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Health Eligibility Case Management System
(HECMS)

Systems Management Guide



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Orientation

How to Use this Manual

Several methods are used in this document to highlight different aspects of the material. Three symbols are used throughout this document to alert the reader to pay close attention to specific information.

The table below gives a brief description for each symbol as it appears in the document.




Symbol	Description
	This symbol is used to inform the reader of general information including references to additional reading material.
	This symbol is used to caution the reader to take special notice of critical information.
	This symbol represents specific information that is outlined for the reader.

Table 1: Symbols in this Document:

Commonly Used Terms

The most commonly used terms for this manual are listed below to assist you while reading the *ESR_3_12_Systems_Management_Guide.doc*.

 For more information, see the Glossary found in the back of this manual.

Term	Description
Client	This term refers to a user, workstation (i.e., PC), and the portion of the program that runs on the workstation.
Component	A software object that contains data and codes that can be apparent or undetectable.
GUI	A Graphical User Interface is a means by which users interact with a particular machine, device, computer program or other complex tool (application).
Host	Host is another name used for a server.

Table 2: Commonly Used Terms

Introduction

This document defines and depicts the processes and procedures of the Health Eligibility Case Management System (HECMS) project, a.k.a. Enrollment System Redesign (ESR). The HECMS V3.0 project focused on replacing the Health Eligibility Center (HEC) Legacy Enrollment System with a more robust, forcible opponent that improved the workflow processes of the end users. HECMS V3.0 was designed to replace the HealthVet System known as the “HEC Legacy System”. The newly designed architecture provides simplicity, maintenance, functionality, and flexibility for the following agencies:

- Internal Revenue Service (IRS)
- Health Eligibility Center (HEC)
- Social Security Administration (SSA)

These federal agencies have requested the redesign of the “National Enrollment System” in order to support the Veterans Health Administration (VHA) business operations in an effective manner. The HEC Legacy system was too arduous and challenging to maintain and upgrade. The impacts resulted in the following consequences:

- Long development cycles in order to produce minimal enhancements.
- Inability to keep pace with legislative and regulatory mandates.
- Engineering limitations that threatened data integrity, performance, and user acceptance.

Creating and producing a large-scale enterprise application involved a collective amount of processes and technologies for building an adaptable, plausible, and resilient architecture. The primary purpose for developing a comprehensive system was to deliver an application designed to calculate and manage eligibility and enrollment decisions. Another factor included creating workflow management solutions while providing healthcare benefit services to VHA beneficiaries.

The HECMS system communicates with other major VHA enterprise systems through using the following methods:

- Asynchronous messaging protocol
- Synchronous messaging protocol

The users for this system interact through a web-based interface that resides on the VHA network infrastructure.

Purpose

The purpose of this document is to provide a uniform approach that describes the processes, procedures, delivery, and maintenance of HECMS from a high-level perspective. This document outlines the different components of the HECMS activities that involve tracking, auditing, and verification management. The information contained in this document applies to the development and design of the system.

The primary objective for developing HECMS is to enhance the operational efficiencies of the HEC who are responsible for processing and enhancing and managing Veterans’ enrollment health benefits.

Scope

The information outlined in this document applies to activities conducted within the HECMS environment. The scope of this document is tailored to provide technical support, details, and strategies for managing and defining the HECMS project.

The system is comprised of use cases that are re-engineered to create a robust and dependable architectural system that can withstand the high demands that are placed on it.

Background

The VHA and the Department of Veterans Affairs (VA) administers to the largest integrated health care system in the nation. In accordance to the Veterans Health Care Eligibility Reform Act of 1996, Public Law 104-262 requires that VHA implement a priority based enrollment system. Since 1996, the VA enrollment process has increased significantly and is expected to continue growing.

All income and eligibility information is collected and verified at the HEC facility. This information determines healthcare enrollment and medical benefits for a Veteran. A significant portion of the enrollment process involves enhancing and maintaining the Enrollment System (ES).


ES is a web-based case management system that allows the user to track and manage the Veterans' healthcare enrollment processes. The ES receives enrollment applications with updated information describing Veterans' demographics, financial, eligibility, and enrollment information from the local Department of Veterans Affairs Medical Center (VAMC) sites.

Overview

HECMS consists of redesigning the existing HEC Legacy system through enhancing the workflow and communications methods obtained from different sites. The scope of this project epitomizes the functionality for enrollment, eligibility, and income verification matching required by Veterans in order to attain the appropriate healthcare benefits from VHA. Now that the system is completely in place, it will enhance the operational efficiencies for the following agencies:

- HEC
- VAMC
- Austin Information Technology Center (AITC)

The system application provides users with appropriate access to Veterans' data and knowledge base information, supporting both business and technical data while ensuring superior service to all Veterans.

 To learn more about the latest HECMS application version, visit the ESR Technical Services Project Repository (TSPR) website. Additional documentation may be found on the [Enrollment SharePoint](#) website (VA internal website) as well as the [Vista Documentation Library](#) (VA external) website.

Audience

The primary audience recommended for this document consists of the following groups:

- Project Management
- Architects
- Modelers/Designer/Developers/Business Analysts
- Configuration Managers
- QA Managers


A secondary audience includes recipients from various deliverable processes and procedures executed from the configuration environment. The secondary audience encompasses the following groups:

- Team Leads
- SQA Analysts

Reference Materials

Name	Description
ESR Project Overview	Provides a high-level overview of the functionality and enhancements of the HECMS V3.0 System.
Enrollment System Redesign Vision V1.0	Provides details of the HEC – HECMS V3.0 capabilities described in Business Use Case specifications.
ESR Configuration Management Plan	Describes the processes and procedures of the HECMS V3.0 project lifecycle.
ESR Design Database Design Concepts	Describes the HECMS methodologies and implementation process.
ESR Enrollment Service Design Document	Describes the capabilities provided by Enrollment and Eligibility (E&E) service.
ESR Use Cases, Interface Control Document, Supplemental Specification	Constitutes the current requirements deliveries to the Enrollment System.

Table 3: Reference Materials

 To learn more about HECMS V3.0 information listed above, visit the ESR 3.0 TSPR website. Additional documentation may be found on the [VistA Documentation Library](#) (VA external) website.

System Requirements

This segment describes the artifacts of the System Requirements Management process that controls and supports the evolution of HECMS.

System Requirements expounds upon the condition of interdependent elements that interact, correlate, and derive from complex groups based upon users' needs and specifications. The HECMS process employs a combination of document and relational database techniques that store and manage requirements. The requirements are warehoused in an Oracle database and the contents are managed through an IBM tracking and monitoring tool called RequisitePro. This tool provides support and traceability for HECMS hierarchy.

HECMS is an enterprise-wide system used to manage Veterans' enrollment processes through authorizing HEC users to track and manage healthcare benefits. This application replaces an aging augmented manual system with a user friendly accelerated productive one.

 See [Appendix F: Person Services \(PS\) & Enrollment \(HECMS\)](#)

Server and Workstation Software Dependencies

The following software dependencies and version requirements do not apply to the HECMS System: Java 2 Enterprise Edition (J2EE), BEA (Oracle middleware), WebLogic, and VistALink.

HECMS uses the standard VA approved configurations which consist of the following: Microsoft Internet Explorer version approved for VA computers, Popup-Blocker Enabled, No Proxy Settings.

The HECMS system does not require any special browser configuration. This system will allow you to use Firefox; however, the current standard VA minimum approved application is IE7.

Software Patch Dependencies

The following Veterans Health Information Systems and Technology Architecture (VistA) software patches are required prior to installing HECMS.

Host File Name	Patch One	Patch Two	Patch Three
DG hostfile EAS_1_P111.KID	EAS_1_P111	IVM_2_P155	DG_5_3_P871
SD			
Radiology			
Laboratory			
HEC Legacy Patch			

Table 4: Software Patch Dependencies

Messaging Flow Process

The Vitria Interface Engine (VIE) requires that all message types are restricted to 100 records per batch message. This functionality aids in restricting large volume batches from occurring while allowing the system to take advantage of the multiple queues that are available.

VistA to HECMS (ESR)

VistA to ESR solicited and unsolicited Health Level 7 (HL7) messages will be created and transmitted from VistA to HECMS (ESR) via port and Internet Protocol (IP) address. Vitria will automatically engage in cursory messaging structure syntax format checking before sending it to ESR. ESR then validates the data and if the data is determined to be clean, the completed records will be committed and an “Acknowledgement (ACK)” message is prepared for transmission back to the **VISTA** site. However, if a “Negative Acknowledgement (NAK)” message is received; it is then prepared for transmission back to the **VISTA** site.

Note: When transmitting messages from VistA to ESR, the Receiving Application must be “ESR” and the Receiving Facility in the header segment must be “200ESR”.

HECMS (ESR) to VistA

ESR to VistA solicited and unsolicited HL7 messages will be created and sent. Vitria will send the message to the appropriate **VISTA** site. Existing receiver software at the **VISTA** site will process the data. The general behavior for outbound messages is ESR will send double-quotes (") to represent deleted or null data. If ESR does not have any data to populate the segment, it will not be sent through an outbound message.

