

# *Modulasi Multicarrier*



Faculty of Electrical Engineering  
Bandung – 2020

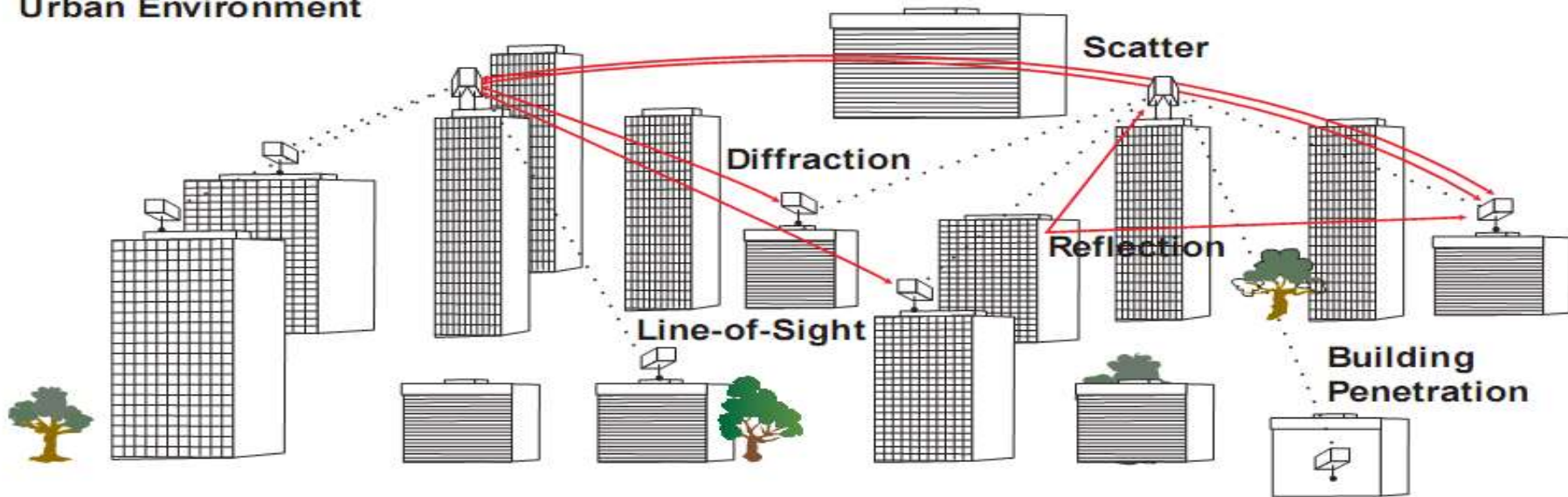
# Subject

- a. Konsep OFDM
- b. Konsep OFDMA
- c. Pengenalan SC-FDMA

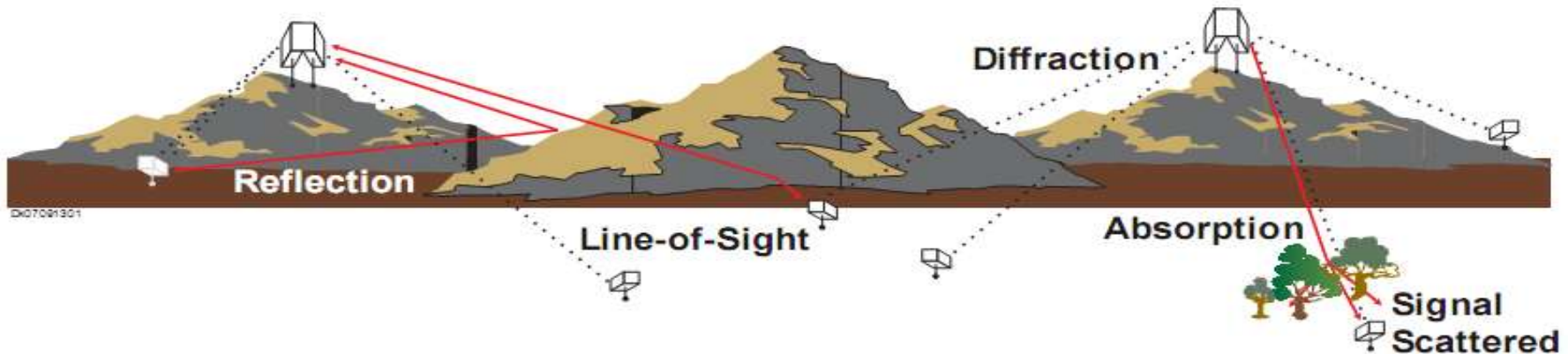
# Konsep OFDM

# Propagation Concept: NLOS Performance

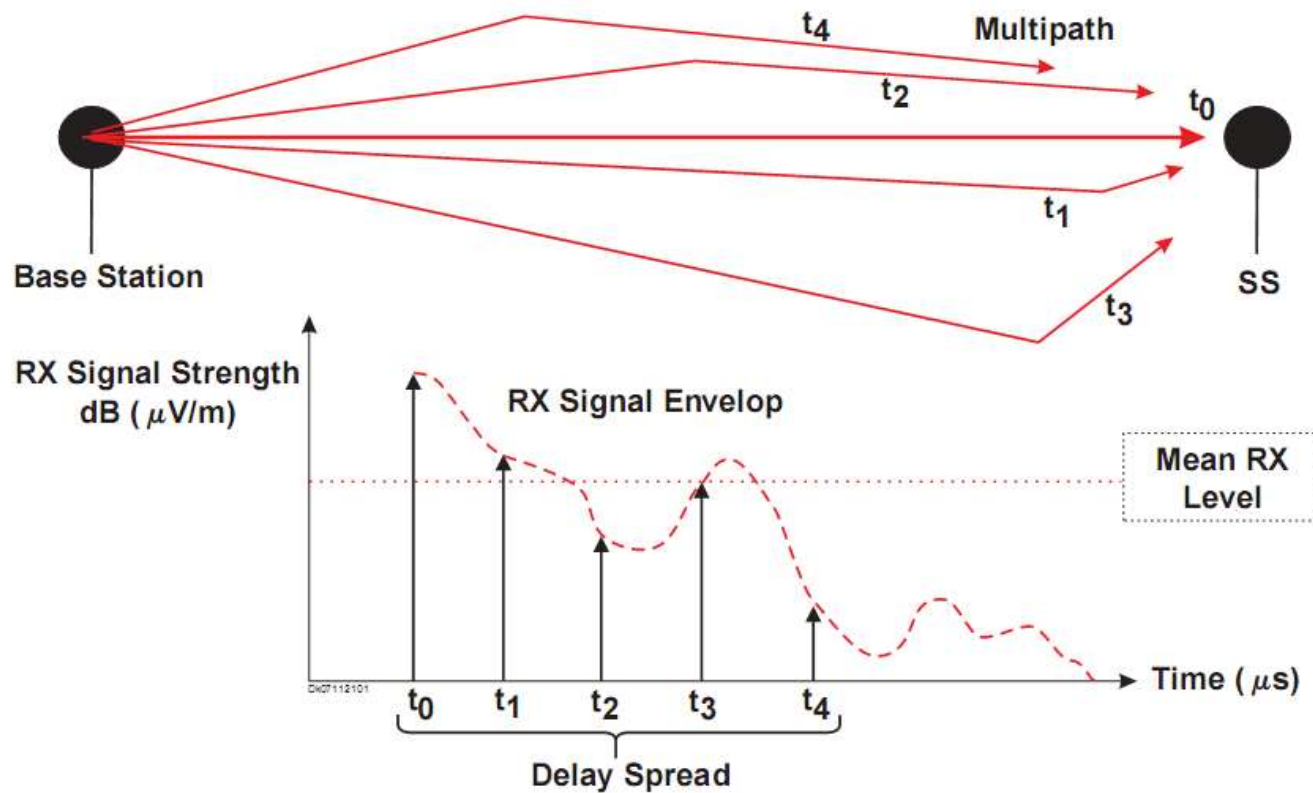
## Urban Environment



## Rural Environment



# Propagation Concept: Multipath Propagation



- Sinyal-sinyal multipath datang pada waktu yang berbeda dengan amplitudo dan pergeseran fasa yang berbeda, yang menyebabkan pelemahan dan penguatan daya sinyal yang diterima.
- Propagasi multipath berpengaruh terhadap performansi link dan coverage.
- Selubung (envelop) sinyal Rx berfluktuasi secara acak.

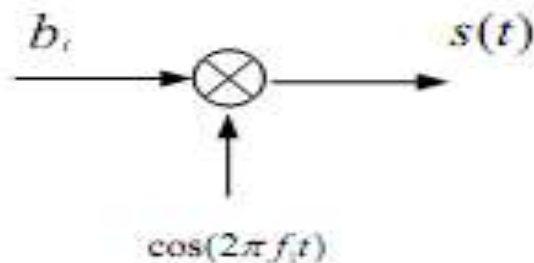
# Problems of Mutipath Propagation & High Data Rate

- ▶ There are some problems when carrying high data rate via wireless channel, especially frequency selective fading
- ▶ OFDM offers the solution for the problems
- ▶ OFDM can be seen as multi-carrier transmission (MCM)
- ▶ MCM is a principle to transmit data by dividing the data into parallel bit streams
- ▶ The parallel bit streams is sometimes called subcarriers or subchannels

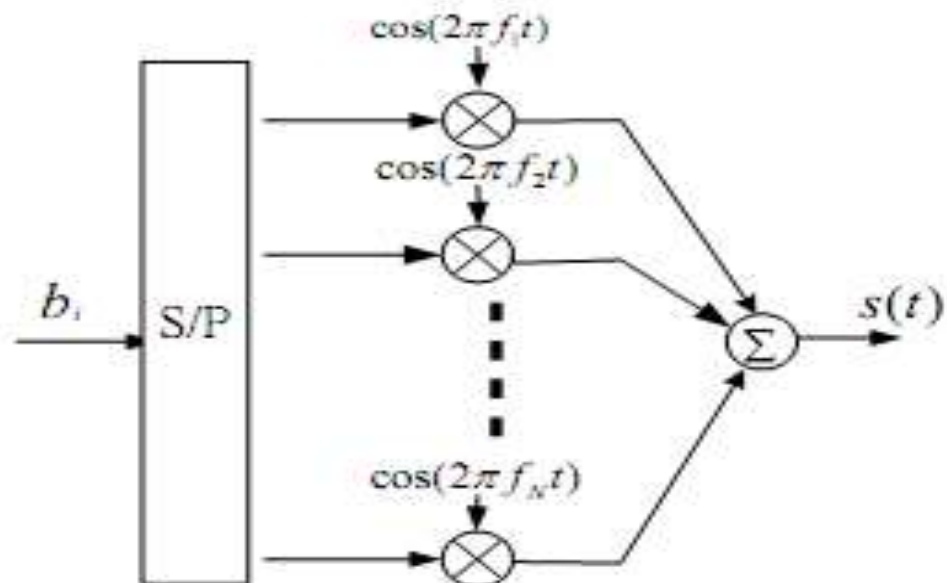


- Single carrier transmission
  - The concept of single-carrier is that each user transmits and receives data stream with only one carrier at any time.
- Multicarrier transmission
  - The concept of multi-carrier transmission is that a user can employ a number of carriers to transmit data simultaneously.
- Single and multicarrier transmission

Single carrier transmission

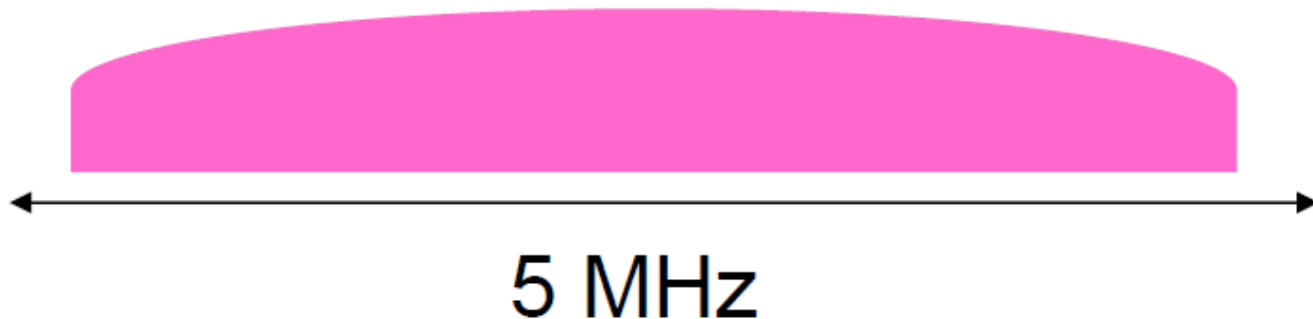


Multicarrier carrier transmission

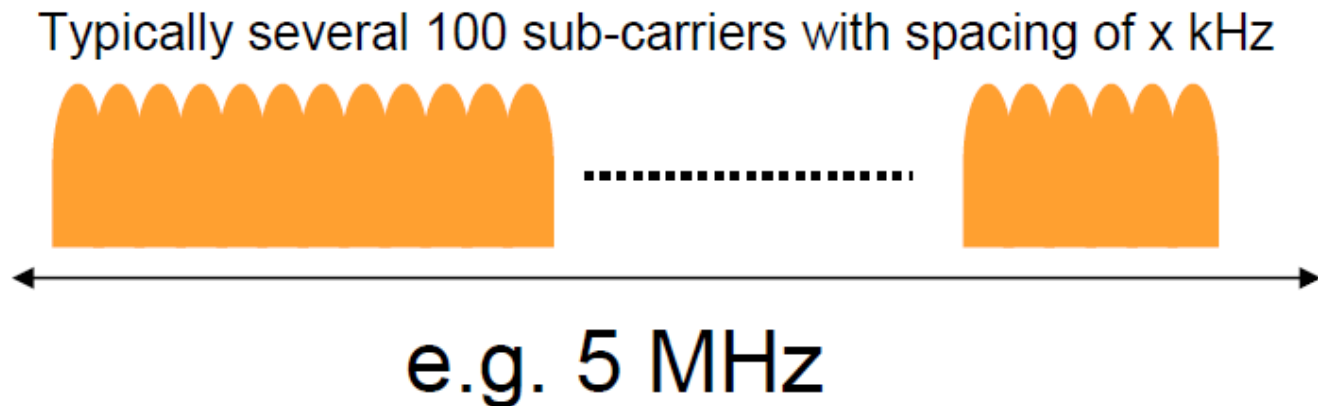


# Teknologi Pendukung LTE

- Single Carrier Transmission (e.g. WCDMA)



- Orthogonal Frequency Division Multiplexing





# The Idea



Fig. 1 – (a) A Regular-FDM single carrier – A whole bunch of water coming all in one stream. (b) Orthogonal-FDM – Same amount of water coming from a lot of small streams.

