New Research Proposal to Jefferson Lab PAC 43

Proposal of extension of the CLAS12 run-group Cb (ND_3 target)

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Abstract

The multi-dimensional mapping of the structure of the nucleon in terms of its partonic degrees of freedom is nowadays one of the main challenges of hadronic physics, and is at the core of the CLAS12 experimental program. Precise measurements of polarized parton distribution functions (PDFs) via deep inelastic scattering (DIS) give information on the spin content of the nucleon; the extraction of transverse momentum dependent distributions (TMDs) from semi-inclusive DIS (SIDIS) data provides the correlation between the transverse spin and momentum of the quarks; the generalized parton distributions (GPDs), accessible in exclusive electroproduction channels, finally, encode the interplay between the longitudinal momentum, the transverse position, and the spin of the quarks in the nucleon. An extensive experimental program geared towards the extraction of all the cited distributions is already scheduled for CLAS12, mainly on proton target. In particular, 120 days on polarized NH3 target are approved. However, in order to perform the flavor separation of PDFs, TMDs, and GPDs, measurements on neutron target, with comparable statistical precision, are necessary as well. This proposal aims at extending the running time of the approved run-group Cb, which currently requires 50 days of an 11-GeV electron beam impinging on a longitudinally polarized deuterium target (plus 15 days for target overhead and beam-polarization measurements), to 100 days of duration (plus 25 days of overhead). The driving motivations for this extension are the measurements of single and double target-spin asymmetries for deeply virtual Compton scattering on longitudinally polarized neutrons (nDVCS), of double and single spin asymmetries for SIDIS (with both pions and kaons), and double spin asymmetries for DIS on the deuteron. Considering the lower polarization of the neutron on ND3 (40%) with respect to the one of the proton in NH3 (80%) and the smaller cross sections on neutrons than on protons, the overall neutron figure-of-merit is up to a factor of 4 smaller than for the proton. At least, matching the integrated luminosity on protons with that on neutrons is necessary to perform the flavor separation of the aforementioned parton distributions, binned in the relevant kinematic variables. These data will also allow pioneering first-time measurements, such as polarized timelike Compton scattering on the neutron and deeply-virtual meson electroproduction off a polarized neutron.

Chapter 1

DIS on Longitudinally Polarized Deuterium

Proposal to increase the beam time allocation for the ND_3 part of Experiment 12-06-109
(approved by PAC 30 and rated "A" by PAC 36.)
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Abstract

We are proposing to add 50 more days of running to the 50 days already approved for the portion of Experiment 12-06-109 with CLAS12 and 11 GeV polarized electrons on longitudinally polarized deuterons (ND_3). This additional beam time (plus 10-15 days overhead) will significantly reduce the uncertainty on polarized parton distributions, in particular for d quarks, in the limit of large x, as well as for gluons and the strange quark sea at moderate to large x. It will bring the deuteron data at least closer to parity with the already approved 120 days of data on the proton, thus maximizing the information that can be extracted from a single experiment, as well as making more significant comparisons with other experiments (e.g. on ^{3}He) possible. This will be important to assess the impact of nuclear effects on the extraction of Δd at high x, and to guarantee that the unique opportunity to finally map out the asymptotic behavior of all quark distribution provided by Jefferson Lab's 12 GeV beam will be optimally utilized. In this proposal, we are providing updated estimates of various quantities that can be extracted from these data under the assumption of a doubling for the integrated luminosity on the deuteron.

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Chapter 2

Semi-Inclusive Deep Inelastic Scattering on a longitudinally polarized deuterium target

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Abstract

A comprehensive program to study Transverse Momentum Dependent distribution functions is foreseen for CLAS12. In particular, the E12-07-107 and E12-09-009 experiments aim to access the valence-quark transverse and longitudinal spin distributions through measurements of spin-azimuthal asymmetries in semi-inclusive electroproduction of pions and kaons. They will make use of the upgraded JLab 11 GeV polarized electron beam and the CLAS12 detector with longitudinally polarized proton and deuteron targets. The use of different targets, in conjunction with the detection of various hadrons in the final state, provides access to information about the flavor of the struck quark. As of today, 120 days of beam time are approved for longitudinally polarized proton target (NH_3), but only 50 for the deuteron one (ND_3). This proposal requests the addition of 50 more days to the CLAS12 Run group Cb (ND_3 target). This will be particularly beneficial for the high-p_T region, especially for the k^- channel, where the existing models are less constrained and their predictions for the SIDIS target-spin asymmetries differ the most. Furthermore, an increased statistics on ND_3 will permit to measure, for the first time, the semi-inclusive production of hadron pairs on longitudinally polarized deuterium. This can provide a unique access to the poorly known chiral-odd PDFs, which can then be separated according to their flavor via the combination with the results obtained on proton and deuteron targets.

2.1 Toward a multi-dimensional mapping of nucleon structure

The Transverse Momentum Dependent distribution functions (TMDs) provide a description of nucleon structure which is complementary to the one that can be obtained measuring Generalized Parton Distributions: the latter describe the correlation between the longitudinal momentum and the transverse position of the parton, while the former encode both the longitudinal and the transverse momenta of the parton. Thus, the TMDs share with the GPDs the dependence on the parton longitudinal momentum fraction, providing the additional information on its tranverse momentum k_T. The TMDs can be accessed through the semi-inclusive electroproduction of hadrons (**SIDIS**), *i.e.* the process where an electron scatters off a nucleon producing a hadron in the final state. In an intuitive picture, the final hadron carries information on the original dynamics of the struck quark, so that mapping the hadron kinematics provides information on the parton motion inside the nucleon. In the SIDIS cross section different structure functions (`SF`) are introduced, each being accessible through specific combinations of the polarizations of beam and target. Any SF contains two non-perturbative objects: the TMDs, encoding the parton dynamics in the nucleon, and the so-called Fragmentation Functions (**FF**), that describe the transition from the partonic degrees of freedom to the hadronic ones, *i.e.* the hadronization process. FFs and TMDs are coupled in a convolution integral over the quark transverse momentum, making the final TMD extraction model dependent through the assumptions on the (not measurable) k_T dependence.

