$\mathcal{S}et$ -Bridgeet-Bridge $\mathcal{S}et$ -Bridge6 §2.5 Constant functions

AMS.187  $_{1}$ inverse-Char $_{1}$ 6 §2.7 Isos

AMS.195 inverse-Char<sub>2</sub>7§2.7 Isos

AMS.199

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# Reference Sheet for Elementary Category Theory

#### Categories

A category  $\mathcal C$  consists of a collection of "objects"  $Obj \mathcal C$ , a collection of "morphisms"  $Mor \mathcal C$ , an operation Id associating a morphism  $Id_a: a \to a$  to each object a, a parallel pair of functions src,  $tgt: Mor \mathcal C \to Obj \mathcal C$ , and a "composition" operation  $\_:\_: \forall \{A \ B \ C : Obj\} \to (A \to B) \to (B \to C) \to (A \to C)$  where for objects X and Y we define the  $type \ X \to Y$  as follows

$$f: X \to Y \equiv \operatorname{src} f = X \wedge \operatorname{tgt} f = Y$$
 type-Definition

Moreover composition is required to be associative with Id as identity. Instead of src and tgt we can instead assume primitive a ternary relation  $_{:-}\rightarrow_{-}$  and

Instead of src and tgt we can instead assume primitive a ternary relation  $:: \rightarrow$  and regain the operations precisely when the relation is functional in its last two arguments:

$$f:A\to B\ \land\ f:A'\to B'\implies A=A'\ \land\ B=B'$$
 type-Unique

When this condition is dropped, we obtain a *pre-category*; e.g., the familiar *Sets* is a pre-category that is usually treated as a category by making morphisms contain the information about their source and target: (A, f, B):  $A \rightarrow B$  rather than just f. This is sometimes easier to give, then scale f and scale f.

Here's an equivalence-preserving property that is useful in algebraic calculations,

$$f: A \to B \quad \land \quad q: B \to A \equiv f: q: A \to A \quad \land \quad q: f: B \to B$$
 Composition

Example Categories.

- ♦ Each digraph determines a category: The objects are the nodes and the paths are the morphisms typed with their starting and ending node. Composition is catenation of paths and identity is the empty path.
- $\diamond$  Each preorder determines a category: The objects are the elements and there is a morphism  $a \to b$  named, say, (a, b), precisely when  $a \le b$ .

Even when morphisms are functions, the objects need not be sets: Sometimes the objects are *operations*—with an appropriate definition of typing for the functions. The categories of F-algebras are an example of this.

#### **Functors**

A functor  $F: \mathcal{A} \to \mathcal{B}$  is a pair of mappings, denoted by one name, from the objects, and morphisms, of  $\mathcal{A}$  to those of  $\mathcal{B}$  such that it respects the categorical structure:

$$F f: F A \to_{\mathcal{B}} F B \quad \Leftarrow \quad f: A \to_{\mathcal{A}} B$$
 functor-Type

$$F \operatorname{Id}_A = \operatorname{Id}_{FA}$$
 Functor

$$F(f;g) = Ff : Fg$$
 Functor

The two axioms are equivalent to the single statement that functors distribute over finite compositions, with Id being the empty composition

$$F(f; \dots; q) = Ff; \dots; Fq$$

Use of Functors.

- In the definition of a category, "objects" are "just things" for which no internal structure is observable by categorical means –composition, identities, morphisms, typing.
  - Functors form the tool to deal with "structured" objects
  - Indeed in Set the aspect of a structure is that it has "constituents", and that it is possible to apply a function to all the individual constituents; this is done by  $Ff: FA \to FB$ .
- $\diamond$  For example, let  $I\!\!I A = A \times A$  and  $I\!\!I f = (x,y) \mapsto (f\,x,f\,y)$ . So  $I\!\!I$  is or represents the structure of pairs;  $I\!\!I A$  is the set of pairs of A, and  $I\!\!I f$  is the function that applies f to each constituent of a pair.
  - A binary operation on A is then just a function  $I\!\!I A \to A$ ; in the same sense we obtain F-ary operations.
- $\diamond$  Also, Seq is or represents the structure of sequences; Seq A is the structure of sequences over A, and Seq f is the function that applies f to each constituent of a sequence.
- $\diamond$  Even though F A is still just an object, a thing with no observable internal structure, the functor properties enable to exploit the "structure" of F A by allowing us to "apply" a f to each "constituent" by using F f.