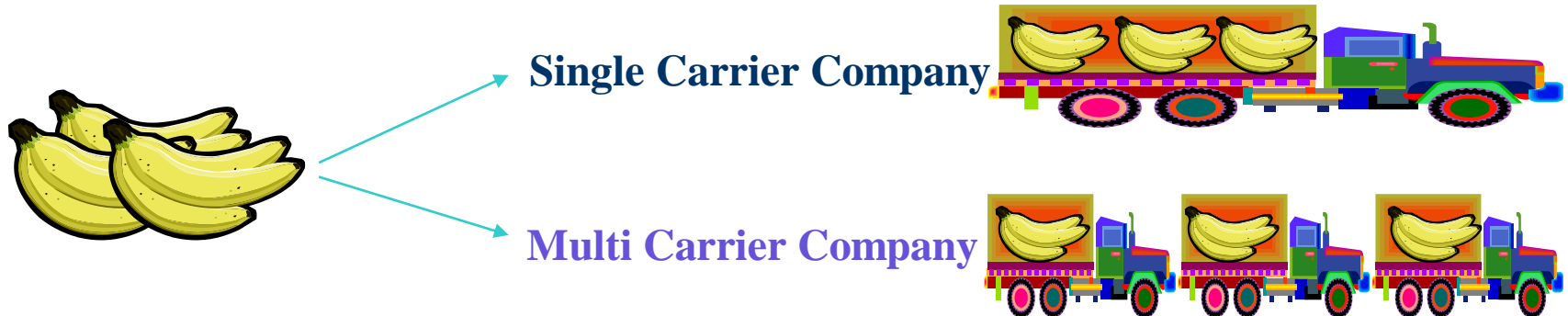


Fig. 2 – All cargo on one truck vs. splitting the shipment into more than one.

- In MCM, we split the data into different streams and transmit using separate *sub-carriers*

# Multicarrier Transmission - Basic Concepts

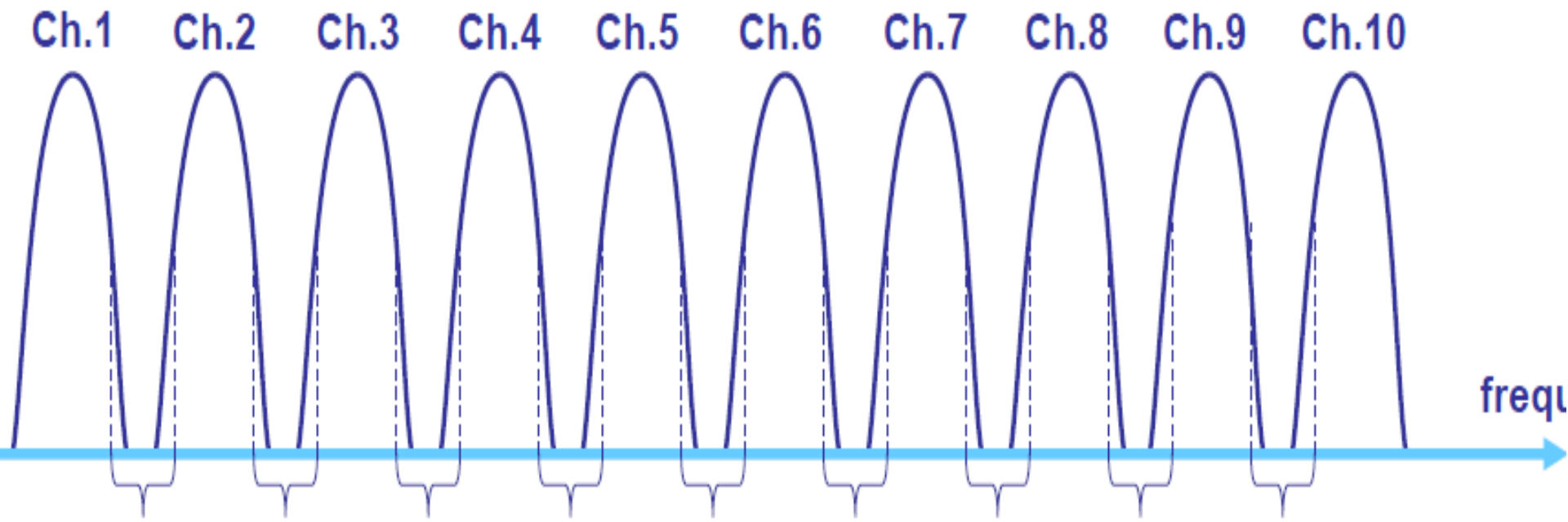
- *Orthogonal Frequency Division Multiplexing* (OFDM) is a multi-carrier modulation scheme
  - First break the data into small portions
  - Then use a number of parallel **orthogonal** sub-carriers to transmit the data
- Conventional transmission uses a single carrier, which is modulated with all the data to be sent



# Basic Ideas:

- Avoid ISI by multiplexing high rate data stream into several lower data streams
- by utilizing several distinct frequency bands

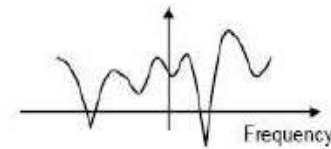
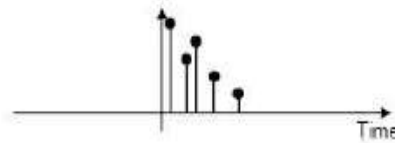
=> **Frequency division Multiplexing (FDM)**



Basic FDM based systems (like FDMA) require guard bands.

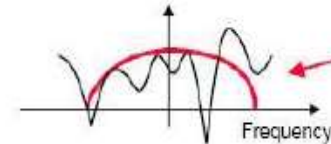
# Parallel Transmission to Avoid Distortions

Channel impulse response



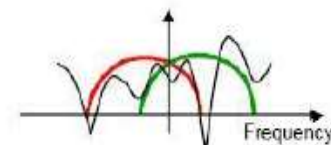
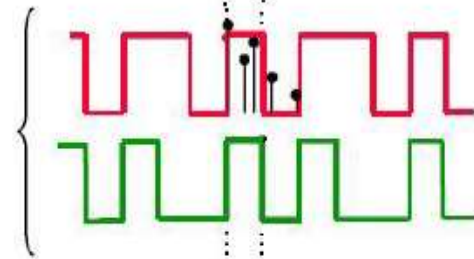
Channel transfer function

1 Channel (serial)

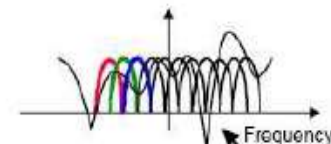
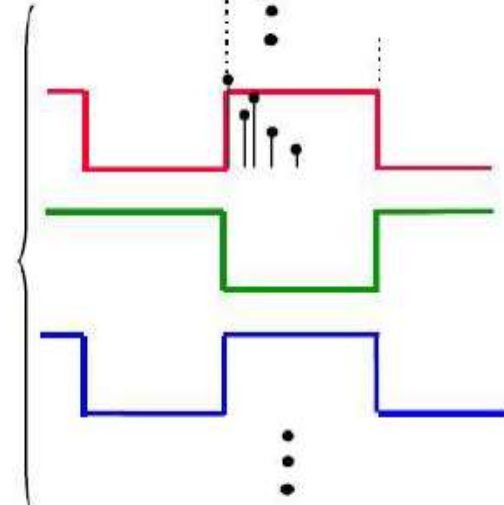


Signal is "broadband"

2 Channels

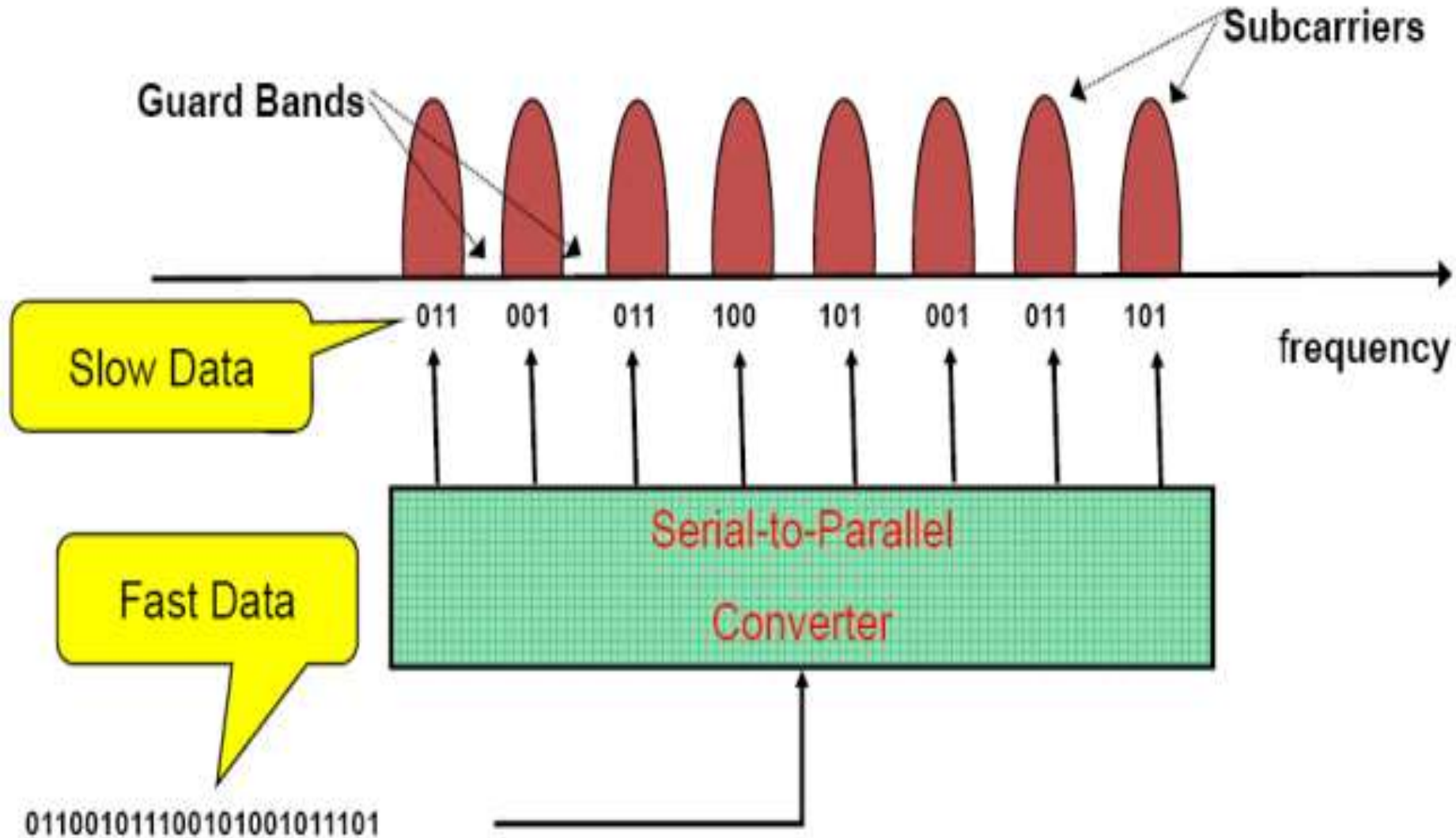


8 Channels



Channels are "narrowband"

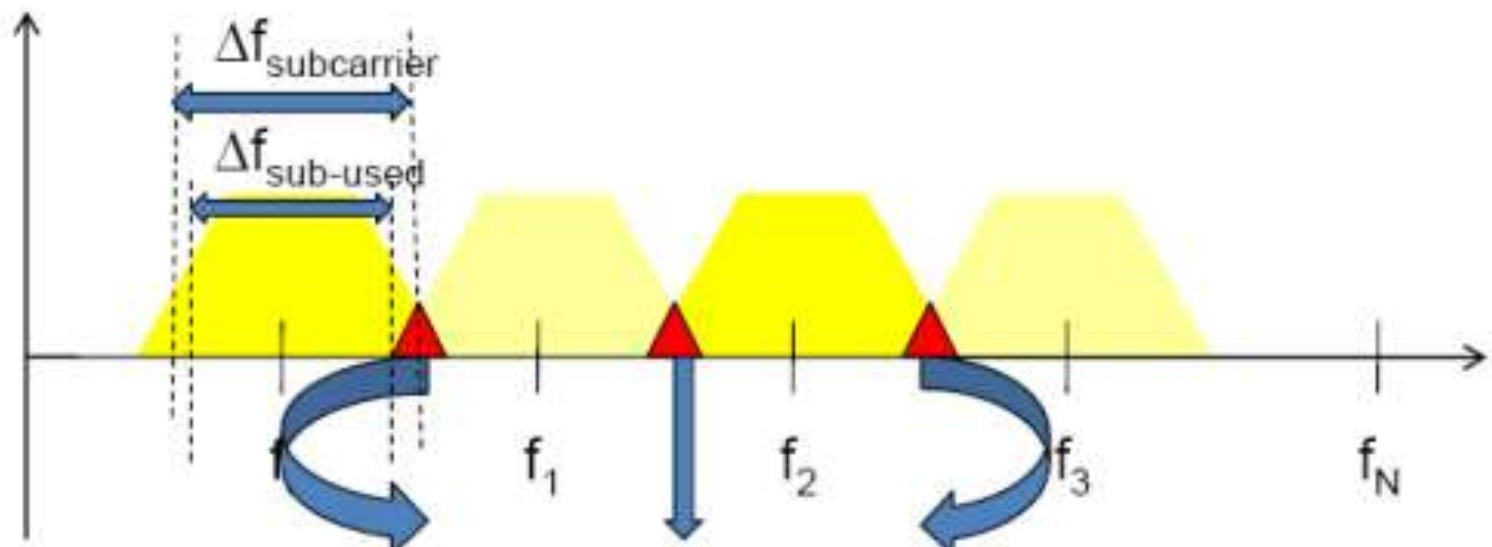
# Modulasi Multicarrier





# Modulasi Multicarrier

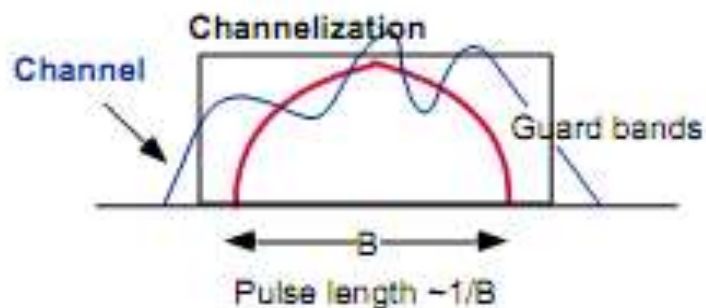
- Frekuensi tengah harus diberi jarak sehingga interference antar carrier yang berbeda atau **Adjacent Carrier Interference ACI minimal** diminimalkan namun tanpa banyak spasi frekuensi terbuang.
- Tiap carrier menggunakan guard band atas dan bawah untuk proteksi terhadap interferensi carrier sebelah.