HECMS receives the following HL7 Inbound Messages	
IVM ORU-Z05	Demographic (Change of Address) Data – Master Veteran Record (MVR)
ORU-Z07	Full Registration
ORF-Z07	Full Registration Reply
ORU-Z11	Eligibility / Enrollment Data from MVR
ORF-Z11	Eligibility / Enrollment Data from MVR Query Response
ORU-Z12	Death Data (currently disabled)
ORU-Z13	Lazarus Death (Death Data sent in Error) (currently disabled)
MFK- ZEG	Master File Acknowledgement
QRY-Z10	Financial Query
QRY-Z11	Eligibility / Enrollment Query


Table 5: HL7 Inbound Messages

HECMS transmits the following HL7 Outbound Messages	
ORU-Z04	IVM Insurance Policy Data

HECMS transmits the following HL7 Outbound Messages	
ORU-Z05	Demographic (Change of Address) Data
ORU-Z06	IVM Converted Test Data
ORU-Z10	Income Test Data
ORF-Z10	Financial (Income Test Data) Query Reply
ORU-Z11	Eligibility / Enrollment Data
ORF-Z11	Eligibility / Enrollment Query Reply
MFN- ZEG	Master File Notification
QRY-Z07	Full Registration Query
QRY-Z11	Eligibility / Enrollment Query to MVR

Table 6: HL7 Outbound Messages

All messages are queued internally as part of asynchronous handling of HL7 messaging. The messages are transmitted in real time.

 HL7 message processing within the HECMS system does not have to wait for background jobs.

Message Bulletins and Mail Groups

1	<p>Message= HL7 - Z07 from site</p> <p>Bulletin=HEC Notification of POW Discrepancy</p> <p>Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov</p>
2	<p>Message= HL7 - Z07 from site</p> <p>Bulletin=HEC Notification of Need for Site to Verify Veteran</p> <p>Mail Group=G.DGEN Eligibility Alert @(site).med.va.gov</p>
3	<p>Message= ORU/ORF Z07</p> <p>Bulletin=Inconsistent Conflict Data from Site.</p> <p>Mail Group=Send to site that sent the inconsistent data.</p> <p>The mail-group is- g.DGEN ELIGIBILITY ALERT (SITE).MED.VA.GOV</p>
4	<p>Message= ORU/ORF Z07</p> <p>Bulletin=Inconsistent Conflict Data from Site</p> <p>Mail Group=Send to site that sent the inconsistent data.</p> <p>The mail group is - g.DGEN ELIGIBILITY ALERT (SITE).MED.VA.GOV</p>
5	<p>Message= ORU/ORF Z07</p> <p>Bulletin=HEC Notification of Need for Site to Send Ineligible Information</p> <p>Mail Group=G.DGEN Eligibility Alert @(site).med.va.gov</p>
6	<p>Message= ORU/ORF Z07 (TBL 235.2)</p> <p>Bulletin=HEC Notification of Need for Site to Verify Veteran</p> <p>Mail Group=G.DGEN Eligibility Alert @(site).med.va.gov</p>

7	Message= ORU Z07 Bulletin=HEC Notification of Need for Site to Verify DOD Deletion. Mail Group=G.DGEN Eligibility Alert @(site).med.va.gov
8	Message= ORF~Z11 from VBA Bulletin=Solicited Z11 did not match on a Person Mail Group=VHA CIO HECAAlert Mailgroup - HECAAlert@med.va.gov
9	Message= Receive Query Z11 Bulletin=Eligibility Not Verified, Call HEC Mail Group=Send to the site that sent the query - G.DGEN Eligibility Alert (site).med.va.gov
10	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of NSC to NSC, VA Pension Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov
11	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of SC and NSC, VA Pension Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov
12	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of NSC, VA Pension and HB Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov
13	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of NSC, VA Pension and A&A Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov
14	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of Terminated VA Pension SC Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov
15	Message= ORU/ORF~Z11 from VBA or manual update via UI Bulletin= HEC Notification of Terminated VA Pension SC Mail Group= G.DGEN Eligibility Alert @(site).med.va.gov

Table 7: Message Bulletins & Mail Groups



To learn more about HL7 transmissions messaging process, review the Interface Control Document (ICD) on the HECMS Customer SharePoint website.

HECMS Four Major Components

A set of functionalities supported by HECMS are assembled into four major subcategories. The subcategories are grouped into a functional domain that warehouses four predominant components. This infrastructure has allowed the technical and business stakeholders the ability to recognize the necessary IT components that encompass HECMS core structure.

Due to the nature of the data required for enrollment services, other internal and external services rely upon the support from HECMS. The two supporting services include the following departments:

- **Person Service (PS)** – represents a set of Application Programming Interface (API)s for person demographics and identity traits for users and veterans that are residing within the VHA environment. PS includes the following data elements:
 - Full Name (first, middle, last)
 - Date of birth
 - Gender
 - VPID (The unique identifier for each Veteran)
 - Social Security Number (SSN)
- **Name Directive Service (NDS)** – offers a set of look-up APIs to other internal services.

This was eliminated in ESR 3.5. The Person Service Identity Management (PSIM) Enterprise Java Bean (EJB) endpoints are hard coded in ESR configuration files.

The correlation between HECMS and PS is a bidirectional one. All requests for address updates and email changes are initiated through PS to HECMS. However, there are specific business processes that include person demographics and SSN updates changes that are requested through HECMS to PS. Another significant focal point to address is the different API calls HECMS has included within the PS libraries. The PS libraries store JAR (Java ARchive) files commonly known as Enterprise Java Beans (EJB), which are distinct modules that can be modified only through a planned release schedule.

 See [Appendix F: Person Services \(PS\) & Enrollment \(HECMS\)](#)

HECMS Software Architecture


The HECMS architecture represents a dynamic service-supported system that incorporates an event-driven broadcast paradigm. The architecture has an advancing assiduous runtime environment, where loose couplings between service providers and service consumer components engage in a robust and pliable interaction. This method allows the communication model to respond proportionately to real-time and stimuli changes compared to conventional request/reply mechanisms.

Listed below are the components that represent the HECMS architecture:

- **Business Service Layer** – a set of modular elements that are responsible for controlling the expert system and workflow functionalities. These services are accessed through external clients such as the ESR User Interface (UI), Web Services, and messaging components.
- **Event Layer** – represents the method of communication between the service layer (producer) and the following external and internal interfaces: UI, Messaging, or Internal Services (consumer).
- **Presentation Layer** – this layer is implemented through Struts. The tool consists of a based solution that provides pagination, security, and caching for the HECMS system.
- **Messaging Layer** – a messaging component (asynchronous) that is designed utilizing Java Message-Driven Beans.

- **Data Access Layer** – this layer employs the Hibernate 3.0 object relational mapping tool that is interwoven within the “Spring” framework.
- **Web Services Layer**– this layer exposes web services that can be consumed by the other systems or applications within VA. E&E service is the first web service provided by HECMS that facilitates other systems’ requests for E&E data stored within ADR.

The HECMS system is designed with the objective of minimizing and concealing complexities from the application developers. The concept of reusing versus reinventing was a crucial driving force behind redesigning the entire ES architecture. The HECMS architecture is derived from several open source projects in the Java community, along with many integrated customized shell tools.

 This document provides information on the Java components that are used in the HECMS Component Hierarchy. For more information on the subject matter, review the [Java Components](#) section of this document.

 See [Appendix B: HECMS Software Application Architecture](#)

HECMS Architecture Tiers

HECMS architecture environment originates from various open source projects driven by the Java society. The architecture environment is composed of the following three tiers:

- Presentation Tier
- Business Tier
- Access Tier

Presentation Tier

The Presentation Tier framework is provided through “Struts”, a web-based solution tool that allows the architecture the ability to concentrate on creating elements that plug into the framework. The usage of Struts provides a standard way of implementing specific system functionality, including mapping users’ actions as offered through the Business Tier component.

Business Tier

The primary functionality of the Business Tier is to control the workflow processes and services offered through the application server. The workflow processes and services include business use cases, web services, and delivery service messaging, better known as the interface engine.

Data Access Tier

The Data Access Tier consists of an object relational tool used in mapping the domain that resides on the “Oracle 10 DBMS (Database Management System)” package. Information travels directly between objects and the database while maintaining separate classes from each other. The business aspects are located in distinct classes that concentrate on data representation and domain logic.

Caching occurs at this level, which reduces the number of network calls and application latency and results in improved response time for the application.

 See [Appendix C: HECMS Architecture Tiers](#)

HECMS Modules

The HECMS application consists of four major modules. Each of these modules correlates with a set of services that are assiduous through other internal or external clients. The set of services consists of the following members:

- Framework Module
- Common Module
- Messaging Module
- Workflow Module (EDB)

Framework Module

The Framework Module administers to a powerful toolbox used within the development environment. This module aids in many different areas of the application development by providing crucial fundamental design patterns and formation to the project. In addition, it supports the necessary hooks required to integrate with third party libraries, which includes the following tools:

Systems Tools				
Hibernate	Struts	ILOG	Spring	Log4j

Table 8: System Tools

One of the most conventional design patterns used in the framework layer is the template pattern. The template pattern consists of a flexible and stable design pattern used to implement the variant behavior relationship of the HECMS environment. The framework module controls and monitors the behavior patterns of the HECMS system through a series of patterns. In addition to the template pattern, the framework “Services” is another enhancement.



Services that are provided within the framework include the following set of functionalities.