### Composition Category Alg(F)

 $\diamond$  For a functor  $F: \mathcal{A} \to \mathcal{D}$ , this category has F-algebras, F-ary operations in  $\mathcal{D}$  as, objects – i.e., objects are  $\mathcal{D}$ -arrows  $FA \to A$  – and F-homomorphisms as morphisms, and it inherits composition and identities from  $\mathcal{D}$ .

$$f: \oplus \to_F \otimes \quad \equiv \quad \oplus \, ; f = F \, f \, ; \otimes \qquad \qquad \text{defn-Homomorphism}$$

$$\mathsf{Id}: \oplus \to_F \oplus \\ \mathsf{id}\text{-}\mathsf{Homomorphism}$$

$$f\!:\!g:\oplus\to_F\odot\qquad \Leftarrow\qquad f:\oplus\to_F\otimes\,\wedge\,\,g:\otimes\to_F\odot\qquad \text{comp-Homomorhism}$$

Note that category axiom (??) is not fulfilled since a function can be a homomorphism between several distinct operations. However, we pretend it is a category in the way discussed earlier, and so the carrier of an algebra is fully determined by the operation itself, so that the operation itself can be considered the algebra.

comp-Homomorhism renders a semantic property as a syntactic condition!

- $\diamond$  A contravariant functor  $\mathcal{C} \to \mathcal{D}$  is just a functor  $\mathcal{C}^{op} \to \mathcal{D}^{op}$ .
- $\diamond$  A **bifunctor** from  $\mathcal{C}$  to  $\mathcal{D}$  is just a functor  $\mathcal{C}^2 \to \mathcal{D}$ .

### Naturality

A natural transformation is nothing but a structure preserving map between functors. "Structure preservation" makes sense, here, since we've seen already that a functor is, or represents, a structure that objects might have.

As discussed before for the case  $F:\mathcal{C}\to\mathcal{S}et$ , each F A denotes a structured set and F denotes the structure itself.

Example Structures:  $I\!\!I$  is the structure of pairs, Seq is the structure of sequences, Seq Seq the structure of sequences –which is naturally isomorphic to Seq  $I\!\!I$  the structure of sequences of pairs!—, and so on.

A "transformation" from structure F to structure G is a family of functions  $\eta: \forall \{A\} \to F A \to G A$ ; and it is "natural" if each  $\eta_A$  doesn't affect the *constituents* of the structured elements in F A but only reshapes the structure of the elements, from an F-structure to a G-structure.

Reshaping the structure by  $\eta$  commutes with subjecting the constituents to an arbitrary morphism.

$$\eta: F \to G \equiv \forall f \bullet F f; \eta_{\mathsf{tgt} f} = \eta_{\mathsf{src} f}; G f$$
 ntrf-Def

This is 'naturally' remembered: Morphism  $\eta_{\mathsf{tgt}\,f}$  has type  $F(\mathsf{tgt}\,f) \to G(\mathsf{tgt}\,f)$  and therefore appears at the target side of an occurrence of f; similarly  $\eta_{\mathsf{src}\,f}$  occurs at the source side of an f. Moreover since  $\eta$  is a transformation from F to G, functor F occurs at the source side of an  $\eta$  and functor G at the target side.

- $\diamond$  One also says  $\eta_a$  is natural in its parameter a.
- $\diamond$  If we take  $G=\operatorname{\sf Id}$ , then natural transformations  $F\to\operatorname{\sf Id}$  are precisely F-homomorphisms.
- Indeed, a natural transformation is a sort-of homomorphism in that the image of a morphism after reshaping is the same as the reshaping of the image.

#### Example natural transformations

- $\diamond$  rev : Seq  $\rightarrow$  Seq :  $[a_1, \ldots, a_n] \mapsto [a_n, \ldots, a_1]$  reverses its argument thereby reshaping a sequence structure into a sequence structure without affecting the constituents.
- $\diamond$  inits:  $Seq \rightarrow Seq Seq : [a_1, \ldots, a_n] \rightarrow [[], [a_1], \cdots, [a_1, \ldots, a_n]]$  yields all initial parts of its argument thereby reshaping a sequence structure into a sequence of sequences structure, not affecting the constituents of its argument.