ACI = Adjacent Carrier Interference



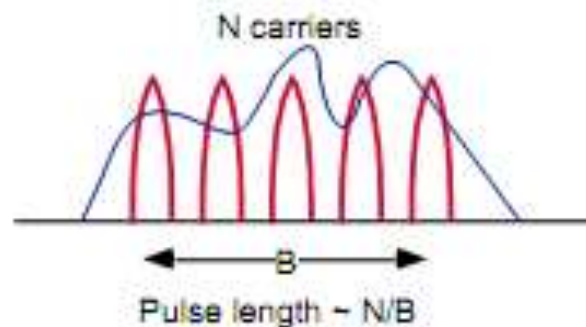
# Modulation techniques: monocarrier vs. multicarrier



- Data are transmitted over only one carrier

## Drawbacks

- Selective Fading
- Very short pulses
- ISI is comparatively long
- EQs are then very long
- Poor spectral efficiency because of band guards



Similar to FDM technique

- Data are shared among several carriers and simultaneously transmitted

## Advantages

- Flat Fading per carrier
- N long pulses
- ISI is comparatively short
- N short EQs needed
- Poor spectral efficiency because of band guards

## Furthermore

- It is easy to exploit frequency diversity
- It allows deployment of 2D coding techniques
- Dynamic signaling

To improve the spectral efficiency:

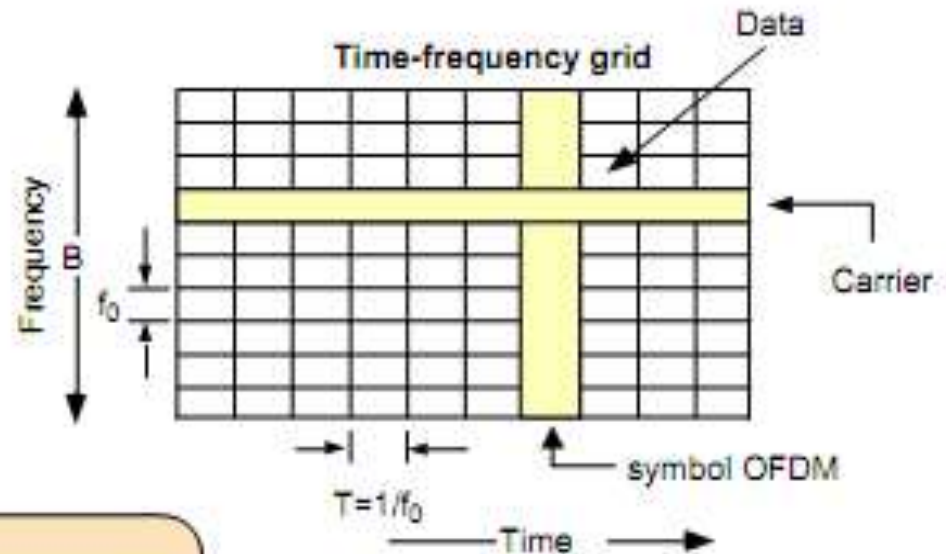
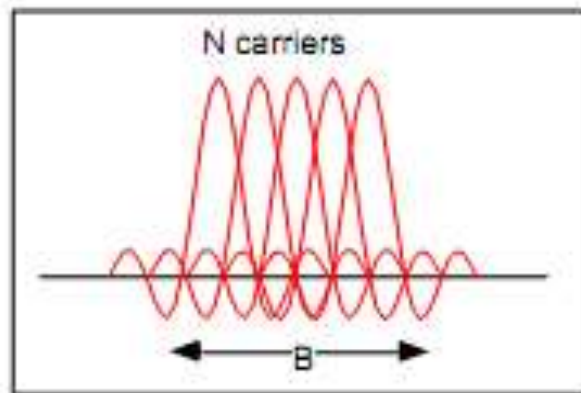
Eliminate band guards between carriers

To use orthogonal carriers (allowing spectrum overlapping)

# OFDM Concept: Mengapa OFDM

- Sinyal OFDM (Orthogonal Frequency Division Multiplexing) dapat mendukung kondisi NLOS (Non Line of Sight) dengan mempertahankan efisiensi spektral yang tinggi dan memaksimalkan spektrum yang tersedia.
- Mendukung lingkungan propagasi multi-path.
- Scalable bandwidth: menyediakan fleksibilitas dan potensial mengurangi CAPEX (capital expense).

# Introduction to OFDM modulation



## Features

- No intercarrier guard bands
- Controlled overlapping of bands
- Maximum spectral efficiency (Nyquist rate)
- Easy implementation using FFTs
- Very sensitive time-freq. Synchronization

Intercarrier Separation =  
Any integer Multiple of  $1/(\text{symbol duration})$

## Modulation technique

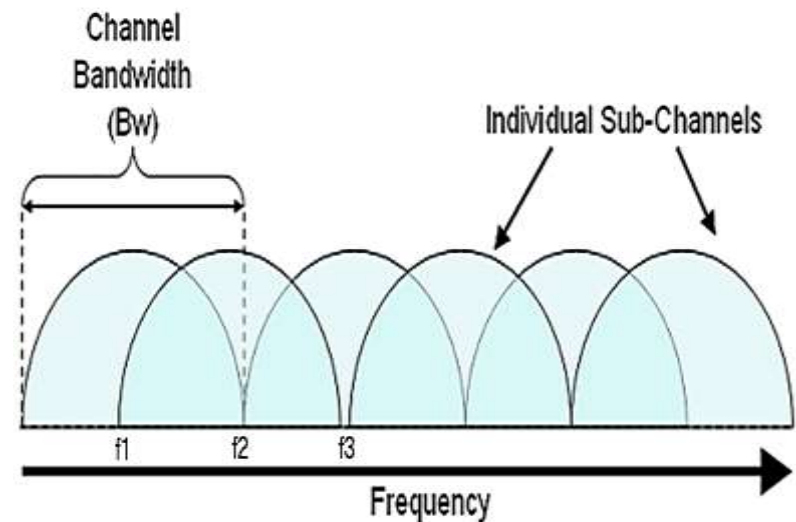
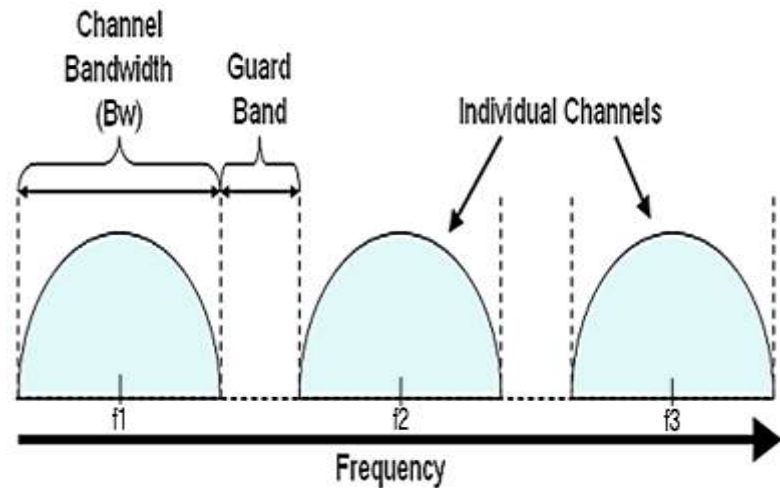
One user utilizes all carriers simultaneously to transmit its data (may be different modulations)

## Access techniques (FDMA)

Several users share dynamically the carriers (traffic or service dependent) to access to the system

# OFDM Basic Concept

- OFDM is a special case of *Frequency Division Multiplexing (FDM)*
- For FDM
  - No special relationship between the carrier frequencies
  - Guard bands have to be inserted to avoid *Adjacent Channel Interference (ACI)*
- For OFDM
  - Strict relation between carriers:  $f_k = k \cdot \Delta f$  where  $\Delta f = 1/T_U$  ( $T_U$  - symbol period)
  - Carriers are orthogonal to each other and can be packed tight





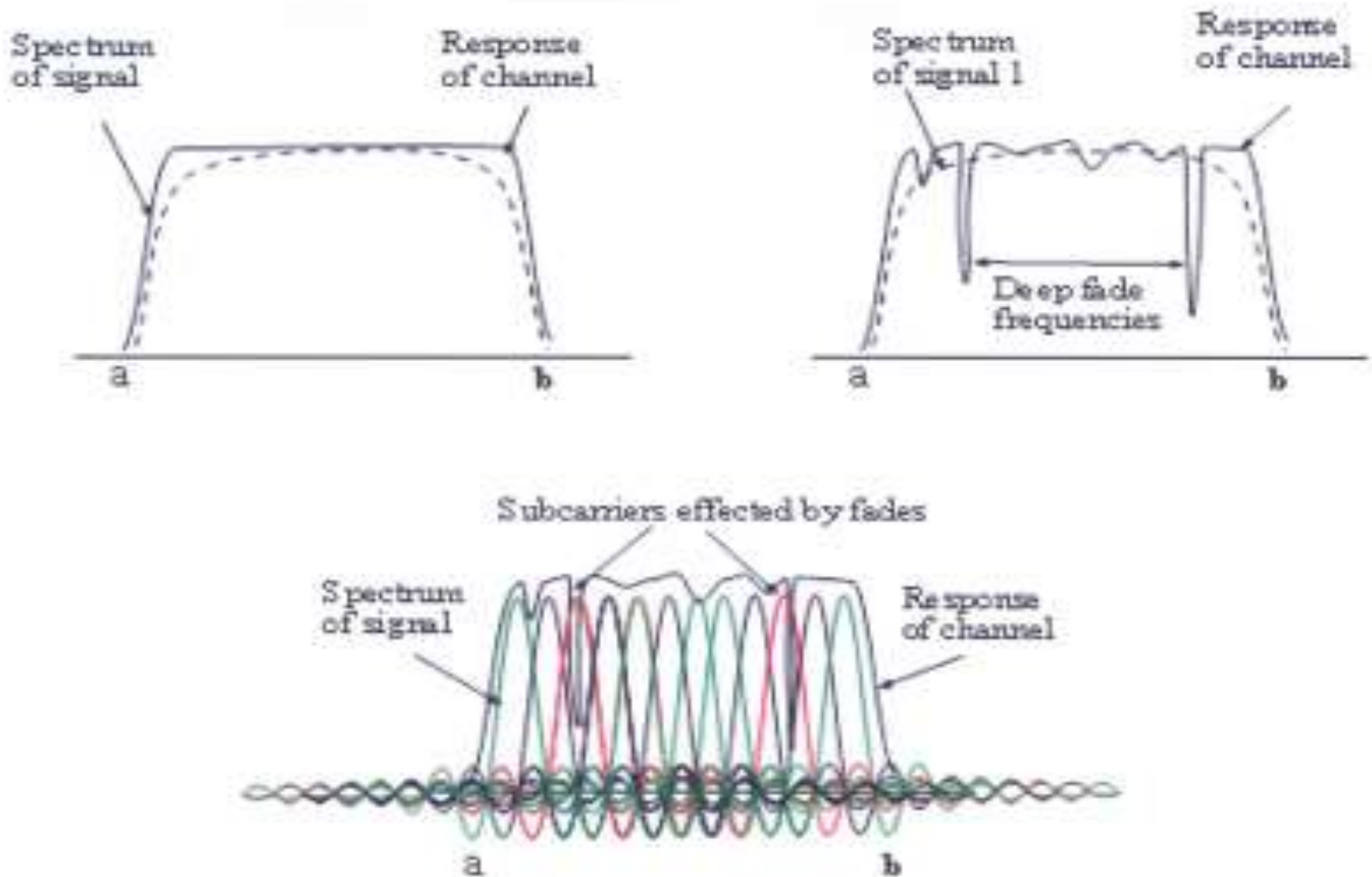
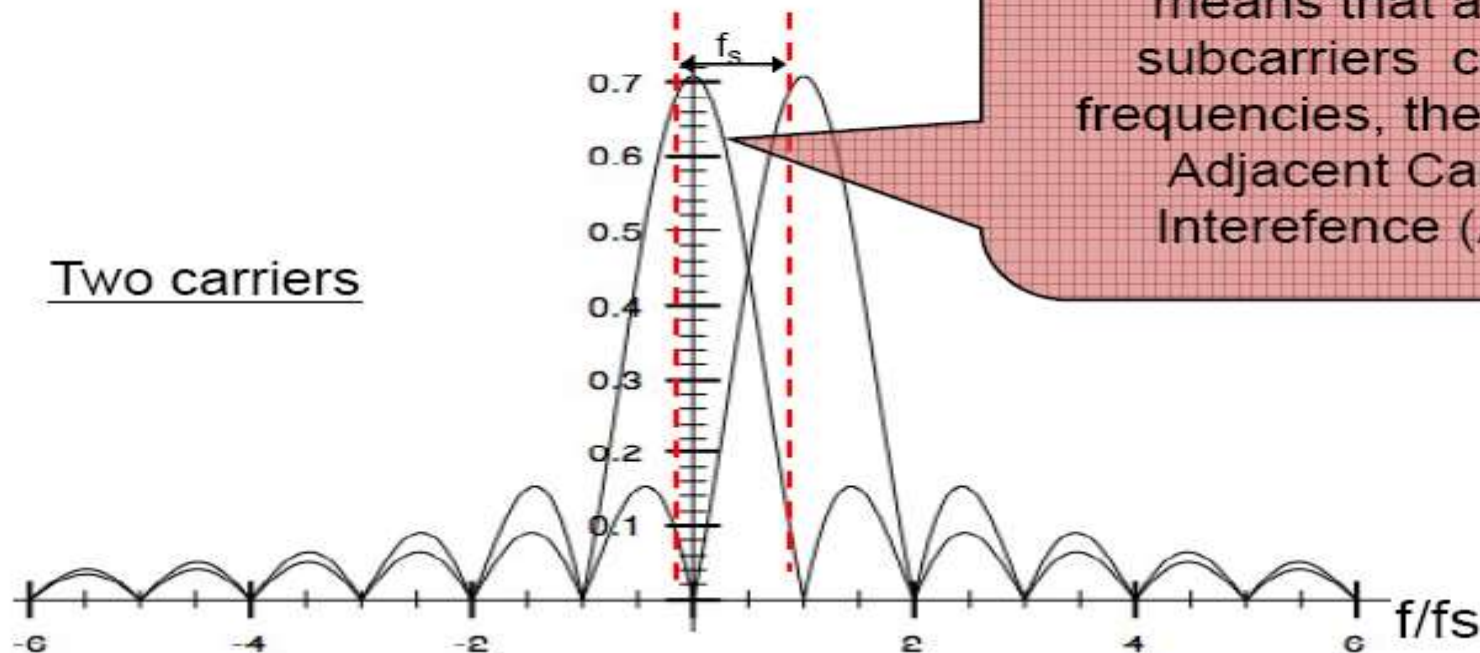


Fig. 20 – (a) The signal we want to send and the channel frequency response are well matched. (b) A fading channel has frequencies that do not allow anything to pass. Data is lost sporadically. (c) With OFDM, where we have many little sub-carriers, only a small sub-set of the data is lost due to fading.

