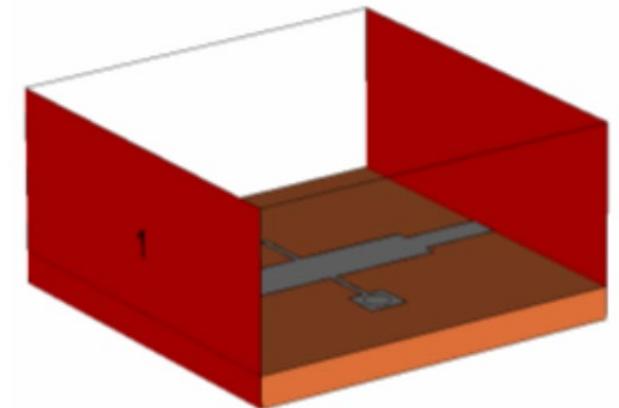
Rectangular hollow waveguide



Microstrip



Coaxial waveguide



# Solvers

- Time Domain



- Frequency Domain



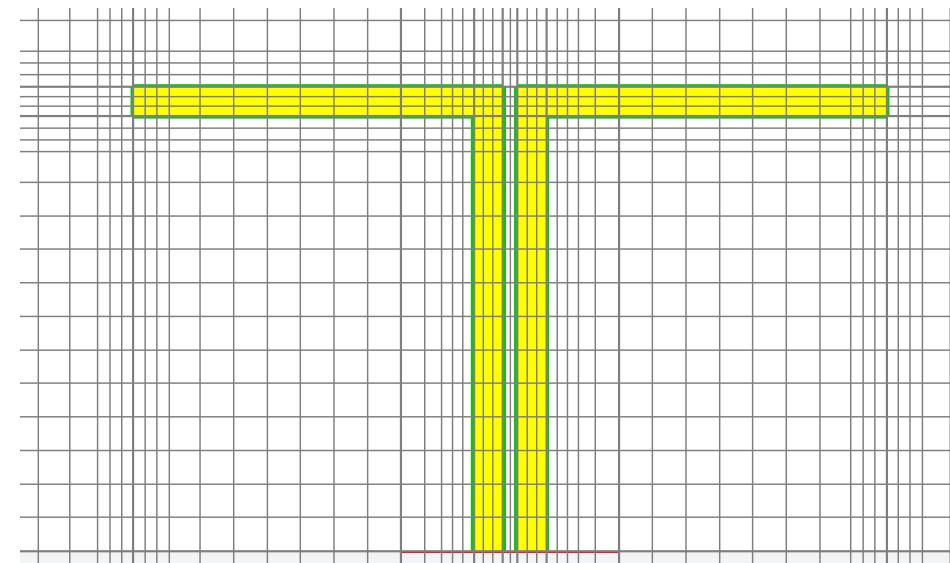
- Solvers solve Maxwell equations in a different way

# Which solver is the “best”?

- Unique answer to this question is not easily possible as the performance and accuracy depend on many parameters:
  - Electrical size and geometry of the problem,
  - Material models and material parameters used,
  - Resonant behavior of the model,
  - Type of the mesh and the boundary conditions,
  - Architecture of the workstation used for the simulation,
  - etc.

# Mesh

- Mesh type depends on solver
- More mesh cells at critical points
- Simulation time depends on number of mesh cells



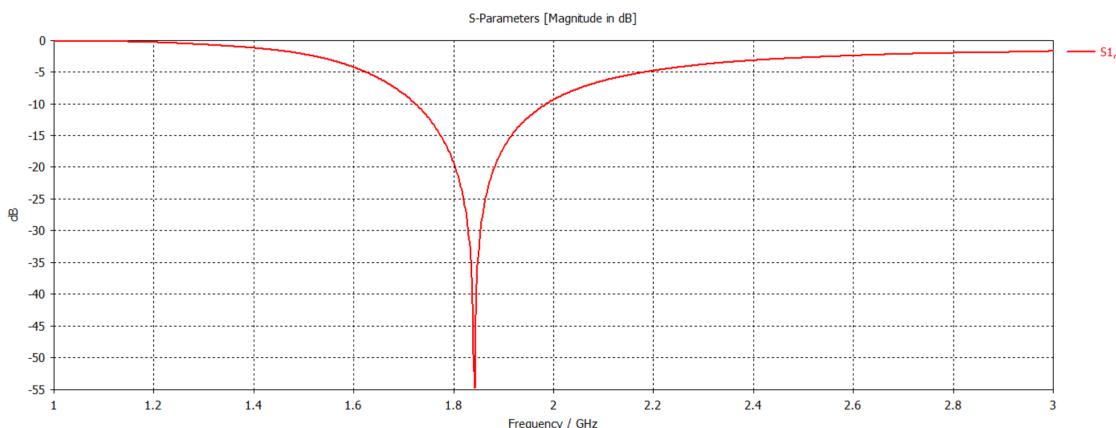
# Analysis of Results

## 1D results:

- S-parameters
- Input impedance
- Efficiency

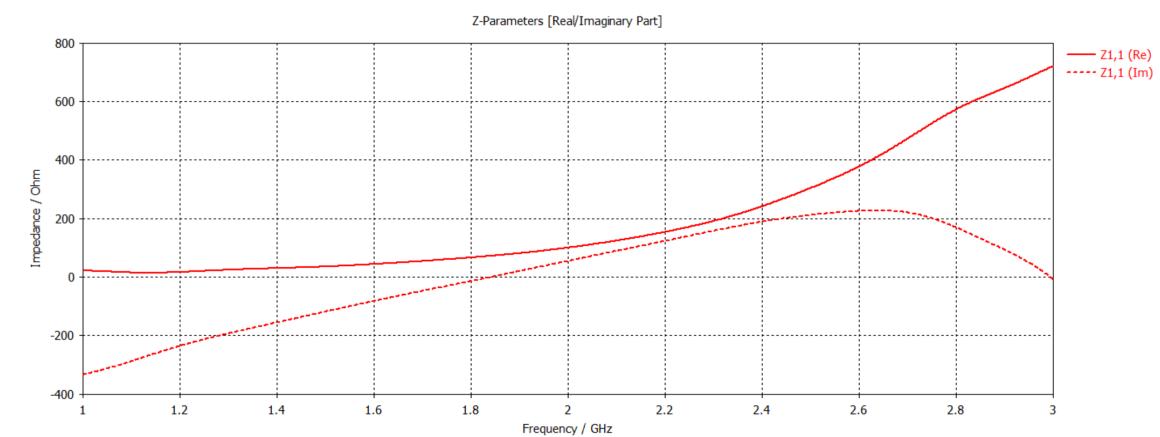
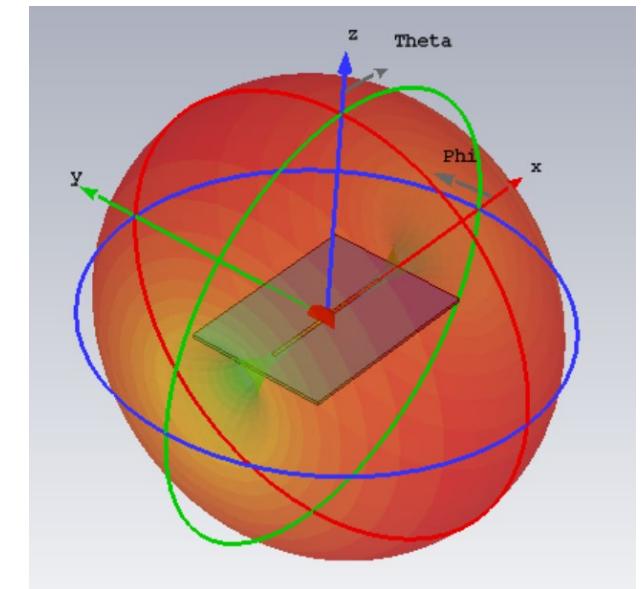
## 2D results:

- Fields



## 3D results:

- Radiation pattern
- etc.



# CST Help Function

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**CST**

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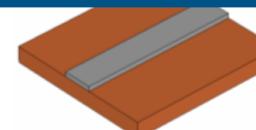
Unfortunately, this line type is relatively complex from an electromagnetic point of view. Therefore a few things need to be considered when defining ports for this type of structure. This type of structure requires modeling the air above the microstrip line, too. The easiest way to achieve this is to specify an extension in the [Background Material dialog box](#).

[up](#)

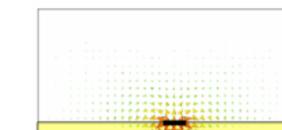
**Port Modes / Port Dimensions**

In general, the size of the port is a very important consideration. On one hand, the port needs to be large enough to enclose the significant part of the microstrip line's fundamental quasi-TEM mode. On the other hand, the port size should be large because this may cause higher order waveguide modes to propagate in the port.

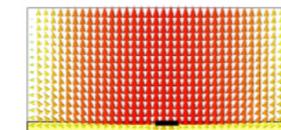
The following pictures show the fundamental microstrip line mode and higher order mode.



Fundamental mode



Higher order mode

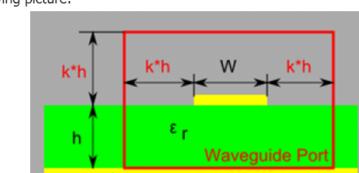


The higher order modes of the microstrip line are very similar to modes in rectangular waveguides. This behavior can be explained by an enclosure that is automatically added along the port's circumference for the port mode calculation. The port's edges will adopt the settings from the 3D model. In case of an "open" boundary in the 3D model, a "magnetic" port boundary will be used. This enclosure around the port causes the port to behave like a rectangular waveguide in particular pattern of the higher order modes.

The larger the port, the lower the cut-off frequency of these modes. Since the higher order modes are somewhat artificial, they should not be considered in the simulation. Therefore, the port size should be chosen small enough that they do not propagate, and only one (fundamental) mode should be chosen at the port.

If higher order microstrip line modes become propagating, this normally results in very slow energy decays in the transient simulations and sharp spikes in the frequency domain simulation results, respectively. On the other hand, choosing a wrong value for the port size can lead to degradation of the S-parameter's accuracy or even instabilities of the transient solver. If you experience an unexpected behavior like this, check the size of the ports.

As a rule, the size of the port is determined by the so-called extension factor  $k$ , according to the following picture:



Its optimal value varies in a range from 5 to 10 depending on the ratio  $w/h$ , on the substrate permittivity and on the frequency range (because of frequency dispersion of the fundamental QTEM mode). The size of the port can be briefly varied by changing the value of  $k$ .

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You can download the **student edition** of **CST Studio Suite** from  
<https://www.3ds.com/products-services/simulia/products/cst-studio-suite/student-edition/>

# Microwave Engineering and Antennas

## Radiated Fields: General Case

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

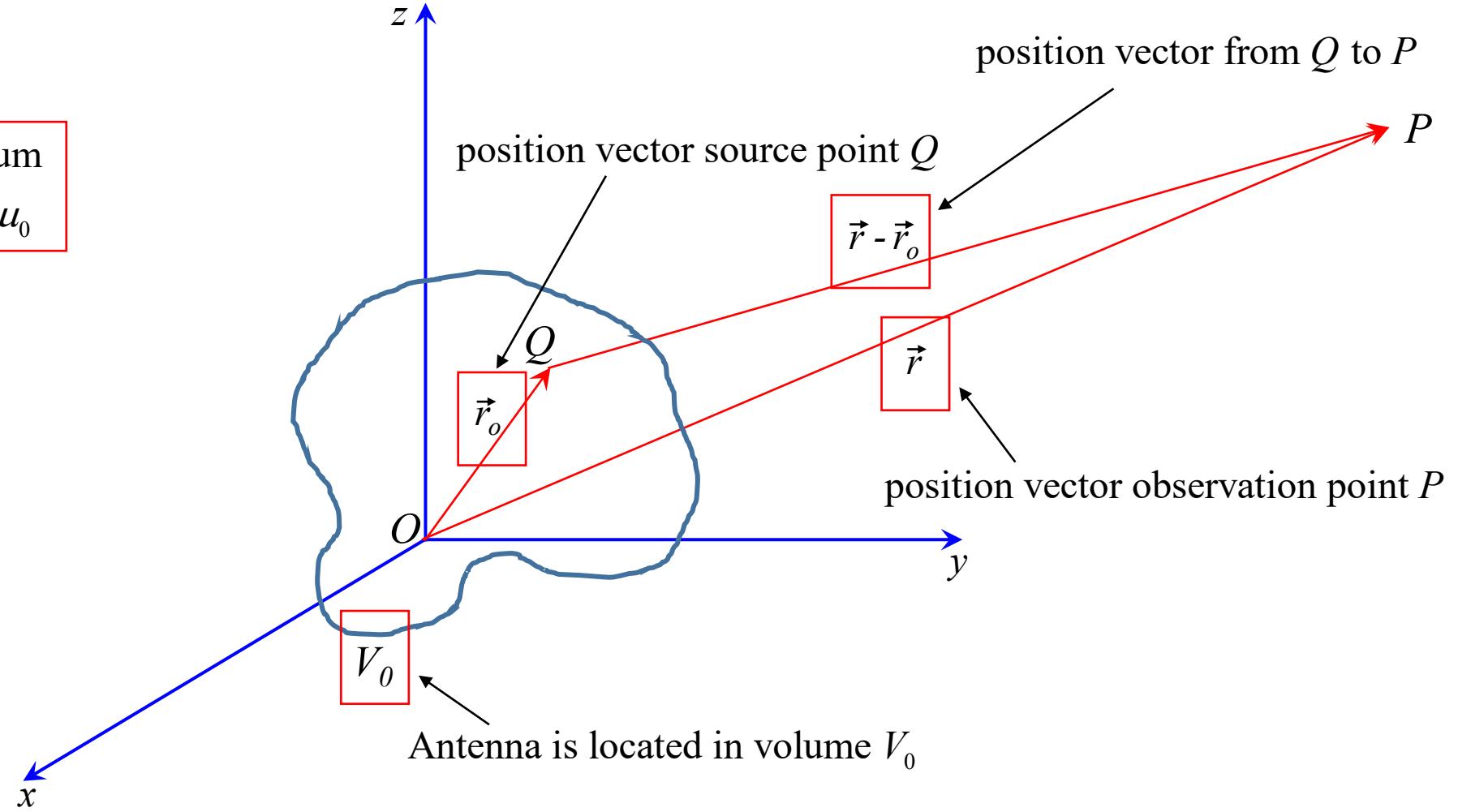
# Radiated Fields

## Objective of this lecture

- Derive general formulation to calculate radiated fields from an antenna.
- Start with Maxwell's equations.
- Derive expression for  $E$ - and  $H$ -fields outside source region.

# Configuration

free-space medium  
 $\epsilon = \epsilon_0$  and  $\mu = \mu_0$



# Maxwell's equations frequency domain

$$\nabla \times \vec{E}(\vec{r}) = -j\omega\mu_0 \vec{H}(\vec{r})$$

Electric field [V/m]      Magnetic field [A/m]

$$\nabla \times \vec{H}(\vec{r}) = j\omega\epsilon_0 \vec{E}(\vec{r}) + \vec{J}_e(\vec{r})$$

Electric current distribution on antenna [A/m<sup>2</sup>]

$$\nabla \cdot \vec{H}(\vec{r}) = 0$$

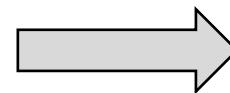
No magnetic sources

$$\nabla \cdot \vec{E}(\vec{r}) = \frac{\rho_e(\vec{r})}{\epsilon_0}$$

Electric charge distribution on antenna [C/m<sup>3</sup>]

# Magnetic vector potential

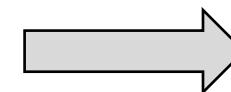
$$\left. \begin{aligned} \nabla \cdot \vec{H} &= 0 \\ \nabla \cdot \nabla \times \vec{A} &= 0 \end{aligned} \right\} \text{Compact notation without } (\vec{r})$$



convenient in notation later on magnetic vector potential

$$\vec{H} = \frac{1}{\mu_0} \nabla \times \vec{A}_e$$

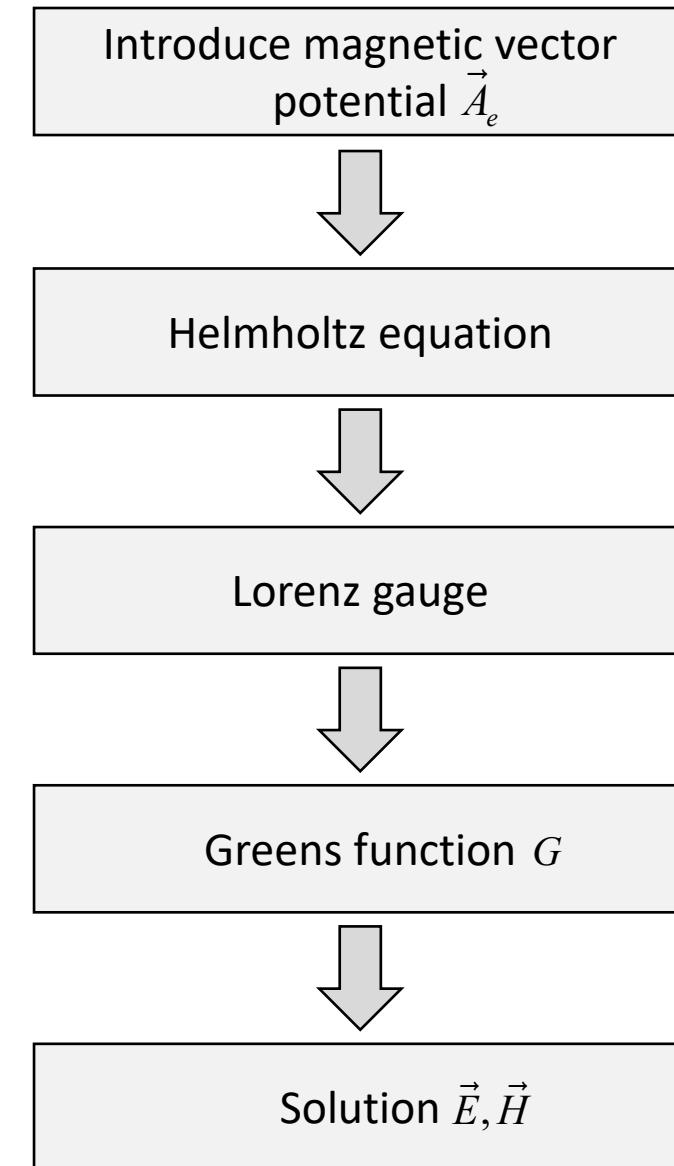
$$\left. \begin{aligned} \nabla \times \vec{E}(\vec{r}) &= -j\omega\mu_0 \vec{H}(\vec{r}) \\ \vec{H} &= \frac{1}{\mu_0} \nabla \times \vec{A}_e \end{aligned} \right\}$$



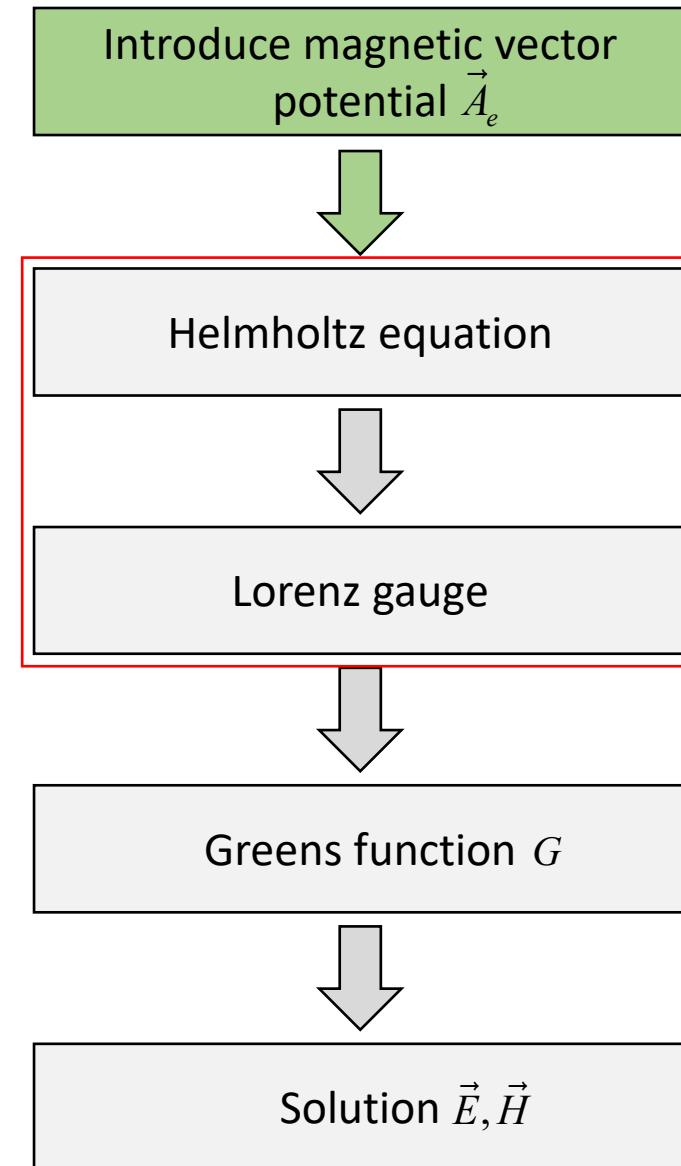
$$\vec{E} = -j\omega \vec{A}_e - \boxed{\nabla \phi_e}$$

Since  $\nabla \times \nabla \phi_e = \vec{0}$

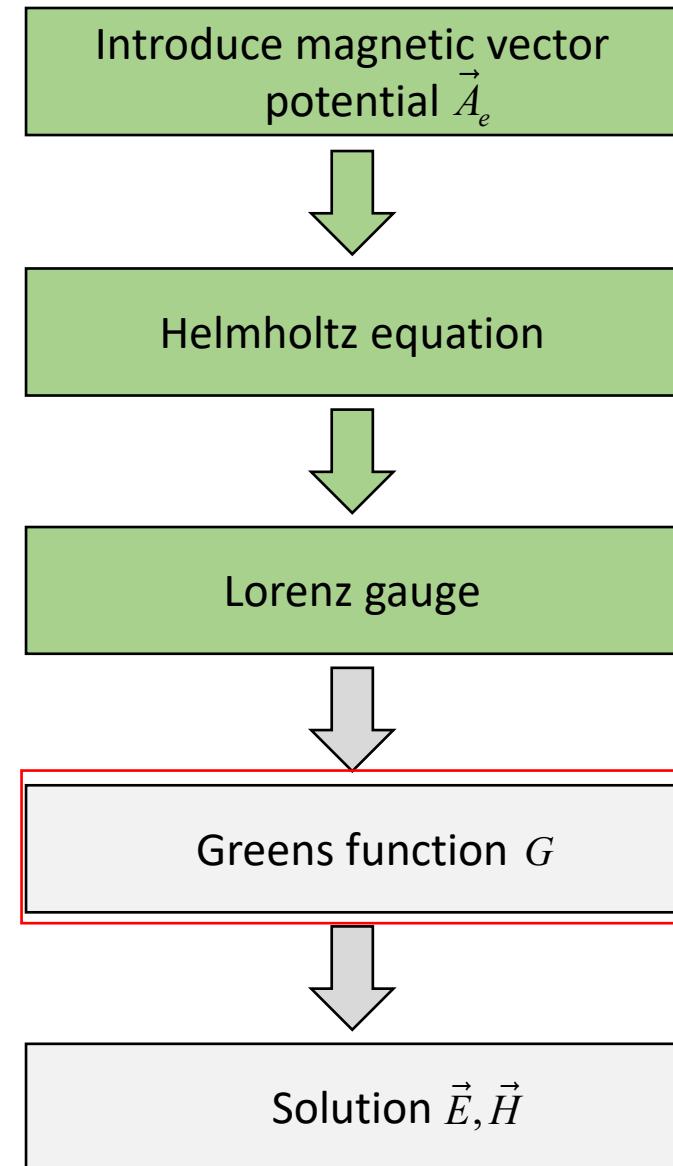
# Approach



# Approach

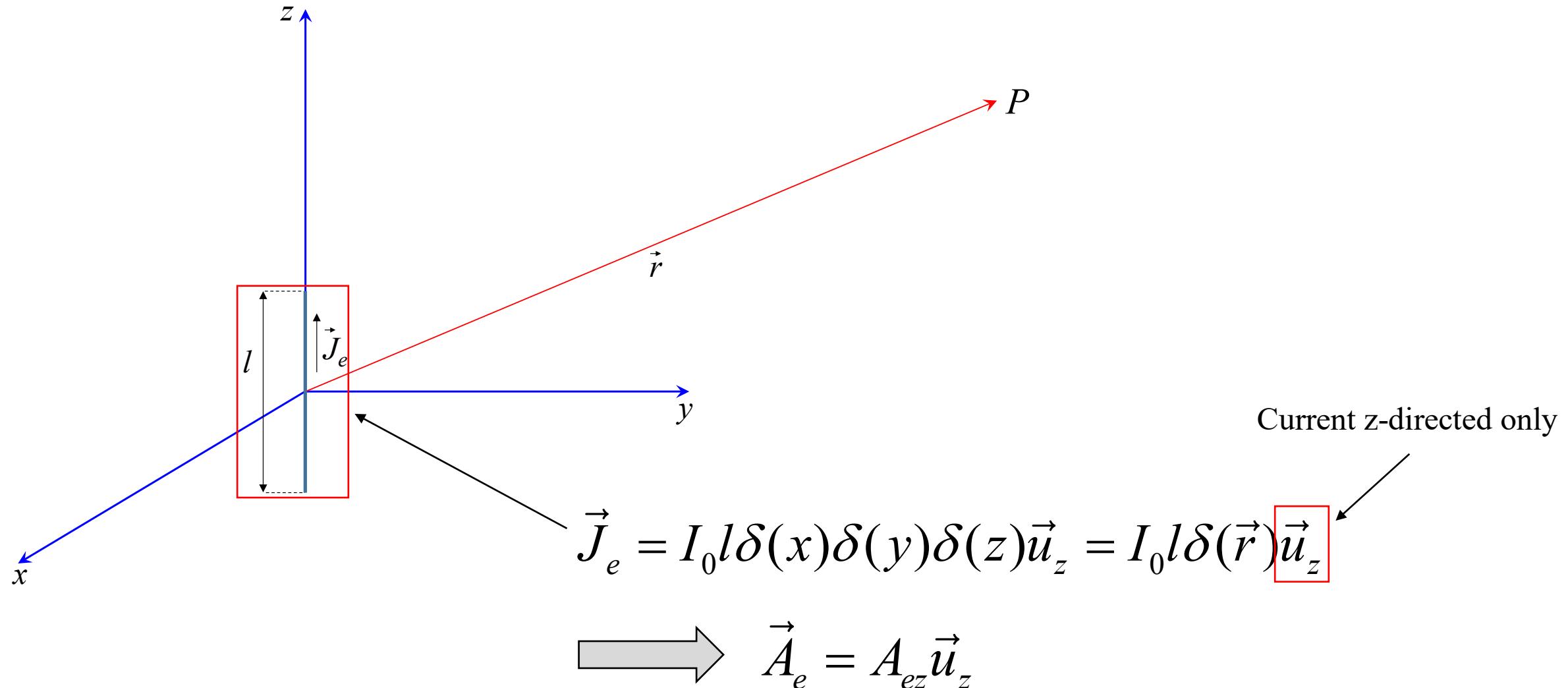


# Approach

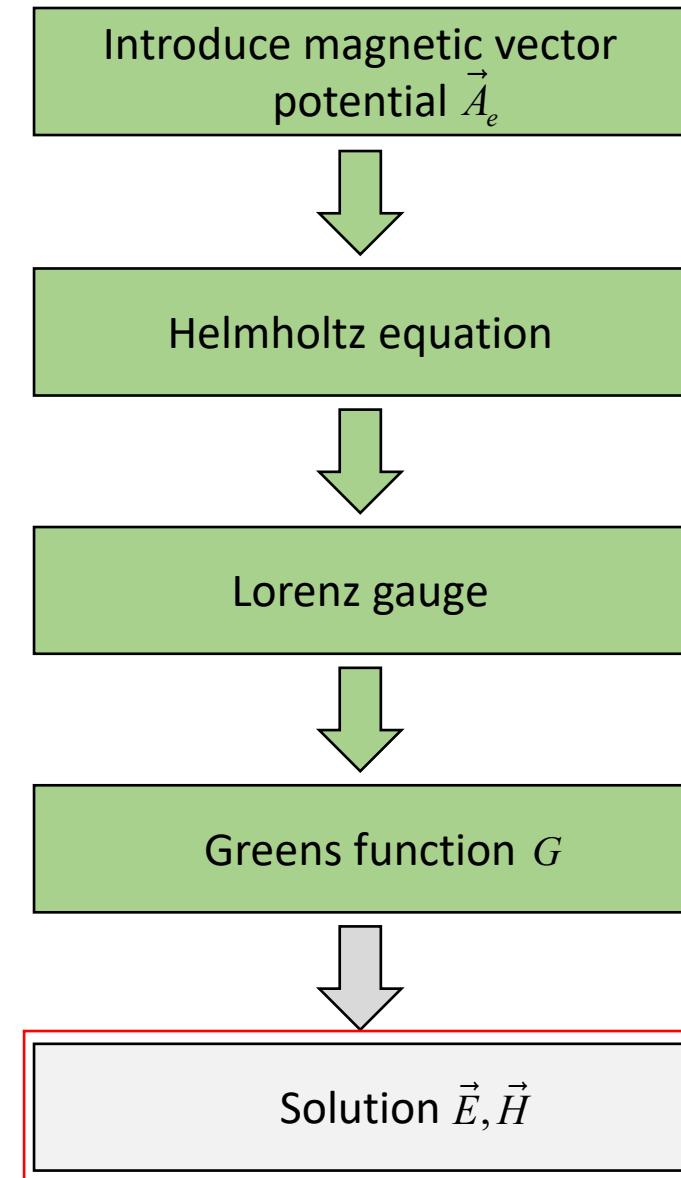


$$\nabla^2 \vec{A}_e + k_0^2 \vec{A}_e = -\mu_0 \vec{J}_e$$

# Greens function: response of point source



# Approach



$$\nabla^2 \vec{A}_e + k_0^2 \vec{A}_e = -\mu_0 \vec{J}_e$$

$$\vec{A}_e = \iiint_{V_0} \vec{J}_e(\vec{r}_0) \frac{\mu_0 e^{-jk_0 |\vec{r} - \vec{r}_0|}}{4\pi |\vec{r} - \vec{r}_0|} dV_0$$

$\vec{G}(\vec{r}, \vec{r}_0)$

# General solution fields

$$\vec{H} = \frac{1}{\mu_0} \nabla \times \vec{A}_e$$

Lorenz gauge  $\nabla \cdot \vec{A}_e = -j\omega\epsilon_0\mu_0\phi_e$

$$\vec{E} = -j\omega\vec{A}_e - \nabla \boxed{\phi_e}$$

vector identity  $\nabla^2 \vec{A}_e = \nabla \nabla \cdot \vec{A}_e - \nabla \times \nabla \times \vec{A}_e$

$$= -j\omega\vec{A}_e - \frac{\boxed{\nabla \nabla \cdot \vec{A}_e}}{j\omega\epsilon_0\mu_0}$$

Helmholtz equation  $\nabla^2 \vec{A}_e + k_0^2 \vec{A}_e = -\mu_0 \vec{J}_e$

$$= \frac{1}{j\omega\epsilon_0\mu_0} \left[ \nabla \times \nabla \times \vec{A}_e + \boxed{\nabla^2 \vec{A}_e + k_0^2 \vec{A}_e} \right]$$

$$= \frac{1}{j\omega\epsilon_0\mu_0} \left[ \nabla \times \nabla \times \vec{A}_e - \boxed{\mu_0 \vec{J}_e} \right]$$

Outside source region:  $\vec{J}_e = 0$

# Summary of approach

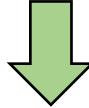
$$\vec{A}_e(\vec{r}) = \iiint_{V_0} \vec{J}_e(\vec{r}_0) \frac{\mu_0 e^{-jk_0|\vec{r}-\vec{r}_0|}}{4\pi |\vec{r} - \vec{r}_0|} dV_0$$

$$\vec{H}(\vec{r}) = \frac{1}{\mu_0} \nabla \times \vec{A}_e(\vec{r})$$

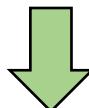
$$\vec{E}(\vec{r}) = \frac{1}{j\omega\epsilon_0\mu_0} [\nabla \times \nabla \times \vec{A}_e(\vec{r})]$$

Outside source region

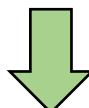
Introduce magnetic vector potential  $\vec{A}_e$



Helmholtz equation



Lorenz gauge



Greens function  $G$



Solution  $\vec{E}, \vec{H}$

# Summary

- Starting point Maxwell's equations.
- Defined a step-by-step approach to determine the fields outside source region.
- In the next weblecture we will simplify the expressions by using far-field approximations.

# Microwave Engineering and Antennas

## Radiated Fields: Far Field

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Radiated Fields: Far Field

## Objective of this lecture

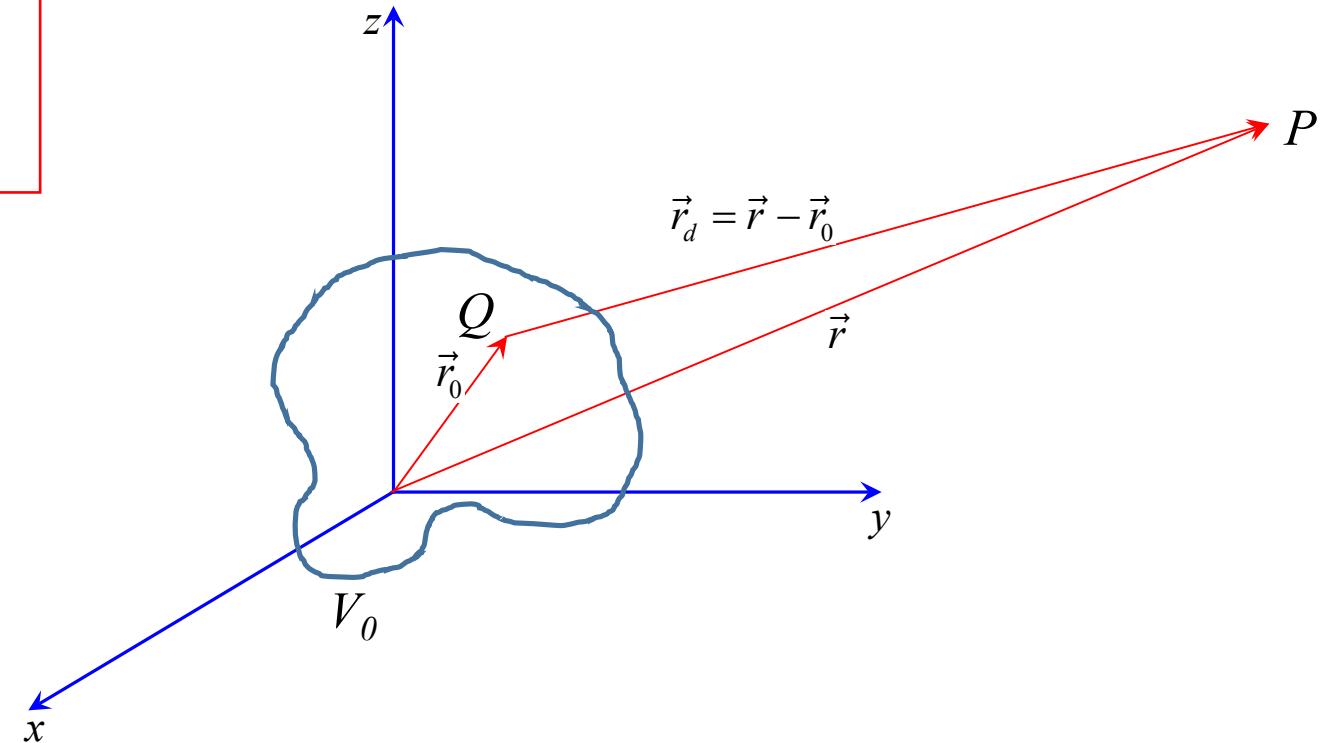
- Introduce far-field approximations
- Derive the far-field condition
- Derive general expressions for the  $E$ - and  $H$ -fields in the far-field region of an antenna
- Determine radiation pattern

# Starting point

$$\vec{A}_e(\vec{r}) = \iiint_{V_0} \vec{J}_e(\vec{r}_0) \frac{\mu_0 e^{-jk_0|\vec{r}-\vec{r}_0|}}{4\pi|\vec{r}-\vec{r}_0|} dV_0$$

$$\vec{H}(\vec{r}) = \frac{1}{\mu_0} \nabla \times \vec{A}_e(\vec{r})$$

$$\vec{E}(\vec{r}) = \frac{1}{j\omega\epsilon_0\mu_0} [\nabla \times \nabla \times \vec{A}_e(\vec{r})]$$



# Far-field approximation, step 1

$$\vec{A}_e(\vec{r}) = \iiint_{V_0} \vec{J}_e(\vec{r}_0) \frac{\mu_0 e^{-jk_0 |\vec{r} - \vec{r}_0|}}{4\pi |\vec{r} - \vec{r}_0|} dV_0$$

$$\vec{H}(\vec{r}) = \frac{1}{\mu_0} \nabla \times \vec{A}_e(\vec{r})$$

Derivative w.r.t.  $\vec{r}$  (at observation points)

$$\vec{H}(\vec{r}) = \iiint_{V_0} \boxed{\nabla_r} \times \vec{J}_e(\vec{r}_0) \boxed{\varphi(\vec{r}, \vec{r}_0)} dV_0$$

$$\varphi(\vec{r}, \vec{r}_0) = \frac{e^{-jk_0 |\vec{r} - \vec{r}_0|}}{4\pi |\vec{r} - \vec{r}_0|}$$

Step 1: rewrite  $\nabla_r \times \vec{J}_e(\vec{r}_0) \varphi(\vec{r}, \vec{r}_0)$

# Far-field approximation, step 2

$$\vec{H}(\vec{r}) = \iiint_{V_0} \boxed{\nabla_r \times \vec{J}_e(\vec{r}_0) \varphi(\vec{r}, \vec{r}_0)} dV_0$$

$$\nabla_r \times \vec{J}_e(\vec{r}_0) \varphi(\vec{r}, \vec{r}_0) = -\frac{1}{4\pi} \left( jk_0 + \frac{1}{\boxed{r_d}} \right) \frac{e^{-jk_0 r_d}}{\boxed{r_d}} \vec{u}_{r_d} \times \vec{J}_e(\vec{r}_0)$$

$r_d = |\vec{r} - \vec{r}_0|$

$$\vec{H}(\vec{r}) = -\frac{1}{4\pi} \iiint_{V_0} \left( jk_0 + \frac{1}{r_d} \right) \frac{e^{-jk_0 r_d}}{r_d} \vec{u}_{r_d} \times \vec{J}_e(\vec{r}_0) dV_0$$

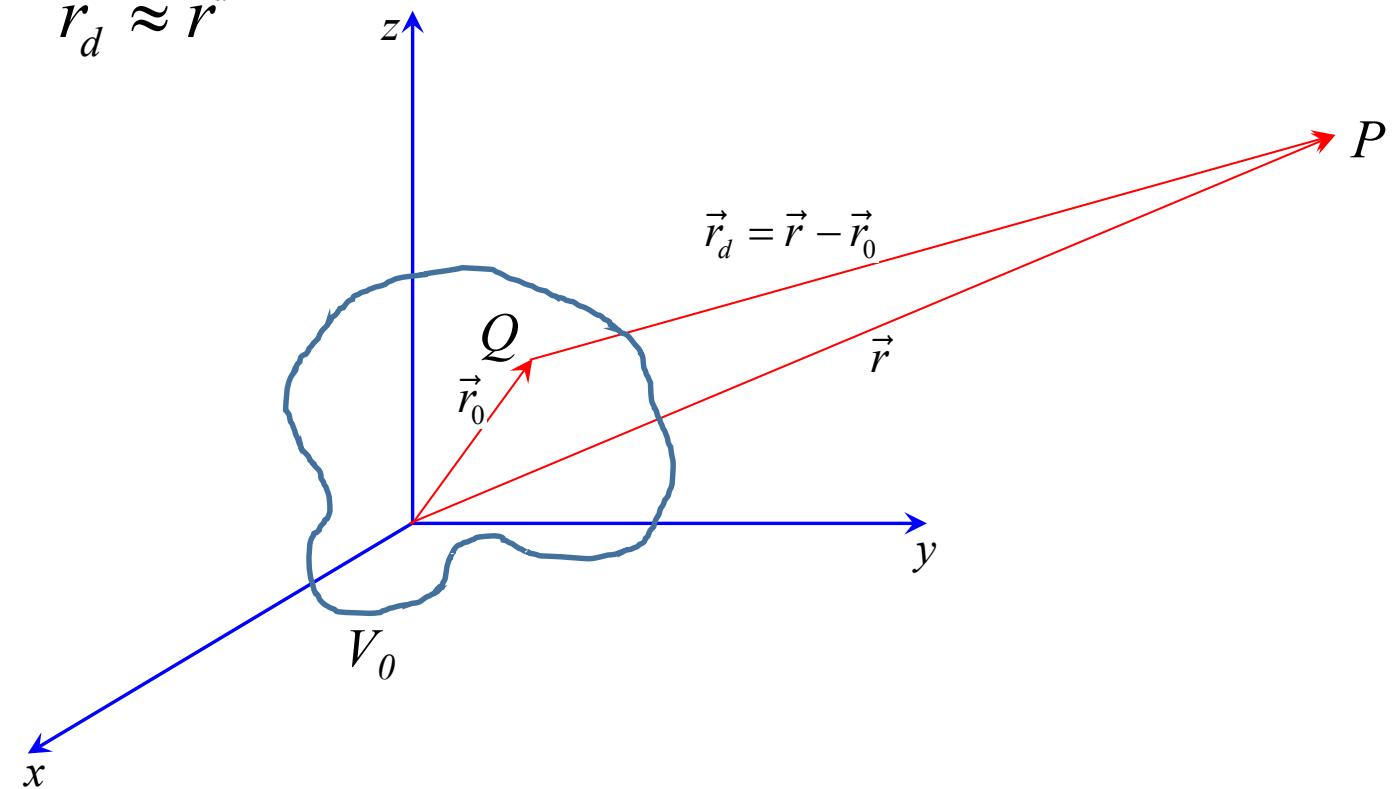
# Far-field approximation, step 2

$$\vec{H}(\vec{r}) = -\frac{1}{4\pi} \iiint_{V_0} \left( jk_0 + \frac{1}{r_d} \right) e^{-jk_0 r_d} \vec{u}_{r_d} \times \vec{J}_e(\vec{r}_0) dV_0$$

$jk_0 + \frac{1}{r_d} \approx jk_0$

$r_d \approx r$   
 $\vec{u}_{r_d} \approx \vec{u}_r$

Assume  $|\vec{r}| \gg |\vec{r}_0|$



# Far-field approximation, step 2

$$\vec{H}(\vec{r}) = \frac{-jk_0}{4\pi r} \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{-jk_0 r_d} dV_0$$

periodic function!

Assume  $|\vec{r}| \gg |\vec{r}_0|$

# Far-field approximation, step 2

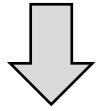
$$\vec{H}(\vec{r}) = \frac{-jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

$\vec{H} \cdot \vec{u}_r = 0$  so no spherical expansion harmonic behavior propagates between source point  $\vec{r}_0$  and origin coordinate system

$$\vec{H}(\vec{r}) = \frac{e^{-jk_0 r}}{r} (\vec{u}_\theta H_\theta(\theta, \phi) + \vec{u}_\phi H_\phi(\theta, \phi))$$

# Far-field approximation, step 3

$$\vec{E}(\vec{r}) = \frac{1}{j\omega\epsilon_0\mu_0} \left[ \nabla \times \nabla \times \vec{A}_e(\vec{r}) \right]$$

 ← far-field approximation  $r > \frac{2D^2}{\lambda_0}$

$$\boxed{\vec{E}(\vec{r})} = \frac{-k_0^2}{j\omega\epsilon_0} \frac{e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

$\vec{E} \cdot \vec{u}_r = 0$  so no radial component

$$\vec{E}(\vec{r}) = \frac{e^{-jk_0 r}}{r} \left( \vec{u}_\theta E_\theta(\theta, \phi) + \vec{u}_\phi E_\phi(\theta, \phi) \right)$$

# Far-field approximation

## Summary

$$\vec{E}(\vec{r}) = \frac{-k_0^2}{j\omega\epsilon_0} \frac{e^{-jk_0r}}{4\pi r} \vec{u}_r \times \boxed{\vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0}$$

extra  $\vec{u}_r \times$

$$\vec{H}(\vec{r}) = \frac{-jk_0 e^{-jk_0r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

TEM wave

$$\boxed{\vec{H}(\vec{r}) = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})}$$

$Z_0 = 120\pi = 377 \Omega$

# Far field radiation pattern

## Time-average Poynting vector

$$\left. \begin{aligned} \vec{S}_p(\vec{r}) &= \frac{1}{2} \operatorname{Re} \left[ \vec{E}(\vec{r}) \times \vec{H}^*(\vec{r}) \right] \\ \vec{H}(\vec{r}) &= \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r}) \end{aligned} \right\}$$

$$\vec{S}_p(\vec{r}) = \frac{1}{2Z_0} \left| \vec{E}(\vec{r}) \right|^2 \boxed{\vec{u}_r} \quad [\text{W/m}^2]$$

Power flow in the  $r$ -direction

## Radiation pattern

$$F(\theta, \phi) = \frac{P(\theta, \phi)}{P_{\max}} = \frac{\left| r^2 \vec{S}_p(\vec{r}) \right|}{P_{\max}}$$

Radiated power per element of solid angle

# Summary

- Far-field expression for the  $E$ - and  $H$ -fields have been derived.
- This holds for any antenna.
- From this we can determine the radiation pattern and other characteristics.
- Next web lectures we will show how are general expressions can be used for all kind of antennas.

# Microwave Engineering and Antennas

## Electric Dipole Antenna

Bart Smolders, Professor

Department of Electrical Engineering

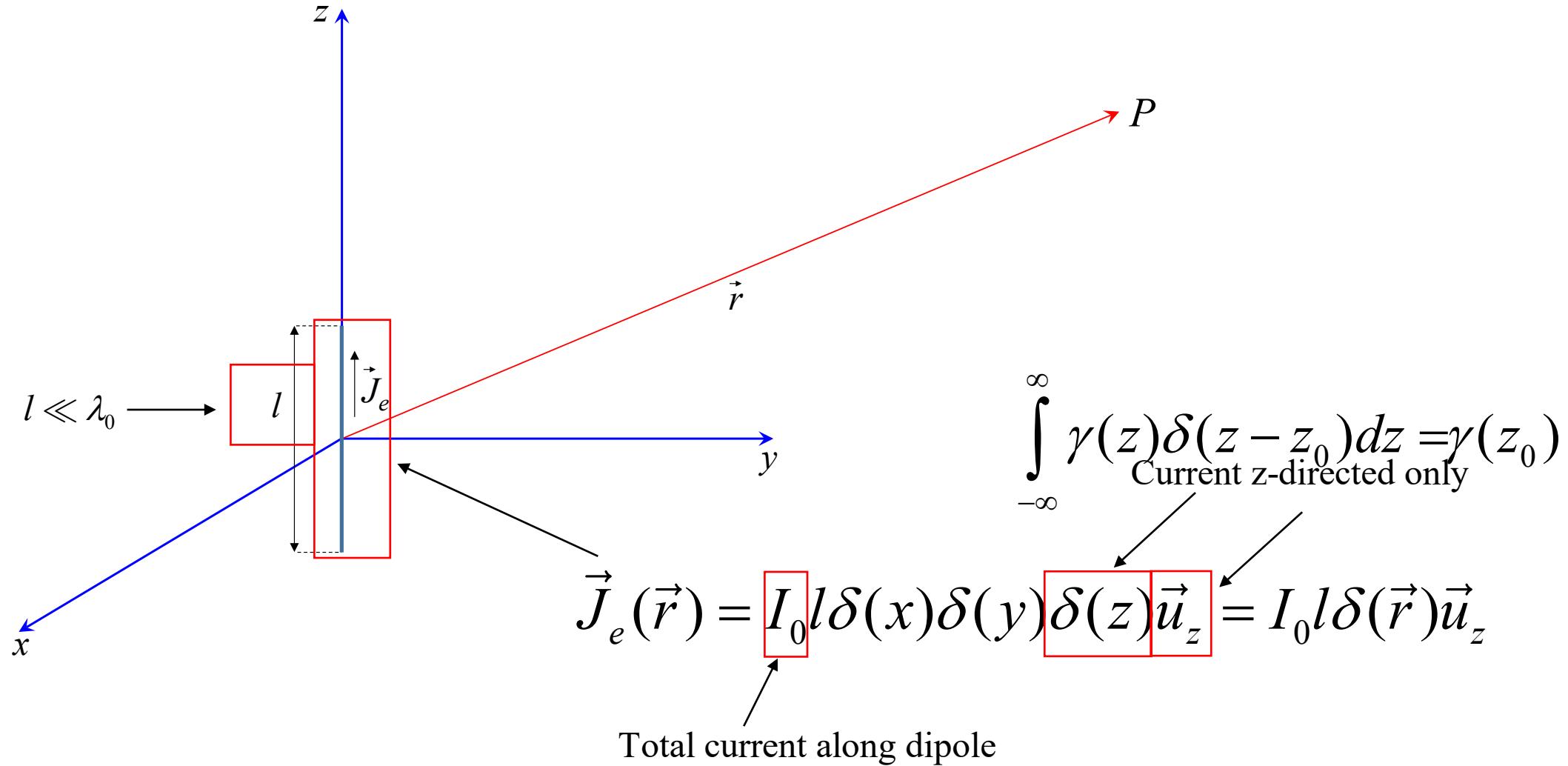
Center for Wireless Technology Eindhoven

# Electric dipole antenna

## Objective of this lecture

- Apply the general formulation to determine the far-field of an electric dipole
- Plot the radiation pattern
- Calculate the total radiated power and the radiation resistance

# Current distribution on antenna



# Apply our recipe

## Far-field expression

$$\vec{H}(\vec{r}) = \frac{-jk_0 e^{-jk_0 r}}{4\pi r} \left[ \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0 \right]$$

$$\vec{E}(\vec{r}) = Z_0 \vec{H}(\vec{r}) \times \vec{u}_r$$

$$\vec{J}_e(\vec{r}_0) = I_0 l \delta(\vec{r}_0) \vec{u}_z$$

$$\vec{u}_r \times \vec{u}_z = -\vec{u}_\phi \sin \theta$$

$$\vec{H}(\vec{r}) = \frac{jk_0 I_0 l e^{-jk_0 r}}{4\pi r} \sin \theta \vec{u}_\phi$$

$$\vec{E}(\vec{r}) = \frac{jk_0 Z_0 I_0 l e^{-jk_0 r}}{4\pi r} \sin \theta \vec{u}_\theta$$

## Pointing vector

$$\vec{S}_p(\vec{r}) = \frac{1}{2Z_0} \left| \vec{E}(\vec{r}) \right|^2 \vec{u}_r = \frac{1}{2} \frac{k_0^2 Z_0 (I_0 l)^2}{(4\pi r)^2} \sin^2 \theta \vec{u}_r$$

# Radiation properties

## Radiation pattern

$$F(\theta) = \frac{P(\theta)}{P_{\max}} = \frac{\left| r^2 \vec{S}_p(\vec{r}) \right|}{P_{\max}} = \sin^2 \theta$$

## Total radiated power

$$P_t = \iint_{sphere} \vec{S}_p(\vec{r}) \cdot \vec{u}_r r^2 d\Omega$$

$$\vec{S}_p(\vec{r}) \cdot \vec{u}_r r^2 = \frac{1}{2} \frac{k_0^2 Z_0 (I_0 l)^2}{(4\pi)^2} \sin^2 \theta$$

$$d\Omega = \sin \theta d\theta d\phi$$

Maximum at  $\theta = \pi/2$

$$\begin{aligned} P_t &= \frac{1}{2} \frac{k_0^2 Z_0 (I_0 l)^2}{(4\pi)^2} \int_0^{2\pi} \int_0^\pi \sin^3 \theta d\theta d\phi \\ &= \frac{k_0^2 Z_0 (I_0 l)^2}{12\pi} \end{aligned}$$

standard integral  $\int_0^\pi \sin^3 \theta d\theta = \frac{4}{3}$

# Radiation properties

## Directivity function and Directivity/Gain

$$D(\theta) = \frac{P(\theta)}{P_t / 4\pi} = \frac{3}{2} \sin^2 \theta \quad \Rightarrow \quad D = \frac{3}{2} \quad \Rightarrow \quad G = \frac{3}{2}$$

1.76 dBi

## Input impedance

$$Z_a = R_a = \frac{\frac{P_t}{1/2|I_0|^2}}{6\pi} = \frac{k_0^2 Z_0 l^2}{6\pi} = 80\pi^2 \left( \frac{l}{\lambda_0} \right)^2$$

$\frac{P_t}{1/2|I_0|^2}$

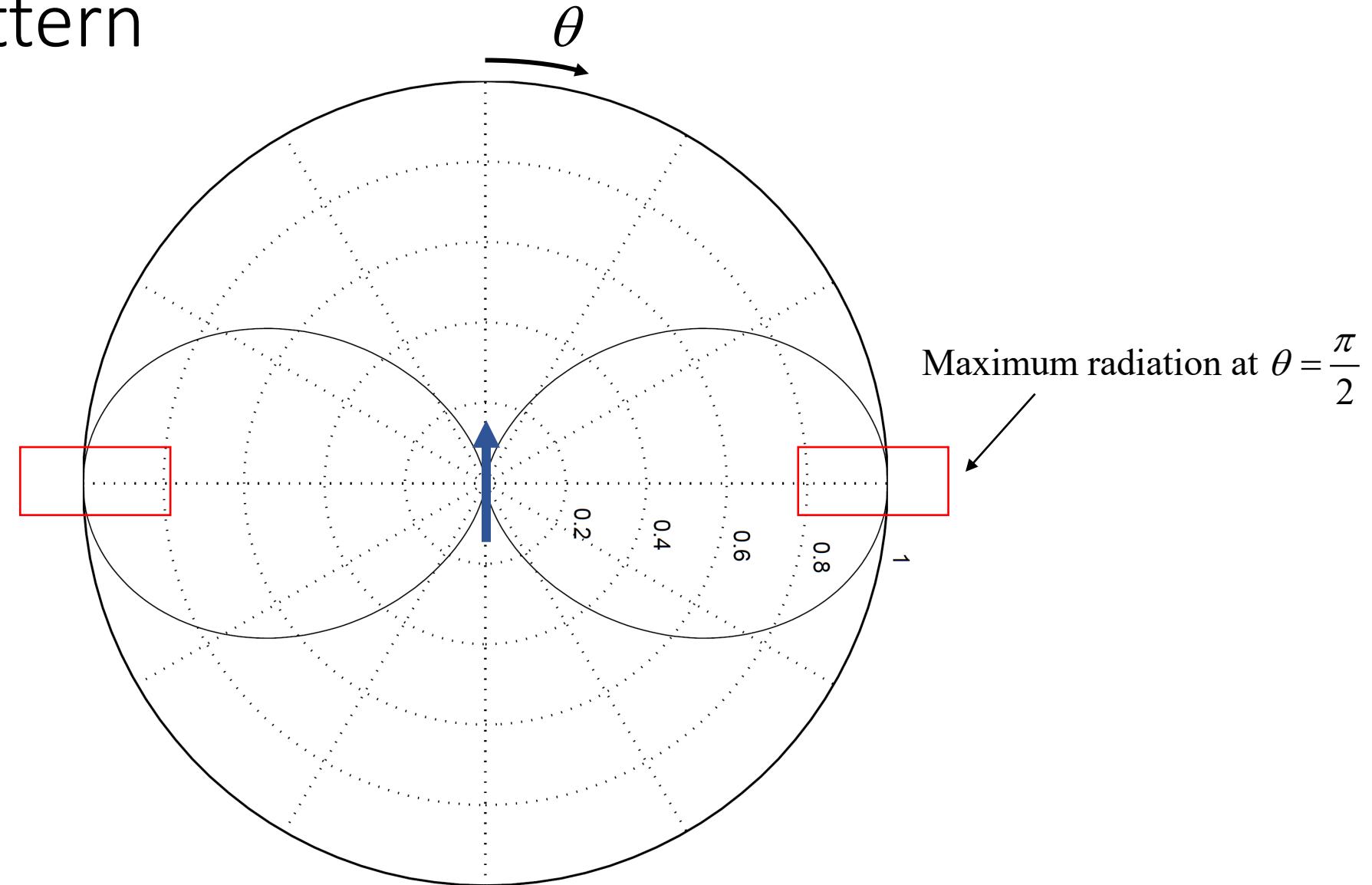
$\left( \frac{l}{\lambda_0} \right)^2$

since  $P_t = \frac{1}{2} R_a |I_0|^2$

$l \ll \lambda_0$ , so  $R_a$  very small!

# Radiation pattern

## Polar diagram



# Summary

- The radiation properties of an ideal electric dipole have been calculated.
- The dipole has an antenna Gain of 1.76 dBi.
- We have shown the radiation pattern using a polar diagram.
- Main draw-back of an electric dipole is its very low  $R_a$ .
- In the next web lecture we will look at resonant wire antennas, which have a much higher  $R_a$ .

# Microwave Engineering and Antennas

## Wire Antennas

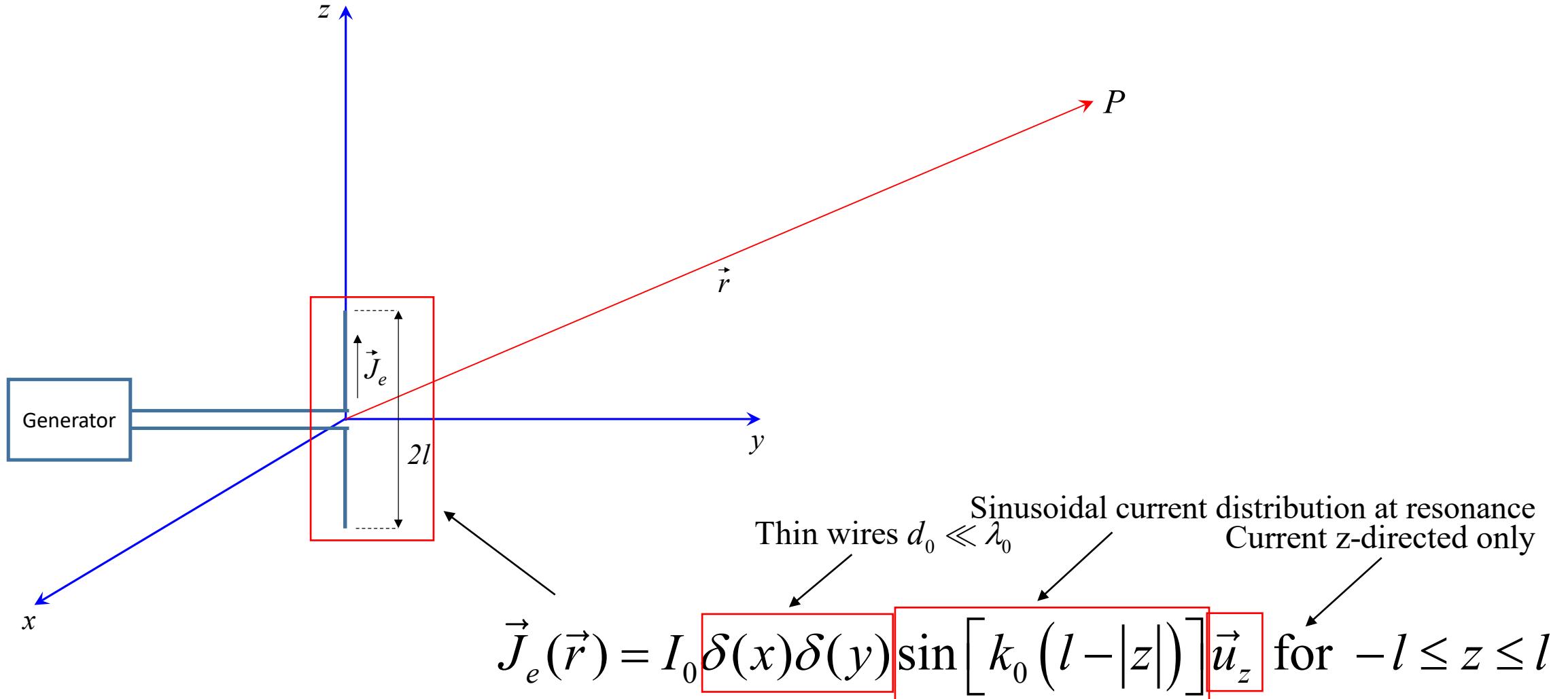
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Wire antennas

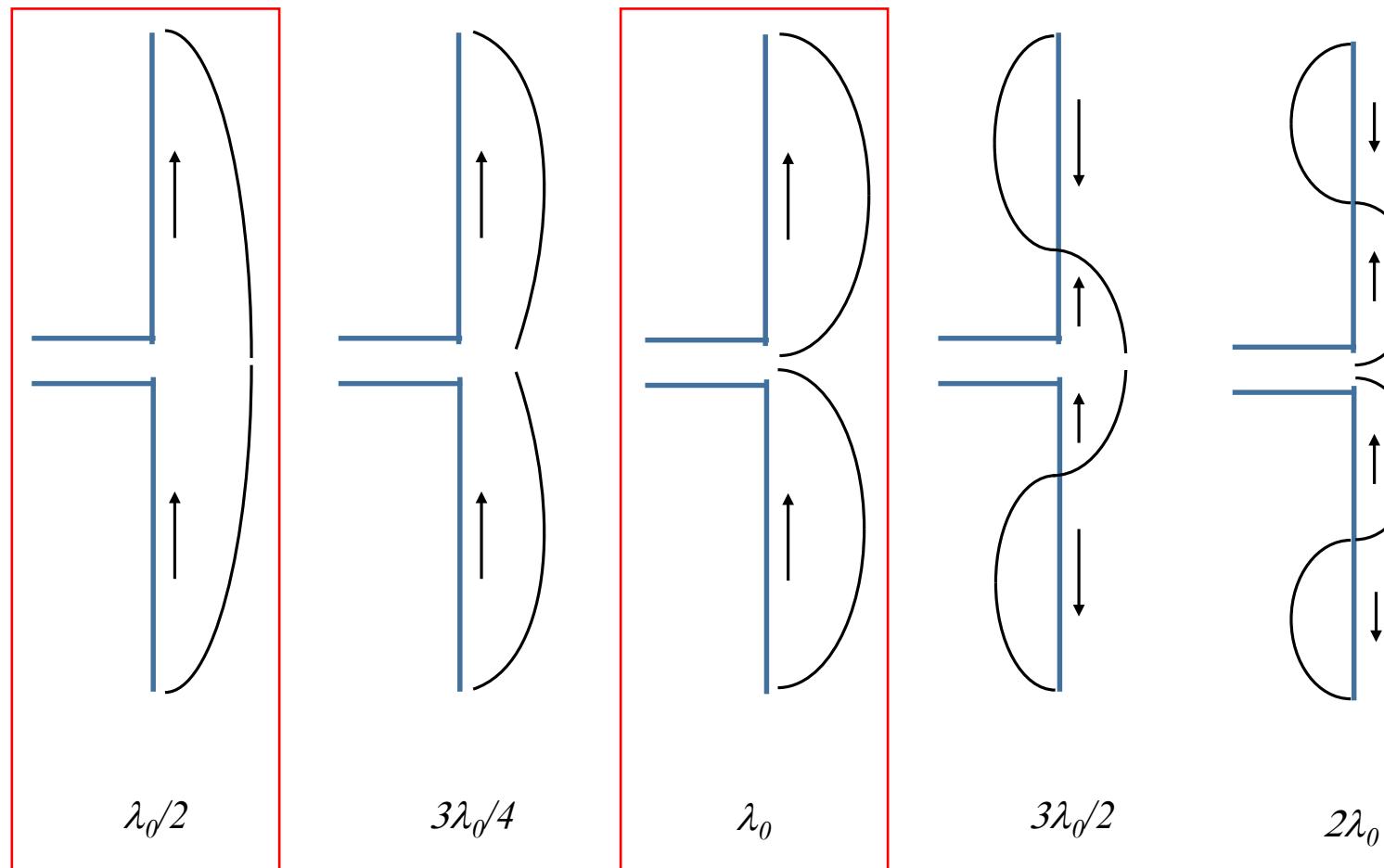
## Objective of this lecture

- Apply the general formulation to analyze wire antennas.
- We will consider dipoles, the monopole and the folded dipole.
- Show that wire antennas have a much higher  $R_a$  as compared to the electric dipole.