Template	Definition
UI Service	Provides a set of hooks required to integrate with the Struts and Spring framework.
Business Service	Contains an abstract service broker class that is extended by external components to invoke services from the UI and Messaging layers.
Trigger Service	Provides components and interfaces that are useful when various subsystems need to trigger events to each other. This event-driven process can be either synchronous or asynchronous, depending on the implementation.

Template	Definition
Rule Service	Defines the integration point between the J2EE environment and the ILOG JRule execution manager. One of the key features is the ability to pass Plain Old Java Object (POJO) components from the service layer to the JRule execution manager.
Security Service	Includes all classes of authentication and authorization management. The package is based on Java Authentication and Authorization Service (JAAS) standards using a Pluggable Authentication Module (PAM) framework. This service allows HECMS to remain independent from underlying authentication technology.
Persistence Service	Uses a Hibernate session manager combined with Spring Data Access Object (DAO) support to perform Read and Write capabilities and is located on the Data Layer.
Pagination Service	Provides support between the Spring and ValueList toolkit. The ValueList toolkit comes with Java Server Pages (JSP) tags that handle the rendering of the HTML paginated data and UI classes.
Model Service	Defines a list of behaviors that are required for the management of Data transfer layer used to compare with the creation information (who and when).
HL7 Builder Service	Allows the user to build message segments or message objects from HL7 raw data. In addition, it gives the user the ability to transform an object representation into a HL7 message.
Report Service	An open source Java reporting tool that reports dynamic or scheduled templates for the HECMS environment.

Table 9: Framework Module Services

Common Module

The engineering of the Common Module depicts the classic J2EE business logic layer that stores the core functionality of the HECMS business components. These components serve as a wrapper that limits what the front-end process receives from the back end process. The wrapper serves as a boundary that safeguards the system.

The Common Module contains the core functionality of the HECMS business components through POJO. The common module utilizes a separate JAR file that is package inside two Enterprise ARchive (EAR) files (Messaging and UI). Separating the core elements provides the architecture the flexibility required to deploy and reuse components across both Messaging and UI projects.



The Common Module warehouses the following elements:

- Service Layer
- Business Process
- Data Transfer Objects
- Rules Services
- Data Access Components

The following Services are only available through the Common Module:

- **Enrollment Service** – concentrates upon the enrollment and eligibility calculation for a new or existing Veteran.
- **Person Service** – processes data (demographics, military service, financial) for new and existing Veterans.

UI Module

The UI Module represents the Presentation Tier of the HECMS architecture. The foundation is built upon a Struts infrastructure that adds many new enhancements to the HECMS environment. The UI displays a paging mode that allows the user the ability to concentrate on specific items versus a large list. The combined elements of the UI module pagination capabilities, the Business Tier attributes, and the security attributes provide for a seamless integration.

Security is another feature that the UI module provides, which includes an encryption mechanism that hides form fields and URL links on the Java Server Pages.

Messaging Module

The Messaging Module is a set of services that provides support for passing messages between distributed applications. This method is accomplished through encapsulating messages that are sent between a sender and a receiver from a reliable (asynchronous) independent platform that supports communication with other internal VHA services. The entire process is achieved through Java Messaging Service protocols.

Workflow Module

HECMS core functionalities are processed and managed through the Workflow Module. This module controls and manages groups of use case requirements and specific events that manifest daily throughout the project.

This module offers the following sets of capabilities:

- **Inbound Services** – allows the user the capability to create, search, and assign specific cases. This service is employed through the UI module once a HEC user performs a certain set of case management functionality.
- **Outbound Requests** – represents the external set of requests that are made through the workflow module depending upon the use case scenario. The triggering events are managed and maintained through the outbound request sub module.

Workflow Sub-Module

The following elements are controlled and processed through the outbound request sub module located in the Workflow Module:

- **Workflow Cases** – encapsulates data through the Business Object Model classes of the workflow management engine.
- **Workflow Events** – occurs whenever a new E&E calculation takes place. These events are initiated only when an HL7 message is processed.

Business Rule Engine

The Business Rule Engine substructure is a robust and agile development tool used for testing and deploying the processes that manage Veterans' enrollment and eligibility benefits. The primary purpose for developing the Business Rule Engine is to determine Veterans' level of eligibility. This process is accomplished by applying and executing a number of business rules and computation tasks that undergo frequent updates and revisions. This mechanism abstracts and scales all business rules.

Workflow Engine

The Workflow Engine is aimed at arranging “out-of the box” case management from methods used for monitoring capabilities. It provides the case workers at the HEC with the quintessential tools that are required for defining, examining, and modeling business processes that directly meet their specifications. The Workflow Engine can identify bottlenecks accurately through auditing the systems performance.

A “third party” rule engine is also used to administer to the application logic. The “ILOG JRule,” engine manages the execution of business rules and logics that are associated with the HECMS system. The ILOG engine separates rules into a managed execution formation that allows testing and controlling the application components more effectively.

E&E Web Service

In order to provide appropriate services to Veterans, many divisions within the VA need access to the authoritative Eligibility and Enrollment information that the Health Eligibility Center maintains. The E&E web service provides this information, maintained in ADR database, to authorized consumers, and customizes the information based on their roles and their needs.

MSDS Web Service Interface

Military Service Data Sharing (MSDS) information is required by the VHA HEC to support the processing of Veteran eligibility and enrollment priority for VA Healthcare. This inter-connection between VHA ESR, VA/DoD Information Repository (VADIR), and the VBA Beneficiary Identity Record Locator System (BIRLS) enables VHA to streamline enrollment of veterans for VA Healthcare through automation of the ESR system to include enhanced access to a Veteran's military history information. Through this interface, ESR enables HEC users to view authoritative military service information when verifying E&E priority for VA Healthcare.

ESR-MVI Web Service Interface

ESR 3.6 and 3.7 introduced a web service interface between ESR and MVI.

ESR 3.5 introduced “Add A Person” functionality in ESR, which required interfacing with the Master Veteran Index (MVI) system to retrieve an existing identity or establish the identity for the new person being added.

ESR 3.7 replaced the existing MVI EJB interface obtain (IQI, 200ESR) with the web service interfaces. ESR 3.7 also introduced the transmission of Date of Death information received by MVI to ESR. MVI uses the ESR web service interface to communicate this information. ESR 3.7 introduced real time updates of permanent address and home telephone number of veterans to MVI using MVI web services.

ESR 3.12 eliminated attended search EJB calls with web service API calls. ESR 3.12 also transitioned the responsibility for creating person stub record creation from MVI to ESR.

VBR Web Service Interface

ESR 3.10 implemented the VBR web service interface. This interface is used by the Veteran Benefits Handbook system to store and retrieve the handbook related artifacts such as Veteran Benefits Handbook, Benefits Profile and Health Benefit Plan Data String.

VOA Web Service Interface

ESR 3.11 implemented the VOA web service interface. This interface is used by the Veteran Online Application Front end application to submit the 1010EZ forms filled out by the Veterans for processing in ESR.

ESR –IVM Web Service Interface

ESR 3.11 implemented a web service interface from ESR to IVM. IVM utilizes the enhanced E&E web service interface to obtain the real time updates from ESR for persons that are of interest to IVM.

ESR 3.12 is implementing the bi-directional interface. IVM will be sending updates to ESR using the web service.

Parameters



The table listed below depicts the following Parameters captured from HECMS Messaging.

File Name	Global
AA	Represents the count for application acknowledgements.
AA %	AA count divided by trans_log count.
App Config	Applies to the configuration formatting of the application server: <ul style="list-style-type: none"> • The number of machines • The number of processes for each machine
Batch ID	Used for each test to track a batch file.
Batch Size	Represents the number of messages per batch file.
CPU	Sustained CPU usage for each application server.
DNIM	Indicates the size of the data that is not in motion prior to testing.
Msg Count	The volume of the input messages.
Msg_In_Dir	Represents the count of messages in Message_In_Dir on the applications server.
Msg_Out_Dir	Represents the count of messages in Message_Out_Dir on the applications server.
New/Old	The number of messages from a new Veteran or existing Veteran.
Outack_q	Represents the count in to/out of the acknowledgment queue.
Outq_rsltq	Represents the count in to/out of the query_result queue.
Rate	The message processing rate in messages per second.
RunID	Each ID is mapped back to a test case or test sub-case.
Start/End/Time	Tracks the first and last logged transactions.
TTFB (Time to First Byte)	The number of milliseconds that has passed before the first byte response was received.
TTLB (Time to Last Byte)	The number of milliseconds that has passed before the last byte response was received.
Trans%	Transaction log count divided by message count.
Trans_Log	Used to calculate the rate of a message transaction.
Trans_log2	Count of secondary processing due to processing of QRY-Z10/11 messages.
Vet%	Veteran count divided by message count.

Table 10: Messaging Parameters

Remote Procedure Calls

HECMS does not expose Remote Procedure Calls (RPC) via the Cross-Application Integration Protocol (CAIP) (RemoteEJB) interface.

Web Service Interface

- ❶ For the details on the E&E web service interface, please review the E and E Service Description Document on the HECMS Customer SharePoint website.
- ❶ For the details on MSDS web service interface, please review the Interface Control Document (ICD) on the HECMS Customer SharePoint website.
- ❶ For the details on ESR MVI web service interface, please review the MVI Interface Control Document (ICD), E and E Service Description Document on the HECMS Customer SharePoint website and MVI service description document on the Identity Services Web site.
(http://tspr.vista.med.va.gov/warboard/ProjectDocs/MVI/MVI_Service_Description.pdf)
- ❶ For the details on VOA web service interface, please review the VOA Service Description document on the HECMS Customer SharePoint website.
- ❶ For the details on VBR web service interface, please review the VBR Service Description Document (ICD) on the HECMS Customer SharePoint website.

