

CA SHIVAM NAG

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PROFESSIONAL SUMMARY

Qualified Chartered Accountant with over 7 years of progressive experience in Internal & Statutory audits, Investment Analysis, Regulatory Compliance, and Risk Management. Proven ability to deliver accurate financial reporting, assess unlisted equity investments, and manage high-stake audits.

Proven ability to perform under pressure, leveraging data driven analysis, clear communication and collaborative leadership. Committed to driving success and delivering measurable value.

CORE COMPETENCIES

- Financial Analysis & Reporting,
- Investment Forecasting,
- Audit & Assurance and Regulatory Compliance
- Direct and Indirect Taxes
- Leadership & Team Management
- Client Relationship Management
- Strategic and Analytical Thinking

PROFESSIONAL EXPERIENCE

Senior Audit Executive & Internal Auditor

(S DAYMA and Co., Mumbai / Sept 2019 - Jan 2024)

- Led internal audits for Diamond Grading Co's, payment banks, Chemical Manufacturing Co's, and Stock Broking firms.
- Led statutory audits of Broking firms, NBFC, Leasing, Telecom, Mining, and Investment Co's.
- Successfully executed SEBI, BSE, and NSE compliance audits for multiple brokerage clients.
- Facilitated licensing processes for PMS and Broking Companies
- Guided junior staff on audit planning, execution, and reporting
- Built positive professional client relationships increasing satisfaction and loyalty.
- Assisted on availment of Statutory registrations and compliance requirement

Articled Assistant

(S DAYMA and Co., Mumbai / Dec 2016 - Sept 2019)

- Assisted in Statutory and Tax Audit for Firms across sectors.
- MIS preparation for financial advisory services
- Worked on Statutory and regulatory compliances
- Assisted on Tax workings, Statutory and Internal Audit working papers
- Played a key role in Income Tax filing and related documentation
- Supported in MIS reporting, reconciliation, and GST/TDS compliance.
- Played a key role in Income Tax filing and audit documentation.

Articled Assistant

(PRASHANT MITTAL & CO LLP., Mumbai | Aug 2016 - Dec 2016)

- Assisted in Concurrent Audits of Listed Banks.
- Assisted in VAT & Service Tax Compliance.

EDUCATION & QUALIFICATION

- ❖ Chartered Accountant - May 2025
(Institute of Chartered Accountants of India - Mumbai)
- ❖ B. Com (Accounting & Finance) - 2016
(Durgadevi Saraf College, Mumbai University)

CERTIFICATIONS

- ❖ Technical analysis in Equity & Equity Derivatives Market
- ❖ Completed ITT & Advanced ITT (ICAI Certified)

TECHNICAL SKILLS

- ❖ Tally ERP & Edit Log | Techexcel | MProfit | Moneyware | BaNCS
- ❖ MS Excel, Word & PowerPoint
- ❖ Hands-on: Income Tax, GST, MCA, SEBI portals

ADDITIONAL INFORMATION

DOB: 18th March 1996 | Marital Status: Unmarried

Languages: English, Hindi, Marathi

Interests: Travel, Dance, Music and Sports