At leading twist, the parton dynamics is described by eight **TMDs**, each one related to a specific combination of parton/hadron polarization, as shown in Table 2.1. The diagonal elements of the table are the momentum, the longitudinal and transverse spin distributions of partons, and represent well-known parton distribution functions related to the square of the leading-twist, light-cone wave functions. Off-diagonal elements require non-zero orbital angular momentum and are related to the overlap of light-cone wave functions with $\Delta L \neq 0$ [2]. The parton distributions $f_{1T}^{\perp}$ and $h_1^{\perp}$ represent the imaginary parts of the corresponding interference terms, while the functions g_{1T} and $h_{1L}^{\perp}$ represent their real parts. The TMDs $f_{1T}^{\perp}$ (chiral-even) and $h_1^{\perp}$ (chiral-odd) are known as the Sivers and Boer-Mulders functions, respectively [4, 5, 6, 7, 1, 3]. They describe unpolarized quarks in the transversely polarized nucleon and transversely polarized quarks in the unpolarized nucleon respectively. They vanish at tree-level in a T-reversal invariant model (T-odd) and can only be non-zero when initial or final state interactions cause an interference between different helicity states. These functions parametrize the correlation between the transverse momentum of quarks and the spin of a transversely polarized target or the transverse spin of the quark, respectively. They both require orbital angular momentum, as well as non-trivial phases from the final state interaction, that survive in the Bjorken limit.

As for the GPDs, also for the TMDs CLAS12 foresees a comprehensive program, including measurements of different observables with different targets and polarization degrees

N/q	U	L	T
U	f_1		$h_1^\perp$
L		g_1	$h_{1L}^\perp$
T	$f_{1T}^\perp$	g_{1T}	h_1 $h_{1T}^\perp$

Table 2.1: Leading-twist Transverse Momentum Distributions. Different rows and columns correspond, respectively, to different quark and nucleon polarization states.

of freedom. Furthermore, the detection of different hadron channels allows to tag the flavor of the struck quark, opening the avenue to a deeper understanding of the nucleon content and on the hadronization mechanism.

2.1.1 Scientific case

In the experiment proposed here, which requires to extend by 50 days the already approved experiment E12-09-009, the simultaneous presence of a longitudinally polarized beam and a longitudinally polarized target allows the measurement of longitudinal target and double-spin asymmetries (A_{UL} and A_{LL} respectively). In these asymmetries, a number of relevant TMDs appear:

$$\sigma_{UU} \propto F_{UU} \propto f_1(x, k_\perp) D_1(z_h, p_\perp) \tag{2.1}$$

$$\sigma_{UL} \propto F_{UL} \propto h_{1L}(x, k_\perp) H_1^\perp(z_h, p_\perp) \tag{2.2}$$

$$\sigma_{LL} \propto F_{LL} \propto g_{1L}(x, k_\perp) D_1(z_h, p_\perp) \tag{2.3}$$

where $z = P_1 \dot{P}_h / P_1 \dot{q}$ is the fraction of the virtual photon energy carried by the final hadron, $k_\perp$ and $p_\perp$ are the quark transverse momenta before and after the interaction with the virtual photon, P_1 and P_H are the four momenta of the initial nucleon and the observed final-state hadron, respectively. The unpolarized (D_1) and polarized ($H_1^\perp$) fragmentation functions depend in general on the transverse momentum of the fragmenting quark. For the longitudinal target spin asymmetry, the leading-twist modulation is a $\sin 2\phi$ moment, that provides access to the Kotzinian function $h_{1L}^\perp$, *i.e.* the `T-even` counterpart of the Boer-Mulders function. The same distribution function is also accessible in double-polarized Drell-Yan production. It describes the correlations of the tranverse spin and momentum of quarks in a longitudinally polarized nucleon and, being an off-diagonal element, requires a non-zero orbital angular momentum to be non vanishing. Moving beyond the leading-twist approximation, a second, `twist-3` modulation is expected in A_{UL}. It can be accessed as a $\sin\phi$ moment, and provides access to a combination of different TMDs and FFs. The simultaneous extraction of leading and higher-twist modulations in the observables at the CLAS12 kinematics will play an essential role in sizing effects beyond the leading twist. The CLAS12 kinematic coverage, indeed, is characterized by a Q^2 value laying in a region where possible higher-twist phenomena are still active.
Double-spin asymmetry A_{LL} is proportional to the diagonal TMD $g_1(x, k_\perp)$, that reduces

to the 1D helicity distributions once the $k_\perp$ dependence is integrated out. Measurements of the P_T dependence of A_{LL} for different hadron channels will provide access to widths in transverse momentum for different flavors. Also interesting is the exploration of the Collins mechanism, encoded in the FF that appears coupled to $h_{1L}^{\perp}$ in A_{UL}. In the so-called u-quark dominance scenario, where the fragmentation is led by the dominant flavor in the nucleon, similar results would be expected from pion and kaon fragmentation. However, the available results from HERMES (and COMPASS) on kaons do not confirm this scenario, with a signal for positive kaons being larger than for pions, while for negative kaons they are compatible. The kaon signals are a challenge for the present understanding of the underlying physics processes. Detailed studies require disentanglement of the different contributions, which is possible only with high-precision mapping of the kinematical dependences. The surprising and controversial pattern of azimuthal asymmetries for kaons is an indication of a non trivial role of the sea quarks in the nucleon, or of a peculiar behaviour of the fragmentation mechanism in the presence of strange quark.