Database Information

This section describes the many benefits that HECMS offers along with the major advantages it has over the aging HEC Legacy system.

The Administrative Data Repository (ADR) is the official database used by the HECMS system. ADR is designed to support the storage of administrative data for the VHA Legacy HEC system. It provides the capability to store data received from VistA files.

ADR supports administrative data elements relative to multiple categories of a “Person Entity”. Initially, it was built to focus upon the computing needs of VHA. ADR is positioned to provide identity management and demographics support for all IT systems within the VA.

As the authoritative data store for cross cutting administrative person data, ADR establishes and manages data as a corporate asset. ADR is centralized and has the ability to distribute administrative data across geographically.


 See [Appendix H: ADR Definitions, Acronyms & Abbreviations](#) to view ADR definitions and acronyms

Database Tables and Diagrams

ADR tables are categorized and based on functional components. All Identity Management tables and Demographics data resides in a separate schema called PSIM. All other tables in the ADR schema support E&E data. ADR connects to Standard Data Service (SDS) for system-wide reference data and leverages common services in order to host applications.

Functional Components initially consisted of the following:

- Data Sources:
 - PSIM Data – migrates from the Master Patient Index (MPI)
 - PSD Data – migrates Person Service Demographics tables
 - ESR Data –migrates from Health Eligibility Center (HEC) files
- Table Counts (approximately):
 - 50 Identity Management tables
 - 20 Demographics tables
 - 70 Enrollment tables
- Data Statistics (approximately):
 - 30 million Person records
 - 60 million Enrollment determination records

 To learn about all of ADR tables, diagrams, and models, review the ADR Phase II TSPR site.

HECMS Design Methodology

HECMS design principals are based on a large-scale enterprise solution that is designed to manage eligibility, enrollment, and workflow decisions by providing healthcare benefits and services to all VHA beneficiaries.

The HECMS design methodology encompasses the following components:

Name	Definition
HECMS Application	The application is composed of two major subsystems: <ul style="list-style-type: none">• Case Management• Expert Subsystem
	ADR is a data repository that warehouses all HECMS data elements and services.
HECMS System	The system is designed with software layers and service based principals that are segmented under two major components: <ul style="list-style-type: none">• Enterprise Framework• Application Component

Table 11: HECMS Design Methodology Components

Exported Groups and/or Options and Menus

The Enrollment VistA Changes Release 2 (EVC R2) project supported technology and business changes that occur during the implementation of the HECMS project. The EVC project was broken up into three phases: EVC - Early Release; EVC - Release 1, and EVC - Release 2. The EVC R2 project introduced additional functionality in preparation for HECMS to become the system of record. The following VistA packages were modified in order to fulfill the following business requirements/groups:

- Registration
- Enrollment
- Income Verification
- Scheduling
- Patient Care
- Radiology
- Laboratory

All changes are included in a combination of host files and individual patches. The names of the installed patches and host files are listed below:

- Location for all host files:
 - ANONYMOUS.PUB on the Albany server
- **Enrollment Hostfile EAS*1.0*111.KID** (Patches included in the host file)
 - DG*5.3*871
 - EAS*1.0*111
 - IVM*2.0*155

Functionality

The following enhancements were provided with Release 2 (R2):

- New field to capture and share Permanent and Totally (P&T) Disabled effective date information.
- Upload Prisoner of War (POW) captivity dates and location information as sent from ESR.
- Implement SW Asia Conditions changes.
- Provide the ability to process Shipboard Hazard and Defense (SHAD)/Project 112 Exposure.
- Share the effective date(s) of inactivation for spouse and/or dependent.
- Add consistency checks for date of marriage and dependent effective date.
- Implement SSN verification changes.
- Enhance address sharing functionality.
- Share Non-Veteran data (with the exception of Employee only data).
- Implement new 'Not Applicable' enrollment status.

- Align the value for 'Patient Type' between VistA and HEC.
- Implement 10-10EZ changes.
- Allow collection of funeral and burial expenses for Veterans who do not have a spouse or dependents.


Security Keys and/or Roles

Roles		
Local Administrator	ISO	Report Viewer - DQM
System Administrator	IRM	Report Viewer - LAS
EE LAS	Report Manager- Everything	Report Viewer - PSC
EE Supervisor	Report Manager - DQM	Report Viewer - SSN
DQ Supervisor	Report Manager - LAS	Report Viewer - NON-HEC Limited
Director	Report Manager - PSC	Undeliverable Mail Manager
EE Program Clerk	Report Viewer - Everything	EGT Manager
VistA Clerk	Report Viewer - Non-HEC	IV LAS
Call Center Clerk	Report Viewer - HEC	
HEC Administrator	E&E Service Request Management E&E Service User Management	

Table 12: Security Keys & Roles

Federal policies require that all IT positions are evaluated and that a sensitivity level is assigned to the position description. A background investigation is required for all VHA employees filling sensitive positions. VHA personnel and non-VHA personnel, including contractors, shall have personnel security clearances commensurate with the highest level of information processed by the system.

User access is restricted to the minimum necessary to perform the job. Each HECMS user is assigned privileges that allows or restricts updating, deleting, and/or inserting records in the database. In addition, HECMS uses application-level security controls to limit access to various system functions to only authorized users.

 To learn more about the roles and the levels of access assigned to users, review the VHA SDS tables.

Java Components

J2EE Components and Guidelines for HECMS

HECMS consists of a scalable, modular architecture that is built upon a J2EE structure. The technical specifications for HealthVet standards apply to the enterprise level application, which enforces the rules that all components must comply with the HealthVet guidelines.

The J2EE components consist of Extensible Mark-up Language (XML) files that control the behavior of the framework services. This is relevant at all levels of the architecture that affect business objects and metadata used to define attributes. The workflow or transaction level adopts the XML file needed in order to process the flow of complicated tasks.

The HECMS design patterns are used in solving recurring problems that occur within the software development stage. The HECMS architecture uses a number of different design patterns that includes Template, Visitor, and many other factory based designs.

The standards and guidelines for HECMS are the primary drivers behind selecting the appropriate set of tools for the overall design and development of the architecture.

HECMS Component Hierarchy

The HECMS Component Hierarchy consists of software layers, components, design subsystems, and design services that are used to organize data. The layers are constructed to provide support for base functionality for other layers. The components represent business processes that are unique solely to the HECMS architecture by separating system levels. This method reduces the complexity of the system for the development team and minimizes the cost and risk that are associated with implementing and maintaining the system.

Diagram of HECMS Component Hierarchy

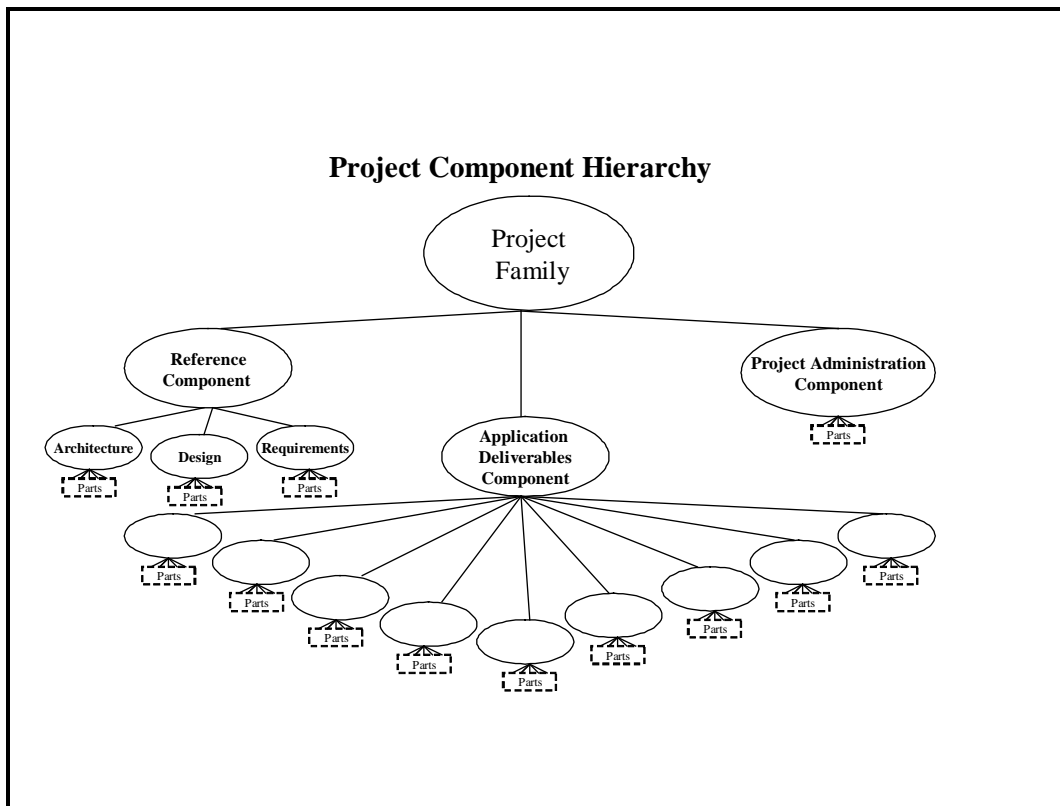


Figure 1: HECMS Component Hierarchy

HECMS Component View

The HECMS Component View describes the tools used to connect and deliver the physical system at the highest level by redesigning the system into classes.