# Current distribution on wire antenna



# Current distribution various lengths



# Apply our recipe

## Far-field expression

$$\vec{E}(\vec{r}) = \frac{-k_0^2}{j\omega\epsilon_0} \frac{e^{-jk_0r}}{4\pi r} \left[ \vec{u}_r \times \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0 \right]$$

$$\vec{J}_e(\vec{r}_0) = I_0 \delta(x_0) \delta(y_0) \sin[k_0(l - |z_0|)] \vec{u}_z$$

$$\vec{u}_r \times \vec{u}_r \times \vec{u}_z = \vec{u}_\theta \sin \theta$$

$$\vec{E}(\vec{r}) = [E_\theta \vec{u}_\theta]$$

$$E_\theta = \frac{-k_0^2 I_0}{j\omega\epsilon_0} \frac{e^{-jk_0r}}{4\pi r} \sin \theta \int_{-l}^l \sin[k_0(l - |z_0|)] e^{jk_0 z_0 \cos \theta} dz_0$$

$I_{z_0}$

# Solving the integral

$$I_{z_0} = \int_{-l}^l \sin[k_0(l - |z_0|)] e^{jk_0 z_0 \cos \theta} dz_0$$

$$= \int_0^l \sin[k_0(l - z_0)] e^{jk_0 z_0 \cos \theta} dz_0 + \int_{-l}^0 \sin[k_0(l + z_0)] e^{jk_0 z_0 \cos \theta} dz_0$$

Substitute  $\tilde{z}_0 = -z_0$

$$= \int_0^l \sin[k_0(l - z_0)] e^{jk_0 z_0 \cos \theta} dz_0 + \int_0^l \sin[k_0(l - z_0)] e^{-jk_0 z_0 \cos \theta} dz_0$$

$$= \boxed{2 \int_0^l \sin[k_0(l - z_0)] \cos(k_0 z_0 \cos \theta) dz_0} = \frac{2[\cos(k_0 l \cos \theta) - \cos(k_0 l)]}{k_0 \sin^2 \theta}$$

$2 \sin \alpha \cos \beta = \sin(\alpha - \beta) + \sin(\alpha + \beta)$

# Fields and radiation pattern

# Far-field expression

$$E_\theta = \frac{-k_0^2 I_0}{j\omega\epsilon_0} \frac{e^{-jk_0r}}{4\pi r} \sin\theta \left( I_{z_0} \right) = jZ_0 I_0 \frac{e^{-jk_0r}}{2\pi r} \left[ \frac{\cos(k_0 l \cos\theta) - \cos(k_0 l)}{\sin\theta} \right]$$

**Pointing vector**

# Pointing vector

$$\vec{S}_p = \frac{1}{2Z_0} \left| \vec{E} \right|^2 \vec{u}_r = \frac{Z_0 |I_0|^2}{8\pi^2 r^2} \left[ \frac{\text{Spherical wave expansion } \cos(k_0 l \cos \theta) - \cos(k_0 l)}{\sin \theta} \right]^2 \vec{u}_r$$

$\theta$ -dependence

# Radiation pattern

$$F(\theta) = \frac{P(\theta)}{P_{\max}} = \frac{\left| r^2 \vec{S}_p(\theta) \right|}{P_{\max}}$$

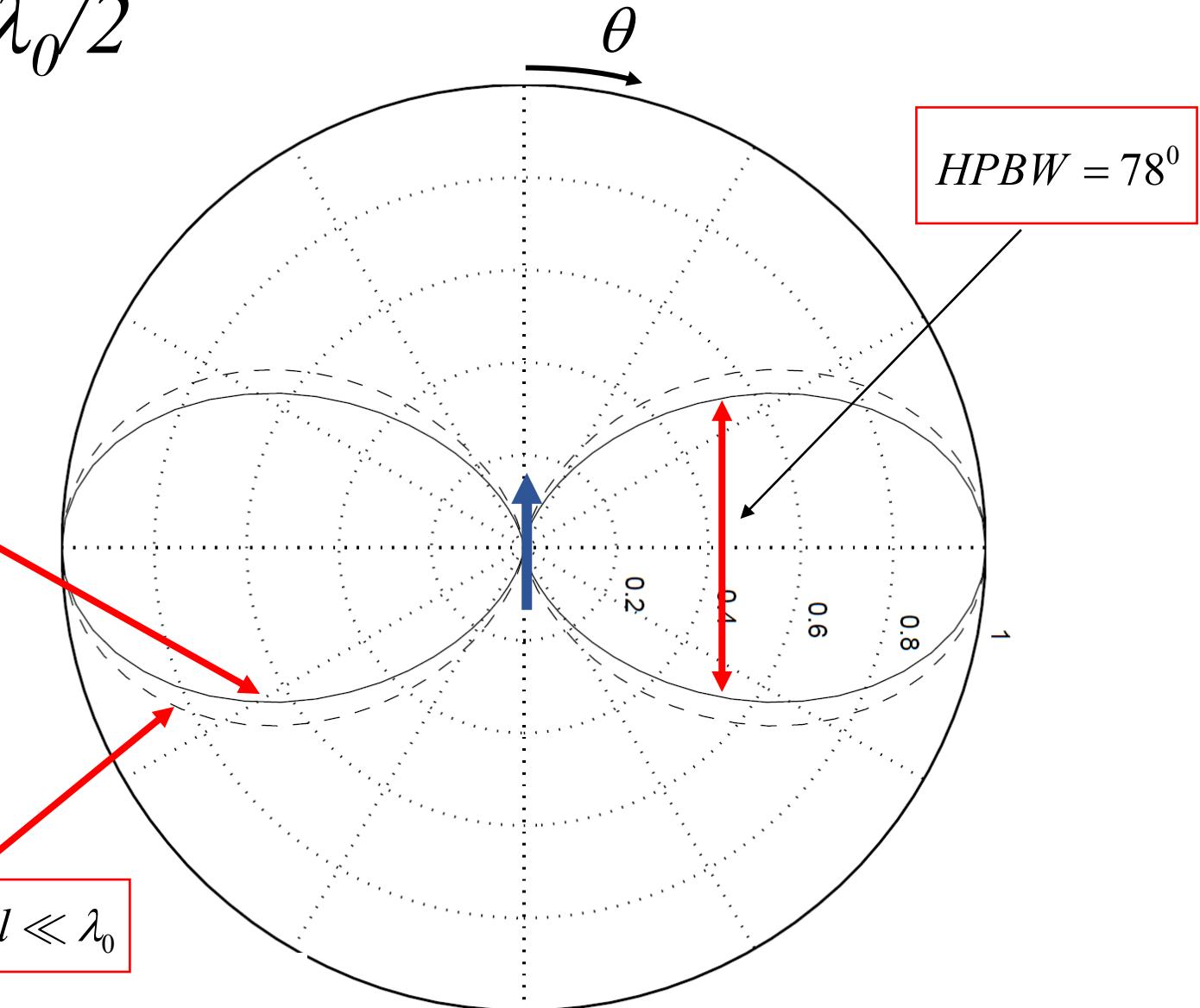
Radiation pattern  $2l = \lambda_0/2$

Polar diagram

$$\text{dipole } 2l = \frac{\lambda_0}{2}$$

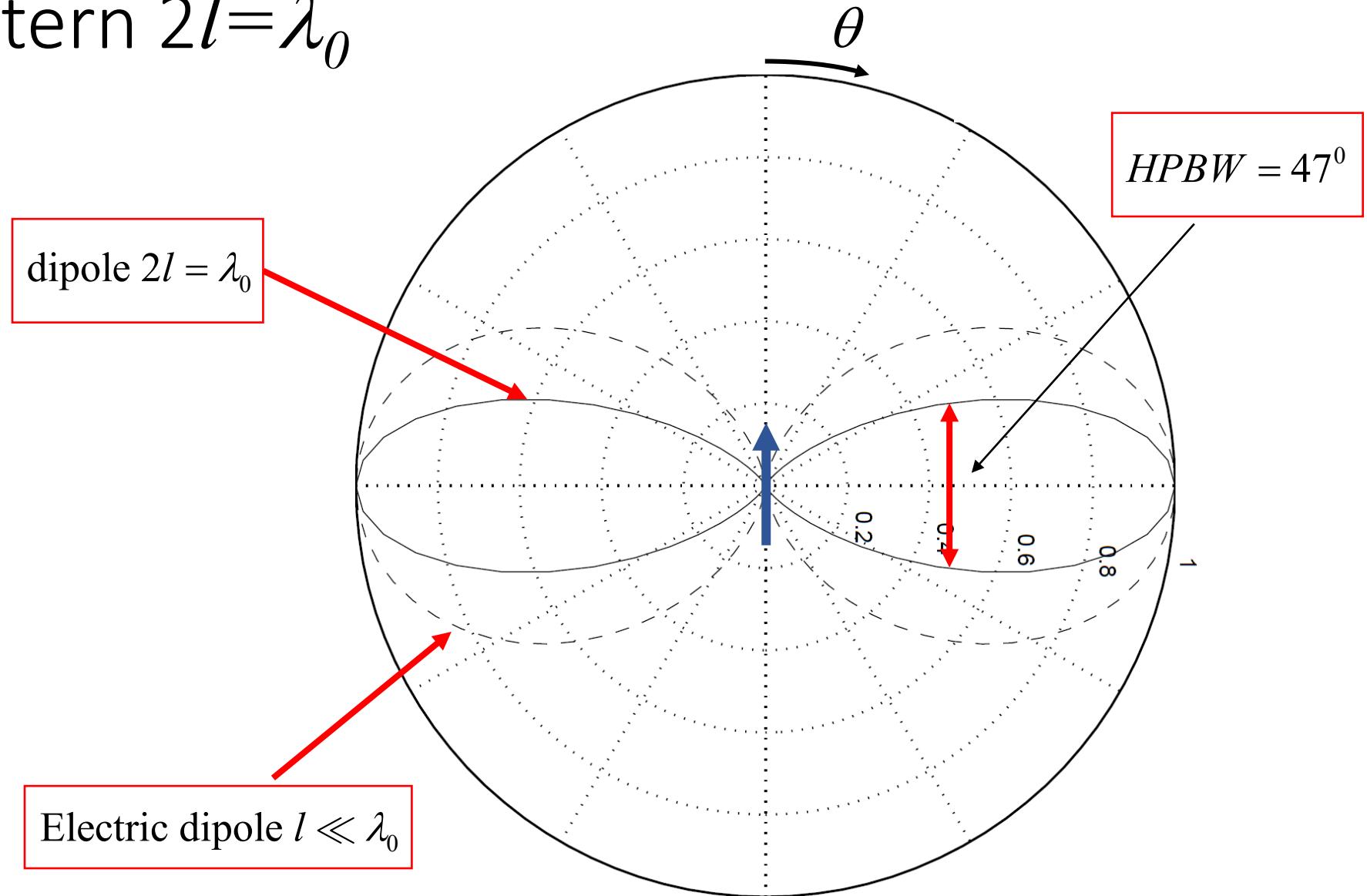
$$\text{Electric dipole } l \ll \lambda_0$$

$$HPBW = 78^\circ$$



Radiation pattern  $2l = \lambda_0$

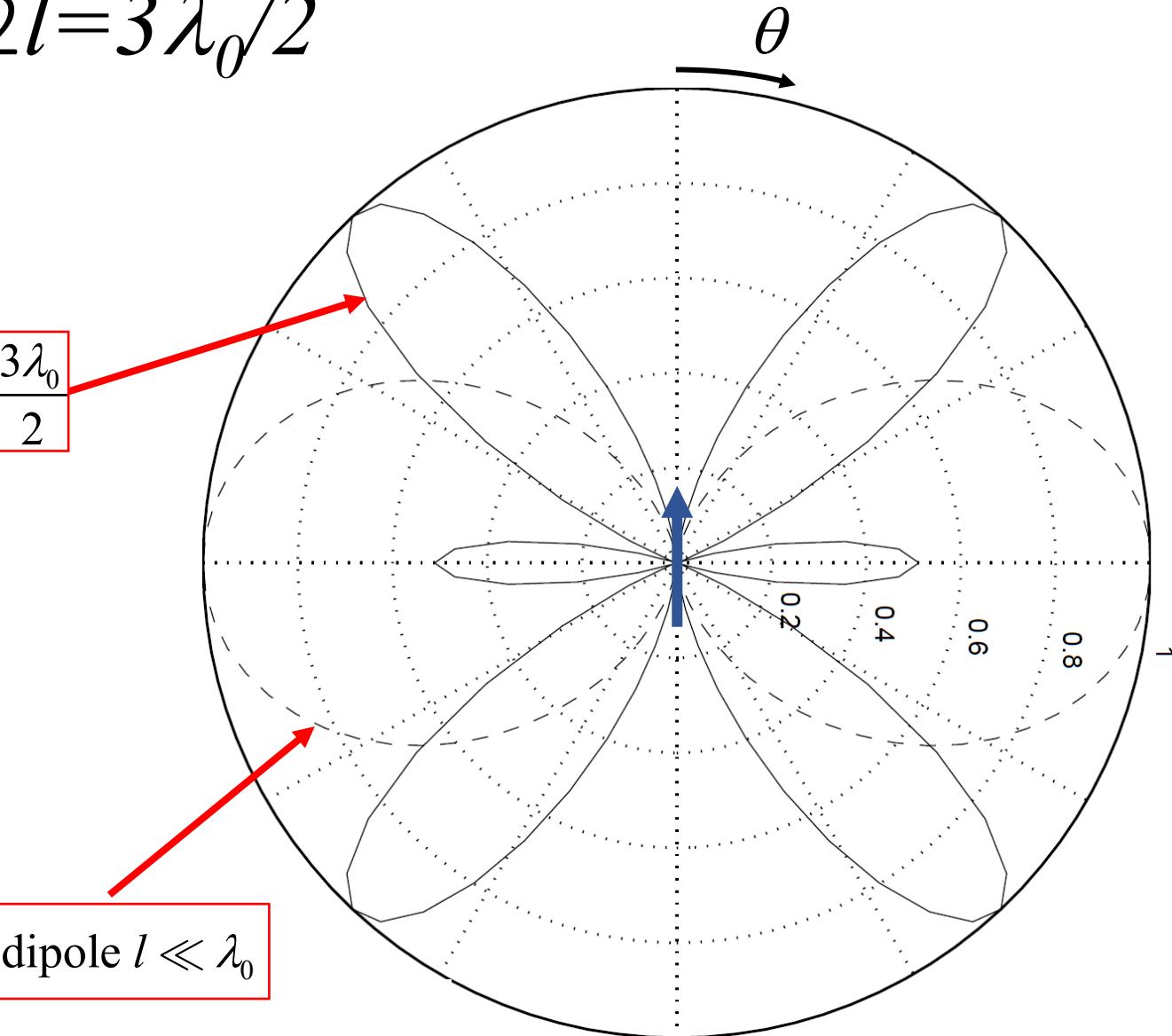
Polar diagram



Radiation pattern  $2l=3\lambda_0/2$

## Polar diagram

$$\text{dipole } 2l = \frac{3\lambda_0}{2}$$



Electric dipole  $l \ll \lambda_0$

# Radiation properties

## Total radiated power

$$P_t = \iint_{sphere} \vec{S}_p(\vec{r}) \cdot \vec{u}_r r^2 d\Omega$$

$$= \frac{Z_0 |I_0|^2}{8\pi^2} \left[ \int_0^{2\pi} \int_0^\pi \left[ \frac{\cos(k_0 l \cos \theta) - \cos(k_0 l)}{\sin \theta} \right]^2 \sin \theta d\theta d\phi \right]$$

$$= \frac{Z_0 |I_0|^2}{8\pi^2} \left[ \int_0^{2\pi} \left[ \int_0^\pi \left[ \frac{\cos(k_0 l \cos \theta) - \cos(k_0 l)}{\sin \theta} \right]^2 \sin \theta d\theta \right] d\phi \right]$$

$$= \frac{1}{2} 73.1 |I_0|^2 \text{ for } \frac{\lambda_0}{2} \text{ dipole}$$

$$I_p = 1.218 \text{ when } 2l = \frac{\lambda_0}{2}$$

# Radiation properties $\lambda_0/2$ dipole

## Directivity and antenna gain

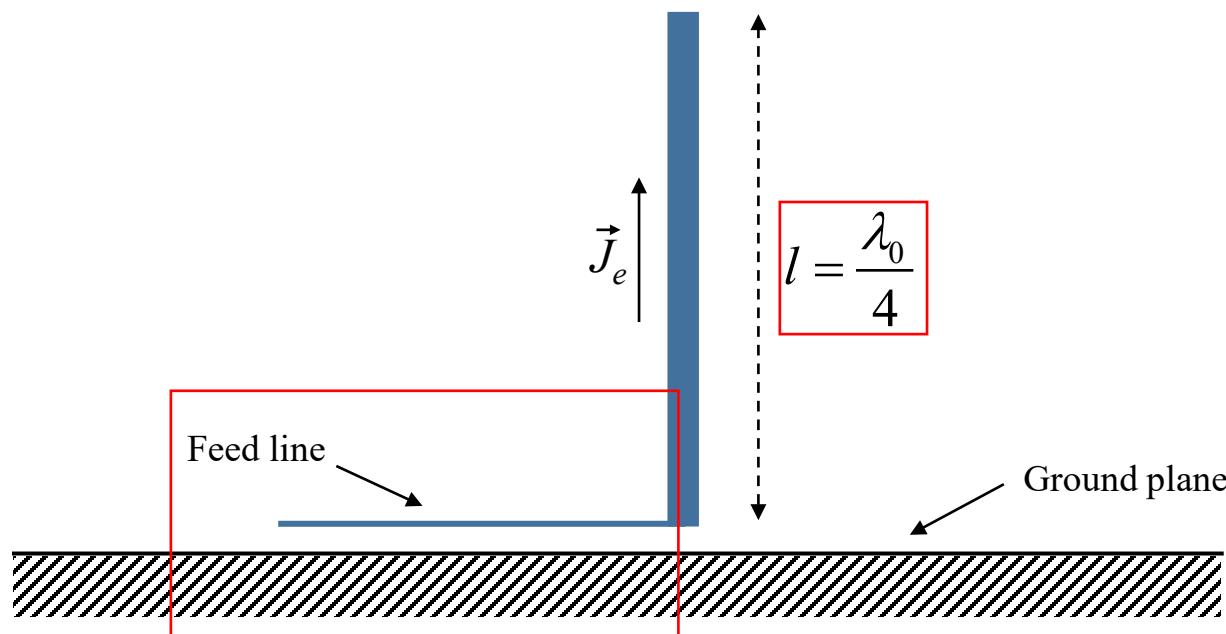
$$D = \frac{\max(P(\theta))}{P_t / 4\pi} = \frac{\frac{Z_0 |I_0|^2}{8\pi^2}}{\frac{73.1 |I_0|^2 / 2}{4\pi}} = 1.64 \rightarrow G = 1.64 \text{ for perfect conductors}$$

2.15 dBi

## Input impedance

$$Z_a = R_a = \frac{P_t}{\frac{1}{2} |I_0|^2} = 73.1 \Omega$$

# Monopole antenna

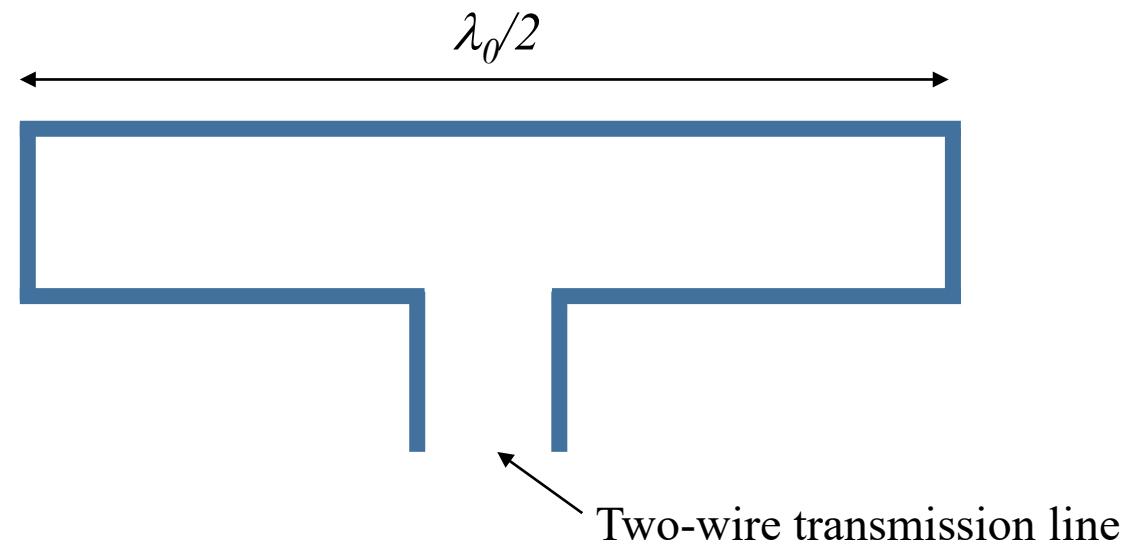


$$P_t = \frac{1}{2} P_{t,dipole} = \frac{1}{4} 73.1 |I_0|^2$$

$$D = 3.28 \text{ and } D_{dB} = 5.15 \text{ dBi}$$

$$Z_a = R_a = 36.5 \Omega$$

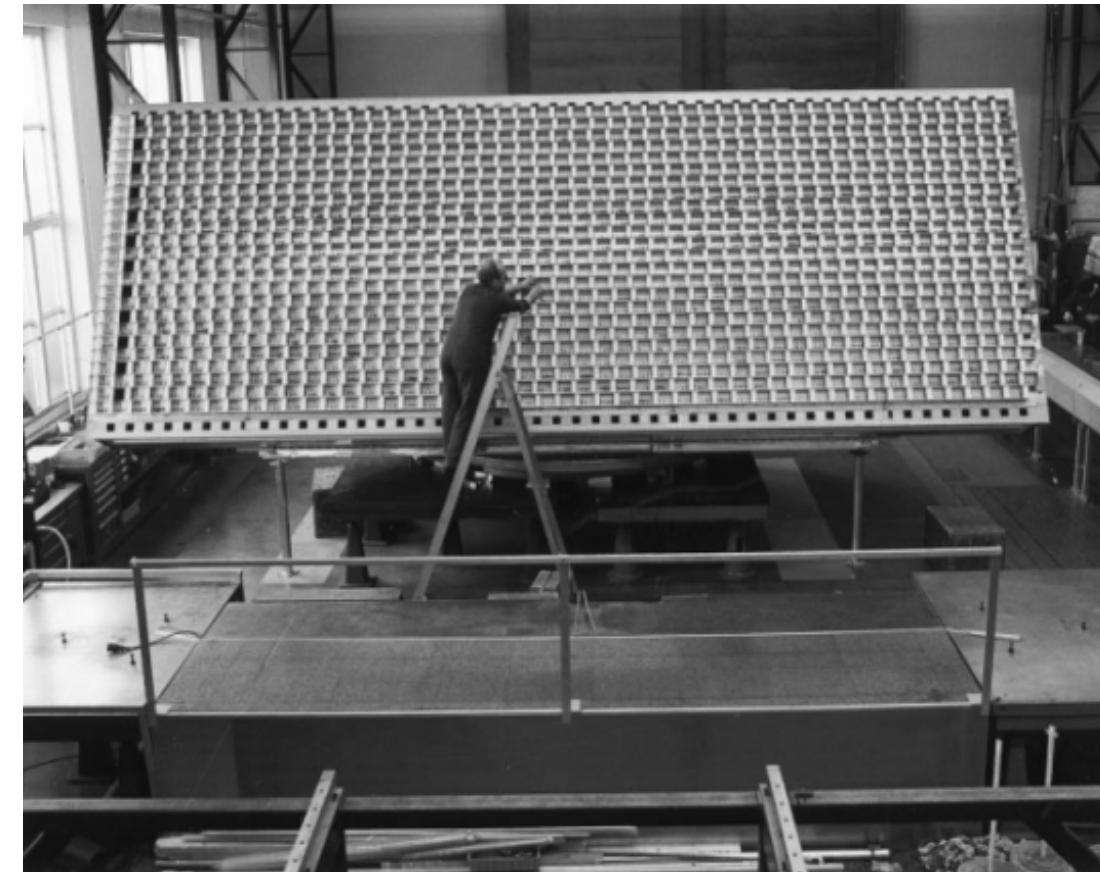
# Folded Dipole



$$Z_a = R_a = 4R_{a,dipole} = 292 \Omega$$

Radiation pattern similar to  $\frac{\lambda_0}{2}$  dipole

L-Band phased-array radar with 1024 folded dipoles



M.C. Van Beurden, A.B. Smolders, IEEE Trans. AP, 2002, pp.1266-1273

# Summary

- The radiation properties of wire antennas have been calculated.
- The half-wavelength dipole has a  $R_a = 73 \Omega$ , much more useful than the electric dipole.
- The monopole antenna and folded dipole have been introduced.

# Microwave Engineering and Antennas

## Loop Antennas and Magnetic Dipoles

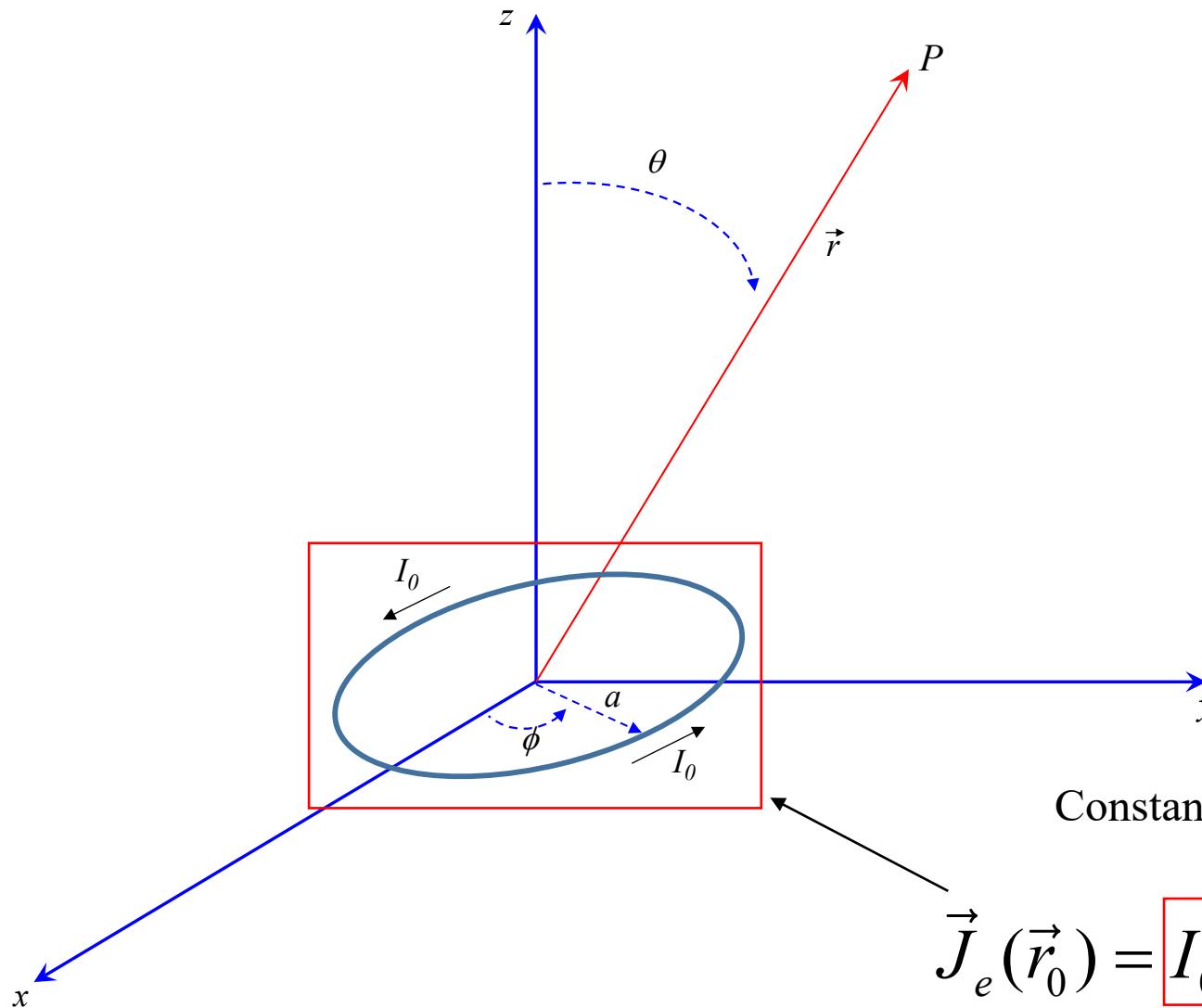
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Loop antennas

## Objective of this lecture

- Apply the general formulation to analyze loop antennas.
- We will show the analogy between a small loop antenna and an electric dipole.
- Introduce a perfect circularly-polarized antenna.

# Current distribution on loop antenna



$$\vec{J}_e(\vec{r}_0) = [I_0 \delta(\sqrt{x_0^2 + y_0^2} - a) \delta(z_0)] \vec{u}_{\phi_0}$$

Constant current along loop,  $d_0 \ll a \ll \lambda_0$

Thin wires  $d_0 \ll a \ll \lambda_0$

Current  $\phi_0$ -directed

$$\vec{u}_{\phi_0} = -\vec{u}_x \sin \phi_0 + \vec{u}_y \sin \phi_0$$

# Apply our recipe

## Far-field expression

$$\vec{H}(\vec{r}) = \frac{-jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \vec{J}_e(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

$$\vec{E}(\vec{r}) = Z_0 \vec{H}(\vec{r}) \times \vec{u}_r$$

$$\vec{J}_e(\vec{r}_0) = I_0 \delta(\sqrt{x_0^2 + y_0^2} - a) \delta(z_0) \vec{u}_{\phi_0}$$

$$\vec{u}_r \cdot \vec{r}_0 = a \cos(\phi - \phi_0) \sin \theta$$

↑  
observation point P      Source point on loop

$$\vec{H} = \frac{-jk_0 a I_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \vec{I}_{\phi_0}$$

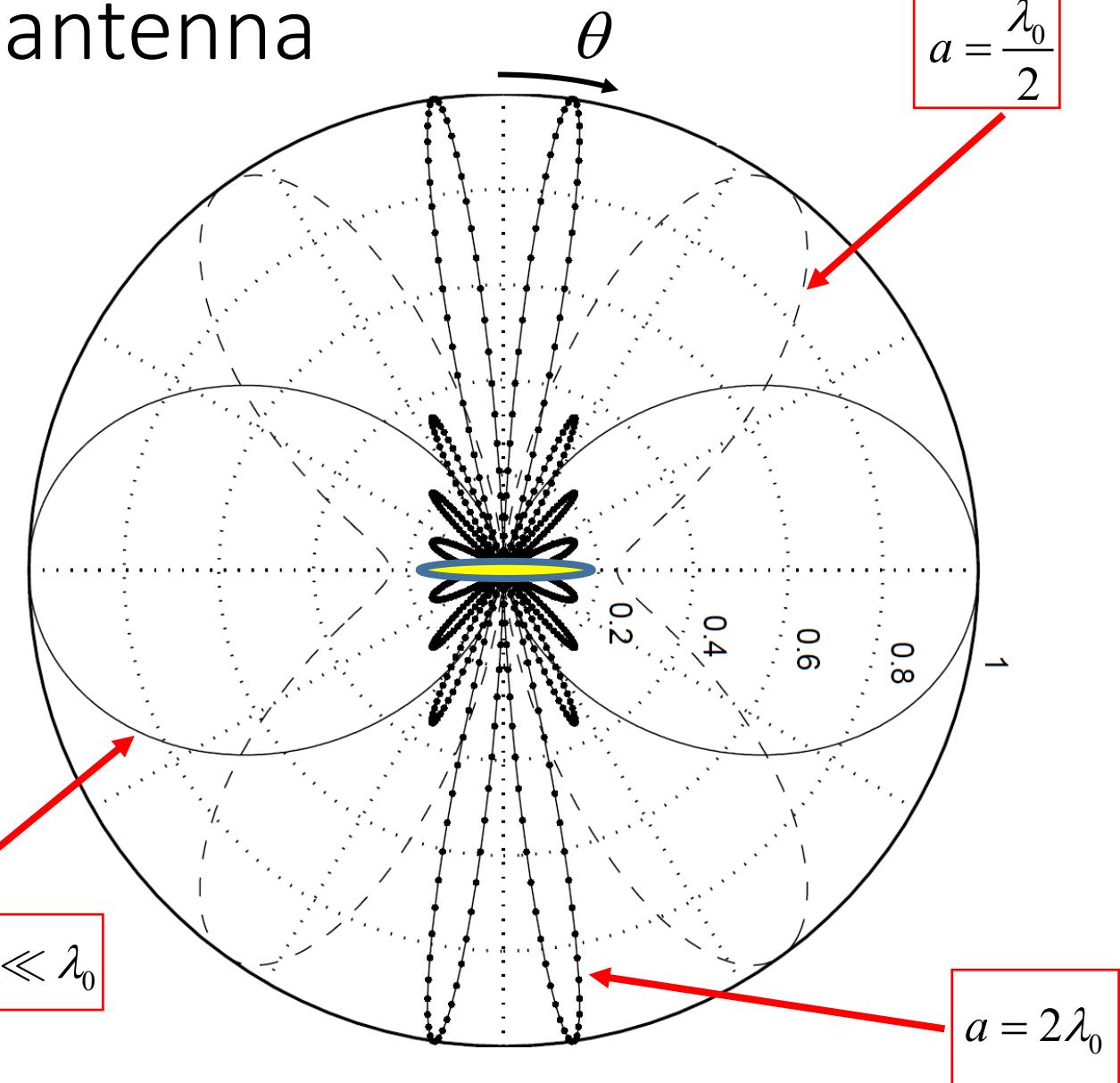
$$\vec{I}_{\phi_0} = \int_0^{2\pi} \vec{u}_{\phi_0} e^{jk_0 a \cos(\phi - \phi_0) \sin \theta} d\phi_0$$

$$= \int_0^{2\pi} \vec{u}_{\phi_0} e^{jk_0 a \cos \phi_0 \sin \theta} d\phi_0$$

Due to  $2\pi j k_0 a \sin \theta$  independent on  $\phi$   
 We can use  $\phi=0$

# Radiation pattern Loop antenna

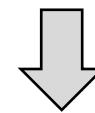
$$F(\theta) = \frac{P(\theta, \phi)}{\max(P(\theta, \phi))}$$
$$= \frac{|J_1(k_0 a \sin \theta)|^2}{\max(|J_1(k_0 a \sin \theta)|^2)}$$



# Magnetic dipole

## Small loop

$$J_1(k_0 a \sin \theta) \approx \frac{1}{2} k_0 a \sin \theta \quad a \ll \lambda_0$$



$$H_\theta = -\frac{k_0^2 (\pi a^2 I_0) e^{-jk_0 r}}{4\pi r} \sin \theta$$

$$E_\phi = \frac{k_0^2 Z_0 (\pi a^2 I_0) e^{-jk_0 r}}{4\pi r} \sin \theta$$

Magnetic dipole

$$H_\phi = \frac{j k_0 I_0 l e^{-jk_0 r}}{4\pi r} \sin \theta$$

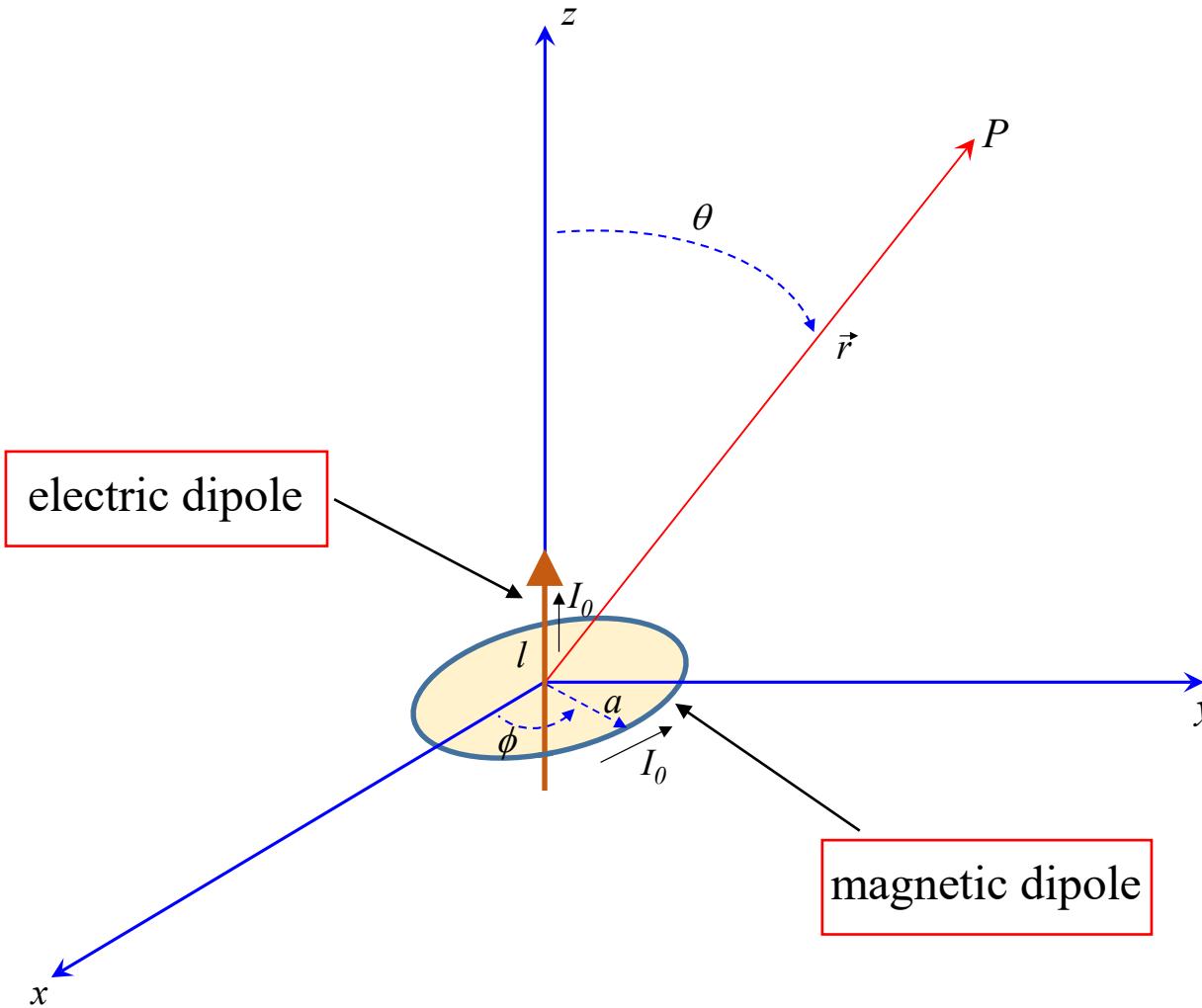
$$E_\theta = \frac{j k_0 Z_0 I_0 l e^{-jk_0 r}}{4\pi r} \sin \theta$$



Electric dipole

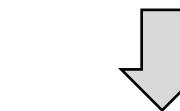
# Magnetic+Electric dipole combined

# Magnetic+Electric dipole combined

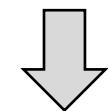


dipole moment

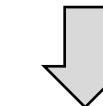
$$p = I_0 l = -k_0 \pi a^2 I_0 \quad p = I_0 l = k_0 \pi a^2 I_0$$



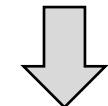
$$E_\phi = j E_\theta$$



$$E_\phi = -j E_\theta$$



LHCP



RHCP

Left-Hand Circular Polarization Right-Hand Circular Polarization

# Summary

- Loop antennas with uniform current distribution have been analyzed.
- The magnetic dipole is a small loop antenna.
- Combining the electric and magnetic dipole provides an antenna with perfect circular-polarization properties.

# Microwave Engineering and Antennas

## Magnetic Sources and the Equivalence Principle

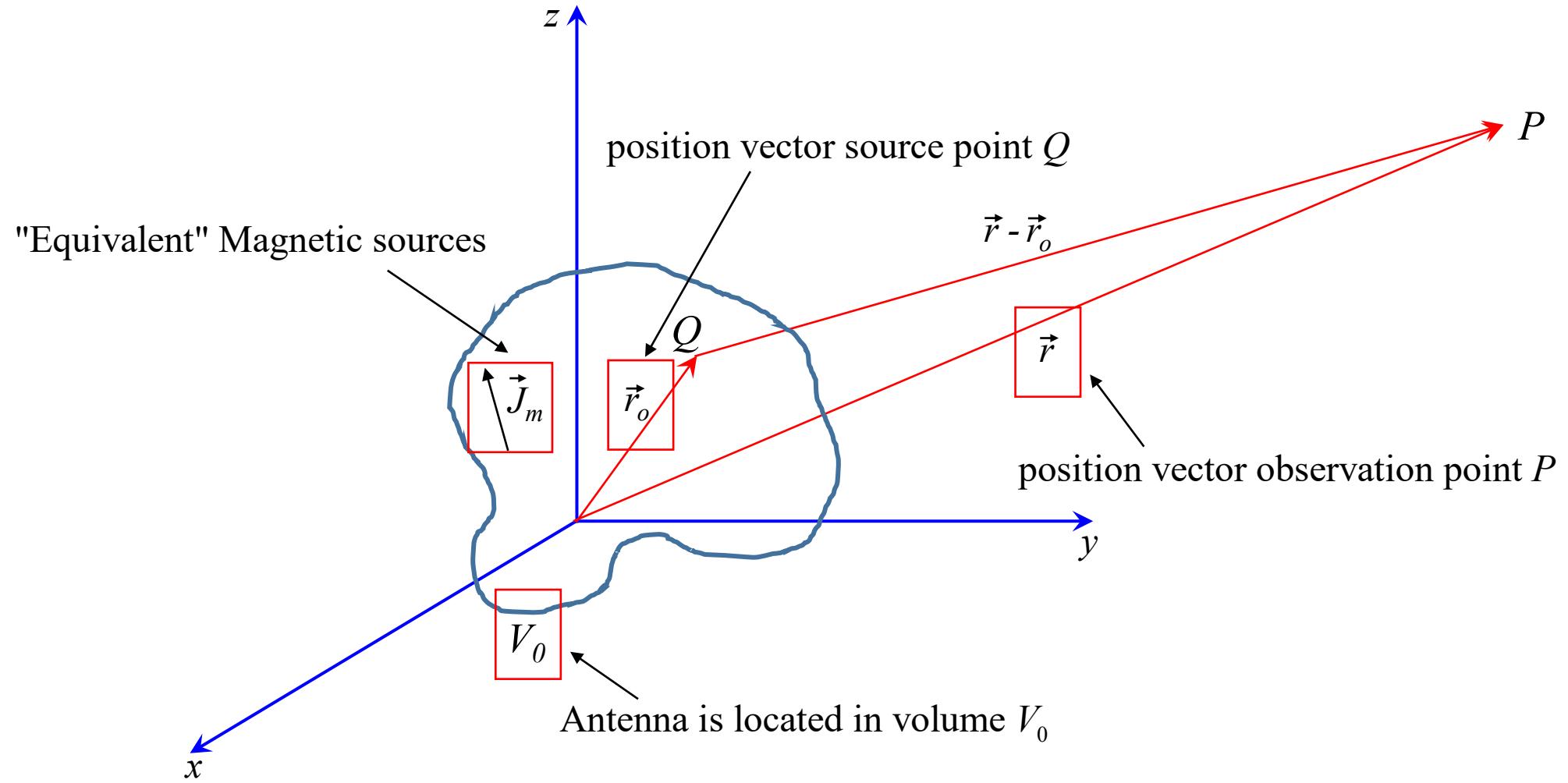
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# Magnetic sources and Equivalence principle

## **Objective of this lecture**

- Extension of Maxwell's equations with magnetic sources.
- Introduce the equivalence principle.
- Formulate a new recipe to determine the radiation properties of aperture antennas

# Magnetic sources



# Maxwell's equations with magnetic sources

$$\nabla \times \vec{E}(\vec{r}) = -j\omega\mu_0 \vec{H}(\vec{r}) - \boxed{\vec{J}_m(\vec{r})}$$

Equivalent magnetic current distribution in  $V_0$

$$\nabla \times \vec{H}(\vec{r}) = j\omega\epsilon_0 \vec{E}(\vec{r})$$

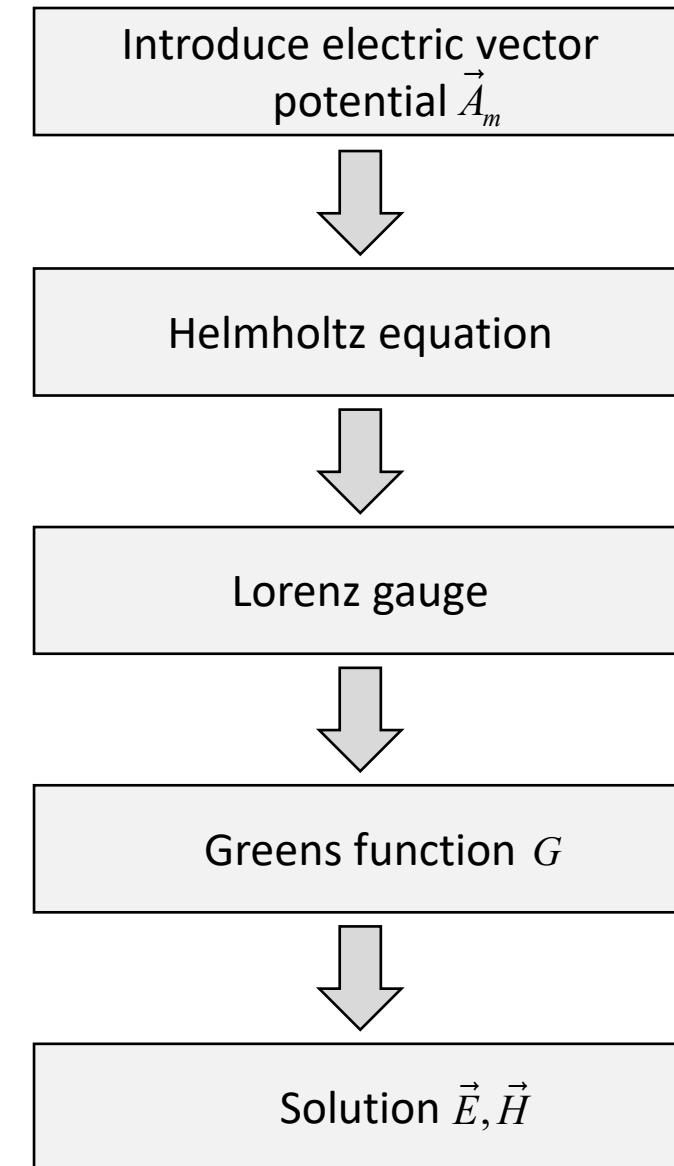
$$\nabla \cdot \vec{H}(\vec{r}) = \frac{\rho_m(\vec{r})}{\mu_0}$$

Magnetic charge distribution in  $V_0$

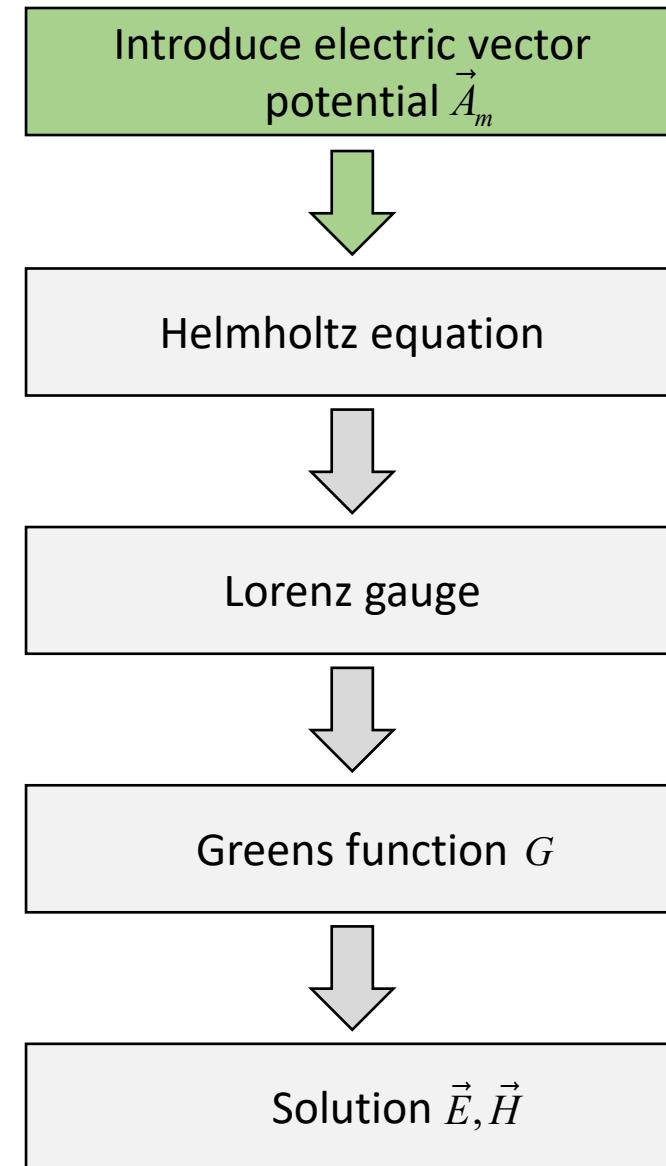
$$\boxed{\nabla \cdot \vec{E}(\vec{r}) = 0}$$

No electric sources, define electric vector potential  $\vec{A}_m$

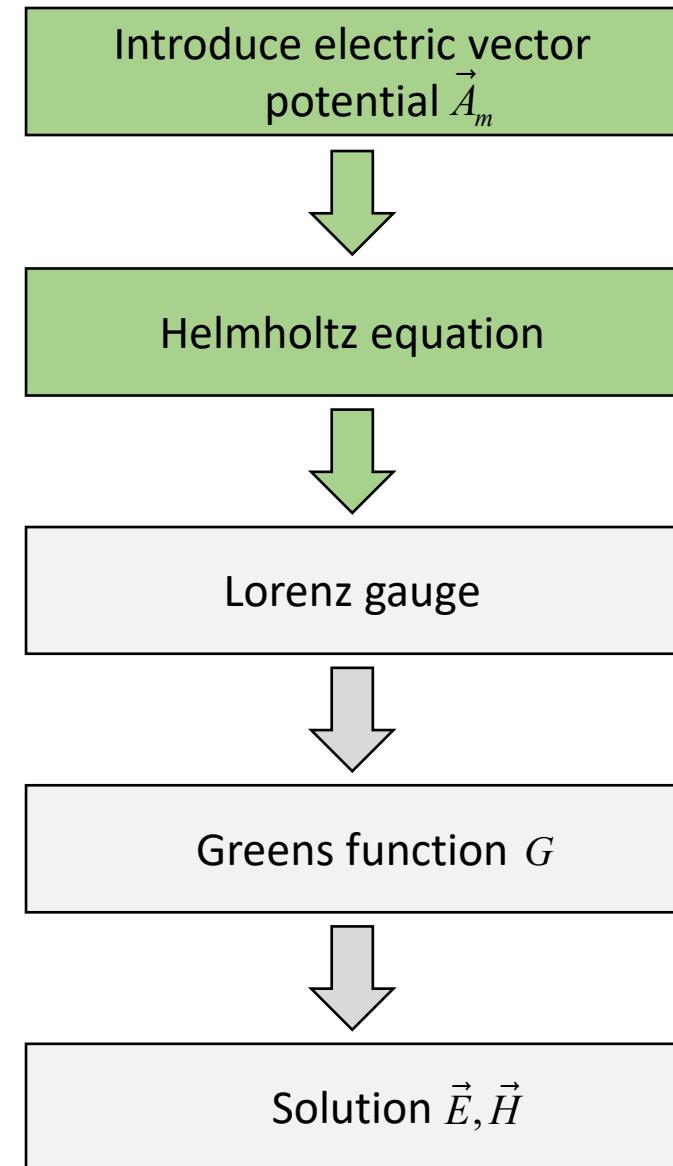
# Approach



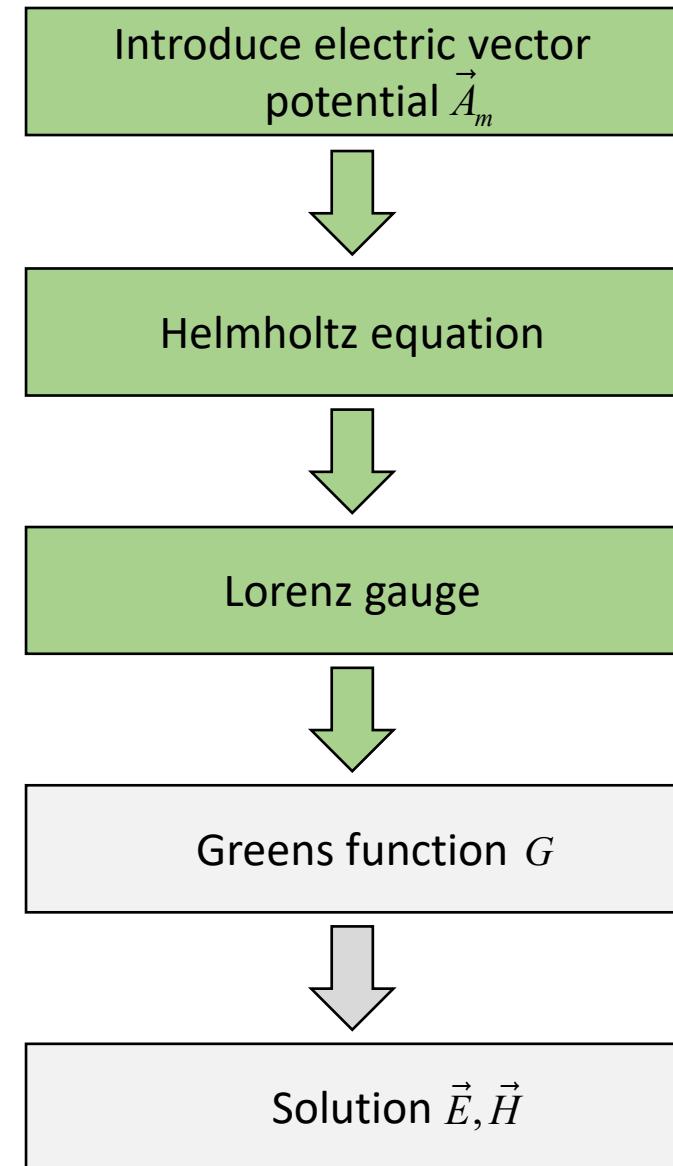
# Approach



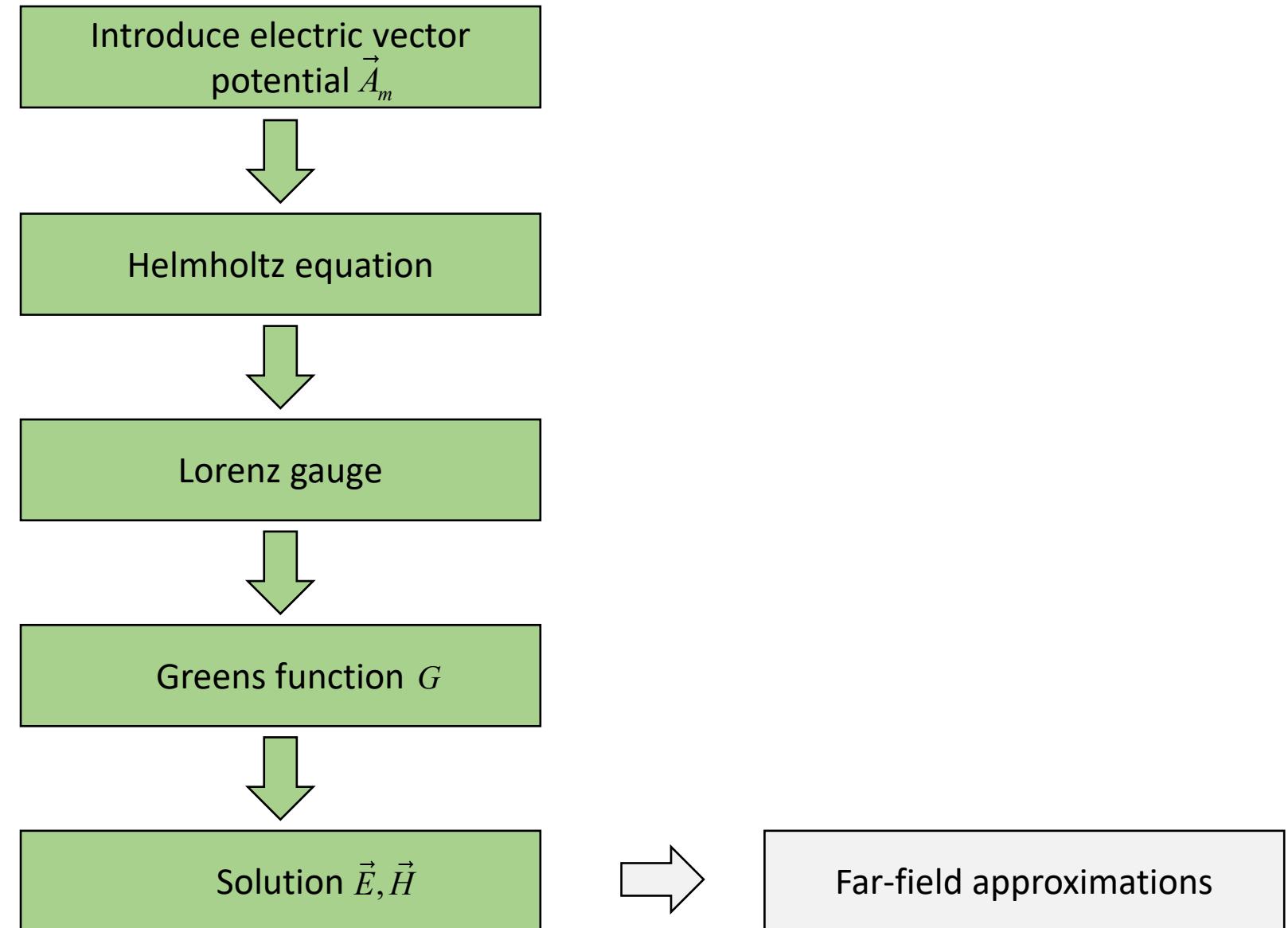
# Approach



# Approach



# Approach



# Far-field from sources

## Magnetic sources

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \boxed{\vec{J}_m(\vec{r}_0)} e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

magnetic current distribution

$$\vec{H}(\vec{r}) = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

$Z_0$

## Electric sources

$$\vec{E}(\vec{r}) = \frac{-k_0^2}{j\omega\epsilon_0} \frac{e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \vec{u}_r \times \iiint_{V_0} \boxed{\vec{J}_e(\vec{r}_0)} e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

electric current distribution

$$\vec{H}(\vec{r}) = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

$Z_0$

377 Ω

# Far-field approximation

## Electric and Magnetic sources

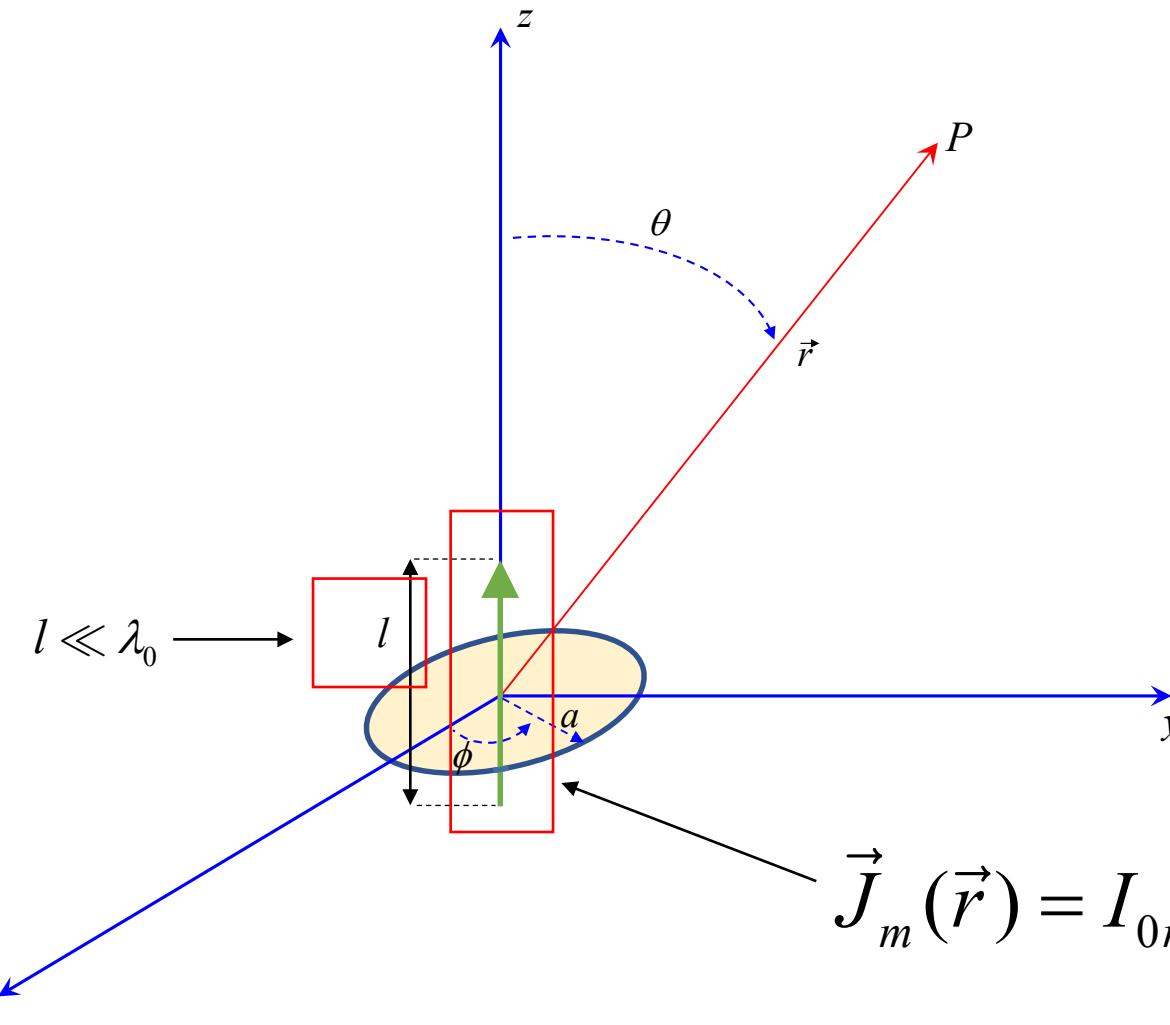
magnetic current distribution

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \left( \boxed{\vec{J}_m(\vec{r}_0)} + Z_0 \vec{u}_r \times \boxed{\vec{J}_e(\vec{r}_0)} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

electric current distribution

$$\vec{H}(\vec{r}) = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

# Magnetic dipole



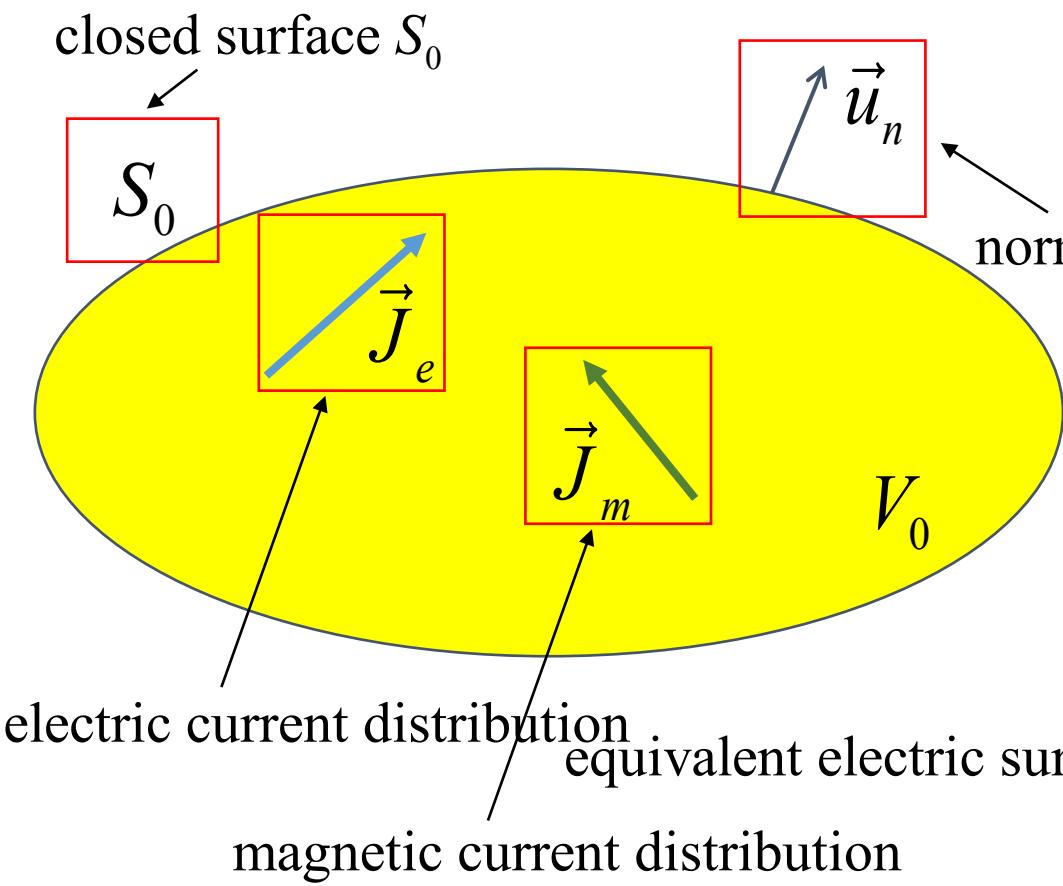
$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iiint_{V_0} \vec{J}_m(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

$$= \frac{-jk_0 I_{0m} l e^{-jk_0 r}}{4\pi r} \sin \theta \vec{u}_\phi$$

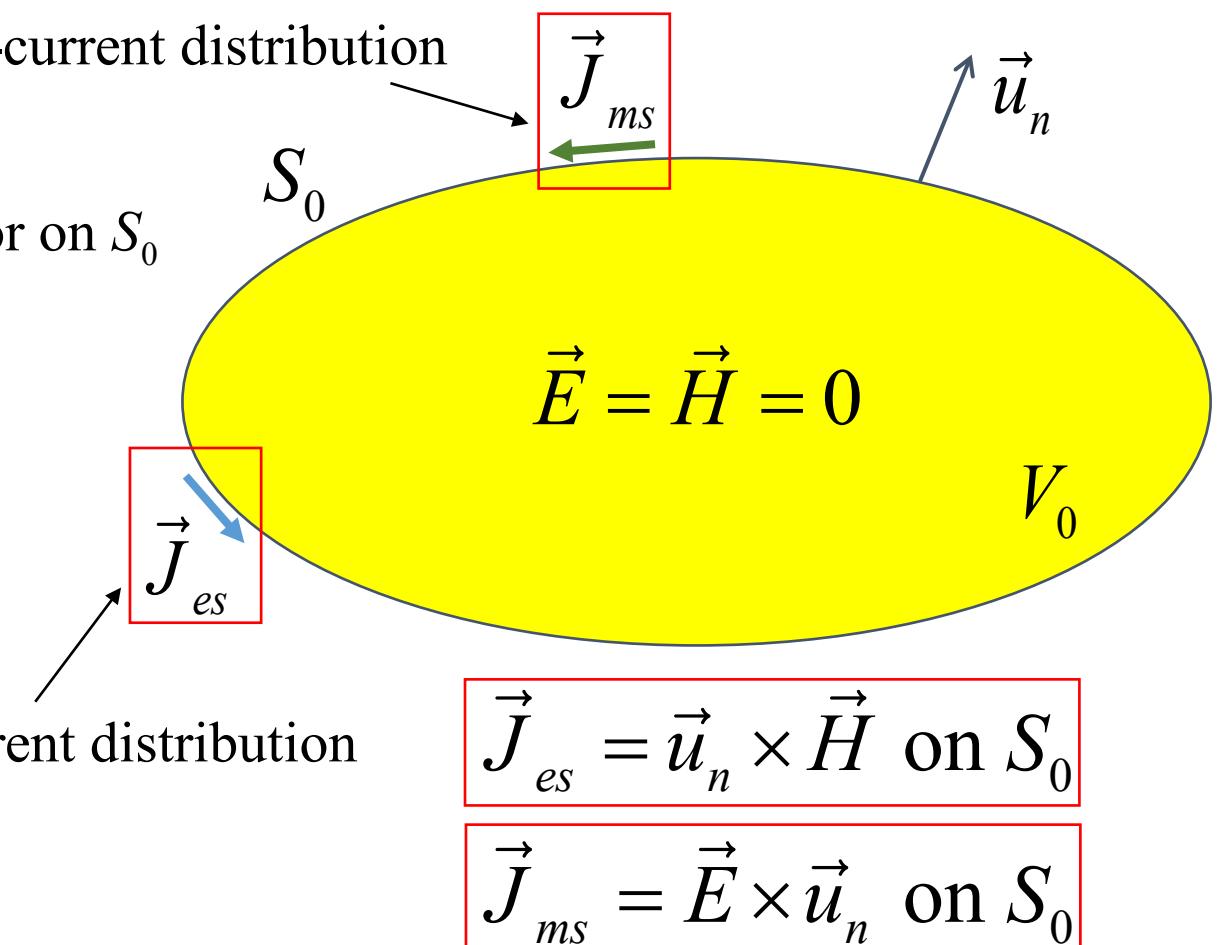
Similar to small loop  
of electric current!

# Equivalence principle

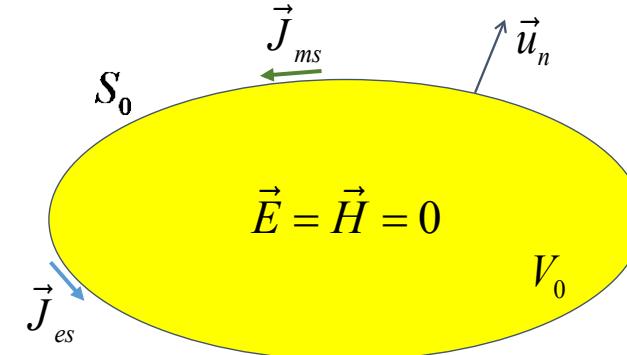
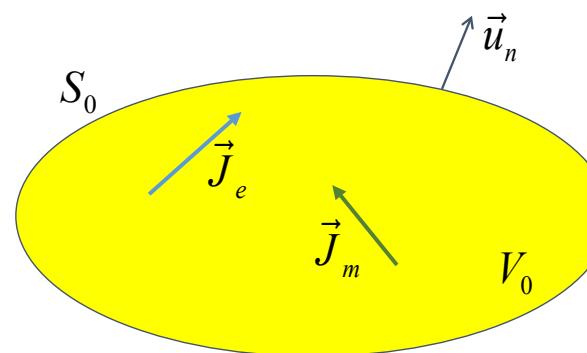
## Original problem



## Equivalent problem



# Radiated fields using equivalence principle



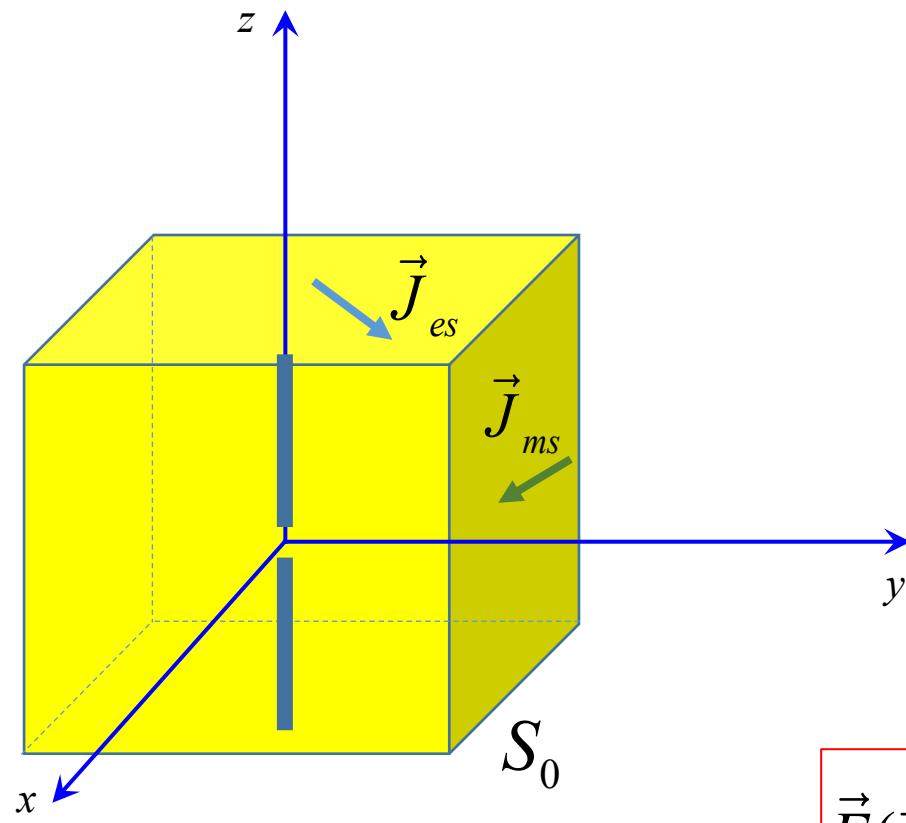
$$\begin{aligned}
 \vec{E}(\vec{r}) &= \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \vec{J}_{ms}(\vec{r}_0) + Z_0 \vec{u}_r \times \vec{J}_{es}(\vec{r}_0) \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dS_0 \\
 &= \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dS_0
 \end{aligned}$$

surface integral over \$S\_0\$

tangential fields on \$S\_0\$

$$\vec{H}(\vec{r}) = \frac{1}{Z_0} \vec{u}_r \times \vec{E}(\vec{r})$$

# Application of equivalence principle (1)



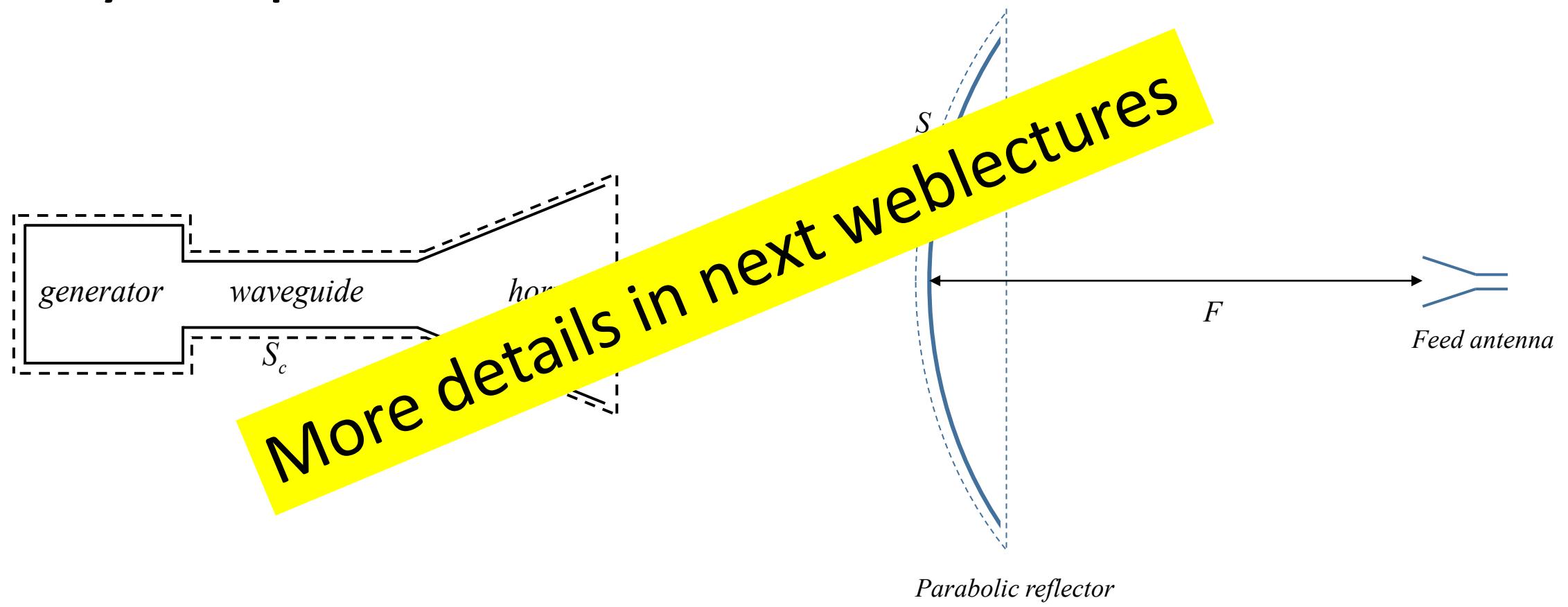
## Procedure used in EM solvers

- Define antenna problem
- Define a box with closed surface  $S_0$
- Solve EM fields in Box, e.g. with FEM
- Determine tangential fields on  $S_0$
- Calculate far-fields

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \vec{J}_{ms}(\vec{r}_0) + Z_0 \vec{u}_r \times \vec{J}_{es}(\vec{r}_0) \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dS_0$$

# Application of equivalence principle (2)

## Analysis of aperture antennas



# Summary

- Magnetic sources have been introduced.
  - Duality principle.
  - Magnetic dipole.
- Equivalence concept was introduced.
  - Useful concept to analyze aperture antennas.

