Operations Manual

RTG Tools 3.6

Real Time Genomics

Edition: December 2015



ABSTRACT

This manual documents the use of RTG Tools software from Real Time Genomics. It describes both product use and administration.

Notice

Real Time Genomics does not assume any liability arising out of the application or use of any software described herein. Further, Real Time Genomics does not convey any license under its patent, trademark, copyright, or common-law rights nor the similar rights of others.

Real Time Genomics reserves the right to make any changes in any processes, products, or parts thereof, described herein, without notice. While every effort has been made to make this guide as complete and accurate as possible as of the publication date, no warranty of fitness is implied.

© 2015 Real Time Genomics All rights reserved.

Illumina, Solexa, Complete Genomics, Ion Torrent, Roche, ABI, Life Technologies, and PacBio are registered trademarks and all other brands referenced in this document are the property of their respective owners.

Table of Contents

Chapter 1:	Overview	. 5
1.1	Introduction	. 5
1.2	RTG software description	. 5
1.9	Installation and deployment	5
1.9.1	Quick start instructions	
1.9.2	License Management	
1.10	Technical assistance and support	
Chapter 2:	RTG Command Reference	
2.1	Command line interface (CLI)	
2.2	RTG command syntax	
2.3	Data Formatting Commands	
2.3.1	format	
2.3.3	sdf2fasta	
2.3.4	sdf2fastq	
2.3.5	sdf2sam	
2.11	Utility Commands	
2.11 2.11.1	bgzip	
2.11.1 2.11.2	index	
2.11.2 2.11.3	denovosim	
2.11.3 2.11.4	extract	
2.11.4 2.11.6	sdfstats	
2.11.0 2.11.8	sdfsubset	
2.11.0 2.11.9	sdfsubseq	
2.11.3	mendelian	
2.11.17	vcfstats	
2.11.17	vcfmerge	
2.11.19	vcffilter	
2.11.20	vcfannotate	
2.11.21	vcfsubset	
2.11.22	vcfeval	
2.11.23	pedfilter	
2.11.24	pedstats	
2.11.26	rocplot	44
2.11.31	version	
2.11.32	license	47
2.11.33	help	47
Chapter 4:	Administration & Capacity Planning	
4.1	Advanced installation configuration	48
4.2	Run-time performance optimization	
4.3	Alternate configurations	

4.4	Exception management - TalkBack and log file	50
4.5	Usage logging	50
4.5.1	Single-user, single machine	51
4.5.2	Multi-user or multiple machines	51
4.5.3	Advanced configuration	52
Chapter 5:	Appendix	53
5.3	RTG reference file format	53
5.5	Pedigree PED input file format	56
5.6	RTG commands using indexed input files	57
5.9	Distribution Contents	57
5.10	README.txt	57
5.11	RTG sample similarity	61
5.11.1	Task 1 - Prepare read sets	61
5.11.2	Task 2 - Generate read set name map	
5.11.3	Task 3 - Run similarity tool	62

1 Overview

This chapter introduces the features, operational options, and installation requirements of the RTG Tools data analysis software.

1.1 Introduction

RTG software enables the development of fast, efficient software pipelines for deep genomic analysis. RTG is built on innovative search technologies and new algorithms designed for processing high volumes of high-throughput sequencing data from different sequencing technology platforms. The RTG sequence search and alignment functions enable read mapping and protein searches with a unique combination of sensitivity and speed.

The RTG Tools platform provides a subset of the functionality available from the full suite of functions for analyzing and manipulating variant call results. These utilities can be used to perform a variety of tasks such as:

- Accuracy Evaluation Compare called variants to a set of known variants to find specificity and sensitivity, check mendelian consistency for the variants from a family, finding basic variant statistics for a set of calls.
- **Result Filtering** Find a subset of variants that match a given set of filtering criteria, extracting only the variant information required for a specific task.
- **Variant Set Manipulation** Merging multiple sets of variant results together, adding additional annotation information to existing variants.

1.2 RTG software description

RTG software is delivered as a single executable with multiple commands executed through a command line interface (CLI). Commands are delivered in product packages, and each command is independently enabled through a license key.

Usage:

```
rtg COMMAND [OPTIONS] <REQUIRED>
```

NOTE: For detailed information about RTG command syntax and usage, refer to Command Reference.

1.9 Installation and deployment

RTG is a self-contained tool that sets minimal expectations on the environment in which it is placed. It comes with the application components it needs to execute completely, yet performance can be enhanced with some simple modifications to the deployment configuration. This section provides guidelines for installing and creating an optimal configuration, starting from a typical recommended system.

RTG software pipeline runs in a wide range of computing environments from dual-core processor laptops to compute clusters with racks of dual processor quad core server nodes. However, internal

human genome analysis benchmarks suggest the use of six server nodes of the configuration shown in Table 2 below.

Table 2: Recommended system requirements

Processor	Intel Core i7-2600
Memory	48 GB RAM DDR3
Disk	5 TB, 7200 RPM (prefer SAS disk)

RTG Software can be run as a Java JAR file, but platform specific wrapper scripts are supplied to provide improved pipeline ergonomics. Instructions for a quick start installation are provided here.

For further information about setting up per-machine configuration files, please see the README.txt contained in the distribution zip file (a copy is also included in this manual's appendix).

1.9.1 Quick start instructions

These instructions are intended for an individual to install and operate the RTG software without the need to establish root / administrator privileges.

RTG software is delivered in a compressed zip file, such as: rtg-core-3.3.zip. Unzip this file to begin installation.

Linux and Windows distributions include a Java Virtual Machine (JVM) version 1.8 that has undergone quality assurance testing. RTG may be used on other operating systems for which a JVM version 1.7 or higher is available, such as MacOS X or Solaris, by using the "no-jre" distribution.

RTG for Java is delivered as a Java application accessed via executable wrapper script (rtg on UNIX systems, rtg.bat on Windows) that allows a user to customize initial memory allocation and other configuration options. It is recommended that these wrapper scripts be used rather than directly executing the Java JAR.

Here are platform-specific instructions for RTG deployment.

Linux/MacOS X:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.
- Test for success by entering './rtg version' at the command line.
- On MacOS X, depending on your operating system version and configuration regarding unsigned applications, you may encounter the error message:

```
-bash: rtg: /usr/bin/env: bad interpreter: Operation not permitted If this occurs, you must clear the OS\ X quarantine attribute with the command:
```

```
$ xattr -d com.apple.quarantine rtg
```

- The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter'./rtg help' for a list of rtg commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM this is automatically calculated. One may override this limit in the rtg.cfg settings file or on a per-run basis by supplying RTG_MEM as an environment variable or as the first program argument, e.g.: './rtg RTG_MEM=48g map'

Windows:

- Unzip the RTG distribution to the desired location.
- If your distribution requires a license, copy the license file from Real Time Genomics (rtg-license.txt) into the RTG distribution directory.
- Test for success by entering 'rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.
- Enter 'rtg help' for a list of rtg commands.
- By default, RTG software scripts establish a memory space of 90% of the available RAM this is automatically calculated. One may override this limit by setting the RTG_MEM variable in the rtq.bat script or as an environment variable.

1.9.2 License Management

Some RTG products require the presence of a valid license key file for operation.

