# Chain-of-Thought Prompting Elicits Reasoning in Large Language Models

Wei, Jason, et al. "Chain-of-thought prompting elicits reasoning in large language models." *Advances in neural information processing systems* 35 (2022): 24824-24837.

### previous research

언어 모델의 규모를 확장 -〉 성능 향상 -〉 모든 task에서 항상 좋은 성능 X (산술 /상식 /기호적 추론 task)

1. natural language rationales(이론적 근거) 함께 생성



2. prompt를 통한 In-context few-shot learning

### 사용된 전통적인 few-shot prompting 방법은 추론 능력 작업에서 성능이 낮다

SuperGLUE

Setting	LAMBADA (acc)	LAMBADA (ppl)	StoryCloze (acc)	HellaSwag (acc)
SOTA	68.0 <sup>a</sup>	8.63 <sup>b</sup> 3.00 3.35 1.92	<b>91.8</b> <sup>c</sup>	<b>85.6</b> <sup>d</sup>
GPT-3 Zero-Shot	76.2		83.2	78.9
GPT-3 One-Shot	72.5		84.7	78.1
GPT-3 Few-Shot	86.4		87.7	79.3



Fine-tuned SOTA	89.0	91.0	96.9	93.9	94.8	92.5
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0
	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	76.1	93.8	62.3	88.2	92.5	93.3
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0
GPT-3 Few-Shot	49.4	80.1	30.5	75.4	90.2	91.1

BoolQ

Accuracy

CB

Accuracy

CB

COPA

Accuracy

RTE

Accuracy

**Table 3.1: Performance on cloze and completion tasks.** GPT-3 significantly improves SOTA on LAMBADA while achieving respectable performance on two difficult completion prediction datasets.

**Table 3.5:** Performance of GPT-3 on SuperGLUE compared to fine-tuned baselines and SOTA. All results are reported on the test set. GPT-3 few-shot is given a total of 32 examples within the context of each task and performs no gradient updates.

# Chain-Of-Thought Prompting

LLM이 복잡한 문제를 해결할 때, 중간 추론 단계를 스스로 생성하여 최종 답을 도출

#### Standard Prompting

#### Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

#### Model Output

A: The answer is 27.



#### Chain-of-Thought Prompting

#### Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

#### Model Output

A: The cafeteria had 23 apples originally. They used 20 to make lunch. So they had 23 - 20 = 3. They bought 6 more apples, so they have 3 + 6 = 9. The answer is 9. 🗸

- 1. 문제를 푸는 과정을 단계별로 분해해 추론 -> 각 단계에서 추가적인 연산 할당 (multi-step problems into intermediate steps)
- 2. 틀린답 생성시 어느부분에서 잘못 추론했는지 debug가 가능
- -> 중간 단계의 추론 과정이 모두 명시되기 때문 에, 모델이 잘못된 답을 내놓더라도 어느 단계에 서 오류가 발생했는지를 쉽게 파악할 수 있다.
- 3. CoT는 대부분의 task와 LM에 적용 가능

### Experimental Setup

#### Math Word Problems (free response)

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

#### Math Word Problems (multiple choice)

Q: How many keystrokes are needed to type the numbers from 1 to 500? Answer Choices: (a) 1156 (b) 1392 (c) 1480 (d) 1562 (e) 1788

A: There are 9 one-digit numbers from 1 to 9. There are 90 two-digit numbers from 10 to 99. There are 401 three-digit numbers from 100 to 500. 9 + 90(2) + 401(3) = 1392. The answer is (b).

#### CSQA (commonsense)

Q: Sammy wanted to go to where the people were. Where might he go? Options: (a) race track (b) populated areas (c) desert (d) apartment (e) roadblock

A: The answer must be a place with a lot of people. Race tracks, desert, apartments, and roadblocks don't have a lot of people, but populated areas do. So the answer is (b).

#### StrategyQA

Q: Yes or no: Would a pear sink in water?

A: The density of a pear is about 0.6 g/cm<sup>3</sup>, which is less than water. Thus, a pear would float. So the answer is no.

#### Date Understanding

Q: The concert was scheduled to be on 06/01/1943, but was delayed by one day to today. What is the date 10 days ago in MM/DD/YYYY?

A: One day after 06/01/1943 is 06/02/1943, so today is 06/02/1943. 10 days before today is 05/23/1943. So the answer is 05/23/1943.

#### Sports Understanding

Q: Is the following sentence plausible? "Joao Moutinho caught the screen pass in the NFC championship."

A: Joao Moutinho is a soccer player. The NFC championship is part of American football, not soccer. So the answer is no.

수동으로 8개의 few-shot 예시를 작성

#### SayCan (Instructing a robot)

Human: How would you bring me something that isn't a fruit?

Explanation: the user wants something to eat that isn't a fruit. An energy bar is not a fruit, so I will bring the user an energy bar.

Plan: 1. find(energy bar) 2. pick(energy bar) 3. find(user) 4. put(energy bar) 5. done().

#### Last Letter Concatenation

Q: Take the last letters of the words in "Lady Gaga" and concatenate them.

A: The last letter of "Lady" is "y". The last letter of "Gaga" is "a". Concatenating them is "ya". So the answer is ya.