# Summary

- Magnetic sources have been introduced.
  - Duality concept.
  - Magnetic dipole.
- Equivalence concept was introduced.
  - Useful concept to analyze aperture antennas.

# Microwave Engineering and Antennas

## Horn Antennas

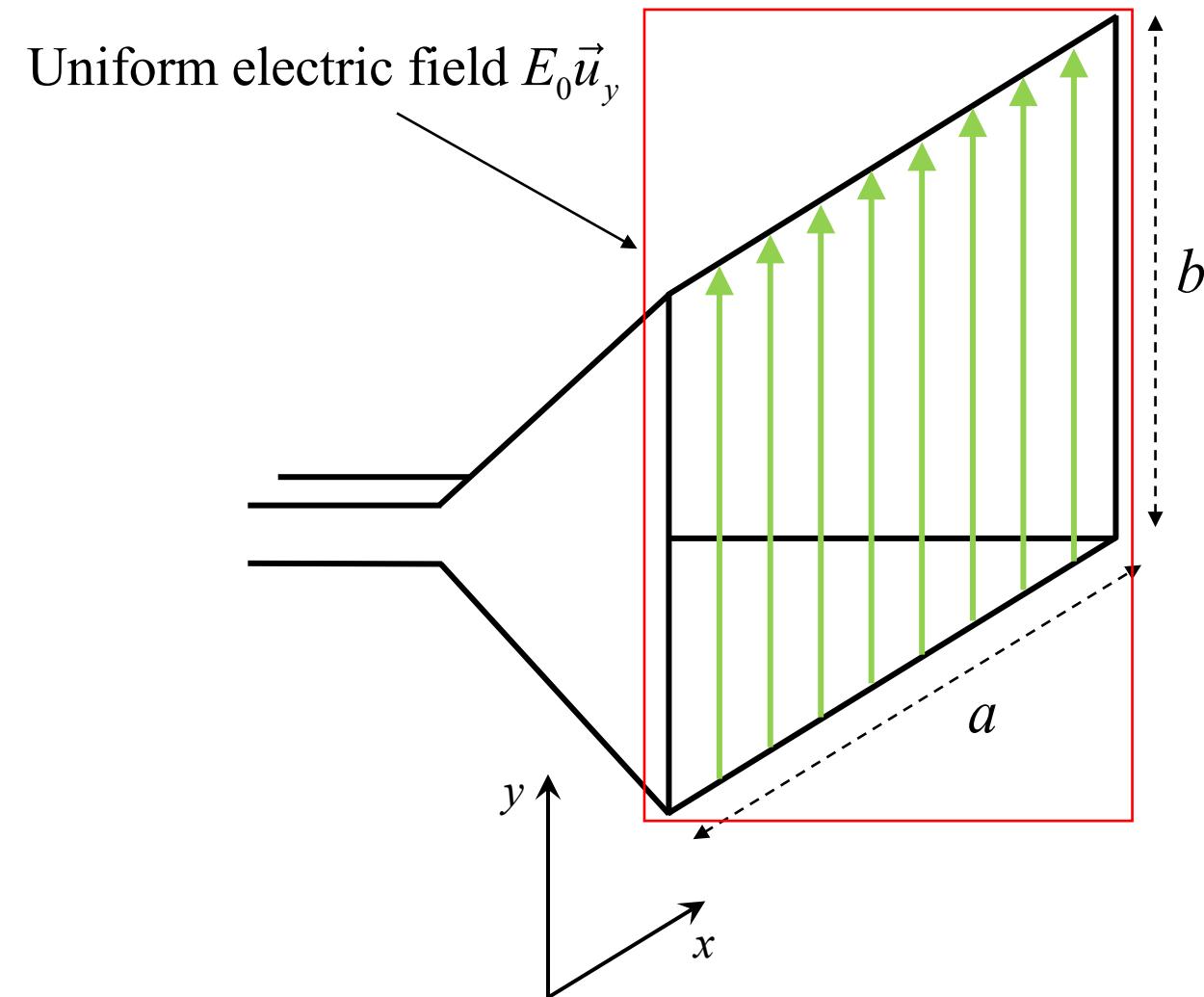
Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Horn antennas

## **Objective of this lecture**

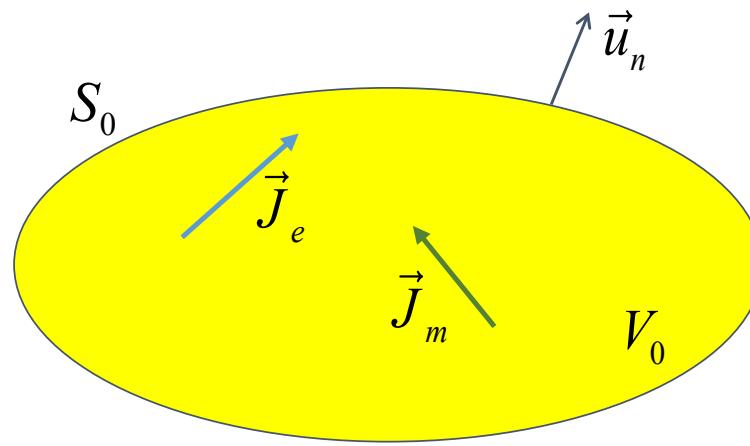
- Use the equivalence concept and apply our far-field recipe to horn antennas.
- Calculation of the radiation properties of a uniform rectangular aperture.

# Horn antenna model

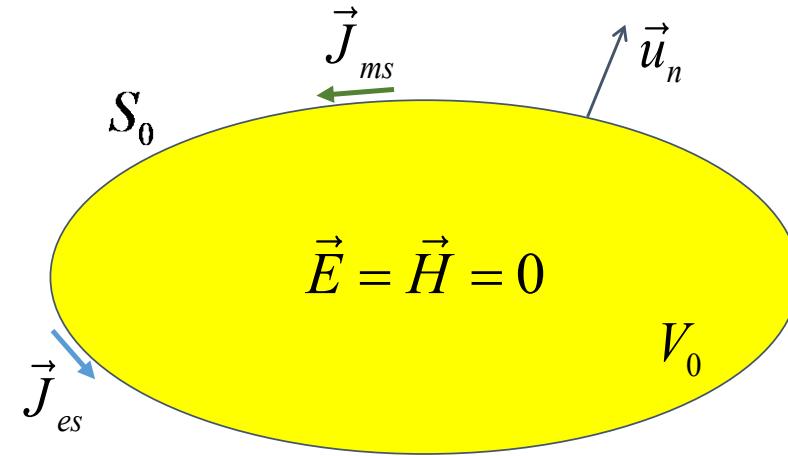


# Apply equivalence concept and our recipe (1)

**Original problem**



**Equivalent problem**



## Far-field

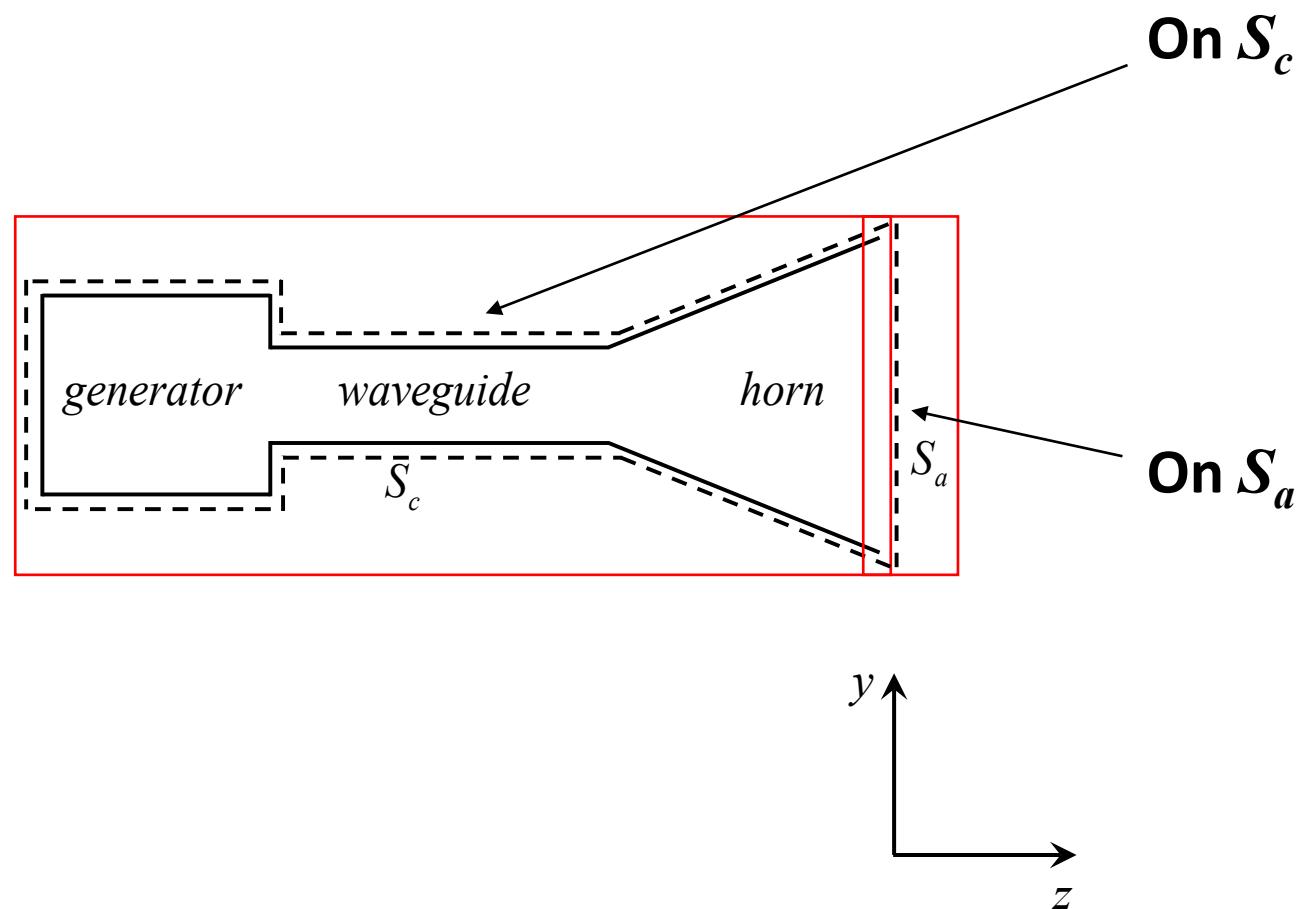
$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} \boxed{dS_0}$$

Determine tangential fields on  $S_0$

define closed surface  $S_0$

# Apply equivalence concept and our recipe (2)

Select closed surface  $S_a + S_c$



On  $S_c$

$$\vec{E} \times \vec{u}_n = \vec{0}$$

$$\vec{H} \times \vec{u}_n = \vec{0}$$

On  $S_a$

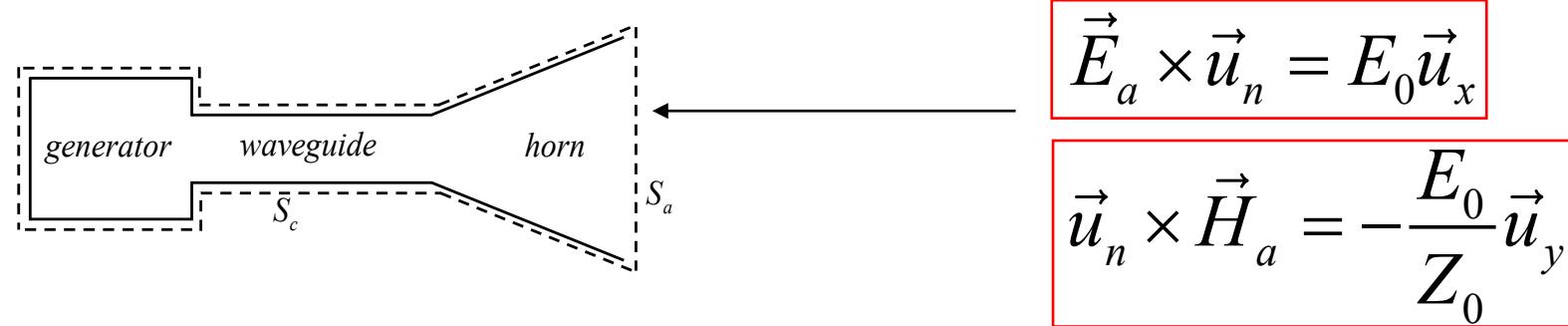
$$\vec{E}_a = E_0 \vec{u}_y$$

$$\vec{H}_a = -\frac{E_0}{Z_0} \vec{u}_x$$

$$\vec{E}_a \times \vec{u}_n = E_0 \vec{u}_x$$

$$\vec{u}_n \times \vec{H}_a = -\frac{E_0}{Z_0} \vec{u}_y$$

# Apply equivalence concept and our recipe (3)



$$\begin{aligned}
 \vec{E}(\vec{r}) &= \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dS_0 \\
 &= \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{-a/2}^{a/2} \int_{-b/2}^{b/2} \left( E_0 \vec{u}_x - \vec{u}_r \times E_0 \vec{u}_y \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dx_0 dy_0 \\
 &= \frac{jk_0 e^{-jk_0 r}}{4\pi r} \left( \vec{L}_m + \vec{L}_e \right)
 \end{aligned}$$

integration over aperture  $S_a$

# Far-field expression uniform aperture

$$\vec{E} = \frac{jk_0 e^{-jk_0 r}}{4\pi r} (\vec{L}_m + \vec{L}_e)$$

$$= \frac{jk_0 ab E_0 e^{-jk_0 r}}{4\pi r} (\vec{u}_\theta \sin \phi + \vec{u}_\phi \cos \phi) (\cos \theta + 1) \left[ \operatorname{sinc}\left(\frac{k_0 au}{2}\right) \right] \left[ \operatorname{sinc}\left(\frac{k_0 bv}{2}\right) \right]$$

$$= \vec{E}_\theta \vec{u}_\theta + \vec{E}_\phi \vec{u}_\phi \quad \text{← } E_\phi = 0 \text{ in } \phi = \frac{\pi}{2} \text{ plane (E-plane)}$$

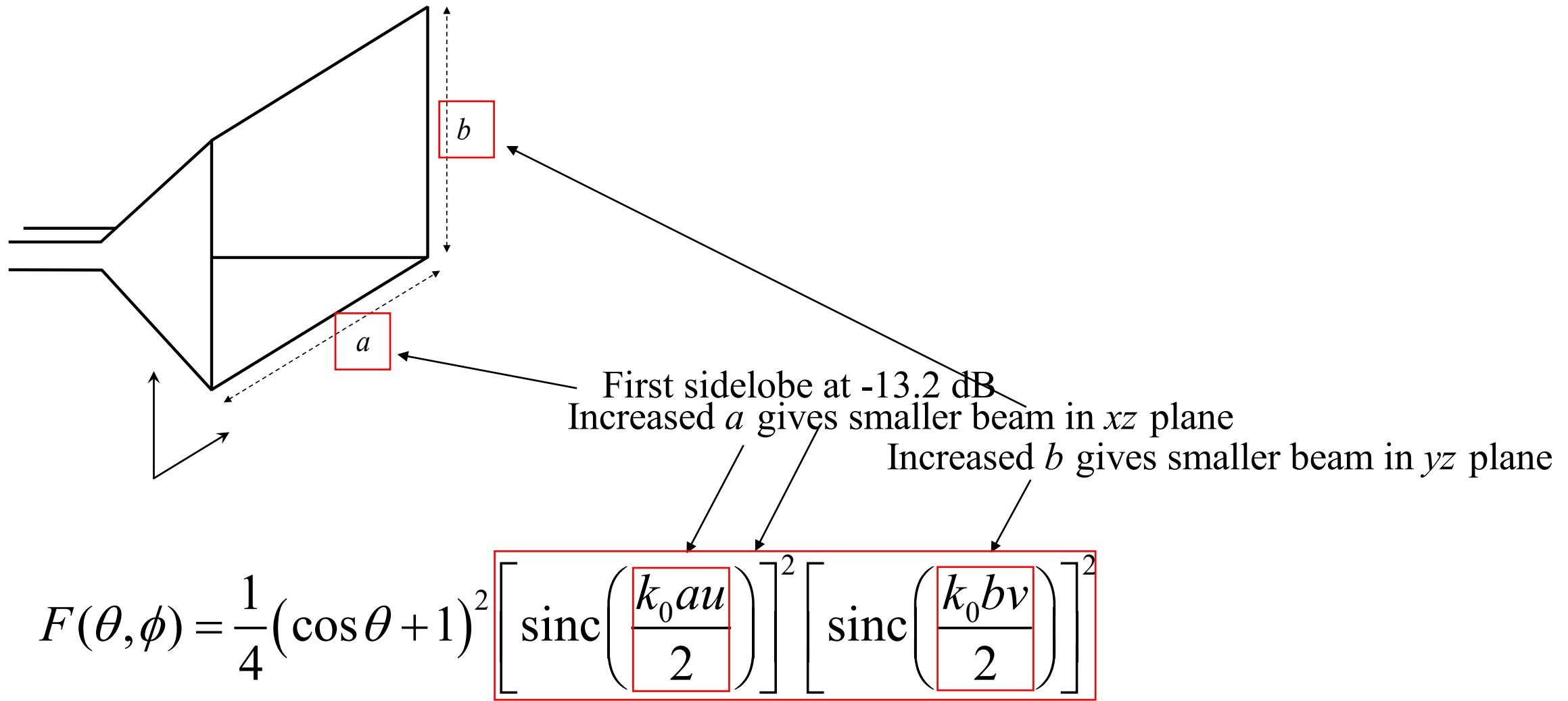
**Radiation pattern**     $E_\theta = 0$  in  $\phi = 0$  plane (H-plane)

$$u = \sin \theta \cos \phi$$

$$v = \sin \theta \sin \phi$$

$$F(\theta, \phi) = \frac{|E_\theta(\theta, \phi)|^2 + |E_\phi(\theta, \phi)|^2}{|E_\theta(0, 0)|^2 + |E_\phi(0, 0)|^2} \quad \text{← maximum value at } (\theta, \phi) = (0, 0)$$

# Properties horn antenna with uniform aperture

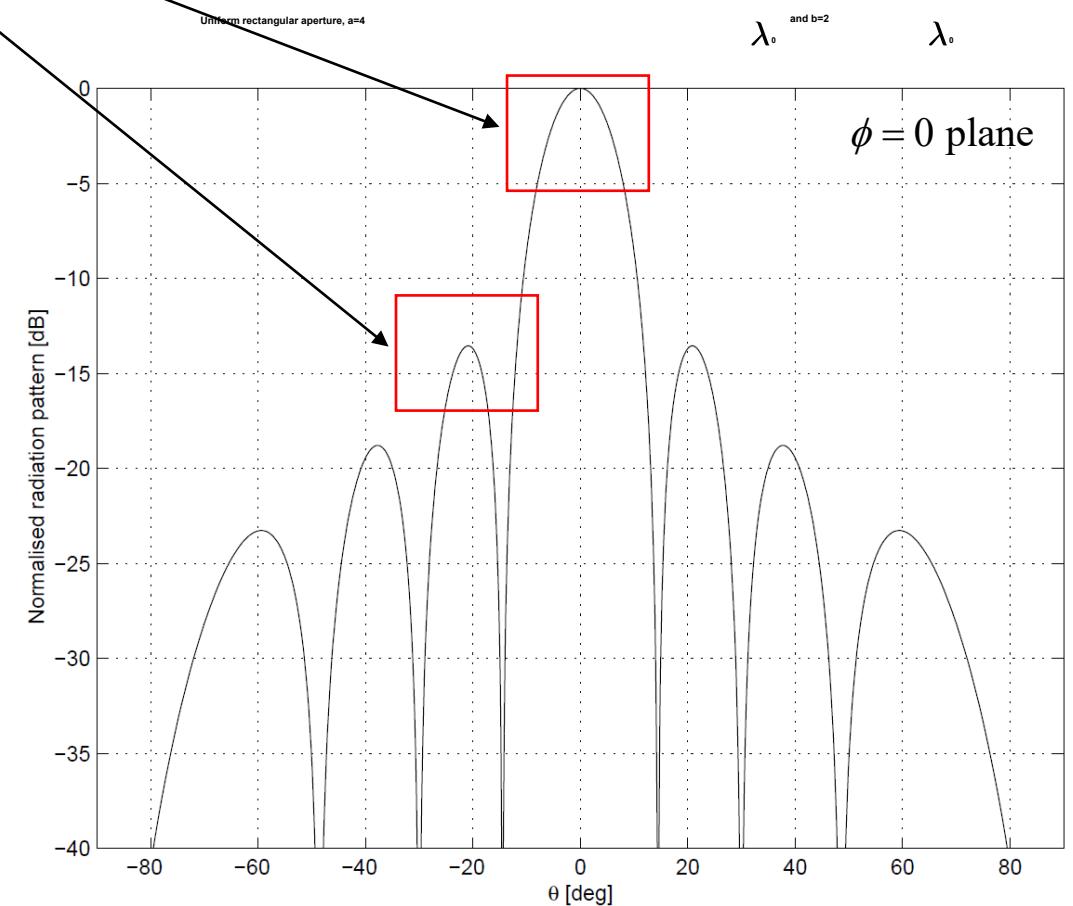
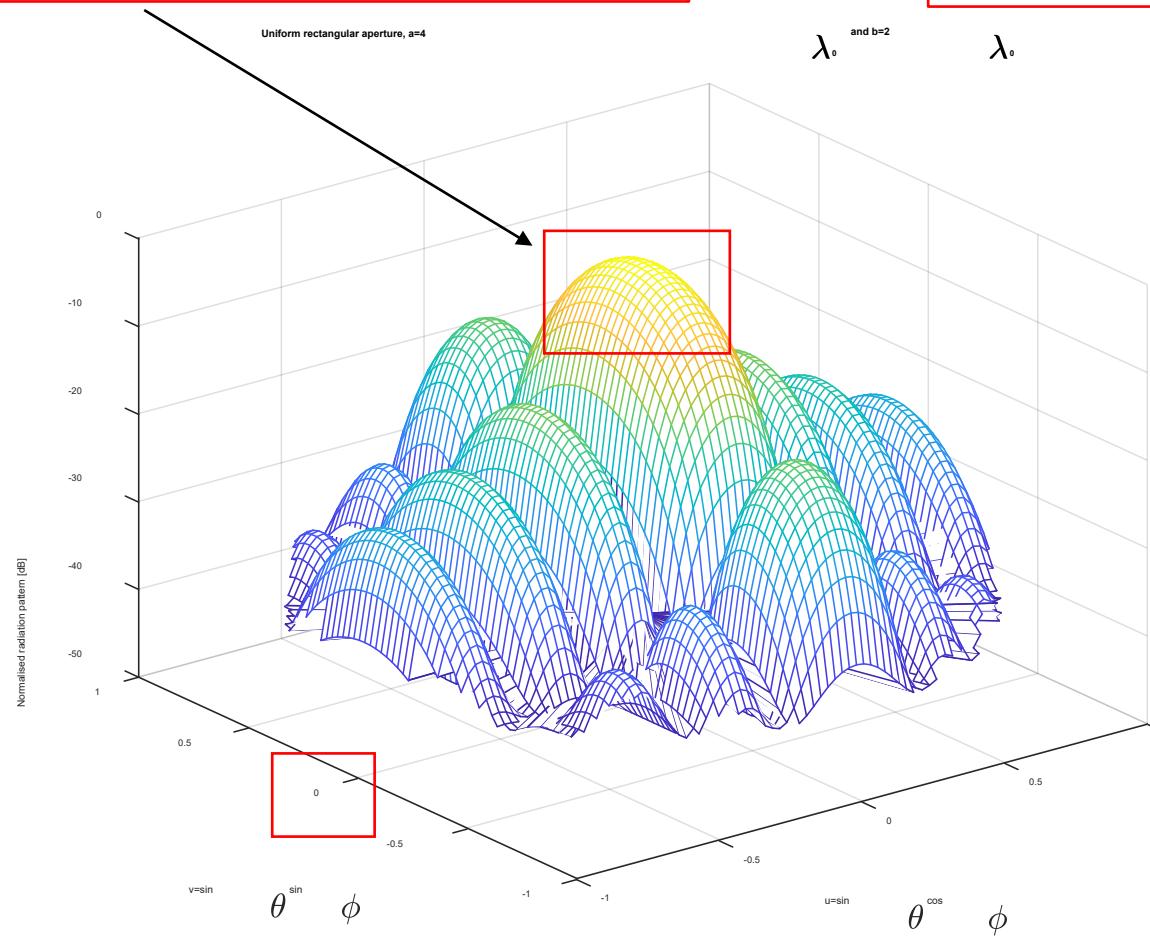
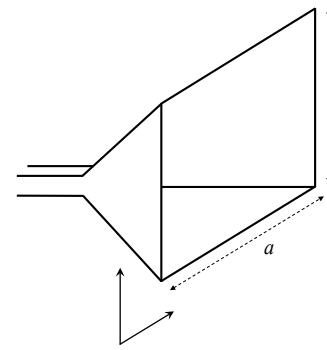


# Example horn antenna

$$\text{Directivity } D = 4\pi \frac{ab}{\lambda_0^2} \rightarrow 20 \text{ dBi}$$

$$HPBW = 2 \arcsin \left( \frac{0.433\lambda_0}{a} \right) = 12.4^\circ$$

First sidelobe at -13.26 dB



# Summary

- Horn antennas can be modelled as a uniform aperture.
- Using the tangential fields in the aperture, the radiation properties are found.
- First sidelobe at -13.2 dB.
- More realistic aperture fields can be determined with an EM solver.

# Microwave Engineering and Antennas

## Reflector Antennas

Bart Smolders, Professor

Department of Electrical Engineering

Center for Wireless Technology Eindhoven

# Reflector antennas

## **Objective of this lecture**

- Use the equivalence concept and apply our far-field recipe on reflector antennas.
- Determine radiation properties of circular apertures.
- Introduce tapering.

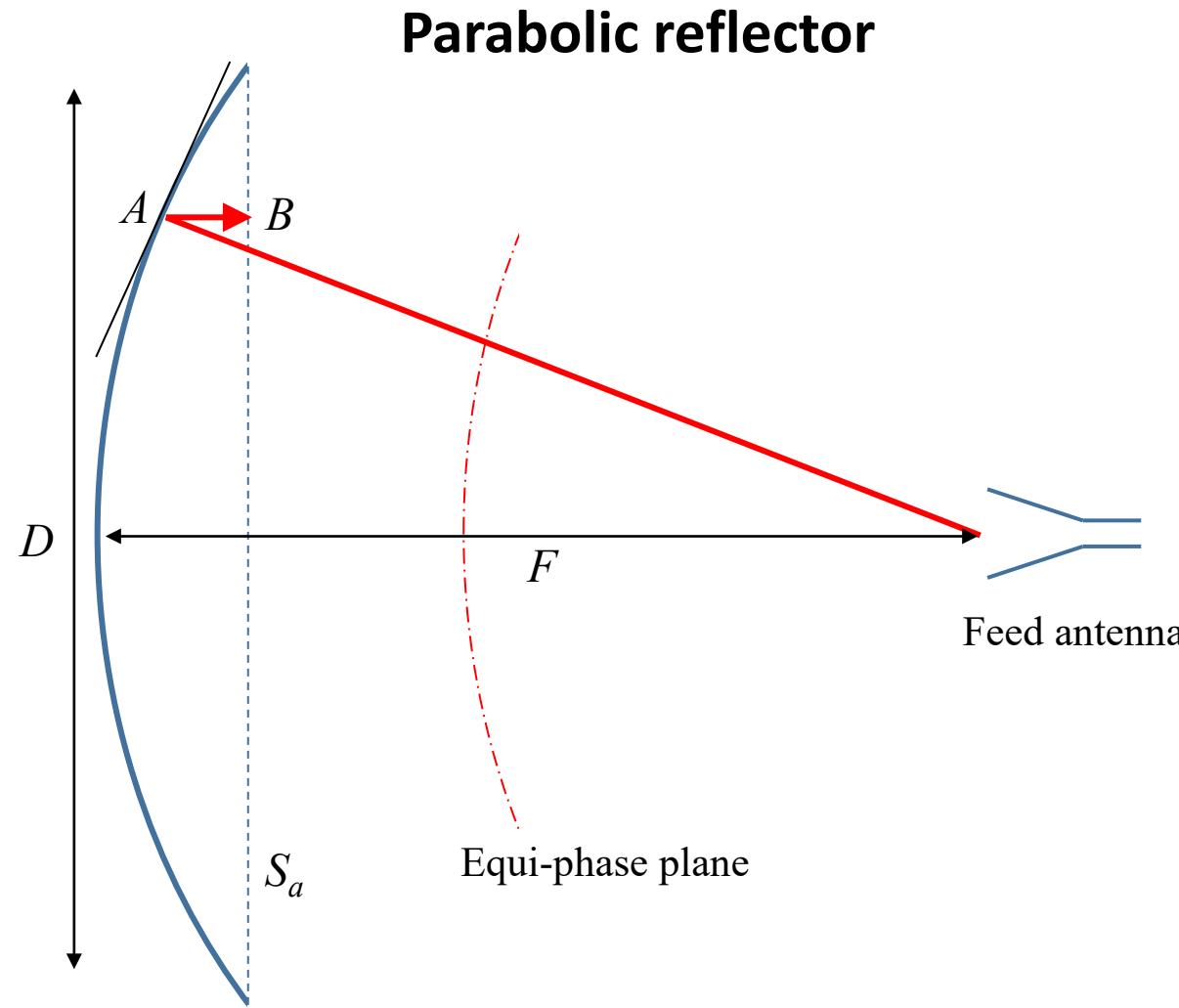
# Reflector antennas

## Applications

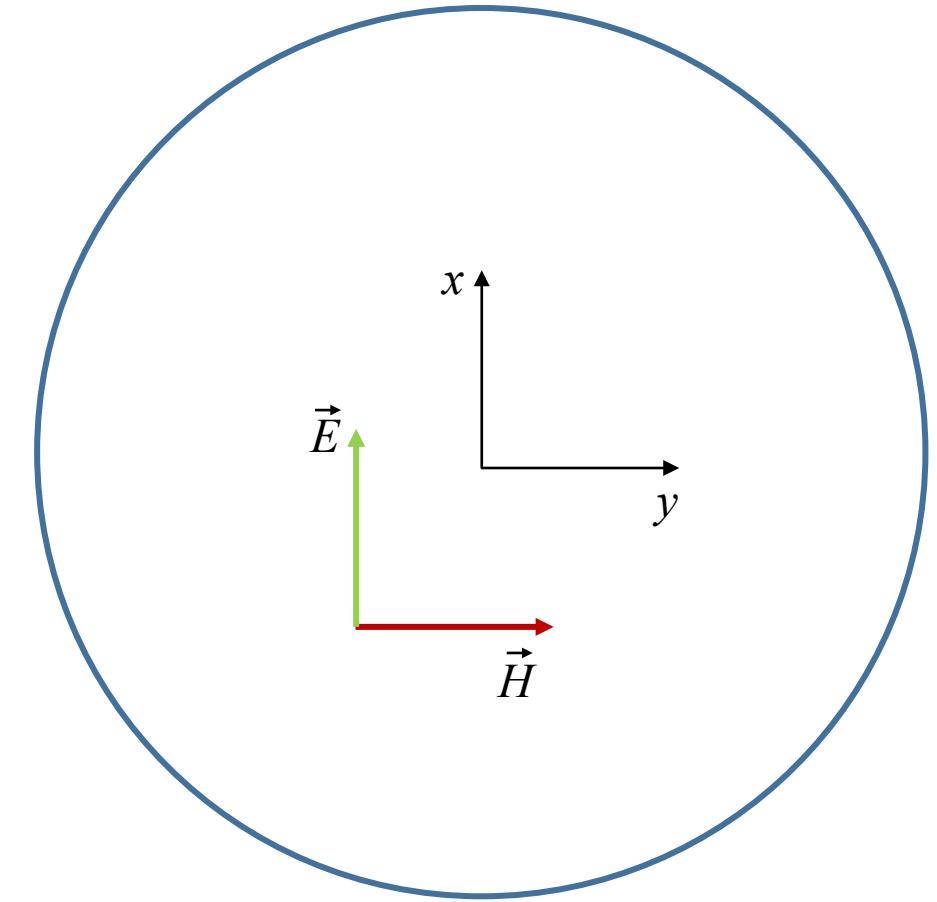
- Radio telescopes
- Radar
- Satellite-TV reception



# Reflector antenna model

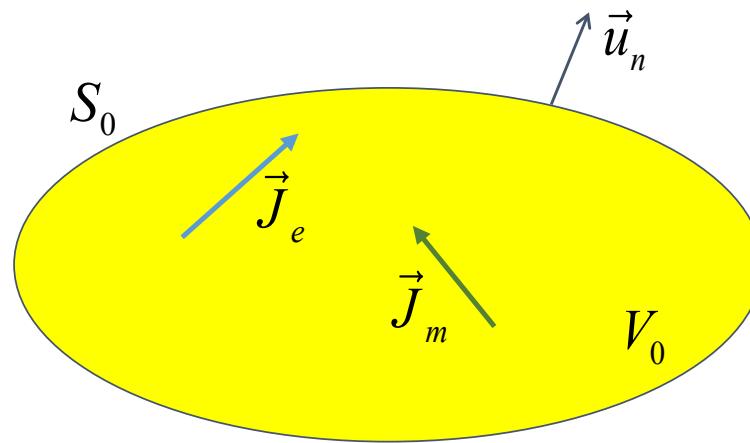


## Uniform circular aperture

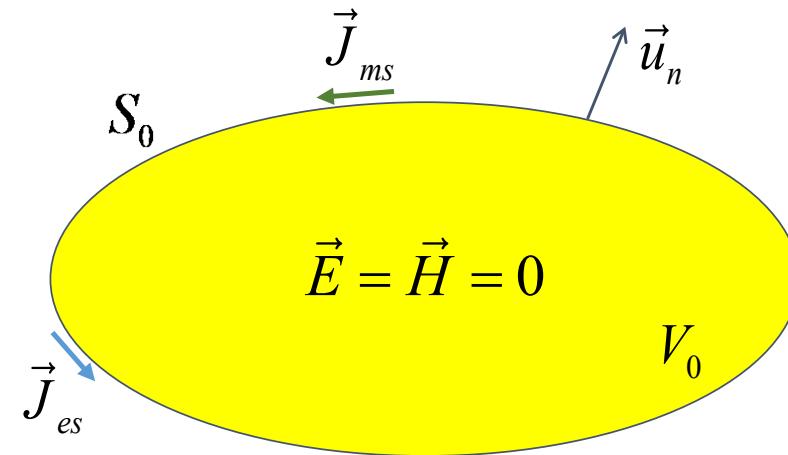


# Apply equivalence concept and our recipe

**Original problem**



**Equivalent problem**



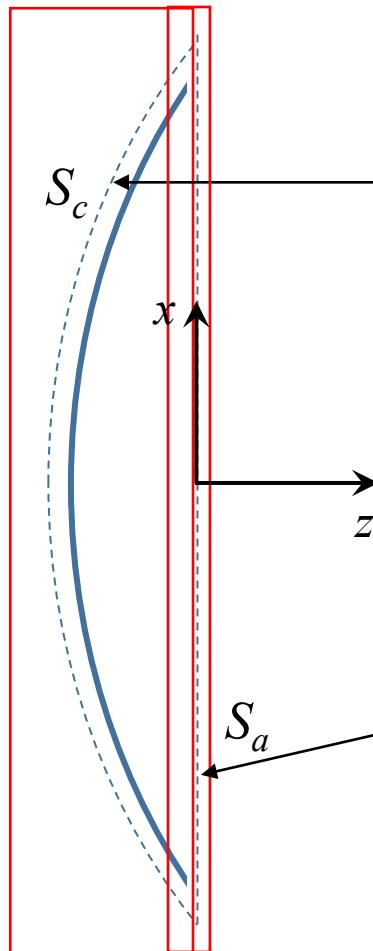
## Far-field

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} \boxed{dS_0}$$

Determine tangential fields on  $S_0$

define closed surface  $S_0$

# Select closed surface



**On  $S_c$**

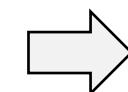
$$\vec{E} \times \vec{u}_n = \vec{0}$$

$$\vec{H} \times \vec{u}_n = \vec{0}$$

**On  $S_a$**

$$\vec{E}_a = E_0 \vec{u}_x$$

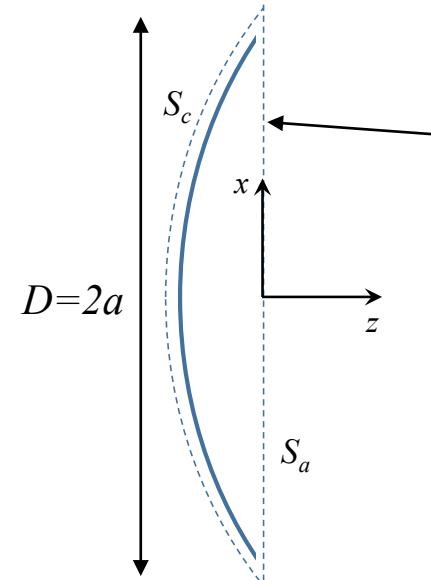
$$\vec{H}_a = \frac{E_0}{Z_0} \vec{u}_y$$



$$\vec{E}_a \times \vec{u}_n = -E_0 \vec{u}_y$$

$$\vec{u}_n \times \vec{H}_a = -\frac{E_0}{Z_0} \vec{u}_x$$

# Determine integral over aperture $S_a$



$$\vec{E}_a \times \vec{u}_n = -E_0 \vec{u}_y$$

$$\vec{u}_n \times \vec{H}_a = -\frac{E_0}{Z_0} \vec{u}_x$$

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dS_0$$

$$= \frac{-jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \int_0^{2\pi} \int_0^a \left( E_0 \vec{u}_y + \vec{u}_r \times E_0 \vec{u}_x \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} \boxed{r_0 dr_0 d\phi_0}$$

integration over circular aperture  $S_a$

# Far-field uniform circular aperture

$$\begin{aligned}\vec{E} &= \frac{-jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \int_0^{2\pi} \int_0^a \left( E_0 \vec{u}_y + \vec{u}_r \times E_0 \vec{u}_x \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} r_0 dr_0 d\phi_0 \\ &= \frac{ja^2 k_0 E_0 e^{-jk_0 r}}{2r} (1 + \cos \theta) (\boxed{\vec{u}_\theta \cos \phi} - \boxed{\vec{u}_\phi \sin \phi}) \frac{J_1(k_0 a \sin \theta)}{k_0 a \sin \theta}\end{aligned}$$

$$= \boxed{E_\theta \vec{u}_\theta} + \boxed{E_\phi \vec{u}_\phi}$$

$E_\phi = 0$  in  $\phi = 0$  plane (E-plane)

**Radiation pattern**

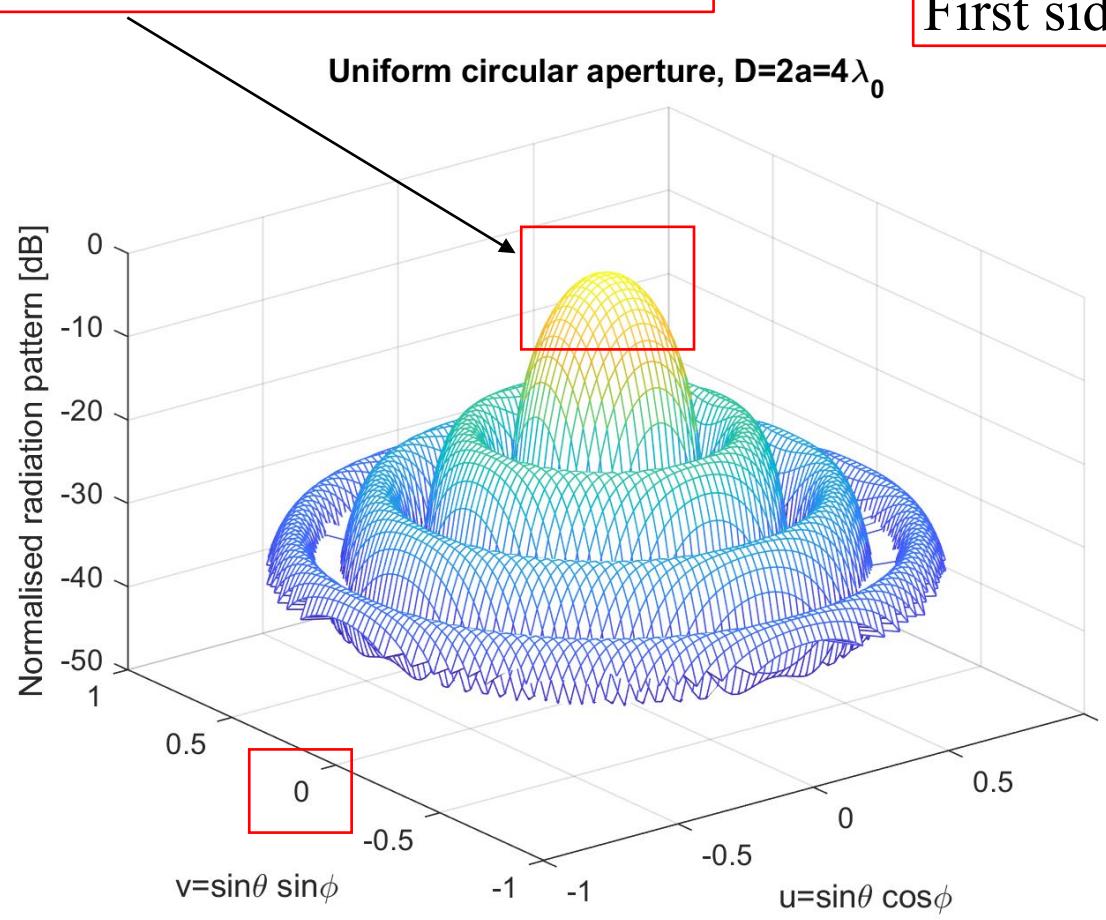
$E_\theta = 0$  in  $\phi = \frac{\pi}{2}$  plane (H-plane)

$$F(\theta, \phi) = \frac{|E_\theta(\theta, \phi)|^2 + |E_\phi(\theta, \phi)|^2}{|E_\theta(0, 0)|^2 + |E_\phi(0, 0)|^2} = (1 + \cos \theta)^2 \left[ \frac{J_1(k_0 a \sin \theta)}{k_0 a \sin \theta} \right]^2$$

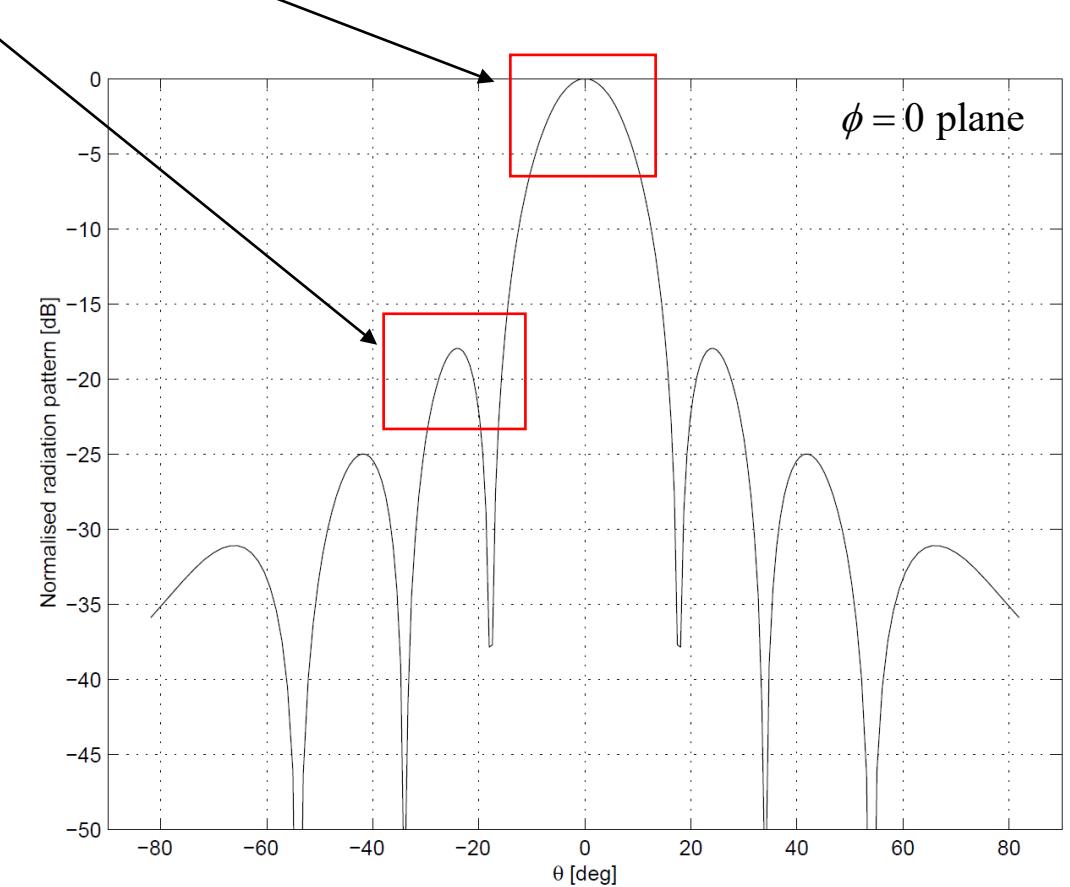
# Example reflector antenna ( $D=4\lambda_0$ )

$$\text{Directivity } D = \left( \frac{2\pi a}{\lambda_0} \right)^2 \rightarrow 22 \text{ dBi}$$

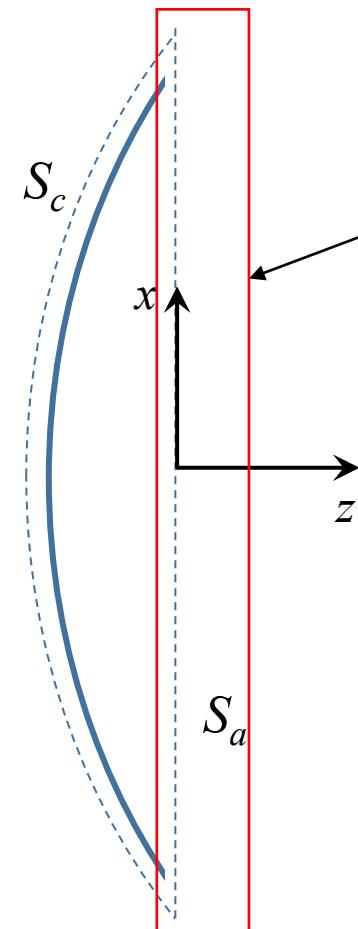
$$HPBW = 1.02 \frac{\lambda_0}{2a} = 14.6^\circ$$



First sidelobe at -17.6 dB



# Tapering



$$\vec{E}_a = W(r_0) \vec{u}_x$$

$$\vec{H}_a = \frac{W(r_0)}{Z_0} \vec{u}_y$$

$$W(r_0) = E_0 \left[ 1 - \left( \frac{r_0}{a} \right)^2 \right]^p$$

use special feed (horn or FPA)

$$= 2\pi a^2 E_0 2^p p! \frac{J_{p+1}(k_0 a \sin \theta)}{(k_0 a \sin \theta)^{p+1}}$$

$$\int_0^{2\pi} \int_0^a E_0 \left[ 1 - \left( \frac{r_0}{a} \right)^2 \right]^p e^{jk_0 \vec{u}_r \cdot \vec{r}_0} r_0 dr_0 d\phi_0$$

$$\vec{E} = \frac{-jk_0 e^{-jk_0 r}}{4\pi r} (1 + \cos \theta) (\vec{u}_\theta \cos \phi - \vec{u}_\phi \sin \phi)$$

# Tapering, effect on antenna parameters

$$W(r_0) = E_0 \left[ 1 - \left( \frac{r_0}{a} \right)^2 \right]^p$$

<i>Antenna parameter</i>	$p = 0$	$p = 1$	$p = 2$
3-dB beam width in degrees	$29.2 \frac{\lambda_0}{a}$	$36.4 \frac{\lambda_0}{a}$	$42.1 \frac{\lambda_0}{a}$
Beam width between zeros in degrees	$69.9 \frac{\lambda_0}{a}$	$93.4 \frac{\lambda_0}{a}$	$116.3 \frac{\lambda_0}{a}$
First sidelobe level [dB]	-17.6	-24.6	-30.6
Directivity	$\left( \frac{2\pi a}{\lambda_0} \right)^2$	$0.75 \left( \frac{2\pi a}{\lambda_0} \right)^2$	$0.56 \left( \frac{2\pi a}{\lambda_0} \right)^2$

# Summary

- The radiation properties of reflector antennas with and without tapering have been derived.
- Tapering reduces the first sidelobe level, but the aperture efficiency will be lower.
- This can be compensated by increasing the size of the reflector.

# Microwave Engineering and Antennas

## Microstrip Antennas

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Microstrip antennas

## **Objective of this lecture**

- Introduce microstrip antennas.
- Use cavity model to find the resonating modes and resonance frequencies.
- Determine radiation properties using the equivalence principle.
- Discuss properties of microstrip antennas, including bandwidth.

# Properties and applications

## Properties

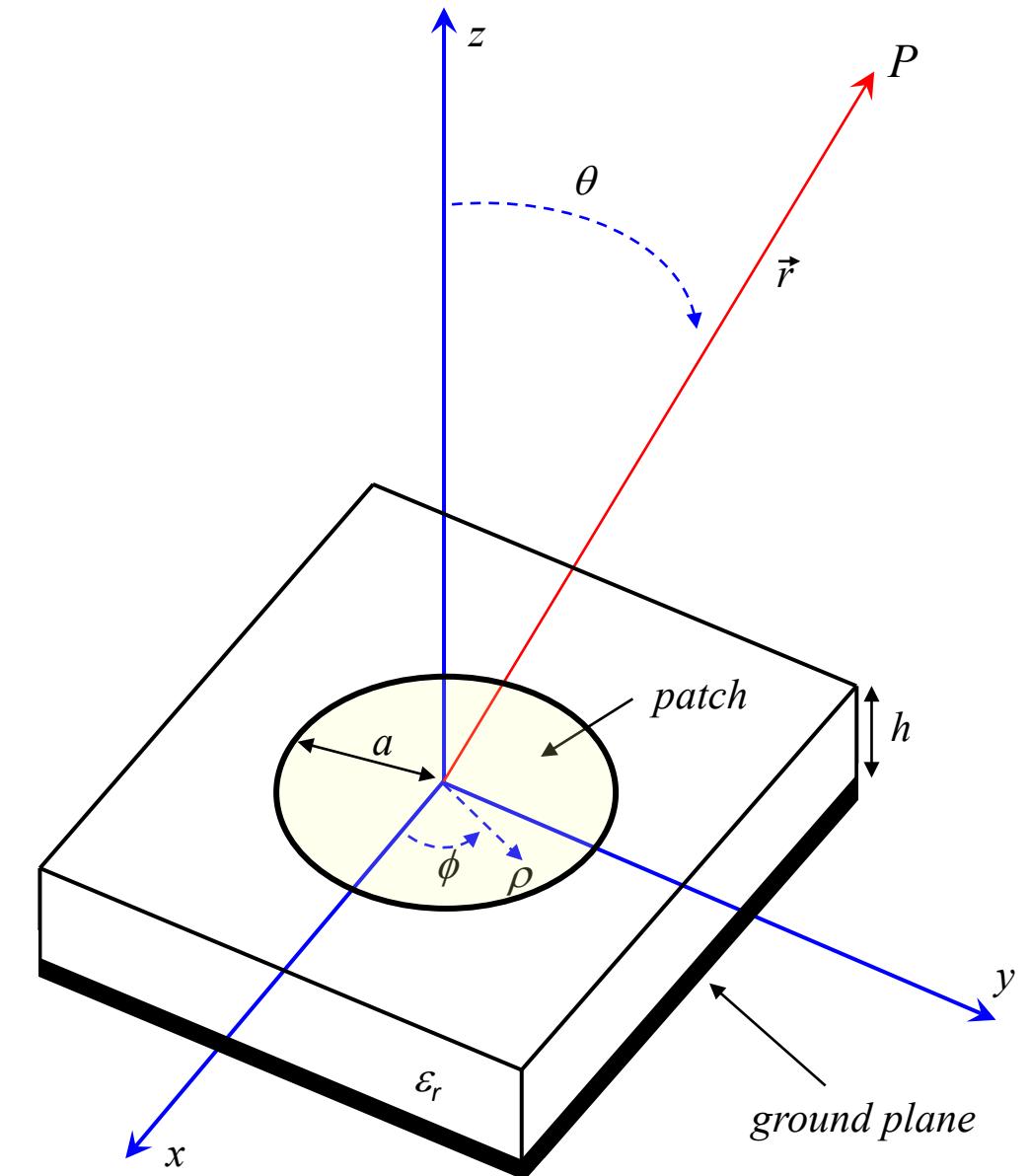
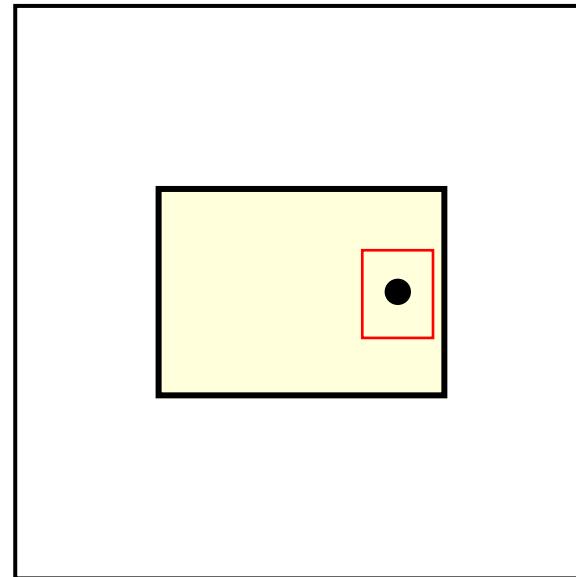
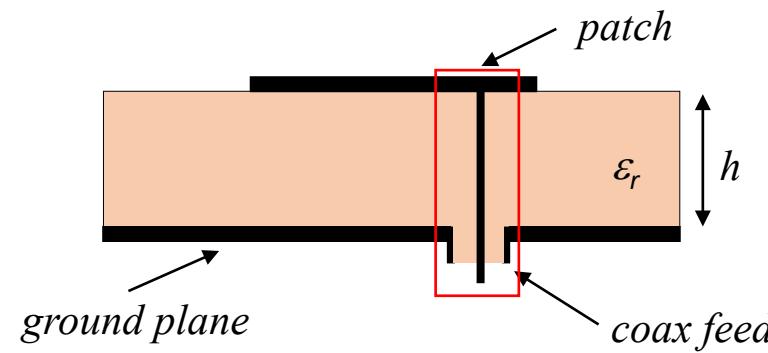
- Low profile
- Integration on PCB/laminate
- Low-cost

## Applications

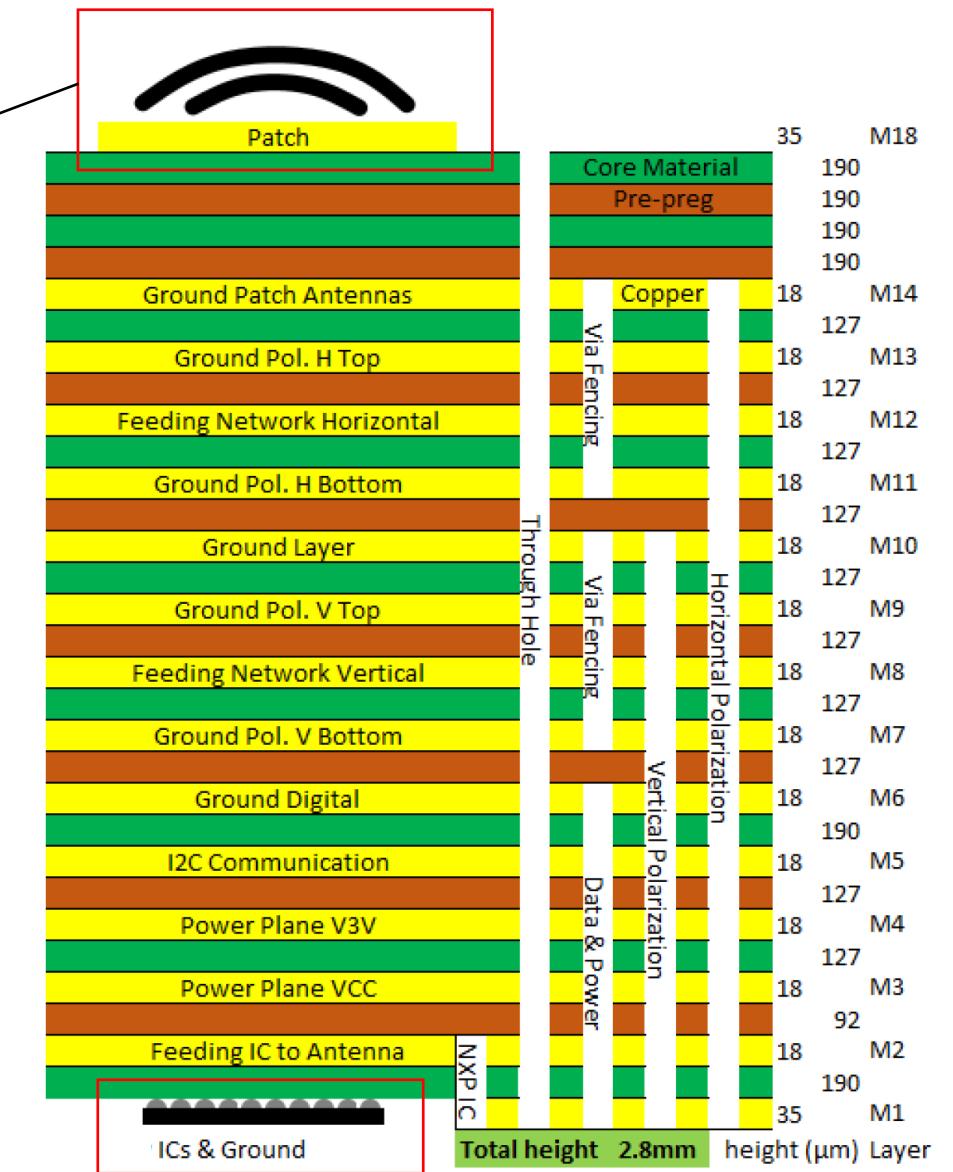
- Phased arrays, e.g. 5G mm-wave
- GPS receivers
- Satellite communication



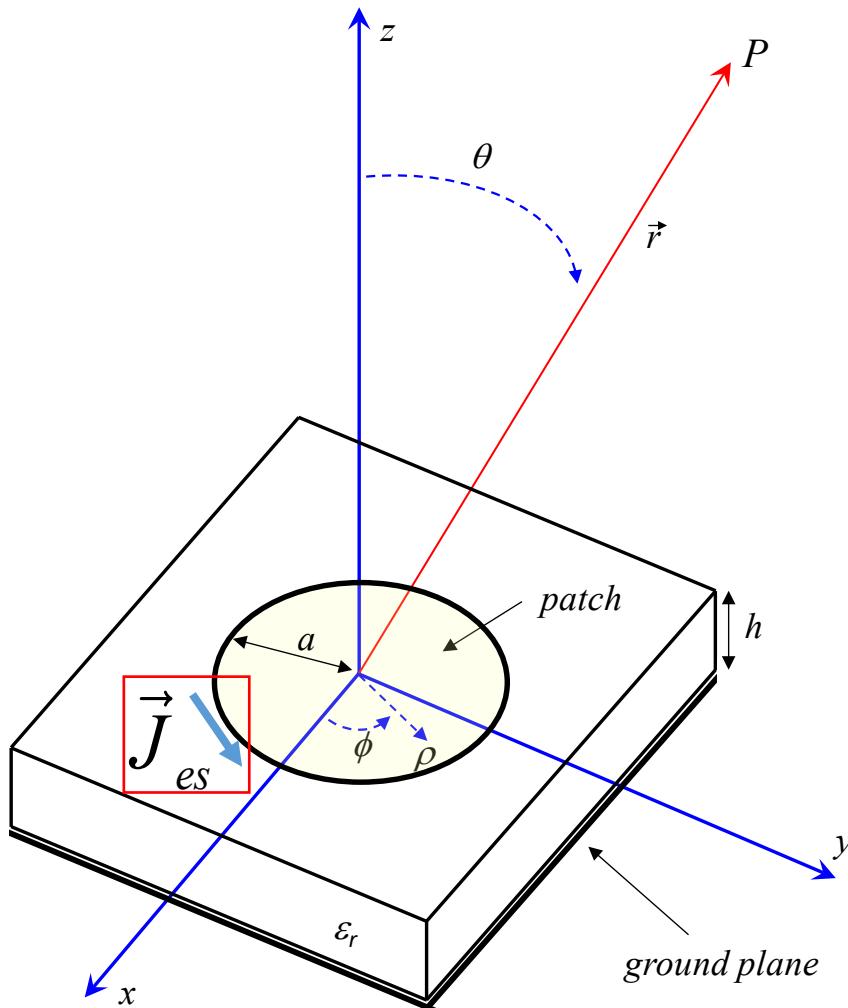
# Microstrip antenna



# Microstrip antenna example



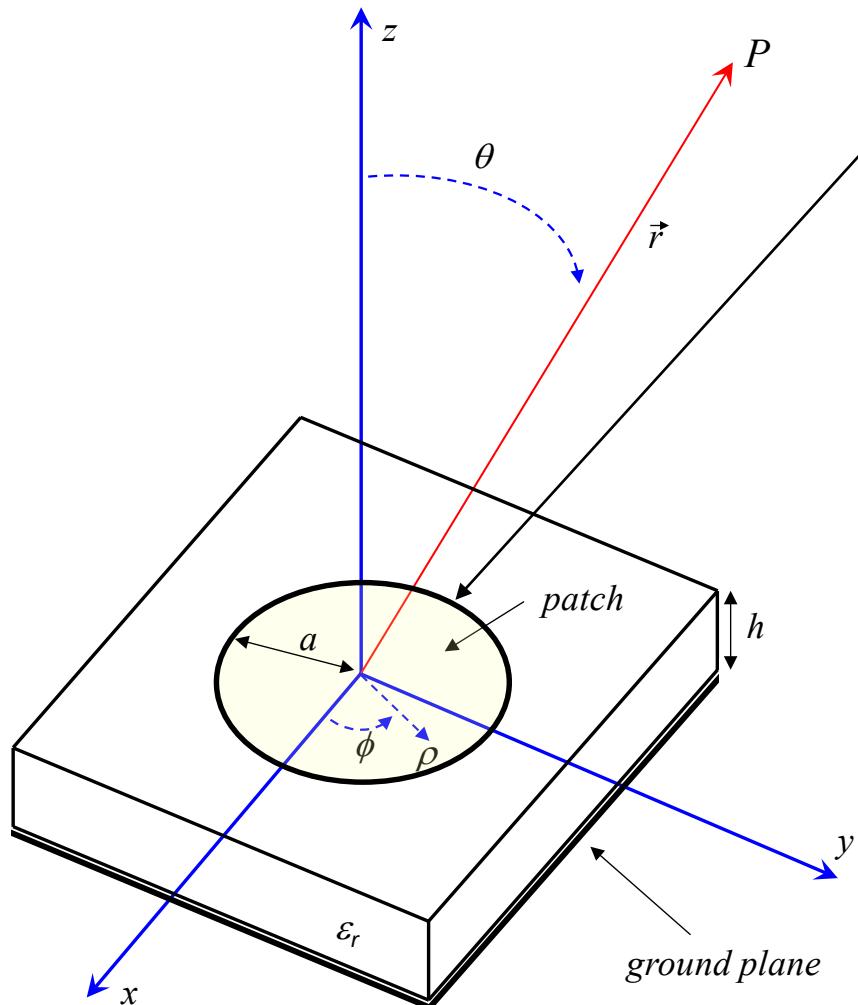
# Cavity model



## Assumptions

- $E$ -field is concentrated between circular patch and ground plane (cavity).
- $h \ll \lambda_0$ :  $E$ -field not  $z$ -dependent.
- In cavity:  $\vec{E} = E_z \vec{u}_z$
- Surface current along edge  $\rho=a$  parallel to edge, so  $\vec{H} \times \vec{u}_n = \vec{0}$  on sidewall of cavity.

