

# Longitudinal Electroencephalographic (EEG) Findings in Pediatric Anti-N-Methyl-D-Aspartate (Anti-NMDA) Receptor Encephalitis: The Padua Experience

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### **Abstract**

To contribute to characterize electroencephalographic (EEG) activity in pediatric anti-N-methyl-D-aspartate (anti-NMDA) receptor encephalitis, we reviewed electroclinical data of 5 children with anti-NMDA receptor encephalitis diagnosed in our department. We identified 4 longitudinal electroencephalographic phases: in the early phase, background activity was normal, with intermixed non-reactive slow waves; in the florid phase, background activity deteriorated with appearance of sequences of peculiar rhythmic theta and/or delta activity unrelated to clinical changes, unresponsive to stimuli and antiepileptic medications; in the recovery phase, these sequences decreased and reactive posterior rhythm re-emerged; electroencephalogram normalized 2 to 5 months after onset. In conclusion, in the presence of evocative clinical history, recognizing a characteristic longitudinal electroencephalographic activity could provide ancillary aspects addressing the diagnosis and the overall management of children with anti-N-methyl-D-aspartate receptor encephalitis; in particular, knowing that peculiar and recurrent paroxysmal nonepileptic rhythmic theta-delta patterns can occur in these patients could help distinguish paroxysmal epileptic and nonepileptic electroencephalographic activity.

### **Keywords**

anti-NMDAR encephalitis, EEG, children, seizure, rhythmic pattern

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Anti-N-methyl-p-aspartate (anti-NMDA) receptor encephalitis has been raising increasing interest in pediatric literature, and its clinical features have been progressively better defined. A variable mixture of cognitive, motor, and psychiatric disturbances is observed in the disease and, especially in the early phases, numerous paroxysmal nonepileptic events and convulsive and nonconvulsive epileptic seizures coexist, sometimes hardly distinguishable on clinical grounds.

In contrast to its relatively well-characterized clinical phenotype, the evolution of interictal electroencephalographic (EEG) activity throughout the disease course has been little documented, especially in pediatric patients. Herein, we report and discuss the evolution of electroencephalographic activity during the course of the disease in 5 children with severe anti-*N*-methyl-D-aspartate receptor encephalitis.

## **Patients and Methods**

Over the last 6 years, 4 children were diagnosed with anti-N-methyl-paspartate receptor encephalitis in our department (cases 1-4); an

additional patient has recently been diagnosed, and is currently hospitalized (case 5). Clinical diagnosis was confirmed by the identification of anti-N-methyl-D-aspartate receptor antibodies in cerebrospinal fluid (case 1, retrospectively diagnosed, had a frozen serum sample tested). Video-electroencephalographic monitoring was performed in all patients using a computerized electroencephalographic system (Mizar Sirius, Galileo NT Line software, EB Neuro SpA, Florence, Italy; Nihon Kohden Italia Srl, Bergamo, Italy), and the tracings as well

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**Table 1.** Clinical Features and Electroencephalographic (EEG) Phases Throughout Disease Progression of the 5 Reported Pediatric Patients With Anti-N-Methyl-D-Aspartate (Anti-NMDA) Receptor Encephalitis.