$$\begin{array}{lll} J\eta:JF\to JG&\Leftarrow&\eta:F\to G&\text{where }(J\eta)_A\coloneqq J(\eta_A)&\text{ntr-Ftr}\\ \eta K:FK\to GK&\Leftarrow&\eta:F\to G&\text{where }(\eta K)_A\coloneqq \eta_{(K\,A)}&\text{ntr-Poly}\\ \operatorname{Id}_F:F\to F&&\text{where }(\operatorname{Id}_F)_A\coloneqq \operatorname{Id}_{(F\,A)}&\text{ntrf-Id}\\ \epsilon\colon \eta:F\to H&\Leftarrow&\epsilon\colon F\to G&\wedge&\eta\colon G\to H\\ &&\text{where }(\epsilon\colon \eta)_A=\epsilon_A\colon \eta_A&\text{ntrf-Compose} \end{array}$$

Category  $\mathcal{F}unc(\mathcal{C}, \mathcal{D})$  consists of functors  $\mathcal{C} \to \mathcal{D}$  as objects and natural transformations between them as objects. The identity transformation is indeed an identity for transformation composition, which is associative.

**Heuristic** To prove  $\phi = \phi_1 : \cdots : \phi_n : F \to G$  is a natural transformation, it suffices to show that each  $\phi$  is a natural transformation.

- ♦ Theorem (ntrf-Compose) renders proofs of semantic properties to be trivial type checking!
- ♦ E.g., It's trivial to prove tails = rev : inits : Seq rev is a natural transformation by type checking, but to prove the naturality equation by using the naturality equations of rev and inits −no definitions required necessitates more writing, and worse: Actual thought!

### Adjunctions

An adjunction is a particular one-one correspondence between different kinds of morphisms in different categories.

An **adjunction** consists of two functors  $L: \mathcal{A} \to \mathcal{B}$  and  $R: \mathcal{B} \to \mathcal{A}$ , as well as two (not necessarily natural!) transformations  $\eta: \mathsf{Id} \to RL$  and  $\epsilon: LR \to \mathsf{Id}$  such that

Provided 
$$f: A \to_{\mathcal{A}} RB$$
 and  $g: LA \to_{\mathcal{B}} B$   
 $f = \eta_A : Rg \equiv Lf : \epsilon_B = g$  Adjunction

Reading right-to-left: In the equation  $Lf:\epsilon_B=g$  there is a unique solution to the unknown f. Dually for the other direction.

That is, each L-algebra g is uniquely determined –as an L-map followed by an  $\epsilon$ -reduce–by its restriction to the adjunction's unit  $\eta$ .

A famous example is "Free  $\dashv$  Forgetful", e.g. to *define* the list datatype, for which the above becomes: Homomorphisms on lists are uniquely determined, as a map followed by a reduce, by their restriction to the singleton sequences.

We may call f the restriction, or lowering, of g to the "unital case" and write  $f = \lfloor g \rfloor = \eta_A : Rg$ . Also, we may call g the extension, or raising, of f to an L-homomorphism and write  $g = \lceil f \rceil = Lf : \epsilon_B$ . The above equivalence now reads:

$$f = \lfloor g \rfloor \equiv \lceil f \rceil = g$$
 Adjunction-Inverse

$$\lfloor g \rfloor_{A,B} = \eta_A \colon Rg \ : \ A \to_{\mathcal{A}} RB \text{ where } g : LA \to_{\mathcal{B}} B$$
 lad-Type

$$\lceil f \rceil_{A,B} = Lf : \epsilon_B : LA \to_{\mathcal{B}} B$$
 where  $f : A \to_{\mathcal{A}} RB$  rad-Type

Note that  $\lceil$  is like 'r' and the argument to  $\lceil$  must involve the R-ight adjoint in its type; Lad takes morphisms involving the Left adjoint;)

This equivalence expresses that 'lad'  $\sqcup$ , from left adjungate, and 'rad'  $\sqcap$ , from right adjungate, are each other's inverses and constitute a correspondence between certain morphisms. Being a bijective pair, lad and rad are injective, surjective, and undo one another.

We may think of  $\mathcal{B}$  as having all complicated problems so we abstract away some difficulties by raising up to a cleaner, simpler, domain via rad  $\lceil \rceil$ ; we then solve our problem there, then go back *down* to the more complicated concrete issue via  $\lfloor \rfloor$ , lad. (E.g.,  $\mathcal{B}$  is the category of monoids, and  $\mathcal{A}$  is the category of sets; L is the list functor.)

The  $\eta$  and  $\epsilon$  determine each other and they are *natural* transformations.