# OFDM

OFDM secara sederhana menempatkan next carrier persis di null pertama dari carrier sebelumnya.

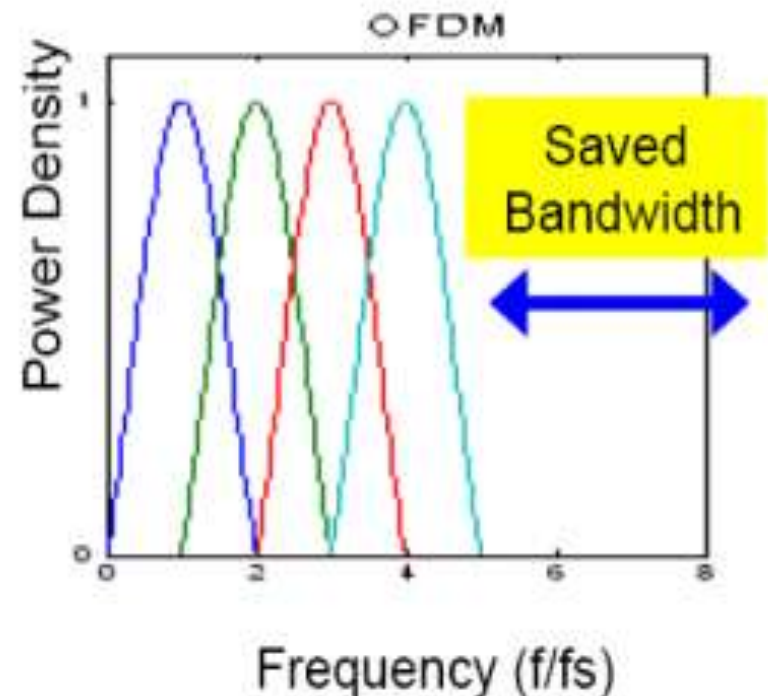
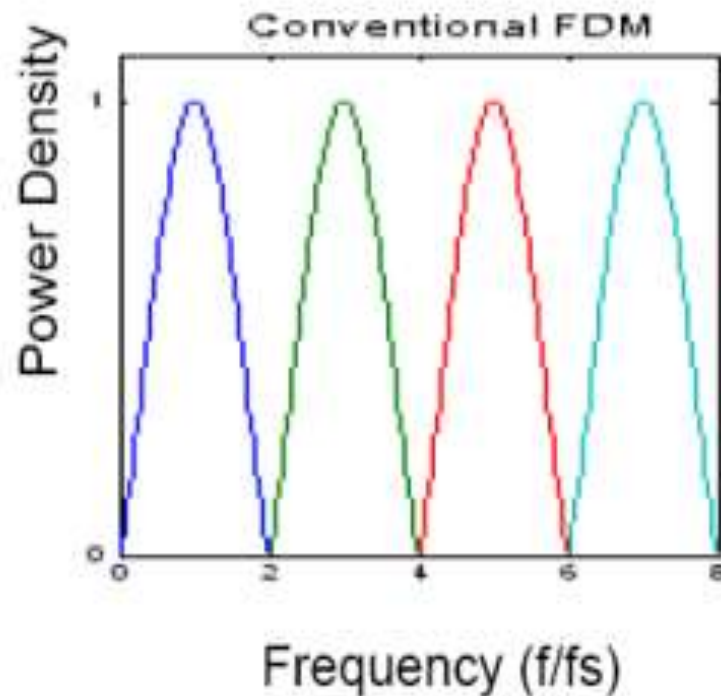
- Sehingga tidak diperlukan pulse shaping
- Antar carrier OFDM carrier menggunakan durasi simbol yang sama  $T_s$ , tanpa guard band.



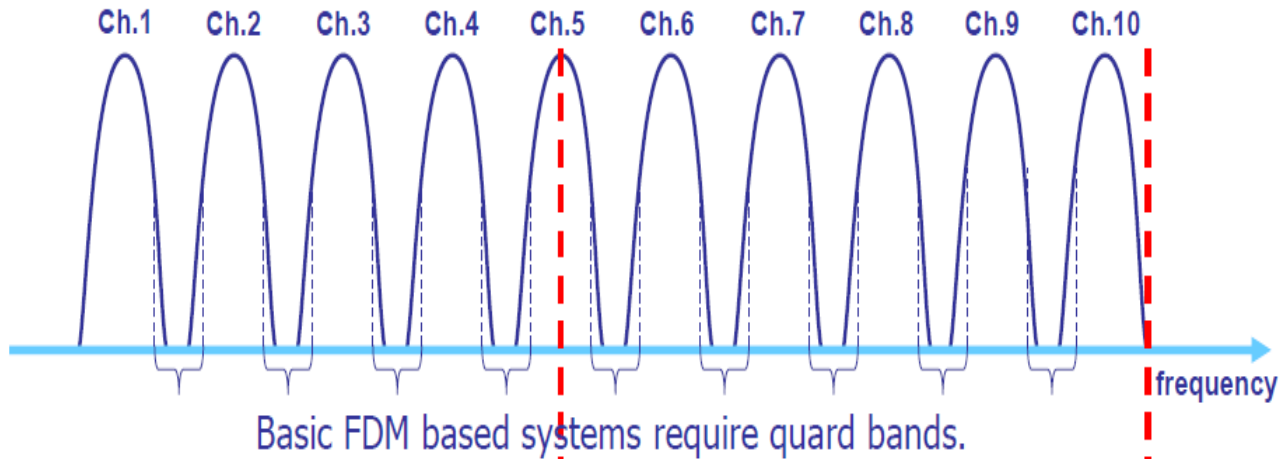


# OFDM

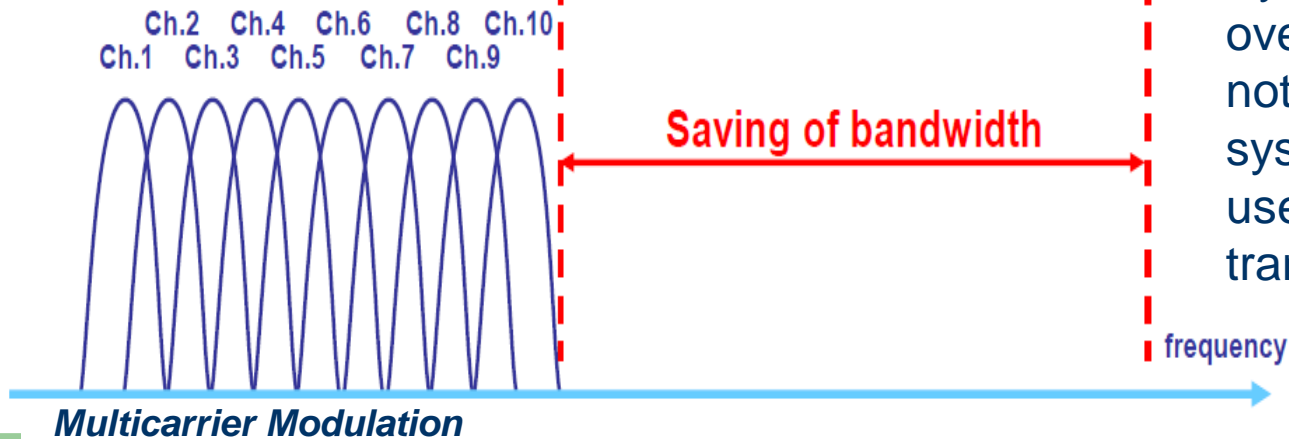
- OFDM memungkinkan packaging sejumlah subcarrier kedalam suatu bandwidth yang lebih kompak.



## Basic FDM scheme

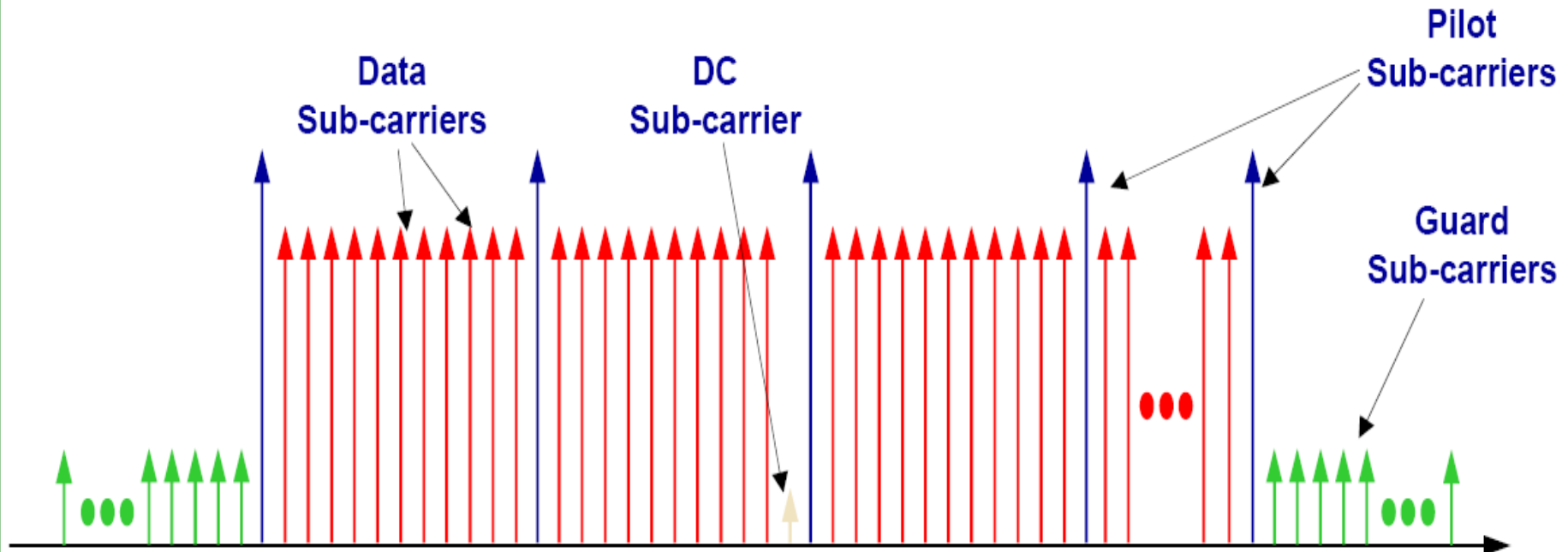


## Orthogonal FDM



By allowing TX bands to overlap, guard bands are not needed and the same system bandwidth can be used to increase the transmission rate.

# Tipe Sub-Carrier OFDM



## Data Sub-carriers

- Membawa simbol BPSK, QPSK, 16QAM, 64QAM

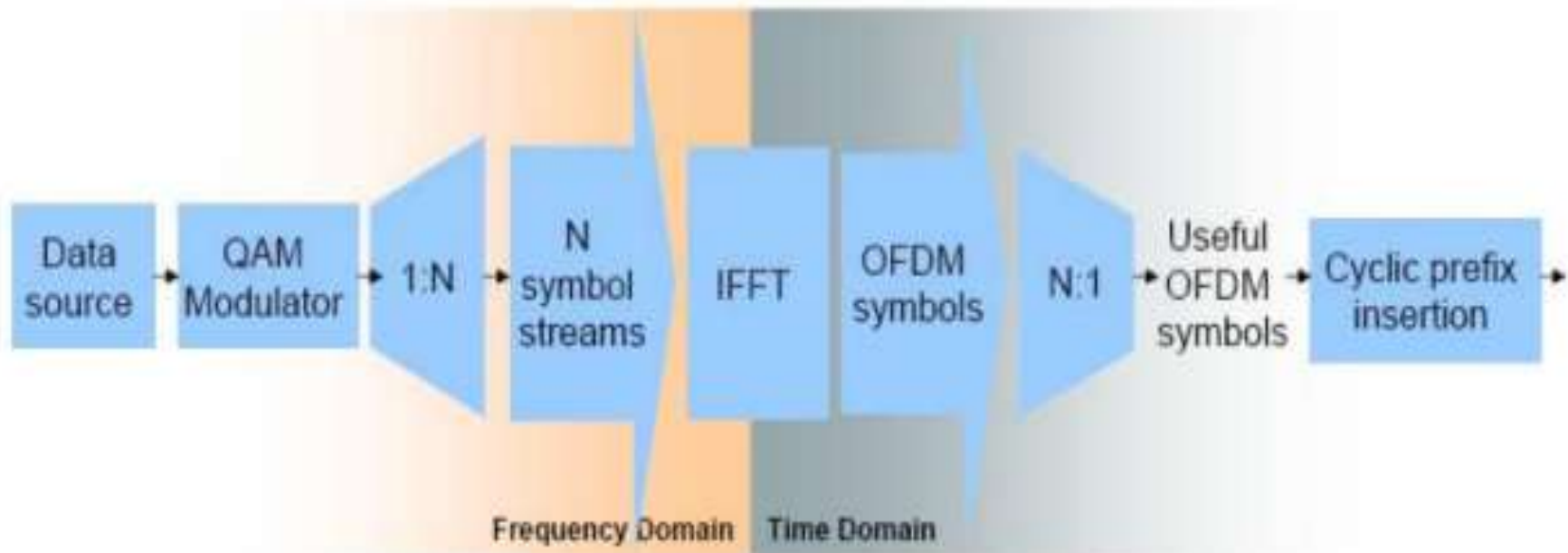
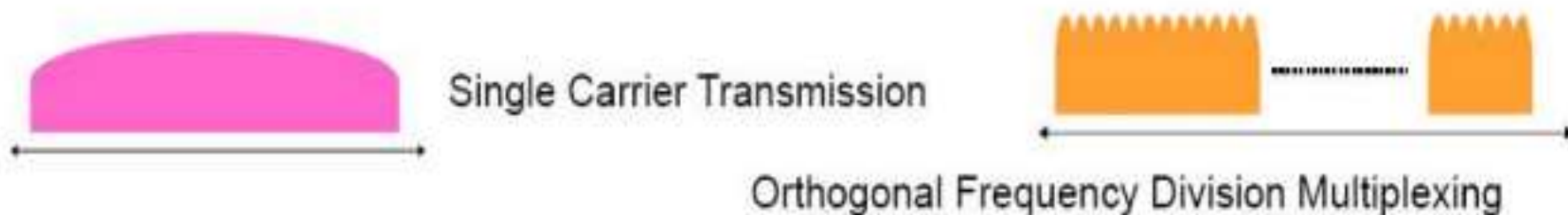
## Pilot Sub-carriers

- Untuk memudahkan estimasi kanal dan demodulasi koheren pada receiver.