The license key file must be located in the same directory as the RTG executable. The license enables the execution of a particular command set for the purchased product(s) and features.

A license key allows flexible use of the RTG package on any node or CPU core.

To view the current license features at the command prompt, enter:

```
$ rtg license
```

NOTE: For more data center deployment and instructions for editing scripts, see Section 4 *Administration*.

1.10 Technical assistance and support

For assistance with any technical or conceptual issue that may arise during use of the RTG product, contact Real Time Genomics Technical Support via email at support@realtimegenomics.com.

In addition, a discussion group is available at:

https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-users

A low-traffic announcements-only group is available at: https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-announce						
<u>.tps.//groups.googr</u>	e.com/a/rearmie	genomics.com	$\frac{11}{101}\frac{101}{111}\frac{11}{111}\frac{11}{11}$	um/rtg-amou	<u>IICC</u>	

2 RTG Command Reference

This chapter describes RTG commands with a generic description of parameter options and usage. This section also includes expected operation and output results.

2.1 Command line interface (CLI)

RTG is installed as a single executable in any system subdirectory where permissions authorize a particular community of users to run the application. RTG commands are executed through the RTG command-line interface (CLI). Each command has its own set of parameters and options described in this section. The availability of each command may be determined by the RTG license that has been installed. Contact <code>support@realtimegenomics.com</code> to discuss changing the set of commands that are enabled by your license.

Results are organized in results directories defined by command parameters and settings. The command line shell environment should include a set of familiar text post-processing tools, such as grep, awk, or perl. Otherwise, no additional applications such as databases or directory services are required.

2.2 RTG command syntax

Usage:

```
rtq COMMAND [OPTIONS] <REQUIRED>
```

To run an RTG command at the command prompt (either DOS window or Unix terminal), type the product name followed by the command and all required and optional parameters. For example:

```
$ rtg format -o human REF SDF human REF.fasta
```

Typically results are written to output files specified with the −o option. There is no default filename or filename extension added to commands requiring specification of an output directory or format.

Many times, unfiltered output files are very large; the built-in compression option generates block compressed output files with the .gz extension automatically unless the parameter -Z or --no-gzip is issued with the command.

Many command parameters require user-supplied information, as shown in the following:

User-specified	Description
DIR, FILE	File or directory name(s)
INT	Integer value
FLOAT	Floating point decimal value
STRING	A sequence of characters for comments, filenames, or labels

To display all parameters and syntax associated with an RTG command, enter the command and type --help. For example: all parameters available for the RTG format command are displayed when rtg format --help is executed, as shown below.

Converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format.

File Input/Output

-f	format=FORMAT	The format of the input file(s). (Must be one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta).
-I	input-list-file=FILE	Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF.
-1	left=FILE	The left input file for FASTA/FASTQ paired end data.
-0	output=SDF	The name of the output SDF.
-р	protein	Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.
-q	quality-format=FORMAT	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
-r	right=FILE	The right input file for FASTA/FASTQ paired end data.
	FILE+	Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.

Filtering

duster	Treat lower case residues as unknowns.
exclude=STRING	Exclude individual input sequences based on their name. If the input sequence name contains the specified string then that sequence is excluded from the SDF. May be specified 0 or more times.
select-read-group=STRING	Set to only include only reads with this read group ID when formatting from SAM/BAM files.
trim-threshold=INT	Set to trim the read ends to maximize the base quality above the given threshold.

Utility

```
--allow-duplicate-names
                                   Set to disable duplicate name detection. Use
                                    this if you need to use less memory and you
                                    are certain there are no duplicate names in
                                    the input.
-h
     --help
                                   Prints help on command-line flag usage.
                                   Do not include sequence names in the
      --no-names
                                   resulting SDF.
                                   Do not include sequence quality data in the
      --no-quality
                                   resulting SDF.
      --sam-rq=STRING|FILE
                                   Specifies a file containing a single valid
                                    read group SAM header line or a string in
                                    the form
                                    "@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLU
                                   MINA".
```

Required parameters are indicated in the Usage display; optional parameters are listed immediately below the Usage information in organized categories.

Use the double-dash when typing the full-word command option, as in --output:

```
$ rtg format --output human_REF_SDF human_REF.fasta
```

Alternatively, use the abbreviated character version of a full command parameter with only a single dash, as is typical for a command flag (--output is the same as command option as the abbreviated character -o):

```
$ rtg format -o human_REF human_REF.fasta
```

A set of utility commands are provided through the CLI: version, license, and help. Start with these commands to familiarize yourself with the software.

The rtg version command invokes the RTG software and triggers the launch of RTG product commands, options, and utilities:

```
$ rtg version
```

It will display the version of the RTG software installed, RAM requirements, and license expiration, for example:

```
Product: RTG Core 3.5
Core Version: 6236f4e (2014-10-31)
RAM: 40.0GB of 47.0GB RAM can be used by rtg (84%)
License: Expires on 2015-09-30
License location: /home/rtgcustomer/rtg/rtg-license.txt
Contact: support@realtimegenomics.com

Patents / Patents pending:
US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254

Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-
```

Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." Journal of Computational Biology. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

(c) Real Time Genomics Inc, 2014

To see what commands you are licensed to use, type rtg license:

License: Expires on 2015-03-30

Licensed to: John Doe

License location: /home/rtgcustomer/rtg/rtg-license.txt

	Command name	Licensed?	Release Level
Data for	rmatting:		
	format	Licensed	GA
	sdf2fasta	Licensed	GA
	sdf2fastq	Licensed	GA
Utility.	:		
- 2	bgzip	Licensed	GA
	index	Licensed	GA
	extract	Licensed	GA
	sdfstats	Licensed	GA
	sdfsubset	Licensed	GA
	sdfsubseq	Licensed	GA
	mendelian	Licensed	GA
	vcfstats	Licensed	GA
	vcfmerge	Licensed	GA
	vcffilter	Licensed	GA
	vcfannotate	Licensed	GA
	vcfsubset	Licensed	GA
	vcfeval	Licensed	GA
	pedfilter	Licensed	GA
	pedstats	Licensed	GA
	rocplot	Licensed	GA
	version	Licensed	GA
	license	Licensed	GA
	help	Licensed	GA

To display all commands and usage parameters available to use with your license, type rtq help:

```
$ rtg help
Usage:
Type rtg help COMMAND for help on a specific command. The following
commands are available:
Data formatting:
format
              convert a FASTA file to SDF
             convert Complete Genomics reads to SDF
ca2sdf
sdf2fasta
             convert SDF to FASTA
             convert SDF to FASTQ convert SDF to SAM/BAM
sdf2fastq
sdf2sam
Read mapping:
              read mapping
map
              read mapping for filtering purposes read mapping for Complete Genomics data
mapf
cgmap
Protein search:
              translated protein search
mapx
Assembly:
```

assemble assemble reads into long sequences

addpacbio add Pacific Biosciences reads to an assembly

Variant detection:

calibrate create calibration data from SAM/BAM files syprep prepare SAM/BAM files for sv analysis

sv find structural variants

discord detect structural variant breakends using discordant reads

coverage calculate depth of coverage from SAM/BAM files

snp call variants from SAM/BAM files

family call variants for a family following Mendelian inheritance

somatic call variants for a tumor/normal pair

population call variants for multiple potentially-related individuals

lineage call de novo variants in a cell lineage

avrbuild AVR model builder avrpredict run AVR on a VCF file

cnv call CNVs from paired SAM/BAM files

Metagenomics:

species estimate species frequency in metagenomic samples similarity calculate similarity matrix and nearest neighbor tree