# Cavity model



**On sidewall cylindrical cavity**

$$\left. \begin{aligned} \vec{H} \times \vec{u}_n &= \vec{0} \\ \vec{H} &= \frac{-1}{j\omega\mu_0} \nabla \times \vec{E} \end{aligned} \right\}$$

$$\boxed{\frac{\partial E_z}{\partial \rho} = 0 \text{ for } \rho = a}$$

**Solve Helmholtz equation in cavity**

$$\nabla^2 \vec{E} + k^2 \vec{E} = \vec{0} \quad \begin{matrix} \text{wavenumber in cavity } k_0 \sqrt{\epsilon_r} \\ \text{order Bessel function} \end{matrix}$$

$$\rightarrow E_z = \boxed{E_0} J_n(\boxed{k\rho}) \cos(\boxed{n\phi})$$

$$H_\rho = \frac{n}{j\omega\mu_0\rho} E_0 J_n(k\rho) \sin(n\phi)$$

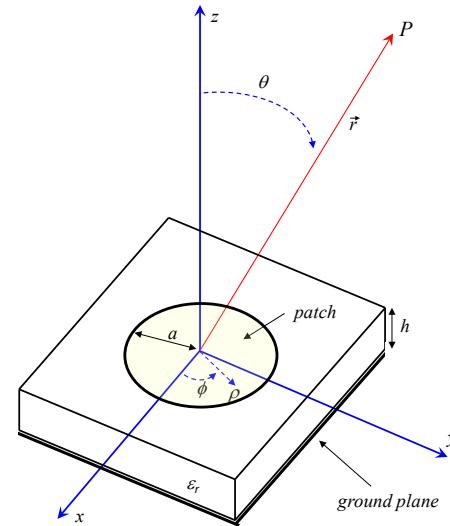
Due to voltage feed  $V_0 = \frac{hE_0}{k}$

$$H_\phi = \frac{1}{j\omega\mu_0} E_0 \frac{\partial J_n(k\rho)}{\partial \rho} \cos(n\phi)$$

# Resonance condition

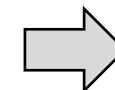
**On sidewall ( $\rho=a$ )**  $\vec{H} \times \vec{u}_n = \vec{0}$

$$H_\phi = \frac{k}{j\omega\mu_0} E_0 \frac{\partial J_n(ka)}{\partial \rho} \cos(n\phi) = 0$$



## Resonance condition

$$\frac{\partial J_n(ka)}{\partial \rho} = 0$$

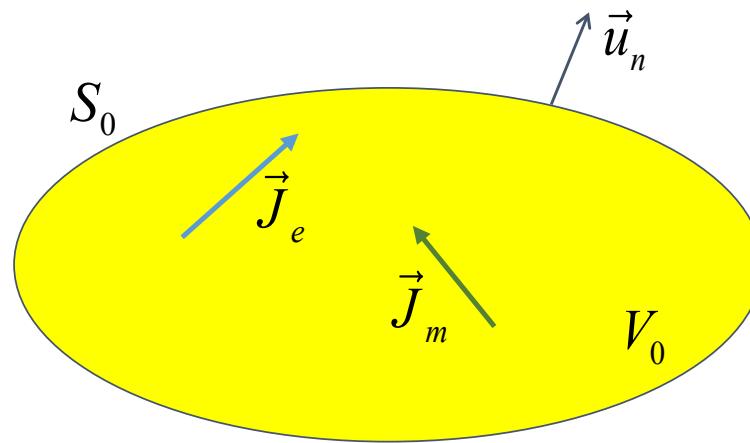


Mode $n$	$ka$
1	1.841
2	3.054
0	3.832
3	4.201

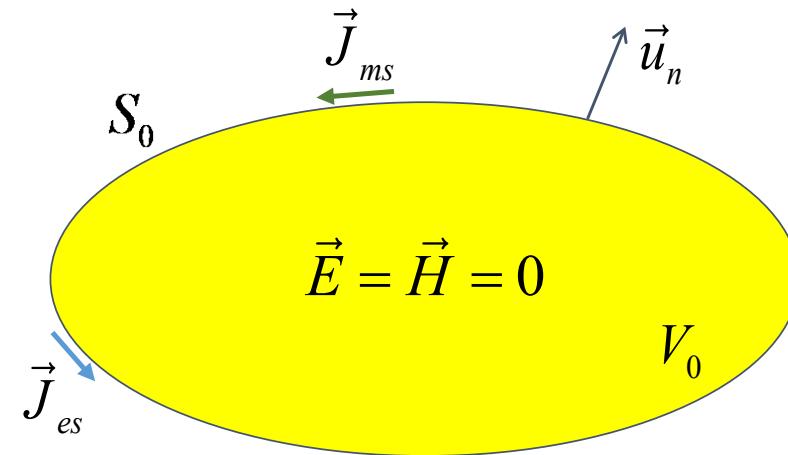
first mode resonance:  $f_{01} = \frac{1.841c}{2\pi a \sqrt{\epsilon_r}}$

# Apply equivalence concept and our recipe

**Original problem**



**Equivalent problem**



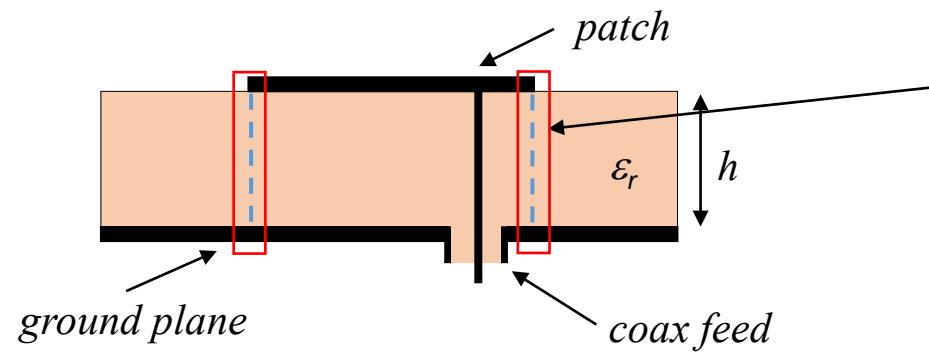
## Far-field

$$\vec{E}(\vec{r}) = \frac{jk_0 e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} \left( \boxed{\vec{E} \times \vec{u}_n} + Z_0 \vec{u}_r \times \boxed{\vec{u}_n \times \vec{H}} \right) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} \boxed{dS_0}$$

Determine tangential fields on  $S_0$

define closed surface  $S_0$

# Far field



**On sidewall cavity**

$$\vec{J}_{ms} = \boxed{2} \vec{E} \times \vec{u}_n = 2 E_z \vec{u}_\phi$$

accounts for the image due to groundplane

**Far-field (first mode  $n=1$ )**

$$\vec{E}(\vec{r}) = \frac{j k_0 e^{-j k_0 r}}{4\pi r} \vec{u}_r \times \iint_{S_0} 2 E_z \vec{u}_\phi e^{j k_0 \vec{u}_r \cdot \vec{r}_0} dS_0 = E_\theta \vec{u}_\theta + E_\phi \vec{u}_\phi$$

$$E_\theta = \boxed{F(r)} \cos \phi [J_2(k_0 a \sin \theta) - J_0(k_0 a \sin \theta)]$$

$$E_\phi = F(r) \cos \theta \sin \phi [J_2(k_0 a \sin \theta) + J_0(k_0 a \sin \theta)]$$

$$\boxed{F(r) = \frac{j h a k_0 E_0 J_1(ka) e^{-j k_0 r}}{2r}}$$

# Example radiation pattern

## Circular patch

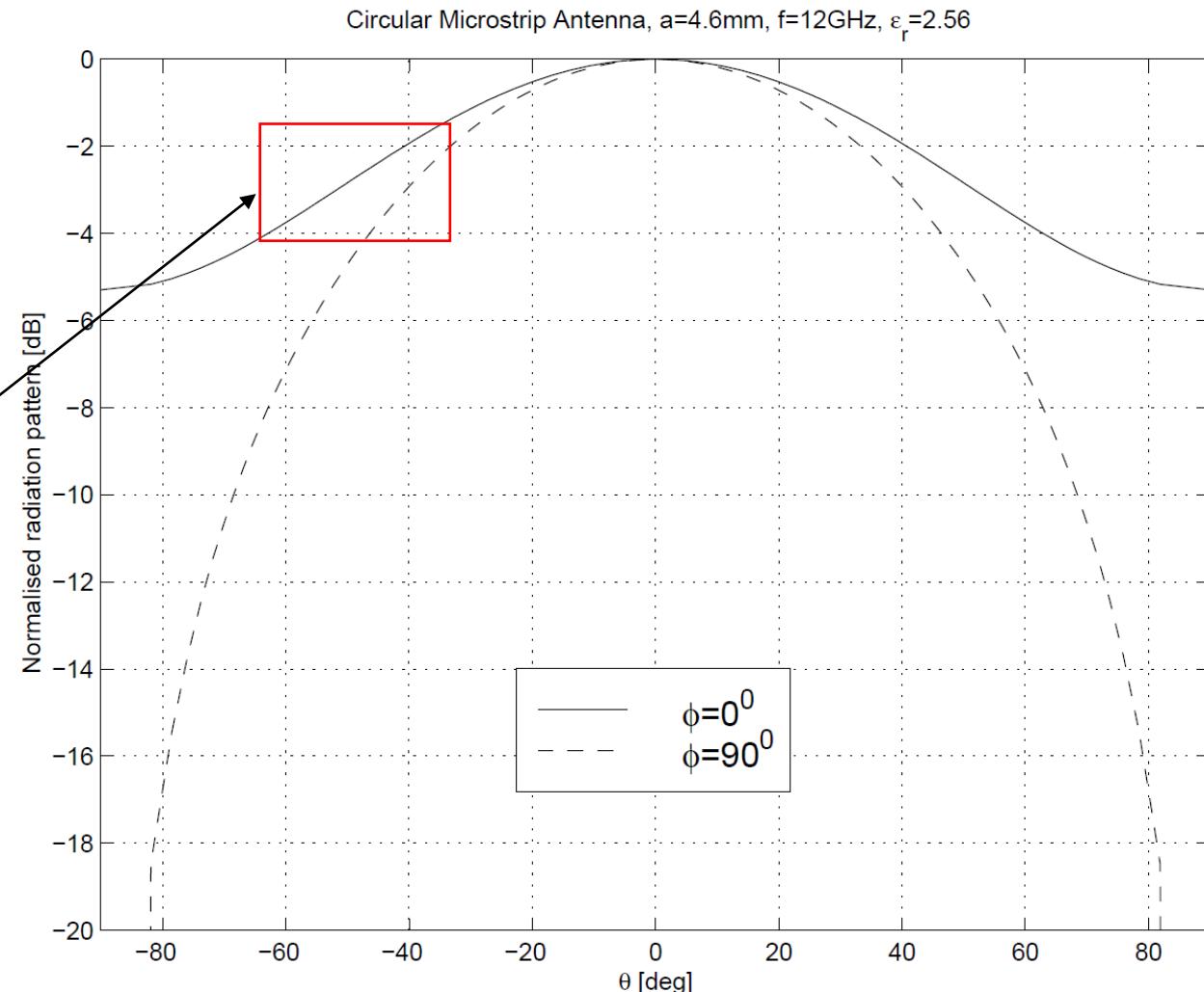
$$\epsilon_r = 2.56$$

$$a = 4.6 \text{ mm}$$

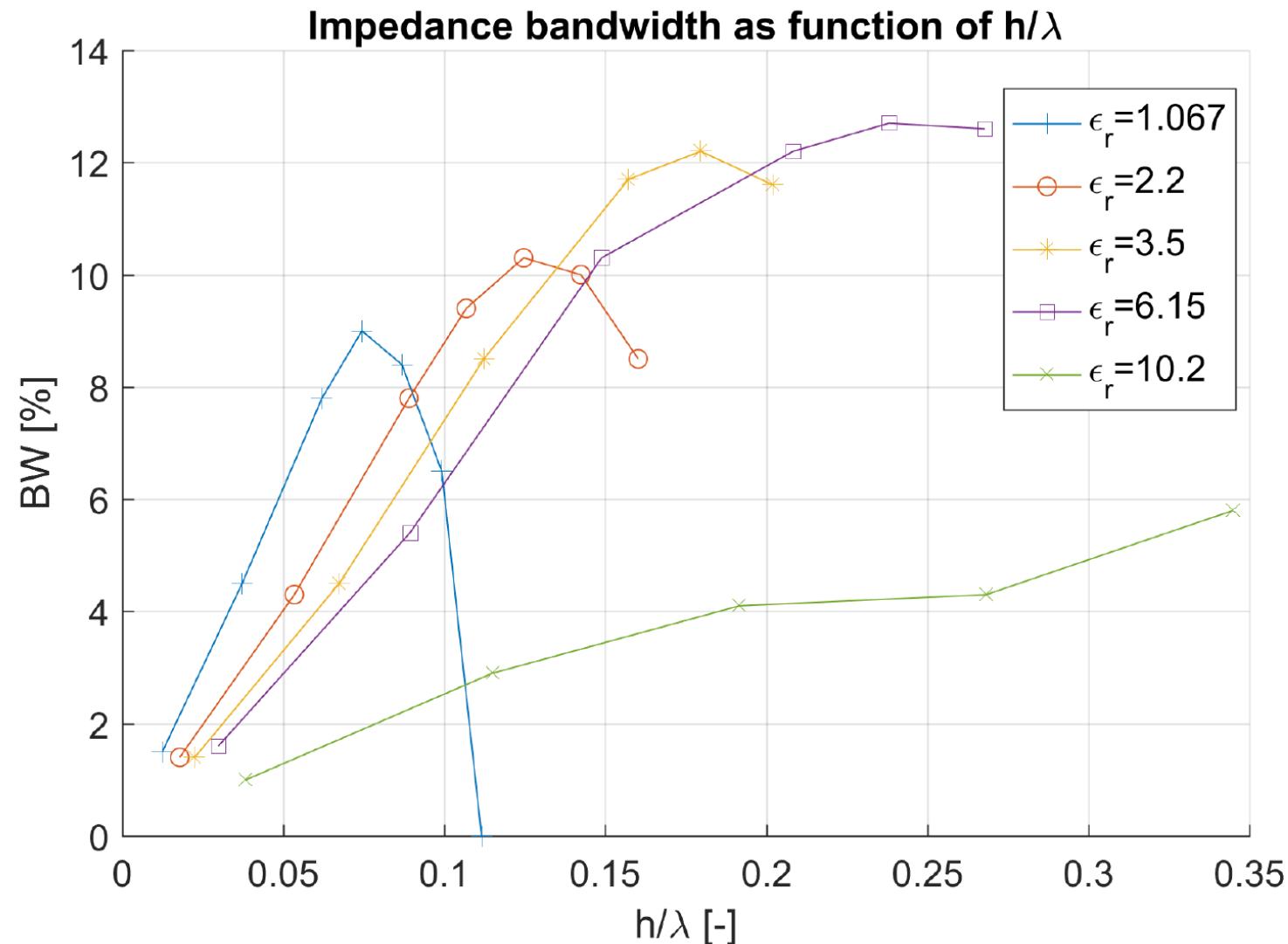
$$f_{res} \approx 12 \text{ GHz}$$

Feed in  $\phi = 0^0$  plane

HPBW =  $104^0$  in  $\phi=0^0$  plane



# Impedance bandwidth (using numerical model)



# Summary

- Microstrip antennas are low-profile and easy to integrate in PCB/laminate technologies.
- We derived analytic expression for the far-field pattern and resonance frequency.
- Microstrip antennas provide a wide HPBW, so ideal to use in phased-arrays/MIMO solutions.
- Bandwidth depends on  $h$  and  $\epsilon_r$ .

# Microwave Engineering and Antennas

## Linear Arrays with real (non-ideal) Antennas

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Linear array of real antennas

## **Objective of this lecture**

- Extension of linear array theory to include non-ideal antenna elements.
- Introduce the concept of embedded element pattern.
- Show examples of microstrip and waveguide arrays .

# Linear array of real antennas

## Isotropic elements

$$S(\theta) = \sum_{k=1}^K |a_k| e^{jk_0(k-1)d_x(\sin \theta - \sin \theta_0)}$$

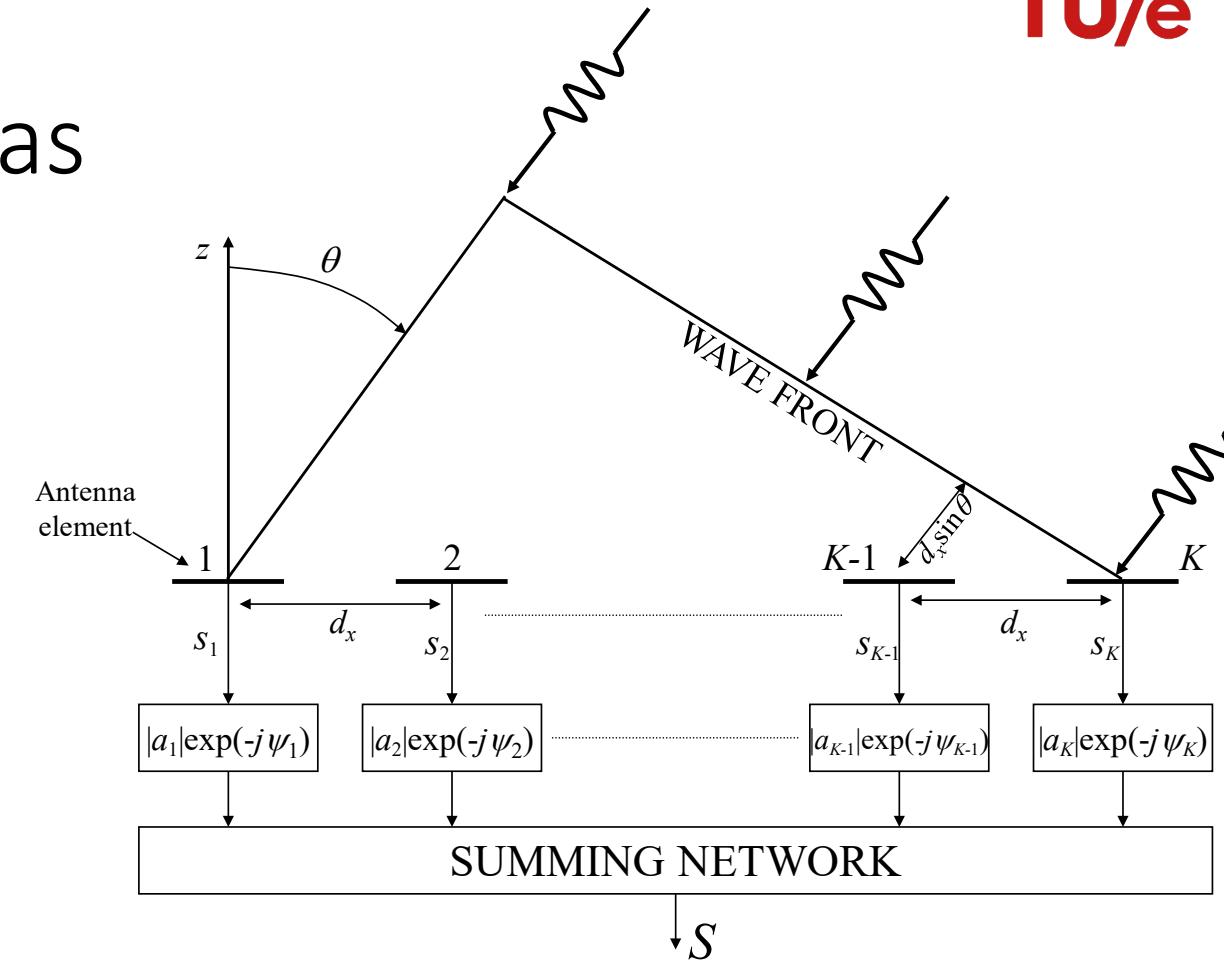
↑  
array factor (both TX and RX case)

↑  
scan angle

## Real antenna elements

$$\vec{S}(\theta, \phi) = \sum_{k=1}^K |a_k| \vec{f}_k(\theta, \phi) e^{jk_0(k-1)d_x(\sin \theta - \sin \theta_0)}$$

↑  
radiated field total array      ↑  
radiated field element  $k$  (element factor or embedded element pattern)



# Linear array of real antennas

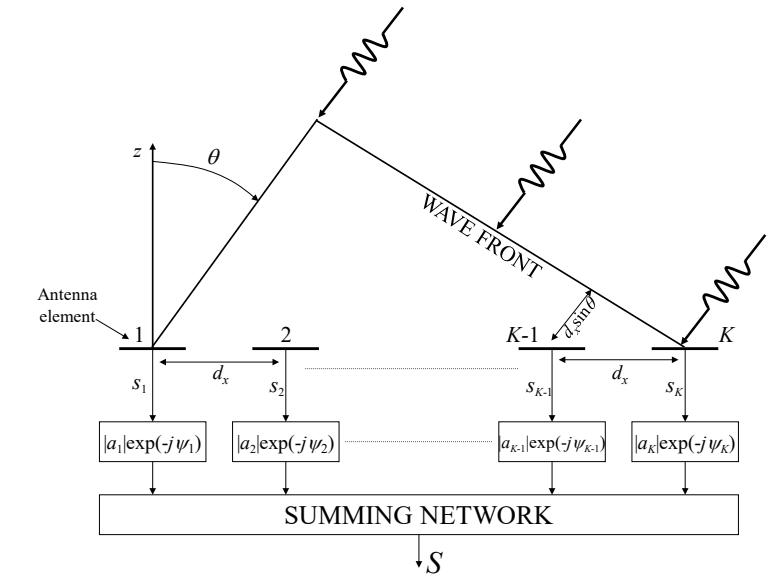
**Without mutual coupling and identical antennas**

$$\vec{S}(\theta, \phi) = \boxed{\vec{f}(\theta, \phi)} \sum_{k=1}^K |a_k| e^{jk_0(k-1)d_x(\sin \theta - \sin \theta_0)}$$

independent of  $k$

**Normalized radiation pattern**

$$F(\theta, \phi) = \frac{|\vec{S}(\theta, \phi)|^2}{|\vec{S}(\theta_0, \phi_0)|^2}$$

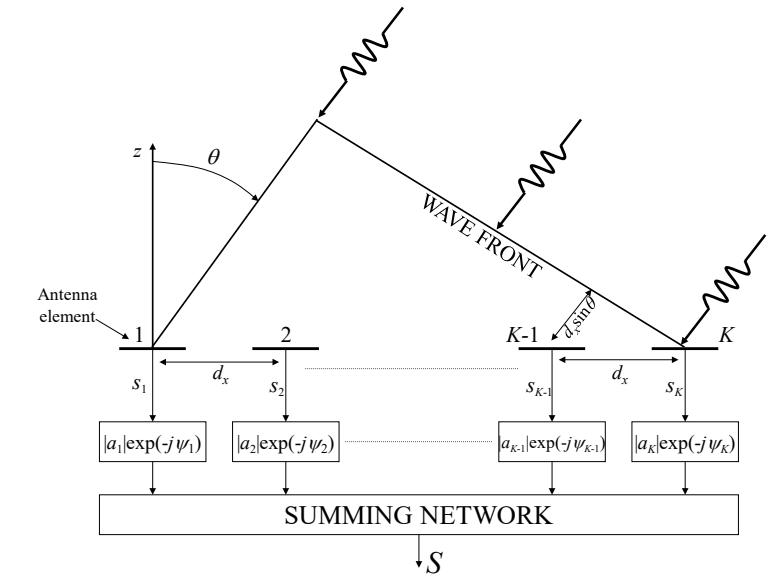


Example: Linear array of microstrip antennas

**Element factor along  $\phi = 0^0$  plane**

$$\vec{E}(\theta) = \boxed{A_0} [J_2(k_0 a \sin \theta) - J_0(k_0 a \sin \theta)] \boxed{\vec{u}_\theta}$$

only  $\theta$  component

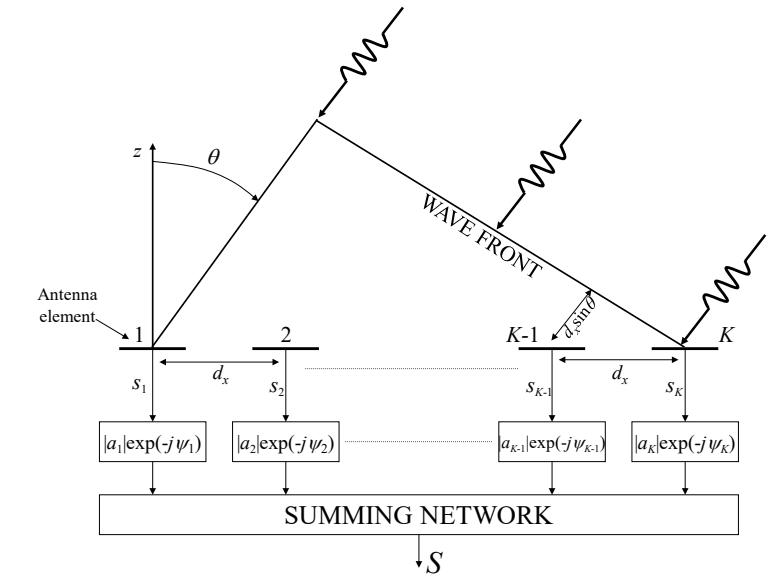
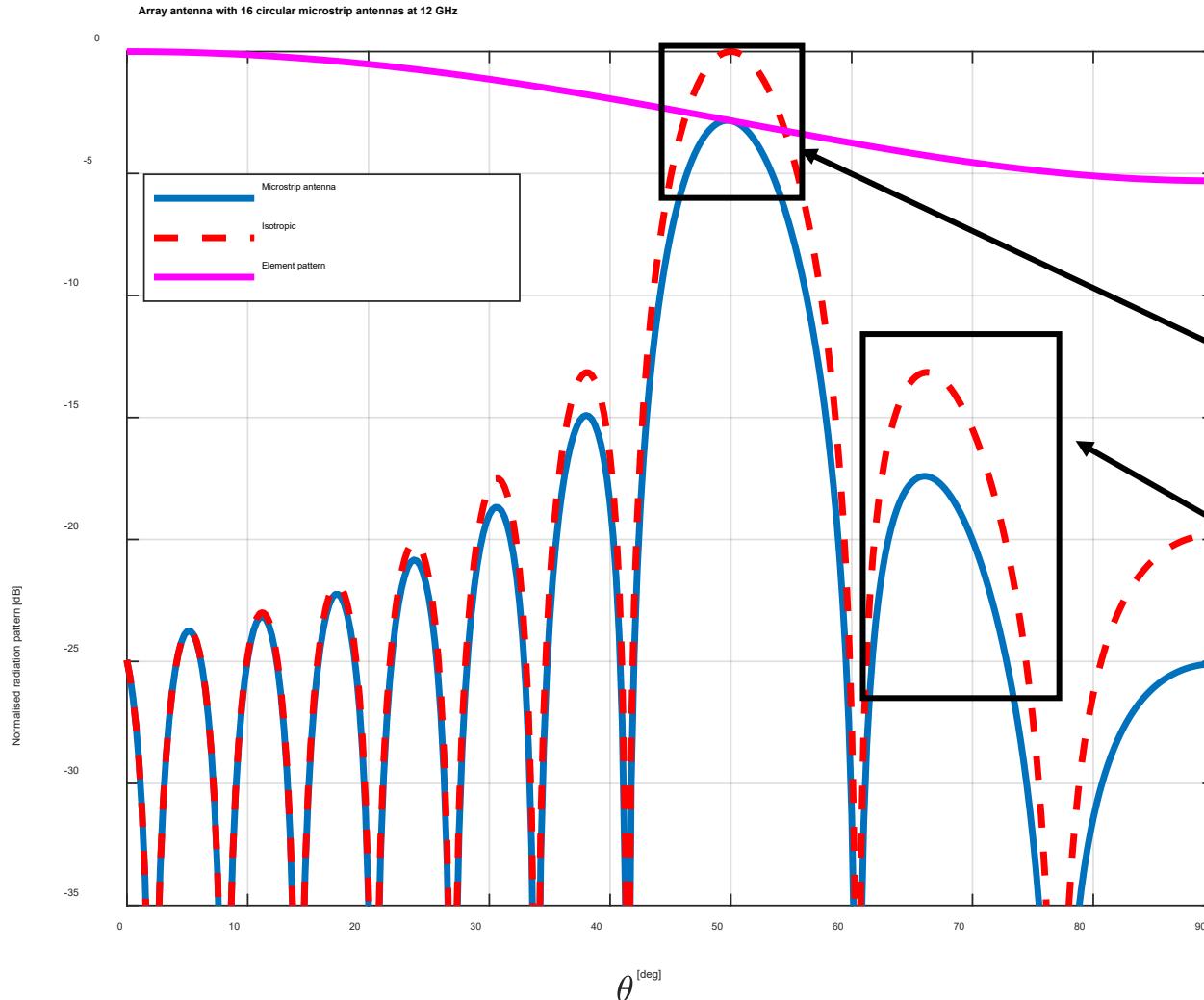


**Total radiated field by array along  $\phi = 0^0$  plane**

$$\vec{S}(\theta) = [J_2(k_0 a \sin \theta) - J_0(k_0 a \sin \theta)] \vec{u}_\theta \sum_{k=1}^K \boxed{|a_k|} e^{jk_0(k-1)d_x(\sin \theta - \sin \theta_0)}$$

Includes  $A_0$

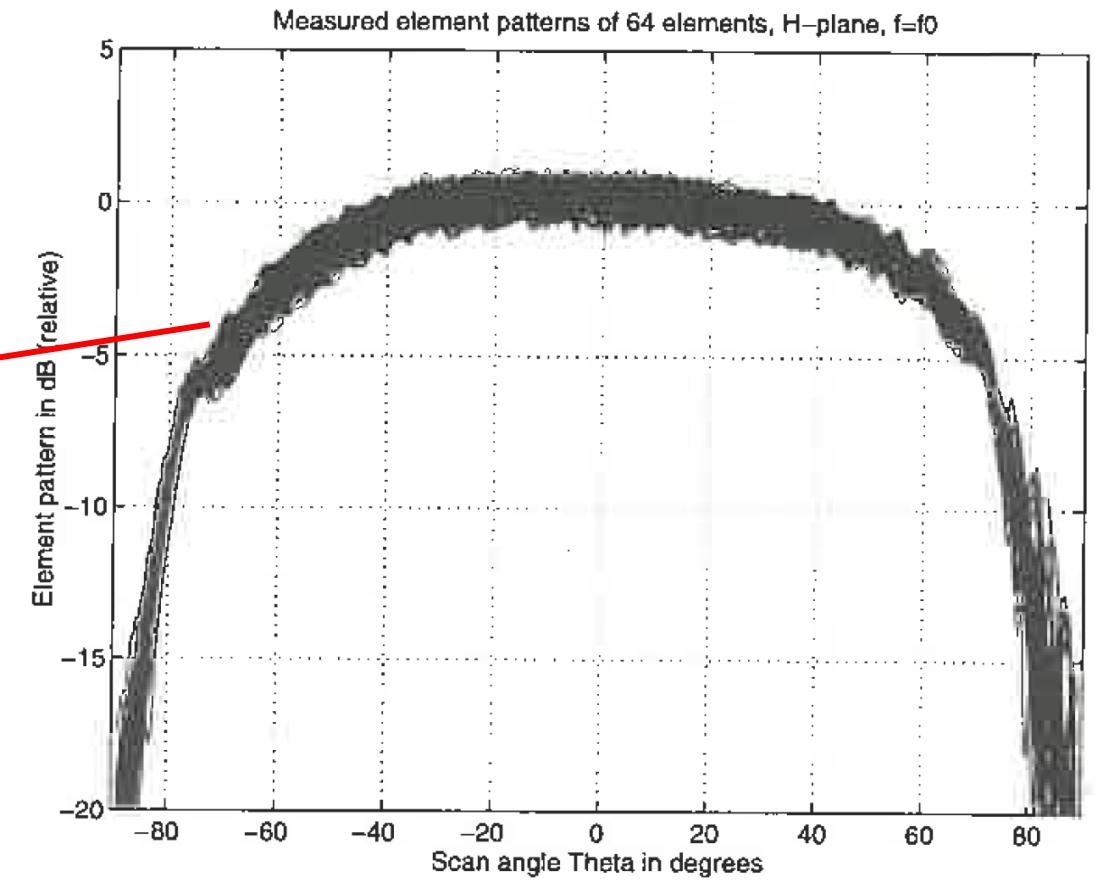
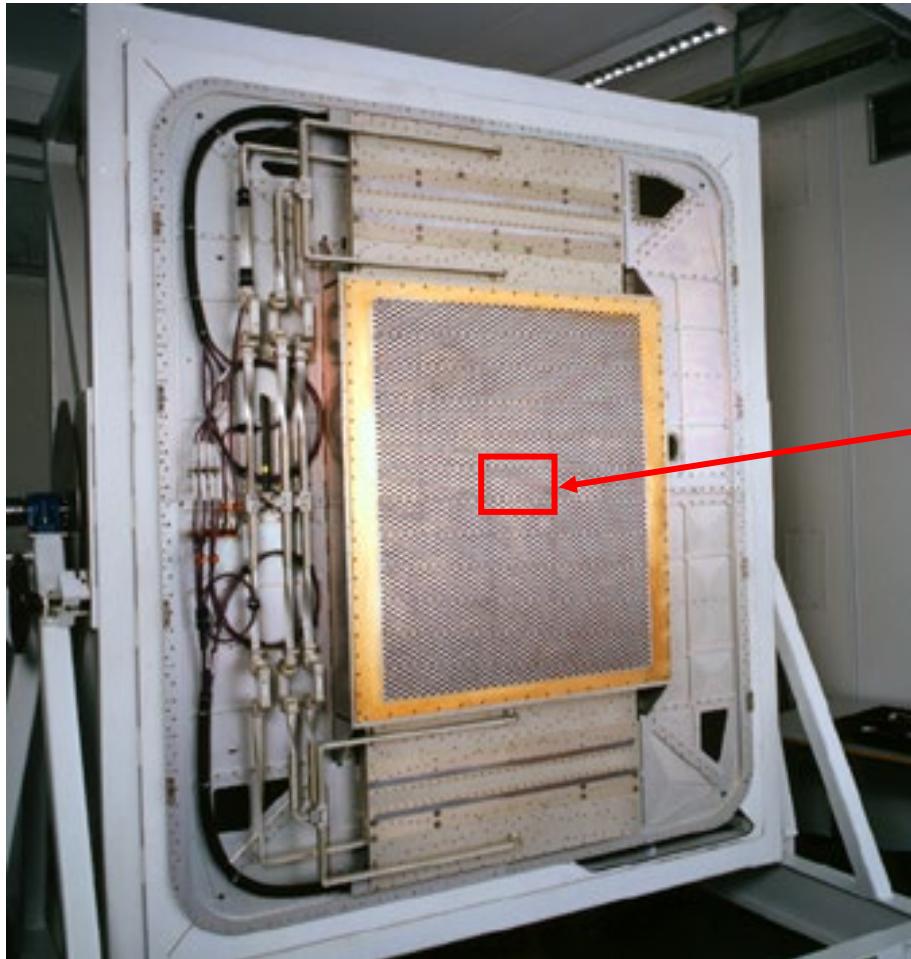
# Linear array of circular microstrip antennas, $50^{\circ}$ scan.



Reduction of Gain due to element pattern

Lower sidelobes due to element pattern

# Example: Large X-band waveguide array



From: A.B. Smolders, "Design and construction of a broadband wide-scan angle phased-array antenna with 4096 radiating elements"  
Proceedings of International Symposium on Phased Array Systems and Technology 1996, pp.87-92.

# Summary

- Extension towards linear arrays of real antennas.
- Element factor is a vector (e.g. E-field).
- Example of microstrip array and waveguide array was shown.

# Microwave Engineering and Antennas

## Method of Moments (MoM)

Bart Smolders, Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Method of Moments (MoM)

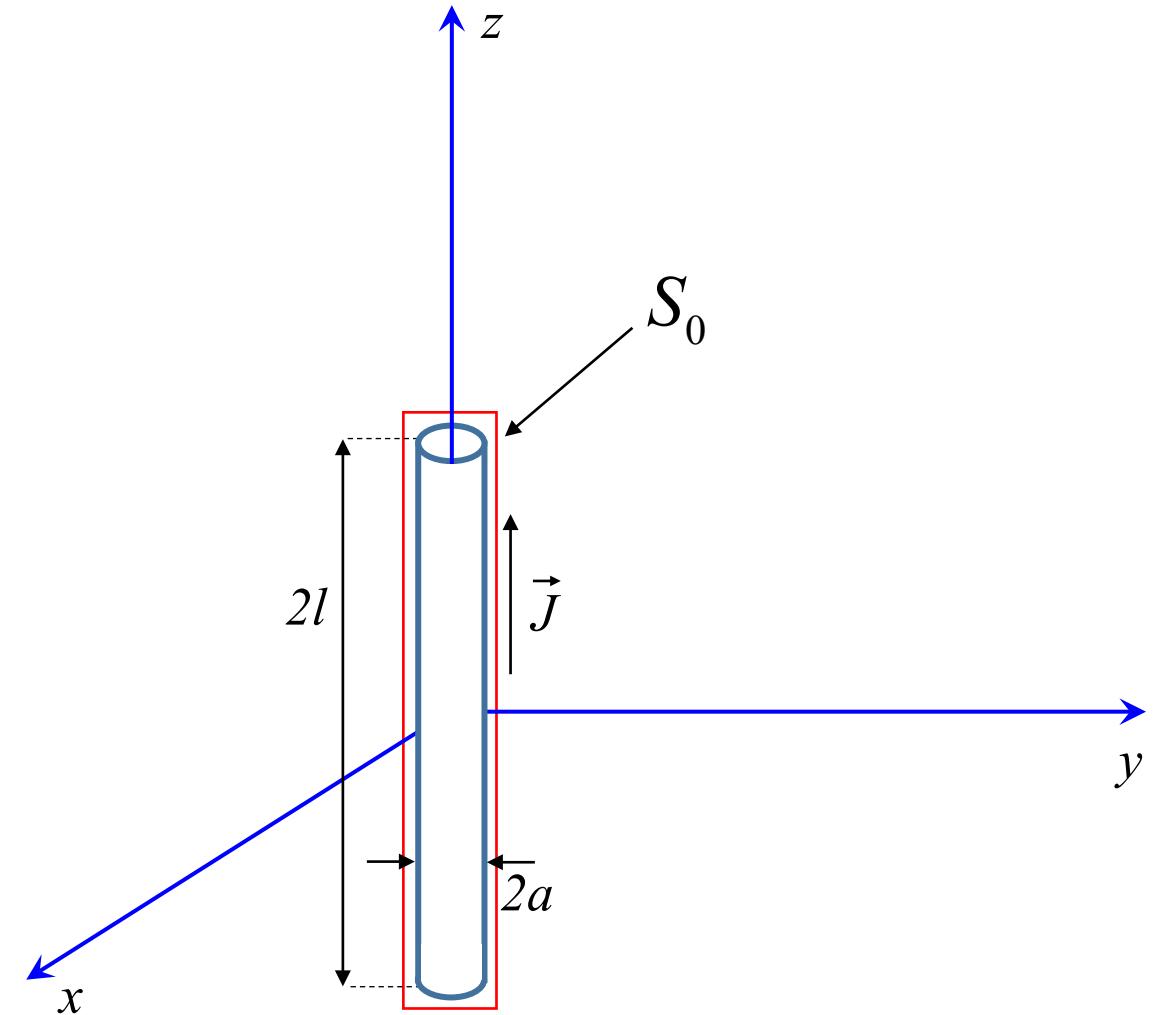
## **Objective of this lecture**

- Determine current distribution on antennas using MoM.
- Apply MoM on a linear wire antenna.
- Show an example obtained with a commercial tool.

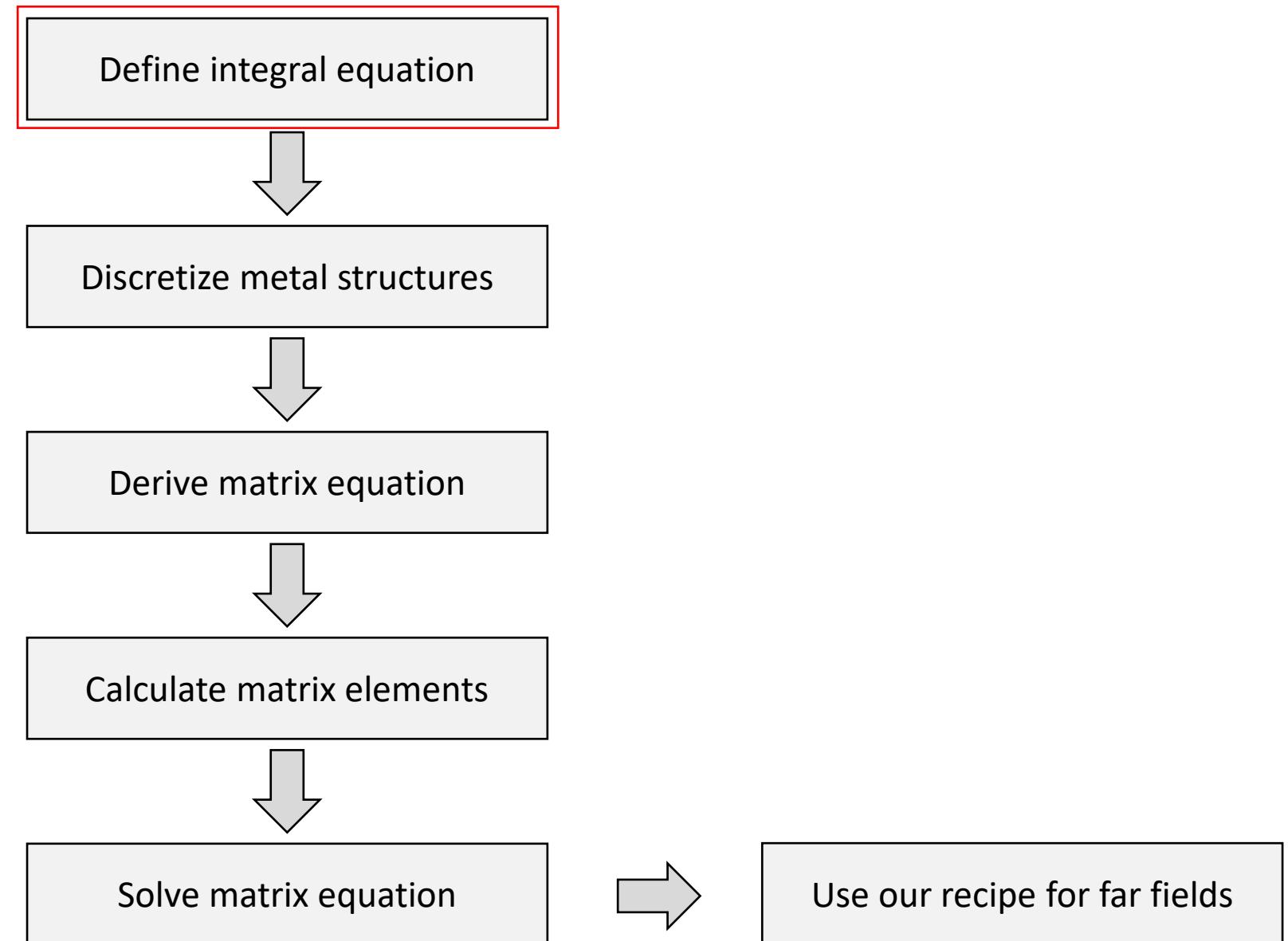
# Test case: wire antenna

## Properties

- Center-fed dipole of length  $2l$
- Metal cylinder diameter  $2a$
- Electrically thin
- $S_0$  is perfect electric conductor (PEC)



# Approach



# Integral equation

**Boundary condition: total tangential  $E$ -field on PEC is zero.**

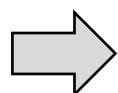
$$\vec{u}_n \times \vec{E}^{tot} = \vec{u}_n \times (\vec{E}^{ex} + \vec{E}^s) = 0, \quad \text{on } S_o$$

Normal vector on cylinder   Excitation field   Scattered field

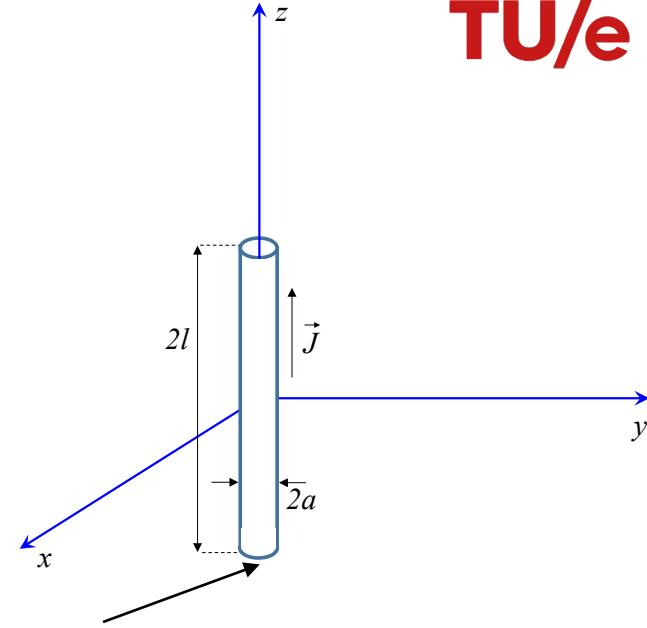
$$\vec{E}^s = -j\omega\mu_0 \iint_{S_0} G(\vec{r}, \vec{r}_0) \vec{J}(\vec{r}_0) dS_0 - \nabla \left( \frac{j}{\omega\epsilon_0} \nabla \cdot \iint_{S_0} G(\vec{r}, \vec{r}_0) \vec{J}(\vec{r}_0) dS_0 \right)$$

Greens function

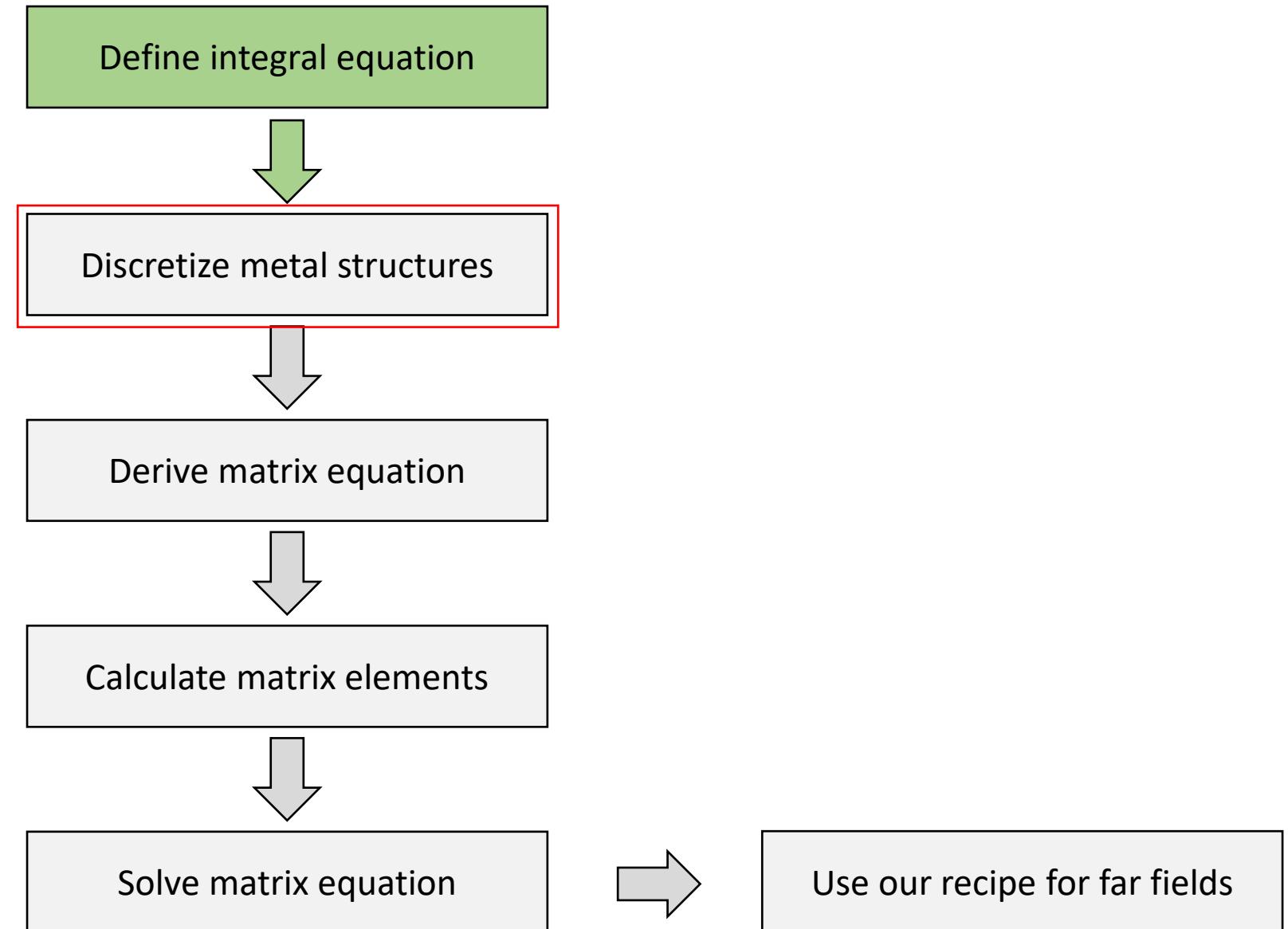
Integration over cylinder  
(Unknown) current distribution on antenna



$$\vec{u}_n \times \vec{E}^{ex} = \vec{u}_n \times j\omega\mu_0 \iint_{S_0} G(\vec{r}, \vec{r}_0) \vec{J}(\vec{r}_0) dS_0 + \vec{u}_n \times \nabla \left( \frac{j}{\omega\epsilon_0} \nabla \cdot \iint_{S_0} G(\vec{r}, \vec{r}_0) \vec{J}(\vec{r}_0) dS_0 \right)$$



# Approach



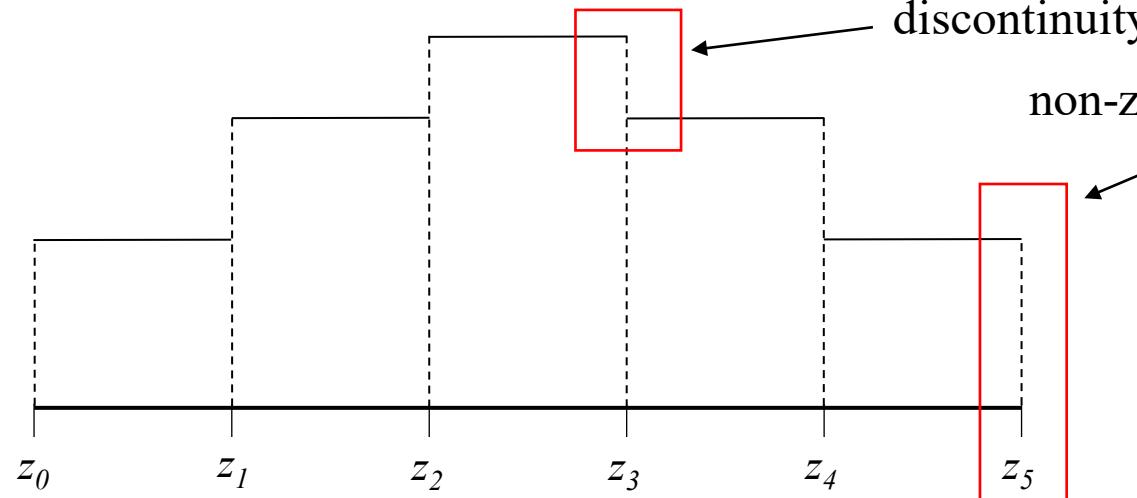
# Discretize metal structures

**Expansion of unknown current on  $S_\theta$ :**

$$\vec{J}(x, y, z) = \sum_{n=1}^{N_{\max}} I_n \vec{J}_n(x, y, z)$$

total number of modes  
unknown mode coefficients basis function

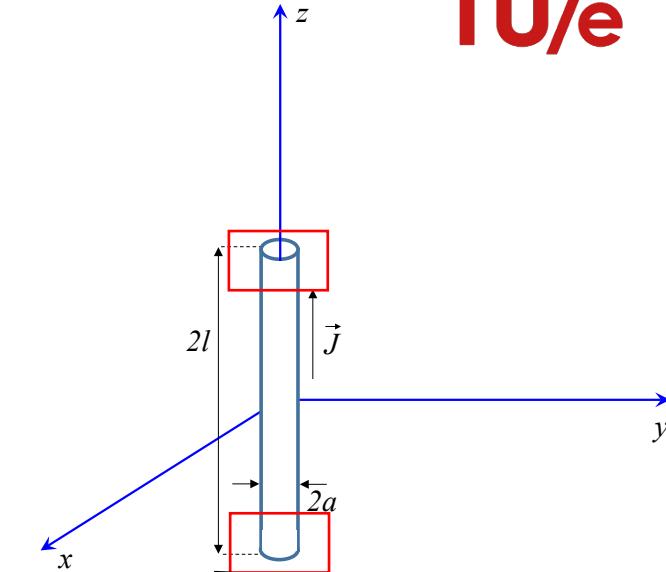
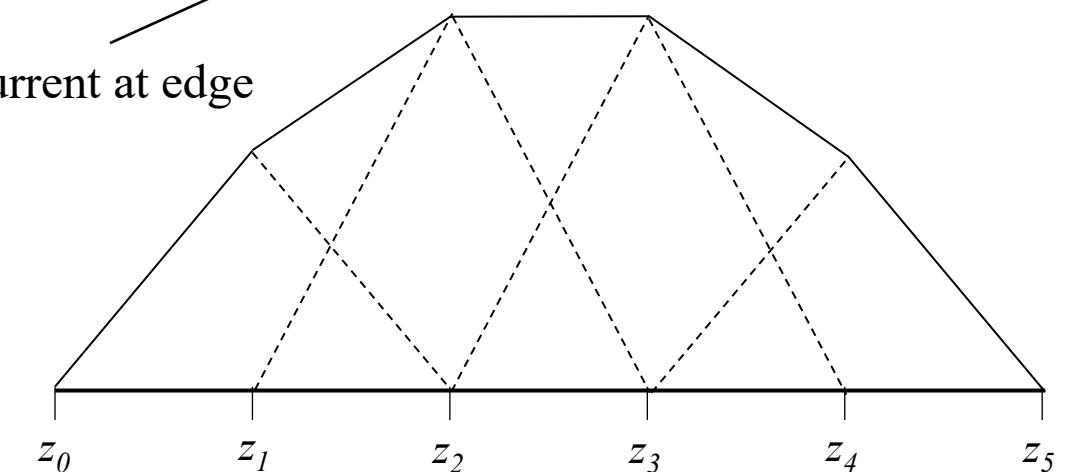
Piece-wise constant (PWC) basis functions



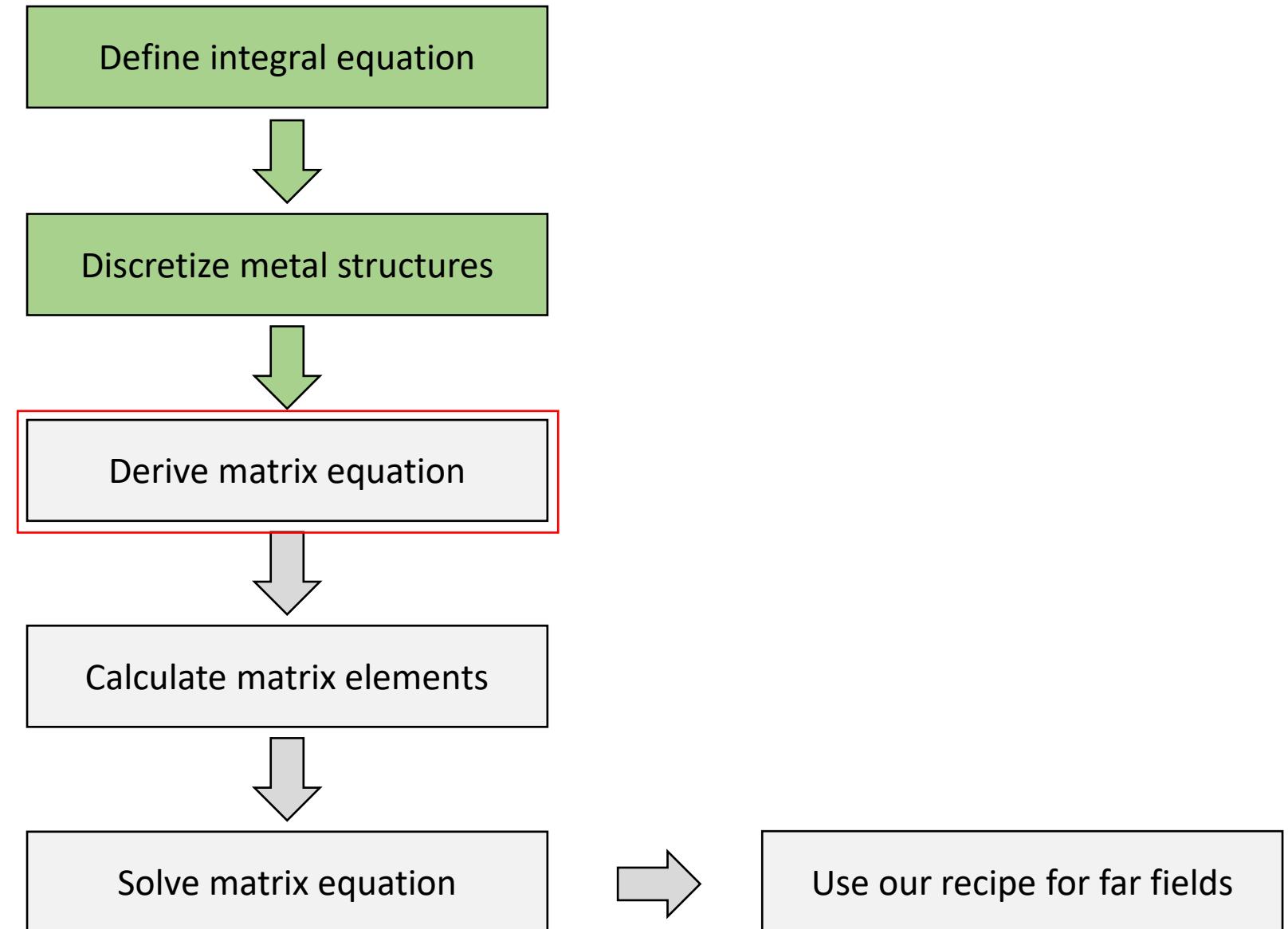
discontinuity

non-zero current at edge

Piece-wise linear (PWL) basis functions



# Approach

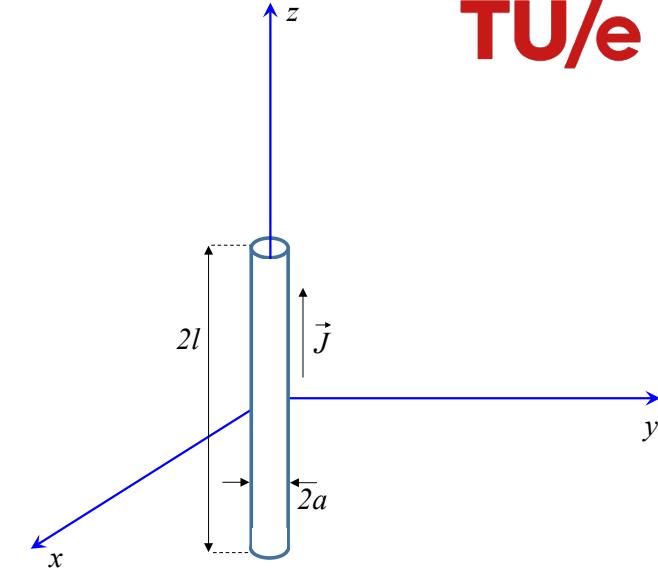


# Matrix equation

**Use superposition concept:**

$$\vec{E}^s = \sum_{n=1}^{N_{\max}} I_n \vec{E}_n$$

Field due to basis function  $\vec{J}_n$



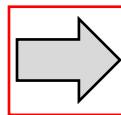
**Apply boundary condition:**

$$\vec{u}_n \times \left( \sum_{n=1}^{N_{\max}} I_n \vec{E}_n + \vec{E}^{ex} \right) = 0, \quad \text{on } S_o$$

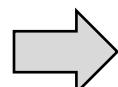
MoM: weigh residue to zero      Weighting/Test function

**Introduce a residue:**

$$\vec{R} = \vec{u}_n \times \left( \sum_n I_n \vec{E}_n + \vec{E}^{ex} \right)$$



$$\iint_{S_m} \vec{R} \cdot \vec{J}_m dS = 0, \quad \text{for } m = 1 \dots N_{\max}$$



$$\boxed{\sum_{n=1}^{N_{\max}} I_n Z_{mn} + V_m^{ex} = 0, \quad \text{for } m = 1 \dots N_{\max}}$$

# Matrix equation

$$\sum_{n=1}^{N_{\max}} I_n Z_{mn} + V_m^{ex} = 0, \quad \text{for } m = 1 \dots N_{\max}$$

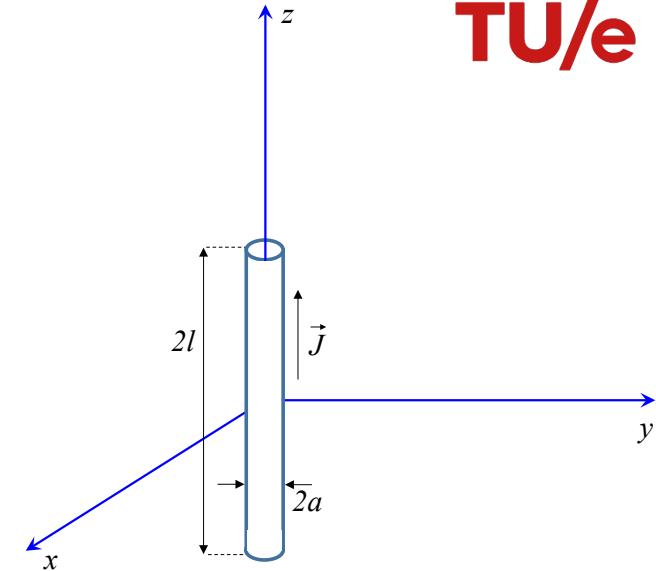
$$[Z][I] + [V^{ex}] = [0]$$

↓  $N_{\max} \times N_{\max}$  matrix    ↓  $N_{\max}$  vector

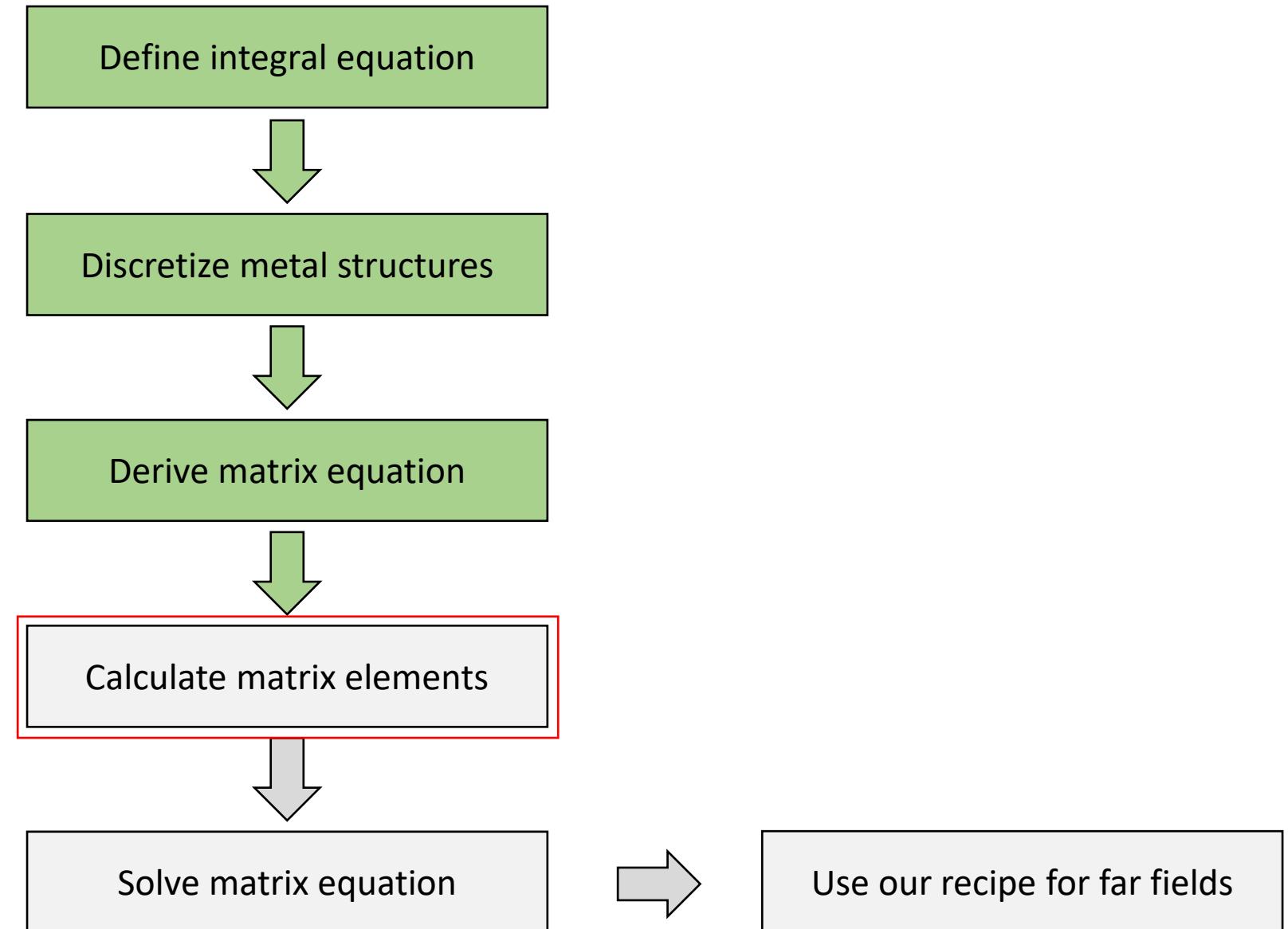
**Elements of the matrix and excitation vector:**

$$Z_{mn} = \iint_{S_m} \vec{E}_n^s \cdot \vec{J}_m dS$$

$$V_m^{ex} = \iint_{S_m} \vec{E}^{ex} \cdot \vec{J}_m dS$$



# Approach



# Test case: wire antenna

**Expansion functions on cylinder  $r = a$**

$$\vec{J}_n = \vec{u}_z \frac{1}{2\pi a} J_{zn}(z) \delta(r - a)$$

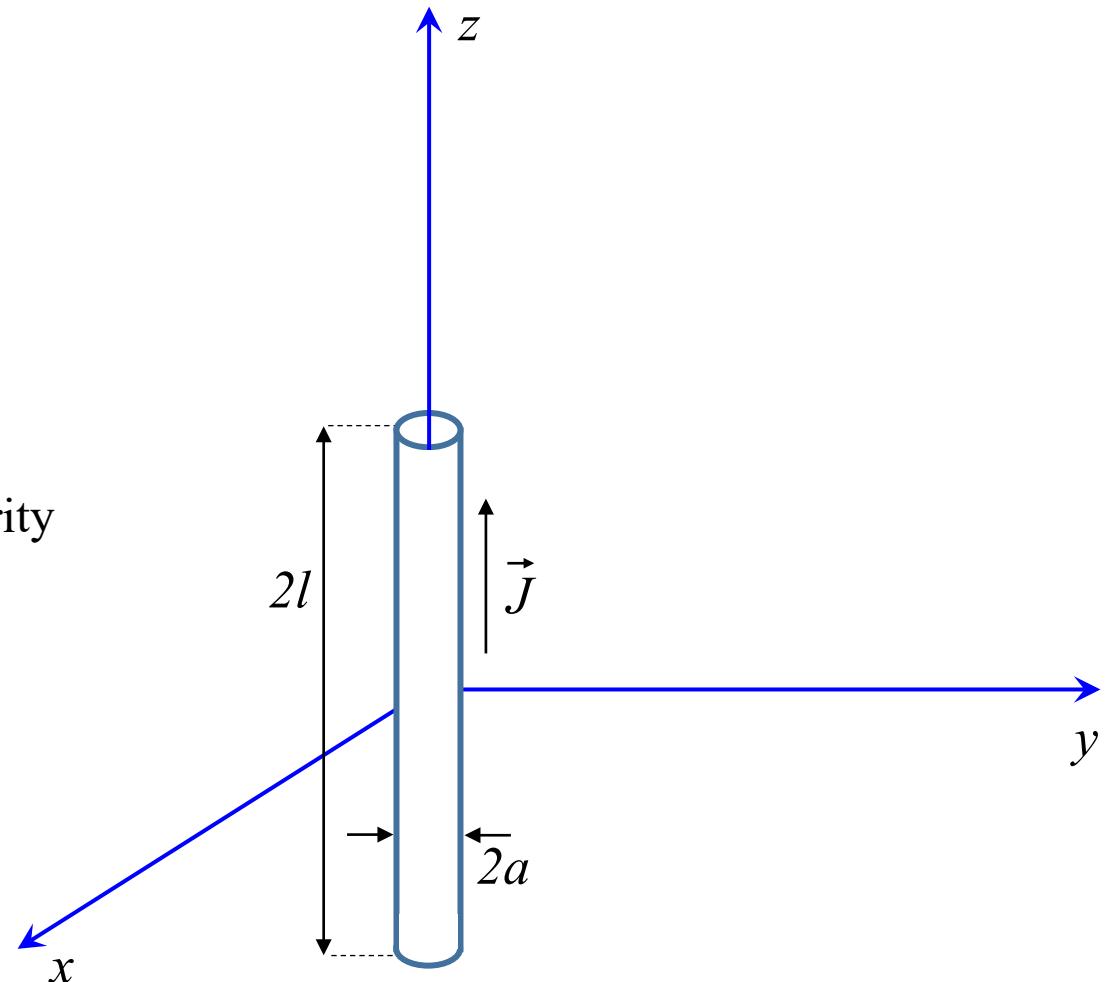
z-directed and z-dependent only

**Test functions on axis  $r = 0$**

$$\vec{J}_m = \vec{u}_z J_{zm}(z) \delta(r)$$

**PWC basis functions:**

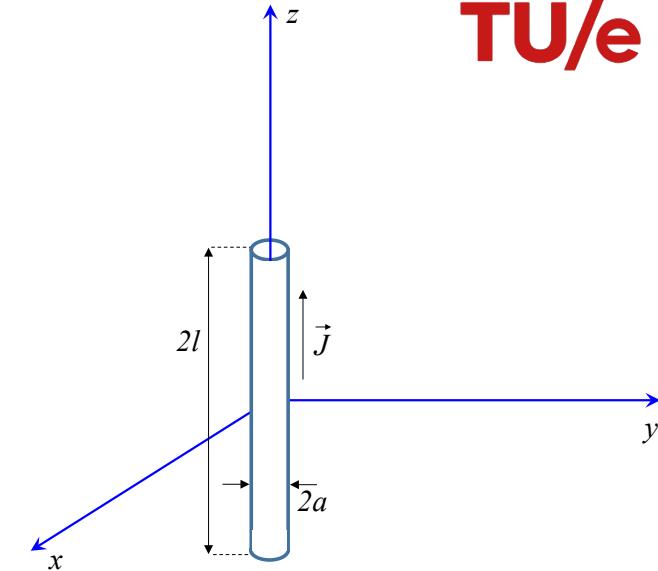
$$J_{zn}(z) = \begin{cases} 1 & z_{n-1} < z < z_{n+1} \\ 0 & \text{elsewhere} \end{cases}$$