In order to shed light on the hadronization mechanism, a high-precision mapping of the kinematic dependences, in conjuction with a excellent hadron identification will be mandatory. Furthermore, measurements for `different hadron channels` (that allow to tag the flavor of the decaying quark) on `different targets` will allow to extract different combinations of TMD and favored/unfavored fragmentation functions.

2.1.2 Channel selection and data analysis

The process of interest is the semi-inclusive electroproduction of a single hadron, *i.e.*

$$e(k)d(p) \Rightarrow e(k')h(P)X \tag{2.4}$$

The electron scatters off the deuterium through the exchange of a virtual photon. The latter interacts with one of the nucleon partons (a quark, in the CLAS12 kinematics) that eventually hadronizes through a fragmentation process producing the hadron h in the final state.

Particle identification will mainly exploit the forward detectors of CLAS12. Electrons will be identified through the calorimeter system (PCAL + EC), the time of flight and the high-threshold Cherenkov counter, and the tracking information will come from the Drift Chambers. Charged pions will be identified through the combination of tracking, time-of-flight and Cherenkov counter information. The neutral pions will be reconstructed through their two-photon decays, exploiting information from the calorimeters. In order to get a reliable particle identification in the kinematical region of interest, the use of the `RICH` detector will be mandatory, being the complementary PID system of CLAS12 not efficient in the kinematics proper of SIDIS hadrons (see, e.g., Fig. 2.2). The final sample will be selected applying deep-inelastic cuts ($Q^2 > 1$ GeV^2, $W >$1 GeV) to select a regime where scaling is already at work and to exclude possible contributions from nucleon resonances. Contamination from target-fragmentation hadrons will be removed by applying a cut on

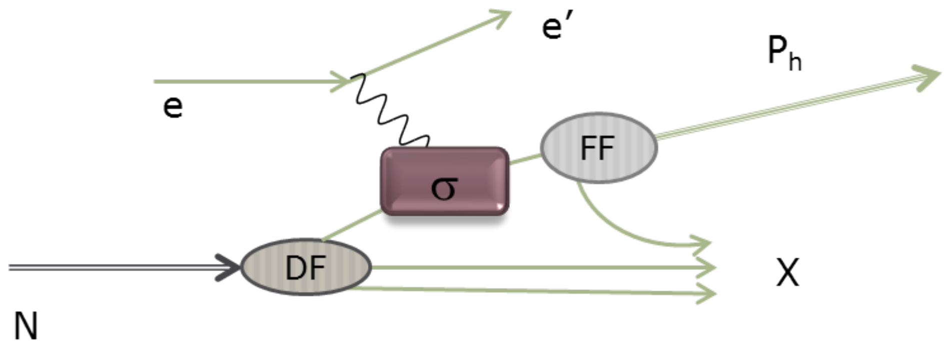

Figure 2.1: Semi-inclusive electro-production of a hadron h. The box labeled σ represents the hard part of the cross-section, described by quantum electrodynamics. The soft, non-perturbative blob represents the distribution functions (DF) that describe the dynamics of the partons in the nucleon (DF=TMD in the SIDIS case), while FF represent the hadronization of the struck quark to the final hadron.

the fraction of the virtual photon energy carried by the hadron, z, that will also remove contributions from the exclusive channels. At 6 GeV the typical z cuts were $0.4 < z < 0.7$, the lower one removing contamination from delta-mediated decays and the higher one from residual exclusive events. As an example, in Fig. 2.3 the distribution of $m_{e^-\kappa^+X}$ is shown as a function of the z of the positive kaon. The contribution from exclusive events, peaking at the nucleon mass, appears clearly visible in the high-z region and will be removed through the above-mentioned upper cut on z.

The relevant variables for mapping single and double spin asymmetries are the ones describing the electron kinematics, (x_B, Q^2), the hadron tranverse momentum P_T and the fraction of the virtual-photon energy carried by the hadron z. The latter appear in the fragmentation functions, and are proper to the hadronization process. Distributions on p_T for positive and negative pions are shown in Fig. 2.5: left plot refers to the positive kaons, while right plot to negative. In order to extract the relevant azimuthal modulations, the asymmetries will be measured as a function of the angle ϕ, formed by the leptonic and hadronic planes, shown in Fig. 2.4 and defined according to the Trento Conventions. The acceptance in ϕ for charged kaons is shown in the two upper plots of Fig. 2.5. The left plot shows the ϕ distribution for positive kaons, while the right one refers to negative kaons.

2.1.3 Projections

The projections in this section are based on a full simulation of inclusive and semi-inclusive inelastic scattering with the CLAS12 acceptance folded in. Events were generated with the clas12DIS generator [9], an implementation of the LUND Monte Carlo package PEPSI (Polarized Electron-Proton Scattering Interactions) [8]. It is based on polarized and unpolarized parton distribution functions and the LUND string model for hadronization. It has