## Null Subcarrier

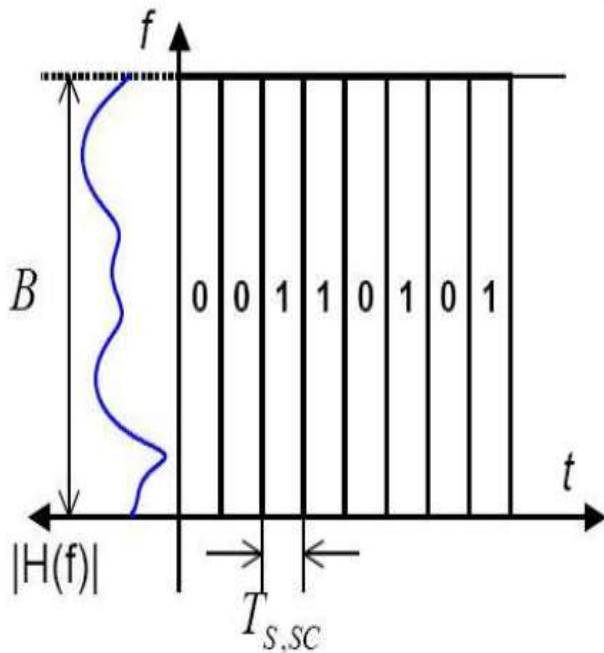
- Guard Sub-carriers
- DC Sub-carrier

# OFDM

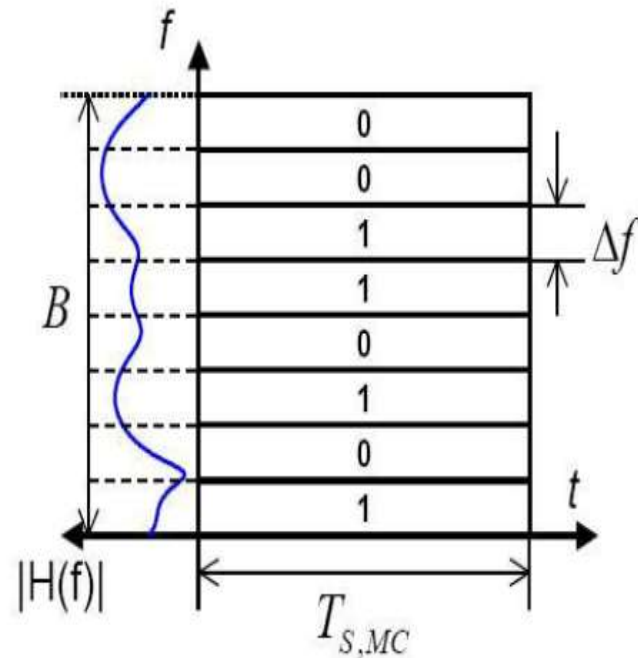


OFDM signal generation is based on Inverse Fast Fourier Transform (IFFT) operation on transmitter side. On receiver side, an FFT operation will be used.

## Single Carrier Transmission



## Multi Carrier Transmission



Symbol duration:

$$T_{S,MC} = N \cdot T_{S,SC}$$

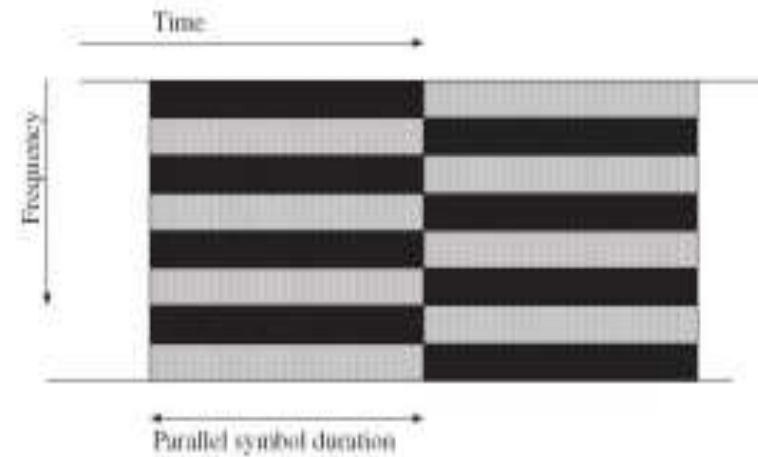
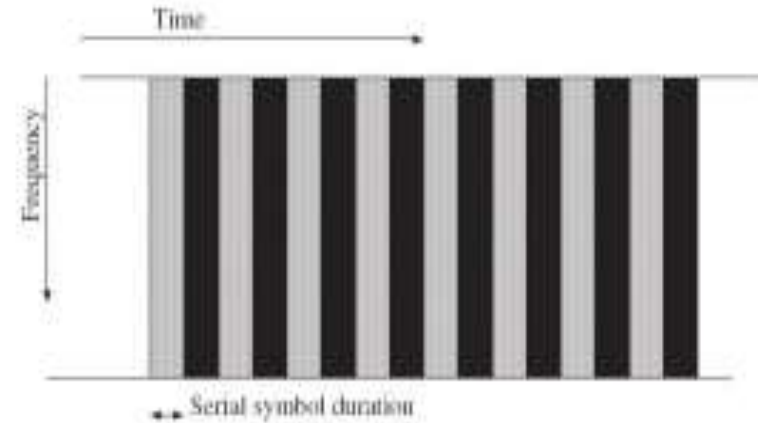
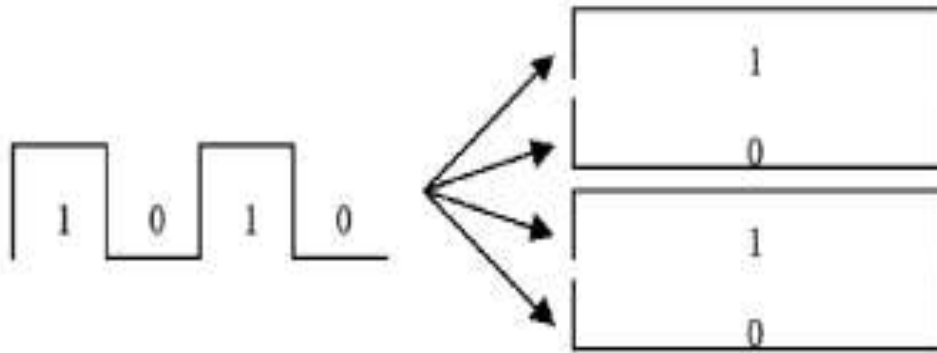
Bandwidth:

$$B = N \cdot \Delta f$$

Subcarrier spacing:

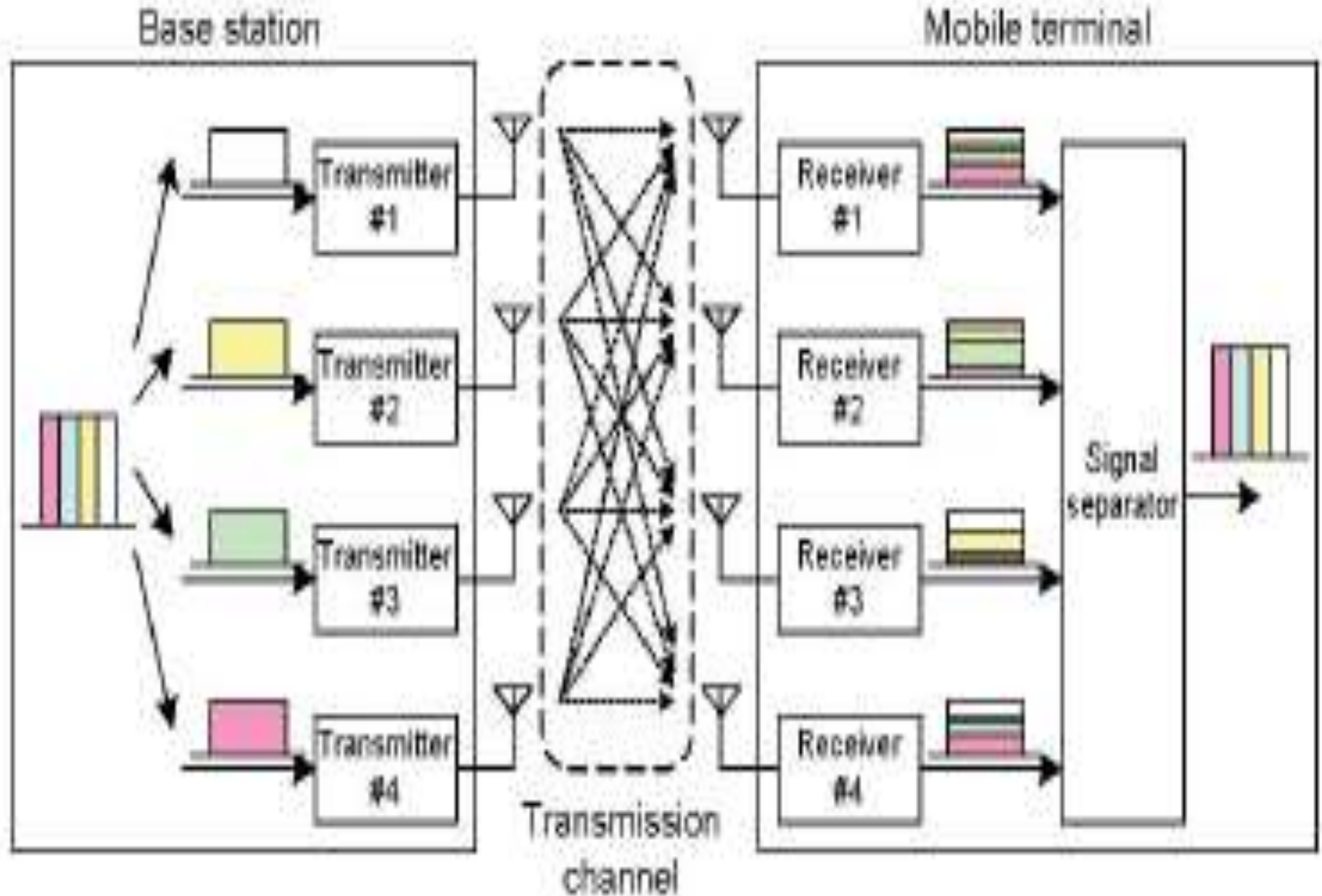
$$\Delta f = \frac{1}{T_{S,MC}}$$

## ► The parallel principle





# LTE MIMO concept



# Advantages of OFDM

Spectrally efficient because of orthogonality of the 64 carriers.  
Good for channels affected by frequency selective fading because:

- (i) Effects of fading, affecting a small range of frequencies, can be spread out using 'interleaving' so that FEC can more easily correct any bit-errors.
- (ii) Cyclic extension as a guard-interval, eliminates ISI caused by multi-path propagation. Simpler way of eliminating ISI than pulse-shaping as used in single carrier systems.
- (iii) Equalisation is easier than with single carrier systems which use adaptive filtering. OFDM receiver can amplify real & imag parts of FFT outputs such that they have same amplitudes.

Possible because of the cyclic extension as explained earlier.