# Test case: wire antenna

## Matrix elements

$$Z_{mn} = -j\omega\mu_0 \int_{z_{m-1}}^{z_{m+1}} \int_{z_{n-1}}^{z_{n+1}} \left( 1 + \frac{1}{k_0^2} \frac{d^2}{dz^2} \right) \frac{e^{-jk_0 R_a}}{4\pi R_a} dz_0 dz$$



## Excitation vector

$$V_m^{ex} = \iint_{S_m} \vec{E}^{ex} \cdot \vec{J}_m dS$$

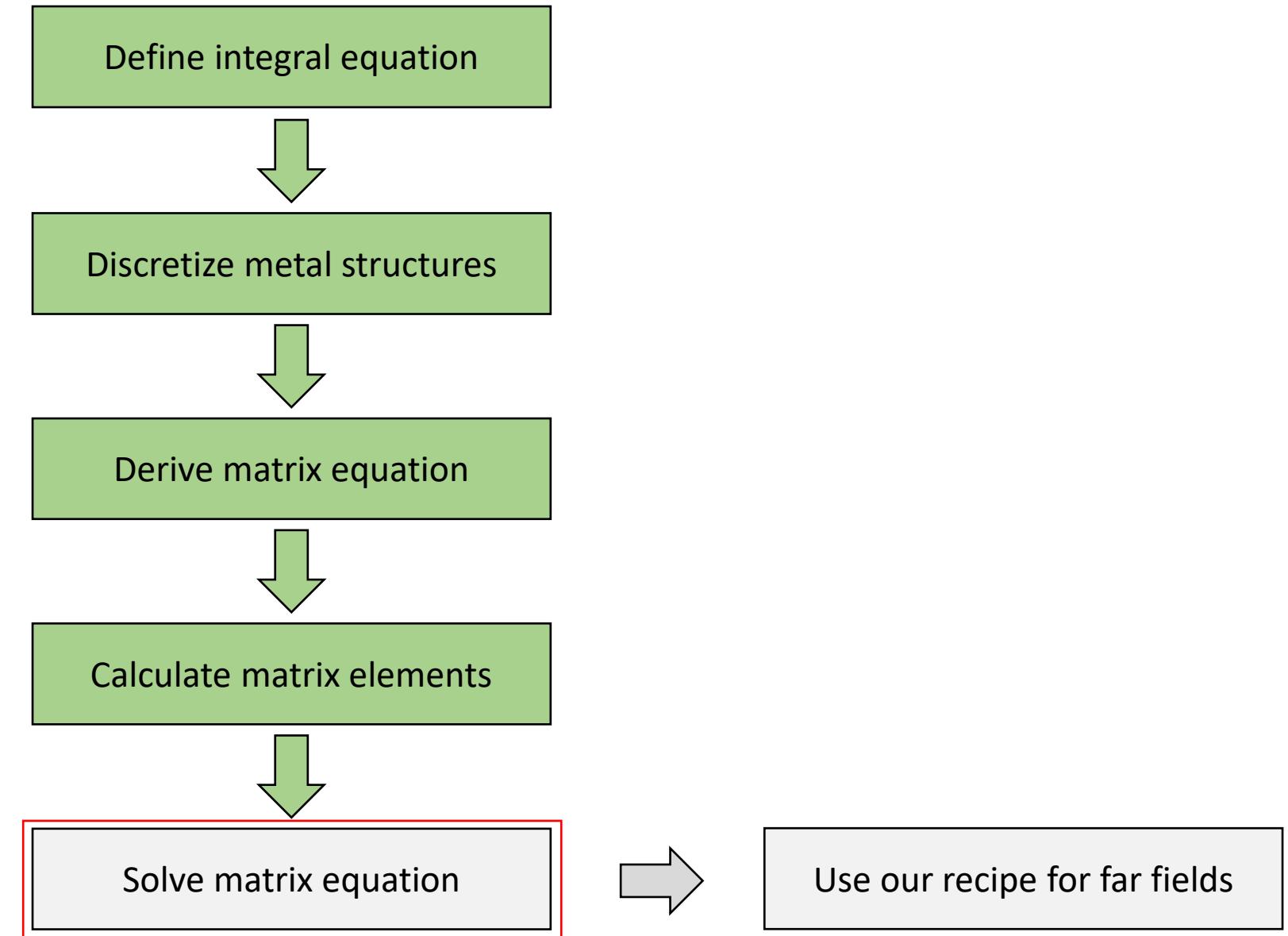
$$\vec{E}^{ex} = [V_g] \delta(z - [z_g]) \vec{u}_z$$

$J_{\text{generator}}(z)$   $\begin{cases} 1 & \text{location of voltage generator} \\ 0 & \text{elsewhere} \end{cases}$

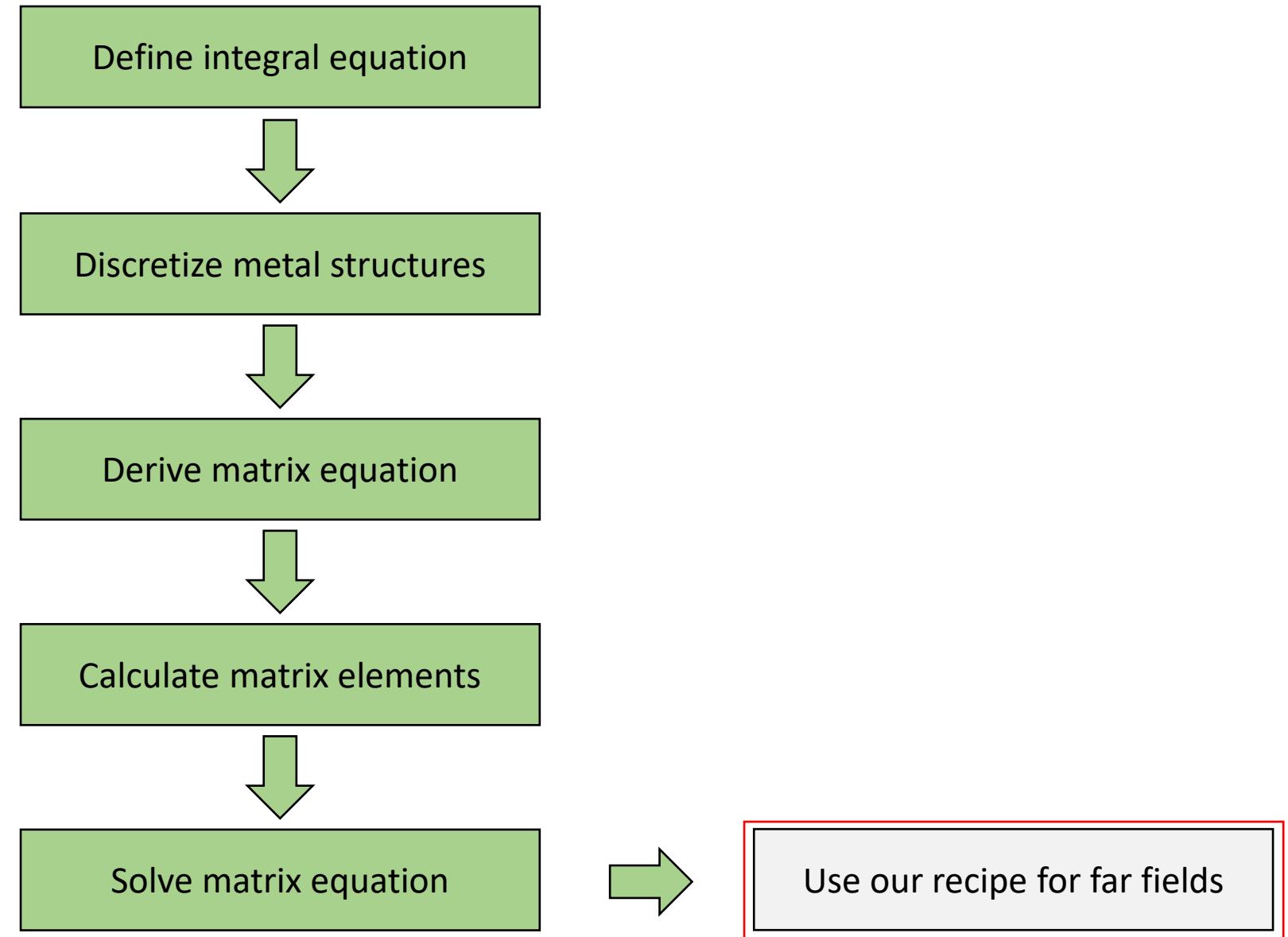
$$z_{m-1} < z < z_{m+1}$$

$$[V^{ex}] = \begin{pmatrix} 0 \\ .. \\ V_g \\ .. \\ 0 \end{pmatrix}$$

# Approach



# Approach

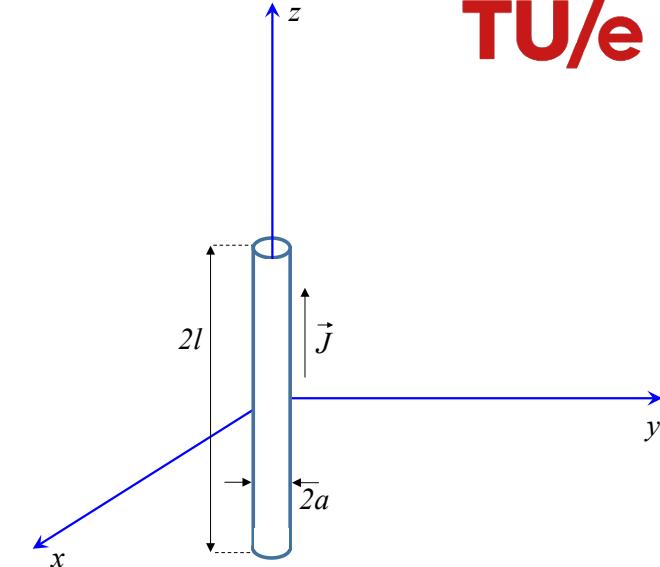


# Test case: wire antenna

## Current distribution on wire

$$\vec{J}(z) = \sum_{n=1}^{N_{\max}} I_n \vec{J}_n(z)$$

Determined by solving matrix equation



## Far field

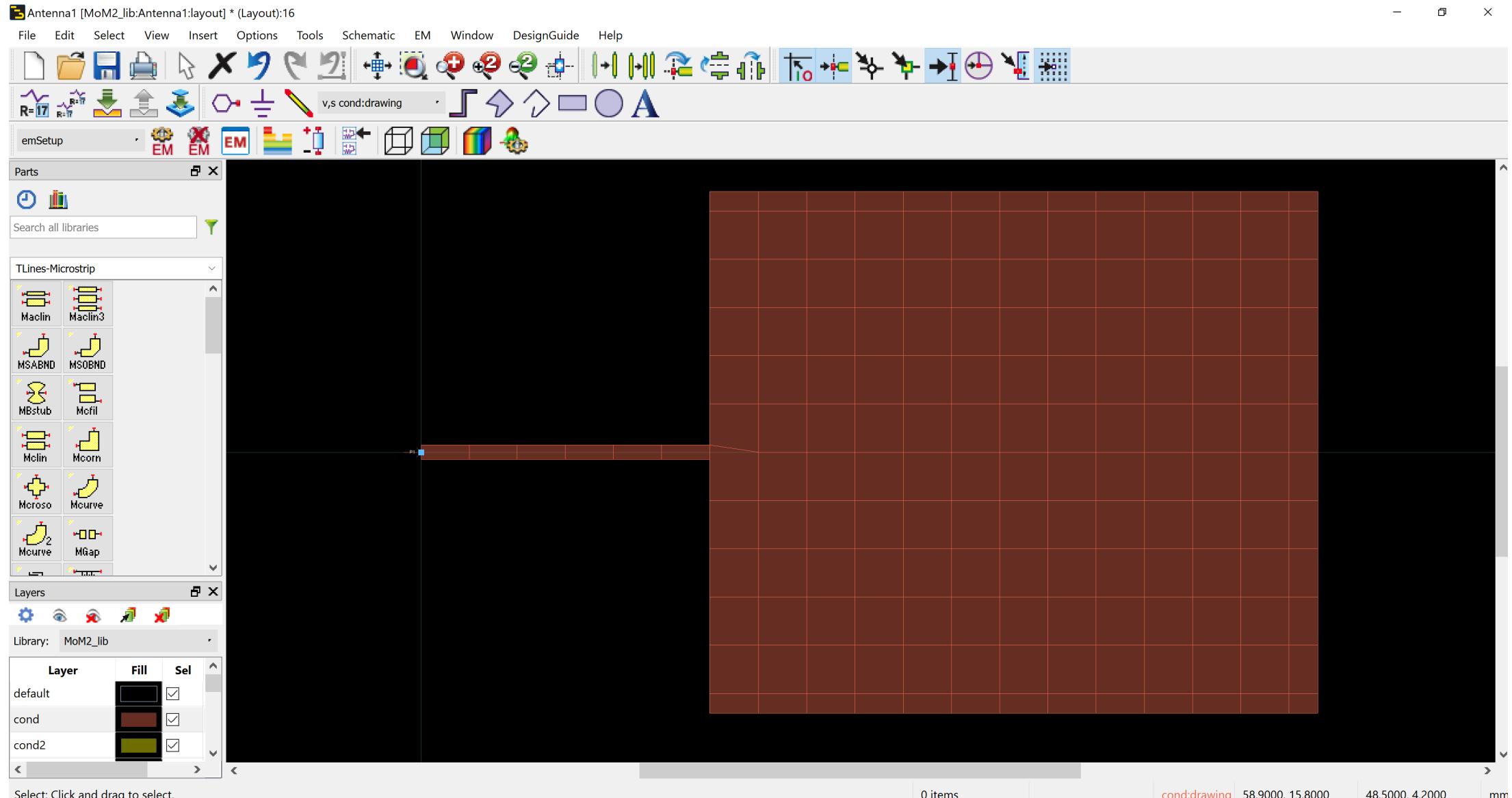
$$\vec{E}(\vec{r}) = \frac{-k_0^2}{j\omega\epsilon_0} \frac{e^{-jk_0 r}}{4\pi r} \vec{u}_r \times \vec{u}_r \times \iiint_{V_0} \vec{J}(\vec{r}_0) e^{jk_0 \vec{u}_r \cdot \vec{r}_0} dV_0$$

## Antenna impedance

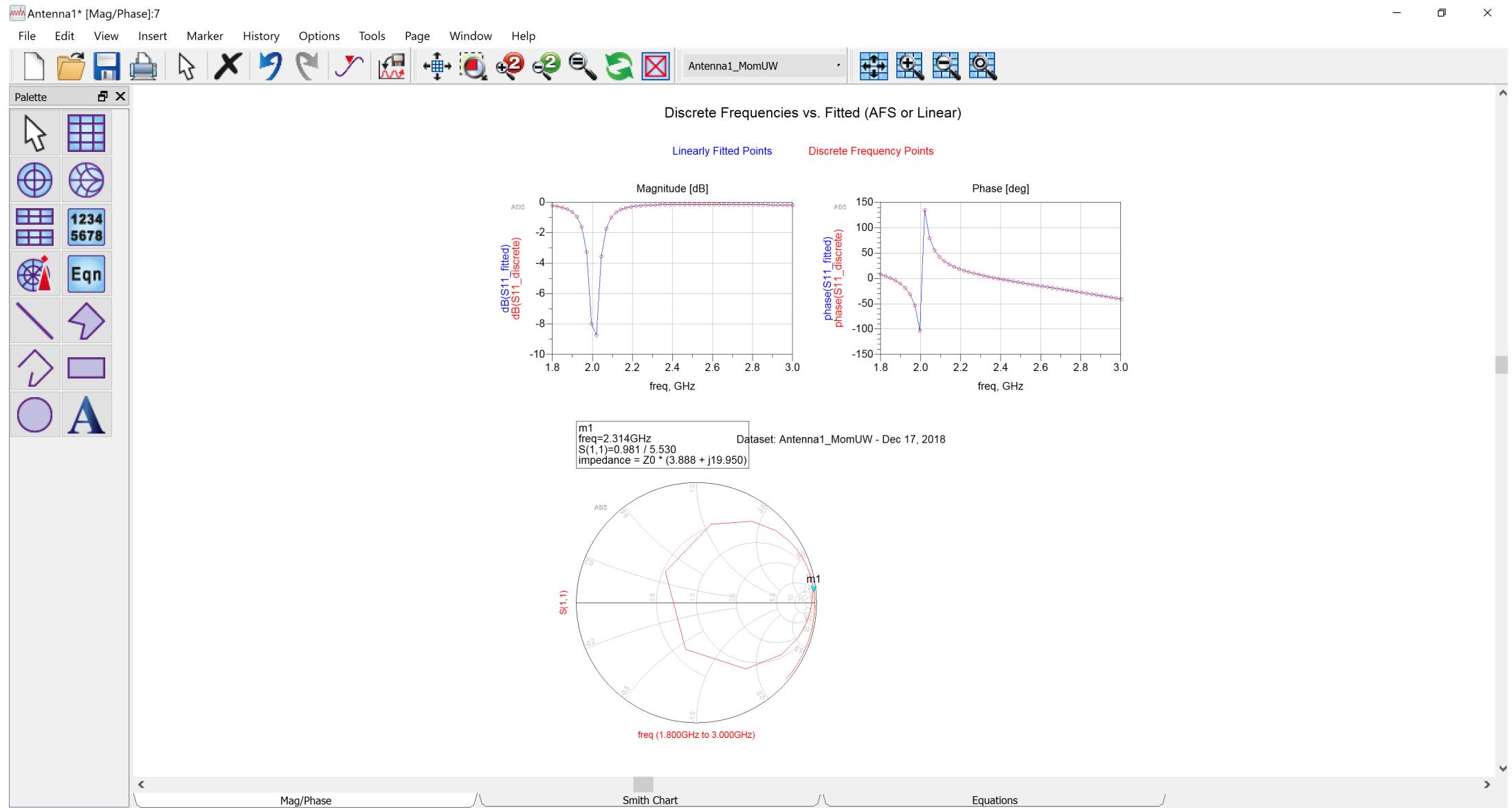
$$Z_a = \frac{V_g}{I_i}$$

with  $I_i$  the modecoefficient of segment  $i$  at  $z = z_g$

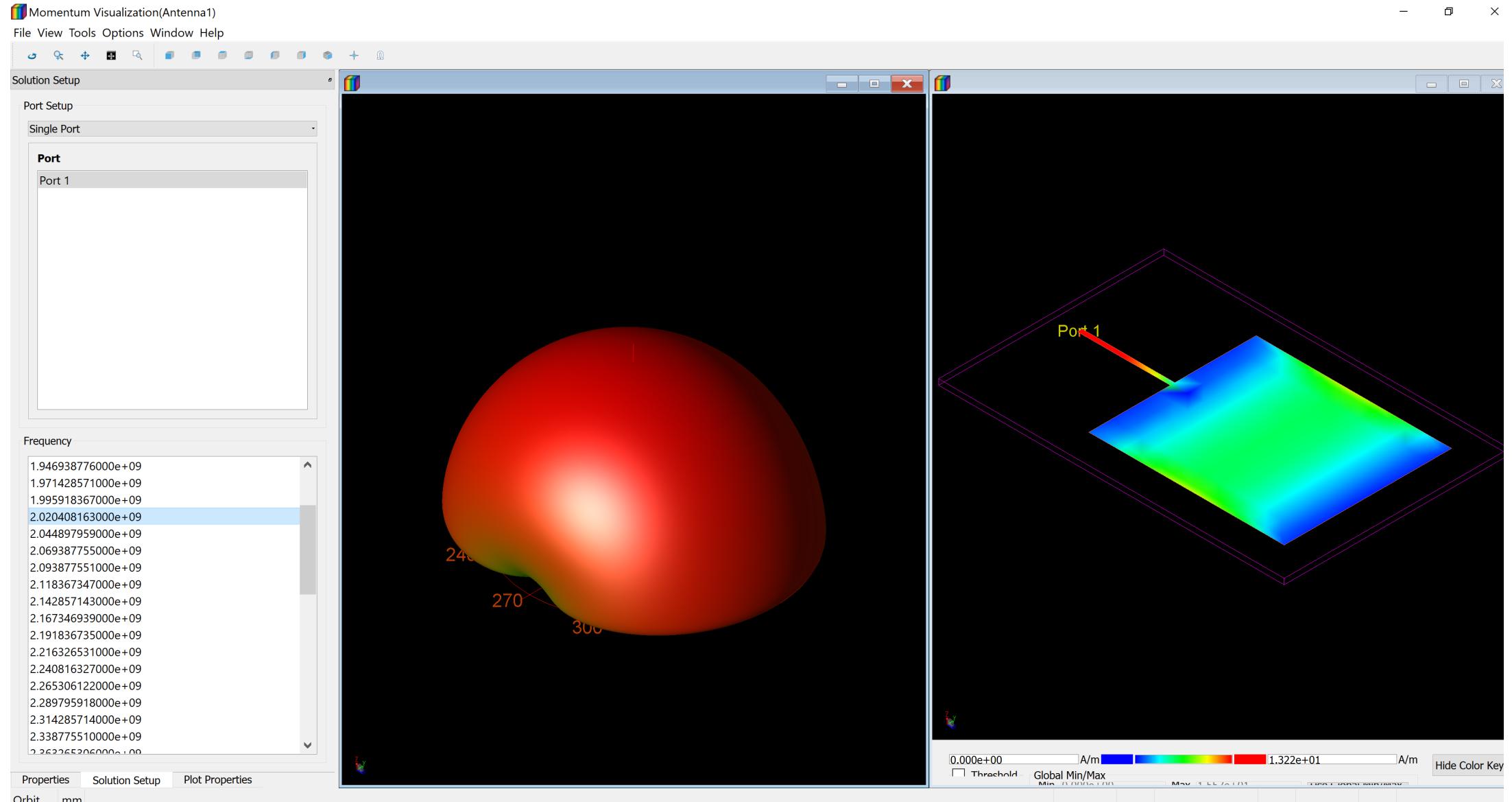
# MoM in professional tool: ADS



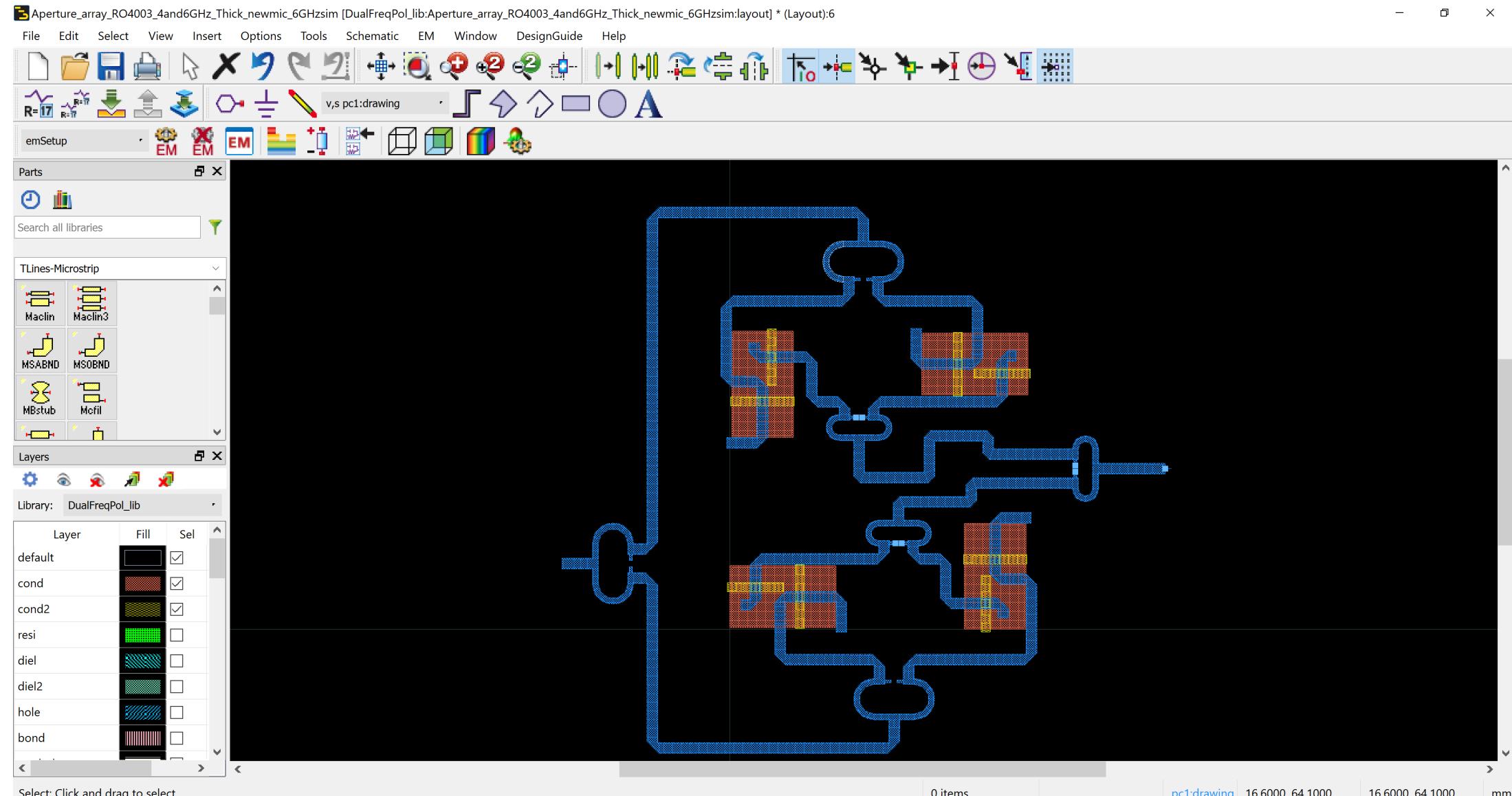
# Input impedance



# Far-field and current distribution



# Antenna and microwave circuits co-simulation



# Summary

- MoM can be used to calculate the current distribution on antennas.
- Once the current distribution is known, we can apply our far-field recipe.
- Example using professional tool ADS.

# Microwave Engineering and Antennas

## Power Gain – Part I The Concept of Power Transfer

Domine Leenaerts, Professor  
Department of Electrical Engineering  
Center for Wireless Technologies Eindhoven

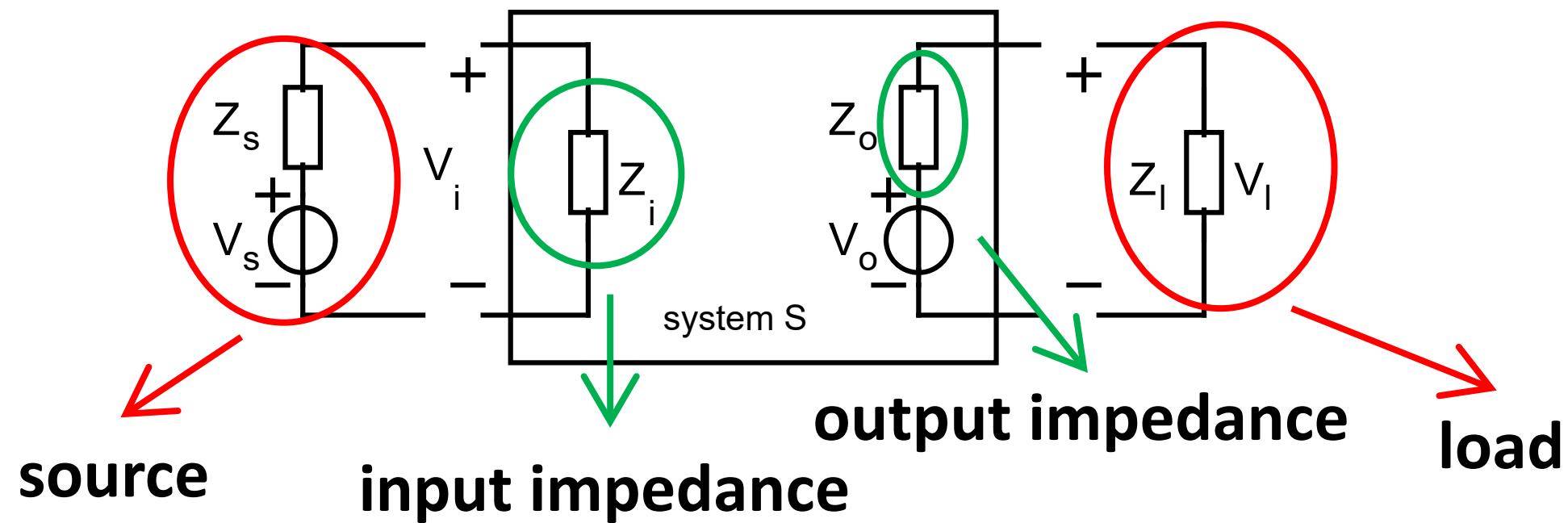
Note: In these slides we have used the peak power in the definition of  $P_{av,s}$  and related power definitions.  
In the book and quizzes we will use the time-average (rms) value with an additional factor  $\frac{1}{2}$ .

# Power Gain: The Concept of Power Transfer

## **Objective of this lecture**

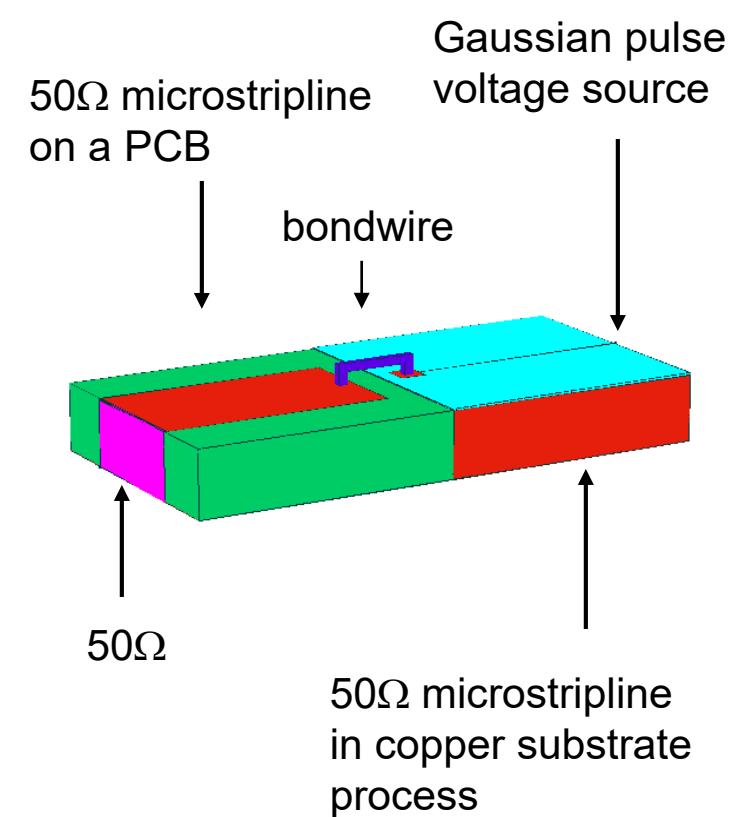
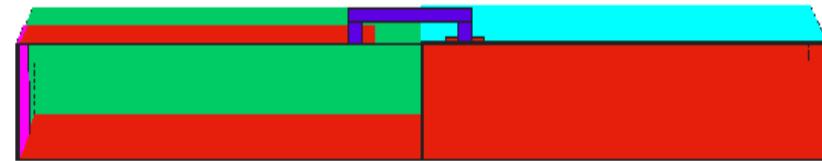
- Introduce power transfer in a system
- Difference between available power, delivered power and dissipated power
- Definition of Power Gain

A generic electronic system, e.g. an RF amplifier



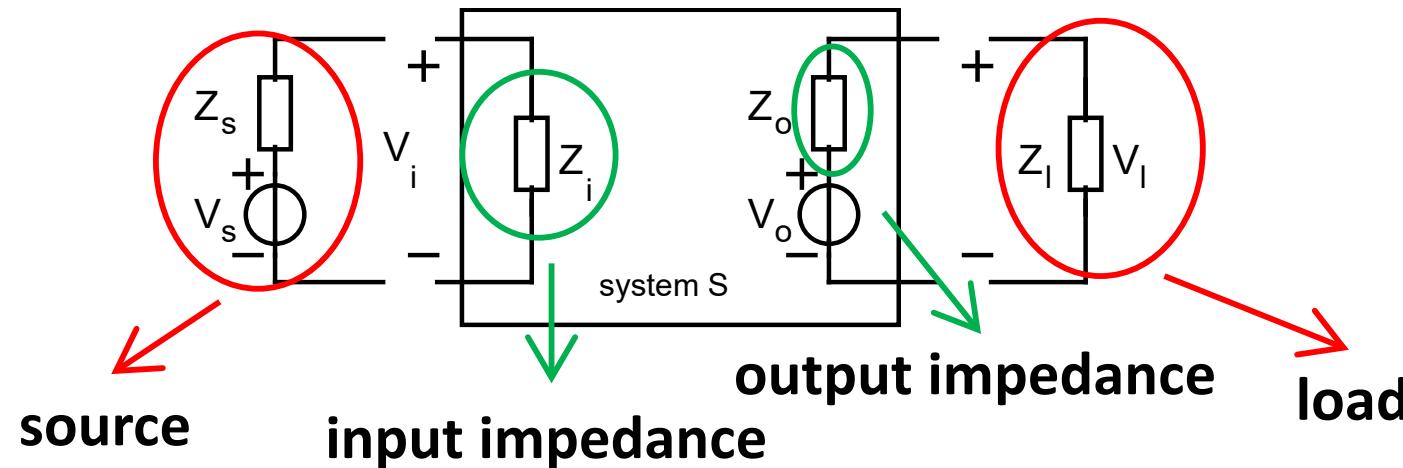
- Note: we do not discuss the inside of the system, i.e. black-box approach

# Power Transfer – the importance of matching



E-field strength in vertical plane (cross-section), Value of  $|E|$  in dB[V/m]

# Available Power from a source: $P_{av}$

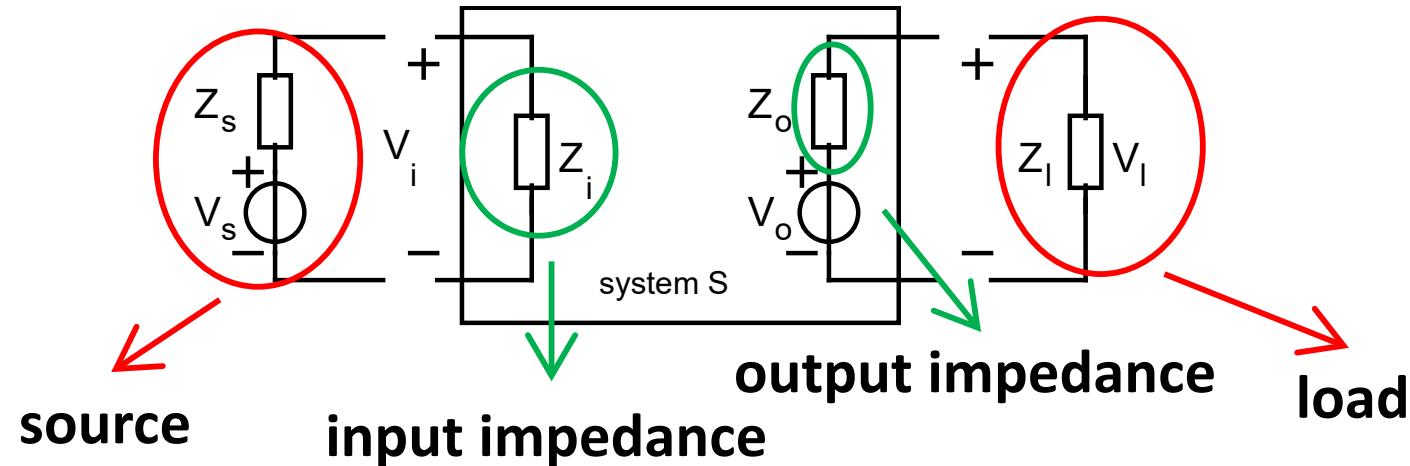


$$P_{av,s} = \frac{R_i}{(R_i + R_s)^2} V_s^2 \Big|_{R_i=R_s} = \frac{V_s^2}{4R_s}$$

## Available (input) power:

signal power that would be extracted from source  $V_s$  by a load  
conjugately matched to the output of the source

# Power Delivered to a load: $P_{del}$

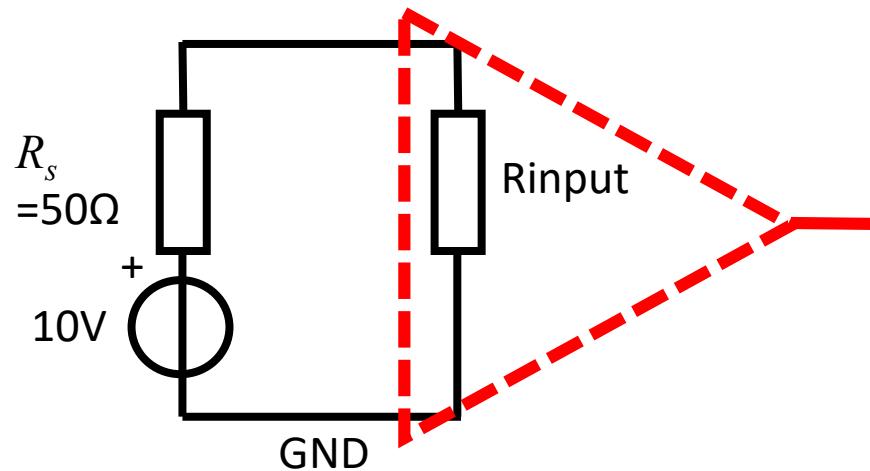


$$V_i = \frac{R_i}{R_i + R_S} V_s$$

$$P_{del,i} = \frac{R_i}{(R_i + R_S)^2} V_s^2$$

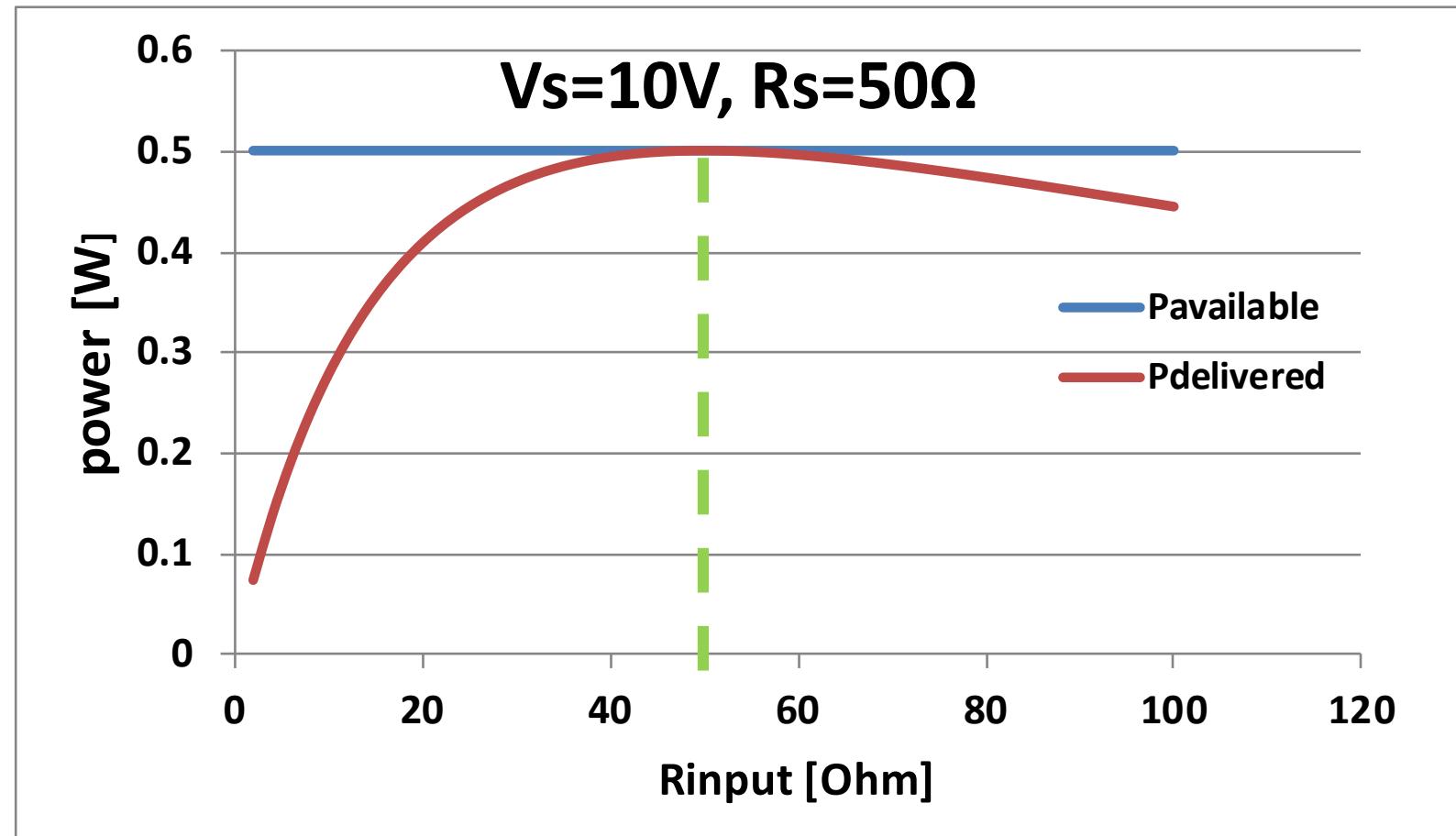
$$P_{del,i} \leq P_{av,s}$$

# Example



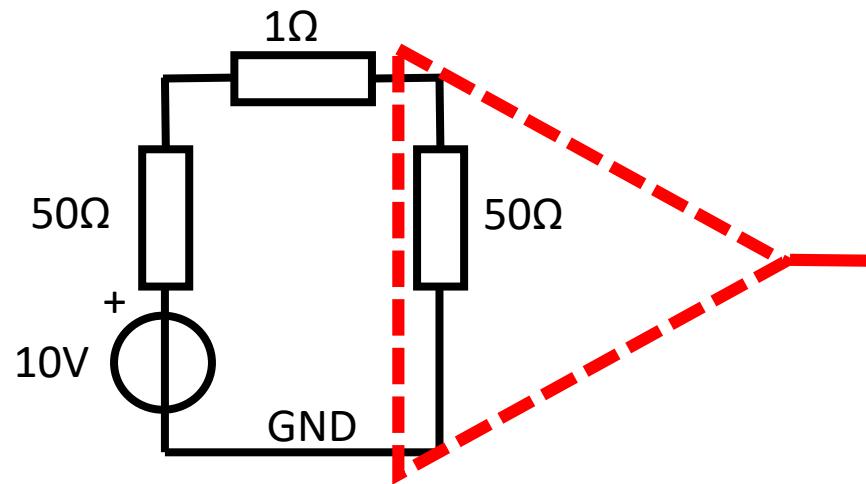
$$P_{av,s} = \frac{V_s^2}{4R_s} = \frac{100}{200} = 0.5$$

$$P_{del,i} = \frac{R_i}{(R_i + R_s)^2} V_s^2 = \frac{100R_i}{(R_i + 50)^2}$$



$R_{input} = R_s \rightarrow$  power match:  $P_{av} = P_{del}$

# Difference between power delivered and power dissipated



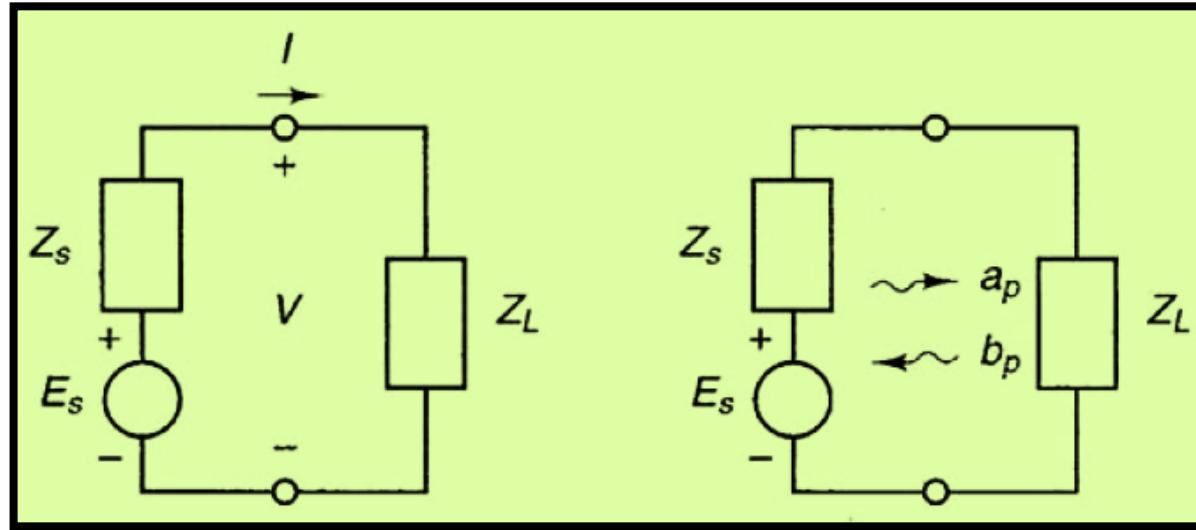
$$P_{av} = \frac{V^2}{4R_s} = \frac{100}{4 \cdot 50} = 0.5W$$

$$P_{del} = \frac{R_l \cdot V^2}{(R_s + R_l + R_{series})^2} = \frac{50 \cdot 100}{(50 + 1 + 50)^2} = 0.49W$$

$$P_{diss} = i^2 R_{series} = \left(\frac{10}{101}\right)^2 \cdot 1 = 0.01W$$

$$P_{av} = P_{del} + P_{diss}$$

# Power Transfer using waves



Incident wave

$$a_p = \frac{1}{2} (\nu + i)$$

Reflected wave

$$b_p = \frac{1}{2} (\nu - i)$$

$$\nu = \frac{V}{\sqrt{R_s}}$$

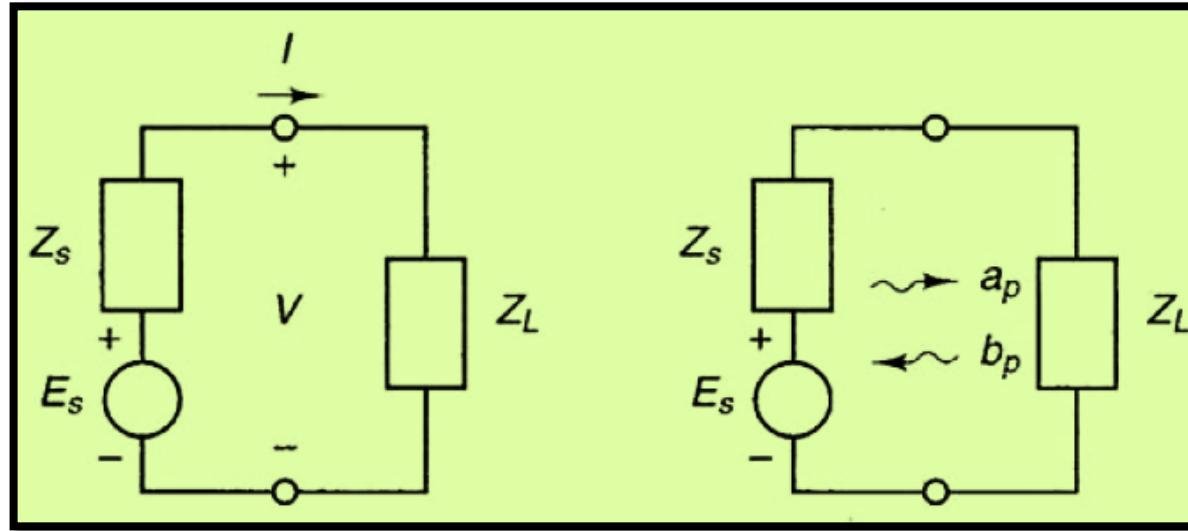
$$i = \sqrt{R_s} I$$

$$R_s = Re[Z_s]$$

$$a_p = \frac{1}{2\sqrt{R_s}} (V + Z_s I)$$

$$b_p = \frac{1}{2\sqrt{R_s}} (V - Z_s^* I)$$

# Available Power using waves

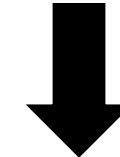


$$V = E_s - Z_s I$$

Available Power:  $Z_L = Z_s$   
(optimal power transfer)

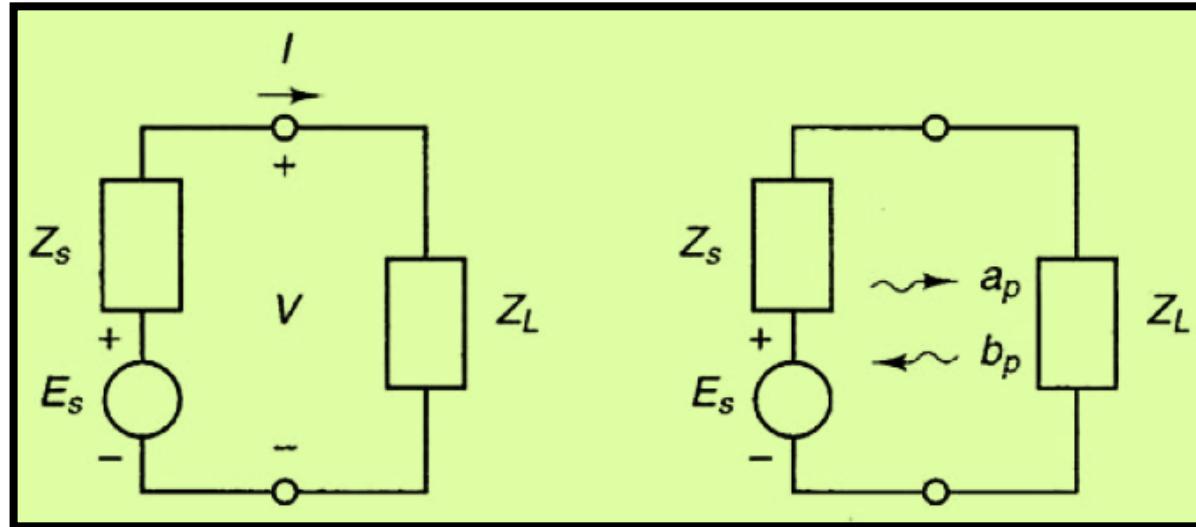
$$a_p = \frac{1}{2\sqrt{R_s}} (V + Z_s I) = \frac{E_s}{2\sqrt{R_s}}$$

$$|a_p|^2 = \frac{|E_s|^2}{4R_s}$$



$$P_{av,s} = |a_p|^2 = \frac{|E_s|^2}{4R_s}$$

# Delivered Power using waves parameters



$$V = E_S - Z_S I$$

$$a_p = \frac{1}{2\sqrt{Z_S}} (V + Z_S I)$$

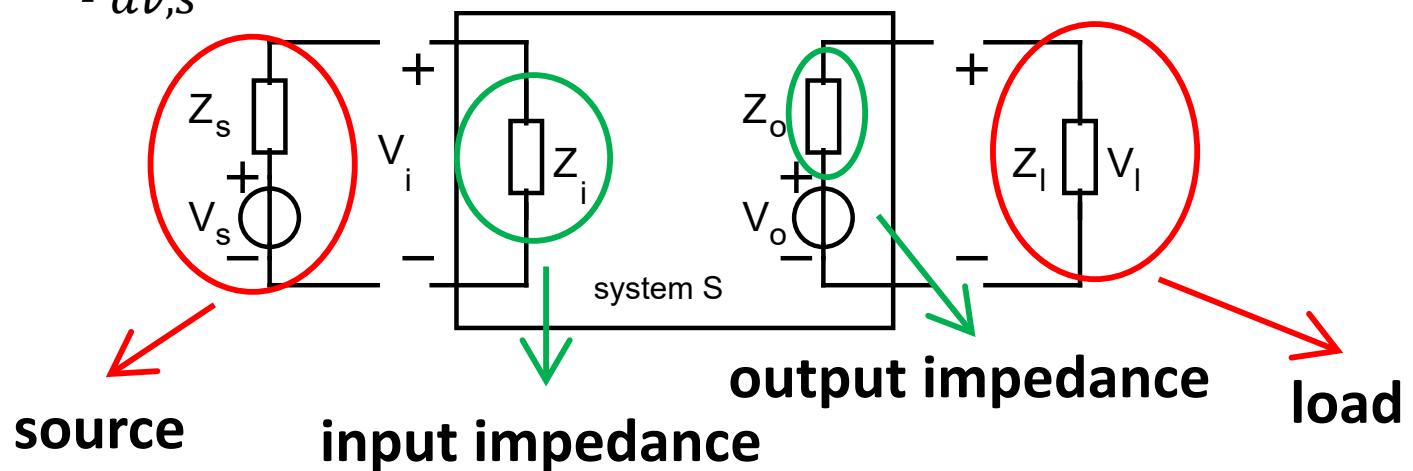
$$b_p = \frac{1}{2\sqrt{Z_S}} (V - Z_S I)$$

$$P_{del} = (|a_p|^2 - |b_p|^2)$$

$$P_{del} = \underbrace{|a_p|^2}_{P_{av,S}} \left( 1 - |\Gamma_p|^2 \right)$$

# Four definitions of Power Gain

- Available (power) Gain  $G_{av} = \frac{P_{av,o}}{P_{av,s}}$  mismatch conditions at input
- Delivered (power) Gain  $G_{del} = \frac{P_{del,l}}{P_{del,i}}$  mismatch conditions at output
- Maximum (power) Gain  $G_{max} = \frac{P_{av,o}}{P_{del,i}}$  optimal power transfer
- Transducer (power) Gain  $G_T = \frac{P_{del,l}}{P_{av,s}}$  mismatch at input and output



# Summary

- Transfer of power is influenced by impedance matching conditions
- Available power, delivered power and dissipated power
- Definition of power gain is based on transfer of power from source to load

# Microwave Engineering and Antennas

## Power Gain – Part II

Domine Leenaerts, Professor

Department of Electrical Engineering

Center for Wireless Technologies Eindhoven

Note: In these slide we have used the peak power in the definition of  $P_{av,s}$  and related power definitions.  
In the book and quizzes we will use the time-average (rms) value with an additional factor  $\frac{1}{2}$ .

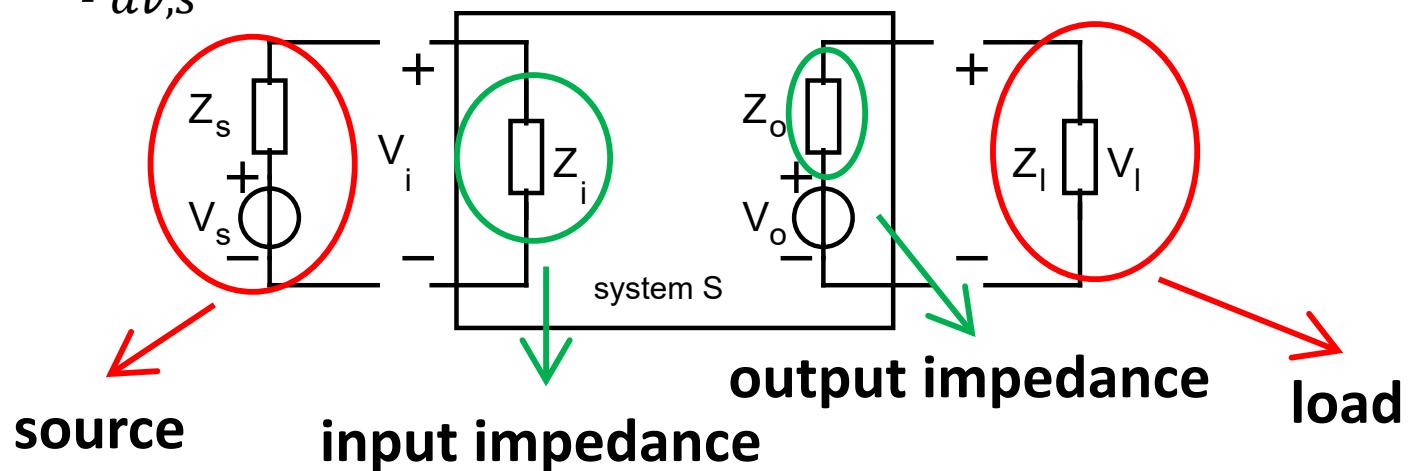
# Power Gain – Part II

## **Objective of this lecture**

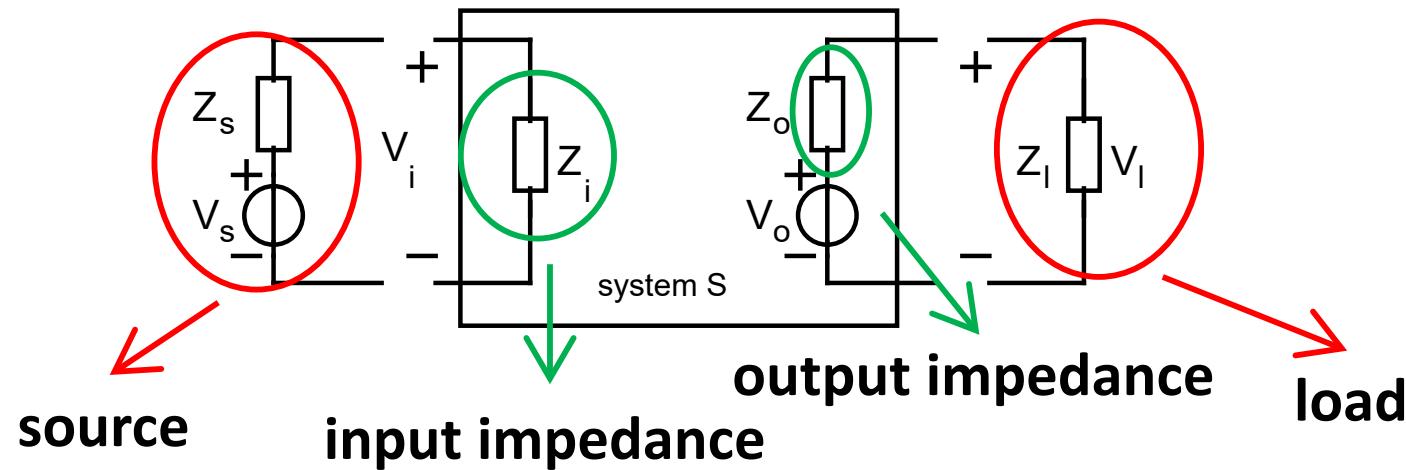
- Introduce the 4 definitions of power gain
- Provide an example to show the differences

# Four definitions of Power Gain

- Available (power) Gain  $G_{av} = \frac{P_{av,o}}{P_{av,s}}$  mismatch conditions at input
- Delivered (power) Gain  $G_{del} = \frac{P_{del,l}}{P_{del,i}}$  mismatch conditions at output
- Maximum (power) Gain  $G_{max} = \frac{P_{av,o}}{P_{del,i}}$  optimal power transfer
- Transducer (power) Gain  $G_T = \frac{P_{del,l}}{P_{av,s}}$  mismatch at input and output



# Available and Delivered (Power) Gain



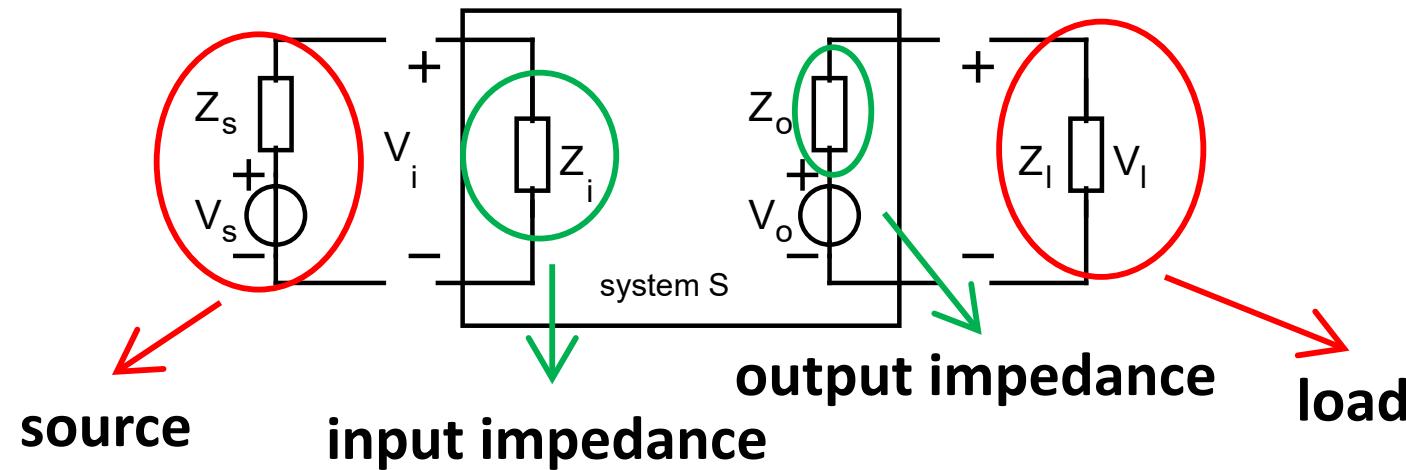
$Z_o = 0 \Omega; Z_i = \infty \Omega$ :

$$A_v = \frac{V_o}{V_i} = \frac{V_l}{V_s}$$

$$G_{av} = \frac{P_{av,o}}{P_{av,s}} = \left( \frac{R_i}{(R_i + R_s)} \right)^2 \frac{A_v^2 R_s}{R_o}$$

$$G_{del} = \frac{P_{del,l}}{P_{del,i}} = A_v^2 \frac{R_i R_l}{(R_l + R_o)^2}$$

# Maximum and Transducer (Power) Gain



$$A_v = \frac{V_o}{V_i} = \frac{V_l}{V_s}$$

$$G_{max} = \frac{P_{av,o}}{P_{del,i}} = A_v^2 \frac{R_i}{4R_o}$$

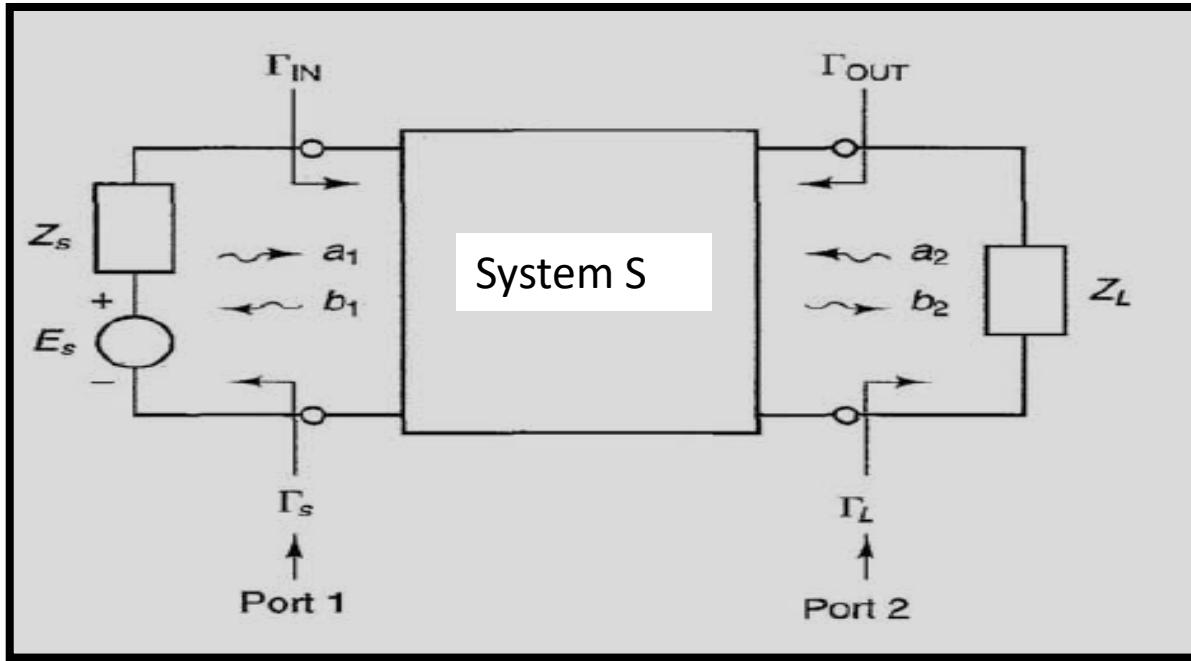
$$G_T = \frac{P_{del,l}}{P_{av,s}} = \left( \frac{R_i}{(R_i + R_s)} \right)^2 A_v^2 \frac{4R_l R_s}{(R_l + R_o)^2}$$

$$G_T \xrightarrow{R_i=R_s} G_{del}$$

$$G_T \xrightarrow{R_l=R_o} G_{av}$$

$$G_T \xrightarrow{R_l=R_o, R_s=R_i} G_{max}$$

# Power Gain and scatter parameters: reflection

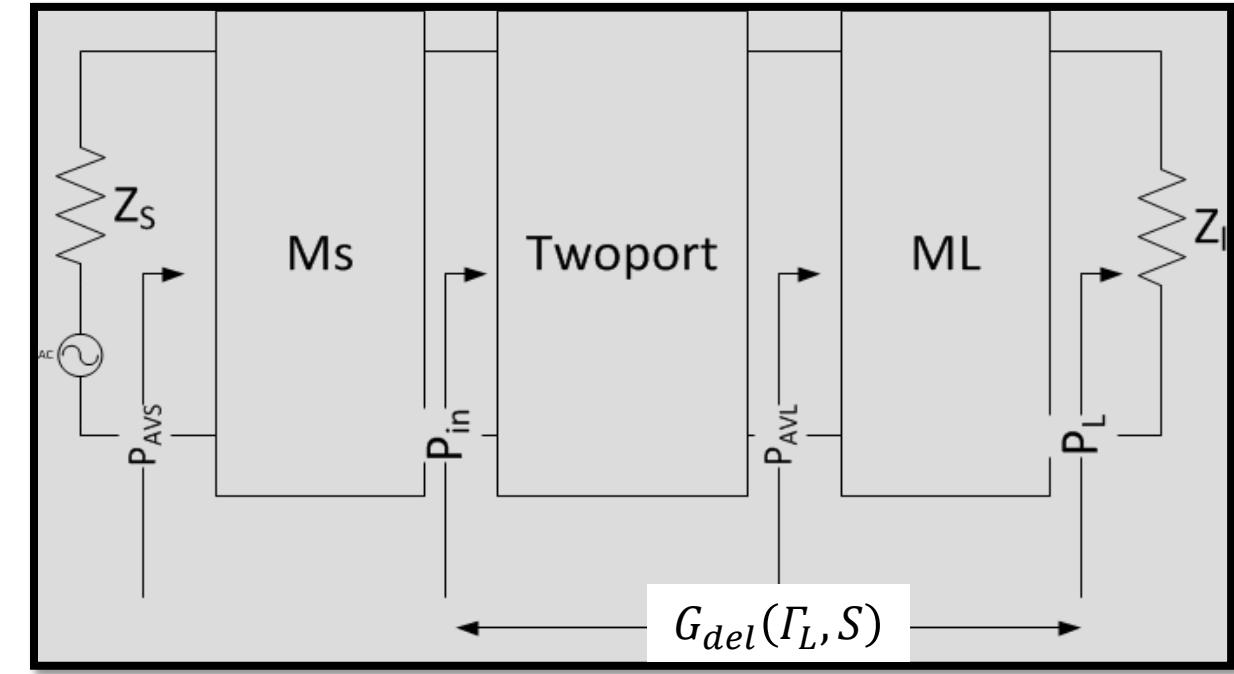
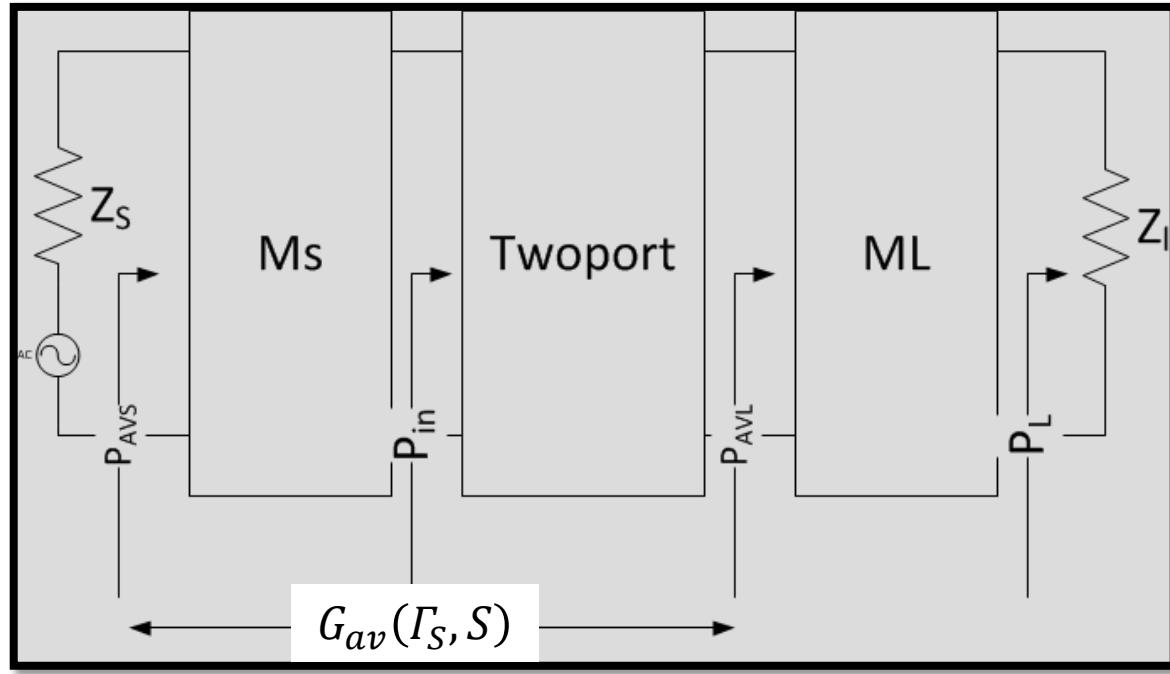


$$\Gamma_{in} = \frac{b_1}{a_1} = S_{11} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_L} - S_{22}}$$

$$\Gamma_{out} = \frac{b_2}{a_2} = S_{22} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_S} - S_{11}}$$