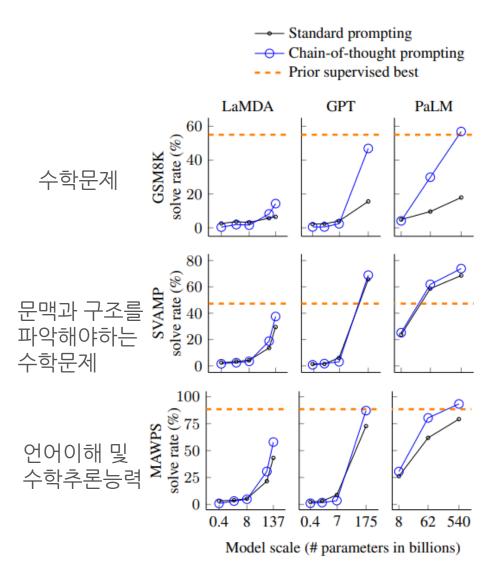
#### Coin Flip (state tracking)

Q: A coin is heads up. Maybelle flips the coin. Shalonda does not flip the coin. Is the coin still heads up?

A: The coin was flipped by Maybelle. So the coin was flipped 1 time, which is an odd number. The coin started heads up, so after an odd number of flips, it will be tails up. So the answer is no.

Figure 3: Examples of (input, chain of thought, output) triples for arithmetic, commonsense, and symbolic reasoning benchmarks. Chains of thought are highlighted. Full prompts in Appendix G.

### Results



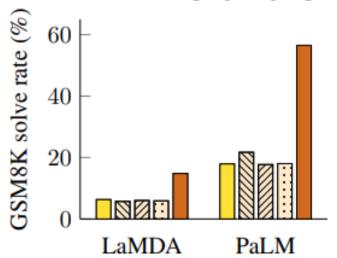
### 모델의 스케일이 커질수록 CoT 성능이 높아짐

CoT는 복잡한 문제에 적용했을 때, 성능이 더 높았다 쉬운 문제일땐 Standard prompting에 비해 성능이 감소

PaLM 540B -> SOTA

## Ablation Study

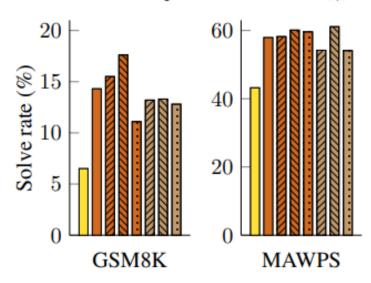
- Standard prompting
- Equation only
- Variable compute only
- Reasoning after answer
- Chain-of-thought prompting



- 1. Equation only
- CoT를 자연어 대신 수식만 제공
- 복잡한 task에서는 성능이 좋지 않았지만, 조금 더 쉬운 task에서는 좋은 성능을 보임
- 2. Variable compute only
- CoT가 성능이 잘 나오는 이유가 Standard prompting 보다 토큰 수가 늘어나 연산량이 증가한 것이 원인이 아닐까 생각
- Standard prompting에서도 '...'을 넣어 CoT 때와 토큰 수를 똑같이 맞 취줌
- 여전히 CoT가 성능이 좋았다.
- 3. Chain of thought after answer
- CoT prompt를 answer 이후에 넣고 추론
- 기존의 standard prompting과 성능이 유사
   -> 추론 과정 중간에 CoT prompting을 사용이 도움이 됨을 증명

### Robustness of Chain of Though

- Standard prompting
- Chain-of-thought prompting
- different annotator (B)
- different annotator (C)
- intentionally concise style
- $\boxtimes$  · exemplars from GSM8K ( $\alpha$ )
- ightharpoonup · exemplars from GSM8K ( $\beta$ )
- exemplars from GSM8K ( $\gamma$ )



•다양한 작성자에 의한 CoT 사용

작성자의 스타일이나 문체에 따라 약간의 성능 차이, 기존 방식에 비해 향상된 성능

•예시 순서의 민감성

예시 순서를 바꾸더라도 강력한 성능 유지

### Conclusions

CoT를 이용한 prompting은 '산술, 상식, 기호적 추론' task에서 좋은 성능을 낸다.

chain-of-thought prompting does not positively impact performance for small models, and only yields performance gains when used with models of ~100B parameters.

# cf) Auto-CoT

### **Auto-CoT**

- 1.Manual-CoT
- = 본 논문의 CoT / 높은 성능
- 2. Zero-shot-CoT

"Let's think step by step"을 프롬프트에 추가하여 모델이 자동으로 중간 추론과정을 생성. / 성능이 떨어질 수 있다

- => Auto-CoT 제안
- 1.자동화된 프롬프트 생성(중간 추론 단계)
- 2.다양한 추론 경로 생성

그중 가장 신뢰성 있는 답변 선택 즉, 모델이 각기 다른 방식으로 문제를 풀어보게 하고, 결과 중에서 가장 신뢰성이 높은 답을 선택.

→ 수학적 추론과 상징적 추론 에서 기존 CoT보다 더 나은 성능을 보임

Table 1: Accuracy (%) of different sampling methods. Symbol † indicates using training sets with annotated reasoning chains.

Method	MultiArith	GSM8K	AQuA
Zero-Shot-CoT	78.7	40.7	33.5
Manual-CoT	<b>91.7</b>	46.9	35.8†
Random-Q-CoT	86.2	47.6†	36.2†
Retrieval-Q-CoT	82.8	<b>48.0</b> †	<b>39.7</b> †

수학(산술)/수학(일상적인 시나리오)/대수학 단어(알고리즘적)

→ 복잡한 데이터셋에서 높은 성능을 보임



• The COT COLLECTION: Improving Zero-shot and Few-shot Learning of Language Models via Chain-of-Thought Fine-Tuning (2023)

CoT Fine-tuning 도입

 Zero-Shot Chain-of-Thought Reasoning Guided by Evolutionary Algorithms in Large Language Models (2024)

Zero-shot-CoT에서 동일한 CoT 프롬프트를 모든 작업에 적용하는 대신, 다양한 프롬프트를 동적으로 생성하여 성능을 개선