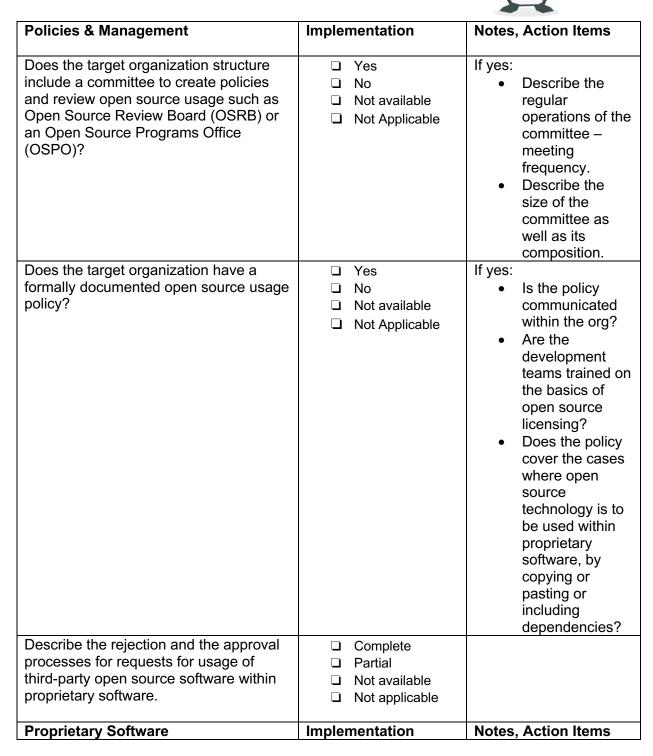


Official Checklist

Introductory Merger & Acquisition Checklist



Describe the target's proprietary product	□ Complete	
or products	□ Partial	
	□ Not available	
	□ Not applicable	
Describe the distribution mechanisms	□ Complete	
(cloud, Saas, hosted, on-prem, mobile,	☐ Partial	
client side browser code)	☐ Not available	
A 1 (4)	☐ Not applicable	
Any unknown copyleft licensed (Affero	☐ Yes	
GPL, GPL, LGPL, MPL) code used in	□ No	
proprietary software?	☐ Not available	
Any dual-licensed software that the	☐ Not Applicable☐ Yes	
target is using under a commercial	⊔ Yes □ No	
license (MySQL, Ghostscript)	☐ Not available	
incerise (MyOQL, Onostscript)	☐ Not applicable	
List any source code modifications made	☐ Complete	
to open source.	☐ Partial	
	☐ Not available	
	□ Not Applicable	
Describe interaction of each element of	☐ Complete	
open source with proprietary software	□ Partial	
(statis or dynamic linking.)	□ Not available	
	□ Not Applicable	
Describe the usage of the following (if	☐ Complete	
any):	☐ Partial	
 Export control and Cryptography 	□ Not available	
Databases (MySQL or	□ Not Applicable	
MongoDB)		
Web Services (AWS)		
Shipping containers or virtual		
machines		
 Any commercially licensed software 		
 Repository or package managers (maven, npm) 		
Are copywright headers maintained in	☐ Yes	
proprietary source code?	□ No	
	□ Not available	
	□ Not applicable	
Has any proprietary code been	☐ Yes	
developed by 3 rd party software	□ No	
suppliers/contractors outside the	□ Not available	
company?	□ Not applicable	
Tooling	Implementation	Notes, Action Item
Is there any open source code scanner	☐ Yes	If yes:
or similar tool deployed to detect,	□ No	Provide the
manage and enforce open source	☐ Not available	name and
policies?	Not applicable	version

		 Describe the frequency of the scans Describe the process around detection and patching of open source related security vulnerabilities
3 rd Party Open Source Software	Implementation	Notes, Action Item
Does Target contribute code or	☐ Yes	If yes:
resources to any 3 rd party open source	□ No	 Specify the
projects, or has it ever done so?	Not available	projects and
	Not applicable	provide details of
		the contributions
		(licenses,
		websites, and
		whether in code,
		resources, or
Do any target employees hold positions	☐ Yes	monetary) If yes:
on external open source projects, such	□ res	Identify the
as committers or project management	☐ Not available	project,
committees?	☐ Not applicable	employee and
	Not applicable	position
Open Source Claims	Implementation	Notes, Action Item
Describe any claims of non-compliance	☐ Complete	
made to the target, and any progress	□ Partial	
toward resolving them.	Not available	
	□ Not Applicable	
Document Request List	Implementation	Notes, Action Item
Recent Open Source Bill of Materials or	☐ Complete	
Open Source Disclosures listing all the	☐ Partial	
open source used target's products are	☐ Not available	
received.	☐ Not Applicable	
Documents relating to open source	□ Complete	
usage, approval and rejection policies	☐ Partial	
are received	☐ Not available	
	□ Not Applicable	
Any available 3 rd party notices that may	☐ Complete	
be displayed or distributed with target's	☐ Partial	
products are provided	Not available	
	□ Not Applicable	
Suppliers that provide open source as	□ Complete	
part of tangible inputs that are included	☐ Partial	
in the target's products (chips,	□ Not available	
computers) are identified.	Not Applicable	

Copies of policies covering IP ownership	☐ Complete
and development by employees and	☐ Partial
contractors are received	☐ Not available
	□ Not Applicable
Copies of contracts with 3 rd	☐ Complete
parties/contractors for development of	☐ Partial
software (along with details attached)	□ Not available
are received.	□ Not Applicable
Confirmed whether any 3 rd party sources	□ Complete
of software produced or consumed by	☐ Partial
the target have been certified as	☐ Not available
OpenChain Conformant	☐ Not Applicable