"zig-zag laws" The unit has a post-inverse while the counit has a pre-inverse:

$$\mathsf{Id} = \eta \colon R\epsilon \qquad \qquad \mathsf{unit\text{-}Inverse}$$

$$\mathsf{Id} = L\eta : \epsilon \qquad \qquad \mathsf{Inverse-counit}$$

The unit and counit can be regained from the adjunction inverses,

$$\eta = \lfloor \mathsf{Id} \rfloor$$
 unit-Def

$$\epsilon = \lceil \mathsf{Id} \rceil$$
 counit-Def

Lad and rad themselves are solutions to the problems of interest, (Adjunction).

$$L[g]$$
;  $\epsilon = g$  lad-Self

$$\eta: R[f] = f$$
 rad-Self

The following laws assert a kind of monoic-ness for  $\epsilon$  and a kind of epic-ness for  $\eta$ . Pragmatically they allow us to prove an equality by shifting to a possibly easier equality obligation.

$$\eta: Rg = \eta: Rg' \equiv g = g'$$
 lad-Unique

$$Lf: \epsilon = Lf': \epsilon \equiv f = f'$$
 rad-Unique

Lad and rad are natural transformations in the category  $\mathcal{F}unc(\mathcal{A}^{op} \times \mathcal{B}, \mathcal{S}et)$  realising  $(LX \to Y) \cong (X \to GY)$  where X, Y are the first and second projection functors and  $(-\to -): \mathcal{C}^{op} \times \mathcal{C} \to \mathcal{S}et$  is the hom-functor such that  $(f \to g)h = f:h:g$ . By extensionality in  $\mathcal{S}et$ , their naturality amounts to the laws:

$$|Lx;q;y| = x; |q|; Ry$$
 lad-Fusion

$$[x;f;Ry] = Lx;[f];y$$
 rad-Fusion

Also

- \$\displaystyle \text{Left adjoints preserve colimits such as initial objects and sums.}
- ♦ Right adjoints preserve limits such as terminal objects and products.

### Skolemisation

If a property P holds for precisely one class of isomorphic objects, and for any two objects in the same class there is precisely one isomorphism from one to the other, then we say that the P-object is unique up to unique isomorphism. For example, in Set the one-point set is unique up to a unique isomorphism, but the two-point set is not.

For example, an object A is "initial" iff  $\forall B \bullet \exists_1 f \bullet f : A \to B$ , and such objects are unique up to unique isomorphism –prove it! The formulation of the definition is clear but it's not very well suited for algebraic manipulation.

A convenient formulation is obtained by 'skolemisation': An assertion of the form

$$\forall x \bullet \exists_1 y \bullet R x y$$

is equivalent to: There's a function  $\mathcal{F}$  such that

$$\forall x, y \bullet R x y \equiv y = \mathcal{F} x$$

In the former formulation it is the existential quantification " $\exists y$ " inside the scope of a universal one that hinders effective calculation. In the latter formulation the existence claim is brought to a more global level: A reasoning need no longer be interrupted by the declaration and naming of the existence of a unique y that depends on x; it can be denoted just  $\mathcal{F}x$ . As usual, the final universal quantification can be omitted, thus simplifying the formulation once more.

In view of the important role of the various y's, these y's deserve a particular notation that triggers the reader of their particular properties. We employ bracket notation such as (|x|) for such  $\mathcal{F}x$ : An advantage of the bracket notation is that no extra parentheses are needed for composite arguments x, which we expect to occur often.

The formula *characterising*  $\mathcal{F}$  may be called ' $\mathcal{F}$ -Char' and it immediately give us some results by truthifying each side, namely 'Self' and 'Id'. A bit more on the naming:

Type Possibly non-syntactic constraint on notation being well-formed

Self It, itself, is a solution

Id How ld can be expressed using it
Uniq It's problem has a unique solution
Fusion How it behaves wrt composition

Composition How two instances, in full subcategories, compose

Note that the last 3 indicate how the concept interacts with the categorical structure: =, :, Id. Also note that Self says there's at least one solution and Uniq says there is at most one solution, so together they are equivalent to  $\mathcal{F}$ -Char –however those two proofs are usually not easier nor more elegant than a proof of  $\mathcal{F}$ -Char directly.