Simulation:

genomesim generate simulated genome sequence

cgsim generate simulated reads from a sequence readsim generate simulated reads from a sequence readsimeval evaluate accuracy of mapping simulated reads

popsim generate a VCF containing simulated population variants samplesim generate a VCF containing a genotype simulated from a

population

childsim generate a VCF containing a genotype simulated as a child of

two parents

denovosim generate a VCF containing a derived genotype containing de

novo variants

samplereplay generate the genome corresponding to a sample genotype cnvsim $\,\,$ generate a mutated genome by adding CNVs to a template

Utility:

bgzip compress a file using block gzip

index create a tabix index

extract data from a tabix indexed file

sdfstats print statistics about an SDF sdfsplit split an SDF into multiple parts

sdfsubset extract a subset of an SDF into a new SDF sdfsubseq extract a subsequence from an SDF as text sam2bam convert SAM file to BAM file and create index

sammerge merge sorted SAM/BAM files

samstats print statistics about a SAM/BAM file samrename read id to read name in SAM/BAM files

mapxrename read id to read name in mapx output files mendelian check a multi-sample VCF for Mendelian consistency

vcfstats print statistics from about variants contained within a VCF

file

vcfmerge merge single-sample VCF files into a single multi-sample VCF

vcffilter filter records within a VCF file vcfannotate annotate variants within a VCF file

vcfsubset create a VCF file containing a subset of the original columns vcfeval evaluate called variants for agreement with a baseline variant

set

pedfilter filter and convert a pedigree file
pedstats print information about a pedigree file
avrstats print statistics about an AVR model

```
rocplot plot ROC curves from vcfeval ROC data files usageserver run a local server for collecting RTG command usage information print version and license information print license information for all commands help print this screen or help for specified command
```

The help command will only list the commands for which you have a license to use.

To display help and syntax information for a specific command from the command line, type the command and then the --help option, as in:

```
$ rtg format --help
```

```
NOTE: The following commands are synonymous:

rtg help format and rtg format --help
```

NOTE: Refer to *Installation and deployment* for information about installing the RTG product executable.

2.3 Data Formatting Commands

2.3.1 format

Synopsis:

The format command converts the contents of sequence data files (FASTA/FASTQ/SAM/BAM) into the RTG Sequence Data File (SDF) format. This step ensures efficient processing of very large data sets, by organizing the data into multiple binary files within a named directory. The same SDF format is used for storing sequence data, whether it be genomic reference, sequencing reads, protein sequences, etc.

Syntax:

Format one or more files specified from command line into a single SDF:

```
$ rtg format [OPTION] -o SDF FILE+
```

Format one or more files specified in a text file into a single SDF:

```
$ rtg format [OPTION] -o SDF -I FILE
```

Format mate pair reads into a single SDF:

```
$ rtg format [OPTION] -o SDF -l FILE -r FILE
```

Examples:

For FASTA (.fa) genome reference data:

```
$ rtg format -o maize_reference maize_chr*.fa
```

For FASTQ (.fq) sequence read data:

```
$ rtg format -f FASTQ -o h1_reads -l h1_sample_left.fq -r
h1_sample_right.fq
```

Parameters:

File Input/Output

-f	format=FORMAT	The format of the input file(s). (Must be one of [fasta, fastq, cgfastq, sam-se, sam-pe]) (Default is fasta).
-I	input-list-file=FILE	Specifies a file containing a list of sequence data files (one per line) to be converted into an SDF.
-1	left=FILE	The left input file for FASTA/FASTQ paired end data.
-0	output=SDF	The name of the output SDF.
-p	protein	Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.
-q	quality-format=FORMAT	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
-r	right=FILE	The right input file for FASTA/FASTQ paired end data.
	FILE+	Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.
1	Filtering	

)	protein	Set if the input consists of protein. If this option is not specified, then the input is assumed to consist of nucleotides.
A	quality-format=FORMAT	The format of the quality data for fastq format files. (Use sanger for Illumina1.8+). (Must be one of [sanger, solexa, illumina]).
<u>-</u>	right=FILE	The right input file for FASTA/FASTQ paired end data.
	FILE+	Specifies a sequence data file to be converted into an SDF. May be specified 0 or more times.
	Filtering	
	duster	Treat lower case residues as unknowns.
	exclude=STRING	Exclude individual input sequences based on their name. If the input sequence name contains the specified string then that sequence is excluded from the SDF. May be specified 0 or more times.
	select-read-group=STRING	Set to only include only reads with this read group ID when formatting from SAM/BAM files.
	trim-threshold=INT	Set to trim the read ends to maximise the base quality above the given threshold.
	Utility	
	allow-duplicate-names	Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input.
ı	help	Prints help on command-line flag usage.
	no-names	Do not include sequence names in the

	allow-duplicate-names	Set to disable duplicate name detection. Use this if you need to use less memory and you are certain there are no duplicate names in the input.
-h	help	Prints help on command-line flag usage.
	no-names	Do not include sequence names in the

```
resulting SDF.

--no-quality

Do not include sequence quality data in the resulting SDF.

--sam-rg=STRING|FILE

Specifies a file containing a single valid read group SAM header line or a string in the form
"@RG\tID:READGROUP1\tSM:BACT_SAMPLE\tPL:ILLU MINA".
```

Formatting takes one or more input data files and creates a single SDF. Specify the type of file to be converted, or allow default to FASTA format. To aggregate multiple input data files, such as when formatting a reference genome consisting of multiple chromosomes, list all files on the command line or use the <code>--input-list-file</code> flag to specify a file containing the list of files to process.

For input FASTA and FASTQ files which are compressed, they must have a filename extension of .gz (for gzip compressed data) or .bz2 (for bzip2 compressed data).

When formatting human reference genome data, it is recommended that the resulting SDF be augmented with chromosome reference metadata, in order to enable automatic sex-aware features during mapping and variant calling. This reference configuration is described in Section 5.3.

When using FASTQ input files you must specify the quality format being used as one of sanger, solexa or illumina. As of Illumina pipeline version 1.8 and higher, quality values are encoded in Sanger format and so should be formatted using --quality-format=sanger. Output from earlier Illumina pipeline versions should be formatted using --quality-format=illumina for Illumina pipeline versions starting with 1.3 and before 1.8, or --quality-format=solexa for Illumina pipeline versions less than 1.3.

For files that represent paired-end read data, indicate each side respectively using the --left=FILE and --right=FILE flags.

The mapx command maps translated DNA sequence data against a protein reference. You must use the -p, --protein flag to format the protein reference used by mapx.

