

IMPROVEMENT NOTICE

This notice is issued pursuant to sections 101 and 116 of the
Health and Safety at Work Act 2015

Notice issued to: Daltons Ltd

Address: 405 McLeans Island Rd, Christchurch

Date of issue: Thursday, 01 Oct 2020

Time: 09:24 a.m.

Details of person notice left with:

Name: Garry Clark

Position: Manager

Address: garry.clark@daltons.co.nz

I, Michael Blennerhassett

Being an inspector appointed under section 163(1) of the Health and Safety at Work Act 2015 (the Act) reasonably believe that you,

- ☒ *are contravening a provision of the Act or regulations made under the Act, or
☐ *are likely to contravene a provision of the Act or regulations made under the Act (* tick as appropriate)

And require you to remedy this actual or likely contravention, or the things or activities causing or likely to cause a contravention.

Legislative provision being or likely to be contravened:

Health and Safety at Work Act 2015, Section 36(1)(a)

How the legislative provision is being, or is likely to be, contravened:

Admission by Garry Clark that there is not an effective lock-out system or procedure in place to ensure the safety of workers when undertaking maintenance or cleaning of machinery.

As a PCBU, Daltons Ltd has failed to ensure, so far as is reasonably practicable, the safety of workers while at work. In particular, have failed to ensure that safe systems of work are provided and maintained.

Recommended prevention or remedial measures:

Establish a system of work to ensure the effective lock-out of plant and machinery during maintenance and cleaning tasks, and any other time where workers may be harmed by the unintentional activation of the machinery.

Ensure that affected workers are trained in the use of the system, and also consider training to NZQA Unit Standard 25043 or equivalent.

Refer to WorkSafe Best Practice Guidelines for Safe Use of Machinery 8.1.9 Isolation and Lock-out Procedures. Provide evidence of compliance to WorkSafe NZ.

Remedy required within period beginning on date of issue and ending on: 30-10-2020

Postal address: PO Box 13278, City East, Christchurch 8141

Inspector's signature:



Contact email: mike.blennerhassett@worksafe.govt.nz

A PERSON ISSUED WITH THIS NOTICE WHO FAILS TO COMPLY WITHIN THE PERIOD SPECIFIED COMMITS AN OFFENCE. A COPY OF THIS NOTICE MUST, AS SOON AS PRACTICABLE, BE DISPLAYED IN A PROMINENT PLACE AT OR NEAR THE WORKPLACE, OR PART OF THE WORKPLACE, AT WHICH WORK IS BEING CARRIED OUT THAT IS AFFECTED BY THE NOTICE. IT IS AN OFFENCE NOT TO DO SO, AND/OR TO INTENTIONALLY REMOVE, DESTROY, DAMAGE OR DEFACE THIS IMPROVEMENT NOTICE WHILE IT IS IN FORCE.

Information: If you wish to discuss the circumstances giving rise to this notice, in the first instance please contact the Inspector who issued the notice. It is important that you do this well before the end date of the compliance period stated above, if there are circumstances preventing you from complying with the notice as the failure to comply may result in prosecution. Any other queries or correspondence related to this notice should be addressed to the manager at the address shown above.

Review and Appeal Rights

A person affected by the decision of the inspector to issue this notice (or their representative) may apply to WorkSafe for internal review of the decision within the period specified for compliance with the notice, or 14 days after the day on which the decision first came to their notice, whichever is the lesser. The decision to issue the notice may also be appealed to a District Court on the grounds it is unreasonable, but only if it has first been reviewed by WorkSafe and WorkSafe has made a decision on the review.

If there is anything you do not understand about your review and appeal rights, you should consult a lawyer.

SUMMARY OF KEY PROVISIONS IN THE HEALTH AND SAFETY AT WORK ACT 2015

SECTION 101 POWER TO ISSUE IMPROVEMENT NOTICES

An inspector, who reasonably believes that any person is contravening, or is likely to contravene a provision of the Act or regulations, may issue a written notice requiring the person to remedy the contravention.

SECTION 103 COMPLIANCE WITH IMPROVEMENT NOTICES

It is an offence not to comply with this notice within the specified time frame. The penalty is a maximum fine upon conviction of \$50,000 for an individual and \$250,000 for any other person. However, it is not an offence to fail to comply with recommendations in an improvement notice.

SECTION 104 EXTENSION OF TIME FOR COMPLIANCE WITH AN IMPROVEMENT NOTICE

An inspector may, by written notice, extend the compliance period for the improvement notice. **The inspector may extend the compliance period only if that period has not ended.**

SECTION 114 WORKSAFE MAY VARY OR CANCEL NOTICE

Other than minor changes, a notice issued by an inspector may be varied or cancelled only by WorkSafe, not the inspector.

SECTION 117 DISPLAY OF NOTICE AT WORKPLACE BY PERSON ISSUED WITH NOTICE

A person to whom a notice is issued must, as soon as practicable, display a copy of that notice at or near the workplace, or part of the workplace, at which work is being carried out that is affected by the notice. It is an offence to fail to comply with this requirement, or to intentionally remove, destroy, damage, or deface a displayed notice while it is in force. The penalty is a maximum fine upon conviction of \$5,000 for an individual and \$25,000 for any other person.

SECTION 118 INSPECTOR MAY DISPLAY NOTICE

An inspector who issues this notice may, either before or after issuing the notice, display a copy of the notice in a prominent place at or near the workplace, or part of the workplace, at which work is being carried out that is affected by the notice.

SECTION 131 APPLICATION FOR INTERNAL REVIEW

Any person affected by an inspector's decision to issue an improvement notice or to extend the time to comply with it may, within the period specified in the notice for compliance or 14 days, whichever is the lesser, apply to WorkSafe for a review of the decision. The application must be made in the manner and form required by WorkSafe.

SECTION 134 STAY OF A REVIEWABLE DECISION ON INTERNAL REVIEW

If an application is made to WorkSafe for an internal review of a decision, WorkSafe may stay the operation of the decision at its own initiative or on application from the person that has applied for the review. If WorkSafe has not made a decision within 3 working days of receiving an application for a stay then WorkSafe is to be treated as having made a decision to grant the stay.

SECTION 135 APPLICATION FOR APPEAL

A person affected by an inspector's decision to issue a notice or to extend the time to comply with it may, if that decision has been reviewed by WorkSafe, appeal to a District Court against the decision on the grounds that it is unreasonable. The appeal must be lodged within 14 days after the day on which WorkSafe's decision on the review first came to the person's notice.

If WorkSafe varies or cancels the notice, a person affected by that decision may appeal to the District Court against it on the grounds that it is unreasonable. The appeal must be lodged within 14 days after the day on which WorkSafe's decision first came to the person's notice.

Note:

This notice does not exempt or temporarily relieve you from your legal obligations under the Health and Safety at Work Act 2015.