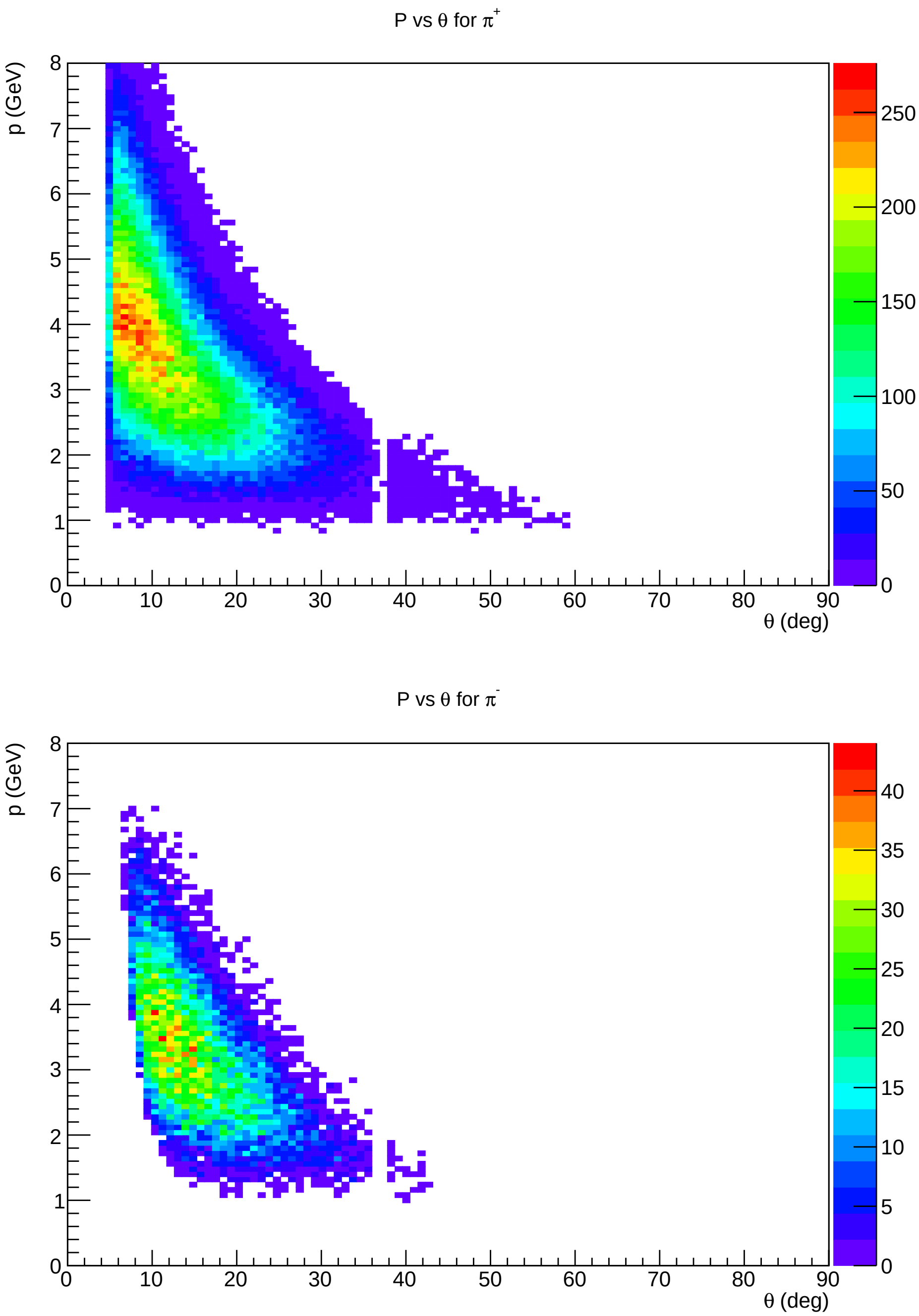

Figure 2.2: P *v.s.* θ for positive (top plot) and negative (bottom plot) kaons. The distributions are produced by selecting SIDIS kaons as described in Sec. 2.1.2.