Use the sam-se format for single end SAM/BAM input files and the sam-pe format for paired end SAM/BAM input files. Note that if the input SAM/BAM files are sorted in coordinate order (for example if they have already been aligned to a reference), it is recommended that they be shuffled before formatting, so that subsequent mapping is not biased by processing reads in chromosome order. For example, a BAM file can be shuffled using samtools bamshuf as follows:

```
$ samtools bamshuf -uOn 256 reads.bam tmp-prefix >reads_shuffled.bam
```

And this can be carried out on the fly during formatting using bash process redirection in order to reduce intermediate I/O, for example:

```
\$ rtg format --format sam-pe <(samtools bamshuf -uOn 256 reads.bam temp-prefix) ...
```

The SDF for a read set can contain a SAM read group which will be automatically picked up from the input SAM/BAM files if they contain only one read group. If the input SAM/BAM files contain multiple read groups you must select a single read group from the SAM/BAM file to format using

the <code>--select-read-group</code> flag or specify a custom read group with the <code>--sam-rg</code> flag. The <code>--sam-rg</code> flag can also be used to add read group information to reads given in other input formats. The SAM read group stored in an SDF will be automatically used during mapping the reads it contains to provide tracking information in the output BAM files.

The <code>--trim-threshold</code> flag can be used to trim poor quality read ends from the input reads by inspecting base qualities from FASTQ input. If and only if the quality of the final base of the read is less than the threshold given, a new read length is found which maximizes the overall quality of the retained bases using the following formula.

$$arg \max x \{ \sum_{i=x+1}^{l} (T - q(i)) \} if q(l) < T$$

Where l is the original read length, x is the new read length, T is the given threshold quality and q(n) is the quality of the base at the position n of the read.

NOTE: Sequencing system read files and reference genome files often have the same extension and it may not always be obvious which file is a read set and which is a genome. Before formatting a sequencing system file, open it to see which type of file it is. For example:

\$ less pf3.fa

In general, a read file typically begins with an @ or + character; a reference file typically begins with the characters chr.

See also: cg2sdf, map, sdf2fasta, sdf2fastq, sdfstats, sdfsplit

2.3.3 sdf2fasta

Synopsis:

Convert SDF data into a FASTA file.

Syntax:

```
$ rtg sdf2fasta [OPTION]... -i SDF -o FILE
```

Example:

\$ rtg sdf2fasta -i humanSDF -o humanFASTA_return

Parameters:

File Input/Output

-i	input=SDF	Specifies the SDF data to be converted.
-0	output=FILE	Specifies the file name used to write the resulting FASTA output.
	Filtering	
	end-id=INT	Only output sequences with sequence id less than the given number. (Sequence ids start

at 0).

	start-id=INT	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
-I	id-file=FILE	Name of a file containing a list of sequences to extract, one per line.
	names	Interpret any specified sequence as names instead of numeric sequence ids.
	taxons	Interpret any specified sequence as taxon ids instead of numeric sequence ids. This option only applies to a metagenomic reference species SDF.
	STRING+	Specify one or more explicit sequences to extract, as sequence id, or sequence name ifnames flag is set.

Utility

-h	help	Prints help on command-line flag usage.
	interleave	Interleave paired data into a single output file. Default is to split to separate output files.
-1	line-length=INT	Set the maximum number of nucleotides or amino acids to print on a line of FASTA output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0).
-Z	no-gzip	Set this flag to create the FASTA output file without compression. By default the output file is compressed with blocked gzip.

Usage:

Use the sdf2fasta command to convert SDF data into FASTA format. By default, sdf2fasta creates a separate line of FASTA output for each sequence. These lines will be as long as the sequences themselves. To make them more readable, use the -1, --line-length flag and define a reasonable record length like 75.

By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if --names is set). Additionally, when the input SDF is a metagenomic species reference SDF, the --taxons option, any supplied id is interpreted as a taxon id and all sequences assigned directly to that taxon id will be output. This provides a convenient way to extract all sequence data corresponding to a single (or multiple) species from a metagenomic species reference SDF.

See also: format, cg2sdf, sdf2fastq, sdfstats, sdfsplit

2.3.4 sdf2fastq

Synopsis:

Convert SDF data into a FASTQ file.

Syntax:

\$ rtg sdf2fastq [OPTION]... -i SDF -o FILE

Example:

\$ rtg sdf2fastg -i humanSDF -o humanFASTQ return

Parameters:

File Input/Output

-i	input=SDF	Specifies the SDF data to be converted.	
----	-----------	---	--

-o --output=FILE Specifies the file name used to write the

resulting FASTQ output.

Filtering

--end-id=INT Only output sequences with sequence id less than the given number. (Sequence ids

start at 0).

--start-id=INT Only output sequences with sequence id

greater than or equal to the given number. (Sequence ids start at 0). Name of a file containing a list of

--id-file=FILE Name of a file containing a list of sequences to extract, one per line.

Interpret any specified sequence as names

instead of numeric sequence ids.

STRING+ Specify one or more explicit sequences to

extract, as sequence id, or sequence name

if --names flag is set.

Utility

--names

-I

-h --help Prints help on command-line flag usage.

-q --default-qualty=INT Set the default quality to use if the SDF

does not contain sequence quality data

(0-63).

--interleave Interleave paired data into a single

output file. Default is to split to

separate output files.

-l --line-length=INT Set the maximum number of nucleotides or

amino acids to print on a line of FASTQ output. Should be nonnegative, with a value of 0 indicating that the line length is not capped. (Default is 0).

-Z --no-gzip Set this flag to create the FASTQ output

file without compression. By default the output file is compressed with blocked

gzip.

Usage:

Use the sdf2fastq command to convert SDF data into FASTQ format. If no quality data is available in the SDF, use the -q, --default-quality flag to set a quality score for the FASTQ output. The quality encoding used during output is sanger quality encoding. By default,

sdf2fastq creates a separate line of FASTQ output for each sequence. As with sdf2fasta, there is an option to use the -1, --line-length flag to restrict the line lengths to improve readability of long sequences.

By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if --names is set).

It may be preferable to extract data to unaligned SAM/BAM format using sdf2sam, as this preserves read-group information stored in the SDF and may also be more convenient when dealing with paired-end data.

See also: format, cg2sdf, sdf2fasta, sdf2sam, sdfstats, sdfsplit

2.3.5 sdf2sam

Synopsis:

Convert SDF read data into unaligned SAM or BAM format file.

Syntax:

```
$ rtg sdf2sam [OPTION]... -i SDF -o FILE
```

Example:

\$ rtg sdf2sam -i samplereadsSDF -o samplereads.bam

Parameters:

-i

File Input/Output

--input=SDF

_	111P 40 021	specifies one ser adda to be converted.
-0	output=FILE	Specifies the file name used to write the resulting SAM/BAM to. The output format is automatically determined based on the filename specified. If '-' is given, the data is written as uncompressed SAM to standard output.
	Filtering	
	end-id=INT	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
	start-id=INT	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
-I	id-file=FILE	Name of a file containing a list of sequences to extract, one per line.
	names	Interpret any specified sequence as names instead of numeric sequence ids.
	STRING+	Specify one or more explicit sequences to extract, as sequence id, or sequence name ifnames flag is set.
	Utility	
-h	help	Prints help on command-line flag usage.

Specifies the SDF data to be converted.

```
-Z --no-gzip
```

Set this flag when creating SAM format output to disable compression. By default SAM is compressed with blocked gzip, and BAM is always compressed.

Usage:

Use the sdf2sam command to convert SDF data into unaligned SAM/BAM format. By default all sequences will be extracted, but flags may be specified to extract reads within a range, or explicitly specified reads (either by numeric sequence id or by sequence name if --names is set). This command is a useful way to export paired-end data to a single output file while retaining any read group information that may be stored in the SDF.