	Case I	Case 2	Case 3	Case 4	Case 5
Sex	Σ	ш	ш	ш	Σ
Age at onset Available follow-up (mo) Prodromal symptoms Symptoms at onset	9 y and 1 mo 72 No Movement disorder	9 y 7 mo 30 Headache and vomit Psychiatric disturbances	12 y 10 mo 18 No Psychiatric disturbances	8 y 1 mo 1 I No Movement disorder	6 y 7 mo 2 No Paroxysmal spells
Seizures Early phase Florid phase	l <sup>a</sup> (focal +/- SG) (not documented at EEG)	Sa (focal +/- SG) (not documented at EEG)	2 <sup>a</sup> (focal +/- SG) (not documented at EEG) No	No 3ª (foral ±/− SG)	Many (focal +/- SG) (not documented at EEG)
Florid phase Recovery Psychiatric symptoms	No Many (EPC <sup>b</sup> ) Irascibility, relational disturbances, mood instability, agitation and cry spells	No No confusional state, agration, aggressiveness, coprophagia, unintelligible speech	No No Irascibility, disinhibition, eruptations, psychomotor agitation	2" (focal +/- SG) (not documented at EEG) No Restlessness, cry spells, visual hallucinations, unintelligible speech with catastrophic content, psychomotor agitation	I (focal +/- SG) (documented at EEG) Not available Inappropriate behavior, psychomotor agitation
Movement disorders Orolinguofacial dyskinesias Limbs and pelvic movements	Grimaces, involuntary lower lip movements Choreoathetosis (right lower limb)	Grimaces, tongue protrusion Choreoathetosis and dystonias (left upper limb)	Grimaces, jaw clenching, tongue protrusion Athetosis (upper limbs)	Grimaces, tongue rolling Choreoathetosis (limbs), pelvic thrusting	Grimaces, abnormal involuntary eye motricity Hand dyskinesias (right > left)
Stereotyped movements Posturing—freezing Hypermotor spells	Yes Yes Yes (rare)	Yes Yes Yes (rare)	Yes Yes Yes (frequent)	Yes Yes Yes (frequent)	Yes No Yes (rare)
Speech Autonomic disturbances	Dysarthria, mutism Hyperthermia, sleep-wake cycle dysre- gulation, urinary retention	Mutism Hypertension, hyperthermia, apnea, sleep-wake cycle dys- regulation, urinary	Echolalia, coprolalia, mutism Bradycardic spells, hyperpnea and apnea, sleep-wake cycle dysregulation, urin- ary retention, hyperhidrosis	Dysarthria, mutism Flushing, remitting fever, sleep-wake cycle dysregulation, hyperhidrosis	Mutism Urinary retention and incontinence
Impairment of consciousness	Severe; stupor with catatonic features (bedridden)	Incontinence Severe; stupor with catatonic features (hedridden)	Severe: stupor with catatonic features (bedridden)	Severe; stupor with catatonic features (bedridden)	Severe; stupor with catatonic features (bedridden)
Tumor Etiologic treatment	No Methylprednisolone, IVIg. ACTH	No Methylprednisolone, IVIg, plasma exchange, cyclophosphamide	No Methylprednisolone, plasma exchange, cyclophosphamide, mycophenolate	No Plasma exchange, methy/prednisolone, cyclophosphamide, mycophenolate	Not available Pasma exchange, methylprednisolone
Length of hospitalization (mo) PICU (length of stay)	8 No PICU	6	4 No PICU	3.5 PICU (15 d)	Not available PICU (I d)
Cognitive outcome Motor outcome Sequelae	Normal IQ at 12 mo Full recovery Mild impairment in working memory and attentive functions	Normal IQ at 8 mo Full recovery I Auditory misperceptions, night awakenings for nightmares, very mild impairment in working memory	Normal IQ at 5 mo Full recovery Mild learning disability, conflict/error monitoring, motor programming, impulse control	Normal IQ at 5 mo Full recovery Mild mood lability, impairment in working memory, attentive functions, impulse control	Not available Not available

Table I. (continued)