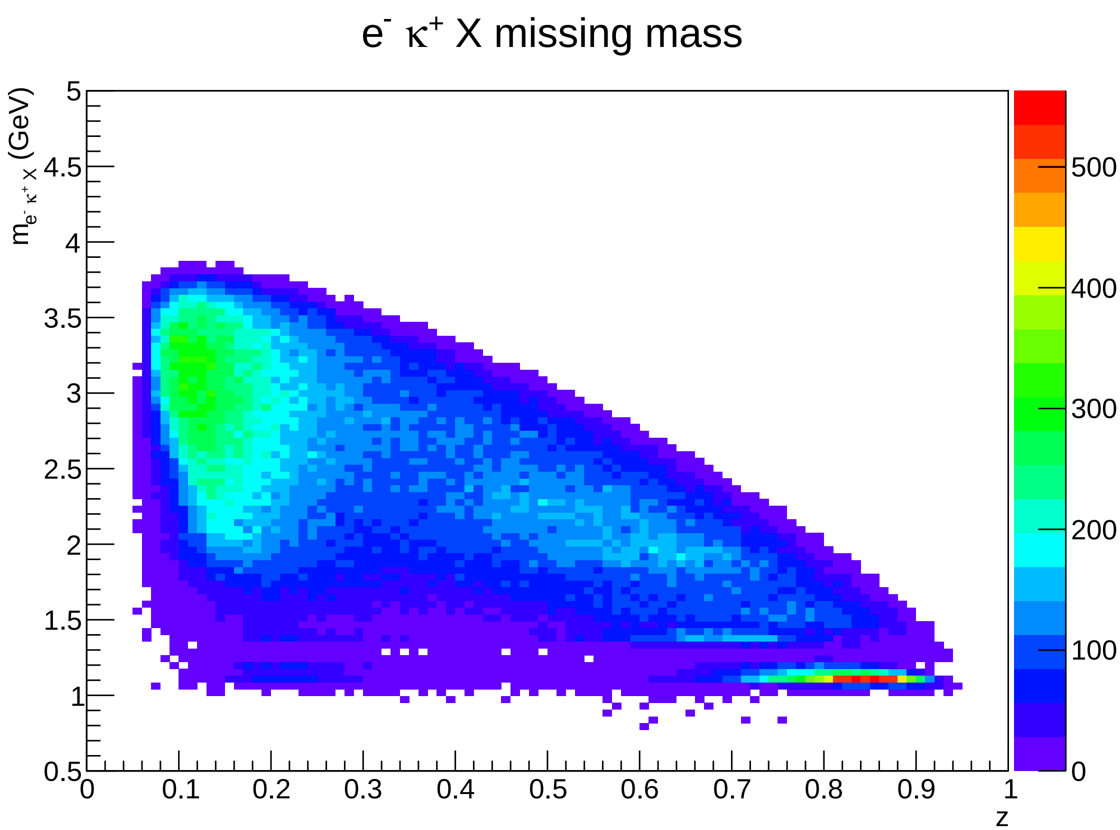

Figure 2.3: Distribution of the $e^{-}\kappa^{+}X$ missing mass as a function of z of the positive kaon. The contribution from the exclusive peak appears clearly in the high-z region.

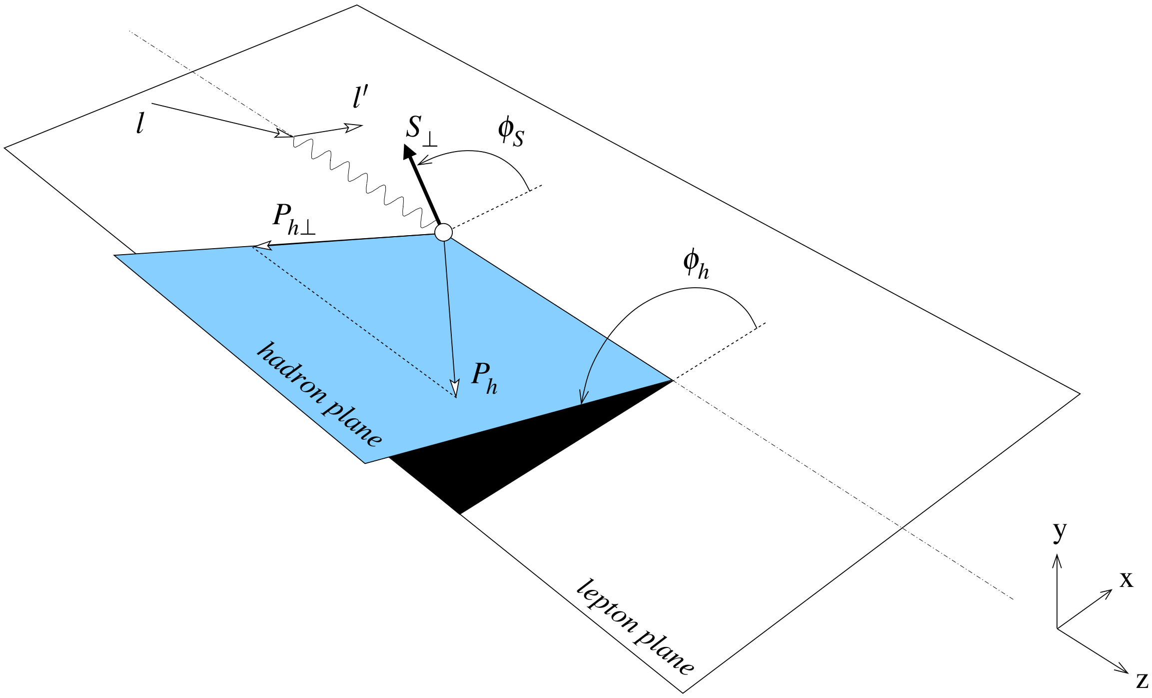

Figure 2.4: Definition of the angle ϕ, formed by the leptonic and the hadronic planes.

been tested successfully against several low-Q^2 experiments with 5.7-GeV beam at Jefferson Lab.

A fast Monte Carlo simulation program has been used to define the acceptance and resolution of the CLAS12 detector with all of the standard (base) equipment in place. The kaons were assumed identified 100% in sectors covered by the CLAS12 RICH, and also at energies above 5 GeV, where the pions start to fire the High Threshold Cherekov Counter (HTCC). The events generated by clas12DIS are used as input and all particles are followed through all detector elements. The results of this simulation have been cross-checked with direct cross-section calculations and a simple geometric acceptance model. The resolution of the detector is simulated by a simple smearing function which modifies a particle's track by a random amount in momentum and angles according to a Gaussian distribution of the appropriate width.

2.1.4 Expected results

Kaon production being suppressed by an order of magnitude with respect to pion production, observables related to kaon production in DIS will benefit the most from the additional 50 days on a longitudinally polarized deuteron target requested in this proposal. Simultaneous measurements of the Kotzinian-Mulders asymmetry for pions and kaons on proton and deuteron targets will provide an independent measurement of ratios of their Collins