See also: format, cg2sdf, sdf2fastq, sdfstats, sdfsplit

2.11 Utility Commands

2.11.1 bgzip

Synopsis:

Block compress a file or decompress a block compressed file. Block compressed outputs from the mapping and variant detection commands can be indexed with the index command. They can also be processed with standard gzip tools such as gunzip and zcat.

Syntax:

```
$ rtg bgzip [OPTION]... FILE+
```

Example:

\$ rtg bgzip alignments.sam

Parameters:

File Input/Output

-1	compression-level=INT	the compression level to use, between 1 (least but fast) and 9 (highest but slow) (Default is 5)
-d	decompress	Set to decompress the input file.
-f	force	Overwrite the output file if it already exists.
	no-terminate	if set, do not add the block gzip termination block
-c	stdout	Write output to standard output, keep the original files unchanged. Implied when using standard input.
	FILE+	Specifies the file to be compressed or decompressed. Use '-' to read from standard input. Must be specified 1 or more times.

Utility

-h --help

Prints help on command-line flag usage.

Usage:

Use the bgzip command to block compress files. Files such as VCF, BED, SAM, TSV must be block-compressed before they can be indexed for fast retrieval of records corresponding to specific genomic regions.

See also: index

2.11.2 index

Synopsis:

Create tabix index files for block compressed TAB-delimited genome position data files or BAM index files for BAM files.

Syntax:

Multi-file input specified from command line:

```
$ rtg index [OPTION]... -f FORMAT FILE+
```

Multi-file input specified in a text file:

```
$ rtg index [OPTION]... -f FORMAT -I FILE
```

Example:

\$ rtg index -f sam alignments.sam.gz

Parameters:

File Input/Output

-f	format=FORMAT	Specifies format of the input files to be indexed. (Must be one of [sam, bam, sv, coveragetsv, bed, vcf]).
-I	input-list-file=FILE	Specifies a file containing a list of block compressed files (1 per line) containing data in the specified genome position format.
	FILE+	Specifies a block compressed file containing data in the specified genome position format to be indexed. May be specified 0 or more times.
	Utility	
-h	help	Prints help on command-line flag usage.

Usage:

Use the index command to produce tabix indexes for block compressed genome position data files like SAM files and the output from sv, discord, coverage and snp commands. The index command can also be used to produce BAM indexes for BAM files with no index.

See also: map, coverage, snp, sv, discord, extract, bgzip

2.11.3 denovosim

Synopsis:

Use the denovosim command to generate a VCF containing a derived genotype containing *de novo* variants.

Syntax:

```
\ rtg denovosim [OPTION]... -i FILE --original STRING -o FILE -t SDF -s STRING
```

Example:

```
$ rtg denovosim -i sample.vcf --original personA -o 2samples.vcf
    -t HUMAN_reference -s personB
```

Parameters:

File Input/Output

-i	input=FILE	The input VCF containing parent variants.
	original=STRING	The name of the existing sample to use as the original genotype.
-0	output=FILE	The output VCF file name.
	output-sdf=FILE	Set to output an SDF of the genome generated.
-t	reference=SDF	The SDF containing the reference genome.
-s	sample=STRING	The name for the new derived sample.
U	tility	
-h	help	Prints help on command-line flag usage.
-Z	no-gzip	Set this flag to create the VCF output file without compression.
	num-mutations=INT	Set the expected number of mutations per genome. (Default is 70).
	ploidy=STRING	The ploidy to use when the reference genome does not contain a reference text file. (Must be one of [diploid, haploid]) (Default is diploid).
	seed=INT	Set the seed for the random number generator.
	show-mutations	Set this flag to display information regarding de novo mutation points.

The denovosim command is used to simulate a derived genotype containing *de novo* variants from a VCF containing an existing genotype. The new output VCF will contain all the existing variants and samples with a new column for the new sample.

The --output-sdf flag can be used to optionally generate an SDF of the derived genotype which can then be used by the readsim command to simulate a read set for the new genotype.

See also: snp, readsim, genomesim, popsim, samplesim, samplereplay

2.11.4 extract

Synopsis:

Extract specified parts of an indexed block compressed genome position data file.

Syntax:

Extract whole file:

```
$ rtg extract [OPTION]... FILE
```

Extract specific regions:

```
$ rtg extract [OPTION]... FILE STRING+
```

Example:

```
$ rtg extract alignments.bam 'chr1:10000+10'
```

Parameters:

File Input/Output

FILE	The	index	ked k	block	comp	oressed	genome
	posi	ition	data	a file	to	extract	

Filtering

```
STRING+ Specifies the region to display. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length. May be specified 0 or more times.
```

Reporting

```
--header Set to also display the file header.
--header-only Set to only display the file header.
```

Utility

```
-h --help Prints help on command-line flag usage.
```

Usage:

Use the extract command to view specific parts of indexed block compressed genome position data files.

See also: map, coverage, snp, sv, index, bgzip

2.11.6 sdfstats

SDF Version

Synopsis:

Print statistics that describe a directory of SDF formatted data.

Syntax:

```
$ rtg sdfstats [OPTION]... SDF+
```

Example:

\$ rtg sdfstats human_READS_SDF

Location : C:\human_READS_SDF

: 6

Parameters : format -f solexa -o human_READS_SDF

c:\users\Elle\human\SRR005490.fastq.gz

: DNA Type Source SOLEXA Paired arm : UNKNOWN Number of sequences: 4193903 Maximum length : 48 : 48 Minimum length : 931268 Α : 61100096 С : 41452181 G : 45262380 : 52561419 201307344 Total residues Quality scores available on this SDF

Parameters:

File Input/Output

SDF+ Specifies an SDF on which statistics are

to be reported. May be specified 1 or

more times.

Reporting

--lengths Set to print out the name and length of

each sequence. (Not recommended for read

sets).

-p --position Set to include information about unknown

bases (Ns) by read position.

-q --quality Set to display mean of quality.

--sex=SEX Set to display the reference sequence list for the given sex. (Must be one of [male,

female, either]). May be specified 0 or

more times.

--taxonomy Set to display information about the

taxonomy.

-n --unknowns Set to include information about unknown

bases (Ns).

Utility

-h --help Prints help on command-line flag usage.

Usage:

Use the sdfstats command to get information about the contents of SDFs.

See also: format, cg2sdf, sdf2fasta, sdf2fastq, sdfstats, sdfsplit

2.11.8 sdfsubset

Synopsis:

Extracts a specified subset of sequences from one SDF and outputs them to another SDF.