### Initiality

An object 0 is initial if there's a mapping (-), from objects to morphisms, such that initial-Char holds; from which we obtain a host of useful corollaries. Alternative notations for (B) are B, or  $(0 \rightarrow B)$  to make the dependency on 0 explicit.

$$f: 0 \to B \equiv f = (|B|)$$
 initial-Char

$$(B): 0 \to B$$
 initial-Self

$$id_0 = \{0\}$$
 initial-Id

$$f, q: 0 \to B \implies f = q$$
 initial-Uniq

$$f: B \to C \Rightarrow (B): f = (C)$$
 initial-Fusion

Provided objects B, C are both in A and B, which are full subcategories of some category C:  $(|A \to B|)_A : (|B \to C|)_B = (|A \to C|)_A$  initial-Compose

initial-Type

Provided  $\mathcal{D}$  is built on top of  $\mathcal{C}$ :  $\mathcal{D}$ -objects are composite entities in  $\mathcal{C}$   $\mathcal{B}$  is an object in  $\mathcal{D}$   $\Rightarrow$  ( $|\mathcal{B}|$ ) is a morphism in  $\mathcal{C}$ 

These laws become much more interesting when the category is built upon another one and the typing is expressed as one or more equations in the underlying category. In particular the importance of fusion laws cannot be over-emphasised; it is proven by a strengthening step of the form  $(|B|): f: 0 \to C \iff (|B|): 0 \to B \land f: B \to C$ .

For example, it can be seen that the datatype of sequences is 'the' initial object in a suitable category, and the mediator (-) captures "definitions by induction on the structure"! Hence induction arguments can be replaced by initiality arguments! Woah!

**Proving Initiality** One may prove that an object 0 is initial by providing a definition for (-) and establishing initial-Char. Almost every such proof has the following format, or a circular implication thereof: For arbitrary f and B,

$$\equiv \begin{array}{l} f:A\to B \\ \equiv \vdots \\ f=\text{``an expression not involving }f" \\ \equiv \quad \{ \ define \ (\mid B\mid) \ \text{to be the rhs of the previous equation } \} \\ f=(\mid B\mid) \end{array}$$

### Colimits

Each colimit is a certain initial object, and each initial object is a certain colimit.

- $\diamond$  A diagram in  $\mathcal{C}$  is a functor  $D: \mathcal{D} \to \mathcal{C}$ .
- $\diamond$  Define the constant functor  $\underline{C} x = C$  for objects x and  $\underline{C} f = \mathsf{Id}_C$  for morphisms f. For functions  $g: A \to B$ , we define the natural transformation  $\underline{g} = x \mapsto g: \underline{A} \to \underline{B}$ .
- ♦ The category  $\bigvee D$ , built upon  $\mathcal{C}$ , has objects  $\gamma: D \to \underline{C}$  called "co-cones", for some object  $C =: \mathsf{tgt}\,\gamma$ , and a morphism from  $\gamma$  to  $\delta$  is a  $\overline{\mathcal{C}}$ -morphism x such that  $\gamma: \underline{x} = \delta$ .
- $\diamond$  A colimit for D is an initial object in  $\bigvee D$ ; which may or may not exist.

Writing  $\gamma \setminus -$  for (-) and working out the definition of co-cone in terms of equations in C, we obtain:  $\gamma : Obj(\bigvee D)$  is a colimit for D if there is a mapping  $\gamma \setminus -$  such that  $\setminus -$ Type and  $\setminus -$ Char hold.

$$\delta$$
 cocone for  $D \Rightarrow \gamma \setminus \delta : \operatorname{tgt} \gamma \to \operatorname{tgt} \delta$  \-Type

Well-formedness convention: In each law the variables are quantified in such a way that the premise of \-Type is met. The notation  $\cdots \setminus \delta$  is only senseful if  $\delta$  is a co-cone for D, like in arithmetic where the notation m/n is only sensful if n differs from 0.

$$\gamma : \underline{x} = \delta \qquad \equiv \qquad x = \gamma \backslash \delta$$
 \-Char

Notice that for given  $x:C\to C'$  the equation  $\gamma\backslash\delta=x$  defines  $\delta$ , since by \-Char that one equation equivales the family of equations  $\delta_A=\gamma_A:x$ . This allows us to define a natural transformation –or 'eithers' in the case of sums– using a single function having the type of the mediating arrow.

$$\begin{array}{l} \gamma;\underline{\gamma\backslash\delta}=\delta & \text{$\backslash$-Self} \\ \gamma\backslash\gamma=\operatorname{Id} & \text{$\backslash$-Id} \\ \gamma\backslash\delta:x=\gamma\backslash(\delta:\underline{x}) & \text{$\backslash$-Fusion} \end{array}$$

 $\gamma: \underline{x} = \gamma: \underline{y} \implies x = y$  \\-Unique

This expresses that colimits  $\gamma$  have an epic-like property:

The component morphisms  $\gamma_A$  are jointly epic.