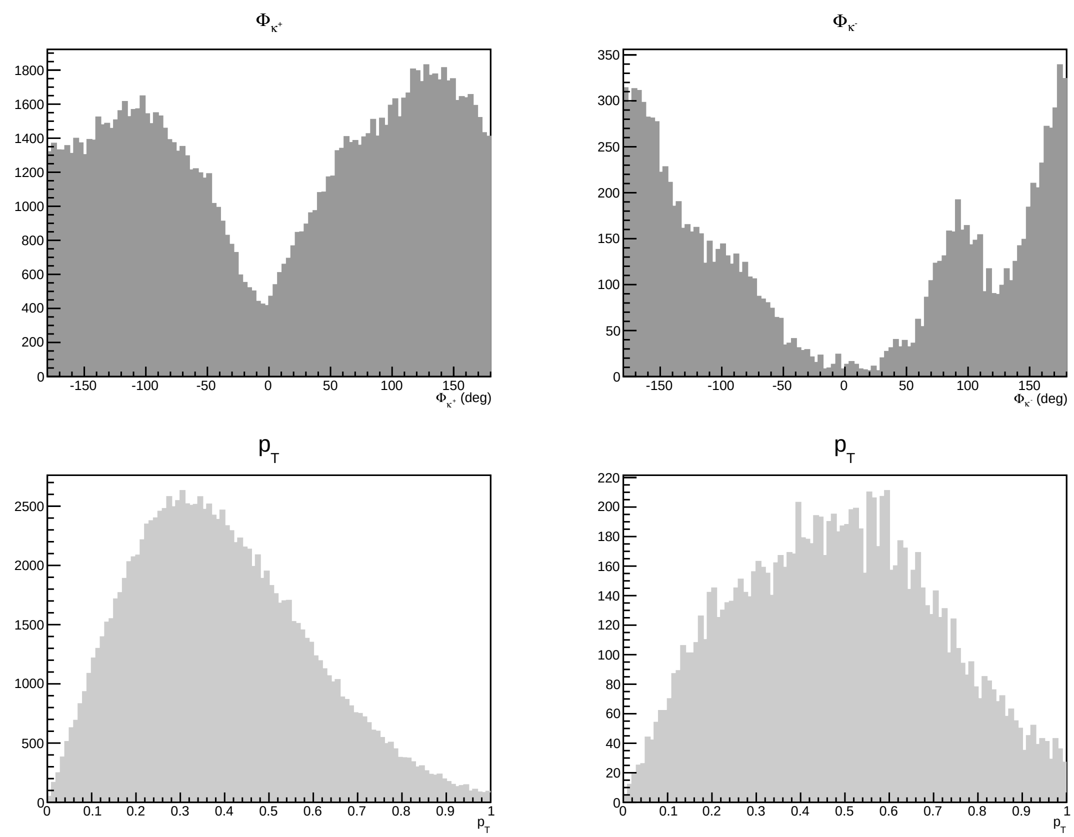

Figure 2.5: Definition of the angle ϕ, formed by the leptonic and the hadronic planes.

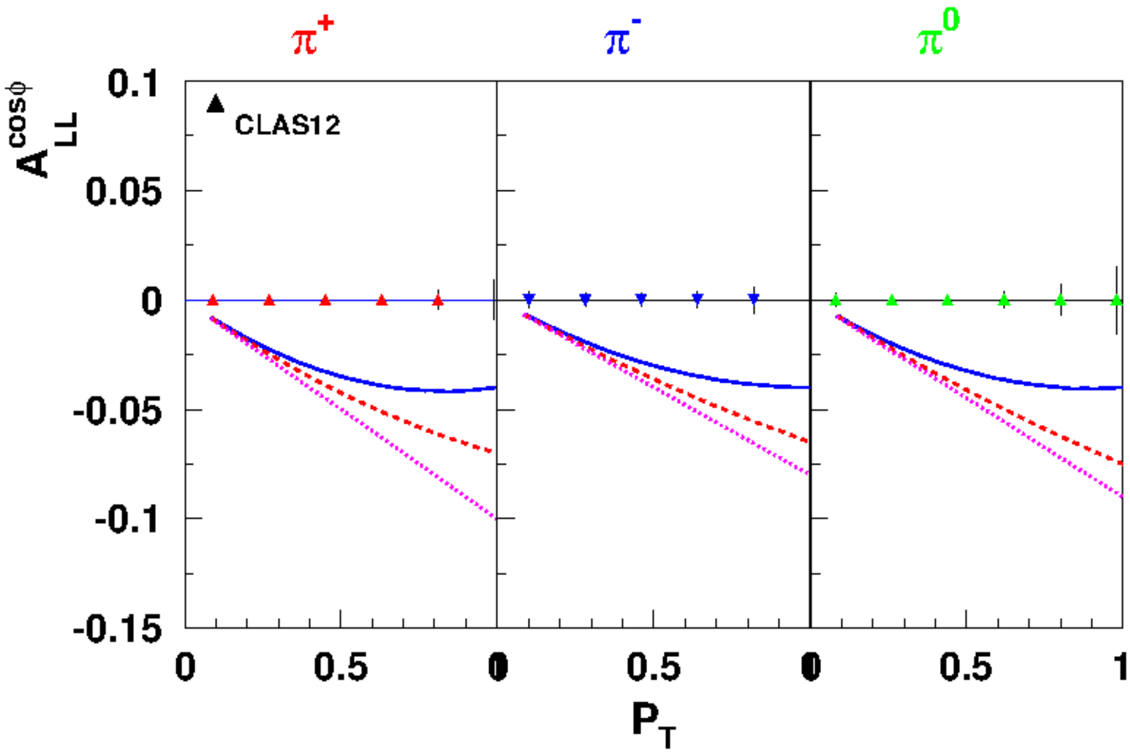

Figure 2.6: $\cos\phi$ moment of the longitudinal double spin asymmetry for SIDIS kaon electroproduction, as a function of p_T. Left plot: positive pions; central plot: negative pions right plot: neutral pions.