Syntax:

Individual specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF STRING+
```

File list specification of sequence ids:

```
$ rtg sdfsubset [OPTION]... -i SDF -o SDF -I FILE
```

Example:

\$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50

Parameters:

File Input/Output

-i	input=SDF	Specifies the input SDF.
-0	output=SDF	The name of the output SDF.

	Filtering	
	end-id=INT	Only output sequences with sequence id less than the given number. (Sequence ids start at 0).
	start-id=INT	Only output sequences with sequence id greater than or equal to the given number. (Sequence ids start at 0).
-I	id-file=FILE	Name of a file containing a list of sequences to extract, one per line.
	names	Interpret any specified sequence as names instead of numeric sequence ids.
	STRING+	Specifies the sequence id, or sequence name if the names flag is set to extract from the input SDF. May be specified 0 or more times.

Utility

-h --help Prints help on command-line flag usage.

Use this command to obtain a subset of sequences from an SDF. Either specify the subset on the command line as a list of space-separated sequence ids or using the --id-file parameter to specify a file containing a list of sequence ids, one per line. Sequence ids start from zero and are the same as the ids that map uses by default in the QNAME field of its BAM files.

For example:

```
$ rtg sdfsubset -i reads -o subset_reads 10 20 30 40 50
```

This will produce an SDF called subset_reads with sequences 10, 20, 30, 40 and 50 from the original SDF contained in it.

See also: sdfsubseq, sdfstats

2.11.9 sdfsubseq

Synopsis:

Prints a subsequence of a given sequence in an SDF.

Syntax:

Print sequences from sequence names:

```
$ rtg sdfsubseq [OPTION]... -i FILE STRING+
```

Print sequences from sequence ids:

```
$ rtg sdfsubseq [OPTION]... -i FILE -I STRING+
```

Example:

```
$ rtg sdfsubseq -i reads -I 0:1+100
```

Parameters:

File Input/Output

```
-i --input=FILE Specifies the input SDF.
```

Filtering

```
-I --sequence-id Set to use sequence id instead of sequence name in region flag (0-based).

STRING+ Specifies the region to display. The format is one of <sequence_name>, <sequence_name>:start-end or <sequence_name>:start+length. Must be specified 1 or more times
```

Utility

```
-f --fasta-q --fastqSet to output in FASTA format.Set to output in FASTQ format.
```

```
-h --help Prints help on command-line flag usage.-r --reverse-complement Set to output in reverse complement.
```

Prints out the nucleotides or amino acids of specified regions in a set of sequences.

For example:

```
$ rtg sdfsubseq --input reads --sequence-id 0:1+20
AGGCGTCTGCAGCCGACGCG
```

See also: sdfsubset, sdfstats

2.11.16 mendelian

Synopsis:

The mendelian command checks a multi-sample VCF file for variant calls which do not follow Mendelian inheritance, and compute aggregate sample concordance.

Syntax:

```
$ rtg mendelian [OPTION]... -i FILE -t SDF
```

Example:

\$ rtg mendelian -i family.vcf.gz -t genome_ref

Parameters:

File Input/Output

-i	input=FILE	VCF file containing the multiple sample variant calls. Use '-' to read from standard input.
	output=FILE	Set to output annotated calls to this VCF file.
	output-consistent=FILE	Set to output only consistent calls to this VCF file.
	output-inconsistent=FILE	Set to output only non-Mendelian calls to this VCF file.
-t	template=SDF	SDF containing template to which was used to create the VCF.

Sensitivity Tuning

-l --lenient Set to allow homozygous diploid variant calls in place of haploid calls and assume missing values are equal to the reference.

	all-records	Use all records, regardless of filters. Default is to only process records where FILTER is "." or "PASS".
	min-concordance=FLOAT	The percentage concordance required for parentage to be considered as consistent. The default is 99.0.
	pedigree=FILE	Specify a genome relationships PED file. The default is to extract pedigree information from the VCF header fields.
	Utility	
-h	help	Prints help on command-line flag usage.
-Z	no-gzip	Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked gzip.

Given a multi-sample VCF file for a nuclear family with a defined pedigree, the mendelian command examines the variant calls and outputs the number of violations of Mendelian inheritance. If the --output-inconsistent parameter is set, all detected violations are written into an output VCF file. As such, this command may be regarded as a VCF filter, outputting those variant calls needing a non-Mendelian explanation. Such calls may be the consequence of sequencing error, calling on low-coverage, or genuine novel variants in one or more individuals.

Pedigree information regarding the relationships between samples and the sex of each sample is extracted from the VCF headers automatically created by the RTG pedigree-aware variant calling commands. If this pedigree information is absent from the VCF header or is incorrect, a pedigree file can be explicitly supplied with the --pedigree flag.

To ensure correct behavior when dealing with sex chromosomes it is necessary to specify a template and ensure the sex of each sample is supplied as part of the pedigree information. While it is best to give the template used in the creation of the VCF, for checking third-party outputs any template containing the same chromosome names and an appropriate reference.txt file will work.

Particularly when evaluating VCF files that have been produced by third party tools or when the VCF is the result of combining independent per-sample calling, you can end up with situations where calls are not available for every member of the family. Under normal circumstances these will be reported as an allele count constraint violation. It is possible to treat missing values as equal to the reference by using the <code>--lenient</code> parameter. Note that while this approach will be correct in most cases, it will give inaccurate results where the calling between different samples has reported the variant in an equivalent but slightly different position or representation (e.g. positioning of indels within homopolymer regions, differences of representation such as splitting MNPs into multiple SNPs etc).

The mendelian command computes overall concordance between related samples to assist detecting cases where pedigree has been incorrectly recorded or samples have been mislabelled. For each child in the pedigree, pairwise concordance is computed with respect to each parent by identifying diploid calls where the parent does not contain either allele called in the child. Low

pairwise concordance with a single parent may indicate that the parent is the source of the problem, whereas low pairwise concordance with both parents may indicate that the child is the source of the problem. A stricter three-way concordance is also recorded.

By default, only VCF records with the FILTER field set to PASS or missing are processed. All variant records can be examined by specifying the --all-records parameter.

See also: family, population, vcfstats

2.11.17 vcfstats

Synopsis:

Display simple statistics about the contents of a set of VCF files.

Syntax:

```
$ rtg vcfstats [OPTION]... FILE+
```

Example:

```
$ rtg vcfstats /data/human/wgs/NA19240/snp_chr5.vcf.gz
Location : /data/human/wgs/NA19240/snp_chr5.vcf.gz
```

 Passed Filters
 : 283144

 Failed Filters
 : 83568

 SNPs
 : 241595

 MNPs
 : 5654

 Insertions
 : 15424

 Deletions
 : 14667

 Indels
 : 1477

 Unchanged
 : 4327

 SNP Transitions/Transversions:
 1.93 (210572/108835)

 Total Het/Hom ratio
 : 2.13 (189645/89172)

 SNP Het/Hom ratio
 : 2.12 (164111/77484)

 MNP Het/Hom ratio
 : 3.72 (4457/1197)

 Insertion Het/Hom ratio
 : 1.69 (9695/5729)

 Deletion Het/Hom ratio
 : 2.33 (10263/4404)

 Indel Het/Hom ratio
 : 3.13 (1119/358)

 Insertion/Deletion ratio
 : 1.05 (15424/14667)

 Indel/SNP+MNP ratio
 : 0.13 (31568/247249)

Parameters:

File Input/Output

```
--known Set to only calculate statistics for known variants.

--novel Set to only calculate statistics for novel variants.

--sample=FILE Set to only calculate statistics for the specified sample. (Default is to include all samples). May be specified 0 or more times.