	Case 1	Case 2	Case 3	Case 4	Case 5
Sex	Σ	ı.	ı.	ı.	Σ
Electroencephalographic phases Early phase (timing) Main clinical features: Preserved consciousness Psychatric disturbances (behavioral changes) Movement disorder (dyskinesias)	(Onset to 1st wk) Alpha rhythm Nonreactive intermixed slow waves at 2-3 Hz Later: subcontinuous and high-voltage slow waves (mainly expressed on left	(Onset to 1st wk) Alpha rhythm (left > right) Nomreactive intermixed frontotemporal slow waves at 2-3 Hz Later: subcontinuous and high-voltage slow	(Onset to 2nd wk) Alpha rhythm Nonreactive intermixed bilateral frontal slow waves at 1-2 Hz Later: subcontinuous and very-high- voltage slow waves	(Onset to 4th wk) Alpha rhythm (right > left) Nonreactive intermixed frontotemporal slow waves at 2-3 Hz (left > right) Later: diffuse low voltage	(Onset to 1st wk) Alpha rhythm (right > left) Nonreactive intermixed frontotemporal slow waves at 2-3 Hz (left > right) Later: subcontinuous and high-voltage slow waves (left > right)
Florid phase (timing)  Main clinical features:  Impairment of consciousness  Psychiatric symptoms (irascibility, mod linstability, relational difficulties, agitation and cry spells, confusional state, unintelligible speech, hallucinations)  Movement disorders	centroparietal regions) Preserved sleep stages <sup>a</sup> Progressively slowed cerebral electrical activity Intermixed slow waves at 2-3 Hz; later subcontinuous and high-voltage slow waves Disappearance of alpha rhythm (3rd wk to 4th wk)	waves (right > left)  Seep not available <sup>a</sup> (1st wk to 5th wk) Globally slowed cerebral electrical activity Diffuse high-voltage slow waves at 1-2 Hz, with prevalence on anterior regions (right > left) Poor representation and subsequent disappearance of alpha	Preserved sleep stages <sup>a</sup> (2nd wk to 2nd mo) Globally slowed cerebral electrical activity Anterior medium- to high-voltage slow waves at 1-2.5 Hz Poor representation and subsequent disappearance of alpha rhythm (3rd wk)	Preserved sleep stages <sup>a</sup> (4th wk to 2nd mo) Globally slowed cerebral electrical activity Anterior (mainly frontal) intermixed or subcontinuous medium- to high- voltage slow waves at 1-2.5 Hz Poor representation and subsequent disappearance of alpha rhythm (4th wk)	Preserved sleep stages <sup>a</sup> (1st wk to present) Globally slowed cerebral electrical activity Anterior (mainly frontal) intermixed or subcontinuous medium- to high- voltage slow waves at 1-2.5 Hz Poor representation and subsequent disappearance of alpha rhythm (4th wk)
(Uyswinsas), see bodybed movements, plavic movements, hypermotor spells, posturing, freezing, catatonia) Speech disturbances (dysarthria, mutism) Seizures (focal, SG) Autonomic dysregulation (hypertension, hyperthermia, apnea/hyperpnea, sleep-wake cycle dysregulation, urnnary retention/incontinence, flushing, hyperhidrosis)	Intermixed discrete sequences of nonreactive rhythmic delta activity at 2-2.5 Hz and theta activity at 4-5 Hz, arising from left frontocentral regions, of medium voltage, often with sharp appearance (4th wk)	(2nd wk) Brief sequences of nonreactive rhythmic delta activity at 1-2 Hz of medium or high voltage, with angular appearance (3rd wk)	Subcontinuous discrete sequences of nonreactive rhythmic delta activity at 2-2.5 Hz and theta activity at 4-5 Hz, arising unilaterally or simultaneously from homologous or different regions of the 2 henrispheres, or following one another on the same regions, mainly expressed on frontal regions bilaterally, of medium or high voltage, often with sharp appearance (3rd-4th wk)	Subcontinuous discrete sequences of nonreactive rhythmic delta activity at 2-2.5 Hz and theta activity at 4-5 Hz, arising unilaterally or simultaneously from homologous or different regions of the 2 hemispheres, or following one another on the same regions, mainly expressed on frontal regions bilaterally, of medium or high voltage, sometimes with sharp appearance (3rd-5th wk)	Recurrent discrete sequences of nonreactive rhythmic delta activity at 2-2.5 Hz and theta activity at 4-5 Hz, arising unilaterally or simultaneously from homologous or different regions of the 2 hemispheres, or following one another on the same regions, mainly expressed on frontal regions bilaterally, of very high voltage, often with very sharp appearance
Recovery phase (timing) Main clinical features:	Fairly preserved sleep stages <sup>a</sup> (3rd mo to 10th mo) EPC <sup>b</sup>	Fairly preserved sleep stages <sup>a</sup> (5th wk to 5th mo)	Fairly preserved sleep stages <sup>a</sup> (2nd mo to 4th mo)	Fairly preserved sleep stages <sup>a</sup> (2nd mo to 3rd mo)	Fairly preserved sleep stages <sup>a</sup> Not available
Gradual and slow recovery Improvement of consciousness, speech and motor functions Persistence of psychiatric symptoms (hallucinations, disinhibition)	Reappearance of alpha posterior rhythm (7th mo) Gradual decrease in nonreacting rhythmic delta or theta sequences	Reappearance of alpha posterior rhythm (7th wk) Gradual decrease in nonreacting rhythmic delta or theta	Reappearance of alpha posterior rhythm (6th wk) Gradual decrease in nonreacting rhythmic delta or theta sequences	Reappearance of alpha posterior rhythm (2nd mo) Gradual decrease in nonreacting rhythmic delta or theta sequences	
EEG normalization (timing) Main clinical features: Mild neurologic, psychiatric, emotional, neuropsychological sequelae	(7th mo)	(5th mo)	(2nd mo)	(4th mo)	Not available