The table below illustrates the following components found in a high-level package.

HECMS Components		
com	gov	ilog
implementation model	Java	javax
junit	Net	oracle
org	sun	WebLogic
main		

Table 13: HECMS Components

Reporting Tool

The reporting tool used for HECMS is known as Jasper Reports. This tool is designed by Java and was selected primarily for its effectiveness in governing functional capabilities suitable for supporting solutions. The tool delivers the following files: PDF (Portable Document Format), HTML (Hypertext Markup Language), XLS (MS Excel), and CSV (Comma-separated Values).

Jasper Reports was written entirely in the Java language and is integrated in the HECMS architecture to include both the dynamic and schedule reporting. The dynamic and schedule reports are designed for the users to have the ability to control the template, data elements, and output. All schedule reports are automatically generated through the system.

Set-up and Configurations

Configuration Introduction

This section identifies processes and procedures involved in the complexity of HECMS interfacing with other VA and external applications. This document will provide knowledge on the following environments:

- Production Verification
- Status Accounting
- Project Auditing
- Interface Management
- Configuration Control

HECMS was using the Naming Directory Service (NDS) for its CAIP touch points with other HealthVet systems. NDS was configured on a separate physical server and contains the physical end-points of all remote services. For example, HECMS was using NDS to communicate to PSIM and vice versa. Since NDS was not maintained actively by any group, in ESR 3.5, the NDS interface was eliminated.

Note: This is not applicable for ESR 3.5 and later.

Configuration Control

Configuration Control manages all software, hardware, operating systems, documentation, and environmental configurations. The primary function of the configuration control process is to maintain a conventional and reliable environment for the creation and implementation of a product. All configurable items that initiate modifications within the design and development process are monitored and regulated through Configuration Control.

Status Accounting

Status accounting configuration targets all tracking, reporting, and recording of data required to operate and control the project. This includes change request that identify the foundation resource usage of the overall systems condition and present state.

Production Verification

The HECMS testing process includes performing an informal test readiness that occurs before the actual system testing. All deficiencies that impact internal issues are reported and addressed through the HECMS staff. The data is reviewed and resolution is determined for all front-end and back-end defects.

Configuration Tools

The Rational Suite contains ClearCase and ClearQuest tools, which are the preferred choice for managing problems, tracking, and notification processing within the CM Environment.

The Configuration Management (CM) diagram depicts the various tools selected for developing the HECMS project:

- CR (Change Request) Data Base
- Planning & Reporting
- Access Control
- CM Administrative
- Build

 See [Appendix G: Configuration Management Environment](#)

Security Key Controls Assignments

Listed below are the minimum-security controls that were put in place prior to authorizing HECMS for processing:

- Technical and/or Security evaluation completed.
- RA conducted.
- Rules of behavior established and signed by users.
- Contingency plan developed and tested.
- Security plan developed, updated, and reviewed.
- Assurance that the system meets all applicable federal laws, regulations, policies, guidelines, and standards.
- In-place adequate and appropriate planned security safeguards.

Assigning Menus/Options

Not applicable

Assigning Health_Vet Desktop Perspective

HECMS is a web-based system, not an application.

Setting Activation Date


Not applicable

Setting Key Assignment

Not applicable

Conversion Instructions

Not applicable

 To learn more about HECMS Configuration information, see the *ESR_3_0_Configuration.pdf* document in the ESR 3.0 TSPR Project Notebook.

Troubleshooting

Base System Hardware



All hardware is located at the Austin Information Technology Center (AITC) Austin, Texas.

The following minimum standard equipment is required before troubleshooting the system. For the actual system resources for each release, contact the AITC in Austin, TX.

System Resources		
Resource	Quantity	Name and Type
Application Servers	3	Dell Power Edge 2850 Base Unit: PowerEdge 2850, 3.6 GHZ/2M Cache Xeon, 800MKz Front side Bus Processor: Dual Processor for 800FSB, PowerEdge 2850 Memory: 8GB DDR2, 400MHz Operating System: Windows 2003 Enterprise Edition, 25 Client Access Licenses English (420-3895); Linux RedHat Advanced Server 4.0 Update 2
Web Server	1	Dell Power Edge 2850 Base Unit: PowerEdge 2850, 3.6 GHZ/2M Cache Xeon, 800MKz Front side Bus Processor: Dual Processor for 800FSB, PowerEdge 2850 Memory: 8GB DDR2, 400MHz Operating System: Windows 2003 Enterprise Edition, 25 Client Access Licenses English (420-3895); Linux RedHat Advanced Server 4.0 Update 2
Database/Data Server	1	HP 9000 Superdome 32-way server Base Unit: HP Superdome EDB has a hard partition on each server consisting of 8 CPUs Processor: PA8700+ 875MHz CPU Memory(16GB): 512Mb DIMM Hard Drive: 36GB DVD Drive: DVD ROM Device for HP Server Superdome systems NIC: PCI 1000Base-T Fibre Channel Adapter; PCI 10/100Base-T LAN Adapter Operating System: HP-UX Enterprise OE Server Media - HP-UX 11i Version 1

Table 14: Base System Hardware



To learn more on troubleshooting information, see the *ESR Troubleshooting Guide.pdf* document in the ESR 3.0 TSPR Project Notebook. This is a living document and will be updated periodically.

Appendix A: HECMS V3.12 Software Application

The screenshot displays the HECMS v 3.12 Software Application interface within a Windows Internet Explorer browser window. The browser's address bar shows the URL: <https://vaww.esrstage1b.aac.va.gov:9443/esr/admin/search.do>. The page title is "Enrollment Systems Redesign - Veteran Record Overview".

The application header includes the date and time "Tue 04/23/2013 6:43:48AM", a "Welcome:" message, and a "SIGN OUT" link. Below this is the "Veterans Health Administration Health Eligibility Case Management System" banner, featuring a navigation menu with links: Home, Veteran, Worklist, Registry, HL7 Messages, Mail, Reports, Reference, VOA Resubmission, ESR Registration, Admin, and CHANGE PASSWORD.

The main content area is titled "Person Search > Overview" and displays the record for "NAME: GOLDENTEST, AMY". The record includes the following details:

- SSN: [REDACTED]
- DOB: 00/02/1969
- ENROLL STATUS: VERIFIED (GROUP 1)

The record is organized into several sections, each with a tabbed interface:

- Overview** (selected): Displays the primary eligibility code "SERVICE CONNECTED 50% to 100%", secondary eligibility codes, current number of health benefit plans (0), service-connected percentage (50), and eligibility status (VERIFIED).
- Update Current Eligibility** (ELIGIBILITY): A link to update the eligibility information.
- Update Financial Assessment** (FINANCIALS): A link to update the financial assessment, showing "No Current Income Test Data Available".
- Update Enrollment Dates** (ENROLLMENT): A link to update enrollment dates, showing "Application Date: 08/12/2010" and "Effective Date of Change: 10/30/2012".
- Update Mailing Address** (DEMOGRAPHICS): A link to update the mailing address, showing "Address (Street and Number): 100 HARRISON AVE, GLENS FALLS, NY 12801, UNITED STATES" and "Home Phone: (518)444-8873".
- Update Date of Death** (DEMOGRAPHICS): A link to update the date of death, showing "Current Status: Alive".
- View Handbook Communication** (COMMUNICATIONS): A link to view handbook communications, showing a table of communications.
- Update Signature Index** (SIGNATURE): A link to update the signature index, showing "No Data on File".

The bottom of the browser window shows the "Local intranet" status and a "100%" zoom level.