FILE+ VCF file from which to derive statistics. Use '-' to read from standard input. Must be specified 1 or more times.
```

Reporting

	allele-lengths	Set	to	output	t va	ıriant	length	n hist	cogram.	
	Utility									
-h	help	Pri	nts	help o	on c	command	d-line	flag	usage.	

Usage:

Use the vcfstats command to display summary statistics for a set of VCF files. If a VCF file contains multiple sample columns, the statistics for each sample are shown individually.

See also: snp, family, somatic, vcfmerge, discord

2.11.18 vcfmerge

Synopsis:

Combines the contents of two or more VCF files. The vcfmerge command can concatenate the outputs of per-chromosome variant detection runs to create a complete genome VCF file, and also merge VCF outputs from multiple samples to form a multi-sample VCF file.

Syntax:

```
$ rtg vcfmerge [OPTION]... -o FILE FILE+
```

Example:

```
$ rtg vcfmerge -o merged.vcf.gz snp1.vcf.gz snp2.vcf.gz
```

Parameters:

File Input/Output

-a	add-header=STRING	Add the supplied text to the output VCF header. May be specified 0 or more times.
-0	output=FILE	The output VCF file name. Use '-' to write to standard output.
	FILE+	VCF files to be merged. Must be specified 1 or more times.
	Utility	
-f	force-merge=STRING	Set to allow merging of specified header ID even when descriptions do not match. May be specified 0 or more times.
-F	force-merge-all	Attempt merging of all non-matching header declarations.
-h	help	Prints help on command-line flag usage.
-Z	no-gzip	Set this flag to create the VCF output file without compression. By default the output file is compressed with blocked

gzip.

```
--no-index

Set this flag to not produce the index for the VCF output file.

--preserve-formats

If set, variants with different ALTs and unmergeable FORMAT fields will be kept unmerged (Default is to remove those FORMAT fields so the variants can be combined).

--stats

Set to output statistics for the merged VCF file.
```

The vcfmerge command takes a list of VCF files and outputs to a single VCF file. The input files must have consistent header lines, although similar header lines can be forced to merge using the <code>--force-merge</code> parameter. Each VCF file must be block compressed and have a corresponding tabix index file, which is the default for outputs from RTG variant detection tools, but may also be created from an existing VCF file using the RTG <code>bgzip</code> and <code>index</code> commands.

There are two primary usage scenarios for the vcfmerge command. The first is to combine input VCFs corresponding to different genomic regions (for example, if variant calling was carried out for each chromosome independently on different nodes of a compute cluster). The second scenario is when combining VCFs containing variant calls for different samples (e.g. combining calls made for separate cohorts into a single VCF). If the input VCFs contain multiple calls at the same position for the same sample, a warning is issued and only the first is kept.

When multiple records occur at the same position and the length on the reference is the same, the records will be merged into a single record. If the merge results in a change in the set of ALT alleles, any VCF FORMAT fields declared to be of type 'A', 'G', or 'R' will be set to the missing value ('.'), as they cannot be meaningfully updated. The --preserve-formats flag prevents this loss of information by refusing to merge the records (separate records will be output).

See also: snp, family, population, somatic, discord, bgzip, index

2.11.19 vcffilter

Synopsis:

Filter VCF output files to include or exclude records based on various criteria.

Syntax:

```
$ rtg vcffilter [OPTION]... -i FILE -o FILE
```

Example:

```
$ rtg vcffilter -i snps.vcf.gz -o snps_cov5.vcf.gz -d 5
```

Parameters:

File Input/Output

```
--all-samples

Set to apply sample-specific criteria to all samples contained in the input VCF.

--bed-regions=FILE

If set, only read VCF records that
```

		overlap the ranges contained in the specified BED file. Requires the input VCF to be tabix indexed.
-i	input=FILE	Specifies the VCF file containing variants to be filtered. Use '-' to read from standard input.
-0	output=FILE	Specifies the output VCF file. Use '-' to write to standard output.
	region=STRING	<pre>if set, only read VCF records within the specified range. The format is one of <template_name>, <template_name>:start- end.</template_name></template_name></pre>
	sample=STRING	Set to apply sample-specific criteria to the named sample contained in the input VCF. May be specified 0 or more times.
	Filtering	
-M	density-window=INT	Set a window length in which multiple called variants are discarded.
	exclude-bed=FILE	Set to discard all variants within the regions contained in the BED file.
	exclude-vcf=FILE	Set to discard all variants that overlap with the ones in this VCF file.

	01101440 204 1111	regions contained in the BED file.
	exclude-vcf=FILE	Set to discard all variants that overlap with the ones in this VCF file.
	include-bed=FILE	Set to only keep variants within the regions contained in the BED file.
	include-vcf=FILE	Set to only keep variants that overlap with the ones in this VCF file.
-k	keep-filter=STRING	Set to only keep variants with this FILTER tag. May be specified 0 or more times.
-K	keep-info=STRING	Set to only keep variants with this INFO tag. May be specified 0 or more times.
-A	max-ambiguity-ratio=FLOAT	Set the maximum allowed ambiguity ratio.
	max-avr-score=FLOAT	Set the maximum allowed AVR score.
-C	max-combined-read-depth=INT	Set the maximum allowed combined read depth.
	max-denovo-score=FLOAT	Set the maximum allowed de novo score.
-G	max-genotype-quality=FLOAT	Set the maximum allowed genotype quality.
-Q	max-quality=FLOAT	Set the maximum allowed quality.
-D	max-read-depth=INT	Set the maximum allowed sample read

depth.

	min-avr-score=FLOAT	Set the minimum allowed AVR score.
-C	min-combined-read- depth=INT	Set the minimum allowed combined read depth.
	min-denovo-score=FLOAT	Set the minimum allowed de novo score.
-g	min-genotype-quality=FLOAT	Set the minimum allowed genotype quality.
-q	min-quality=FLOAT	Set the minimum allowed quality.
-d	min-read-depth=INT	Set the minimum allowed sample read depth.
	non-snps-only	Set to output MNPs and INDELs only.
	remove-all-same-as-ref	Set to remove records where all the samples are same as the reference.
-r	remove-filter=STRING	Set to remove variants with this FILTER tag. May be specified 0 or more times.
	remove-hom	Remove where sample is homozygous.
-R	remove-info=STRING	Set to remove variants with this INFO tag. May be specified 0 or more times.
	remove-overlapping	Set to remove records that overlap with previous records.
	remove-same-as-ref	Set to remove variants where the sample is the same as reference.
	snps-only	Set to output simple SNPs only.
	Reporting	
	clear-failed-samples	Set to have the GT field of failing samples set to the missing value instead of removing the record.
	fail=STRING	Set to have the filter field of a failed record set to the provided value instead of removing it.
	Utility	
-h	help	Prints help on command-line flag usage.
-Z	no-gzip	Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.
	no-index	Set this flag to not produce the tabix

Use vcffilter to get a subset of the results from variant calling based on the filtering criteria supplied by the filter flags. When filtering on multiple samples, if any of the specified samples fail the criteria, the record will be filtered.

The --bed-regions option makes use of tabix indexes to avoid loading VCF records outside the supplied regions, which can give faster filtering performance. If the input VCF is not indexed or being read from standard input, or if records failing filters are to be annotated via the --fail option, use the --include-bed option instead.

The flags --min-denovo-score and --max-denovo-score can only be used on a single sample. Records will only be kept if the specified sample is flagged as a *de novo* variant and the score is within the range specified by the flags. It will also only be kept if none of the other samples for the record are also flagged as a *de novo* variant within the specified score range.

See also: snp, family, somatic, population, vcfannotate, vcfsubset

2.11.20 vcfannotate

Synopsis:

Used to add annotations to a VCF file, either to the VCF ID field, or as a VCF INFO sub-field.

Syntax:

