

RAYMOND JAMES *Charitable*

August 19, 2024

Heading Home K9 Rescue
PO Box 3855323
Monticello, MN 55438

Dear Sir or Madam:

On behalf of the Board of Trustees of the Raymond James Charitable Endowment Fund, we are pleased to inform you that we have been asked by one of our donors to add Heading Home K9 Rescue to our approved Charity List to be qualified to receive grants from a donor advised fund within the Raymond James Charitable Endowment Fund. The approval process requires that we collect additional information on your organization. This includes your IRS charitable determination letter and the enclosed acknowledgment form to complete. Your prompt response is appreciated.

Raymond James Charitable may only make grants to qualifying charities. In order for a charity to qualify to receive a grant, the charity must be classified as a 501(c)(3) public United States organization, a religious organization or a governmental entity. In addition, the charitable organization cannot be a disqualified supporting organization. A disqualified supporting organization for this purpose includes (1) any supporting organization which supports a public charity directly or indirectly controlled by a donor, donor advisor, or related persons, as well as (2) any Type III supporting organization that is not "functionally integrated" with the charitable organization it supports.

The qualifying charity is prohibited from conferring a private benefit upon the donor, a donor advisor, or a third party, and is prohibited from using grants received to fulfill a pledge from the donor or donor advisor. Grants must be used exclusively in furtherance of your organization's charitable purposes and may not be used for campaign, lobbying or political purposes.

If your organization meets the qualifications outlined above, please return the enclosed acknowledgment and a copy of your IRS charitable determination letter to us by fax (727-567-8040), email (RJCGrants@raymondjames.com) or mail. Religious Organizations only: if you do not have an IRS determination letter, please provide a copy of your Articles of Incorporation or By Laws.

If you have any questions, please feel free to call us at 1-866-687-3863 and press 3 to be connected to the grant department.

Sincerely,

Raymond James Charitable

Please return:

____ Acknowledgement of Qualification

____ IRS Determination Letter

____ Religious Organizations - Please provide Articles of Incorporation or By Laws only if you do not have an IRS Determination Letter

Acknowledgment of qualification form

Raymond James Charitable is a public 501(c)(3) organization that operates a donor advised fund (DAF) program. Donors can request grants from their DAF to support qualifying charitable organizations. **You are receiving this form because a donor has requested to qualify your charity to be able to receive a grant from a DAF within our program.** The information you provide will be used to verify that your charity is qualified to receive grants from a DAF.

SECTION A: CHARITY INFORMATION

This charity is a (please select one):

- ☐ 501(c)(3), 509(a)(1), 509(a)(2), or 509(a)(3) public United States charity
- ☐ Religious organization
- ☐ Local, state or federal governmental entity

I/We understand that this charity is:

- Prohibited from giving any private benefit to the donor, donor advisor or a third party in return for this grant.
- Prohibited from using grants received to fulfill a legally binding pledge from the donor or donor advisor.
- Prohibited from using grants received for campaign, lobbying or political purposes.
- Required to use grants received exclusively to further its charitable purposes.

Charity name

Federal Tax ID# / EIN#

Charity website

Phone

Email

Physical address

City

State

Zip

Mailing address for grants

City

State

Zip

Mission statement

Countries the charity/organization operates in or sends funds to

SECTION B: COMPLETE THIS SECTION ONLY IF THE CHARITY IS A 509(a)(3) QUALIFIED SUPPORTING ORGANIZATION

Note: Religious organizations and local, state, or federal governmental entities do NOT need to complete this section.

If the IRS qualifies a 501(c)(3) public charity as a 509(a)(3) supporting organization, Raymond James Charitable is required to obtain additional information to determine that the organization is a Type I, Type II, or functionally integrated Type III supporting organization. A supporting organization is a 501(c)(3) public charity that is further described in section 509(a)(3) of the Internal Revenue Code. *Please reference page 3 for more information on IRS descriptions for 509(a)(3) supporting organizations.*

I/We certify that this charity/organization is a 509(a)(3) public United States charity supporting organization classified as a (please select one):

☐ Type I ☐ Type II ☐ Type III – Functionally Integrated

Please list the charity(s) your organization supports and their tax ID(s):

1. _____
Charity name _____ Tax ID _____
2. _____
Charity name _____ Tax ID _____
3. _____
Charity name _____ Tax ID _____

Please describe how the officers and directors/trustees of the supporting organization are appointed or elected.

SECTION C: SIGNATURES

Name of Charity Representative/Authorized Signer

Title of Charity Representative/Authorized Signer

Signature of Charity Representative/Authorized Signer

Date

Please submit this form and the following documentation to RJCGrants@raymondjames.com.

- Copy of the IRS determination letter
If it is a religious organization that does not have an IRS determination letter, please submit the Articles of Incorporation or By-Laws instead.
- Any other supporting documentation as it relates to the charity's tax-exempt status

If the charity is less than one year old (from IRS filing date), please also submit the following:

- Copy of the most recent annual report or financial statement
- List of board members with email addresses

If the charity is a 509(a)(3) qualified supporting organization, please also submit the following:

- Copy of the governing documents (Articles of Incorporation, By-Laws, Trust Agreement, etc.)
- List of board members, officers and directors/trustees of both the supporting organization and the charity(s) it supports, with email addresses

For your reference, below are the IRS descriptions for each type of 509(a)(3) organizations.

Type I – A Type I supporting organization must be operated, supervised or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. The relationship between the supported organization(s) and the supporting organization is sometimes described as similar to a parent-subsidiary relationship.

Type II – A Type II supporting organization must be supervised or controlled in connection with its supported organization(s), typically by having a majority of the directors or trustees of the supported organization(s) serve as a majority of the trustees or directors of the supporting organization. The relationship between the supported organization(s) and the supporting organization is sometimes described as similar to a brother-sister relationship.

Type III – A Type III supporting organization must be operated in connection with one or more publicly supported organizations. All supporting organizations must be responsive to the needs and demands of their supported organizations and must constitute an integral part of or maintain significant involvement in their supported organizations. Type I and Type II supporting organizations are deemed to accomplish these responsiveness and integral part requirements by virtue of their control relationships. However, a Type III supporting organization is not subject to the same level of control by its supported organization(s). Therefore, in addition to a notification requirement, Type III supporting organizations must pass separate responsiveness and integral part tests.

Type III Functionally Integrated – must satisfy one of three tests related to its relationship to the organization(s) it supports. See <https://www.irs.gov/charities-non-profits/charitable-organizations/supporting-organizations-requirements-and-types> for more details.

Type III Non-Functionally Integrated – the 2006 Pension Protection Act modified some DAF grant rules, including prohibiting DAFs from supporting 509(a)(3) Type III non-functionally integrated organizations.

RAYMOND JAMES *Charitable*

RAYMOND JAMES CHARITABLE ENDOWMENT FUND

P.O. BOX 23559, ST. PETERSBURG, FL 33742 // TF 866.687.3863 // F 727.567.8040

RJCHARITABLE@RAYMONDJAMES.COM // RAYMONDJAMESCHARITABLE.ORG

Raymond James Trust, N.A. currently serves as the service provider of Raymond James Charitable, a public charity, and is affiliated with Raymond James & Associates, Inc. and Raymond James Financial Services, Inc. © 2023 Raymond James Trust, N.A. is a subsidiary of Raymond James Financial, Inc. © 2023 Raymond James Charitable Endowment Fund. All rights reserved. Raymond James, and Raymond James Charitable Endowment Fund are trademarks of Raymond James Financial, Inc. and are used under license.