Advanced Sorting Algorithms (Merge Sort)

Data Structures CS218

Overview

- A quick review to the time complexity of elementary sorting techniques
- Merge sort
 - A recursive divide and conquer algorithm
 - Merging two lists
 - Implementation details

Properties of Sorting Algorithms

| Algorithm | Adaptive | Stable | In-place |
|----------------|----------|--------|----------|
| Bubble Sort | No | Yes | Yes |
| Selection Sort | No | No | Yes |
| Insertion Sort | Yes | Yes | Yes |
| Shell Sort | Yes | No | Yes |

Time complexity

| | Best | Average | Worst |
|----------------|------------|------------|------------|
| Bubble Sort | O(n) | O(n^2) | O(n^2) |
| Selection Sort | O(n^2) | O(n^2) | O(n^2) |
| Insertion Sort | O(n) | O(n^2) | O(n^2) |
| Merge Sort | O(nlog(n)) | O(nlog(n)) | O(nlog(n)) |

Merge Sort

The merge sort algorithm is defined recursively:

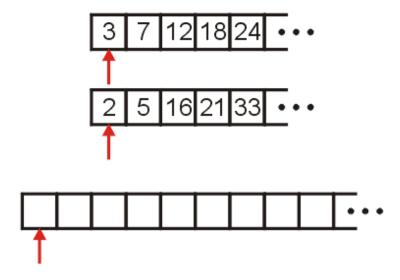
- If the list is of size 1, it is sorted—we are done;
- Otherwise:
 - Divide an unsorted list into two sub-lists,
 - Sort each sub-list recursively using merge sort, and
 - Merge the two sorted sub-lists into a single sorted list

This is the first significant *divide-and-conquer* algorithm we will see

Question: How quickly can we recombine the two sub-lists into a single sorted list?

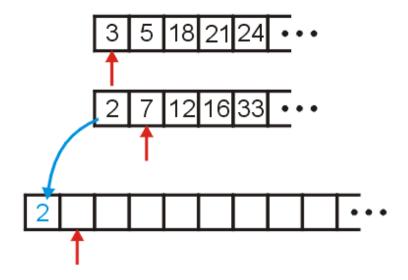
Consider the two sorted arrays and an empty array

Define three indices at the start of each array

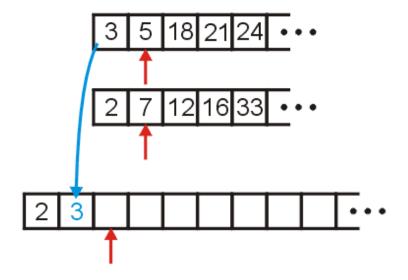


We compare 2 and 3: 2 < 3

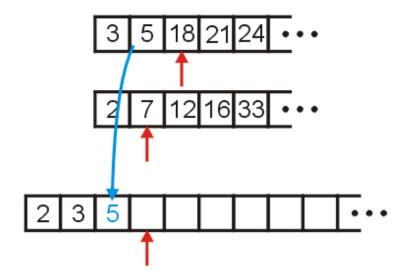
- Copy 2 down
- Increment the corresponding indices



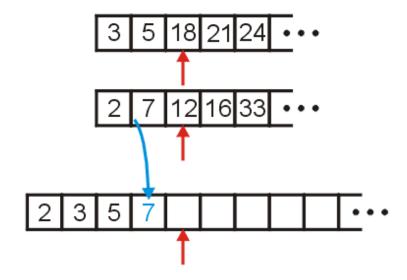
- Copy 3 down
- Increment the corresponding indices



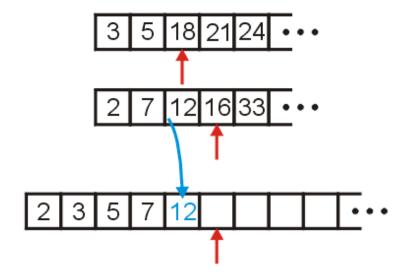
- Copy 5 down
- Increment the appropriate indices



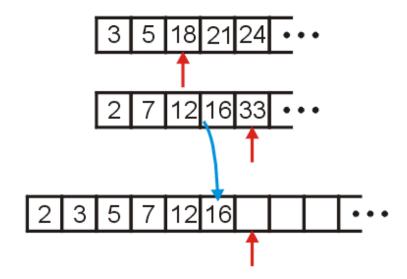
- Copy 7 down
- Increment...