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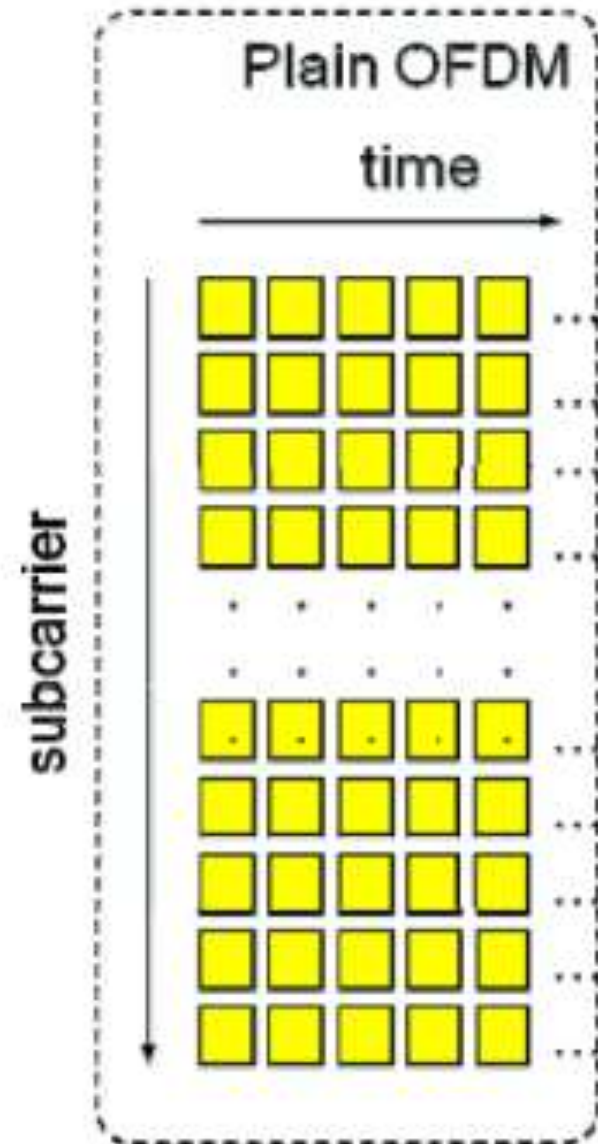
# Disadvantages of OFDM

- 'Peak to mean' ratio of symbols can be very large by nature of FFT & Inv-FFT. (Amplitudes can become very large in comparison to the mean)
  - Shapes OFDM symbols very complex & must be sent & received accurately.
  - With QPSK on each sub-carrier,  $\approx 10^{29}$  shapes & even more with 64-QAM
  - Transmitter & receiver must be linear to preserve shape.
  - Definitely not "constant envelope".
  - Need 'class A' amplifiers: less power efficient than those for constant envelope transmissions.
  - Lot of power lost in the amplifiers.
  - Not ideal for mobile phones, but fine for mobile computers with bigger batteries that are not sending data continuously.
  - Sensitive to 'Doppler' frequency shifts.
-

# Konsep OFDMA

# Plain OFDM

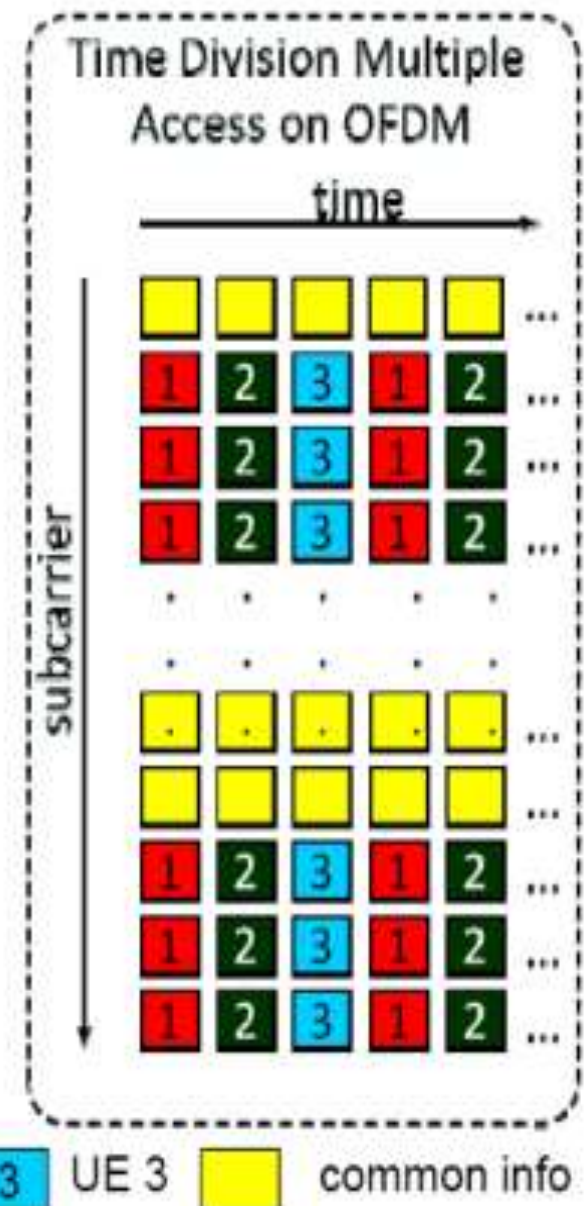
- Tidak memiliki mekanisme multiple access
- Hanya sesuai untuk aplikasi broadcast/multicast seperti DVB-T/H dengan tanpa kanal feedback





# TDMA/OFDM

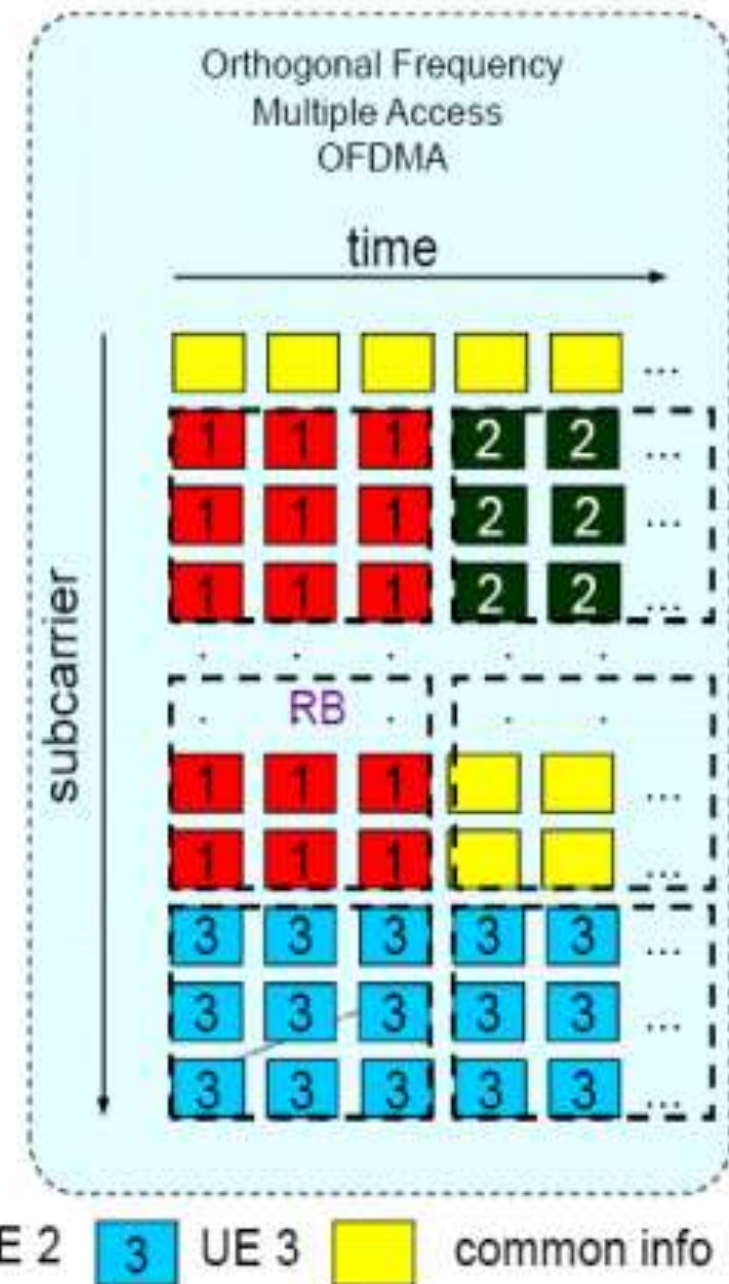
- Time Division Multiple Access via OFDM merupakan implementasi multiple access sederhana dari sistem OFDM dengan melakukan time multiplexing diatas OFDM.
- Kerugian dari mekanisme ini adalah bahwa setiap user akan mendapatkan jumlah kapasitas ( subcarrier ) yang sama sehingga tidak fleksibel untuk layanan multi data rate.
- Lebih jauh lagi, TDMA/OFDM tidak sesuai untuk menangani trafik variansi tinggi seperti internet secara efisien tanpa menggunakan higher layer signaling. Hal ini berdampak pada overhead signaling dan delay





# FDMA/OFDMA

- Ide dasarnya adalah dengan penugasan sejumlah subcarrier ke suatu user dan sejumlah subcarrier lain untuk user yang lain berdasarkan kebutuhan laju data masing-masing user.
- Untuk membantu mengatasi penanganan trafik dengan variansi tinggi, digunakan teknik **resource block** atau **scheduling block**
- Suatu block adalah set terkecil dari sejumlah subcarrier dengan jumlah tetap. Suatu user dapat dialokasikan lebih dari satu block.



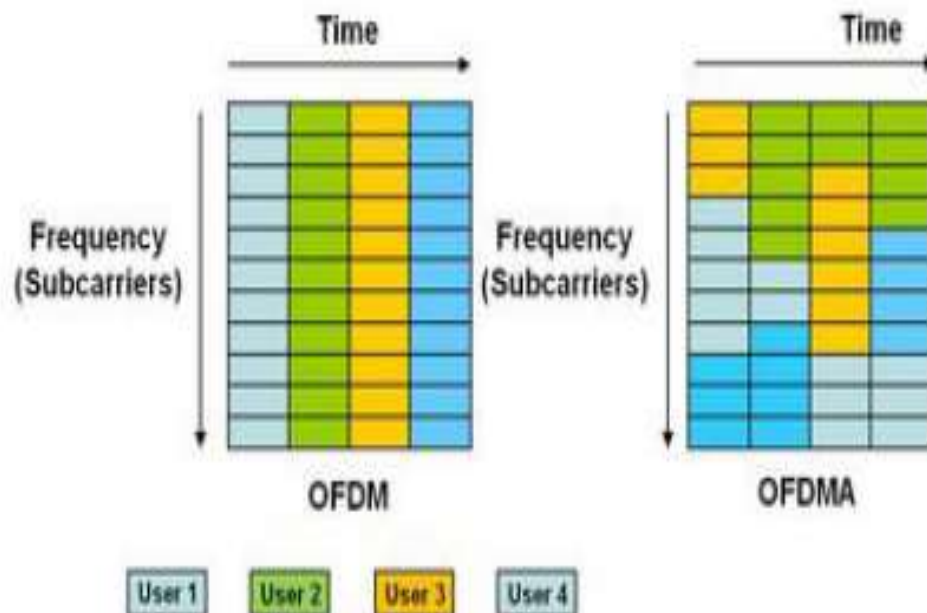
# OFDMA

OFDM : In OFDM, all subcarriers of the symbol are used for providing data to a specific user

OFDMA : In OFDMA, the subcarriers of each symbol may be divided between multiple users thus enabling better use of radio resources

OFDM = Orthogonal Frequency Division Multiplexing

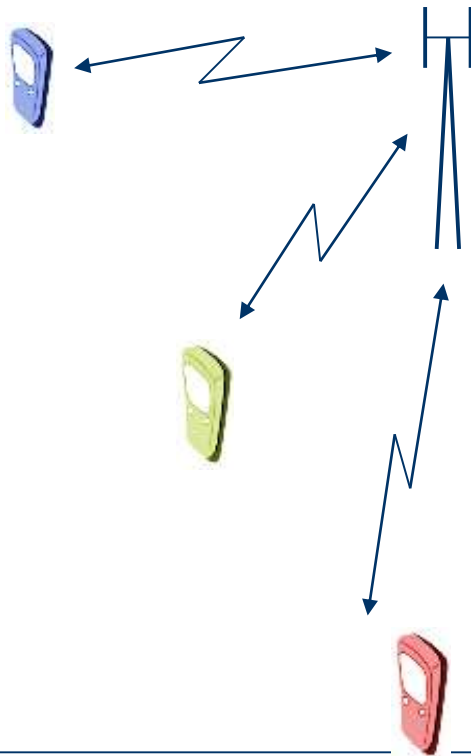
OFDMA = Orthogonal Frequency Division Multiple Access



OFDMA's dynamic allocation enables better use of the channel for multiple low-rate users and For the avoidance of narrowband fading and interference

# OFDMA – Orthogonal Frequency Division Multiple Access

- OFDM can be used as a multiple access scheme allowing simultaneous frequency-separated transmissions to/from multiple mobile terminals
- The number of sub-carriers can be scaled to fit the bandwidth – *Scalable OFDMA*