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

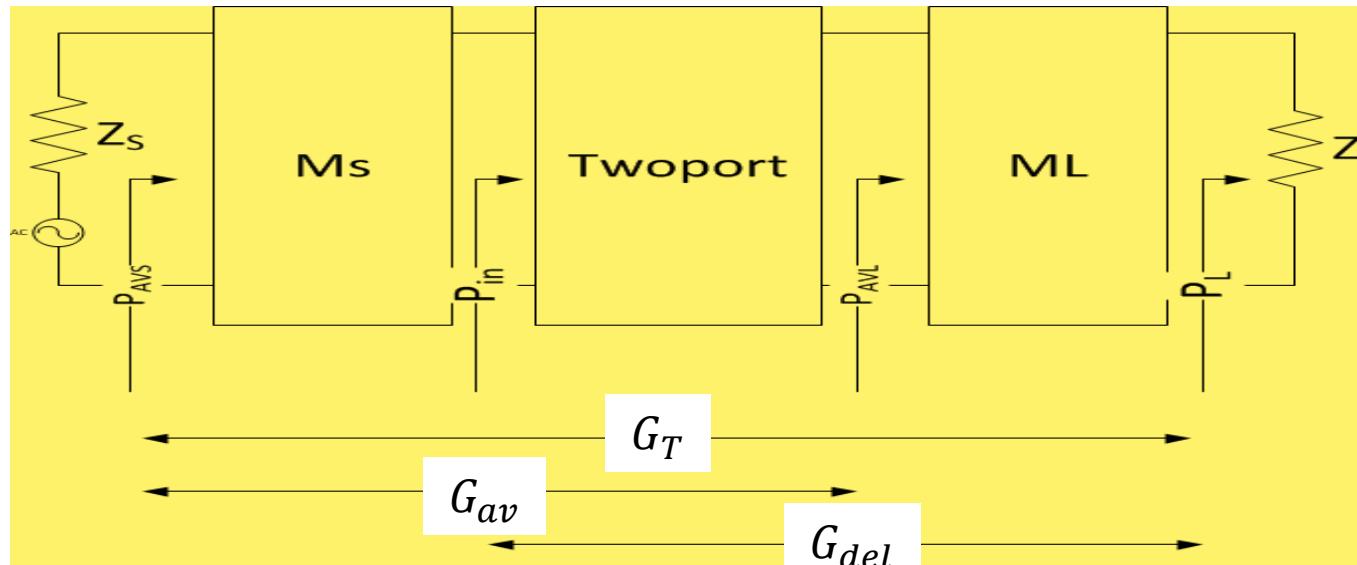
# Available, and delivered Power Gain



$$G_{av}(\Gamma_S, S) = \frac{P_{av,l}}{P_{av,s}} = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} |S_{21}|^2 \frac{1}{1 - |\Gamma_{out}|^2}$$

$$G_{del}(\Gamma_L, S) = \frac{P_{del,l}}{P_{del,s}} = \frac{1 - |\Gamma_L|^2}{|1 - S_{22}\Gamma_L|^2} |S_{21}|^2 \frac{1}{1 - |\Gamma_{in}|^2}$$

# Relation between all power gains



$$M_S = \frac{P_{del,in}}{P_{av,s}} = \frac{(1 - |\Gamma_{in}|^2)(1 - |\Gamma_S|^2)}{|1 - \Gamma_{in}\Gamma_S|^2}$$

$$M_L = \frac{P_{del,l}}{P_{av,l}} = \frac{(1 - |\Gamma_{out}|^2)(1 - |\Gamma_L|^2)}{|1 - \Gamma_{out}\Gamma_L|^2}$$

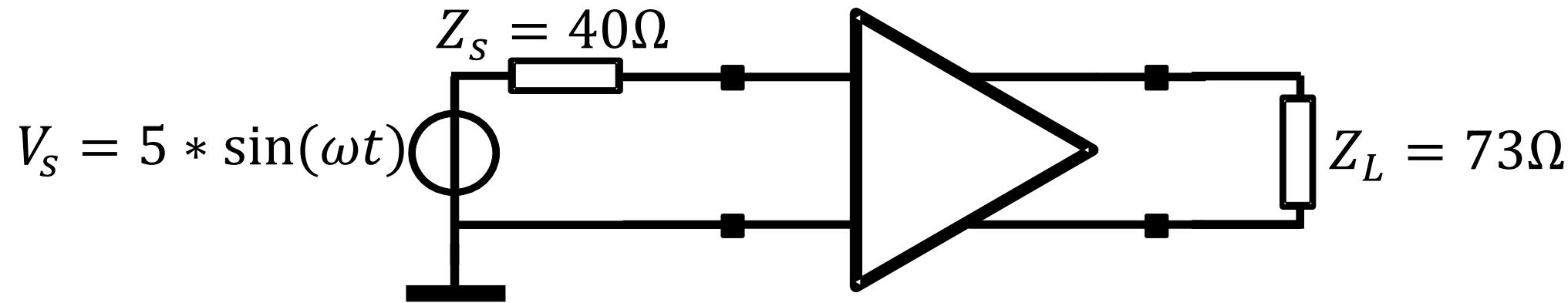
$$\begin{aligned} G_T(\Gamma_S, \Gamma_L, S) &= \frac{P_{del,l}}{P_{av,s}} = \frac{P_{del,s}}{P_{av,s}} \frac{P_{del,l}}{P_{del,s}} \\ &= M_S G_{del}(\Gamma_L, S) \end{aligned}$$

$$G_T(\Gamma_S, \Gamma_L, S) \leq G_{del}(\Gamma_L, S)$$

$$\begin{aligned} G_T(\Gamma_S, \Gamma_L, S) &= \frac{P_{del,l}}{P_{av,s}} = \frac{P_{av,l}}{P_{av,s}} \frac{P_{del,l}}{P_{av,l}} \\ &= G_{av}(\Gamma_S, S) M_L \end{aligned}$$

$$G_T(\Gamma_S, \Gamma_L, S) \leq G_{av}(\Gamma_S, S)$$

# Example: an RF amplifier



$$s_{11} = 0.61, 165^\circ$$

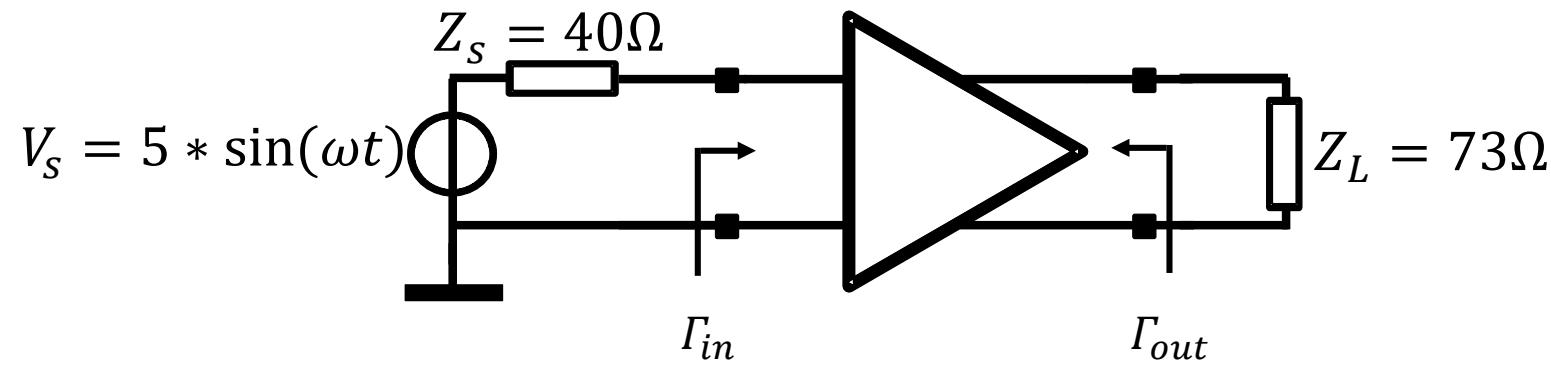
$$s_{12} = 0.05, 42^\circ$$

$$s_{21} = 3.72, 59^\circ$$

$$s_{22} = 0.45, -48^\circ$$

$$Z_0 = 50\Omega$$

# Example: an RF amplifier



$$\Gamma = \frac{Z - Z_0}{Z + Z_0}, \text{ then } \Gamma_s = -0.111, \Gamma_L = 0.187$$

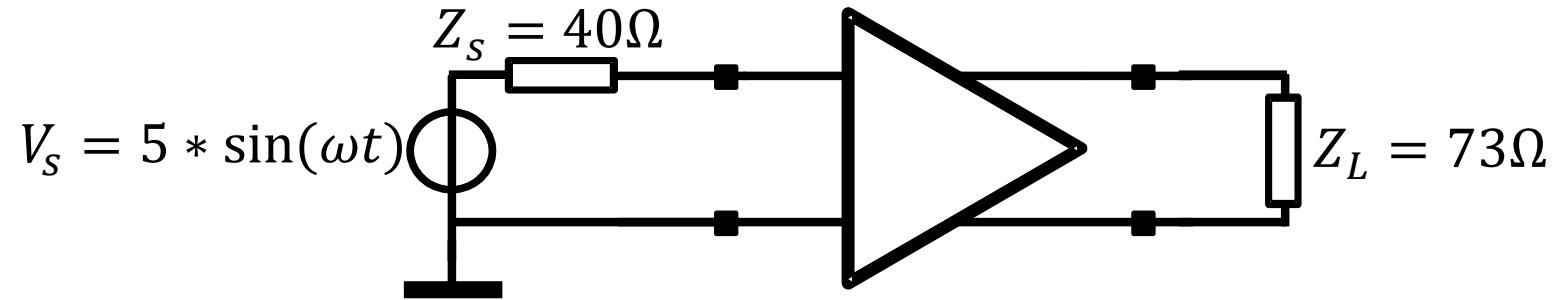
$$\Gamma_{in} = S_{11} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_L} - S_{22}} = -0.594 + j0.194$$

$$\Gamma_{out} = S_{22} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_s} - S_{11}} = 0.305 - j0.356$$

$$Z_{in} = 11 + j7.54 [\Omega]$$

$$Z_{out} = 63 - j58.4 [\Omega]$$

# Example: an RF amplifier



$$G_{max}(S) = 41.5 \text{ or } 16.18dB$$

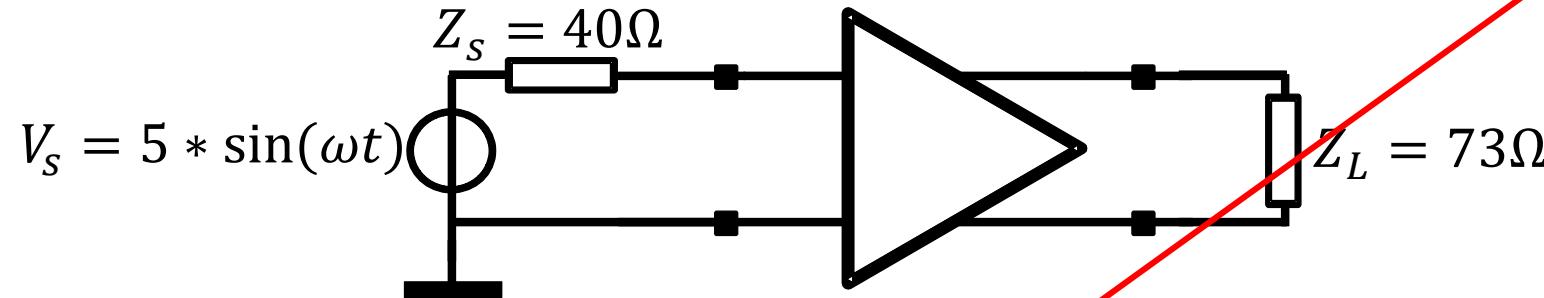
$$G_{av}(\Gamma_S, S) = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} |S_{21}|^2 \frac{1}{1 - |\Gamma_{out}|^2} = 20.05 \text{ or } 13.02dB$$

$$G_{del}(\Gamma_L, S) = \frac{1 - |\Gamma_L|^2}{|1 - S_{22}\Gamma_L|^2} |S_{21}|^2 \frac{1}{1 - |\Gamma_{in}|^2} = 24.49 \text{ or } 13.89dB$$

$$G_T(\Gamma_S, \Gamma_L, S) = \frac{1 - |\Gamma_L|^2}{|1 - S_{22}\Gamma_L|^2} |S_{21}|^2 \frac{1 - |\Gamma_S|^2}{|1 - \Gamma_{in}\Gamma_S|^2} = 16.89 \text{ or } 12.27dB$$

# Example: an RF amplifier

Note: The time average  $P_{av} = 78 \text{ mW}$  or  $18.93 \text{ dBm}$ . Time average  $P_{del} = 31.2 \text{ dBm}$



- The available power  $P_{av} = \frac{|V_s|^2}{4R_s} = 156 \text{ mW}$  or  $P_{av} = 21.93 \text{ dBm}$ .
- The power delivered to the load is the available power multiplied by the transducer gain. This results in  $P_{del} = P_{av}G_T = 2.63\text{W}$  or expressed in dBm,

$$P_{del}(\text{dBm}) = G_T(\Gamma_S, \Gamma_L, S)P_{av}(\text{dBm}) = 21.93 + 12.27 = 34.2 \text{ dBm}$$

# Summary

- 4 definitions of power gain, depending on the impedance matching conditions.
- Matching networks at between source and input ( $M_s$ ) and output and load ( $M_L$ ) influences the power transfer from source to load
- Transducer (power) gain is equal to or smaller than the available (power) gain or delivered (power) gain

# Microwave Engineering and Antennas



## Noise – Part I The Concept of noise figure

Domine Leenaerts, Professor  
Department of Electrical Engineering  
Center for Wireless Technologies Eindhoven

# Noise – Part I

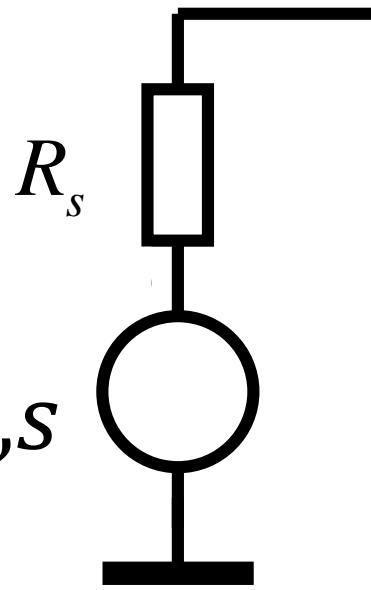
## The Concept of noise figure

### **Objective of this lecture**

- Discuss available noise power
- Explain the concept of noise figure
- Discuss the formula of Friis

# Available noise power

$$\overline{v_{noise,R_s}}^2 = 4 \cdot k \cdot T_o \cdot R_s \cdot B \quad [V^2]$$



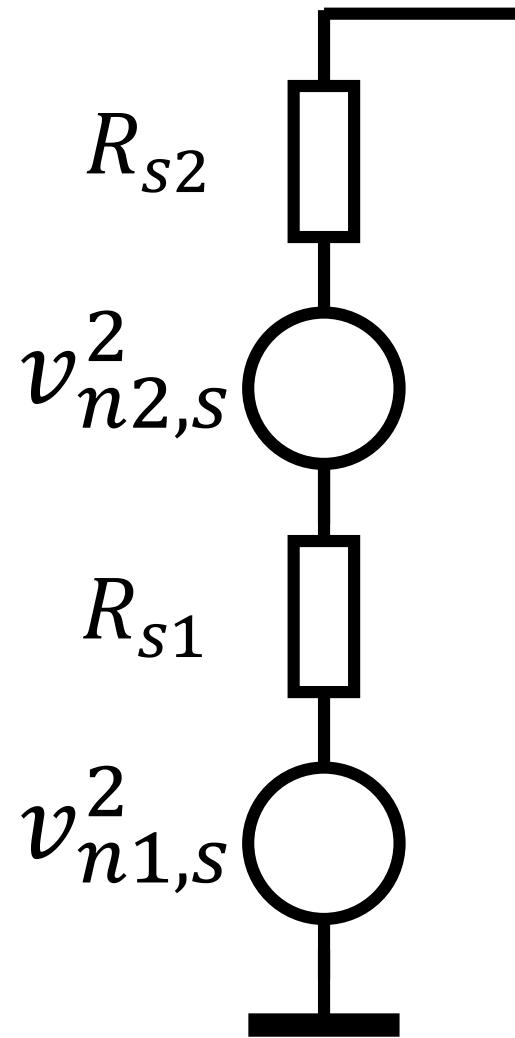
$$T_o = 290^\circ K \quad k = 1.38 \cdot 10^{-23} J/K$$

$$N_{av} = \frac{\overline{v_{noise,R_s}}^2}{4 \cdot R_s} = \frac{4 \cdot k \cdot T_o \cdot R_s \cdot B}{4 \cdot R_s} = k \cdot T_o \cdot B \quad [W]$$

At room temperature:  $N_{av} = -174$  [dBm/Hz]

... and independent of the impedance!

# Network of noise sources



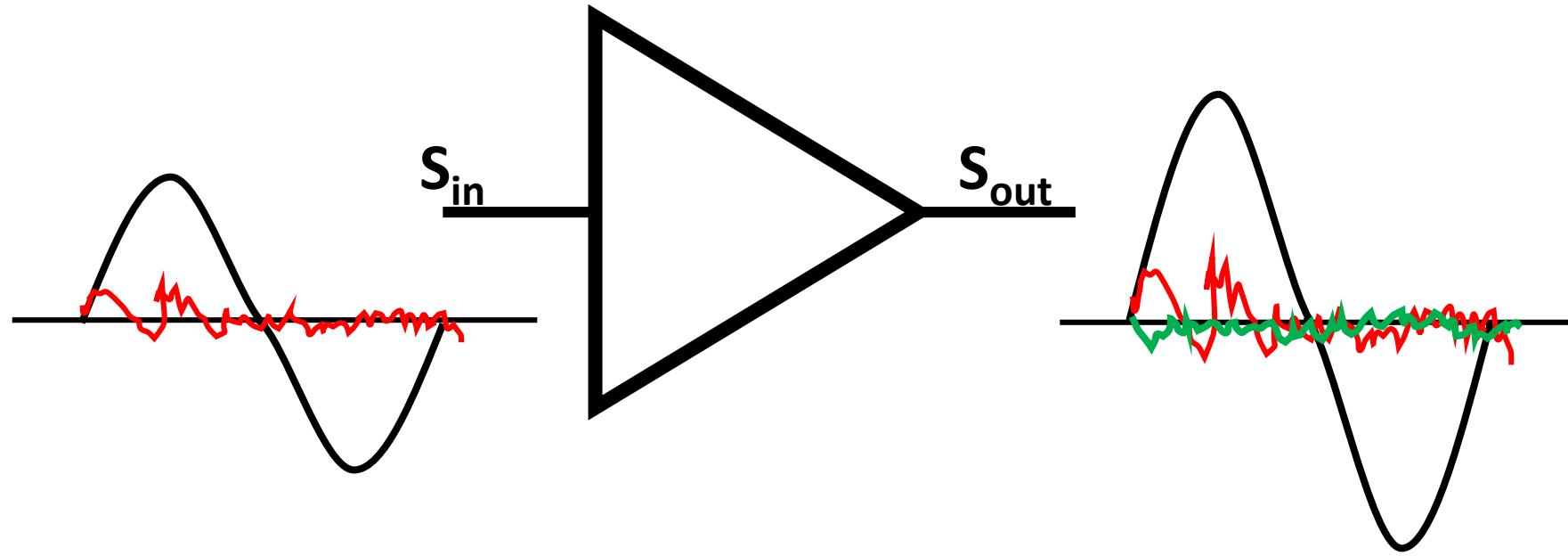
$$\overline{v_{n,s}}^2 = \overline{v_{n1,s}}^2 + \overline{v_{n2,s}}^2$$

$$\overline{v_{n,s}}^2 = 4kT_oB(R_1 + R_2)$$

$$N_{av} = \frac{4kTB(R_1 + R_2)}{4 \cdot (R_1 + R_2)} = kT_oB$$

Similar result if sources are parallel to each other

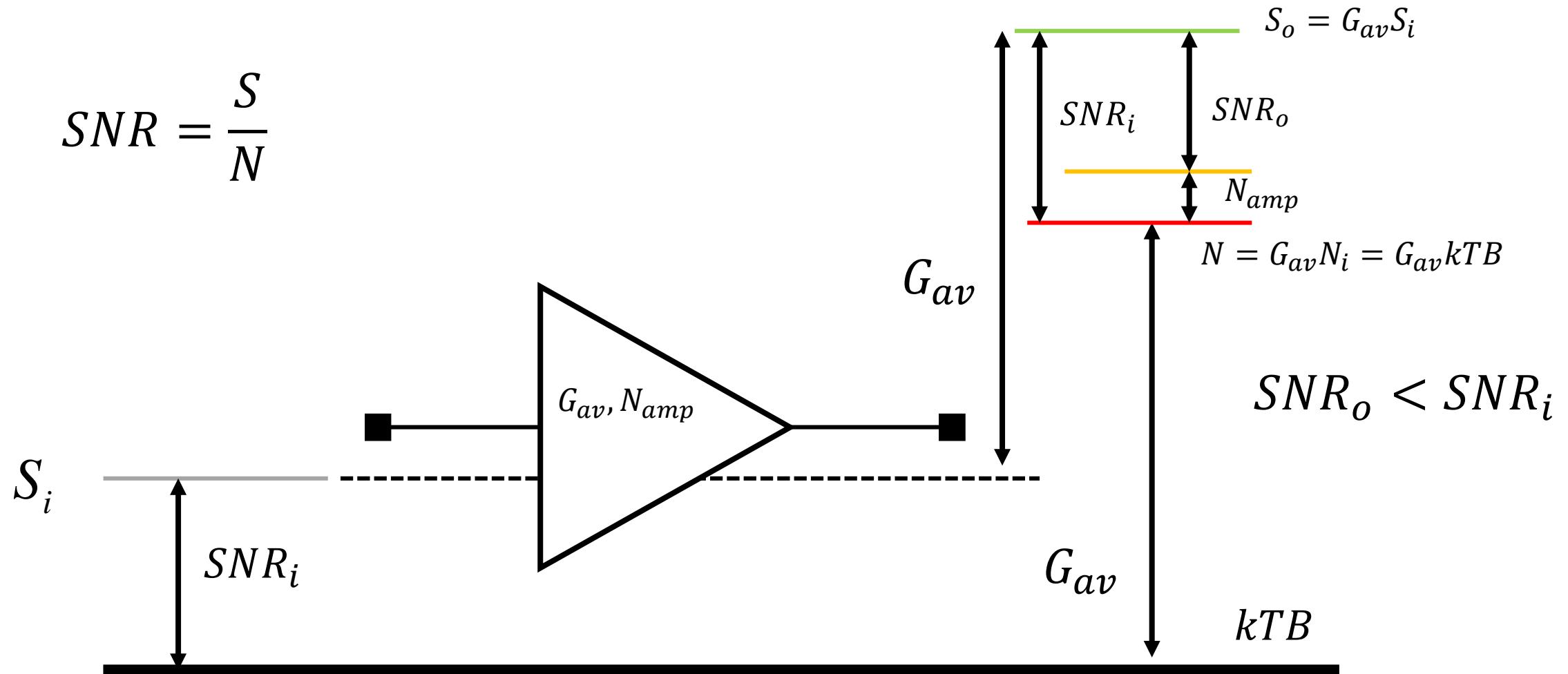
An amplifier amplifies all signals present at input



$$S_{out} = G_{av} \cdot (S_{in} + N_{in}) + N_{amp}$$

# Noise of amplifier degrades signal-to-noise ratio

$$SNR = \frac{S}{N}$$



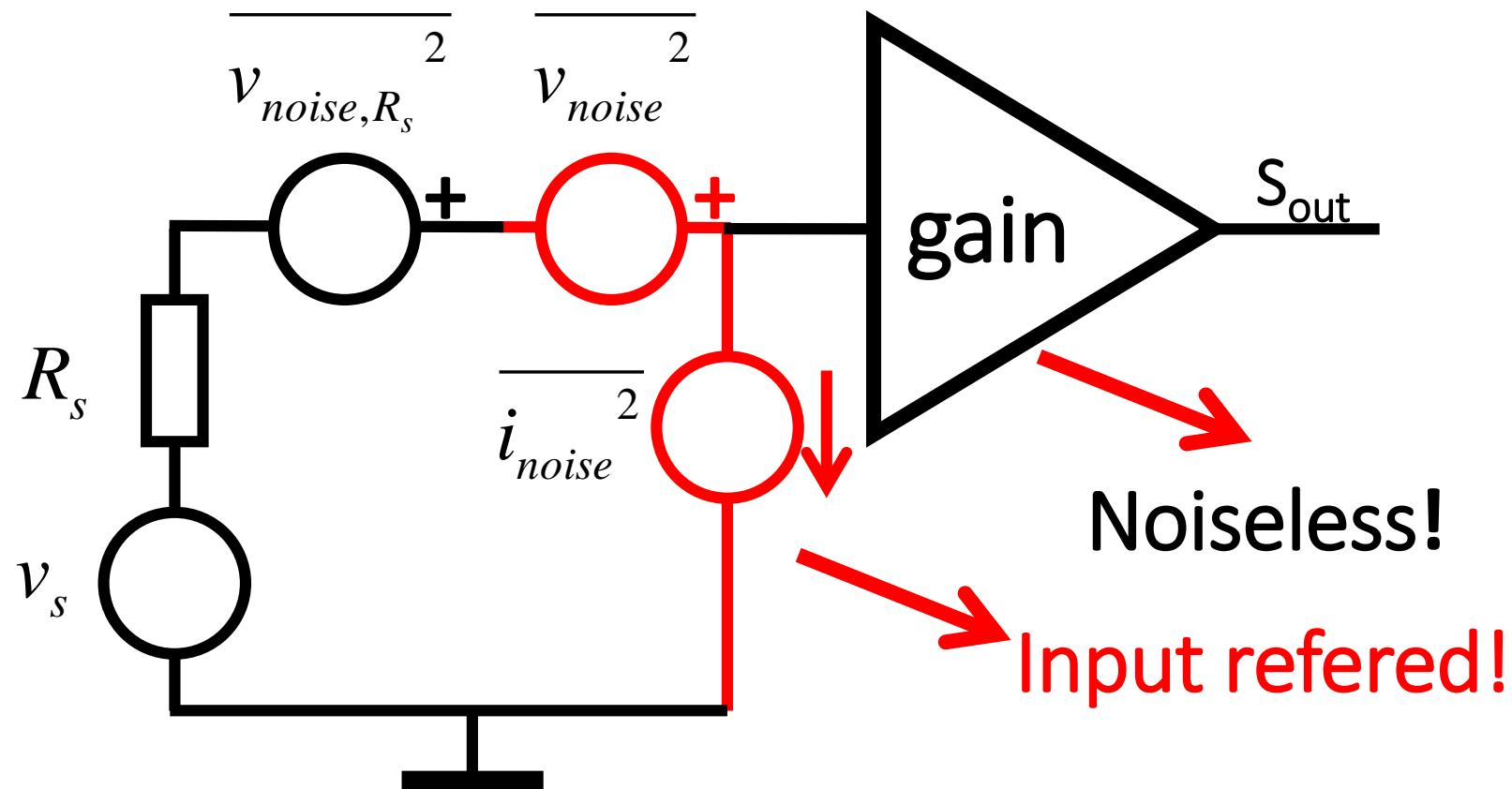
# Noise Factor, Noise Figure

- SNR degradation by noise is expressed by the noise factor F

$$F = \frac{\left(\frac{S}{N}\right)_{in}}{\left(\frac{S}{N}\right)_{out}} = \frac{\frac{S_{in}}{N_{in}}}{\frac{G_{av}S_{in}}{N_{out}}} = \frac{N_{out}}{G_{av} \cdot N_{in}} = 1 + \frac{N_{amp}}{G_{av} \cdot kTB}$$

- The noise figure is simple the  $10\log_{10}$  of the noise factor
- One question remains: why the available power gain  $G_{av}$ ?

# Concept of input referred noise

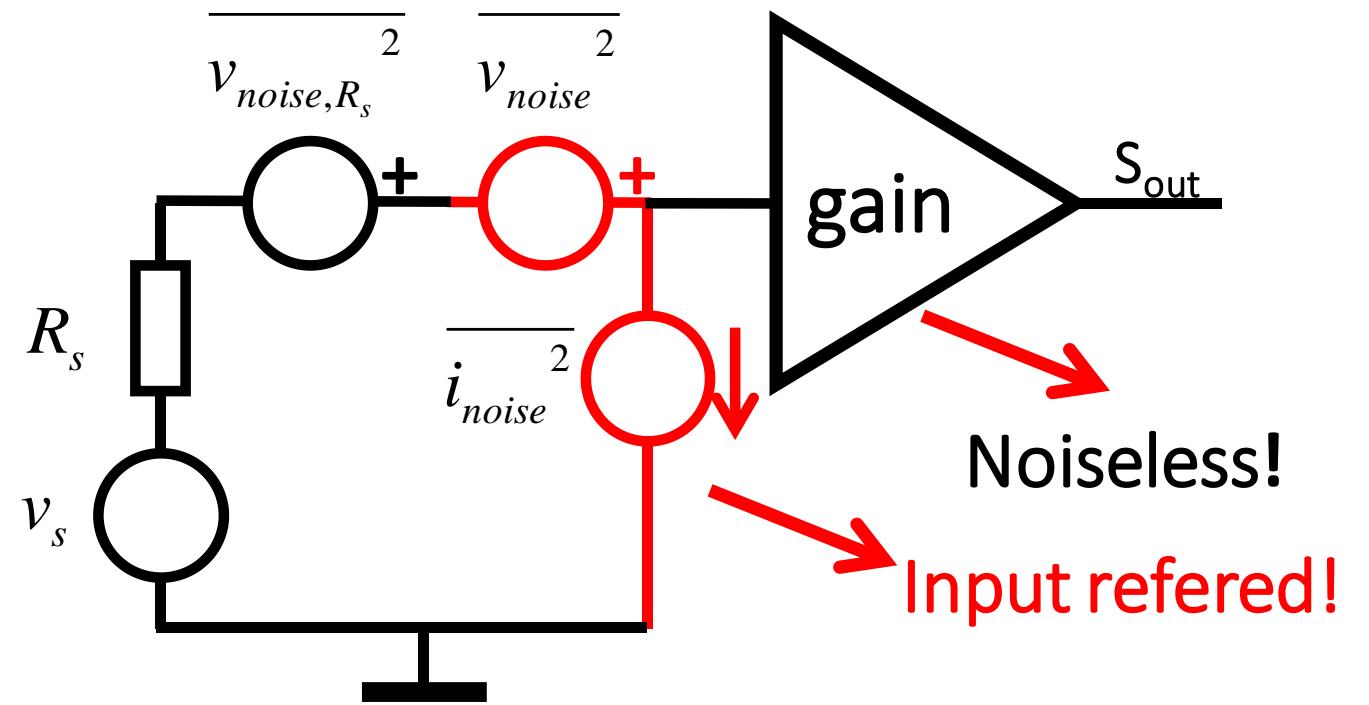


$$\overline{v_{noise,R_s}}^2 = 4 \cdot k \cdot T_o \cdot R_s \cdot B \quad [V^2]$$

$$T_o = 290^\circ K \quad k = 1.38 \cdot 10^{-23} J / K$$

# Signal-to-noise ratio at input and output

$$SNR_{input} = \frac{v_s^2}{\overline{v_{noise,R_s}}^2}$$



$$SNR_{output} = \frac{G_{av} \cdot (v_s^2 / 4R_s)}{N_{out}} = \frac{G_{av} \cdot (v_s^2 / 4R_s)}{G_{av} \cdot (\overline{v_{noise,R_s}}^2 + [\overline{v_{noise}} + i_{noise} \cdot R_s]^2) / 4R_s}$$

Hence the noise factor becomes:

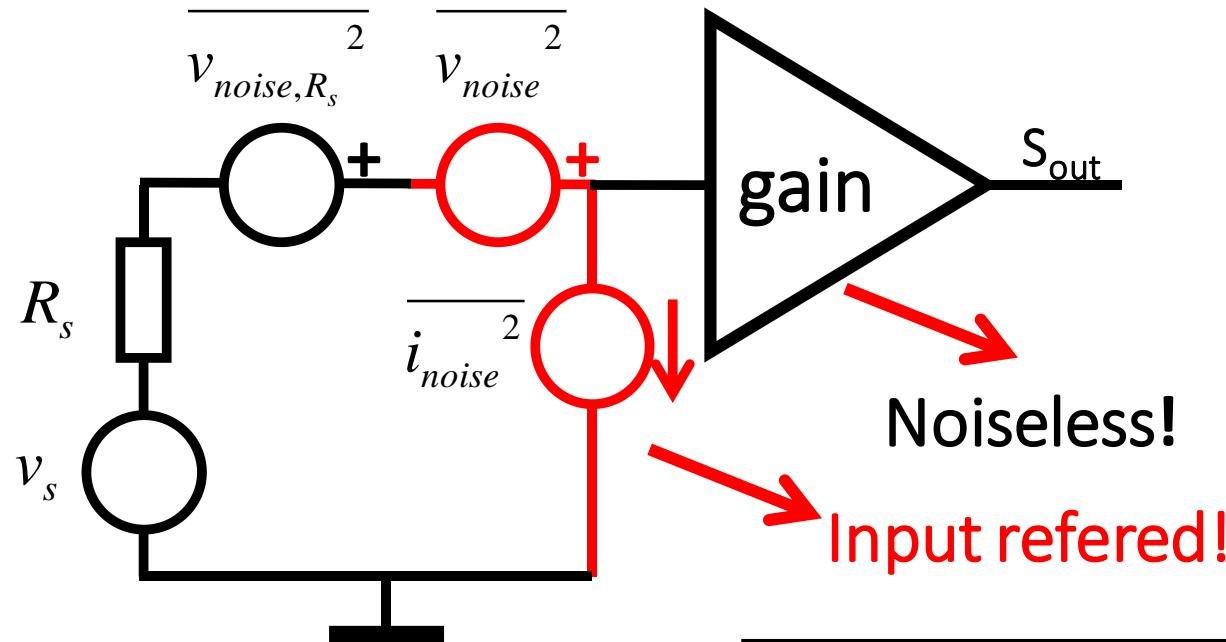
$$F = \frac{SNR_{input}}{SNR_{output}} = 1 + \frac{\overline{[v_{noise} + i_{noise} \cdot R_s]^2}}{\overline{v_{noise, R_s}}^2} = 1 + \frac{N_{amp}}{G_{av}} \frac{1}{N_{in}} = \frac{N_{out}}{G_{av} N_{in}}$$

It is important to note that noise factor is based on the available power gain, not just gain

$$N_{amp} = G_{av}(F - 1)kTB$$

Is the noise at the output of the amplifier due to its own noise, referred to  $kTB$

# Minimum noise factor



Noiseless!

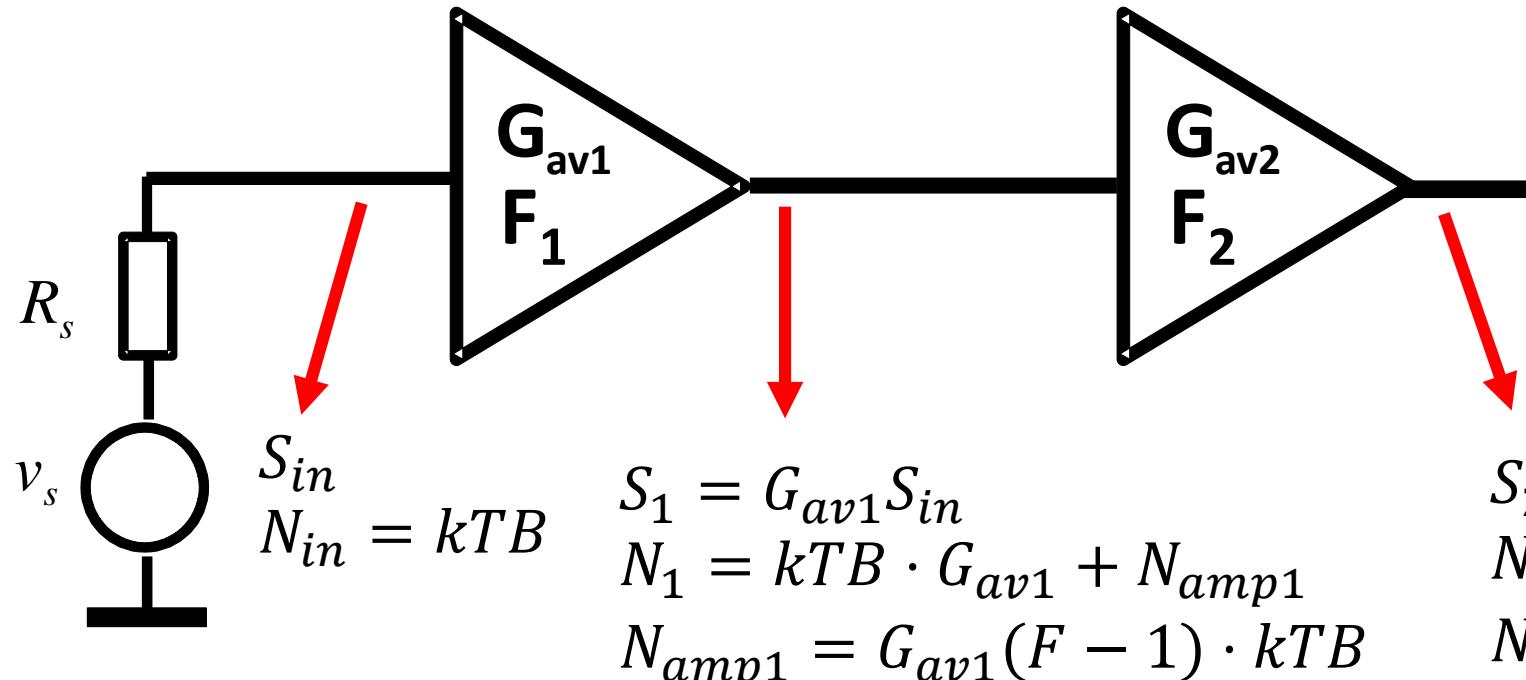
Input referred!

$$\begin{aligned}\overline{v_{noise,R_s}}^2 &= 4 \cdot k \cdot T_o \cdot R_s \cdot B \\ \overline{v_{noise}}^2 &= 4 \cdot k \cdot T_o \cdot R_{amp,v} \cdot B \\ \overline{i_{noise}}^2 &= 4 \cdot k \cdot T_o \cdot G_{amp,i} \cdot B\end{aligned}$$

$$F = 1 + \frac{\overline{[v_{noise} + i_{noise} \cdot R_s]}^2}{\overline{v_{noise,R_s}}^2} = 1 + \frac{R_{amp,v}}{R_s} + G_{amp,i} \cdot R_s$$

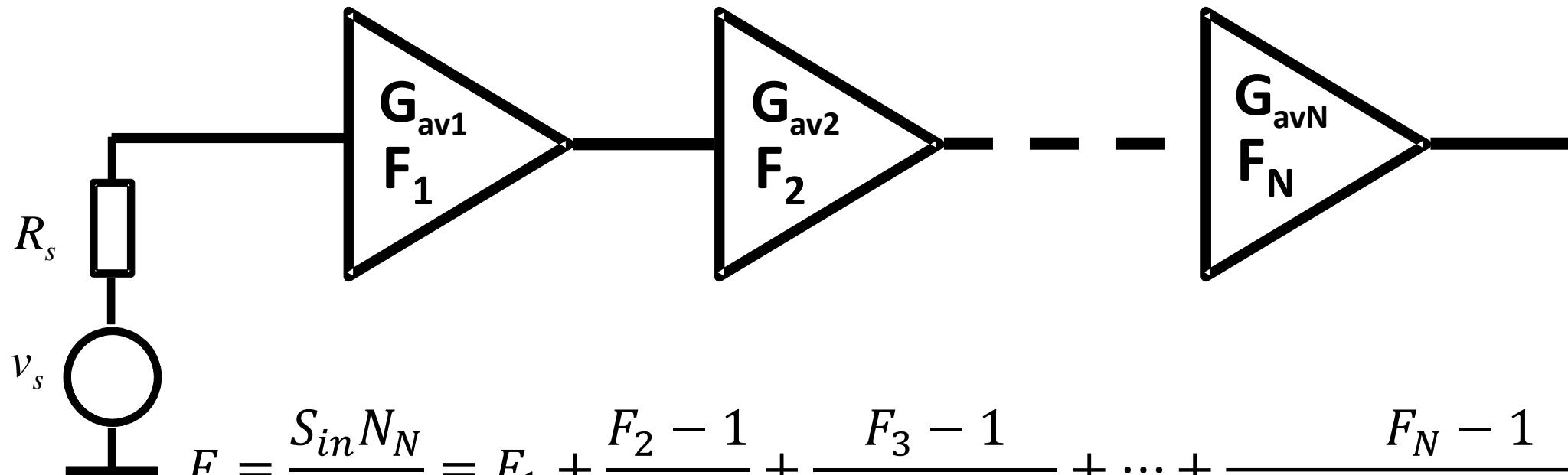
$$F_{\min} = 1 + 2\sqrt{R_{amp,v} \cdot G_{amp,i}} \quad R_{s,opt} = \sqrt{\frac{R_{amp,v}}{G_{amp,i}}}$$

# Noise in cascaded amplifiers (Formula of Friis)



$$F = \frac{\left(\frac{S_{in}}{N_{in}}\right)}{\left(\frac{S_2}{N_2}\right)} = \frac{S_{in}N_2}{S_2N_{in}} = \frac{1}{G_{av1}G_{av2}} \cdot \frac{(G_{av1}G_{av2}F_1kTB + (F_2 - 1)G_{av2}kTB)}{kTB} = F_1 + \frac{F_2 - 1}{G_{av1}}$$

# Noise in cascades amplifiers

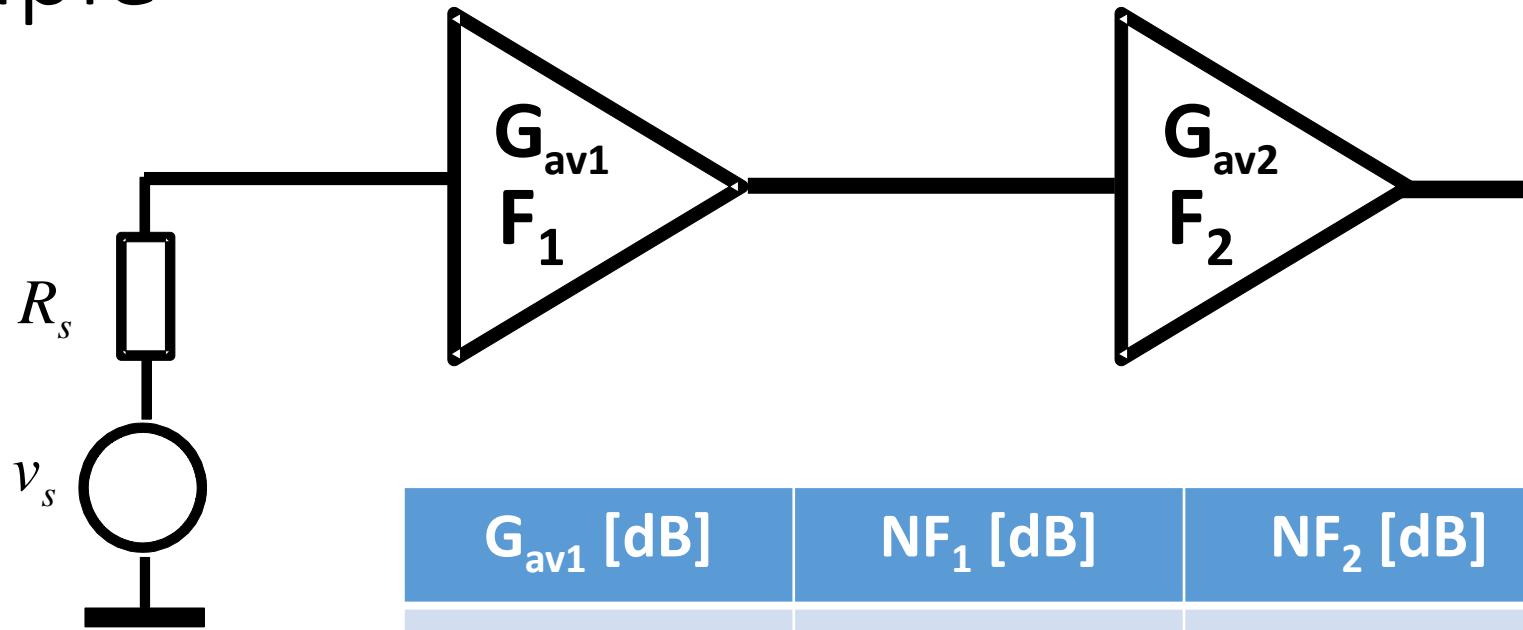


$$F = \frac{S_{in} N_N}{S_N N_{in}} = F_1 + \frac{F_2 - 1}{G_{av1}} + \frac{F_3 - 1}{G_{av1} \cdot G_{av2}} + \dots + \frac{F_N - 1}{G_{av1} \cdot G_{av2} \cdots G_{avN-1}}$$

Note 1: the gain of first stage is very important

Note 2: the noise performance of the first stage is very important

# Example



$G_{\text{av}1}$ [dB]	$NF_1$ [dB]	$NF_2$ [dB]	$NF_{\text{tot}}$ [dB]
10	3	3	3.21
10	1	3	1.33
20	1	3	1.03
20	1	10	1.30

# Summary

- Available noise power is  $kTB$
- Noise factor is the ratio of SNR between input and output
- Minimum noise factor and optimal noise impedance
- Formula of Friis

# Microwave Engineering and Antennas



## Noise – Part II lossy network, noise matching

Domine Leenaerts, Professor  
Department of Electrical Engineering  
Center for Wireless Technologies Eindhoven

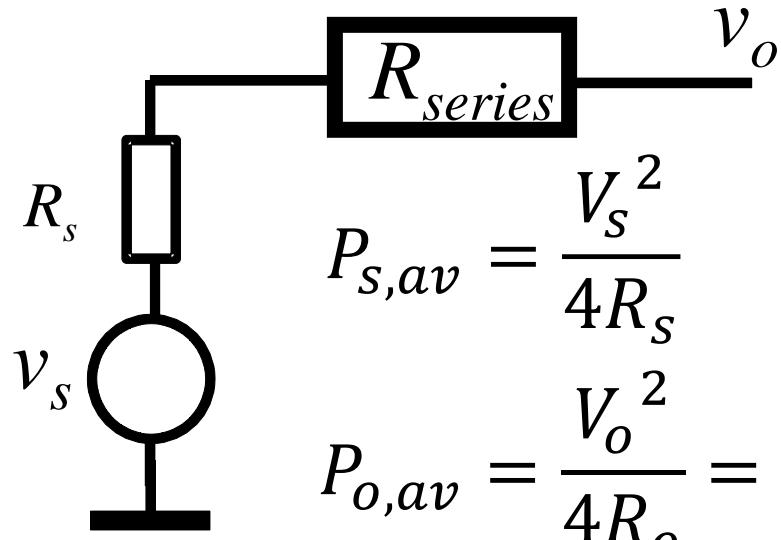
# Noise – Part II

## examples

### **Objective of this lecture**

- Noise factor of a lossy network
- The impact of resistive matching to overall NF
- Example of a matching network for NF optimization

# Available gain of resistive networks



$$P_{s,av} = \frac{V_s^2}{4R_s}$$

$$P_{o,av} = \frac{V_o^2}{4R_o} = \frac{V_s^2}{4(R_s + R_{series})}$$



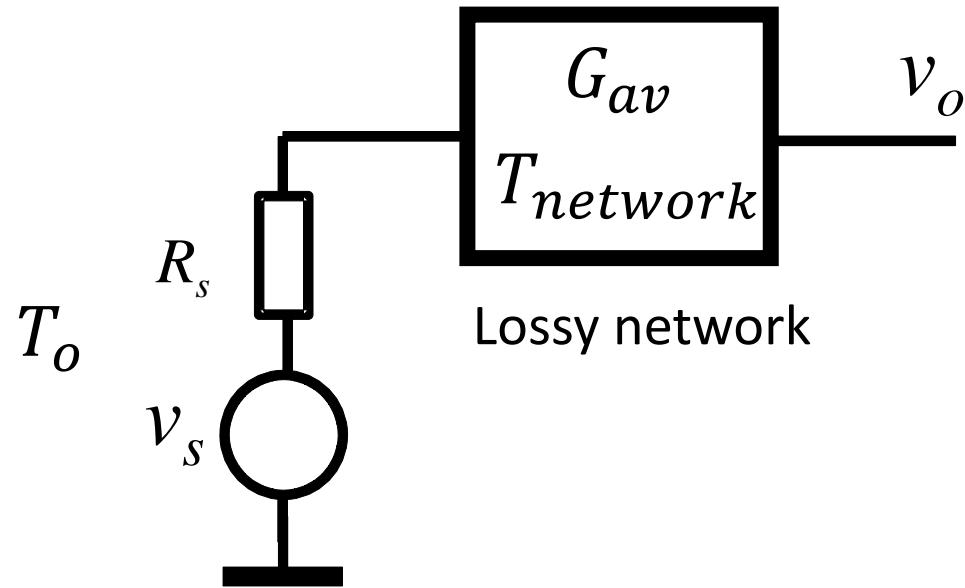
$$G_{av} = \frac{P_{o,av}}{P_{s,av}} = \frac{R_s}{R_s + R_{series}}$$

$G_{av}$  is maximized when  $R_s \gg R_{series}$

For a shunt network, one can derive that  $G_{av} = \frac{P_{o,av}}{P_{s,av}} = \frac{R_{shunt}}{R_s + R_{shunt}}$

$G_{av}$  is then maximized when  $R_{shunt} \gg R_s$

# Noise factor of a lossy network

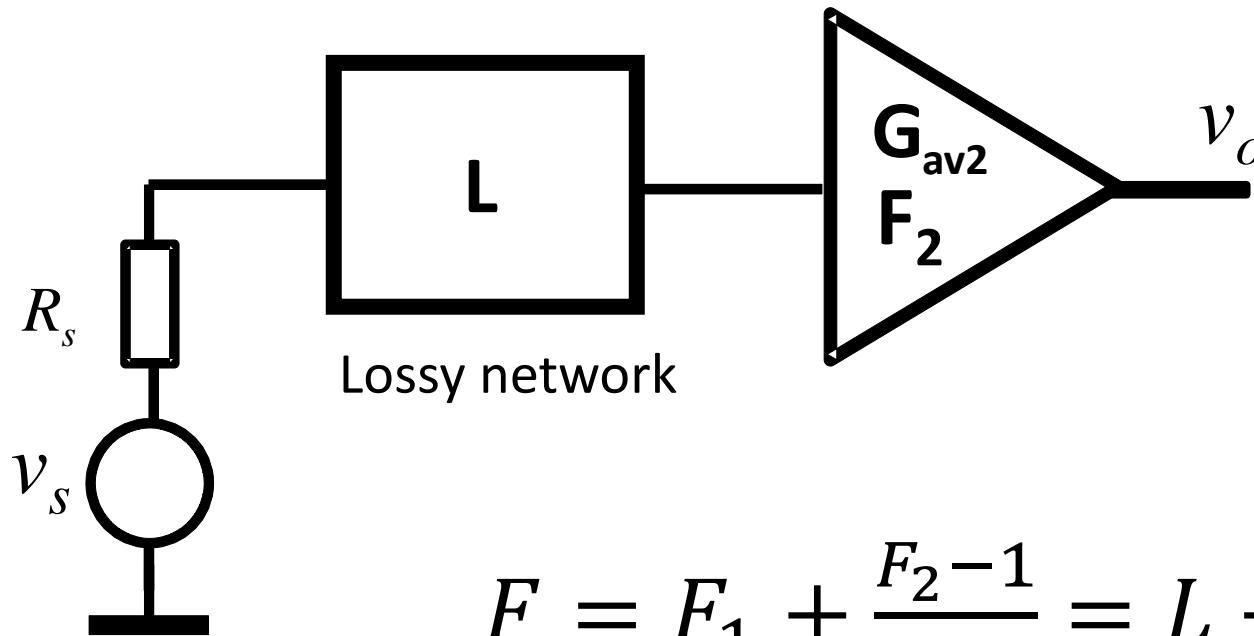


Define  $L$  as the loss, then  $L = \frac{1}{G_{av}}$

$$F = \frac{SNR_{input}}{SNR_{output}} = \frac{N_{out}}{G_{av} N_{in}} = \frac{kT_{network}B + G_{av} \cdot k(T_o - T_{network})B}{G_{av} \cdot k(T_o)B} = 1 + \frac{T_{network}}{T_o} \left( \frac{1}{G_{av}} - 1 \right)$$

If both temperatures are equal, then  $F = 1 + \frac{T_{network}}{T_o} \left( \frac{1}{G_{av}} - 1 \right) = \frac{1}{G_{av}} = L$

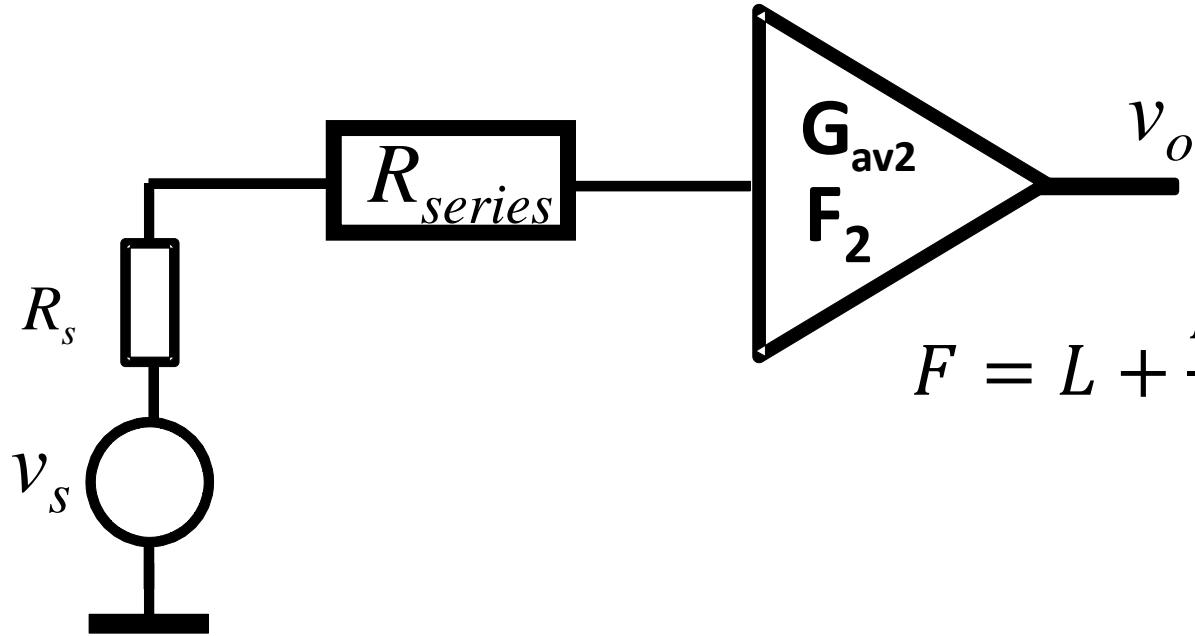
# Impact of lossy network in cascaded network



$$F = F_1 + \frac{F_2 - 1}{G_{av1}} = L + \frac{F_2 - 1}{G_{av1}} = L + \frac{F_2 - 1}{1/L} = L \cdot F_2$$

$$NF = L[dB] + NF_2$$

# Resistors in input matching networks adversely impact NF



$$F = L + \frac{F_2 - 1}{G_{av1}} = \frac{R_s + R_{series}}{R_s} + \frac{F_2 - 1}{\left(\frac{R_s}{R_s + R_{series}}\right)}$$

$$R_s = 50\Omega, R_{series} = 100\Omega$$

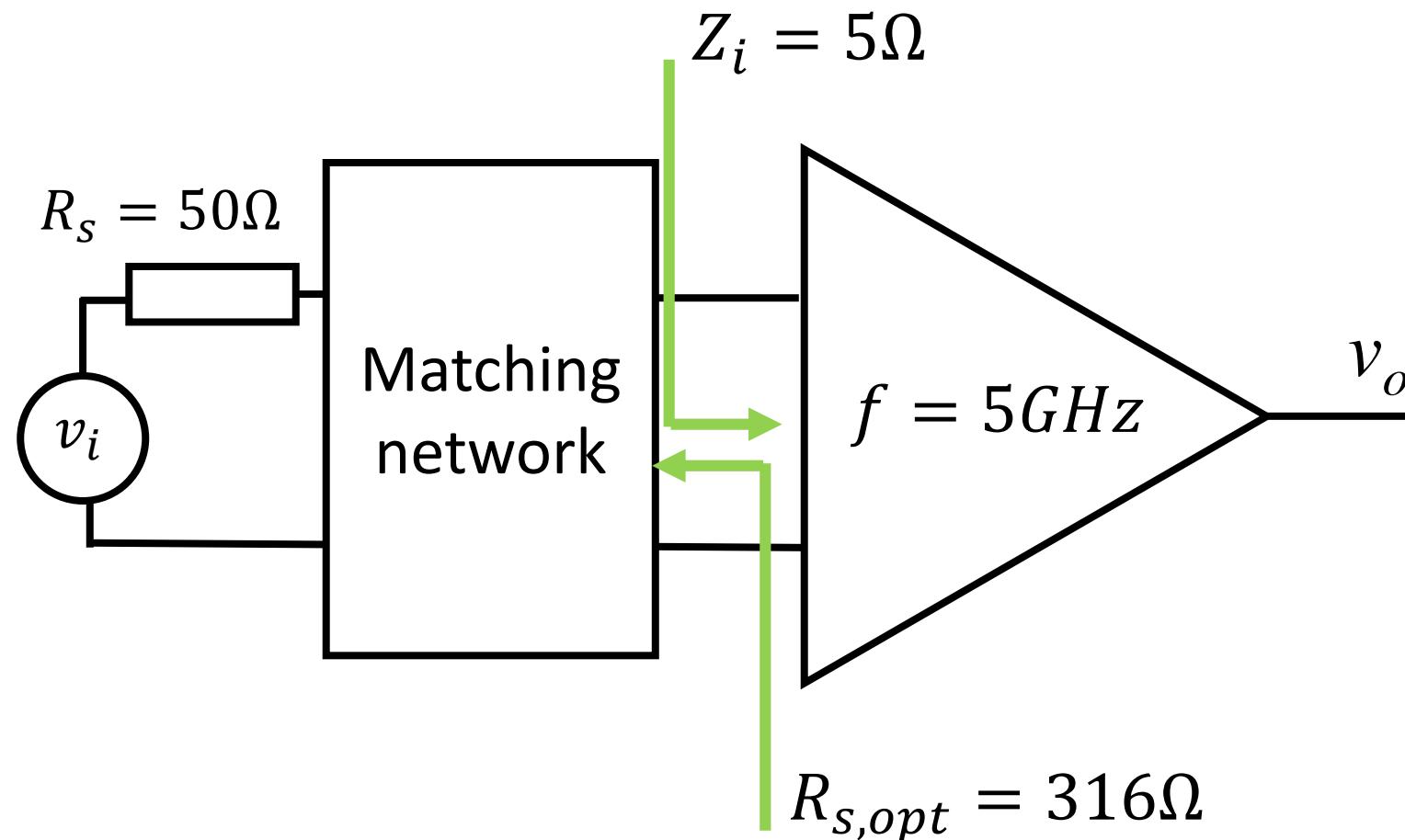
$$F_2 = 2; NF_2 = 3dB$$



$$F = \frac{50 + 100}{50} + \frac{2 - 1}{(0.33)} = 6 \quad NF = 7.8dB$$

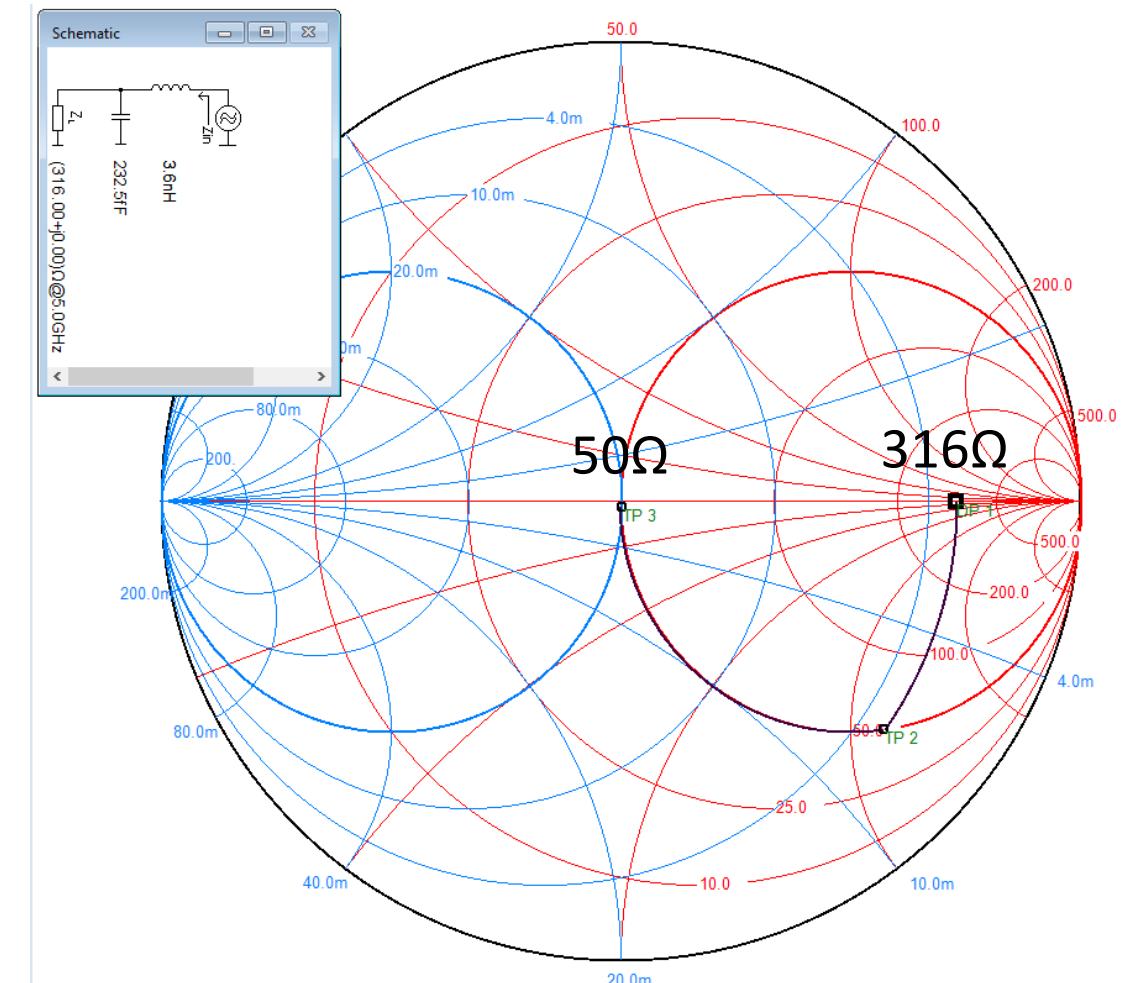
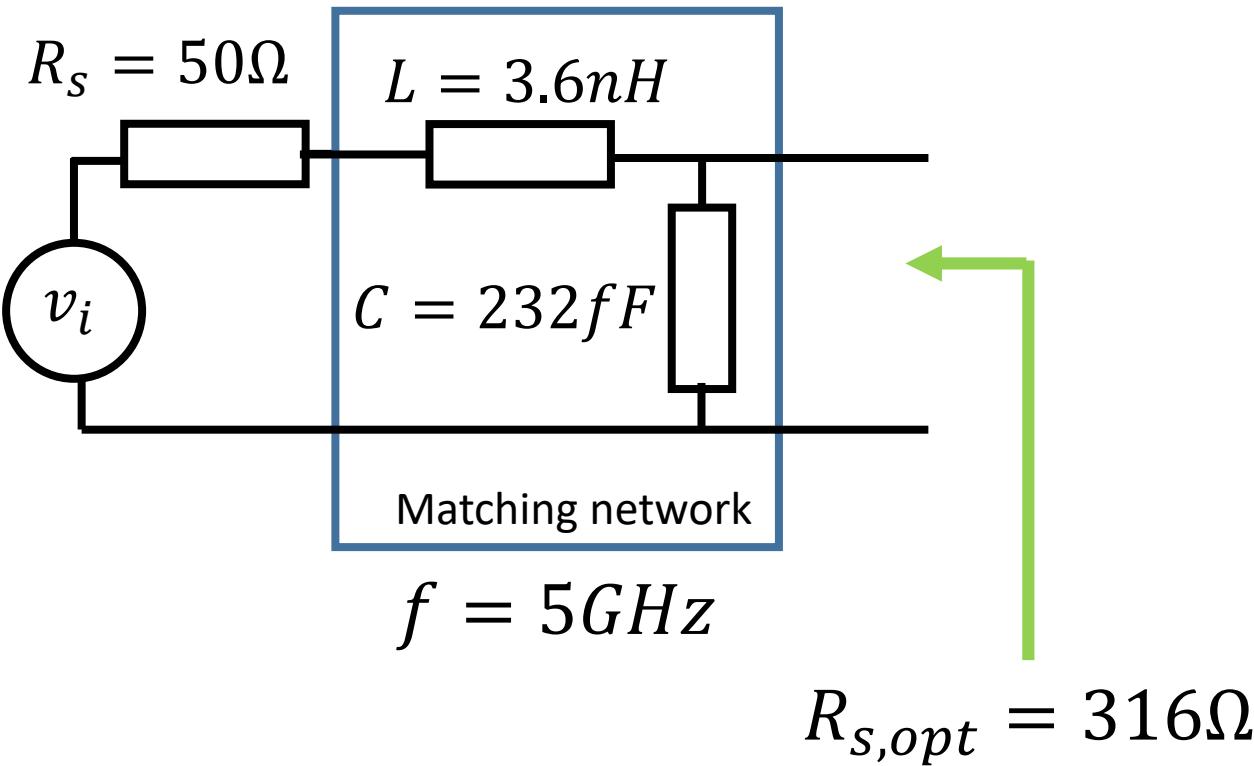
Uplift of almost 5dB, just by a resistor!!!

What would be a good matching network for noise?