The following law confirms the choice of notation once more.

$$\gamma \setminus \delta : \delta \setminus \epsilon = \gamma \setminus \epsilon$$
 \-Compose  $p \setminus q : x = p \setminus (q : x)$ 

The next law tells us that functors distribute over the  $\backslash$ -notation provided the implicit well-formedness condition that  $F\gamma$  is a colimit holds –clearly this condition is valid when F preserves colimits.

### Limits

 $\delta$  cone for D

Dually, the category  $\bigwedge D$  has objects being "cones"  $\gamma:\underline{C}\to D$  where  $C=:\operatorname{src}\gamma$  is a  $\mathcal C$ -object, and a morphism to  $\gamma$  from  $\delta$  is a  $\mathcal C$ -morphism x such that  $\underline{x}:\gamma=\delta$ . In terms of  $\mathcal C$ ,  $\gamma:Obj(\bigwedge D)$  is a limit for D if there is a mapping  $\gamma/-$  such that the following /-Type and /-Char hold, from which we obtain a host of corollaries. As usual, there is the implicit well-formedness condition. /-Unique expresses that limits  $\gamma$  have an monic-like property: The component morphisms  $\gamma_A$  are jointly monic.

/-Type

 $\delta/\gamma : \operatorname{src} \delta \to \operatorname{src} \gamma$ 

$$\underline{x} : \gamma = \delta \qquad \equiv \qquad x = \delta/\gamma \qquad \qquad /\text{-Char}$$
 
$$\underline{\delta/\gamma} : \gamma = \delta \qquad \qquad /\text{-Self}$$
 
$$\gamma/\gamma = \operatorname{Id} \qquad \qquad /\text{-Id}$$
 
$$x : \delta/\gamma = (\underline{x} : \delta)/\gamma \qquad \qquad /\text{-Fusion}$$
 
$$\underline{x} : \gamma = \underline{y} : \gamma \qquad \Rightarrow \qquad x = y \qquad \qquad /\text{-Unique}$$
 
$$F(\delta/\gamma) = F\delta/F\gamma \qquad \qquad /\text{-Functor-Dist}$$
 
$$\delta F/\gamma F = \delta/\gamma \qquad \qquad /\text{-Pre-Functor-Elim}$$

## Coequaliser

Take D and  $\mathcal{D}$  as suggested by  $D\mathcal{D} = \begin{pmatrix} A \\ \bullet \Rightarrow_g^f \end{pmatrix}$ ; where  $f,g:A \to B$  are given. Then a cocone  $\delta$  for D is a two-member family  $\delta = (q',q)$  with  $q':A \to C, q:B \to C, C = \mathsf{tgt}\,\delta$  and  $Ch:\delta_A = \delta_B:Dh$ ; in-particular q'=q:f=g:q whence q' is fully-determined by q alone.

Let  $\gamma = (p', p) : Obj(\bigvee D)$  be a colimit for D and write  $p \setminus -$  in-place of  $\gamma \setminus -$ , then the  $\backslash$ -laws yield: p is a coequaliser of (f, g) if there is a mapping  $p \setminus -$  such that CoEq-Type and CoEq-Char hold.

$$q\!:\!f=q\!:\!g\qquad\Rightarrow\qquad p\backslash q:\operatorname{tgt} p\to\operatorname{tgt} q\qquad \qquad \operatorname{CoEq-Type}$$

Well-formedness convention: In each law the variables are quantified in such a way that the premise of CoEq-Type is met. The notation  $\cdots \setminus q$  is only senseful if q: f = q: g, like in arithmetic where the notation m/n is only sensful if n differs from 0.

$$p\colon x=q \equiv x=p\backslash q$$
 CoEq-Char 
$$p;p\backslash q=q$$
 CoEq-Self 
$$p\backslash p=\operatorname{Id}$$
 CoEq-Id

$$p \setminus q : x = p \setminus (q : x)$$
 CoEq-Fusion  
 $p : x = p : y \Rightarrow x = y$  CoEq-Unique

$$p \setminus q : q \setminus r = p \setminus r$$
 CoEq-Compose

### Sums

Take D and  $\mathcal{D}$  as suggested by  $D\mathcal{D} = \begin{pmatrix} A & B \\ \bullet & \bullet \end{pmatrix}$ . Then a cocone  $\delta$  for D is a two-member family  $\delta = (f, g)$  with  $f: A \to C$  and  $g: B \to C$ , where  $C = \operatorname{tgt} \delta$ .