Figure 2: Screenshot of the HECMS v 3.12 Software Application

Appendix B: HECMS Software Application Architecture

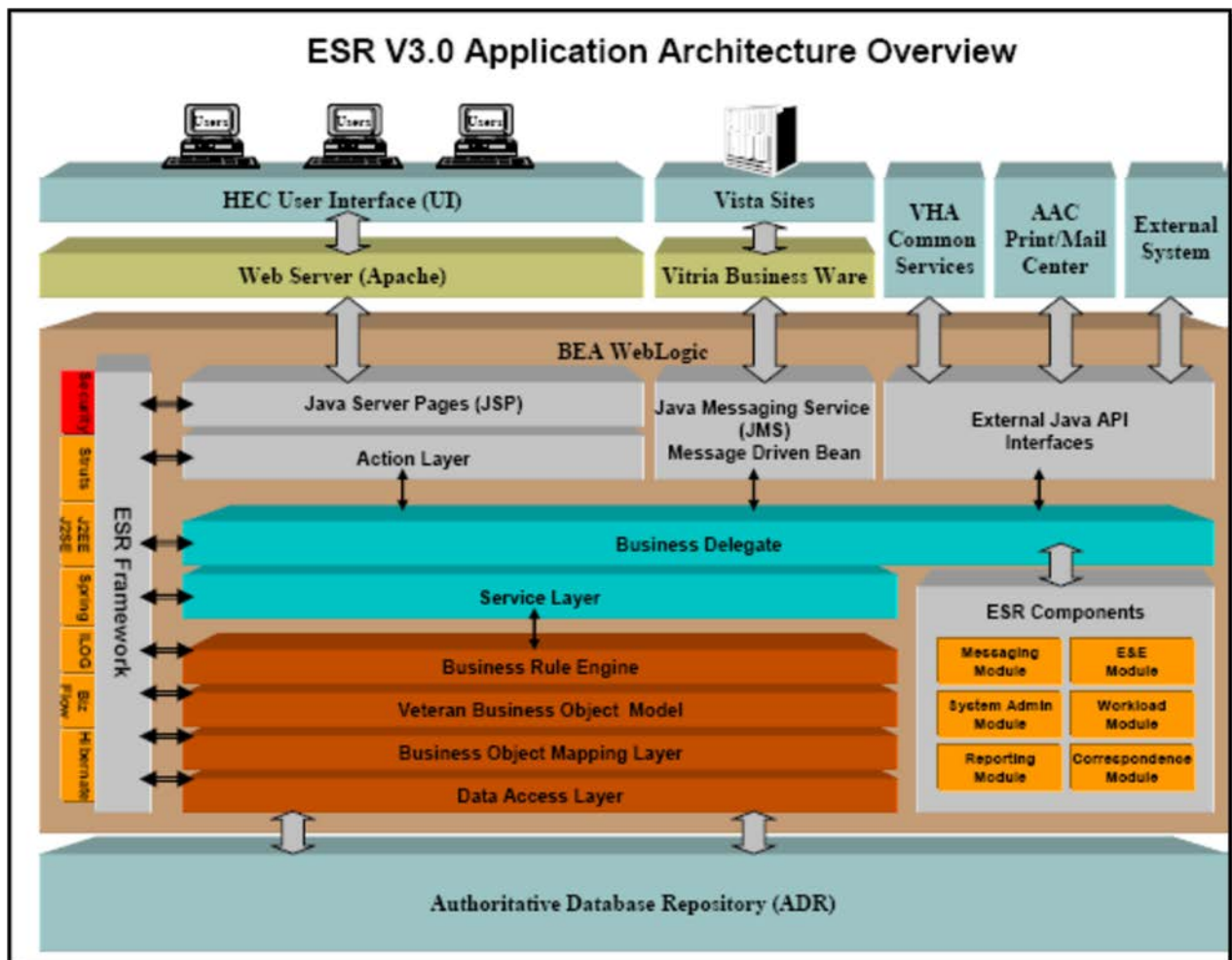


Figure 3: HECMS Application Architecture Overview

Presentation Tier	Business Logic Tier	Data Tier
<ul style="list-style-type: none"> • Frontend • UI/UX • Client-Side Logic 	<ul style="list-style-type: none"> • APIs • Business Rules • Domain Logic 	<ul style="list-style-type: none"> • Database • ORM • SQL



Appendix D: HECMS System of Systems

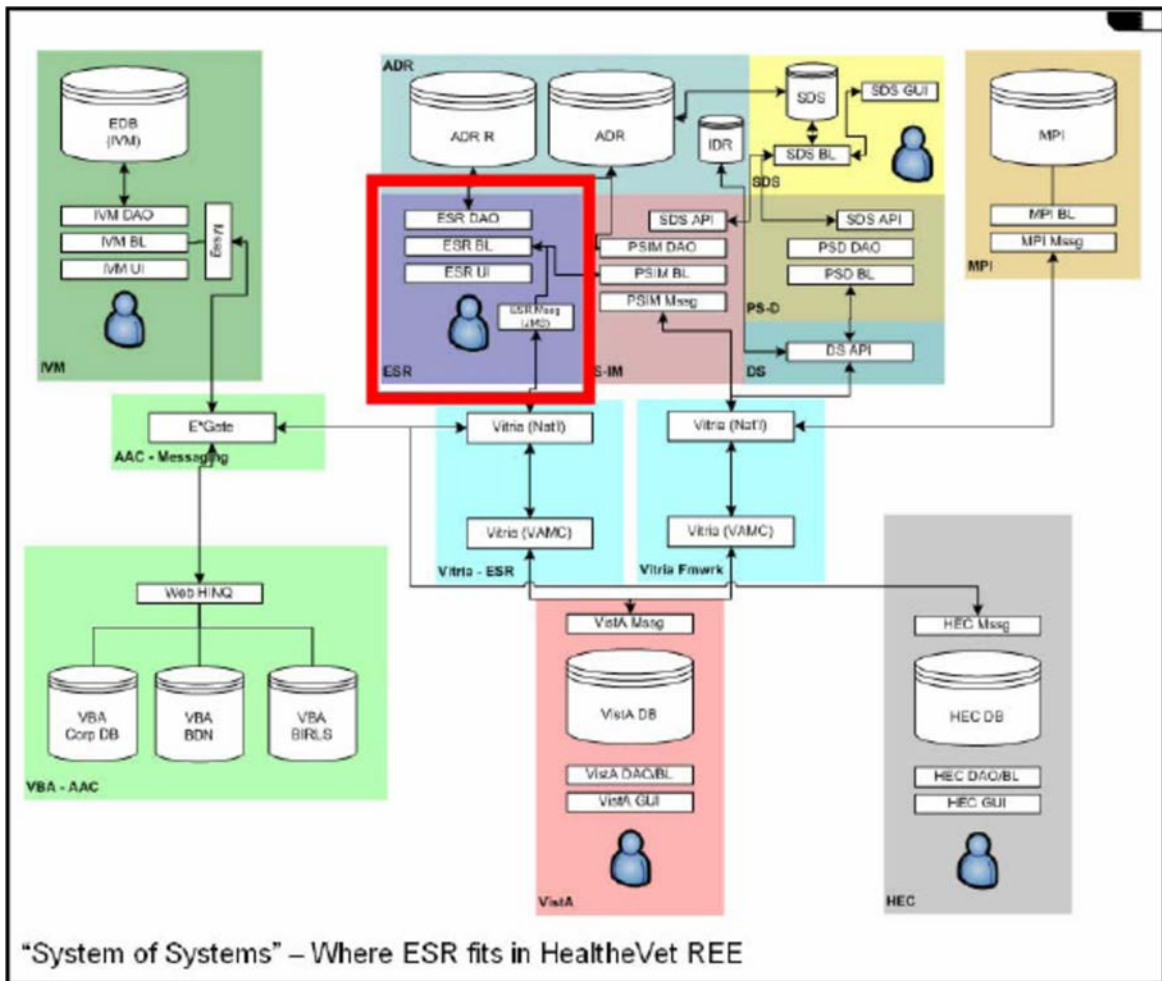


Figure 5: HECMS System of Systems

Appendix E: VA Enrollment & Eligibility System

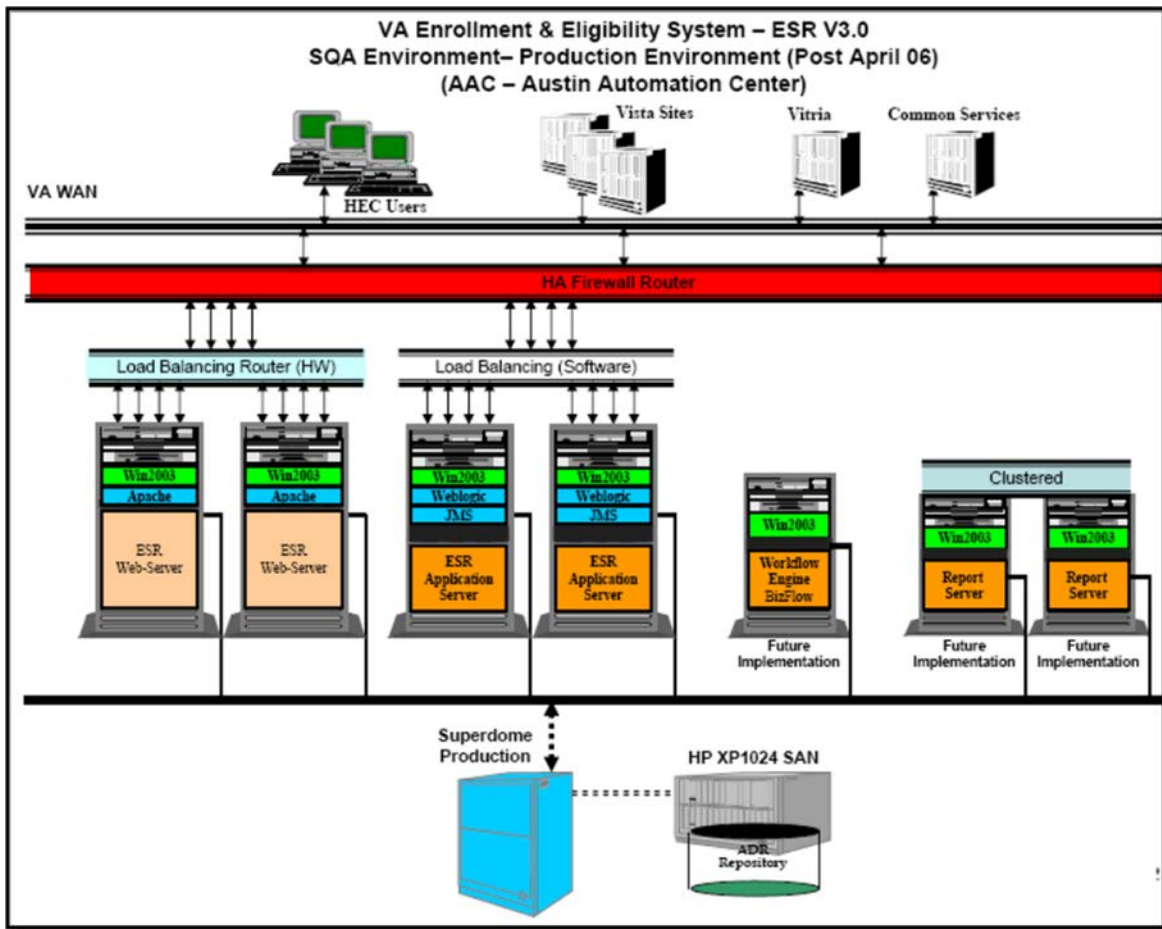


Figure 6: VA Enrollment & Eligibility System

Appendix F: Person Services (PS) & Enrollment (HECMS)