functions, providing complementary measurements to the e^+e^- ones. The extracted dependencies on (x_B, Q^2, P_T, z) on both pions and kaons will provide access to widths in the transverse momentum of different underlying partonic distributions, like g_1 and h_{1L}, and to their flavor dependence. Proposed measurements can be used to test the evolution properties of the Collins function. They will also provide a check of chiral limit prediction, where the ratio of pion and kaon fragmentation functions is expected to be at unity. Figures 2.6 and 2.7 show the distributions of the double-spin asymmetry constant term on deuteron as a function of the hadron p_T, for pions and kaons respectively, for a total beam time of 100 days (the 50 already approved for Run Group C plus the 50 more requested in this Run-Group-extension proposal). **add description of models** While pion projections show already a reasonable discriminating power among the available models, kaon ones turned out to be barely sufficient to test the phenomenological accuracy of the models, especially in the high-p_T region where the hadron acceptance drops (see Fig. 2.5). This affects in particular k^-, the rate of which is suppressed with respect to k^+. The high-p_T region is also the one less constrained from other measurements, and it would benefit the most from an increased statistics for the CLAS12 measurement. The $\sin 2\phi$ moment of the longitudinal target-spin asymmetry is shown in Fig. 2.8 as a function of x_B, for both positive

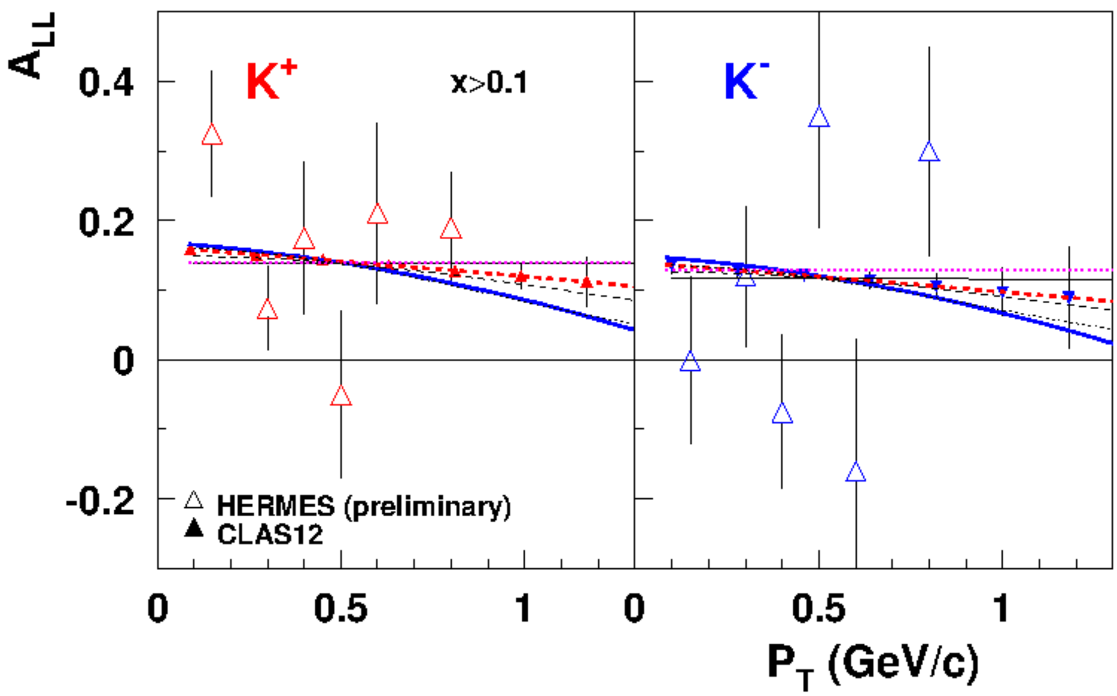

Figure 2.7: $\cos\phi$ moment of the longitudinal double-spin asymmetry for SIDIS kaon electroproduction, as a function of p_T. Left plot: positive kaons; right plot: negative kaons.