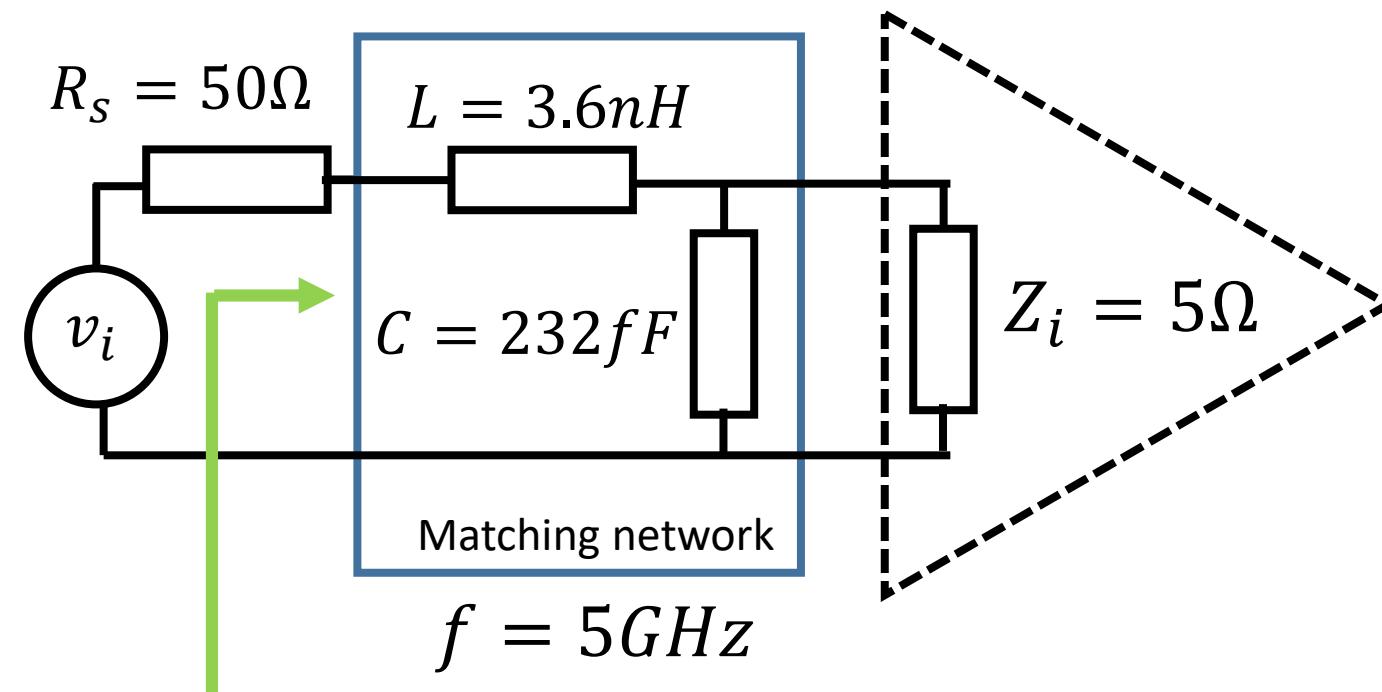
Using a series resistor of  $266\Omega$  would be a very bad idea, knowing Friss (see earlier slide).

# What would be a good matching network for noise performance?



As we use (ideal) reactive components only, there are no additional noise contributions

# What is the impact to power match?



$$Z_{input} = 5 + j115\Omega$$

Impedance mismatch: no optimal power transfer

# Summary

- Noise factor of a lossy network
- Impact of resistive noise matching
- Noise matching is not the same as matching for optimal power transfer

Power match:  $Z_s = Z_{in}^*$

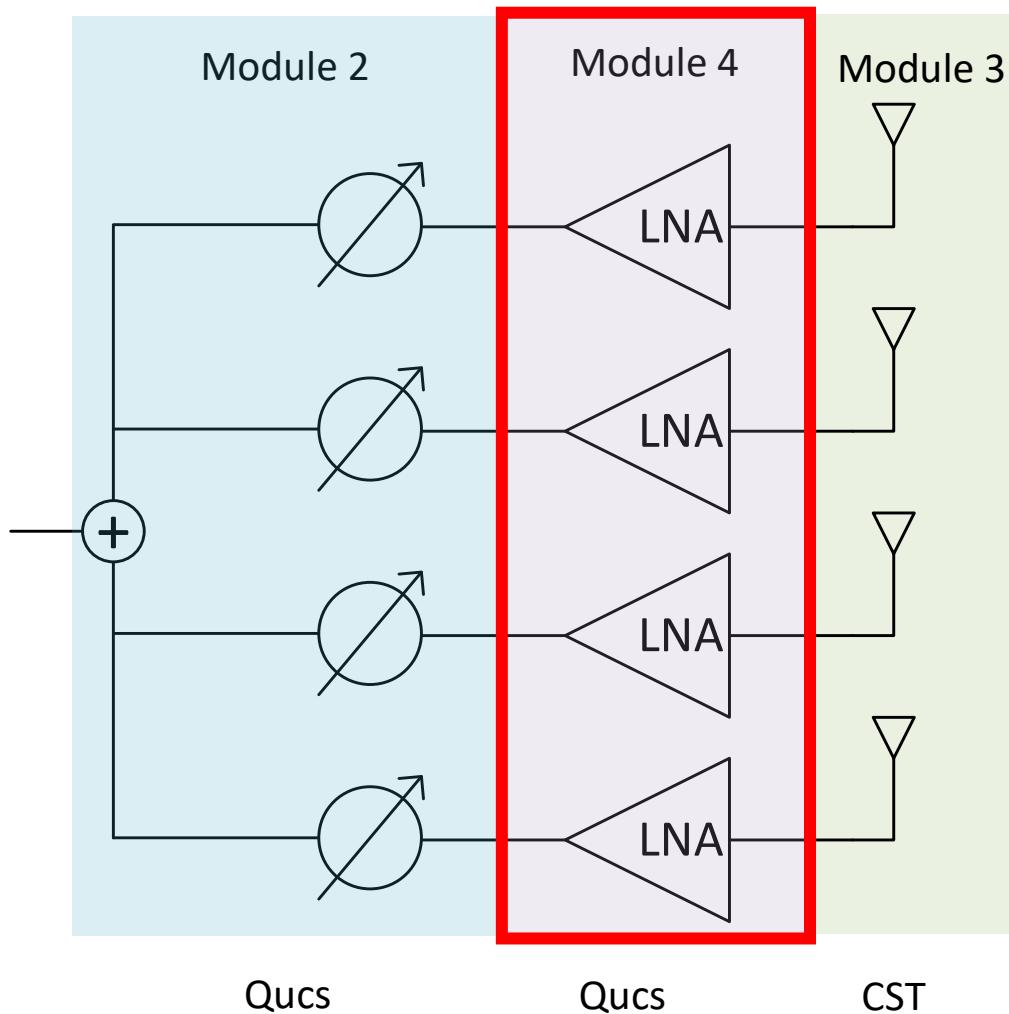
Noise match:  $Z_s = Z_{opt}$

# Microwave Engineering and Antennas

## Hands-On Design: Low-Noise Amplifier

Ulf Johannsen, Assistant Professor  
Department of Electrical Engineering  
Center for Wireless Technology Eindhoven

# Hands-On Design Assignment



## 4-Element Analogue Beamforming Receiver-Array

- 5.8 GHz ISM band
- $\pm 45^\circ$  beamsteering range
- Main-lobe antenna gain of 9dBi for entire beamsteering range
- System noise figure < 2.7dB

# QuCS

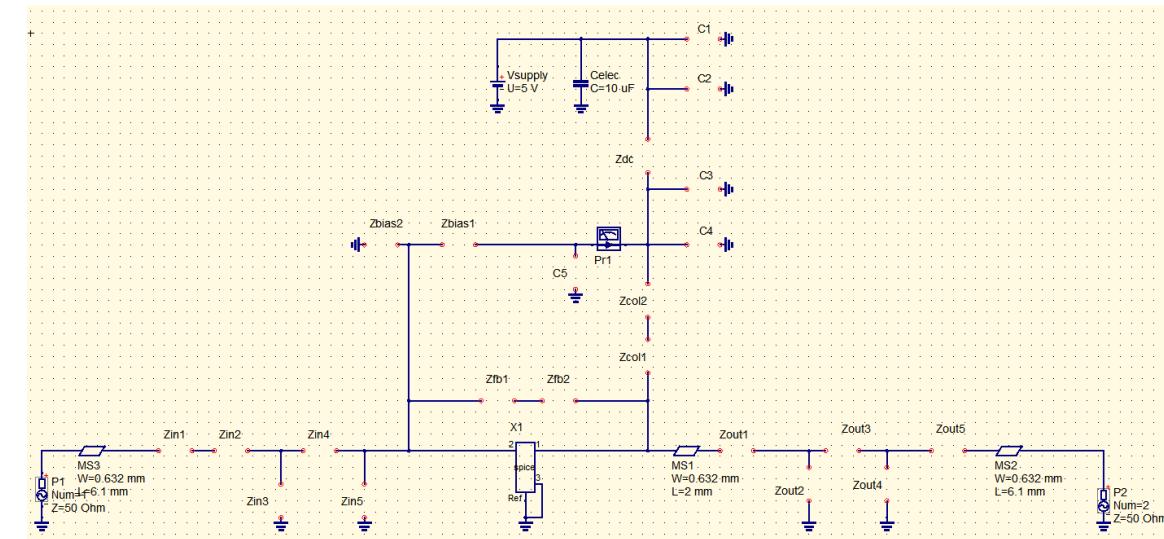
- Quite Universal Circuit Simulator (QuCS) is a free-software electronics circuit simulator under GPL.
- You can **download** a copy from <http://qucs.sourceforge.net/>
- A **short description of mathematical functions** that can be used in QuCS equation (including some standard microwave engineering parameters) can be found here:  
[https://web.mit.edu/qucs\\_v0.0.19/docs/en/mathfunc.html](https://web.mit.edu/qucs_v0.0.19/docs/en/mathfunc.html)



# Task and Design Requirements

- Design a low-noise amplifier
- The overall design, shown on the bottom right, must fulfil the following requirements:

ID	Parameter	Requirement
1	Operating bandwidth	5.725 – 5.875 GHz
2	Port match	$S_{11} < -10dB @ Z_0 = 50\Omega$ $S_{22} < -10dB @ Z_0 = 50\Omega$
3	Transducer Power Gain	$S_{21} > 15dB @ Z_0 = 50\Omega$
4	Noise figure	$F < 2dB$
5	Stability	Unconditional



# Rules and Tips

- You may use lumped elements or distributed elements (transmission lines) to achieve your design goals.
- When using lumped elements, you may use the resistor, capacitor and inductor models from the Qucs library.
- Show your genuine attempt to design what was asked of you. For a genuine attempt you
  - have all design choices as long as you design the components yourself in Qucs or CST (student version). Commercial off-the-shelf solutions are not permitted.
  - must upload all necessary design files and a **design report** that describes and explains the design.
  - must use the BFU730F transistor provided on Coursera, i.e. use one of the Touchstone files for different bias conditions. Do not forget to add **circuitry to achieve the chosen bias condition** (the transistor/touchstone-file is not affected by this, but it will have an affect on the matching networks).
  - must use a 0.508 mm thick RO4350B substrate from Rogers Corporation as PCB for your design:  
<https://www.rogerscorp.com/Advanced%20Connectivity%20Solutions/RO4000%20Series%20Laminates/RO4350B%20Laminates>

# Rubric for peer review

Excellent (6 pts)	Very good (5 pts)	Good (4 pts)	Fair (3 pts)	Sufficient (2)	Insufficient (0 pts)
<ul style="list-style-type: none"> <li>The report describes <b><u>all</u></b> design aspects in detail, such that the reader/reviewer can implement the design in Qucs her-/himself.</li> <li><b>All</b> design aspects are explained clearly and correctly.</li> <li>The design achieves the specified requirements <b><u>without exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes almost all design aspects in detail. Only <b><u>minor details are missing</u></b> such that the reviewer <b><u>can implement the design</u></b> in Qucs her-/himself.</li> <li>Most design aspects are explained clearly and correctly. <b><u>Only minor inaccuracies</u></b> are present. The understanding is not significantly affected by this.</li> <li>The design achieves the specified requirements with <b><u>one exception</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>A few details are missing</u></b> such that the reviewer may not be able to implement <b><u>some minor details</u></b> of the design in Qucs her-/himself.</li> <li>There are <b><u>only a few inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. The understanding of a few aspects of the design is slightly affected.</li> <li>The design achieves the specified requirements with <b><u>two exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Some details are missing</u></b> such that the reviewer may <b><u>not</u></b> be able to implement <b><u>every aspect of the design correctly</u></b> in Qucs her-/himself.</li> <li>There are <b><u>some inaccuracies/inconsistencies</u></b> in the explanation of the design aspects. Not every aspect of the design is entirely clear.</li> <li>The design achieves the specified requirements with <b><u>three exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report describes most design aspects in detail. <b><u>Several details are missing</u></b> such that the reviewer <b><u>struggles</u></b> to implement <b><u>the design correctly</u></b> in Qucs her-/himself.</li> <li>There are <b><u>several inaccuracies/inconsistencies or some mistakes</u></b> in the explanation of the design aspects. About half of the design aspects are not entirely clear.</li> <li>The design achieves the specified requirements with <b><u>four exceptions</u></b>.</li> </ul>	<ul style="list-style-type: none"> <li>The report provides insufficient detail such that the reader/reviewer <b><u>cannot implement the design</u></b> in Qucs her-/himself.</li> <li>There are <b><u>major design aspects missing</u></b> or are <b><u>mostly incorrectly</u></b> explained.</li> <li>The <b><u>design does not achieve any of the requirements</u></b>.</li> </ul>

# Microwave Engineering and Antennas



Stability – part I  
two-port conditions

Domine Leenaerts, Professor  
Department of Electrical Engineering  
Center for Wireless Technologies Eindhoven

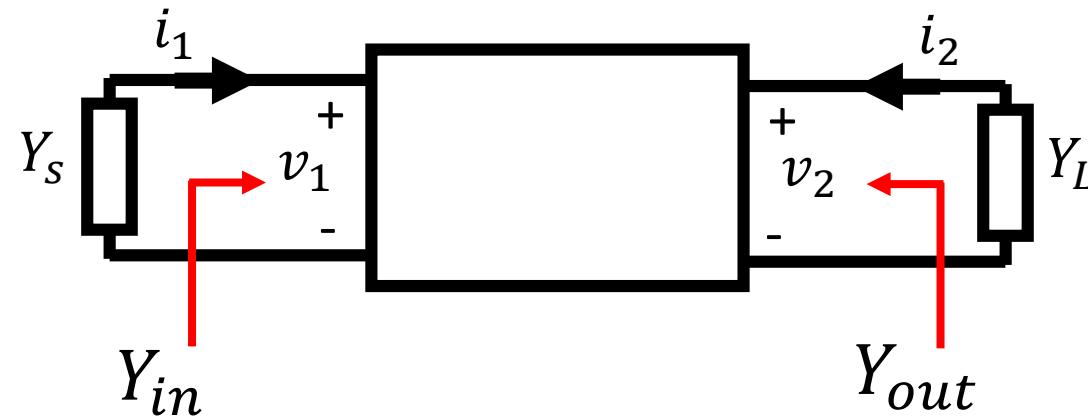
# Stability – part I

## two-port conditions

### **Objective of this lecture**

- Stability conditions of a two-port
- Example

# Input / output admittance



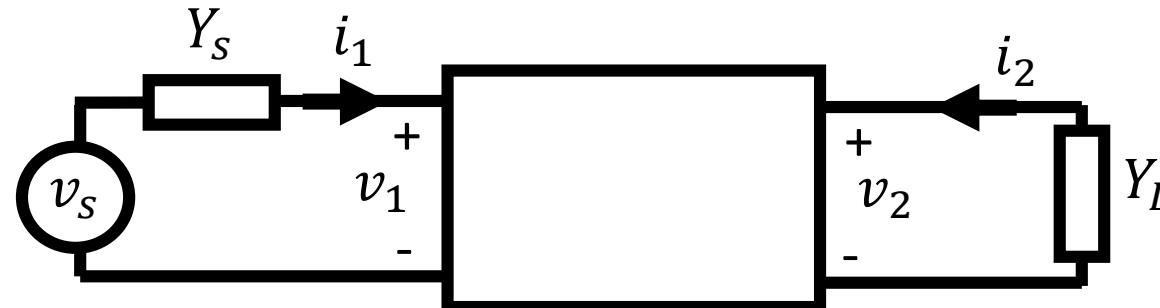
$$\begin{pmatrix} i_1 \\ i_2 \end{pmatrix} = \begin{pmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

$$Y_{in} = \frac{i_1}{v_1} = y_{11} + y_{12} \frac{v_2}{v_1} = y_{11} - \frac{y_{21}y_{12}}{(y_{22} + Y_L)}$$

$$Y_{out} = \frac{i_2}{v_2} = y_{22} + y_{21} \frac{v_1}{v_2} = y_{22} - \frac{y_{21}y_{12}}{(y_{11} + Y_S)}$$

Note that in unilateral case  $y_{12} = 0$  and thus  $Y_{in} = y_{11}, Y_{out} = y_{22}$

(loaded) voltage gain  $A_v$  and loop gain  $T$



$$\begin{pmatrix} i_1 \\ i_2 \end{pmatrix} = \begin{pmatrix} y_{11} & y_{12} \\ y_{21} & y_{22} \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

$$A_v = \frac{v_2}{v_s} = \frac{v_2}{v_1} \frac{v_1}{v_s} = A_{v,unloaded} \frac{Y_s}{Y_{in} + Y_s}$$

$$A_v = \frac{-Y_s y_{21}}{(Y_{in} + Y_s)(y_{22} + Y_L)} = \frac{-Y_s y_{21}}{(y_{11} + Y_s)(y_{22} + Y_L) - y_{21} y_{12}}$$

Define:  $A_{v,unilateral} = \frac{-Y_s y_{21}}{(y_{11} + Y_s)(y_{22} + Y_L)}$     then  $A_v = \frac{A_{v,unilateral}}{1+T}; T = \frac{-y_{12} y_{21}}{(y_{11} + Y_s)(y_{22} + Y_L)}$

$T$  is known as the loop gain

# Stability condition

A two-port is terminated on both sides  $i_1 = -Y_S v_1, i_2 = -Y_L v_2$



$$\begin{pmatrix} y_{11} + Y_S & y_{12} \\ y_{21} & y_{22} + Y_L \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

To become unstable: determinant of the matrix must be zero (at a certain frequency):

$$1 + T = 0$$

$$T = \frac{-y_{21}y_{12}}{(y_{11} + Y_S)(y_{22} + Y_L)}$$

T is the loop gain

# Stability boundary



$$1 + T = 0$$
$$T = \frac{-y_{21}y_{12}}{(y_{11} + Y_s)(y_{22} + Y_L)}$$

- Stability boundary implies  $T = -1$ , which is exactly the Nyquist criteria.
- $Y_S + Y_{in} = 0$  with 
$$Y_{in} = y_{11} - \frac{y_{21}y_{12}}{(y_{22} + Y_L)}$$
- $Y_L + Y_{out} = 0$  with 
$$Y_{out} = y_{22} - \frac{y_{21}y_{12}}{(y_{11} + Y_S)}$$

# Stability condition input / output admittance

- The terminated network becomes unstable if

$$\operatorname{Re}(Y_S + Y_{in}) = \operatorname{Re}(Y_S) + \operatorname{Re}(Y_{in}) = 0$$

- and / or

$$\operatorname{Re}(Y_L + Y_{out}) = \operatorname{Re}(Y_L) + \operatorname{Re}(Y_{out}) = 0$$

- As we normally terminate with real (hence passive) admittances,  $\operatorname{Re}(Y_S) > 0$ ,  $\operatorname{Re}(Y_L) > 0$ , we arrive at the stability condition. To become unstable:

$$\operatorname{Re}(Y_{in}) < 0, \text{ and/or } \operatorname{Re}(Y_{out}) < 0$$

# Stability conditions using scatter parameters

Unstable if  $|\Gamma_{in}| > 1$  and/or  $|\Gamma_{out}| > 1$

$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right| > 1$$

$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_s}{1 - S_{11}\Gamma_s} \right| > 1$$

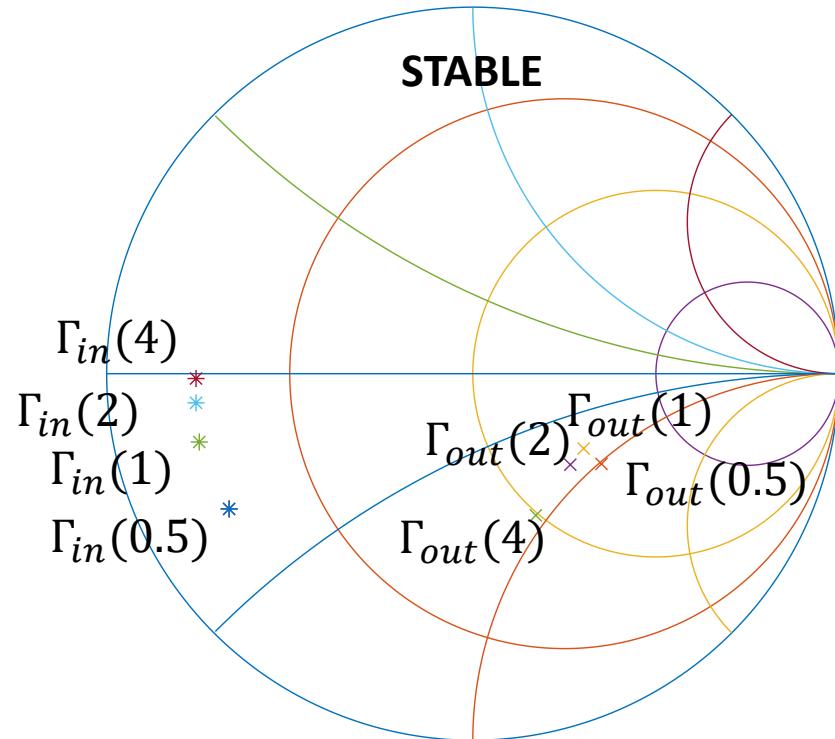
Unilateral case:  $|\Gamma_{in}| = |s_{11}| > 1$ ,  $|\Gamma_{out}| = |s_{22}| > 1$

This implies that  $|s_{11}|$  and/or  $|s_{22}|$  are outside the unity circle of the Smith chart. That again reflects impedances which have a negative real part and cannot therefore be passive.

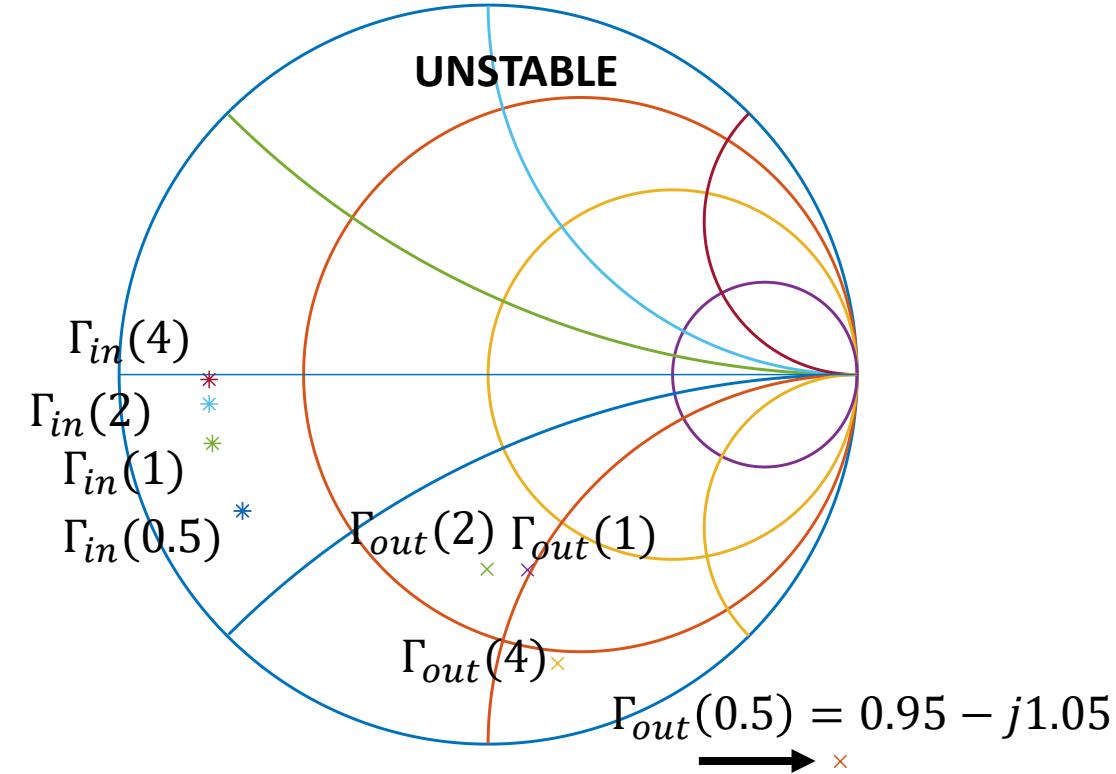
# Example

F(GHz)	S11	S12	S21	S22
.5	$0.761 \angle -151^\circ$	$0.025 \angle 31^\circ$	$11.84 \angle 102^\circ$	$0.429 \angle -35^\circ$
1	$0.770 \angle -166^\circ$	$0.029 \angle 35^\circ$	$6.11 \angle 89^\circ$	$0.365 \angle -34^\circ$
2	$0.760 \angle -174^\circ$	$0.040 \angle 44^\circ$	$3.06 \angle 74^\circ$	$0.364 \angle -43^\circ$
4	$0.756 \angle -179^\circ$	$0.064 \angle 48^\circ$	$1.53 \angle 53^\circ$	$0.423 \angle -66^\circ$

source:  $50\Omega$  load:  $50\Omega \rightarrow \Gamma_s = 0, \Gamma_l = 0$



Source:  $1+j10\Omega$  load:  $50\Omega \rightarrow \Gamma_s = -0.9 + j0.37, \Gamma_l = 0$



# Summary

- Criteria for two-port stability
- Stability is frequency and source/load termination dependent

# Microwave Engineering and Antennas



## Stability – part II stability circles

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# Stability – part II

## stability circles

### **Objective of this lecture**

- Explain the concept of stability circles
- Example to show how to use these circles
- RF stabilization technique plus example

# Stability conditions using scatter parameters

- Stability conditions are dependent on source and load (and frequency)

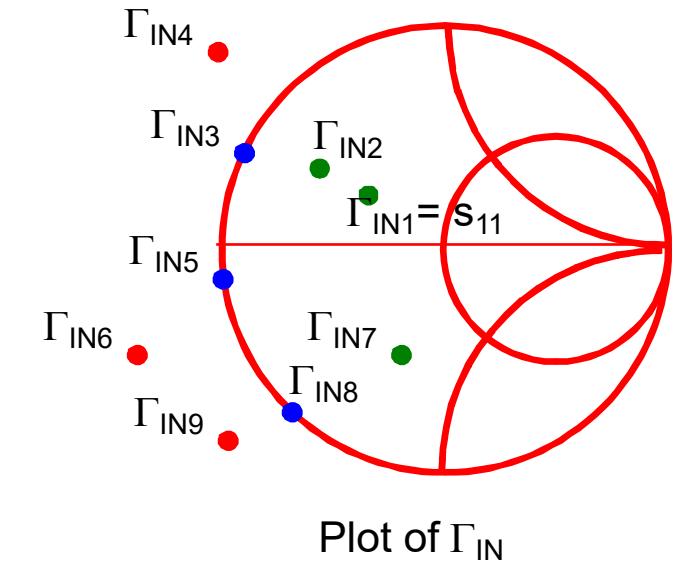
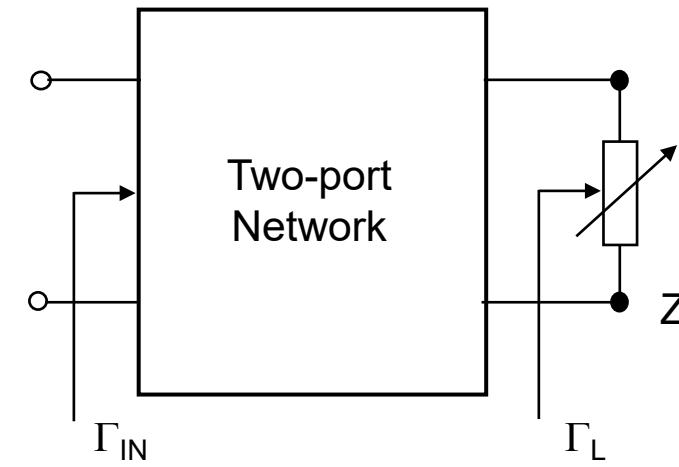
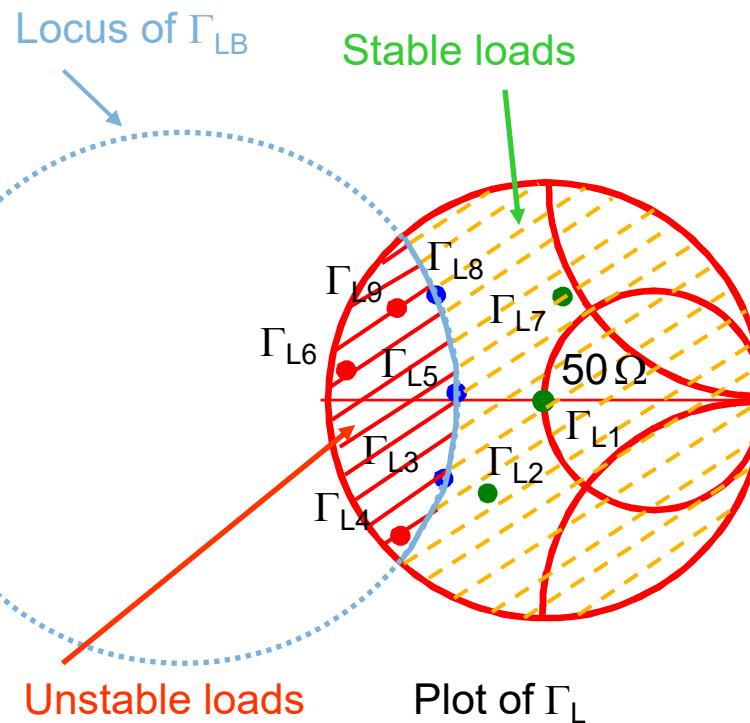
Unstable when:  $|\Gamma_{in}| > 1$  and/or  $|\Gamma_{out}| > 1$

$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right| > 1$$

$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_s}{1 - S_{11}\Gamma_s} \right| > 1$$

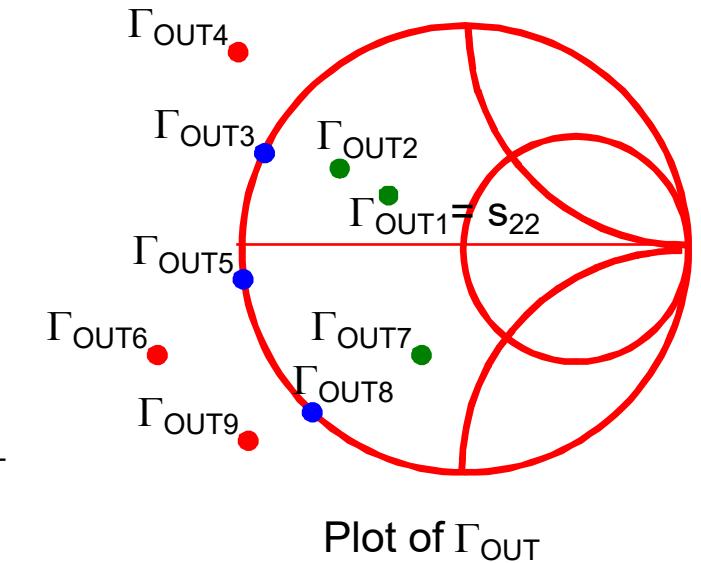
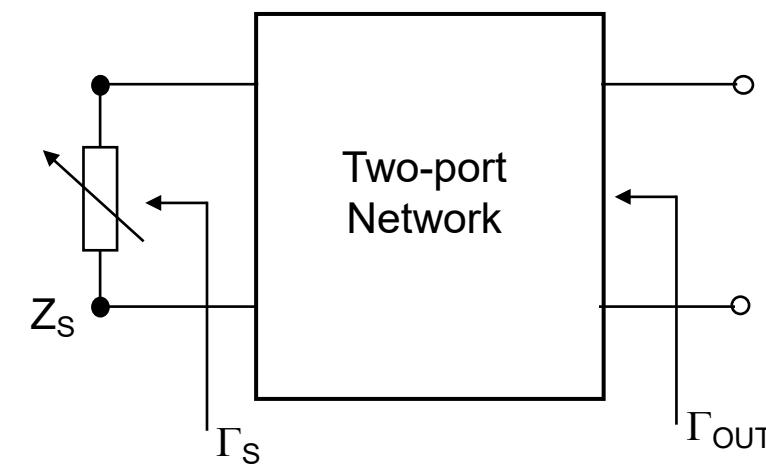
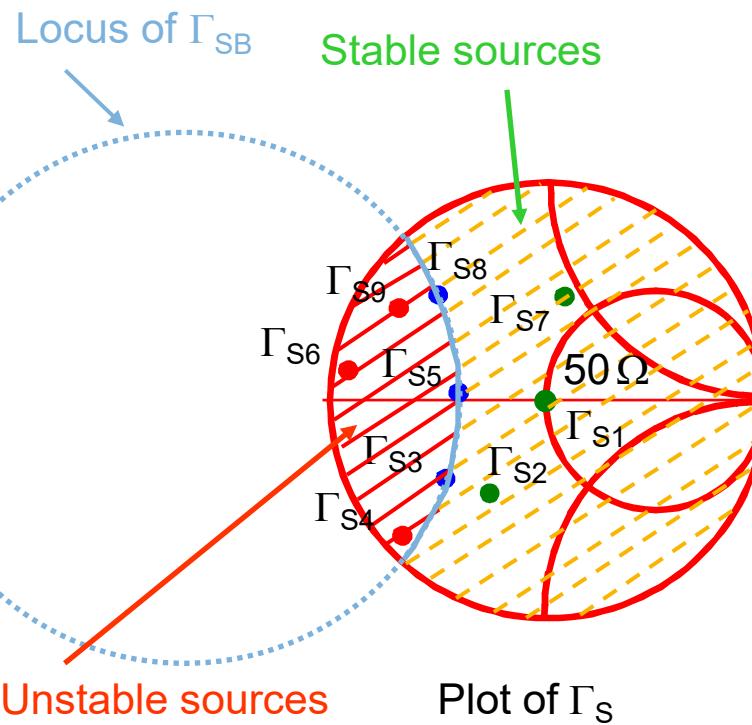
# Which load creates instability? Load-plane

- If we set  $|\Gamma_{in}| = 1$ , then we establish a boundary in the  $\Gamma_L$  –plane that separates stable from unstable regions. The load should create the instability.
- Load terminations  $\Gamma_{LB}$  resulting in  $|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1-S_{22}\Gamma_L} \right| = 1$  yields a circle in the Smith chart.



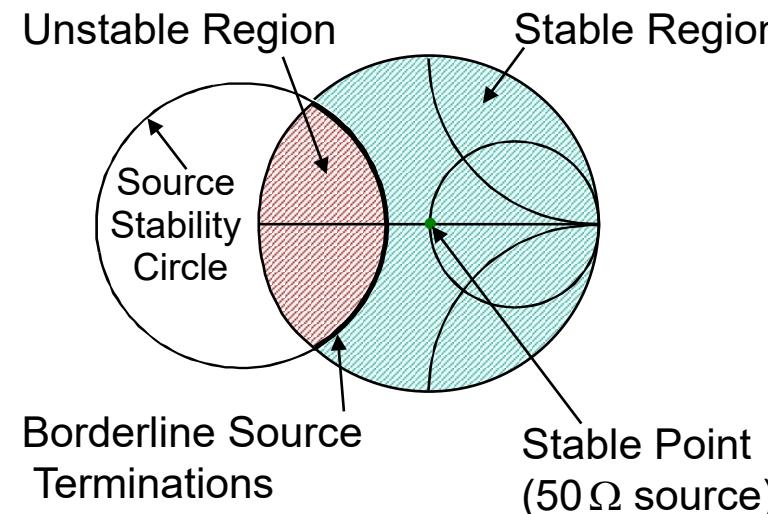
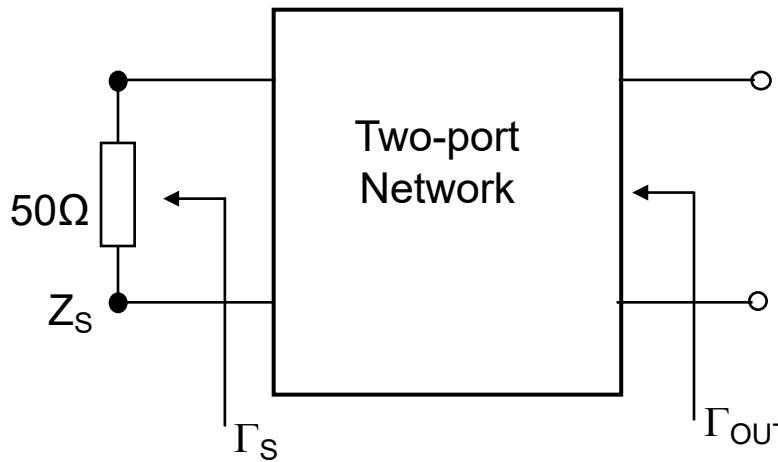
# Which source creates instability? Source-plane

- If we set  $|\Gamma_{out}| = 1$ , then we establish a boundary in the  $\Gamma_S$  – plane that separates stable from unstable regions. The source should create the instability.
- Source terminations  $\Gamma_{SB}$  resulting in  $|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_S}{1-S_{11}\Gamma_S} \right| = 1$  yields a circle in the Smith chart.



# Which region represents stable sources?

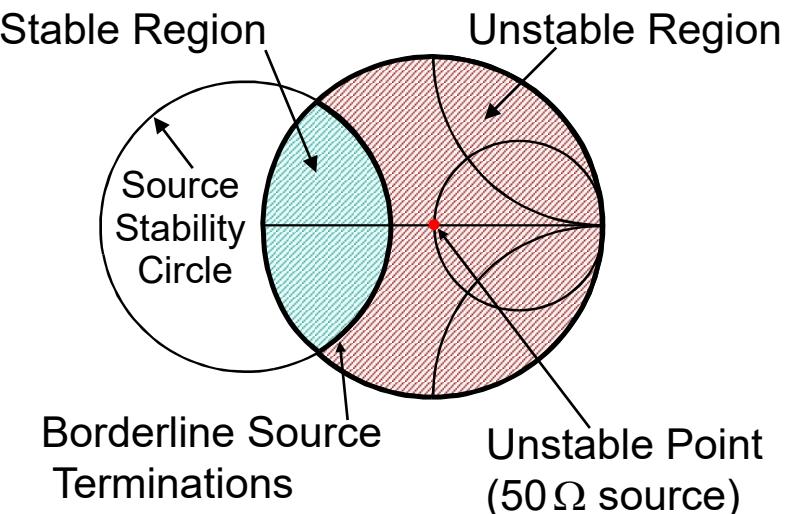
- A simple test to find the stable side of the source stability circle is to start with a  $50\Omega$  source.



$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_s}{1 - S_{11}\Gamma_s} \right|$$

$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_s}{1 - S_{11}\Gamma_s} \right| < 1$$

$$|S_{22}| < 1$$

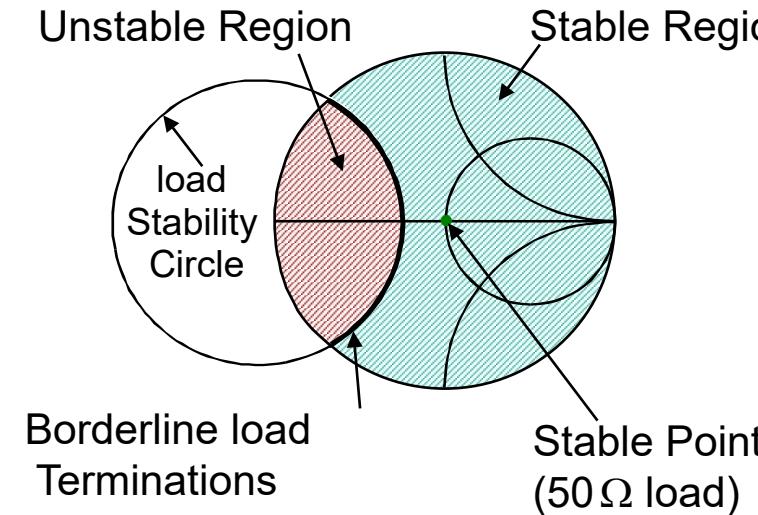
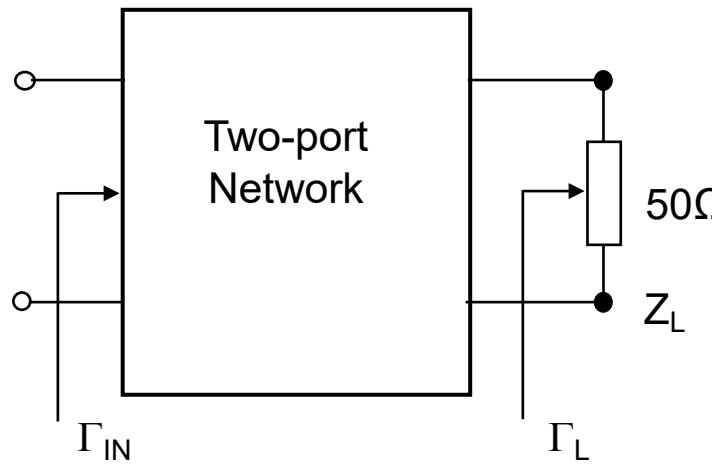


$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_s}{1 - S_{11}\Gamma_s} \right| > 1$$

$$|S_{22}| > 1$$

# Which region represents stable loads?

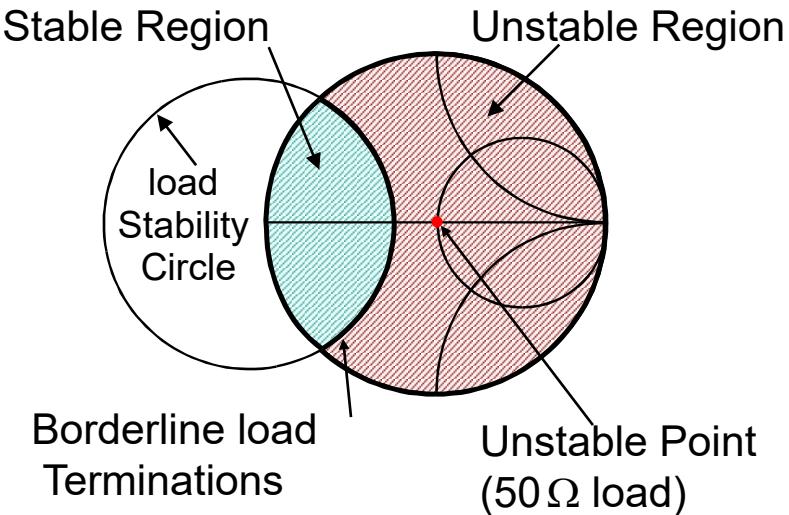
- A simple test to find the stable side of the load stability circle is to start with a  $50\Omega$  load.



$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right|$$

$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right| < 1$$

$$|S_{11}| < 1$$

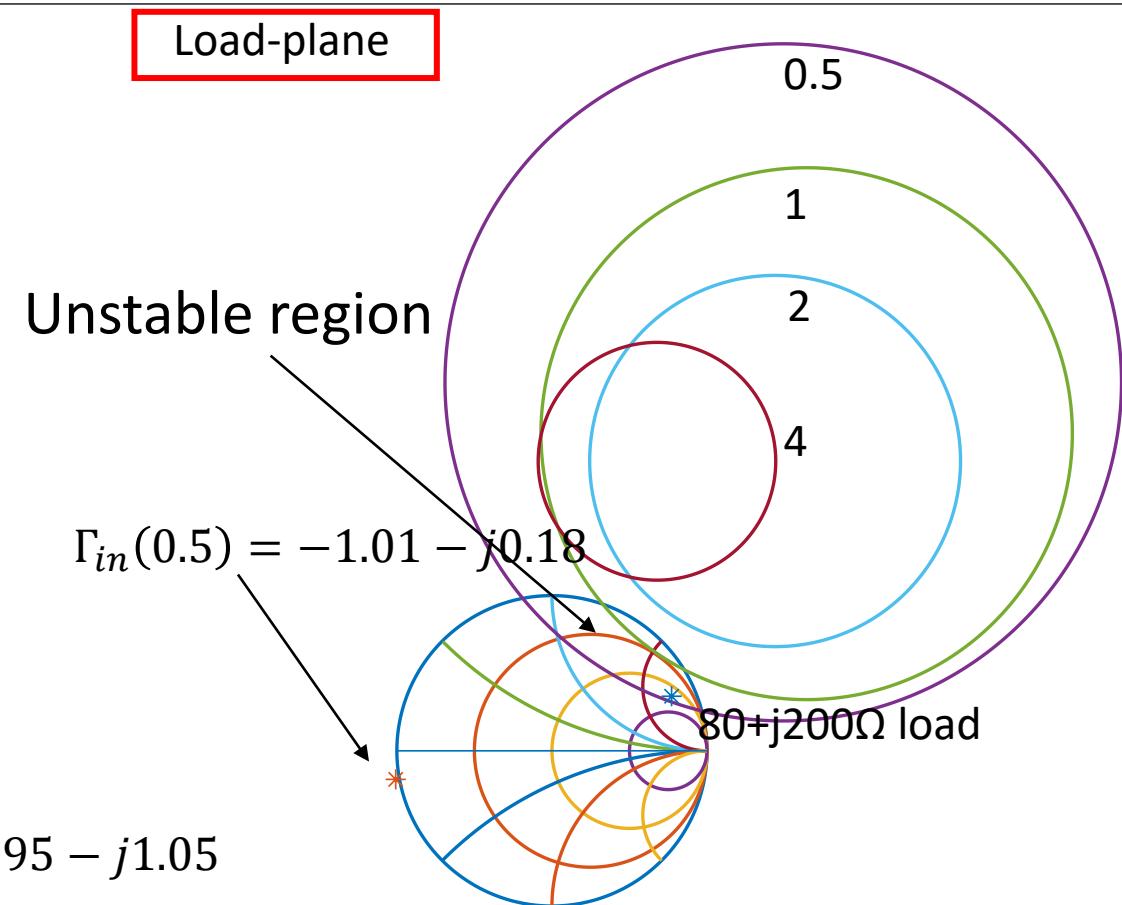
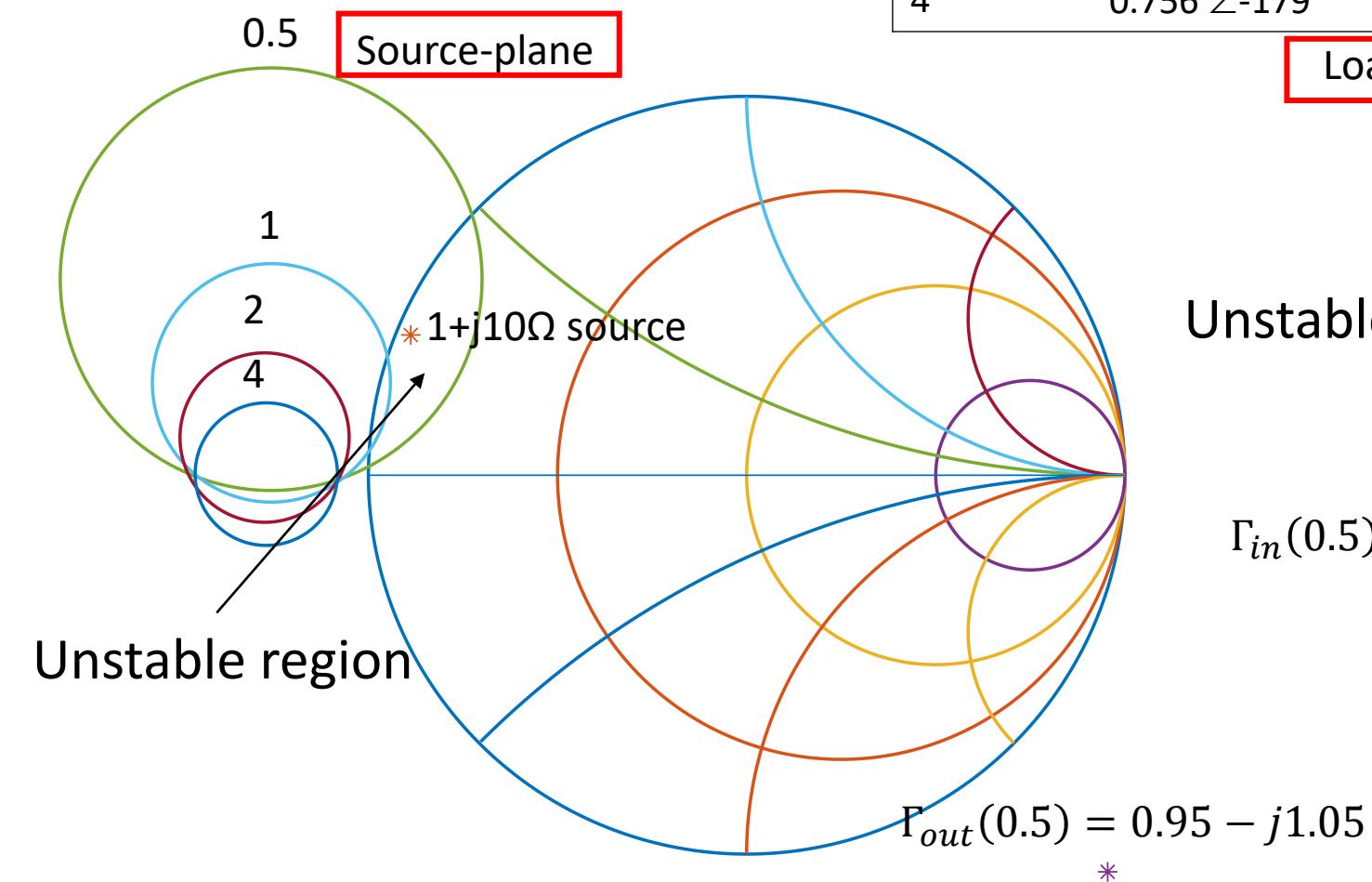


$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right| > 1$$

$$|S_{11}| > 1$$

# Our example

F(GHz)	S11	S12	S21	S22
.5	$0.761 \angle -151^\circ$	$0.025 \angle 31^\circ$	$11.84 \angle 102^\circ$	$0.429 \angle -35^\circ$
1	$0.770 \angle -166^\circ$	$0.029 \angle 35^\circ$	$6.11 \angle 89^\circ$	$0.365 \angle -34^\circ$
2	$0.760 \angle -174^\circ$	$0.040 \angle 44^\circ$	$3.06 \angle 74^\circ$	$0.364 \angle -43^\circ$
4	$0.756 \angle -179^\circ$	$0.064 \angle 48^\circ$	$1.53 \angle 53^\circ$	$0.423 \angle -66^\circ$



# Rollet's stability criteria (1962)

- A two-port is unconditional stable if and only if

$$|\Gamma_{out}| = \left| S_{22} + \frac{S_{21}S_{12}\Gamma_S}{1 - S_{11}\Gamma_S} \right| < 1 \quad \longleftrightarrow \quad \begin{array}{l} \textbf{Passive output impedance,} \\ \Re(Z_{out}) > 0 \end{array}$$

$$|\Gamma_{in}| = \left| S_{11} + \frac{S_{21}S_{12}\Gamma_L}{1 - S_{22}\Gamma_L} \right| < 1 \quad \longleftrightarrow \quad \begin{array}{l} \textbf{Passive input impedance,} \\ \Re(Z_{in}) > 0 \end{array}$$

$$|\Gamma_S| < 1 \quad \longleftrightarrow \quad \textbf{Passive source}$$

$$|\Gamma_L| < 1 \quad \longleftrightarrow \quad \textbf{Passive load}$$

# Rollet's stability criteria (1962, IRE)

Requirements for two - port stability

$$K = \frac{1 - |S_{11}|^2 - |S_{22}|^2 + |\Delta|^2}{2|S_{12}S_{21}|} > 1$$

$$B = 1 + |S_{11}|^2 - |S_{22}|^2 - |\Delta|^2 > 0$$

$$|\Delta| = |S_{11}S_{22} - S_{21}S_{12}| < 1$$

$$\mu = \frac{1 - |S_{22}|^2}{|S_{11} - \Delta S_{22}^*| + |S_{12}S_{21}|} > 1$$

# Our example

F(GHz)	S11	S12	S21	S22	k	Δ	
.5	0.761∠-151	0.025∠31	11.84∠102	0.429∠-35°	0.482	0.221	Unstable
1	0.770 ∠-166°	0.029∠35	6.11∠ 89	0.365∠-34	0.857	0.173	Unstable
2	0.760 ∠-174°	0.040∠44	3.06∠ 74	0.364∠-43	1.31	0.174	Stable
4	0.756 ∠-179°	0.064∠48	1.53∠ 53	0.423∠-66	1.535	0.226	Stable

Requirements for two - port stability

$$K = \frac{1 - |S_{11}|^2 - |S_{22}|^2 + |\Delta|^2}{2|S_{12}S_{21}|} > 1$$

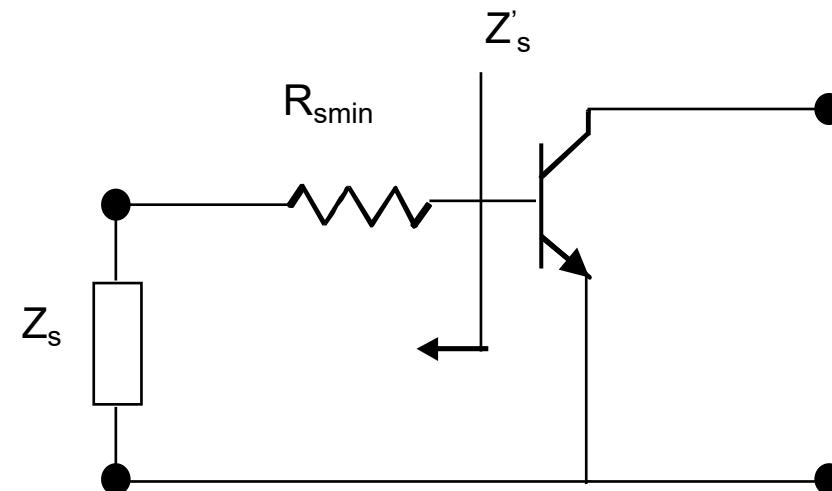
$$B = 1 + |S_{11}|^2 - |S_{22}|^2 - |\Delta|^2 > 0$$

$$|\Delta| = |S_{11}S_{22} - S_{21}S_{12}| < 1$$

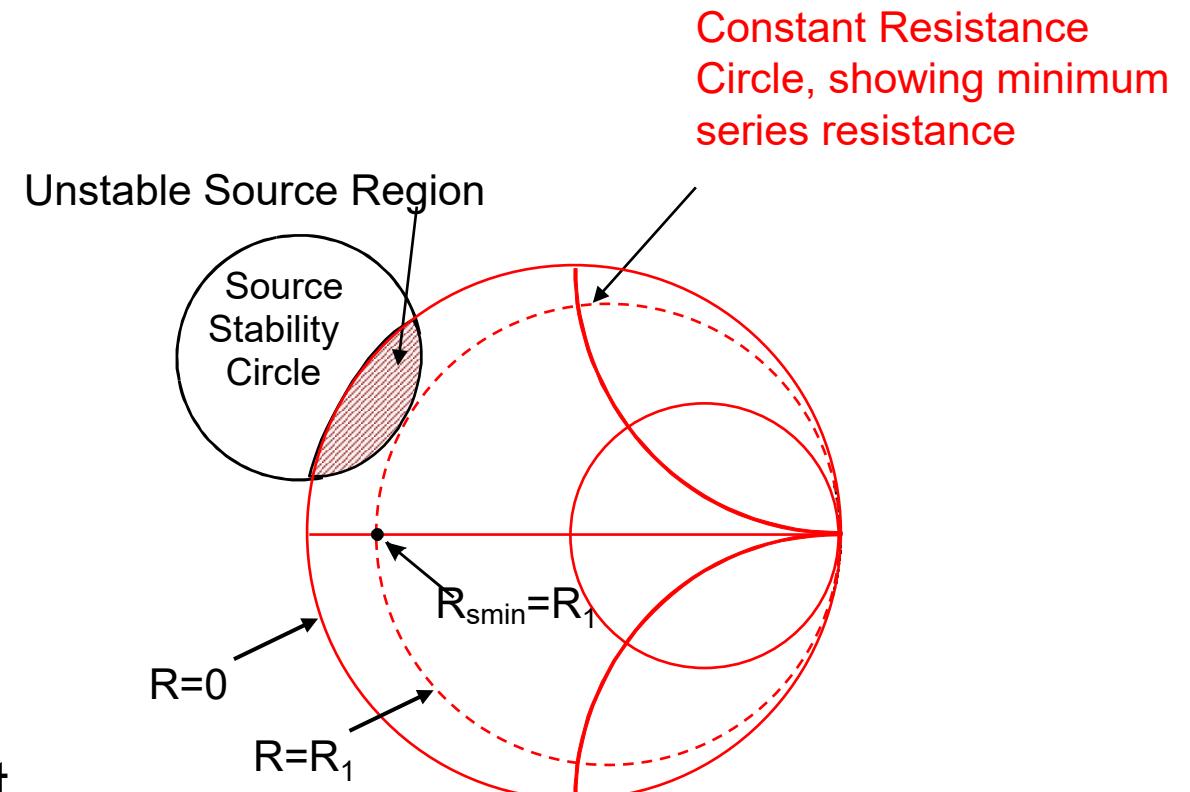
$$\mu = \frac{1 - |S_{22}|^2}{|S_{11} - \Delta S_{22}^*| + |S_{12}S_{21}|} > 1$$

# RF stabilization techniques

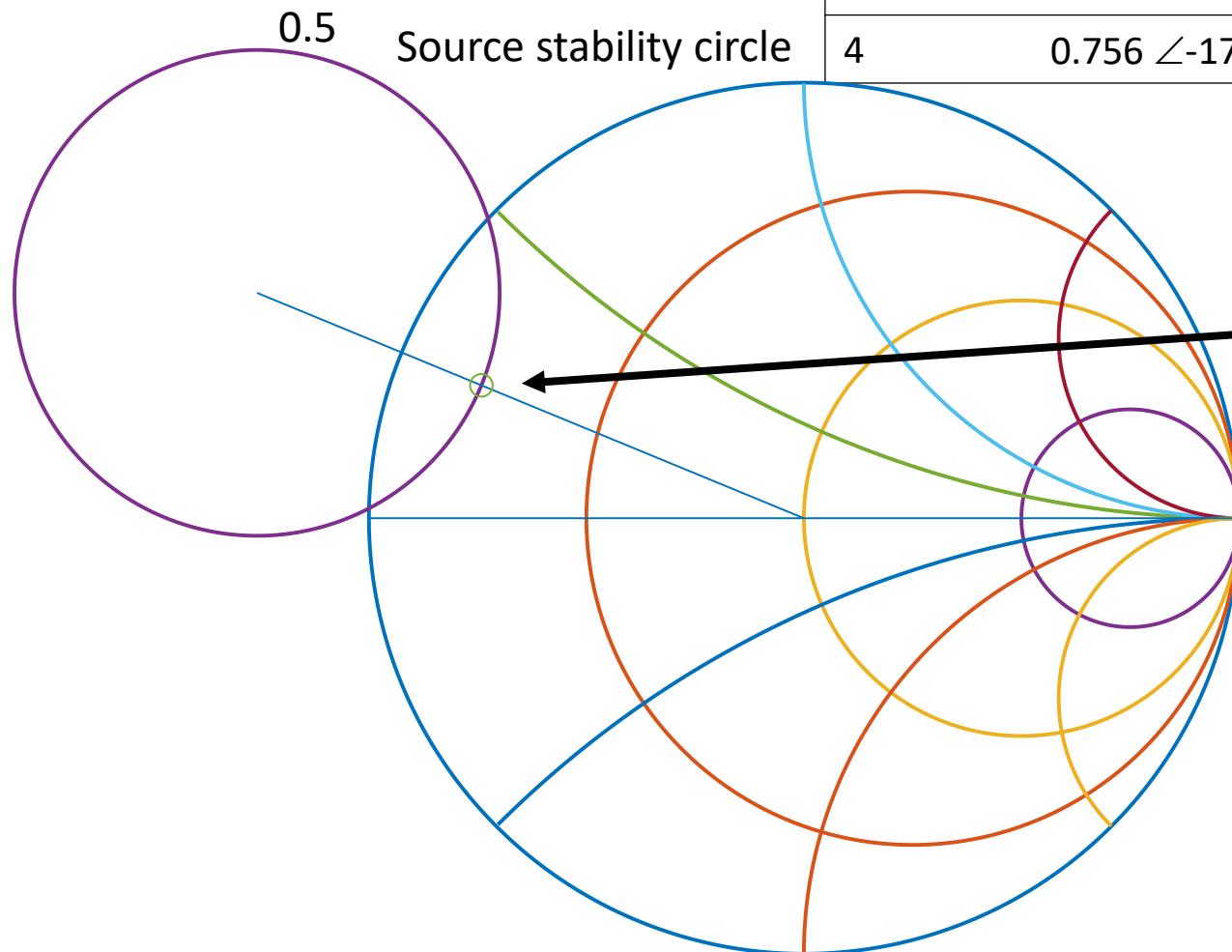
- Stabilization is achieved by adding a lossy element to the input or output port.



Series resistive stabilization at the input port shown on the impedance Smith Chart



# Our example



F(GHz)	S11	S12	S21	S22
.5	0.761∠-151	0.025∠31	11.84∠102	0.429∠-35°
1	0.770 ∠-166°	0.029∠35	6.11∠ 89	0.365∠-34
2	0.760 ∠-174°	0.040∠44	3.06∠ 74	0.364∠-43
4	0.756 ∠-179°	0.064∠48	1.53∠ 53	0.423∠-66

$$\Gamma_{stable}(0.5) = -0.74 + j0.305$$

$$Z_{stable}(0.5) = 5.7 + j9.7$$

Series resistance to stabilize  
from 500MHz onwards:  $5.7\Omega$

# Summary

- Stability circles for source and load
- RF stabilization
- Examples

# Microwave Engineering and Antennas



Impedance matching  
concept, example

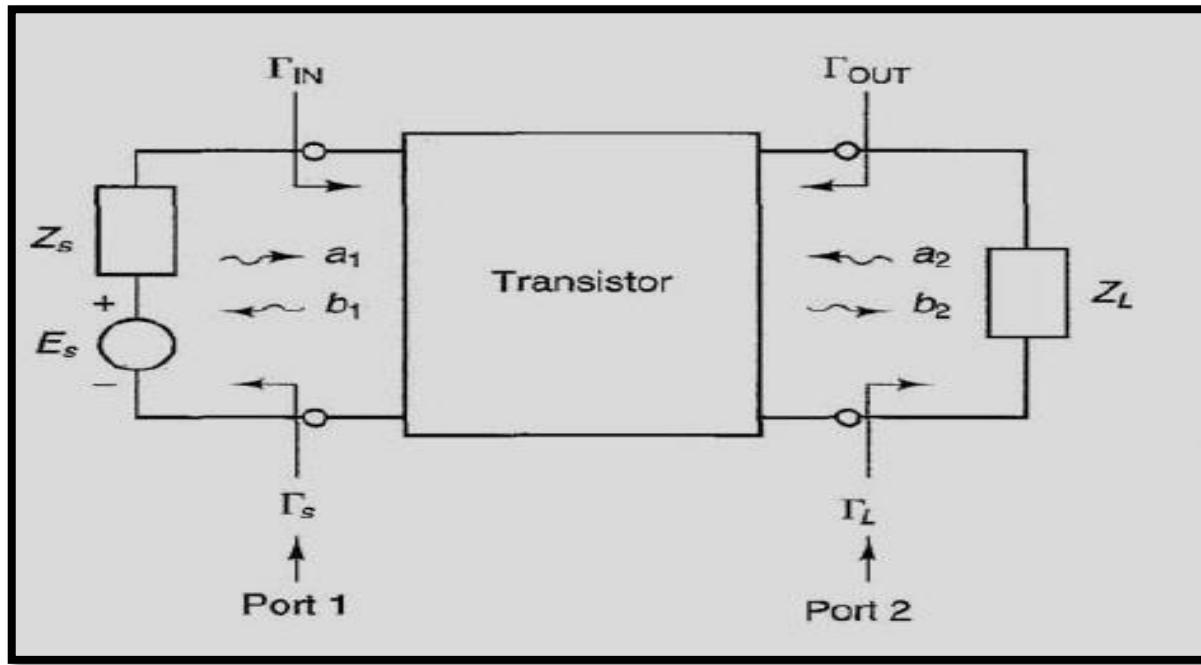
Domine Leenaerts, Professor  
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Center for Wireless Technologies Eindhoven

# Impedance matching

## Objective of this lecture

- Discuss concept of L-matching
- Provide an example for simultaneous conjugate matching

Remember input/output reflection coefficients



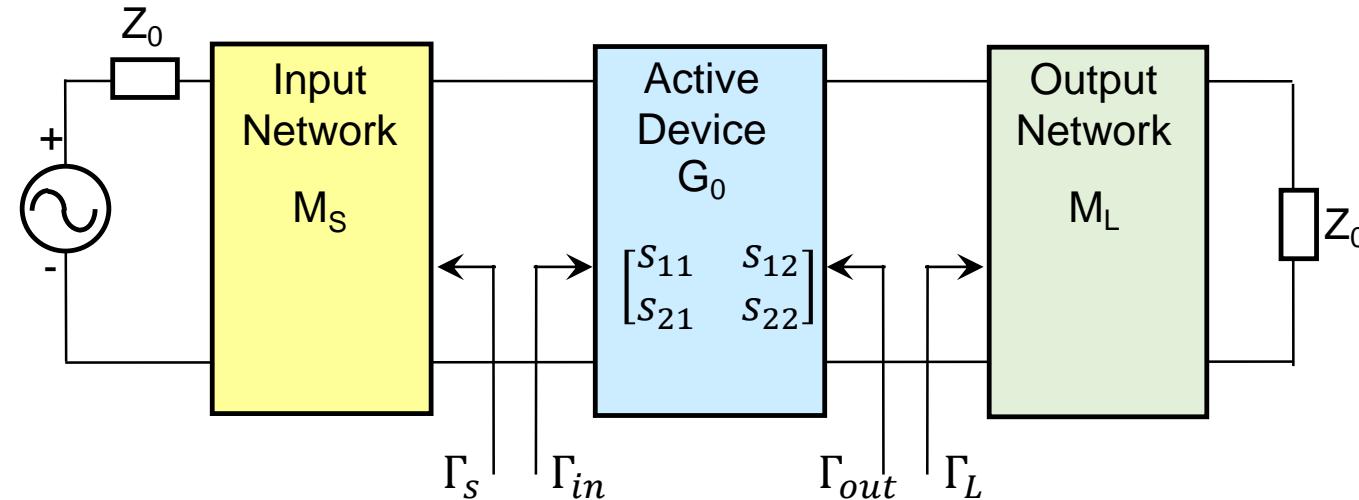
$$\Gamma_{in} = \frac{b_1}{a_1} = S_{11} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_L} - S_{22}}$$

$$\Gamma_{out} = \frac{b_2}{a_2} = S_{22} + \frac{S_{12}S_{21}}{\frac{1}{\Gamma_S} - S_{11}}$$

# Simultaneous conjugate matching

$$G_{max}(\Gamma_S, \Gamma_L, S) = \frac{P_{av,out}}{P_{del,in}} = \frac{|S_{21}|}{|S_{12}|} \left( K - \sqrt{K^2 - 1} \right), K \geq 1$$

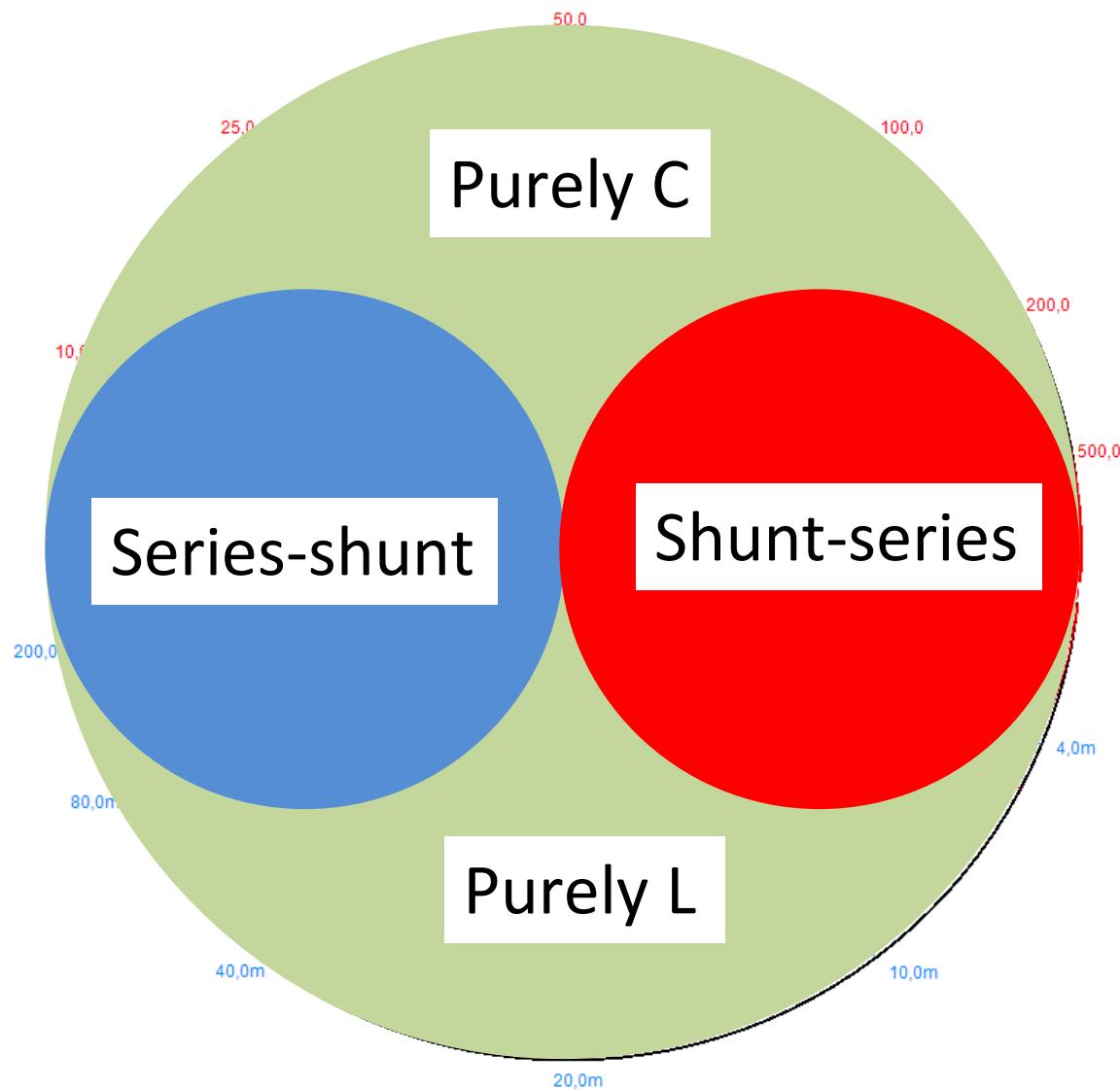
$K$  = Rollet stability factor



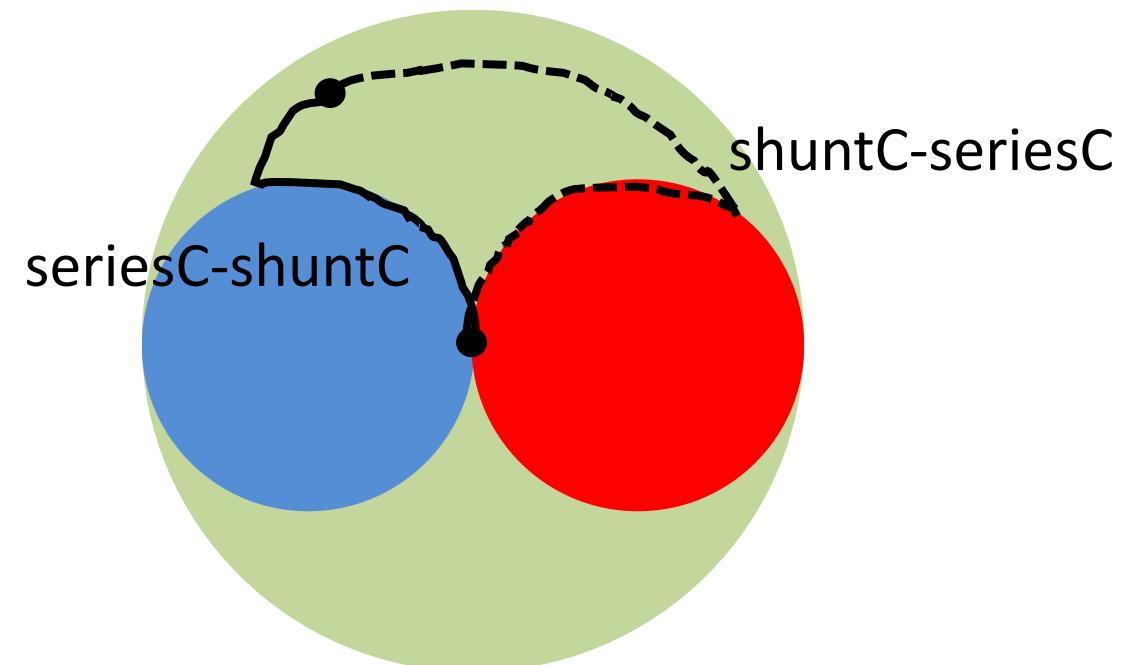
$$\Gamma_{in} = \Gamma_S^* \quad \Gamma_{out} = \Gamma_L^*$$

How do we find the proper matching networks?