Abbreviations: ACTH, adrenocorticotropic hormone; EEG, electroencephalography; EPC, epilepsia partialis continua; F, females; IQ, intelligence quotient; IVIg, intravenous immunoglobulin; M, males; NMDAR, N-methyl-D-aspartate receptor; PICU, pediatric intensive care unit; SG, secondary generalization; +/-, with or without.

\*Witnessed by nonmedical personnel or medical personnel not specifically trained in neurology.

\*Not shown.

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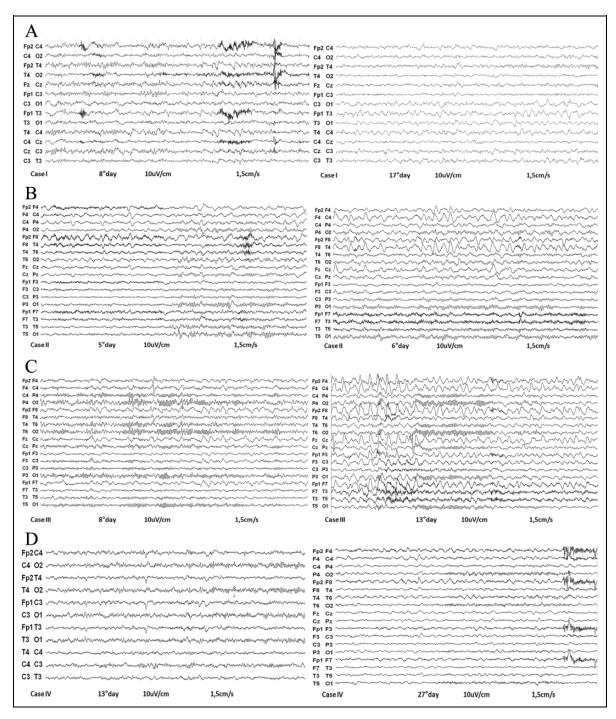


Figure 1. Electroencephalographic (EEG) tracings of patients 1 to 4 in the early electroencephalographic phase.

as a comprehensive set of clinical data were retrospectively evaluated by the principal investigators (CB, SS, MN, LDP).