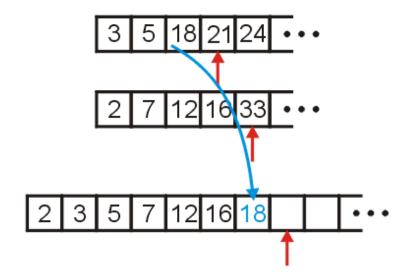
- Copy 12 down
- Increment...



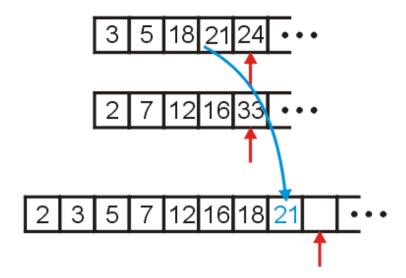
- Copy 16 down
- Increment...



- Copy 18 down
- Increment...

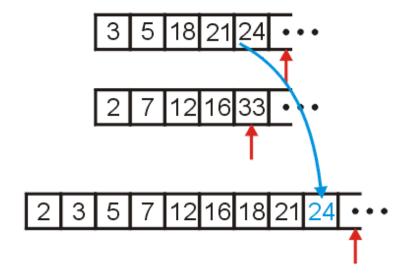


- Copy 21 down
- Increment...



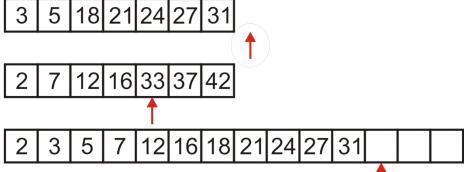
We compare 24 and 33

- Copy 24 down
- Increment...

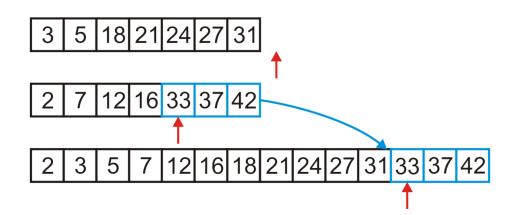


We would continue until we have passed beyond the limit of one of

the two arrays



After this, we simply copy over all remaining entries in the nonempty array



Merging Two Lists

Programming a merge is straight-forward:

- the sorted arrays, array1 and array2, are of size n1 and n2, respectively, and
- we have an empty array, arrayout, of size n1 + n2

Define three variables

```
int i1 = 0, i2 = 0, k = 0;
```

which index into these three arrays

Merging Two Lists

We can then run the following loop:

```
int i1 = 0, i2 = 0, k = 0;
while ( i1 < n1 \&\& i2 < n2 ) {
    if ( array1[i1] < array2[i2] ) {</pre>
        arrayout[k] = array1[i1];
        ++i1;
    } else {
        arrayout[k] = array2[i2];
        ++i2;
    ++k;
```

Merging Two Lists

We're not finished yet, we have to empty out the remaining array

```
for (; i1 < n1; ++i1, ++k) {
    arrayout[k] = array1[i1];
}

for (; i2 < n2; ++i2, ++k) {
    arrayout[k] = array2[i2];
}</pre>
```

The Algorithm

The algorithm:

- Split the list into two approximately equal sub-lists
- Recursively call merge sort on both sub lists
- Merge the resulting sorted lists

Implementation

The actual body is quite small:

```
void merge_sort( Type *array, int first, int last ) {
   if ( last - first <= N ) {
      insertion_sort( array, first, last );
   } else {
      int midpoint = (first + last)/2;

      merge_sort( array, first, midpoint );
      merge_sort( array, midpoint, last );
      merge( array, first, midpoint, last );
   }
}</pre>
```

Consider the following is of unsorted array of 25 entries

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 13 | 77 | 49 | 35 | 61 | 48 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We will call insertion sort if the list being sorted of size N=6 or less

We call merge_sort(array, 0, 25)

```
    13
    77
    49
    35
    61
    48
    73
    23
    95
    3
    89
    37
    57
    99
    17
    32
    94
    28
    15
    55
    7
    51
    88
    97
    62
```

We are calling merge_sort(array, 0, 25)

```
    13
    77
    49
    35
    61
    48
    73
    23
    95
    3
    89
    37
    57
    99
    17
    32
    94
    28
    15
    55
    7
    51
    88
    97
    62
```

```
First, 25 – 0 > 6, so find the midpoint and call merge_sort recursively
midpoint = (0 + 25)/2; // == 12
merge_sort( array, 0, 12 );
```