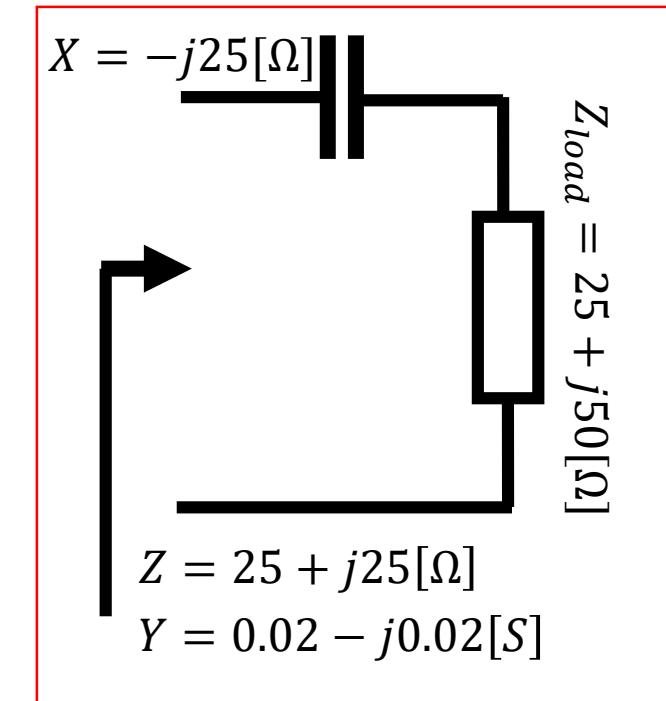
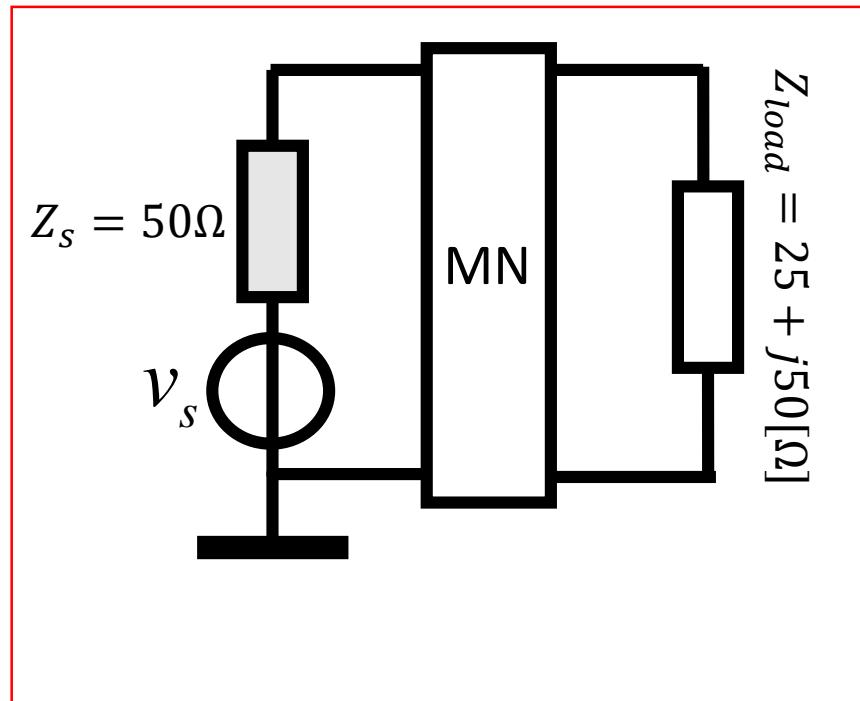
# L-match strategy



- From any point inside the Smith chart the center can be reached in two transformation steps
- There are always two solutions

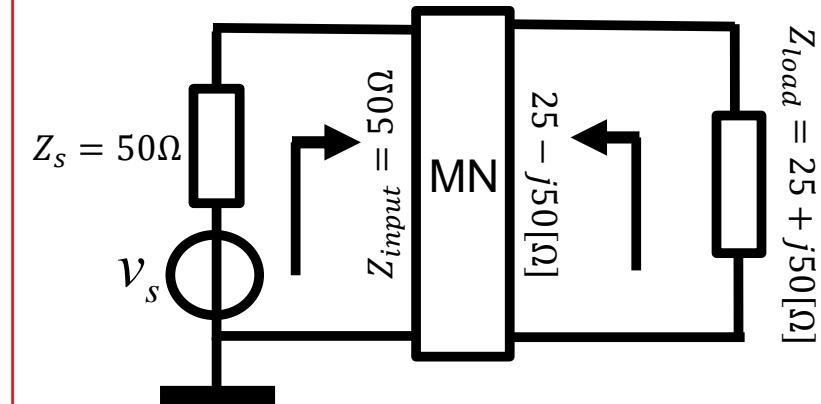
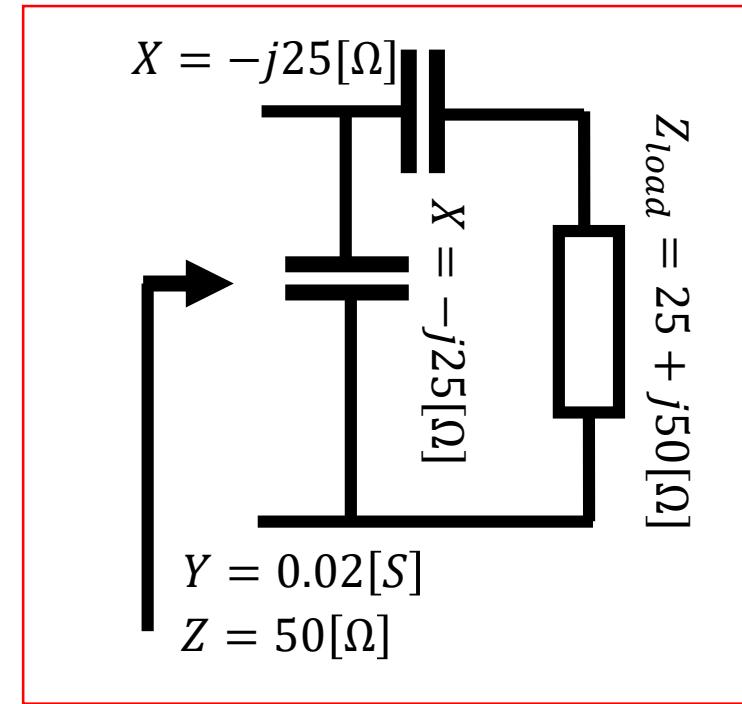
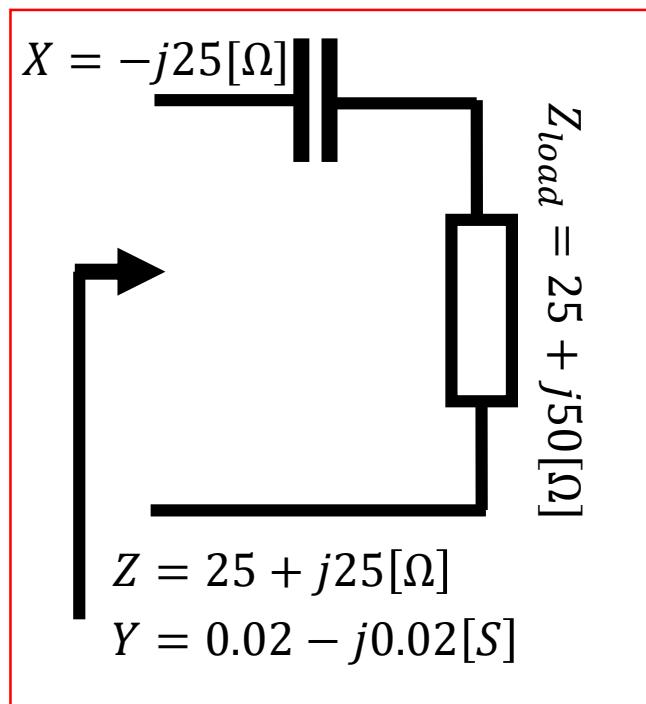


# L-match: 2 reactive elements to match



Step 1: find impedance to bring real part of “input admittance” back to 0.02 [S]

L-match: 2 reactive elements to match

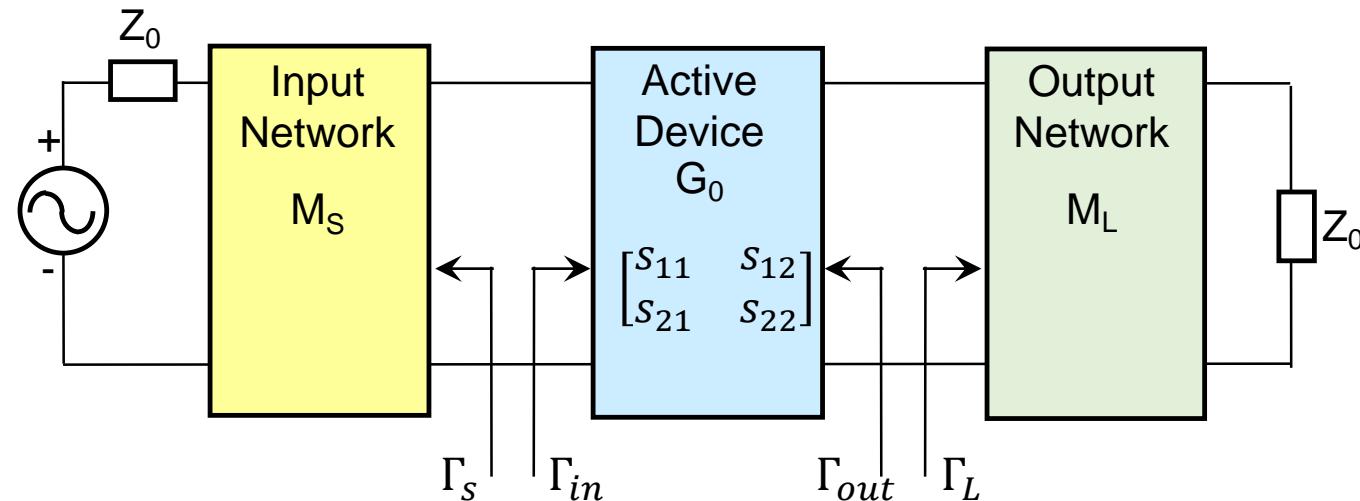


Step 2: find impedance to eliminate remaining reactive part

# An example

- An amplifier has the following s-parameter values at 2GHz:

$$S_{11} = 0.61 \angle 165^\circ, S_{21} = 3.72 \angle 59^\circ, S_{12} = 0.05 \angle 42^\circ, S_{22} = 0.45 \angle -48^\circ$$



$$Z_{in} = 5.12 + j7.54 [\Omega]$$

$$Z_{out} = 33.68 - j91.48 [\Omega]$$

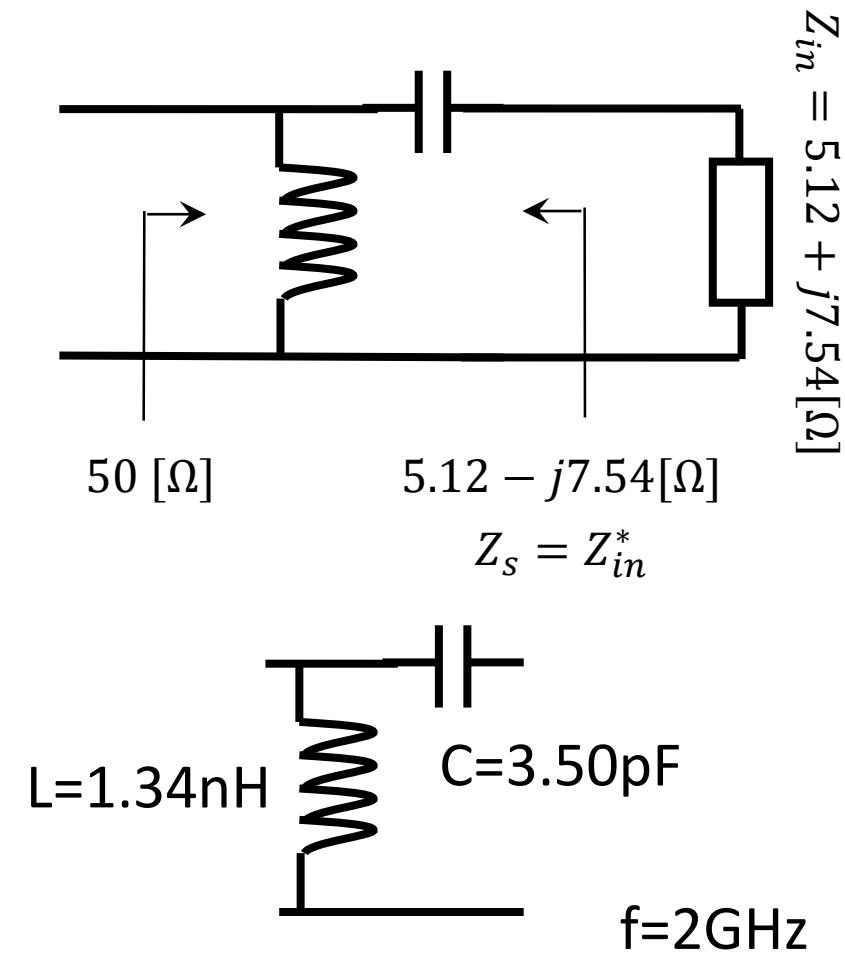
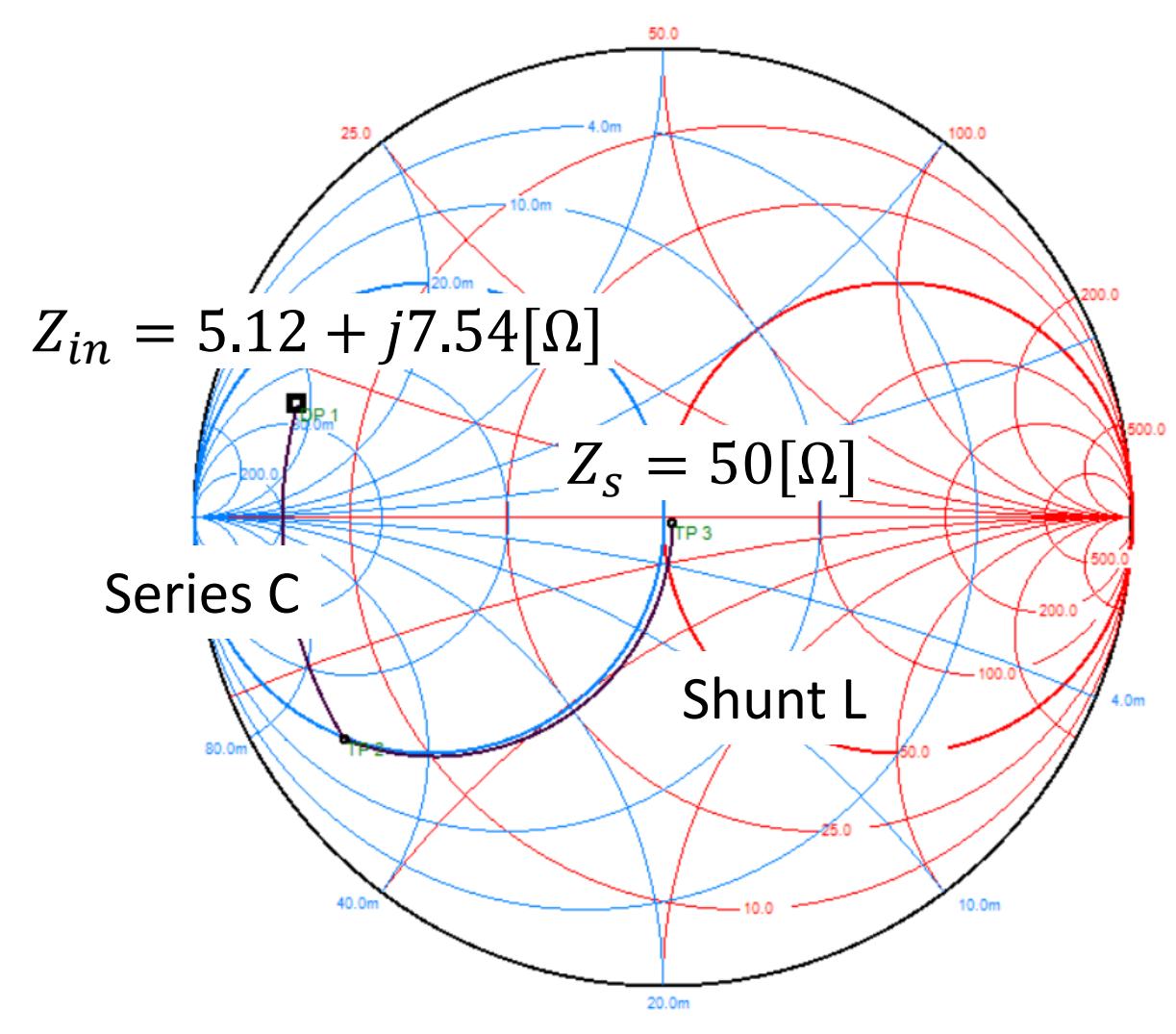
Simultaneous conjugate matching

$$Z_s = Z_{in}^* \quad Z_L = Z_{out}^*$$

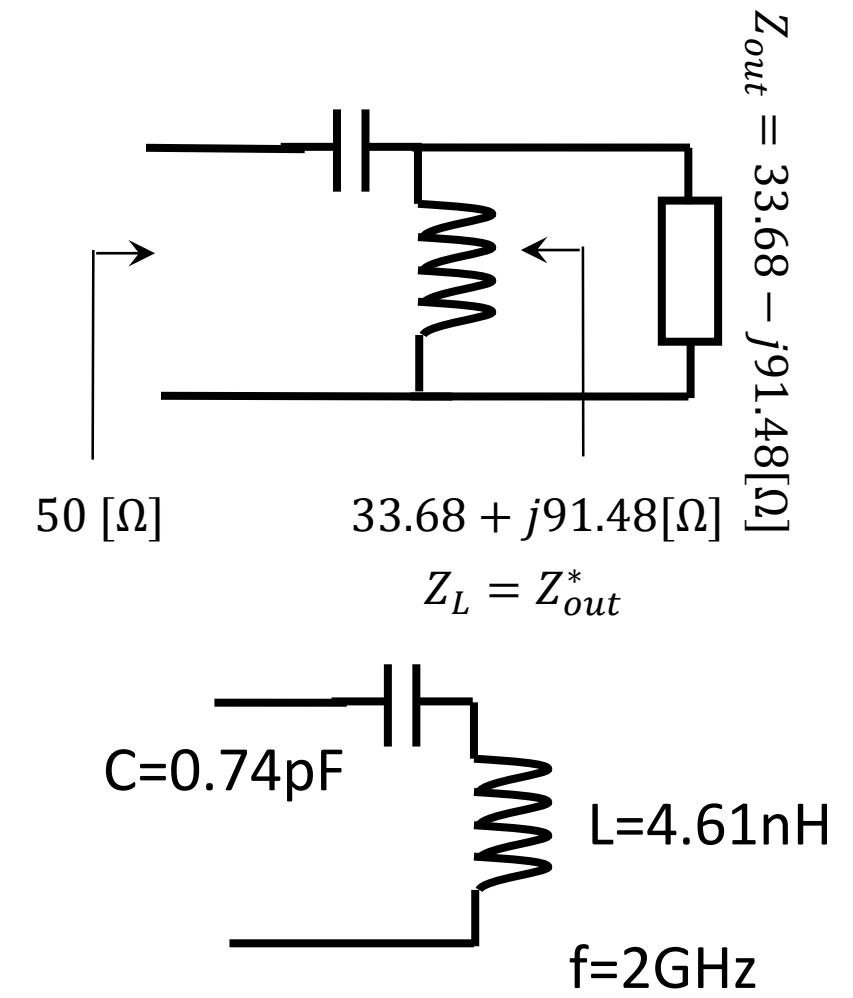
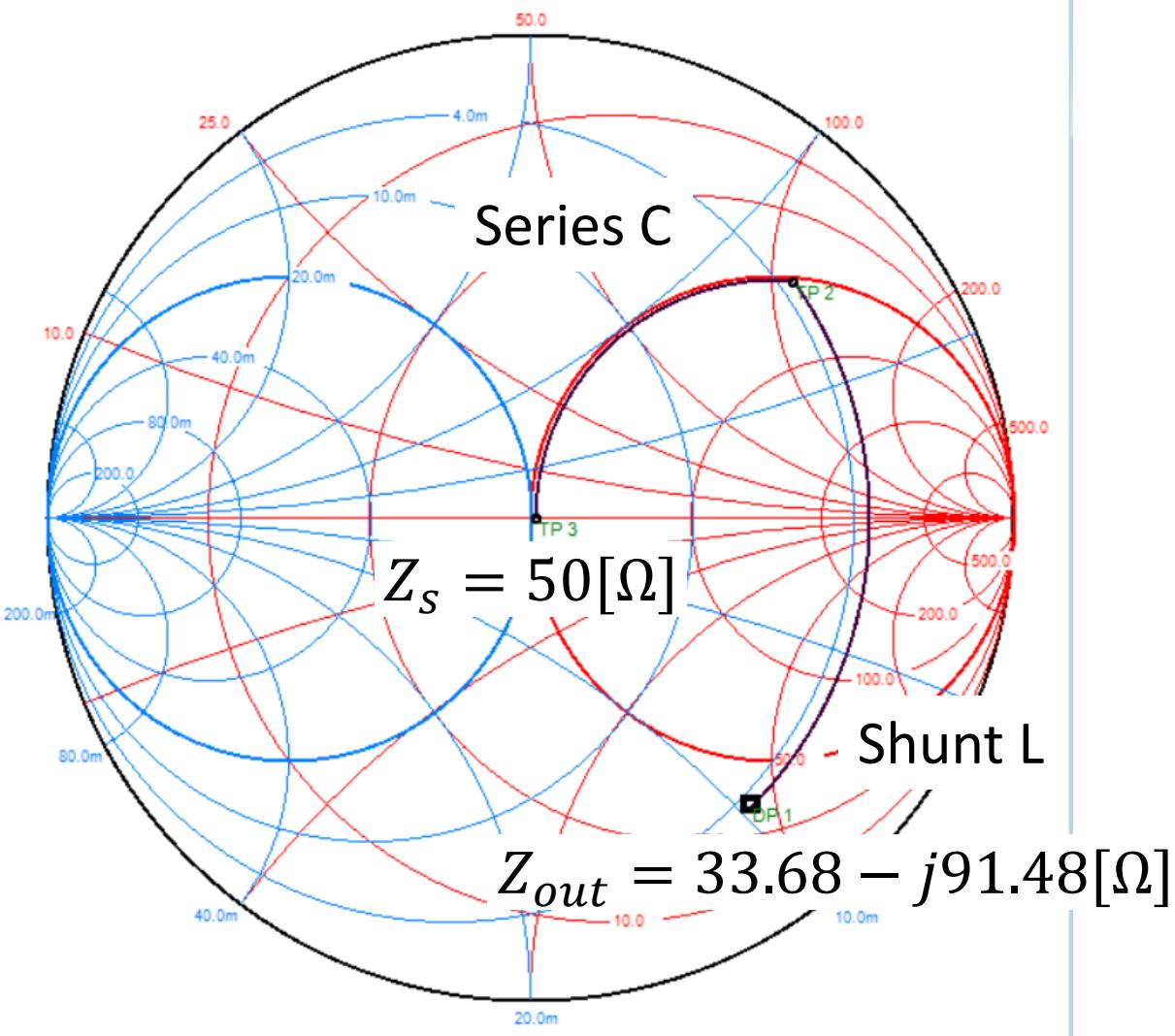
$$\Gamma_s = 0.82 \angle -163^\circ$$

$$\Gamma_L = 0.75 \angle -52.6^\circ$$

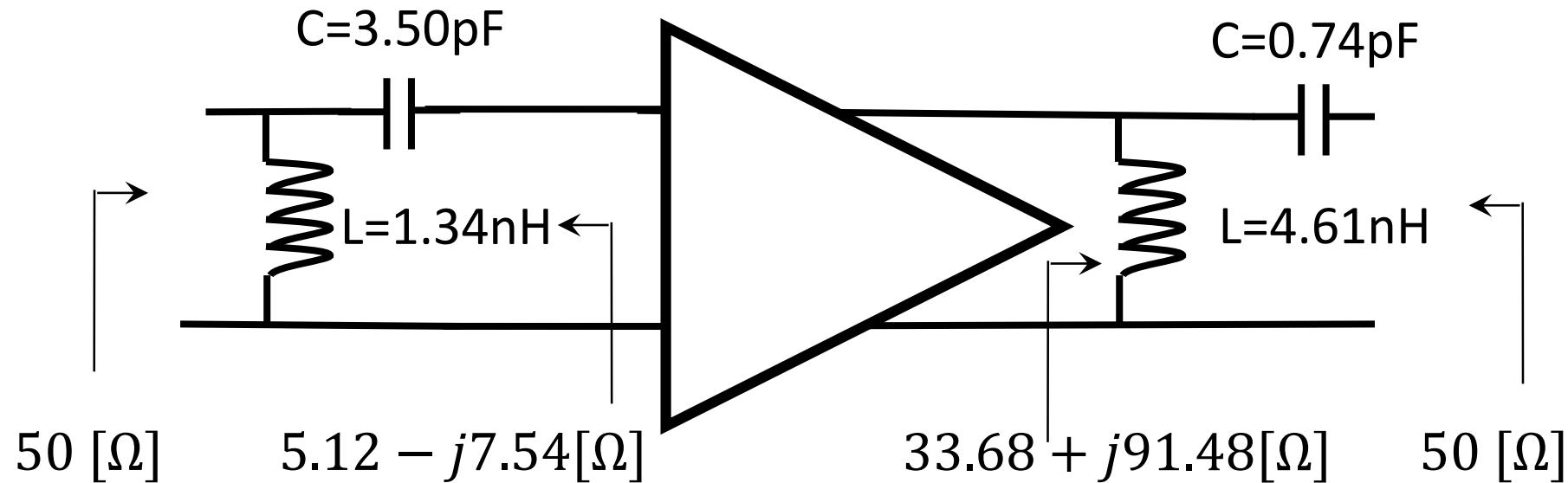
# Input matching network $M_s$



# Output matching network $M_L$



# Simultaneous power matched for 2GHz



$$G_{max} = 41.5 \text{ dB}$$

$$K = 1.17$$

# Summary

- L-matching using two reactive components
- Simultaneous power matching

# Microwave Engineering and Antennas

## Constant-gain circles

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# Constant-gain circles

## Objective of this lecture

- Discuss available gain circle
- Discuss unilateral constant-gain circles
- Provide an example

# Circles in the $\Gamma$ -plane

- Take as example the expression for  $G_{av}$  as a function of reflection coefficients and scatter parameters:

$$G_{av}(\Gamma_S, S) = \frac{P_{av,output}}{P_{av,s}} = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} |S_{21}|^2 \frac{1}{1 - |\Gamma_{out}|^2}$$

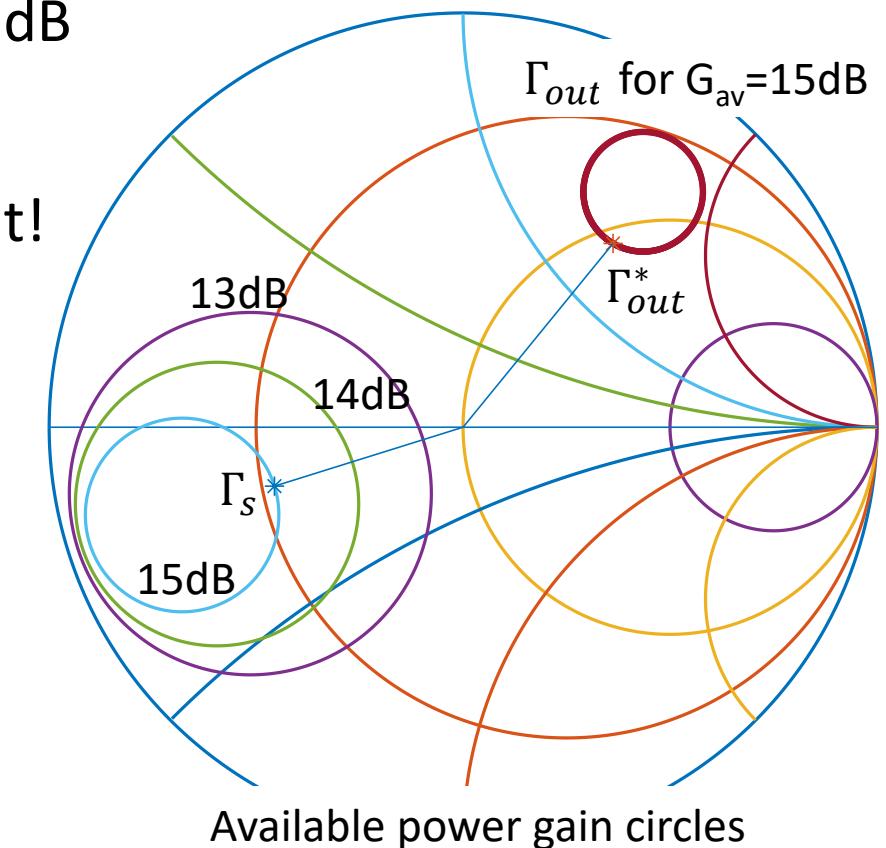
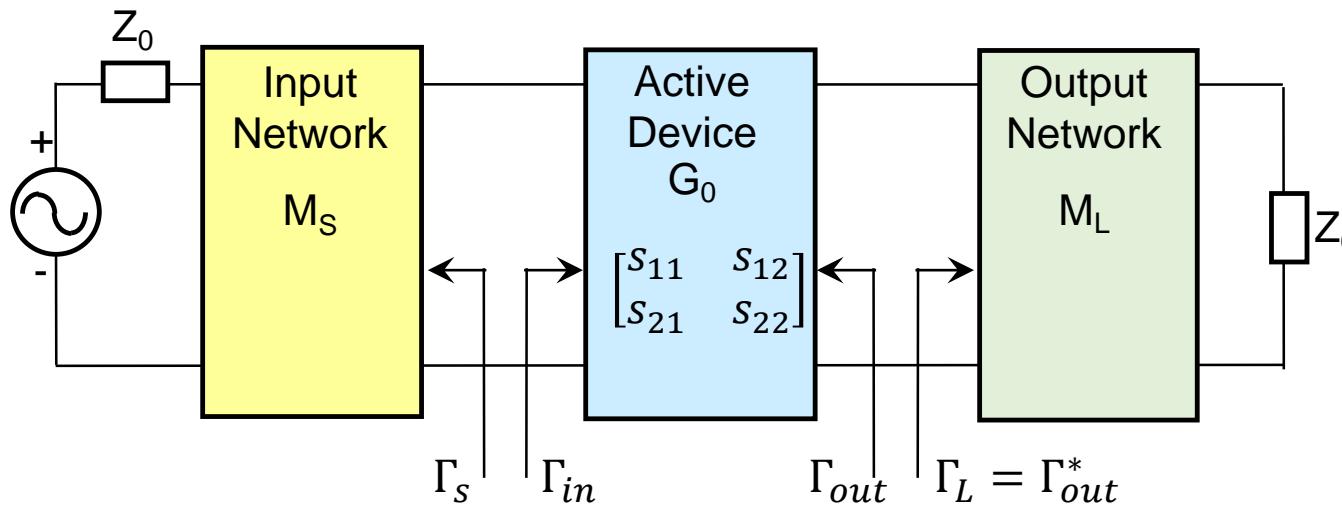
- A certain  $G_{av}$  can be realized with many combinations of  $\Gamma_S$  and  $\Gamma_{out}$
- The locus of such points in the  $\Gamma$ -plane is typically a circle of the form

$$|\Gamma - C| = r$$

- with  $C$  the center and  $r$  the radius of the circle and dependent on the realized gain

# Available gain circles

- An amplifier has the following s-parameter values:
  - $S_{11} = 0.61 \angle 165^\circ$ ,  $S_{21} = 3.72 \angle 59^\circ$ ,  $S_{12} = 0.05 \angle 42^\circ$ ,  $S_{22} = 0.45 \angle -48^\circ$
- We can plot the  $\Gamma_s$ ,  $\Gamma_{out}$  circles for  $G_{av} = 13$ , 14 and 15dB
- Note that  $G_{max}$  is equal to 16.2dB
- Note that for  $G_{av}$ , the output is matched, not the input!



# Unilateral transducer Gain

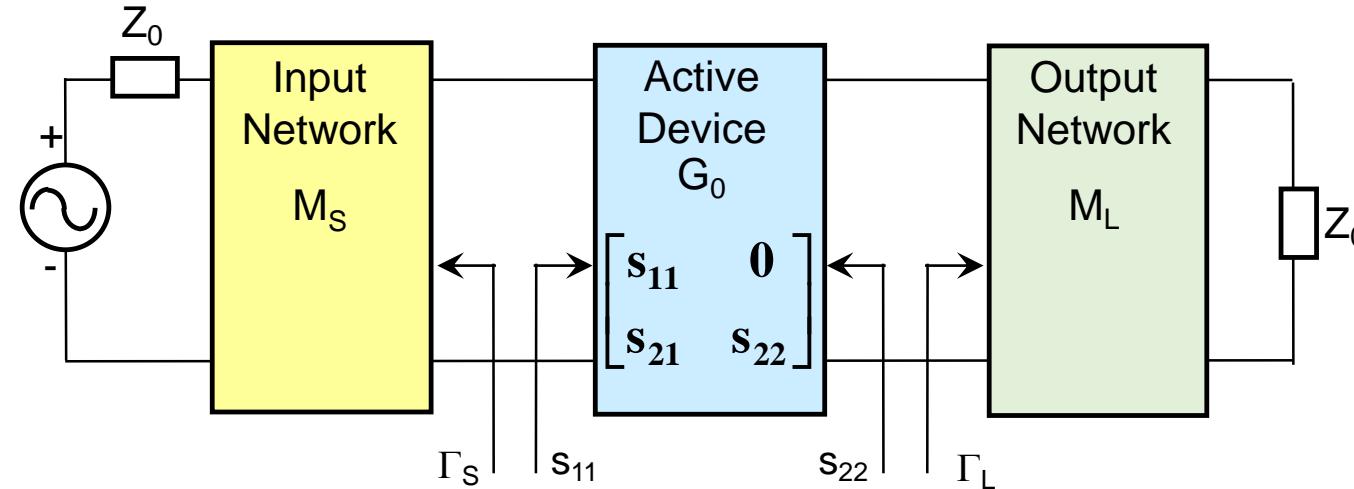
- In the unilateral case, the reverse transmission coefficient is zero ( $s_{12} = 0$ ), yielding for the unilateral transducer (power) gain  $G_{T,u}$

$$G_{T,u}(S) = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} |S_{21}|^2 \frac{1 - |\Gamma_L|^2}{|1 - S_{22}\Gamma_L|^2} = M_S |S_{21}|^2 M_L$$

- Maximum gain improvement is observed when one port is conjugately matched. For instance, if the input port is matched, we have

$$M_S = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} \xrightarrow{\text{yields}} M_{S,max} \Big|_{\Gamma_S = S_{11}^*} = \frac{1}{1 - |S_{11}|^2}$$

# Unilateral transducer Gain



$$G_{T,u}(\Gamma_S, S) = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2} |S_{21}|^2 \frac{1 - |\Gamma_L|^2}{|1 - S_{22}\Gamma_L|^2} = M_S |S_{21}|^2 M_L = M_S G_O M_L$$

- The “gain”  $|S_{21}|^2$  is defined based on  $50\Omega$  termination. As  $s_{11}$  and  $s_{22}$  are mostly not  $50\Omega$ , power loss occurs. Hence the difference between  $G_{T,u}(\Gamma_S, S)$  and  $|S_{21}|^2$ . By optimizing  $M_S$  and  $M_L$  we do not improve gain, we reduce the losses!

# Unilateral constant-gain circle, source

$$M_S = \frac{1 - |\Gamma_S|^2}{|1 - S_{11}\Gamma_S|^2}$$

- Equating  $M_S$  to a constant, we can solve the unilateral transducer gain for terminations  $\Gamma_S$ , yielding equations of “constant-gain circles” for source terminations:

$$g_S = M_S(1 - |s_{11}|^2)$$

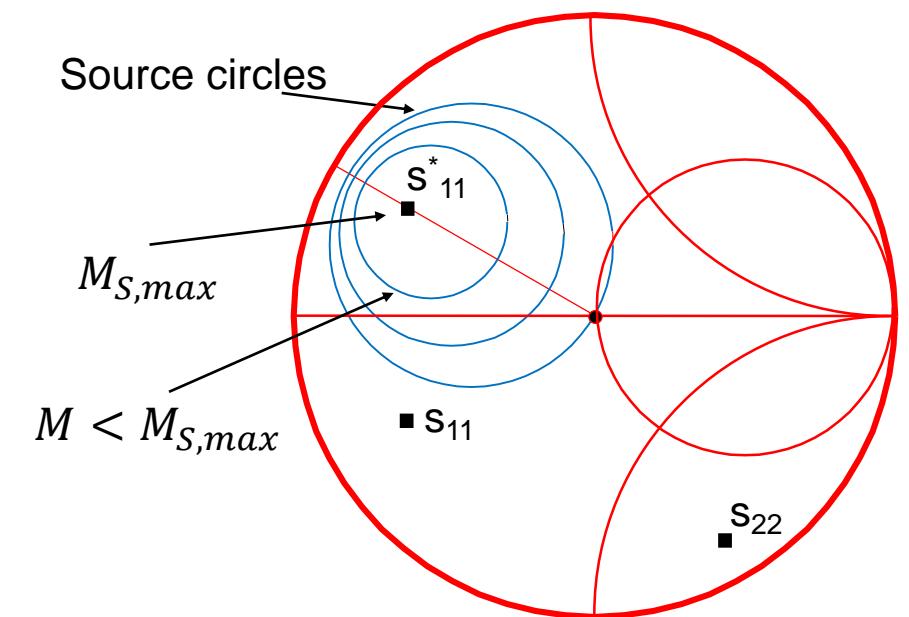
(normalization)

$$c_S = \frac{g_S s_{11}^*}{1 - |s_{11}|^2(1 - g_S)}$$

(center)

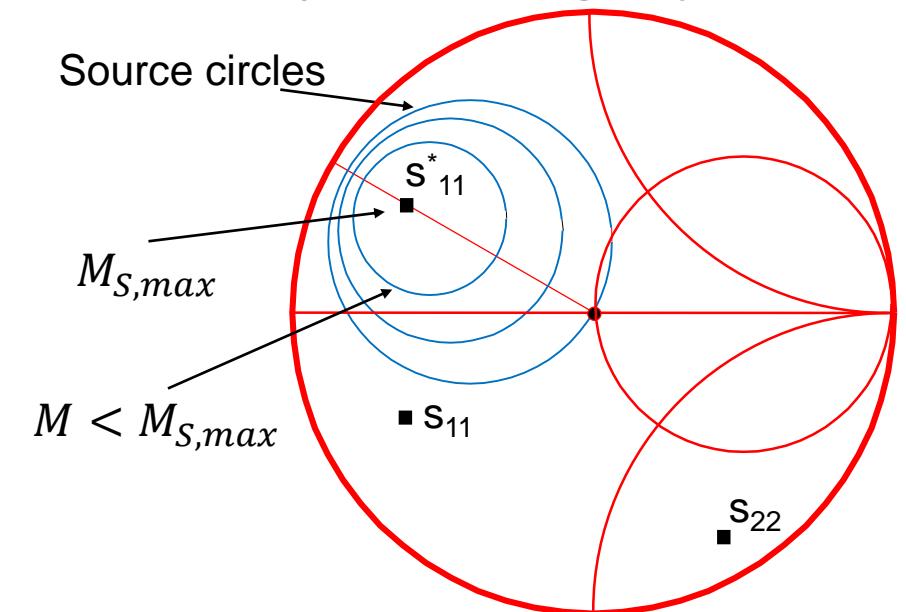
$$r_S = \frac{(1 - |s_{11}|^2)\sqrt{(1 - g_S)}}{1 - |s_{11}|^2(1 - g_S)}$$

(radius)



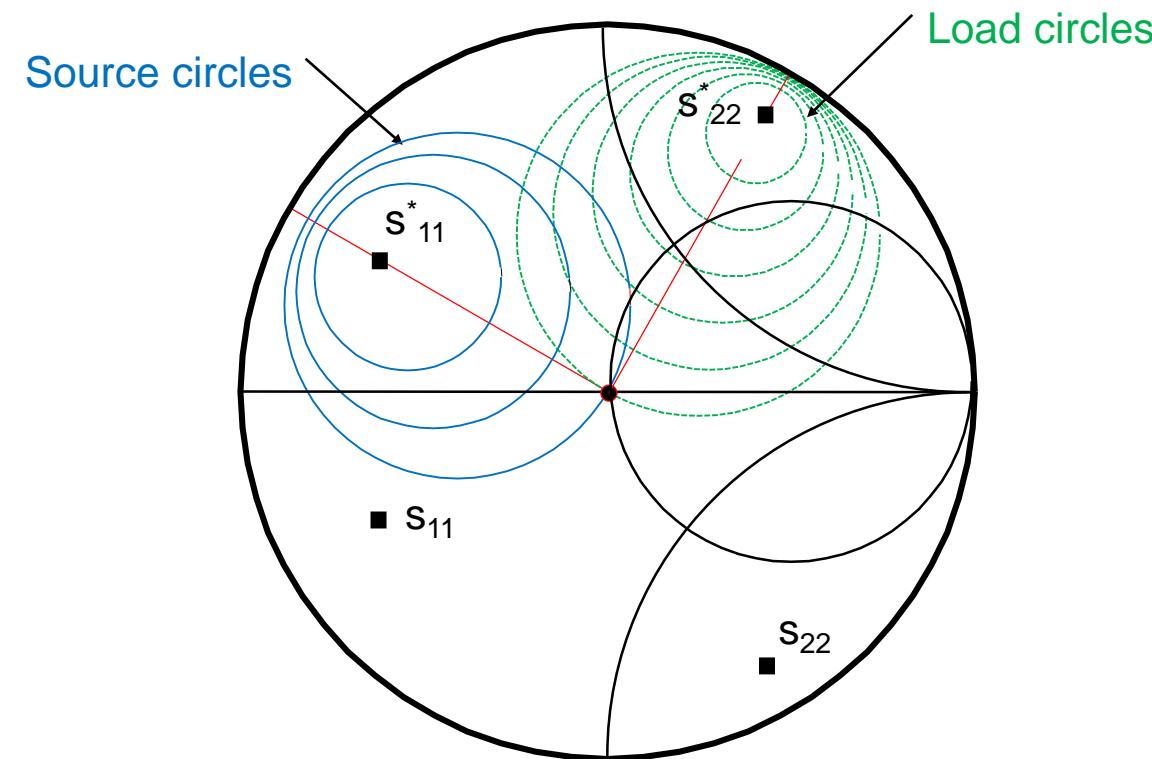
# Unilateral constant-gain circle, source

- Some observations:
  1. the centers of source plane constant gain circles always lie on a line drawn between the point  $s_{11}^*$  and the origin of the source plane.
  2. The radius of constant gain circles decreases with increasing gain or reduced losses. The maximum gain is reached for just a single point



# Unilateral constant-gain circle

- We can apply the same strategy for the load terminations, simple change  $M_s \rightarrow M_L, g_s \rightarrow g_L, s_{11} \rightarrow s_{22}, \Gamma_S \rightarrow \Gamma_L$



# Example: maximize gain while minimizing NF

- A unilateral amplifier has the following s-parameter values:

$$S_{11} = 0.8\angle 120^\circ, S_{21} = 4\angle 60^\circ, S_{12} = 0, S_{22} = 0.2\angle -30^\circ$$

- For the amplifier also the noise parameters are given:

$$NF_{min} = 1.6dB, r_n = 0.16, \Gamma_{opt} = 0.26\angle -152^\circ$$

# Example: investigate the unilateral gain

- Using

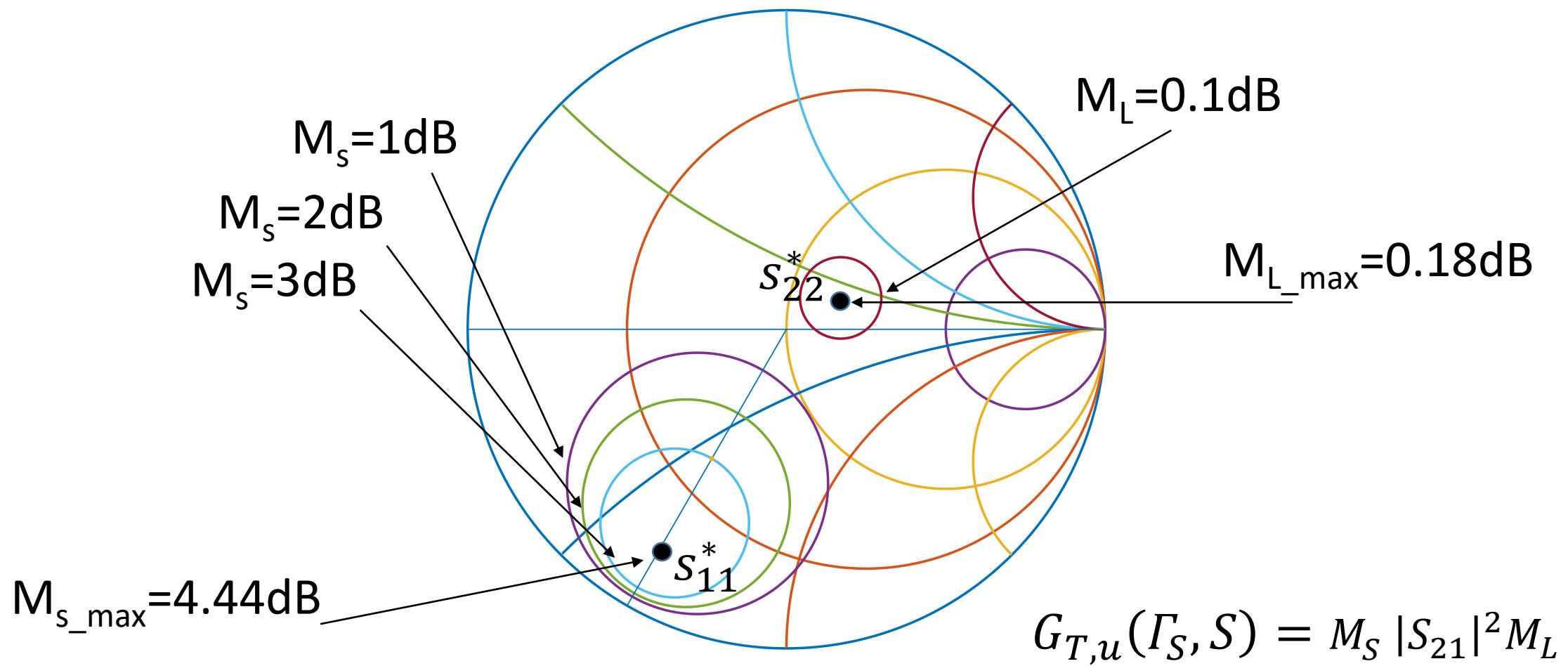
$$G_{T,u}(\Gamma_S, S) = M_S |S_{21}|^2 M_L$$

- We find

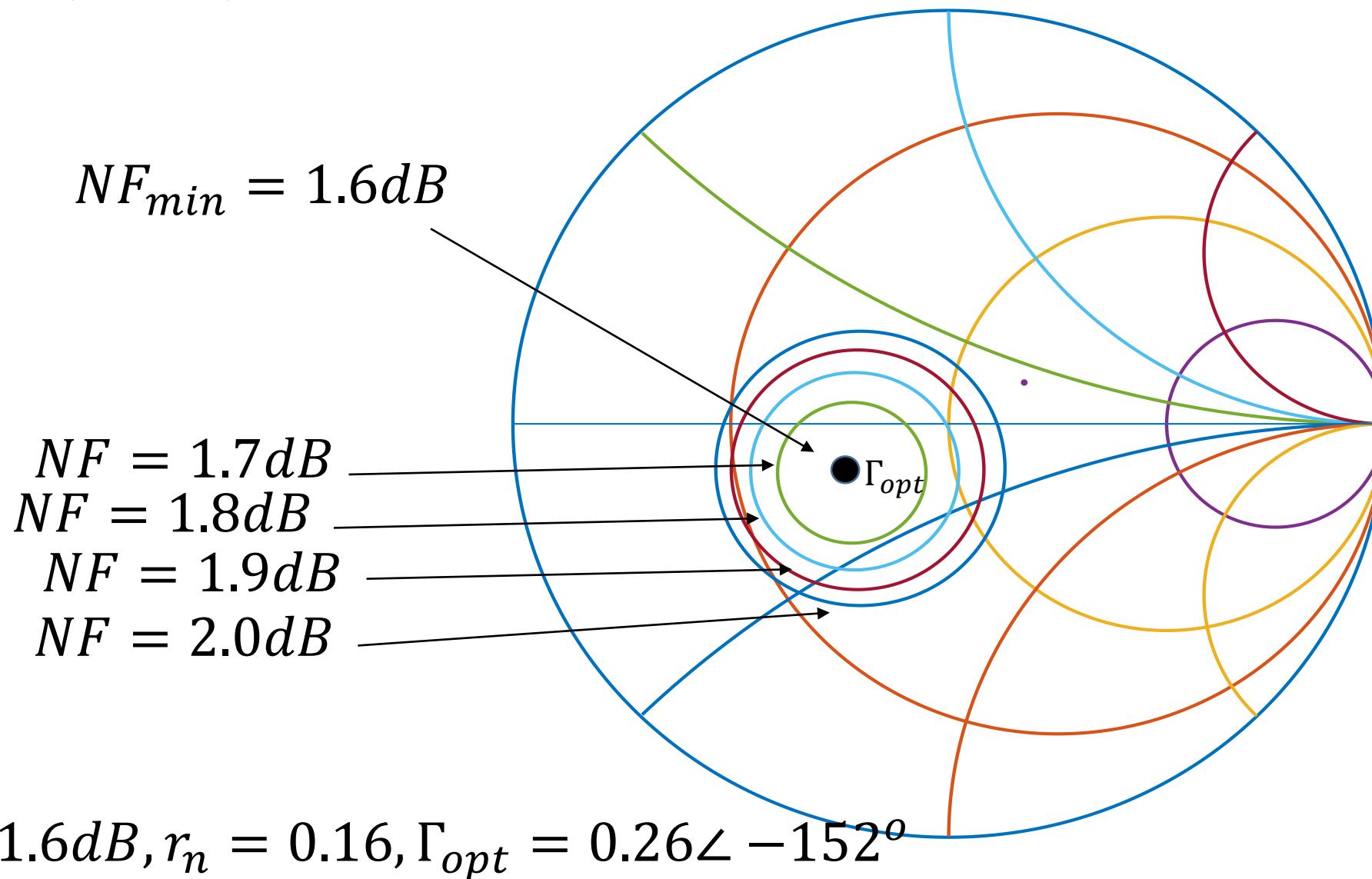
$$|S_{21}|^2 = 12.04dB , M_{S,max} = 4.44dB , M_{L,max} = 0.18dB$$

1. The maximum unilateral gain is therefore  $4.44+12.04+0.18 = 16.66$  dB
2. There is not much loss in the output. In other words, the ouput impedance is close to  $50\Omega$ .
3. We would like to keep the matching losses at the input to a minimum, but also have a good noise figure.

Example: investigate the unilateral gain



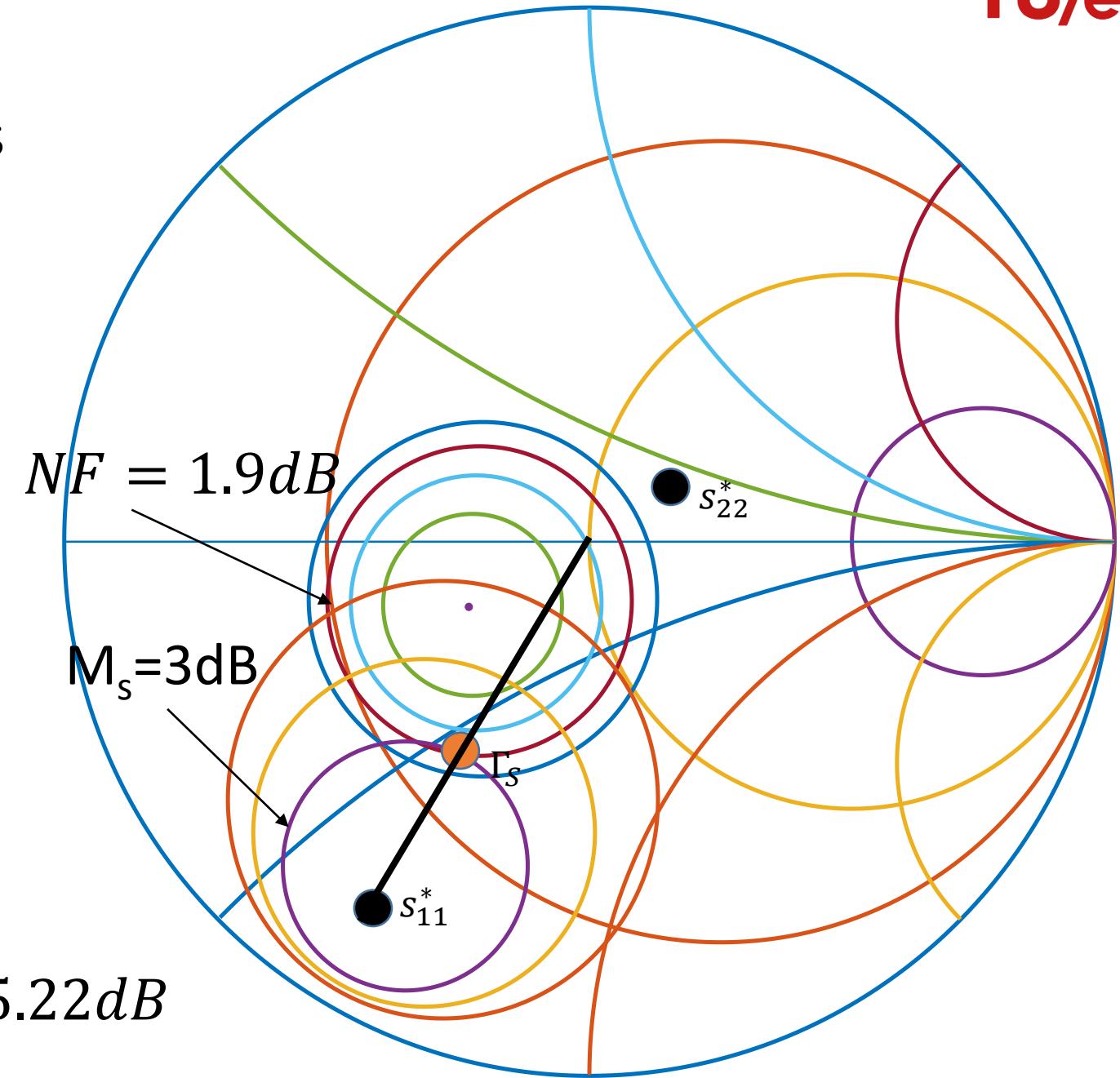
Example: plot the noise circles



# Example: choose $M_s$

Plotting the noise circles and the gain circles in the same Smith chart reveals that  $M_s = 3dB$  is a good trade off between maximizing the gain and minimizing the noise figure.

$$G_{T,u}(S) = 3 + 12.04 + 0.18 = 15.22dB$$

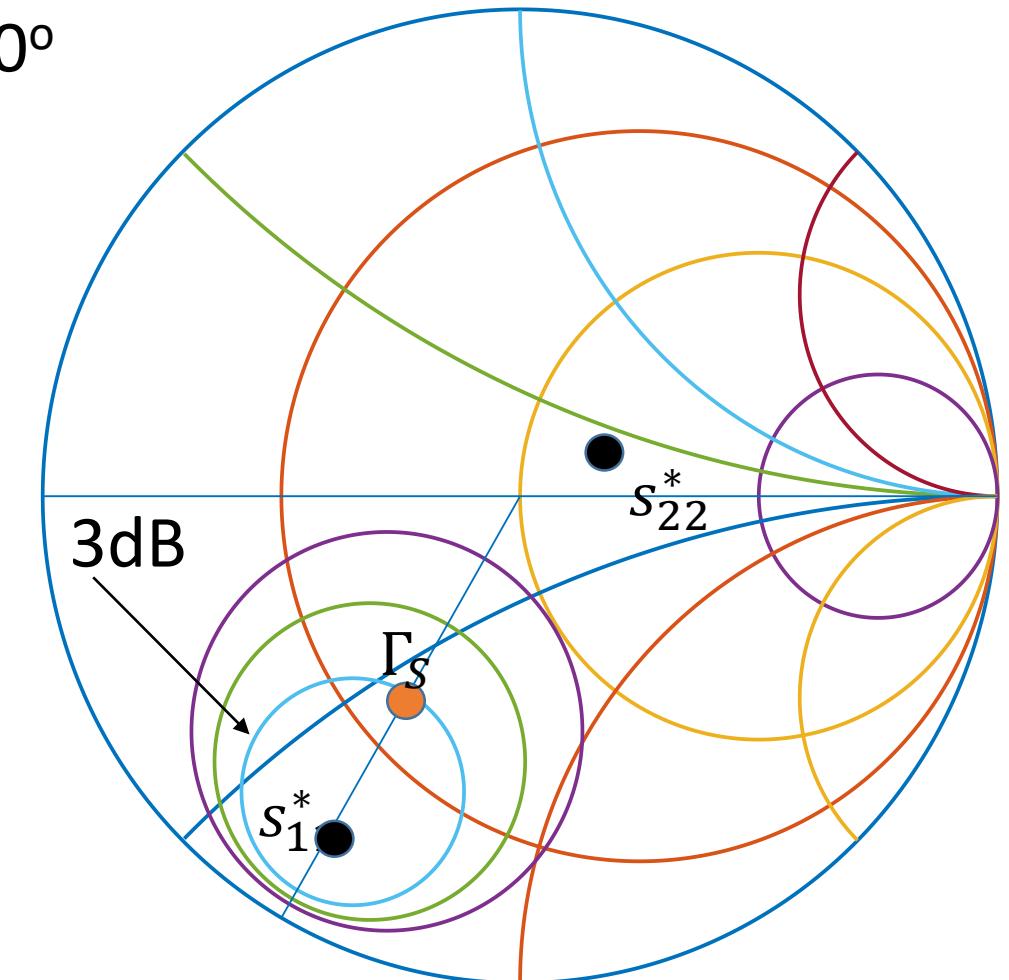
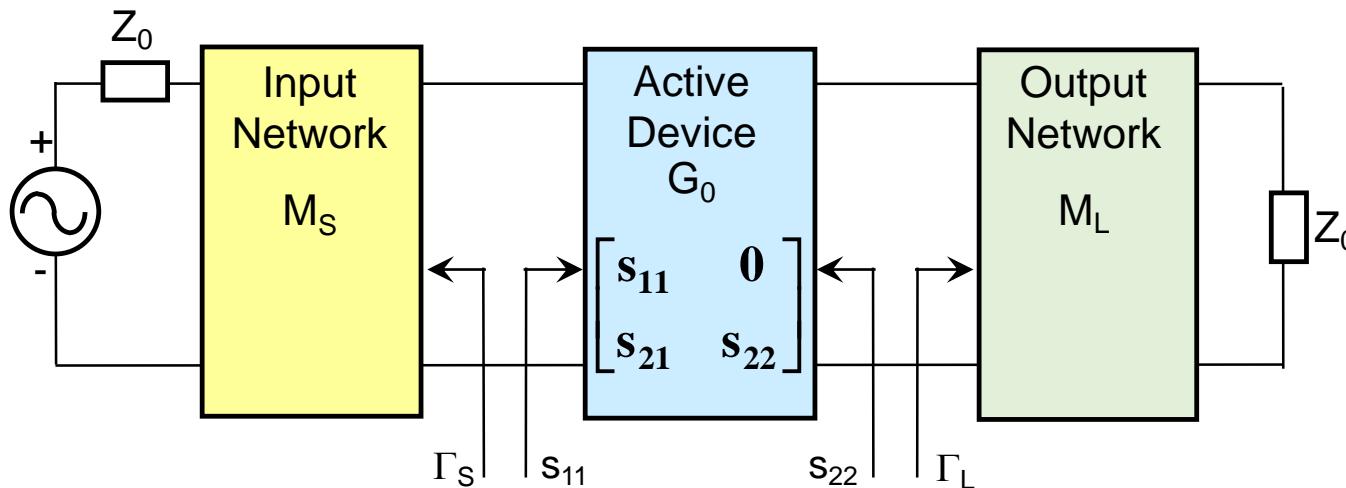


# Example: input matching network

- With  $M_S = 3dB$ , we have  $\Gamma_S = 0.468\angle-120^\circ$
- This leads to the source impedance

$$Z_S = 23.15 - j24 \Omega$$

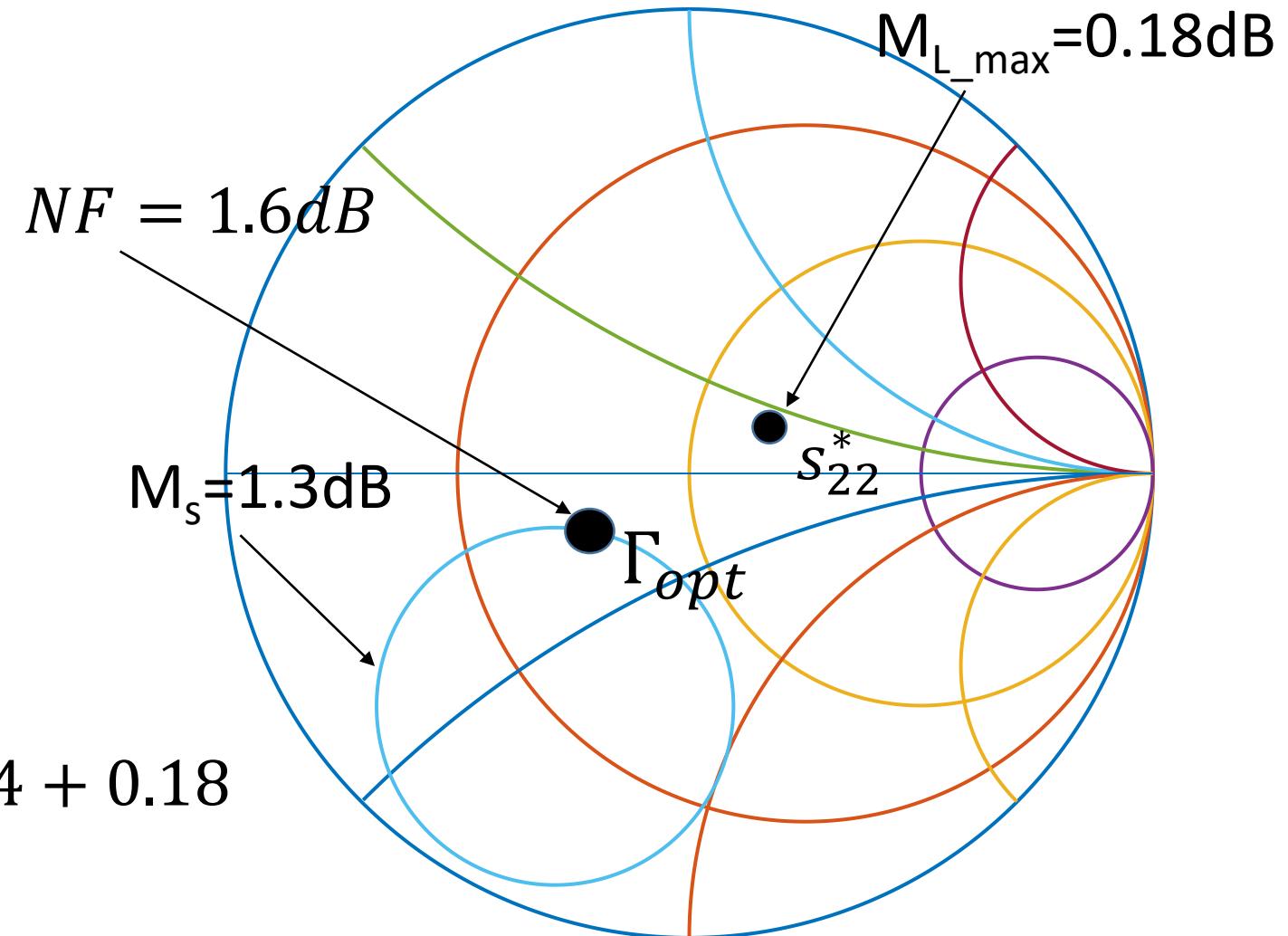
- Which we then need to transform to  $50\Omega$



# What about $G_{av}$ at optimum noise match?

- The  $M_s = 1.3\text{dB}$  intersects with  $\Gamma_{opt}$ .
- For  $G_{av}$  the output is conjugately matched,

$$G_{T,u}(S) = G_{av,opt} = 1.3 + 12.04 + 0.18 = 13.52\text{dB}$$



# Summary

- Discussed available gain circle
- Discussed unilateral constant-gain circles
- Example to optimize between unilateral gain and noise figure