~~Orthogonal~~ (diversity) mapping

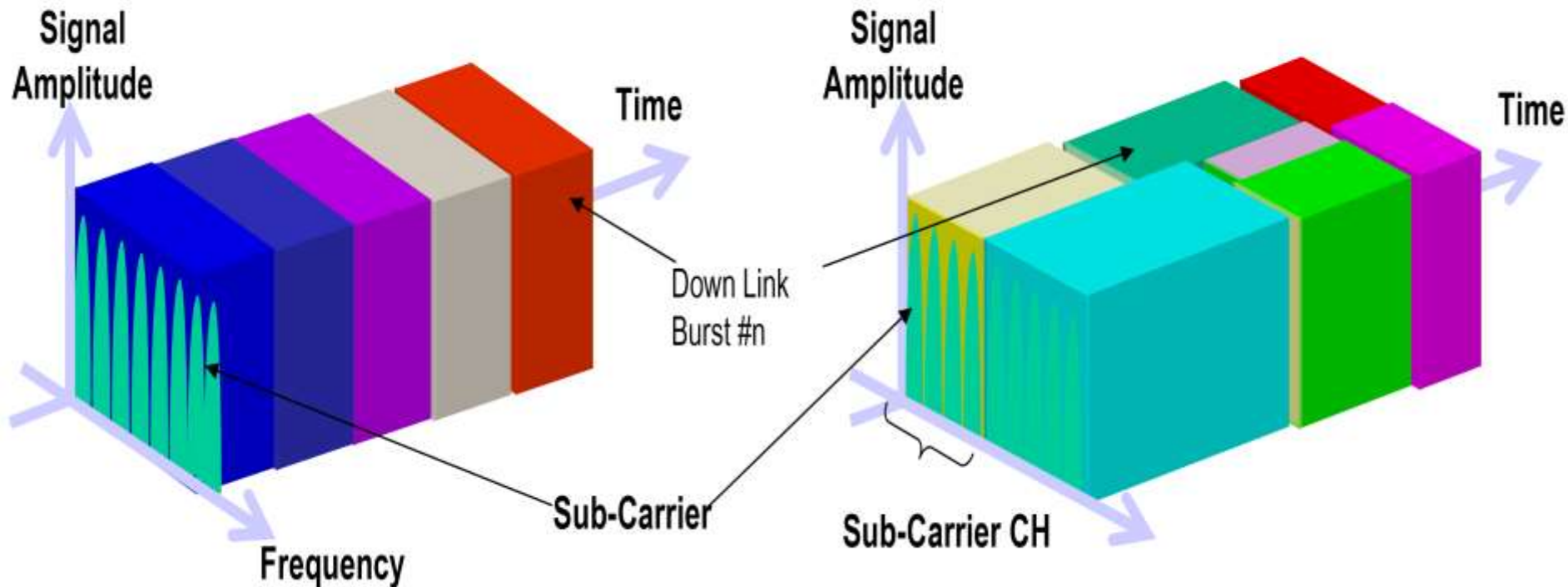
# OFDM & OFDMA

## OFDM

- Semua subcarrier dialokasikan untuk satu user
- Misal : 802.16-2004

## OFDMA

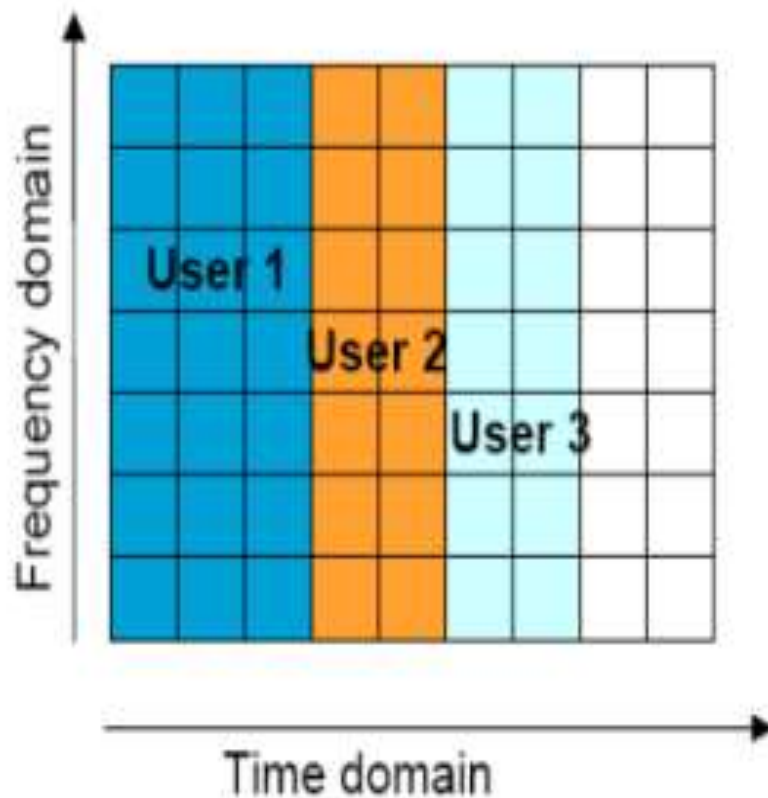
- Subcarrier dialokasikan secara fleksibel untuk banyak user tergantung pada kondisi radio.
- Misal : 802.16e-2005 dan 802.16m



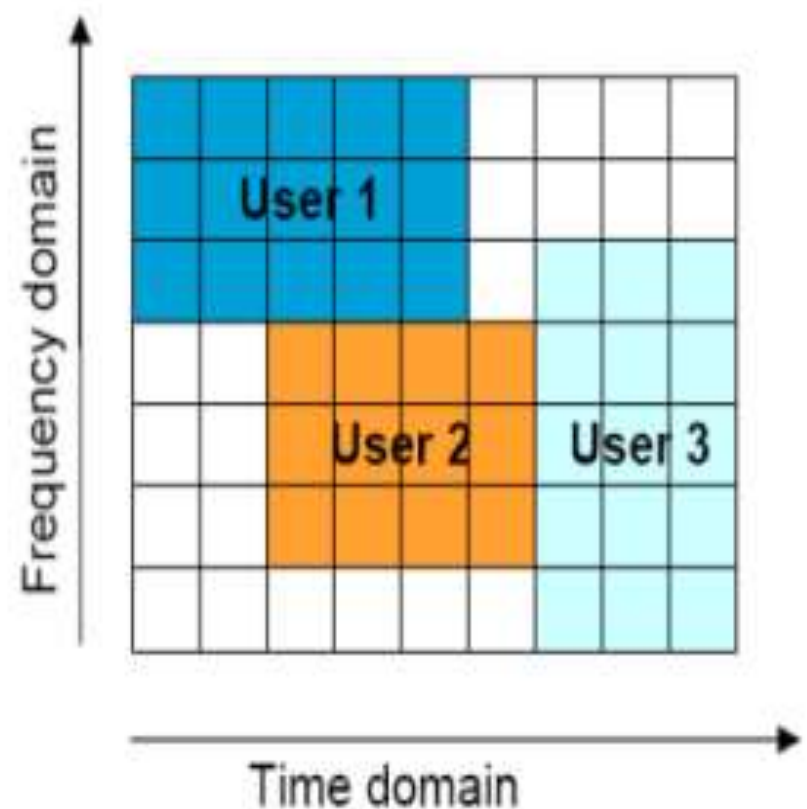


# Perbedaan OFDM dan OFDMA

OFDM allocates users in time domain only

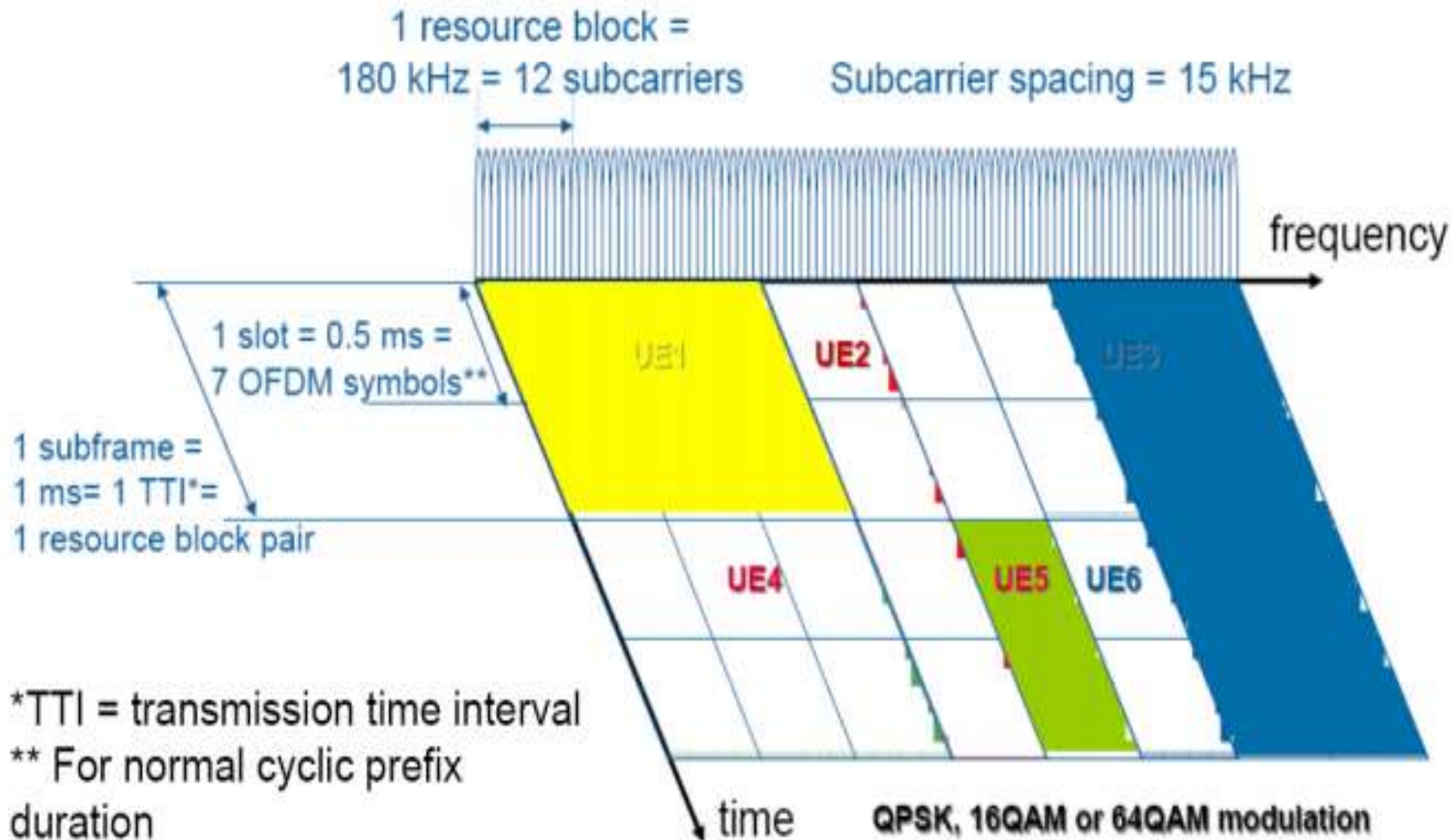


OFDMA allocates users in time and frequency domain





# OFDMA Time-Frequency Multiplexing



# LTE Downlink Physical Layer Design: Physical Resource

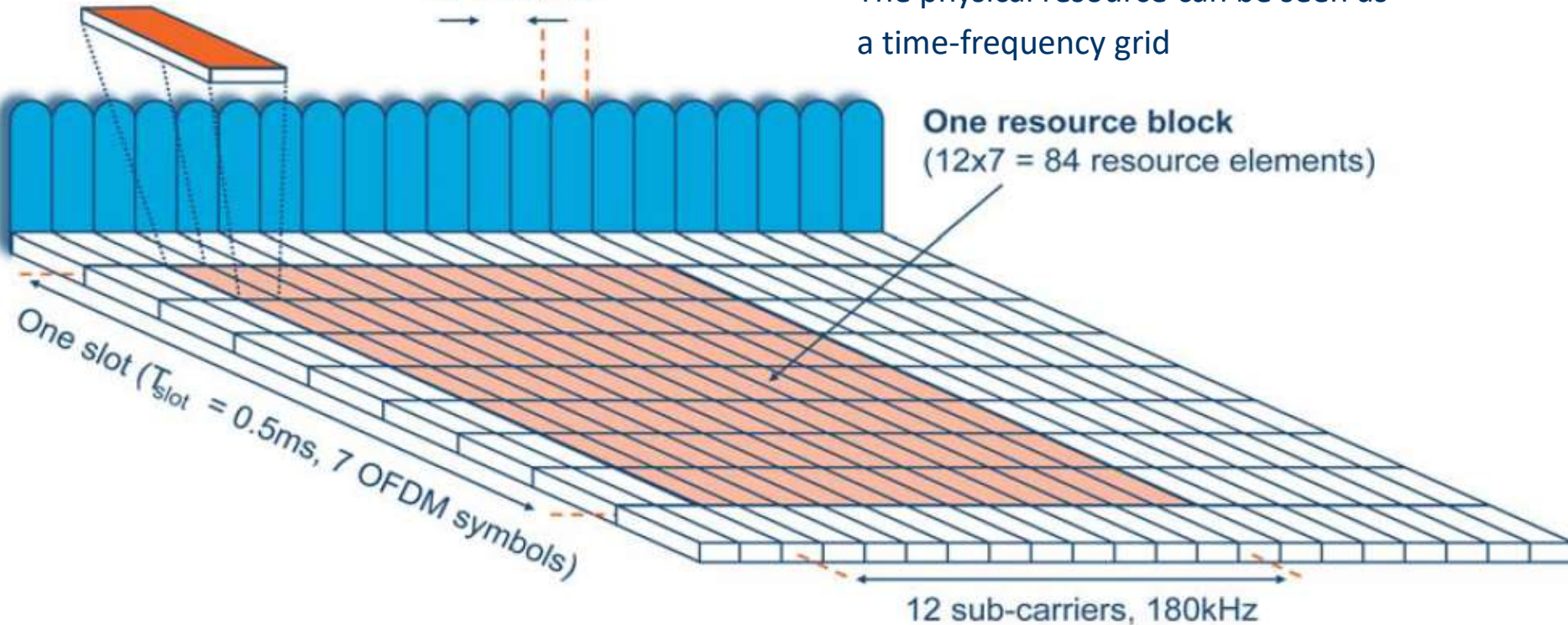
One resource element

QPSK, 2bits  
16QAM, 4bits  
64QAM, 6bits

$\Delta f = 15\text{kHz}$

The physical resource can be seen as a time-frequency grid

One resource block  
( $12 \times 7 = 84$  resource elements)



- LTE uses OFDM (Orthogonal Frequency Division Multiplexing) as its radio technology in downlink
- In the uplink LTE uses a pre-coded version of OFDM, SC-FDMA (Single Carrier Frequency Division Multiple Access) to reduced power consumption

*Multicarrier Modulation*

# Pengenalan SC FDMA

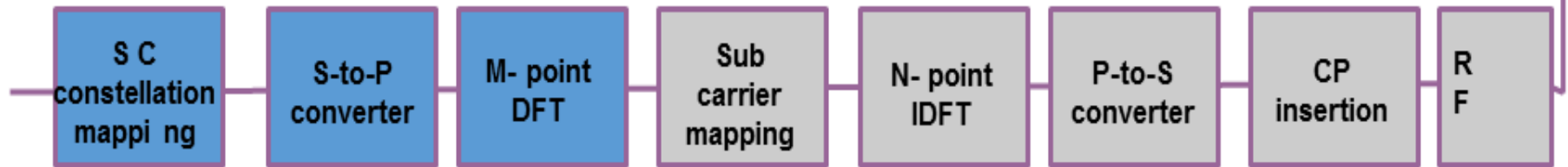


# SC-FDMA

- SC-FDMA :Single Carrier Frequency Division Multiple Access
- SC-FDMA merupakan skema modulasi hybrid yang menggabungkan low PAPR dari sistem single-carrier dengan sifat multipath resistance dan alokasi flexible subcarrier yang diberikan oleh OFDM
- SC menyelesaikan problem PAPR dengan melakukan grouping resource block untuk mengurangi kebutuhan linearitas penguatan dan konsumsi daya sehingga terjadi peningkatan coverage dan kinerja di pinggir sel.
- SC-FDMA menjadi salahsatu opsi WiMAX (802.16d) dan dipakai pada LTE untuk arah uplink.