## **Results**

# Clinical Data

Clinical data of the 5 pediatric patients with anti-N-methyl-D-aspartate receptor encephalitis diagnosed in our department between 2007 and 2013 are reported in Table 1. Data on case 5 are limited because of the short available followup. All patients developed a severe form of the disease and were bedridden within 3 weeks from onset. Clinical seizures were reported exclusively in the early and florid phases of disease in cases 2 to 4 (data on the recovery phase of case 5 not available yet); case 1 developed a transient picture of epilepsia partialis continua in the recovery phase, during her stay at another rehabilitation unit (data not available). No seizures were electrically documented during the prolonged

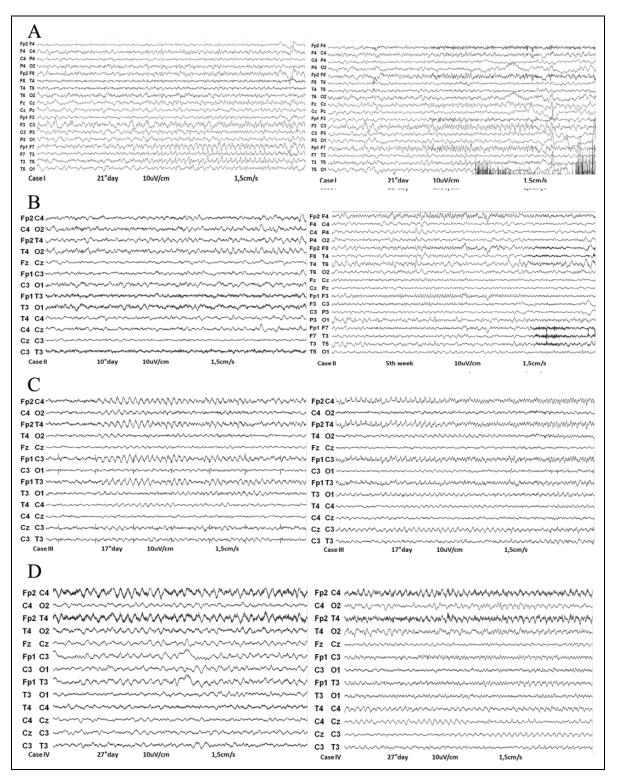


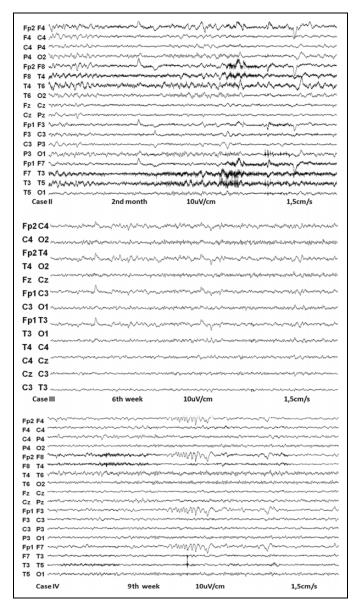
Figure 2. Electroencephalographic (EEG) tracings of patients I to 4 in the florid electroencephalographic phase.

electroencephalographic recordings performed at our hospital in cases 1 to 4; only in case 5, the electroencephalographic tracing performed during a paroxysmal episode disclosed an ictal pattern (Figure 4C, D).

# Electroencephalographic Data

Electroencephalographic findings during the course of the disease in each of our patients are detailed in Table 1, and the corresponding iconography is reported in Figures 1 to 4. We

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**Figure 3.** Electroencephalographic (EEG) tracings of patients 2 to 4 in the recovery electroencephalographic phase (iconography of case I not available in this phase).

identified 4 phases in electroencephalographic activity during the course of the disease in all our patients:

Electroencephalographic background activity in wakefulness and sleep was normal in the *early* phase (when behavioral changes and/or orofacial and limb dyskinesias were the most commonly recognized clinical features), with preserved, fairly symmetrical and reactive alpha posterior rhythm (8-12 Hz), despite the variable presence of intermittent or more subcontinuous, medium- to high-amplitude, nonreactive, sometimes monomorphic, unilateral and/or bilateral slow waves (1-3 Hz), most evident in the frontotemporal regions of the scalp (Figures 1 and 4A).