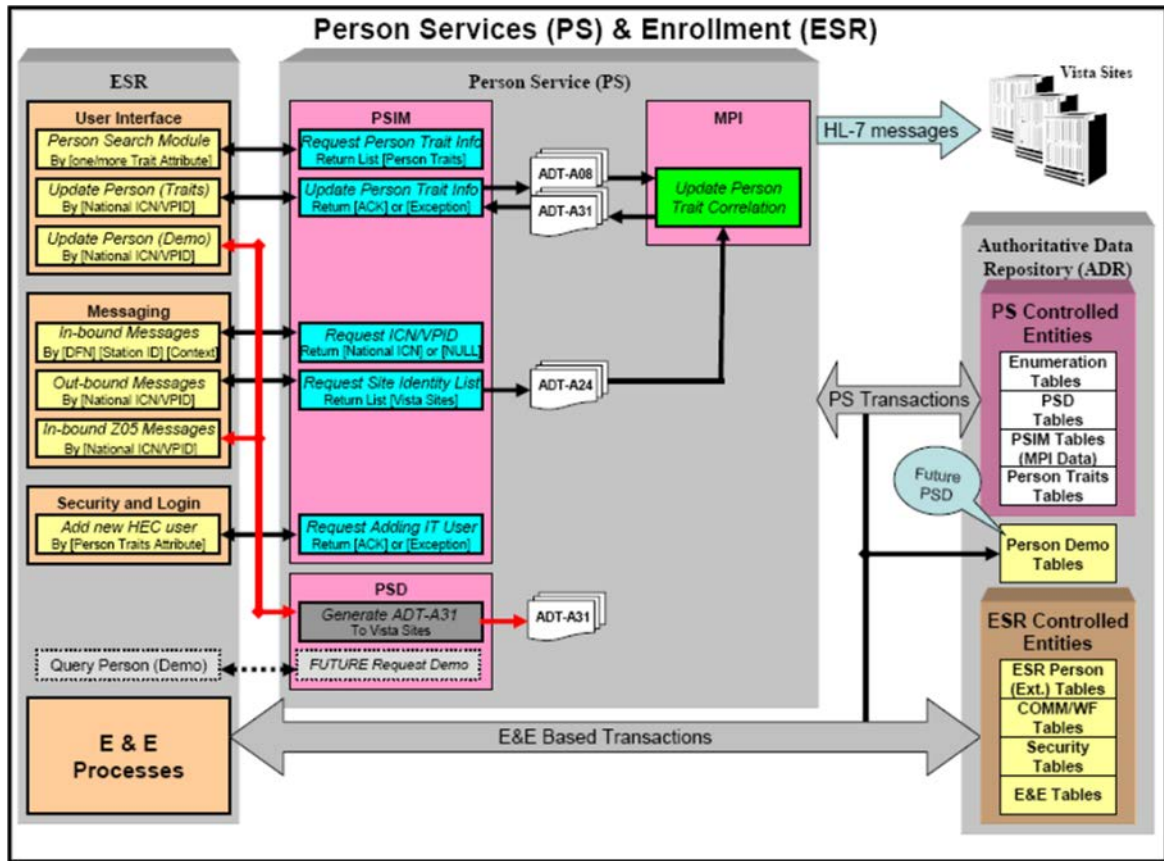


Figure 7: Person Services (PS) & Enrollment (HECMS)

Please note that in ESR 3.5, an additional web service interface was introduced between ESR and PSIM.

Appendix G: Configuration Management Environment

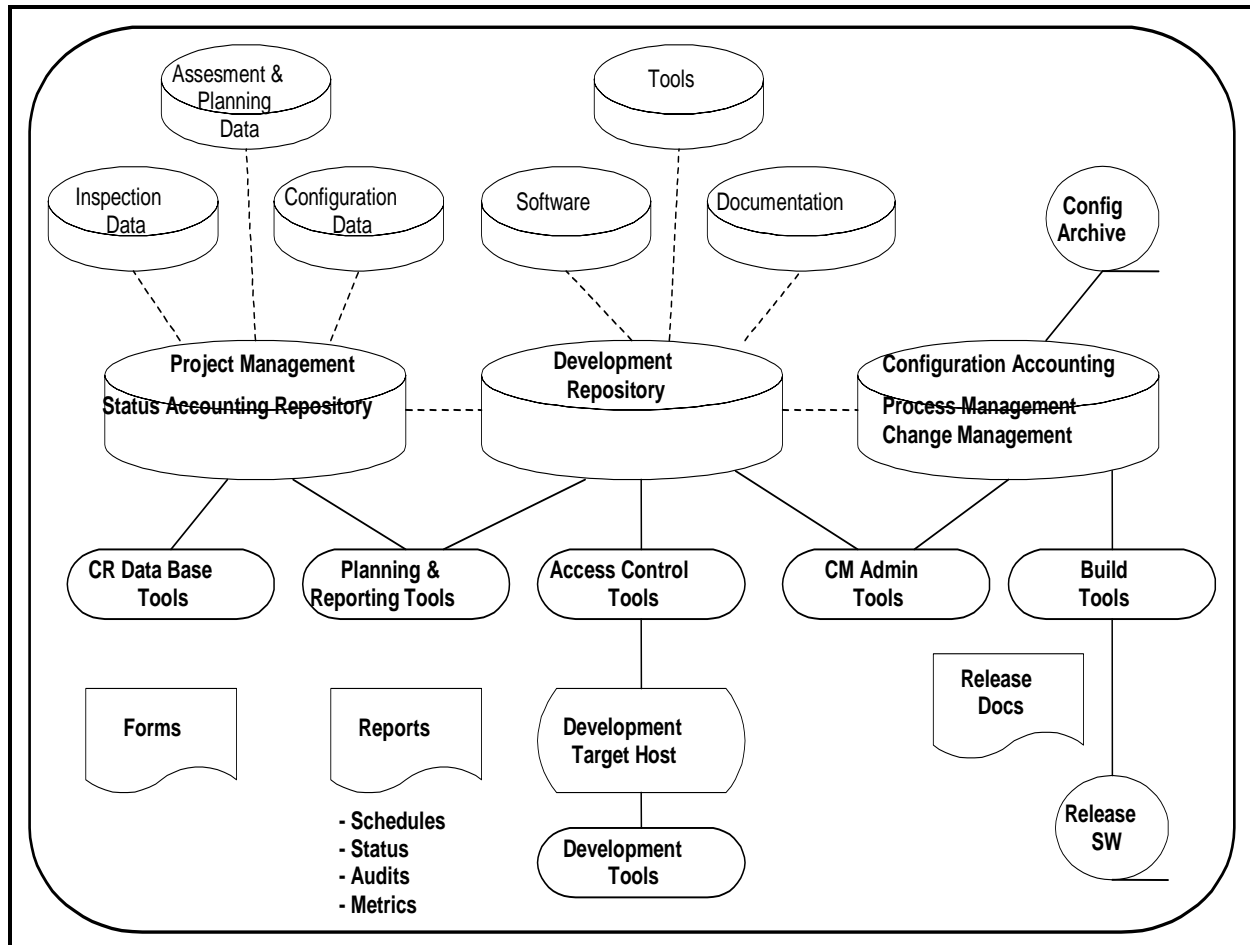


Figure 8: Configuration Management Environment

Appendix H: ADR Definitions, Acronyms & Abbreviations

Acronym	Definition
ADR	(Administrative Data Repository) ADR is the national repository support that stores Administrative Data for the VHA legacy HEC system. ADR stores VistA File 200 Identity data as currently being enumerated by the Person Services Identity Management team.
ESR	(Enrollment System Redesign) A project effort designed for the completion development of the Enrollment Database (EDB) Version 3.0. EDB Version 3.0 replaced the HEC Legacy system and provides additional enhancements in support of the Health Eligibility Center.
CBO	(Chief Business Office) Directing body that represents the Federal/Congressional objectives of VHA and provides rulings, guidance and business requirements to Information Technology teams.
IVM	Income Verification Matching
MVI	(Master Veteran Index) Master Veteran Index is an index that uniquely identifies each active patient treated by the Veterans Administration and identifies the sites where a patient is receiving care.
PSIM	(Person Service Identity Management) A portion of the common business services layers as prescribed by the Health_Vet logical model. Consists of a series of person oriented business components user interfaces for person identity management required to support implementation of service oriented n-tier application architecture. Centralized/distributed deployment architecture and application modernization as specified by VHA Enterprise Architecture.
PSD	(Person Services Demographics) A portion of the common business services layer as prescribed by the Health_Vet logical model. It will act as an authoritative source of patient administrative data and will formulate an abstraction layer between applications and databases. Comprised of a variety of sub-services, which support the input/retrieval of data and patient specific functionality such as lookup and enumeration.
HEC	(Health Eligibility Center) Central legacy repository for the veteran's demographic, eligibility, enrollment, and financial data.
TSPR	(Technical Services Project Repository) Project repository for all HSD&D projects.
VA	(Department of Veterans Affairs) Department of Veterans Affairs

Acronym	Definition
	(VA) was established on March 15, 1989, succeeding the Veterans Administration. Responsible for providing federal benefits to veterans and their families. Headed by the Secretary of Veterans Affairs, VA is the second largest of the 15 Cabinet departments and operates nationwide programs for healthcare, financial assistance and burial benefits.
VHA	(Veterans Health Administration) One of three major branches in the VA focuses on providing a comprehensive healthcare system for the nation's veterans.
VISTA	(VHA Information Systems and Technology Architecture) Automated information system that the Department of Veterans Affairs utilizes for the support of patient care at various medical facilities.