We are now executing merge_sort(array, 0, 12)

```
    13
    77
    49
    35
    61
    48
    73
    23
    95
    3
    89
    37
    57
    99
    17
    32
    94
    28
    15
    55
    7
    51
    88
    97
    62
```

```
First, 12-0>6, so find the midpoint and call merge_sort recursively midpoint = (0 + 12)/2; // == 6
```

```
midpoint = (0 + 12)/2; // == merge_sort( array, 0, 6 );
```

```
merge_sort( array, 0, 12 )
merge sort( array, 0, 25 )
```

We are now executing merge_sort(array, 0, 6)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 13 | 77 | 49 | 35 | 61 | 48 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

Now, $6-0 \le 6$, so find we call insertion sort

```
merge_sort( array, 0, 6 )
merge_sort( array, 0, 12 )
merge sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 0 to 5

| | 1 | _ | | | | _ | _ | _ | | _ | _ | _ | | _ | _ | | _ | _ | _ | | _ | _ | _ | |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|
| 13 | 77 | 49 | 35 | 61 | 48 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
insertion_sort( array, 0, 6 )
merge_sort( array, 0, 6 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 0 to 5

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

This function call completes and so we exit

```
insertion_sort( array, 0, 6 )
merge_sort( array, 0, 6 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

This call to merge_sort is now also finished, so it, too, exits

| | | 2 | | | | | | | | | | | | | | | | | | | | | | |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
merge_sort( array, 0, 6 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 0, 12)

| | 1 | _ | | | | _ | _ | _ | _ | | | _ | | _ | _ | | | _ | _ | | _ | _ | _ | |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We continue calling

```
midpoint = (0 + 12)/2; // == 6
merge_sort( array, 0, 6 );
merge_sort( array, 6, 12 );
```

```
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We are now executing merge_sort(array, 6, 12)

| 0 | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 1 | 3 | 35 | 48 | 49 | 61 | 77 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

Now, $12-6 \le 6$, so find we call insertion sort

```
merge_sort( array, 6, 12 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 6 to 11

| | 1 | _ | | | _ | _ | | _ | | _ | _ | _ | | _ | _ | | | _ | _ | | _ | _ | _ | |
|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 73 | 23 | 95 | 3 | 89 | 37 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
insertion_sort( array, 6, 12 )
merge_sort( array, 6, 12 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 6 to 11

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 3 | 23 | 37 | 73 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

This function call completes and so we exit

```
insertion_sort( array, 6, 12 )
merge_sort( array, 6, 12 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

This call to merge_sort is now also finished, so it, too, exits

| | 1 | | | | _ | _ | _ | _ | | _ | _ | _ | _ | | _ | _ | | _ | _ | _ | | _ | _ | |
|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 3 | 23 | 37 | 73 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
merge_sort( array, 6, 12 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 0, 12)

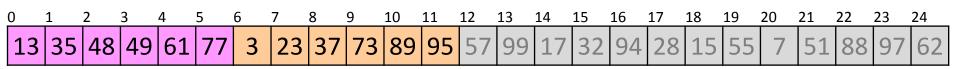
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|----|----|----|----|----|----|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 13 | 35 | 48 | 49 | 61 | 77 | 3 | 23 | 37 | 73 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We continue calling

```
midpoint = (0 + 12)/2; // == 6
merge_sort( array, 0, 6 );
merge_sort( array, 6, 12 );
merge( array, 0, 6, 12 );
```

```
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We are executing merge (array, 0, 6, 12)



These two sub-arrays are merged together

```
merge( array, 0, 6, 12 )
merge_sort( array, 0, 12 )
merge sort( array, 0, 25 )
```

We are executing merge (array, 0, 6, 12)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

These two sub-arrays are merged together

This function call exists

```
merge( array, 0, 6, 12 )
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We return to executing merge_sort(array, 0, 12)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We are finished calling this function as well

```
midpoint = (0 + 12)/2; // == 6
merge_sort( array, 0, 6 );
merge_sort( array, 6, 12 );
merge( array, 0, 6, 12 );
```

Consequently, we exit

```
merge_sort( array, 0, 12 )
merge_sort( array, 0, 25 )
```

We return to executing merge_sort(array, 0, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We continue calling