- About 2 to 3 weeks after the onset of symptoms, in the florid phase of disease (when consciousness and vigilance impairment was more severe and complex stereotyped movements predominated), electroencephalographic tracings showed progressive deterioration of background activity with disappearance of reactive posterior rhythm and appearance of an unusual electrical pattern (Figures 2 and 4B). This was characterized by defined and discrete sequences of rhythmic delta and/or theta activity at 2 to 2.5 Hz and 4 to 5 Hz, respectively, of variable duration (seconds to hours), often with sharp appearance, without clinical counterpart (occurring independently from the paroxysmal intermittent movement disorders and the behavioral changes observed in these patients), not responding to stimuli, eye opening or closure, pain, antiepileptic drugs, and usually disappearing in sleep. These rhythmic sequences could arise unilaterally or simultaneously from homologous or different regions of the scalp of the 2 hemispheres, or alternate to normal electrical activity on the same region. Conversely, electrical activity in sleep was relatively well preserved.
- 3. Later, during the *recovery* phase, the above-mentioned rhythmic sequences gradually decreased, with remergence of a recognizable physiological posterior rhythm and a globally well-organized background activity (Figure 3).
- 4. Eventually, 2 to 5 months after disease onset, an electroencephalogram showed complete *normalization* of electrical activity.

# **Discussion**

We retrospectively identified 4 disease course—related phases in electroencephalographic activity in all our children with anti-N-methyl-D-aspartate receptor encephalitis. After an aspecific electroencephalographic *early* phase characterized by the presence of intermixed slow waves predominant on the anterior regions of the scalp, electric cerebral activity markedly deteriorates in the *florid* phase, giving way to a peculiar rhythmic theta-delta activity unreactive to stimuli and unrelated to clinical changes. This rhythmic activity gradually disappears in the electroencephalographic *recovery* phase along with the gradual reappearance of a physiologic posterior activity, even before a clinical turning point is obvious, and eventually *normalization* of electric cerebral activity follows.

So far, disorganization and diffuse or focal slowing of electrical cerebral activity in the delta-theta range, sometimes with rhythmical appearance, has been described in the literature, 2-5 but the evolution of electroencephalographic activity throughout the course of the disease has been little documented and characterized in children. More recently, a unique electroencephalographic pattern, resembling the delta brush pattern in premature infants has been identified in 30% of adults with anti-*N*-methyl-D-aspartate receptor encephalitis<sup>6</sup> and in a 14-year-old girl.<sup>7</sup> This "extreme delta brush" pattern, unrelated

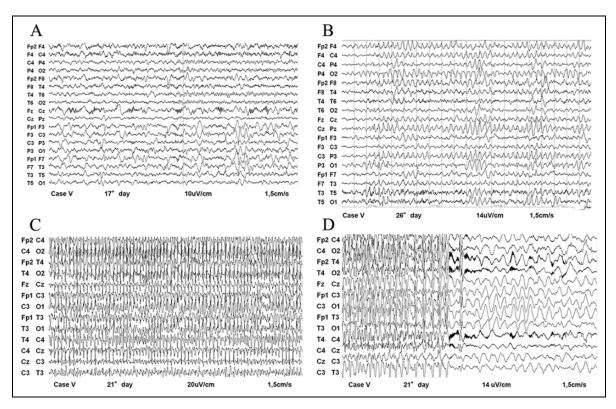


Figure 4. Electroencephalographic (EEG) tracings of case 5 in the early and florid electroencephalographic phases (A, B). Electroencephalographic recording during (C) and at the end (D) of a secondary generalized convulsive epileptic seizure, showing the abrupt cessation of an epileptic electrical pattern (D), clearly different to the rhythmic nonepileptic patterns showed above in this patient and in the other cases reported in Figure 2. Electroencephalographic recording indicates the patient has a secondarily generalized seizure characterized by upward eye deviation, chewing, clonic movements at the 4 limbs, generalized stiffness, opisthotonus, and desaturation.

to sedation and to the occurrence of dystonic spells or other paroxysmal abnormal movements, has not been reported in other children so far, and we were not able to detect it in our patients.