Table 15: ADR Definitions, Acronyms, & Abbreviations

Appendix I: E&E Service Logical Architecture

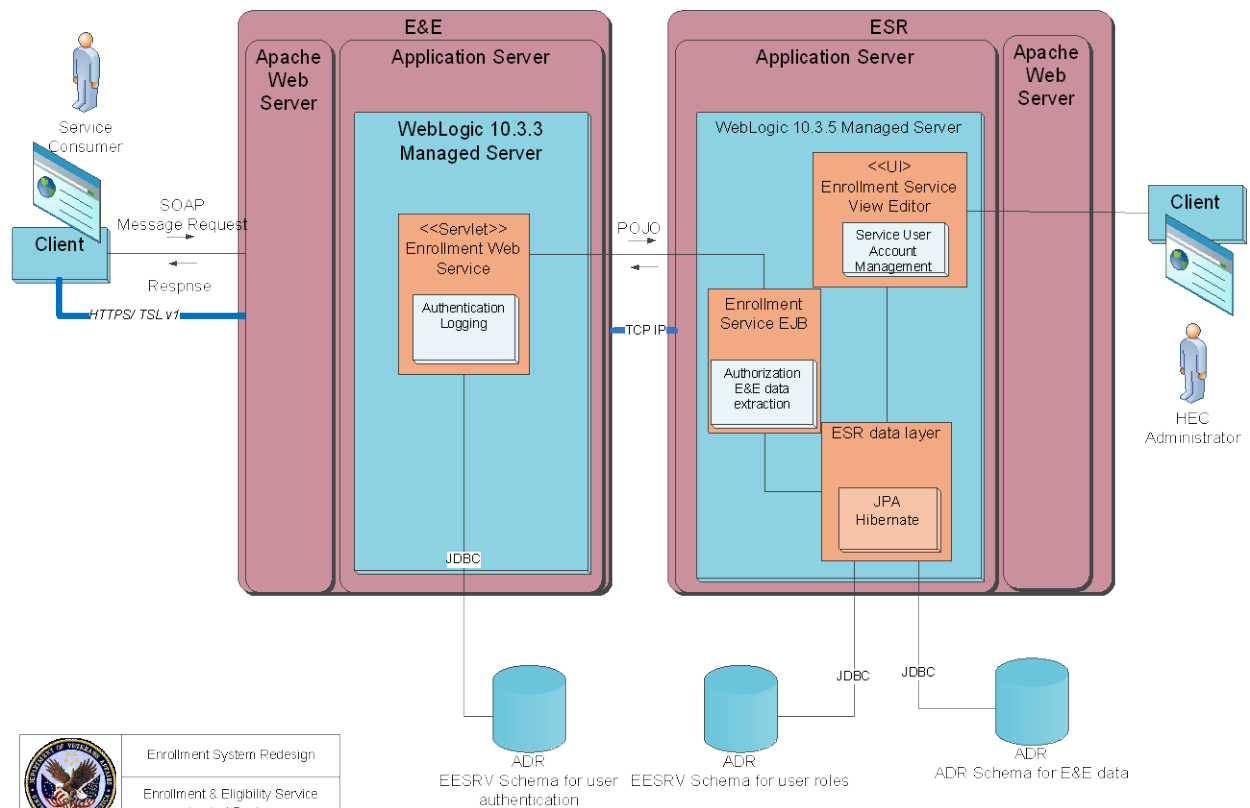


Figure 9: E&E Service Logical Architecture

	Enrollment System Redesign		
	Enrollment & Eligibility Service Logical Design		
Update 02/2012	Revision	1.8	
Create 03/23/10		P	1 OF 1

Appendix J: E&E Service Logical Network Architecture

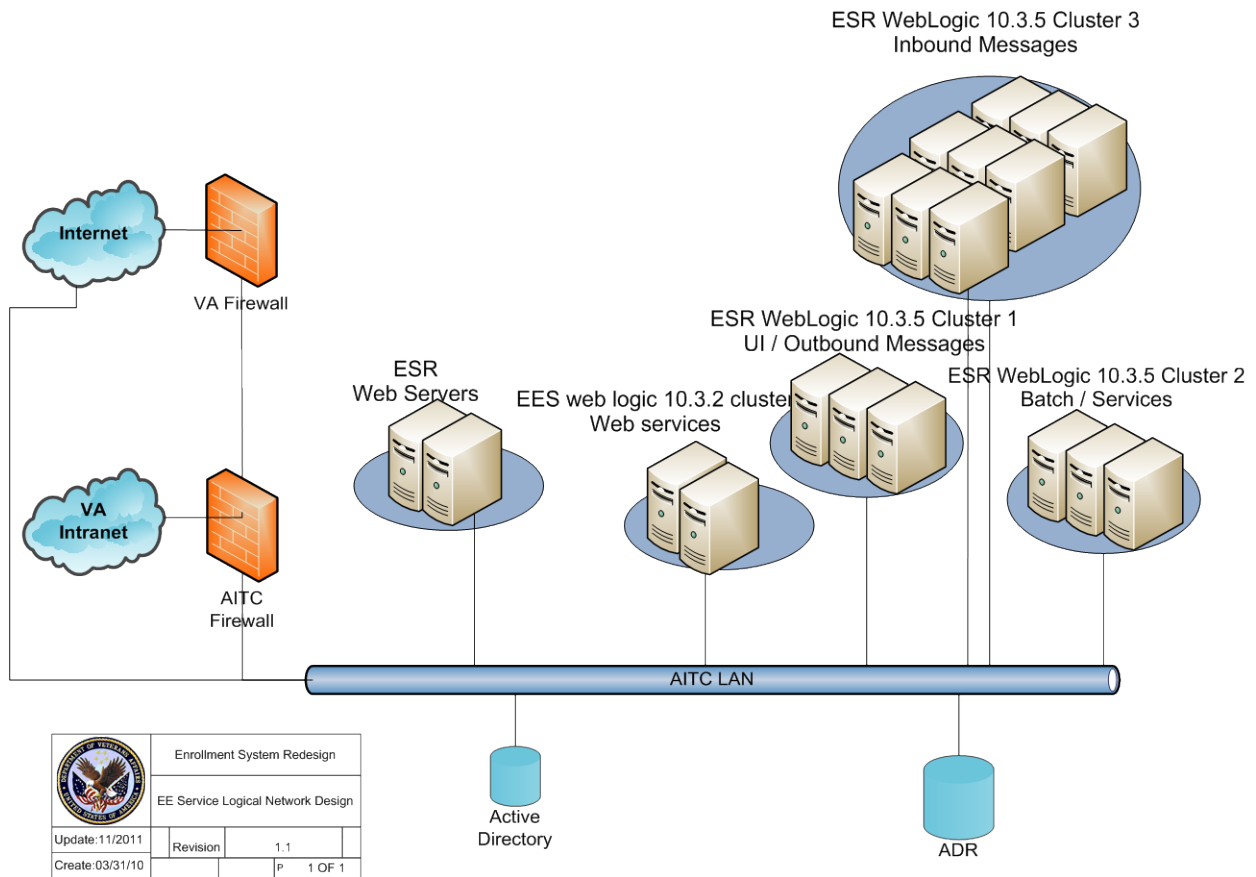


Figure 10: E&E Service Logical Network Architecture

Appendix K: E&E Service Software Components

- WebLogic 10.3.3
- JAVA 1.6.0
- Spring WS 2.0.0-M2
- Spring: 3.0.0

Glossary

Name	Definition
Apache Ant	A Java base application tool used to build software processes.
Apache Struts	A MVC framework used for the development of web based applications.
Architecture	The organizational structure of a system or component.
Configuration Control Board (CCB)	Represents a group of people who are responsible for evaluating and approving or disapproving proposed changes to a product and or project.
Hibernate	An Object Relational Mapping tool used to remove application components.
ILOG Rule	An execution engine that is responsible for application business management processes.
JasperReports	An open source components for J2EE based application. The solution provides out of the box capability for pdf and other template type reports.
JUnit	A unit testing framework used for testing the different components of an application.
Log4j	A lightweight utility framework that is used for logging, tracing and monitoring applications.
Spring Framework	A light container framework with an AOP base module support.

Table 16: Glossary of Terms