# SC FDMA Functional blocks

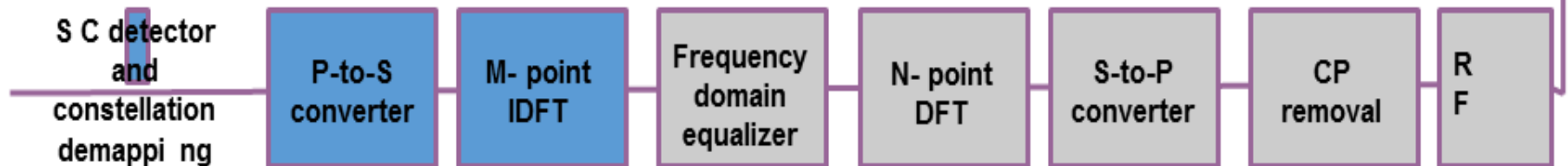
Bit stream



**SC-FDMA Transmitter**

$$M < N$$

Bit stream

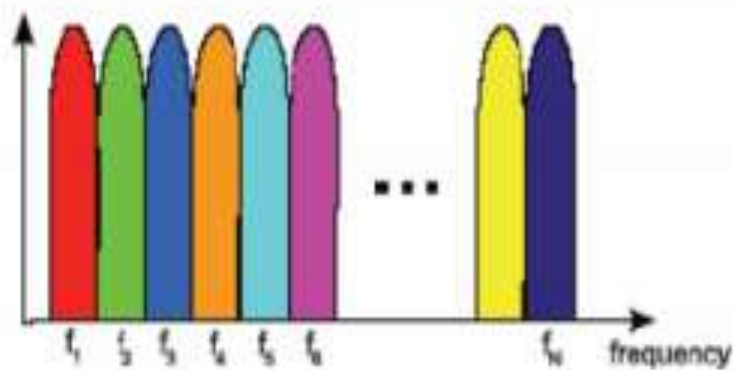


**SC-FDMA receiver**

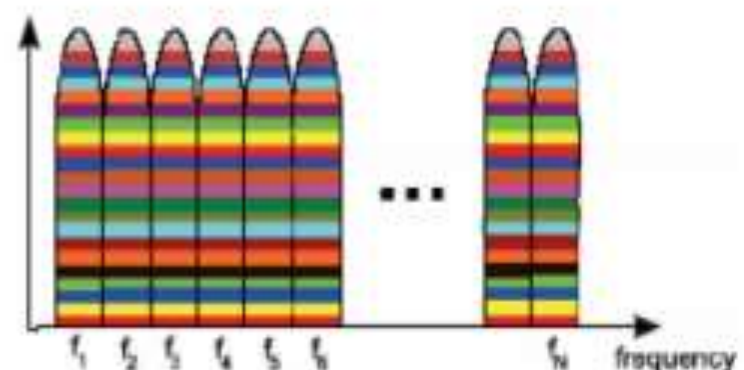


# Bentuk Sinyal SC-FDMA

- Sama seperti sinyal OFDMA, tetapi :
  - Pada OFDMA, tiap sub-carrier hanya membawa informasi yang terkait dengan satu simbol spesifik
  - Pada SC-FDMA, tiap sub-carrier mengandung semua informasi simbol yang terkirim

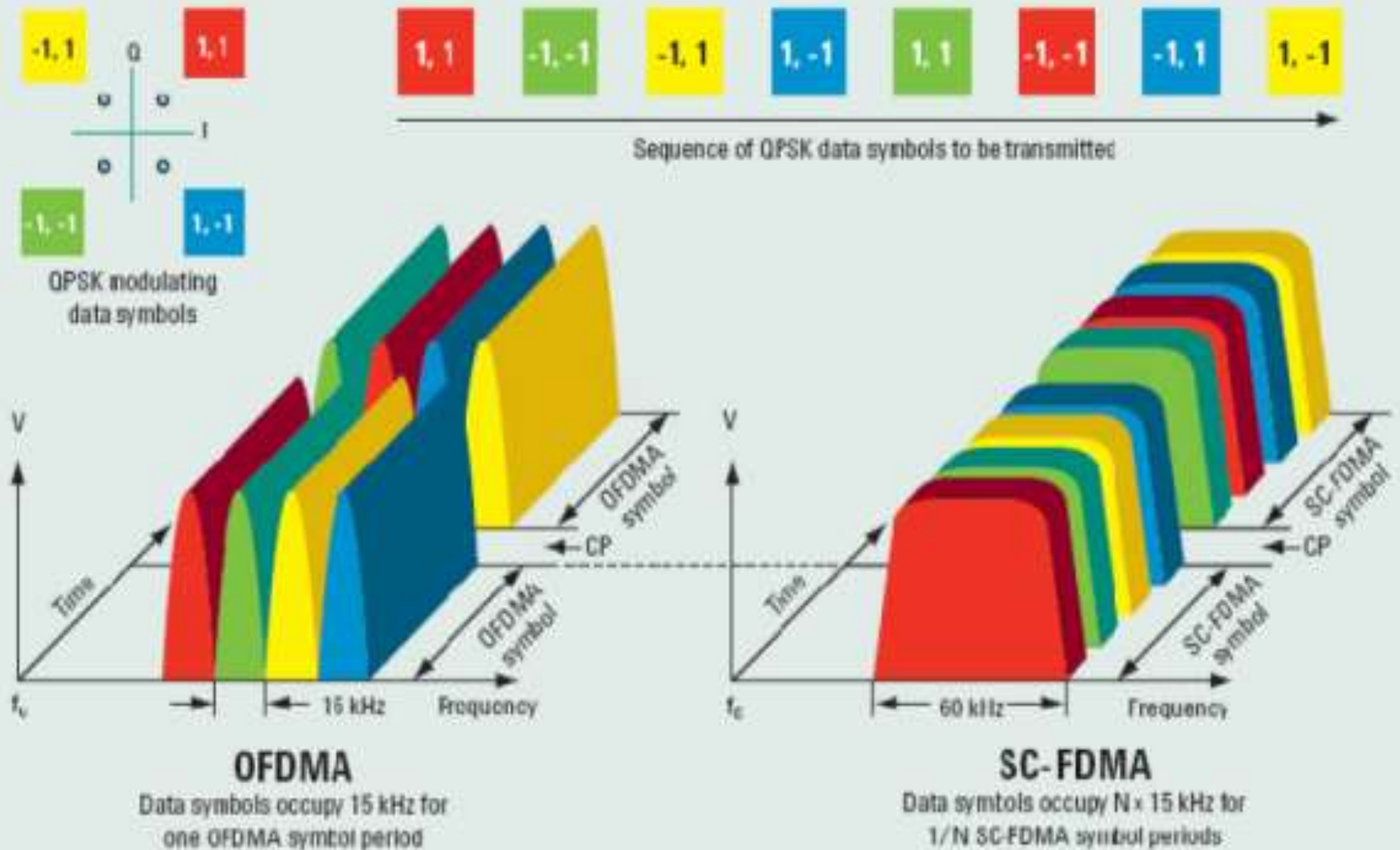


(a) OFDM subcarriers



(b) DFT-s-OFDM subcarriers

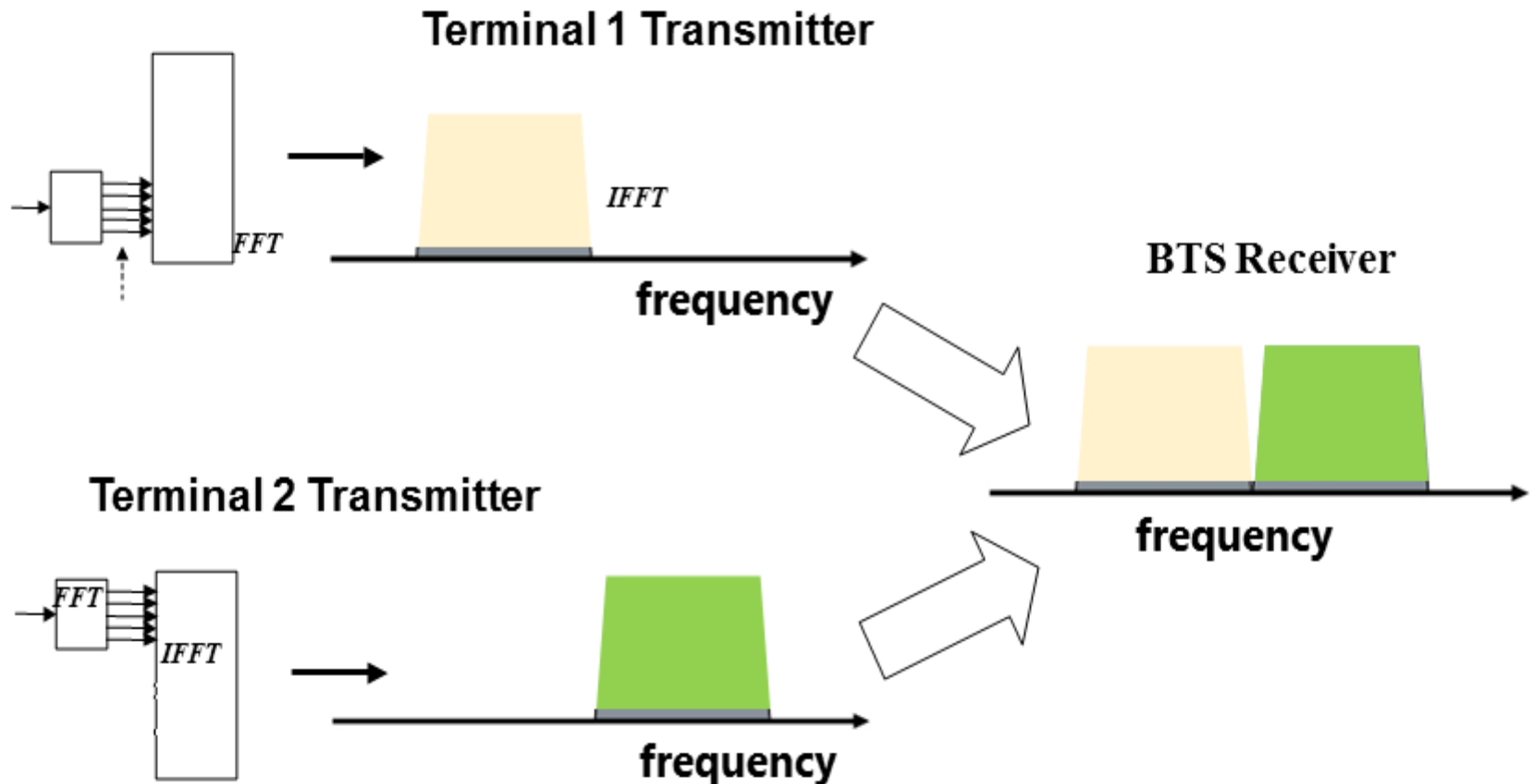
# Perbandingan OFDMA dan SC-FDMA



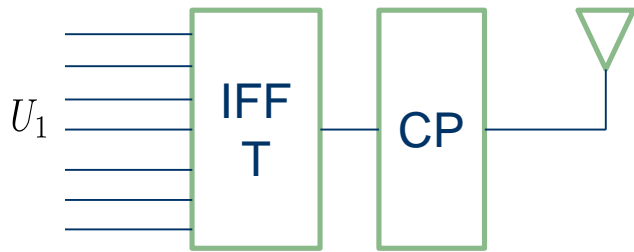
# Uplink Multiple Access – SC-FDMA

## User multiplexing in frequency domain

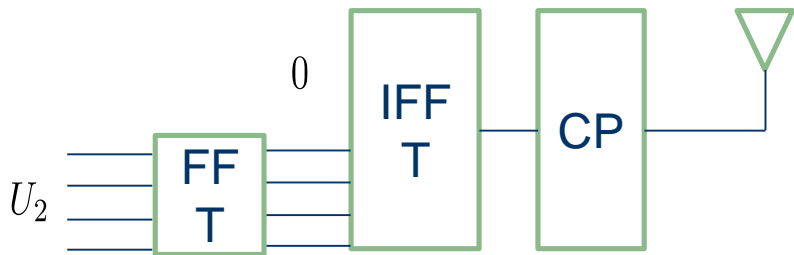
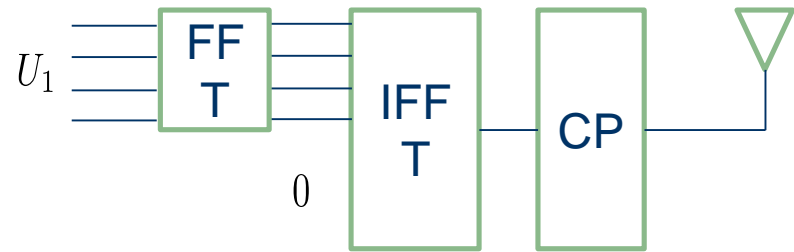
- Smallest uplink bandwidth 180 kHz.
- Largest 20 MHz (terminal are required to be able to receive & transmit up to 20 MHz, depending on the frequency band though.)



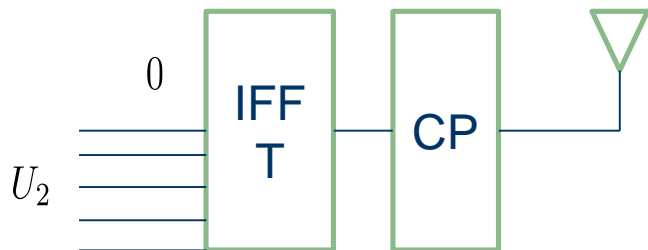
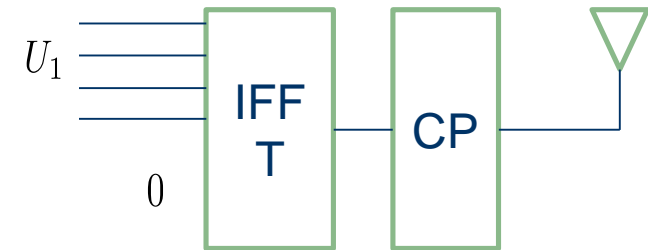
# OFDM, OFDMA, dan SC-FDMA



**OFDM**



**SC-FDMA**



**OFDMA**

- OFDM dan OFDMA memiliki peak-to-average Power (PAPR) yang besar (buruk) → boros power
- SC-FDMA memiliki PAPR yang rendah (baik)
- SC-FDMA dipakai pada Uplink 4G