```
midpoint = (0 + 25)/2; // == 12
merge_sort( array, 0, 12 );
merge_sort( array, 12, 25 );
```

We are now executing merge_sort(array, 12, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

First, 25 - 12 > 6, so find the midpoint and call merge_sort recursively

```
midpoint = (12 + 25)/2; // == 18
merge_sort( array, 12, 18 );
```

```
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We are now executing merge_sort(array, 12, 18)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 57 | 99 | 17 | 32 | 94 | 28 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

Now, $18 - 12 \le 6$, so find we call insertion sort

```
merge_sort( array, 12, 18 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 12 to 17

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| | | | | | | | | | | | | | | | | | | | | | | | | 62 |

```
insertion_sort( array, 12, 18 )
merge_sort( array, 12, 18 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 12 to 17

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

This function call completes and so we exit

```
insertion_sort( array, 12, 18 )
merge_sort( array, 12, 18 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

This call to merge_sort is now also finished, so it, too, exits

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
merge_sort( array, 12, 18 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 12, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

We continue calling

```
midpoint = (12 + 25)/2; // == 18
merge_sort( array, 12, 18 );
merge_sort( array, 18, 25 );
```

```
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We are now executing merge_sort(array, 18, 25)

2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24

13 23 35 37 48 49 61 73 77 89 95 17 28 32 57 94 99 15 55 7 51 88 97 62

```
First, 25 - 18 > 6, so find the midpoint and call merge_sort recursively
```

```
midpoint = (18 + 25)/2; // == 21
merge_sort( array, 18, 21 );
```

```
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We are now executing merge_sort(array, 18, 21)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

Now, $21 - 18 \le 6$, so find we call insertion sort

```
merge_sort( array, 18, 21 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 18 to 20

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 15 | 55 | 7 | 51 | 88 | 97 | 62 |

```
insertion_sort( array, 18, 21 )
merge_sort( array, 18, 21 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 18 to 20

| 0 | 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 88 | 97 | 62 |

This function call completes and so we exit

```
insertion_sort( array, 18, 21 )
merge_sort( array, 18, 21 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

This call to merge_sort is now also finished, so it, too, exits

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| | | | | | | | | | | | | | | | | | | | | | | | | 62 |

```
merge_sort( array, 18, 21 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We return to executing merge_sort(array, 18, 25)

| | 1 | | | | | | | | | | | | | | | | | | | | | | | |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|---|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 88 | 97 | 62 |

We continue calling

```
midpoint = (18 + 25)/2; // == 21
merge_sort( array, 18, 21 );
merge_sort( array, 21, 25 );
```

```
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We are now executing merge_sort(array, 21, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 88 | 97 | 62 |

Now, $25 - 21 \le 6$, so find we call insertion sort

```
merge_sort( array, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 21 to 24

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 1 | 3 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 88 | 97 | 62 |

```
insertion_sort( array, 21, 25 )
merge_sort( array, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

Insertion sort just sorts the entries from 21 to 24

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 62 | 88 | 97 |

This function call completes and so we exit

```
insertion_sort( array, 21, 25 )
merge_sort( array, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

This call to merge_sort is now also finished, so it, too, exits

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 62 | 88 | 97 |

```
merge_sort( array, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 18, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 62 | 88 | 97 |

We continue calling

```
midpoint = (18 + 25)/2; // == 21
merge_sort( array, 18, 21 );
merge_sort( array, 21, 25 );
merge( array, 18, 21, 25 );
```

```
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We are executing merge (array, 18, 21, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 55 | 51 | 62 | 88 | 97 |

These two sub-arrays are merged together

```
merge( array, 18, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We are executing merge (array, 18, 21, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 51 | 55 | 62 | 88 | 97 |

These two sub-arrays are merged together

This function call exists

```
merge( array, 18, 21, 25 )
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We return to executing merge_sort(array, 18, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 51 | 55 | 62 | 88 | 97 |

We are finished calling this function as well

```
midpoint = (18 + 25)/2; // == 21
merge_sort( array, 18, 21 );
merge_sort( array, 21, 25 );
merge( array, 18, 21, 25 );
```

Consequently, we exit

```
merge_sort( array, 18, 25 )
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 12, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 17 | 28 | 32 | 57 | 94 | 99 | 7 | 15 | 51 | 55 | 62 | 88 | 97 |

We continue calling

```
midpoint = (12 + 25)/2; // == 18
merge_sort( array, 12, 18 );
merge_sort( array, 18, 25 );
merge( array, 12, 18, 25 );
```

```
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We are executing merge(array, 12, 18, 25)