The nature of the peculiar delta-theta pattern observed in our patients in the florid electroencephalographic phase of disease represents an intriguing and challenging issue. Although its rhythmic appearance can seem like an epileptic phenomenon at first look, several considerations point against an epileptic nature of this electroencephalographic pattern. First, prolonged video-electroencephalograms failed to demonstrate any close and compelling correlation between the above-mentioned delta-theta sequences and the paroxysmal intermittent behavioral changes and the movement disorders observed in these patients. Second, such sequences do not display the usual electrical features of epileptic discharges for several aspects (as illustrated by the comparison between a nonepileptic rhythmic pattern and an ictal epileptic pattern in case 5, respectively, in Figure 4B and 4C, D): they are not preceded by repetitive spikes or low-amplitude fast activity, there are no frequency and amplitude changes during the sequences (the so-called recruiting/derecruiting rhythms of epileptic discharges) and no diffusion of the paroxysmal activity from one region to another (as in epileptic seizures). Finally, these sequences do not respond to nonsedative antiepileptics, and usually disappear when patients manage to fall asleep spontaneously or after benzodiazepine administration, giving way to a relatively wellorganized electrical activity in sleep, and can reappear at awakening. Similarly, a pharmacologic origin of these rhythmic sequences can be reasonably ruled out, as they were observed before and/or independently from drug administration in our patients and in other reported cases.<sup>6,8</sup> Furthermore, this electrical activity does not evoke the activity induced by benzodiazepines or barbiturates (ie, beta activity). With the epileptic and pharmacologic nature ruled out, the origin of this rhythmic activity is still to be clarified. A direct effect of the anti-Nmethyl-D-aspartate receptor antibodies on the cortex might be hypothesized, similar to that of ketamine, a known N-methyl-D-aspartate receptor antagonist that induces a dissociative anesthesia<sup>9,10</sup> and, at certain dosages, can produce an increase in electroencephalographic activity in the theta bands and a psychotic-like clinical picture, 11 interestingly resembling anti-N-methyl-D-aspartate receptor encephalitis. Alternatively, such patterns can be ascribed to the dysfunction of the subcortical (pallidostriatal) systems controlling electric cortical activity caused by antibody-mediated inactivation of inhibitory gamma-aminobutyric acid-ergic neurons. 4,5,12 Further studies are, however, warranted to evaluate these speculations and hypotheses.

Interestingly, on the same days of the completion of the present study, peculiar electroencephalographic features, partially

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overlapping with our observations, were published by Gitiaux and colleagues, <sup>13</sup> supporting the clinical and speculative importance of electroencephalographic study in these patients.

Even if the peculiar clinical presentation of anti-*N*-methyl-D-aspartate receptor encephalitis represents the mainstay of diagnosis, recognizing characteristic longitudinal electroence-phalographic patterns can provide support to an early diagnosis and a prompt and appropriate treatment. Moreover, knowing that peculiar recognizable paroxysmal rhythmic nonepileptic electroencephalographic patterns can occur in pediatric anti-*N*-methyl-D-aspartate receptor encephalitis can provide a useful key element helping the interpretation of electroencephalographic tracings and the differentiation between epileptic and nonepileptic electroencephalographic activity in these patients.

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### **Author Contributions**

SS, CB, and MN made substantial contributions regarding conception and design, and the acquisition, analysis, and interpretation of data. SS, MN, CB, LZ, and LdP were involved in drafting the manuscript; LdP provided the iconography; EC provided the neuropsychological data. AS, IT, and PAB were involved in revising the manuscript critically for important intellectual content and have given final approval of the version to be published. All authors read and approved the final manuscript.

# **Declaration of Conflicting Interests**

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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### **Ethical Approval**

The clinical management of the patient reported in this paper conformed to the specifications provided by our institutional review board. All investigations were performed according to the recommendations of the ethical committee of our department, and video-electroencephalographic recordings were performed after acquiring written permission from the patients' parents.

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