Let  $\gamma = (\mathsf{inl}, \mathsf{inr})$  be a colimit for D, let  $A + B = \mathsf{tgt}\,\gamma$ , and write [f,g] in-place of  $\gamma \setminus (f,g)$ , then the \-laws yield:  $(\mathsf{inl}, \mathsf{inr}, A + B)$  form a sum of A and B if there is a mapping [-,-] such that []-Type and []-Char hold.

$$\begin{array}{lll} f:A\to C & \wedge & g:B\to C & \Rightarrow & [f,g]:A+B\to C & & \text{[]-Type inl}:x=f & \wedge & \inf:x=g & \equiv & x=[f,g] & & \text{[]-Char inl}:[f,g]=f & \wedge & \inf:[f,g]=g & & \text{[]-Cancellation; []-Self inl}:x=\inf:y & \wedge & \inf:x=\inf:y & \Rightarrow & x=y & & \text{[]-Unique } \\ [f,g]:x=[f:x,g:x] & & \text{[]-Fusion} \end{array}$$

The implicit well-formedness condition in the next law is that  $(F \operatorname{inl}, F \operatorname{inr}, F(A+B))$  form a sum of FA and FB.

$$F[f,q]_{\mathcal{C}} = [Ff,Fq]_{\mathcal{D}}$$
 where  $F:\mathcal{C}\to\mathcal{D}$  []-Functor-Dist

### Duality: Sums & Products

In category theory there are two popular notations for composition,  $f:g=g\circ f$ , and there are two arrow notations  $A\to B=B\leftarrow A$ , known as the "forwards" and "backwards" notations.

Some people prefer one notation and stick with it; however having both in-hand allows us to say: The *dual* of a categorical statement formed with  $:, \to$  is obtained by syntactically replacing these two with  $\circ, \leftarrow$  respectively while leaving variables and Id's alone.

For example, applying this process to sums yields *products*:

$$h = \langle f,g \rangle$$

$$= \left\{ \begin{array}{l} \text{We define products as dual to sums} \right. \}$$

$$h = \text{dual}[f,g]$$

$$= \left\{ \begin{array}{l} \text{dual operation definition} \right. \}$$

$$\text{dual } (h = [f,g])$$

$$= \left\{ \begin{array}{l} []\text{-Char and Leibniz} \right. \}$$

$$\text{dual } (\text{inl}:h = f \quad \land \quad \text{inr}:h = g)$$

$$= \left\{ \begin{array}{l} \text{dual operation definition} \right. \}$$

$$\text{dual } (\text{inl}:h) = f \quad \land \quad \text{dual } (\text{inr}:h) = g)$$

$$= \left\{ \begin{array}{l} \text{dual operation definition} \right. \}$$

$$\text{dual inl} \circ h = f \quad \land \quad \text{dual inr} \circ h = g$$

$$= \left\{ \begin{array}{l} \text{Define: fst = dual inl, snd = dual inr} \right. \}$$

$$\text{fst} \circ h = f \quad \land \quad \text{snd} \circ h = g$$

$$= \left\{ \begin{array}{l} \text{Switch back to :-notation} \right. \}$$

$$h: \text{fst} = f \quad \land \quad h: \text{snd} = g$$

(fst, snd,  $A \times B$ ) form a product of A and B if there is an operation  $\langle -, - \rangle$  satisfying the Char and Type laws below; from which we obtain a host of corollaries.

## Reference

A Gentle Introduction to Category Theory — the calculational approach by Maarten Fokkinga

An excellent introduction to category theory with examples motivated from programming, in-particular working with sequences. All steps are shown in a calculational style —which Fokkinga has made available for use with LATEX—thereby making it suitable for self-study.

Clear, concise, and an illuminating read.

Now notes from Program Design by Calculation of J. Oliveira.

### §2, upto §2.3, An Introduction to Pointfree Programming

The main emphasis is on *compositionality*, one of the main advantages of "thinking functionally", explaining how to construct new functions out of other functions using a minimal set of predefined functional combinators. This leads to a style which is *pointfree* in the sense that function descriptions dispense with variables—also known as *points*.

Why do people look for compact notations? A compact notation leads to shorter documents, less lines of code in programming, in which patterns are easier to identify and reason about. Properties can be stated in clear-cut, one-line long equations which are easy to memorise.

The notation " $f:A\to B$ " focuses on what is relevant about f and can it be regard as a kind of contract:

f commits itself to producing a B-value provided it is supplied with an A-value

Types provide the "glue", or interface, for putting functions together to obtain more complex functions.

What do we want functions for? One wants functions for modelling and reasoning about the behaviour of real things.