```
    3
    13
    23
    35
    37
    48
    49
    61
    73
    77
    89
    95
    17
    28
    32
    57
    94
    99
    7
    15
    51
    55
    62
    88
    97
```

These two sub-arrays are merged together

```
merge( array, 12, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We are executing merge (array, 12, 18, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 7 | 15 | 17 | 28 | 32 | 51 | 55 | 57 | 62 | 88 | 94 | 97 | 99 |

These two sub-arrays are merged together

This function call exists

```
merge( array, 12, 18, 25 )
merge_sort( array, 12, 25 )
merge sort( array, 0, 25 )
```

We return to executing merge_sort(array, 12, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 7 | 15 | 17 | 28 | 32 | 51 | 55 | 57 | 62 | 88 | 94 | 97 | 99 |

We are finished calling this function as well

```
midpoint = (12 + 25)/2; // == 18
merge_sort( array, 12, 18 );
merge_sort( array, 18, 25 );
merge( array, 12, 18, 25 );
```

Consequently, we exit

```
merge_sort( array, 12, 25 )
merge_sort( array, 0, 25 )
```

We return to continue executing merge_sort(array, 0, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 13 | 23 | 35 | 37 | 48 | 49 | 61 | 73 | 77 | 89 | 95 | 7 | 15 | 17 | 28 | 32 | 51 | 55 | 57 | 62 | 88 | 94 | 97 | 99 |

We continue calling

```
midpoint = (0 + 25)/2; // == 12
merge_sort( array, 0, 12 );
merge_sort( array, 12, 25 );
merge( array, 0, 12, 25 );
```

We are executing merge (array, 0, 12, 25)

```
    3
    13
    23
    35
    37
    48
    49
    61
    73
    77
    89
    95
    7
    15
    17
    28
    32
    51
    55
    57
    62
    88
    94
    97
    99
```

These two sub-arrays are merged together

```
merge( array, 0, 12, 25 )
merge sort( array, 0, 25 )
```

We are executing merge (array, 0, 12, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 7 | 13 | 15 | 17 | 23 | 28 | 32 | 35 | 37 | 48 | 49 | 51 | 55 | 57 | 61 | 62 | 73 | 77 | 88 | 89 | 94 | 95 | 97 | 99 |

These two sub-arrays are merged together

This function call exists

```
merge( array, 0, 12, 25 )
merge sort( array, 0, 25 )
```

We return to executing merge_sort(array, 0, 25)

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 3 | 7 | 13 | 15 | 17 | 23 | 28 | 32 | 35 | 37 | 48 | 49 | 51 | 55 | 57 | 61 | 62 | 73 | 77 | 88 | 89 | 94 | 95 | 97 | 99 |

We are finished calling this function as well

```
midpoint = (0 + 25)/2; // == 12
merge_sort( array, 0, 12 );
merge_sort( array, 12, 25 );
merge( array, 0, 12, 25 );
```

Consequently, we exit

```
merge_sort( array, 0, 25 )
```

The array is now sorted

| 0 | l | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 |
|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| | 3 | 7 | 13 | 15 | 17 | 23 | 28 | 32 | 35 | 37 | 48 | 49 | 51 | 55 | 57 | 61 | 62 | 73 | 77 | 88 | 89 | 94 | 95 | 97 | 99 |

Run-time Summary

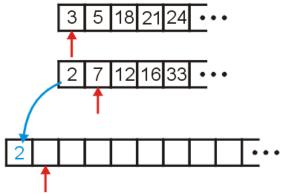
The following table summarizes the run-times of merge sort

| Case | Run Time | Comments |
|---------|--------------------|---------------|
| Worst | $\Theta(n \ln(n))$ | No worst case |
| Average | $\Theta(n \ln(n))$ | |
| Best | $\Theta(n \ln(n))$ | No best case |

Why is it not $O(n^2)$

When we are merging, we are comparing values

- What operation prevents us from performing $O(n^2)$ comparisons?
- During the merging process, if 2 came from the second half, it was only compared to 3 and it was not compared to any other of the other n-1 entries in the first array



- In this case, we remove n inversions with one comparison

Properties

- Merge sort requires an additional array
 - Not inplace
- It is adaptive and stable

Summary

This topic covered merge sort:

- Divide an unsorted list into two equal or nearly equal sub lists,
- Sorts each of the sub lists by calling itself recursively, and then
- Merges the two sub lists together to form a sorted list