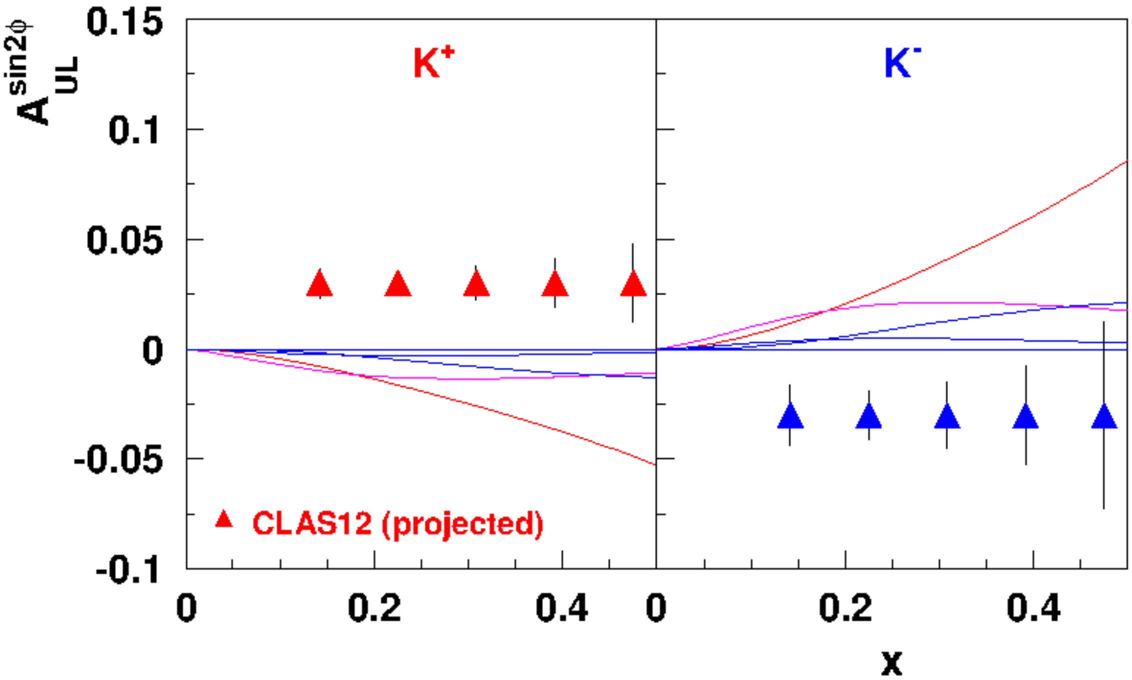

Figure 2.8: $\sin\phi$ moment of the longitudinal target-spin asymmetry for SIDIS kaon electroproduction, as a function of p_T. Left plot: positive kaons; right plot: negative kaons.

(left) and negative (right) kaons. Projections in the high-x_B region show the importance of an increased statistics, since it is where the present models are less constrained and differ the most in their prediction. The valence region is the main domain of CLAS12 physics, and it is mandatory to assure a reasonable statistical coverage for the relevant channels - as the semi-inclusive production of kaons - in this regime, not being accessible by the other experiments.

2.1.5 Semi-inclusive production of hadron pairs

In addition to the semi-inclusive production of single hadrons, other semi-inclusive channels would benefit from an increased statistics on a longitudinally polarized deuteron target. In the last years, for example, an increasing role is being played by the SIDIS production of hadron pairs, which gives the cleanest access to the chiral-odd one-dimensional picture of the nucleon. Differently from the quark (unpolarized) and helicity 1D distribution functions, the transversity distribution, as well as the two higher-twist PDF $e(x)$ and $h_L(x)$, cannot be accessed through inclusive DIS. This is due to their *chiral-odd* nature, that prevents the access through inclusive observables. In order to be accessed, indeed, they have to appear in the observables coupled to a second *chiral-odd* function, the so-called Di-Hadron fragmentation functions. The latter are the analogous of the single hadron FF described earlier in the text, and encode the fragmentation of the struck quark to the final `hadron pair`. The main advantage of the di-hadron production is the fact that, while in the single-hadron case the distribution functions and the fragmentation functions appear coupled in the structure functions through a convolution integral, in the di-hadron case they are coupled through a simple product, making the final extraction easier and less sensitive to model assumptions. Among the chiral-odd PDFs, the higher-twist $h_L(x)$ is by far the least known. It can only be accessed through the di-hadron longitudinal target-spin asymmetry, where it appears coupled to the interference fragmentation functions $H_1^{\sphericalangle}$, that represents the analogous of the Collins function of the single-hadron case. Together with $e(x)$, it opens the avenue to a deeper understanding of the quark-gluon-quark correlations inside the nucleon. No measurements of such an observable are presently available on a deuteron target. Preliminary analysis on a NH_3 target by CLAS shows a first non zero A_{UL} on the proton. This preliminary observation would be greatly improved by a high-precision extraction in the extended kinematics accessible by CLAS12, both on a proton and on a deuteron target. A combined measurement of the di-hadron A_{UL} on both proton and deuteron will be highly beneficial, since it will allow to perform the flavor separation of the PDFs and of the di-hadron FF. As for the single-hadron case, in order to properly disentangle the dependences of the PDF and the FF, a multidimensional mapping will be essential. Due to the reduced phase-space for the di-hadron case with respect to single hadron, an increased statistics will be essential to reach a proper accuracy in all the bins.

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Chapter 3

Deeply Virtual Compton Scattering on the neutron with a longitudinally polarized deuteron target

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Abstract

Measurements of Deeply Virtual Compton Scattering on the neutron (nDVCS) are necessary for a complete description of nucleon structure in terms of Generalized Parton Distributions (GPDs). Combining DVCS results from both proton and neutron targets will permit the flavor decomposition of the GPDs. An experimental program of nDVCS has commenced at JLab with the already-approved experiment E12-11-003 to measure beam-spin asymmetries over a wide kinematic range using the CLAS12 detector. Here we propose to extend this program by measuring, for the first time, both target-spin and double-spin asymmetries for nDVCS using a longitudinally polarized deuteron target inside CLAS12. The measurements will be made detecting the electron and the photon in the forward part of CLAS12, and the recoil neutron in the recently completed Central Neutron Detector, thus assuring the exclusivity of the nDVCS reaction ($ed \to e'n\gamma(p)$). By fitting these results together with the beam-spin asymmetries measured by E12-11-003 at the same kinematic points, an extraction of several neutron Compton Form Factors (CFFs) can be made. $\Im\mathrm{m}(\mathcal{E}_n)$ and $\Im\mathrm{m}(\mathcal{H}_n)$ will be especially well determined thanks to their dominance in the beam- and target-spin asymmetries, respectively. Quark-flavor separation of the GPDs then becomes possible through a combination of the extracted neutron CFFs with those obtained from proton DVCS. In order to provide an accurate mapping of the nDVCS single and double target-spin asymmetries over the available 4-dimensional (Q^2 , x_B, $-t$, ϕ) phase space, and thus achieve an accurate extraction of the neutron CFFs accessible from these observables, we request 50 more days of running on a ND_3 polarized target to add to the 50 existing ones of Run Group C of CLAS12, plus a total of 25 days of calibration and ancillary runs, with the maximum available beam energy of 11 GeV. This proposal was conditionally approved (C2) by PAC43, with the request to represent it at PAC44 split it into a run-group proposal for the existing 50 days of Run-group Cb and an extension-request proposal for the other 50 days.

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