In order to switch between the pointwise and pointfree settings we need two "bridges": One lifting equality to the function level and the other lifting function application. Two functions  $f, g: A \to B$  are the same function iff they agree at the pointwise level:

$$f = g \equiv (\forall a : A \bullet f \ a = g \ a)$$
 Extensionality

Function application is replaced by the more generic concept of functional *composition* suggested by function-arrow chaining: Whenever we have two functions such that the target type of one of them, say  $g: B \leftarrow A$  is the same as the source type of the other, say  $f: C \leftarrow B$  then "f after g", their *composite function*,  $f \circ g: C \leftarrow A$  can be defined. It "glues" f and g together, "sequentially":

$$C \xleftarrow{f} B \xleftarrow{g} A \implies C \xleftarrow{f \circ g} A$$
 composition-Type

#### §2.5 Constant functions

Opposite to the identity functions which do not lose any information, we find functions which lose all, or almost all, information. Regardless of their input, the output of these functions is always the same value.

Let C be a nonempty data domain and let c:C. Then we define the *everywhere* c function as follows, for arbitrary A:

$$\underline{c} a = c$$
 constant-Defn

The following property defines constant functions at the pointfree level:

Constant functions force any difference in behaviour for any two functions to disappear:

$$\underline{c} \circ f = \underline{c} \circ g$$
 constant-Equality

In Set, we have that composition and application are bridged explicitly by the constant functions:

$$f \circ \underline{c} = f c$$
 Set-Bridge

### §2.6 Monics and Epics

Identity functions and constant functions are limit points of the functional spectrum with respect to information preservation. All the other functions are in-between: They "lose" some information, which is regarded as uninteresting for some reason.

How do functions lose information? Basically in two ways: They may be "blind" enough to confuse different inputs, by mapping them to the same output, or they may ignore values of their target. For instance,  $\underline{c}$  confuses all inputs by mapping them all onto c. Moreover, it ignores all values of its target apart from c.

Functions which do not confuse their inputs are called *monics*: They are "post-cancellable":

$$f \text{ monic} \equiv (\forall h, k \bullet f \circ h = f \circ k \equiv h = k)$$
 monic-Defin

Functions which do not ignore values of their target are called *epics*: They are "precancellable":

$$f$$
 epic  $\equiv$   $(\forall h, k \bullet h \circ f = k \circ f \equiv h = k)$  epic-Defin

Intuitively, h = k on all points of their source precisely when they are equal on all image points of f, since f being epic means it outputs all values of their source.

It is easy to check that "the" identity function is monic and epic, while any constant function c is not monic and is only epic when its target consists only of c.

#### §2.7 Isos

A function is an *iso* iff it is invertible: There is a function  $f^{\sim}$  called its "inverse" or "converse" such that for any functions x, y

$$f \circ x = y \equiv x = f \circ y$$
 inverse-Char<sub>1</sub>

This immediately yields,

$$f \circ f = \mathsf{Id} \wedge f \circ f = \mathsf{Id}$$

inverse-Cancellation

From which we regain the other 'shunting rule'

$$x \circ f = y \equiv x = y \circ f$$

inverse-Char2

If, for some reason, f is found handier than isomorphism f in reasoning, then the shunting rules can be of help.

Consequently, Isos are necessarily monic and epic, but in general the other way around is not true.

Isomorphisms are very important because they convert data from one "format", say A, to another format, say B, without losing information. So f and f are faithful protocols between the two formats A and B. Of course, these formats contain the same "amount" of information although the same data adopts a "different" shape in each of them. —c.f. Example Structures.

Isomorphic data domains are regarded as "abstractly" the same; then one write  $A \cong B$ . Finally, note that all classes of functions referred to so far —identities, constants, epics, monics, and isos— are closed under composition.

### FIX

- 1. §2.4, last line: Should be 'natural' instead of 'natural'.
- 2. §2.5, exercise 2.1: Maybe place this at the end of §2.4 since it's a problem on identity functions, rather than at the end of §2.5 which is on constant functions.
- 3. §2.6, end: It's easy to see how monics generalise injective functions but it's not as easy for the surjective-epic case. I've conjured up the following explanation, which might be helpful to include.

$$f \ \mathsf{epic} \qquad \equiv \qquad (\forall h, k \ \bullet \ h \circ f = k \circ f \quad \equiv \quad h = k) \qquad \ \mathsf{epic\text{-}Defn}$$

Intuitively, h=k on all points of their source precisely when they are equal on all image points of f, since f being epic means it outputs all values of their source.

4. §2.7, before equation 2.18: I think you meant *pointfree* not *pointwise* as clearly 2.18 involves no points.