```
$ rtg vcfannotate [OPTION]... -b FILE -i FILE -o FILE
```

Example:

-i

\$ rtg vcfannotate -b dbsnp.bed -i snps.vcf.gz -o snps-dbsnp.vcf.gz

Parameters:

File Input/Output --input=FILE

		from standard input.
-0	output=FILE	Specifies the output VCF file for the annotated variants. Use '-' to write to standard output.

R

Reporting	
bed-ids=FILE	Specifies a file in BED format containing variant ids in the name column to be added to the VCF id field. May be specified 0 or more times.
bed-info=FILE	Specifies a file in BED format containing annotations in the name column to be added to the VCF info field. May be specified 0 or more times.

Specifies the VCF file containing

fill-an-ac	Set	to	add	or	update	the	AN	and	AC	info
				•						

fields to the VCF.

declared, use this description in the header. May be specified 0 or more times

(Default is 'Annotation').

--info-id=STRING The INFO ID for BED INFO annotations.May

be specified 0 or more times (Default is

'ANN')

--relabel Relabel samples according to

"old-name new-name" pairs in specified file. If only a single sample needs to be relabelled then a construct like "<(echo

old-name new-name)" can be used.

--vcf-ids=FILE Specifies a file in VCF format containing

variant ids to be added to the VCF id field. May be specified 0 or more times.

Utility

-h --help Prints help on command-line flag usage.

-Z --no-gzip Set this flag to create the output file

without compression. By default the output file is compressed with tabix

compatible blocked gzip.

--no-index Set this flag to not produce the tabix

index for the output file.

Usage:

Use vcfannotate to add text annotations to variants that fall within ranges specified in a BED file. The annotations from the BED file are added as an INFO field in the output VCF file.

If the --bed-ids flag is used, instead of adding the annotation to the INFO fields, it is added to the ID column of the VCF file instead. If the --vcf-ids flag is used, the ID column of the input VCF file is used to update the ID column of the output VCF file instead.

If the --fill-an-ac flag is set, the output VCF will have the AN and AC info fields (as defined in the VCF 4.1 specification) created or updated.

See also: snp, family, somatic, population, vcffilter, vcfsubset

2.11.21 vcfsubset

Synopsis:

Create a VCF file containing a subset of the original columns.

Syntax:

```
$ rtg vcfsubset [OPTION]... -i FILE -o FILE
```

Example:

Parameters:

File Input/Output

-i --input=FILE Specifies the VCF file containing variants to manipulate. Use '-' to read from standard input.

-o --output=FILE Specifies the output VCF file for the subset records. Use '-' to write to standard output.

Filtering

--keep-filter=STRING Specifies a VCF FILTER tag to keep in the output. May be specified 0 or more times.

--keep-format=STRING Specifies a VCF FORMAT tag to keep in the output. May be specified 0 or more times.

--keep-info=STRING Specifies a VCF INFO tag to keep in the output. May be specified 0 or more times.

--keep-sample=STRING Specifies a sample to keep in the output.

May be specified 0 or more times.

--remove-filter=STRING Specifies a VCF FILTER tag to remove from

the output. May be specified 0 or more

times.

--remove-filters Set to remove all of the FILTER tags from

the output.

--remove-format=STRING Specifies a VCF FORMAT tag to remove from

the output. May be specified 0 or more

times.

--remove-info=STRING Specifies a VCF INFO tag to remove from

the output. May be specified 0 or more

times.

--remove-infos Set to remove all of the INFO tags from

the output.

--remove-qual Remove the QUAL field.

--remove-sample=STRING Specifies a sample to remove from the

output. May be specified 0 or more times.

--remove-samples Set to remove all of the sample data from

the output.

Utility

-h --help Prints help on command-line flag usage.

-Z --no-gzip

Set this flag to create the output file without compression. By default the output file is compressed with tabix compatible blocked gzip.

--no-index

Set this flag to not produce the tabix index for the output file.

Usage:

Use the vcfsubset command to produce a smaller copy of an original VCF file containing only the columns and information desired. For example, to produce a VCF containing only the information for one sample from a multiple sample VCF file use the <code>--keep-sample</code> flag to specify the sample to keep. The various <code>-keep</code> and <code>-remove</code> options can either be specified multiple times or with comma separated lists, for example, <code>--keep-format GT --keep-format GT --keep-format GT, DP</code>.

See also: snp, family, somatic, population, vcffilter, vcfannotate

2.11.22 vcfeval

Synopsis:

Use the vcfeval command to evaluate called variants for agreement with a known baseline variant set.

Syntax:

```
$ rtg vcfeval [OPTION]... -b FILE -c FILE -o DIR -t SDF
```

Example:

Parameters:

File Input/Output

-b	baseline=FILE	The VCF file containing baseline variants. For example, these may be the variants that were used to generate a synthetic sample, a gold-standard VCF corresponding to a reference sample such as NA12878, or simply an alternative call-set being used as a basis for comparison.
	bed-regions=FILE	If set, only read VCF records that overlap the ranges contained in the specified BED file.
-c	calls=FILE	The VCF file containing called variants.
-0	output=DIR	The name of the output directory.

--region=STRING If set, only read VCF records that overlap the specified region. The format is one of <template_name>, <template_name>:start-end or

<template_name>:start+length

-t --template=SDF The reference SDF on which the variants

were called.

Filtering

Set to use all records regardless of --all-records filters. Default is to only process records where FILTER is . or PASS.

--ref-overlap Allow alleles to overlap where bases of

either allele are same-as-ref. (Default is to only allow VCF anchor base

overlap).

Set the name of the sample to select. Use --sample=STRING

the form <baseline_sample>, <calls_sample> to select different sample names for baseline and calls. (Required when using multi-sample VCF files).

--squash-ploidy Treat heterozygous variants as homozygous

ALT in both baseline and calls.

Reporting

--output-mode Output reporting mode (Must be one of [split, annotate, combine]). (Default is

split).

-0 --sort-order=STRING Set the order in which to sort the ROC

scores so that "good" scores come before "bad" scores. (Must be one of [ascending, descending]). (Default is descending).

Set the VCF format field to sort the ROC using. Also valid are "QUAL" or $$\rm \cite{NOC}$$ -f --vcf-score-field=STRING

"INFO=<name>" to select the named VCF

INFO field. (Default is GQ).

Utility

-h --help Prints help on command-line flag usage.

-Z--no-gzip Set this flag to create the output files

without compression.

-T--threads=INT Specify the number of threads to use in a

multi-core processor. (Default is all

available cores).

Usage:

The vcfeval command can be used to generate VCF files containing called variants that were in the baseline VCF, called variants that were not in the baseline VCF and baseline variants that were not in the called variants. It also produces ROC curve data files based on a score contained in a VCF field which show the predictive power of that field for the quality of the variant calls.

When developing and validating sequencing pipelines and variant calling algorithms, the comparison of variant call sets is a common problem. The naïve way of computing these numbers is to look at the same reference locations in the baseline (ground truth) and called variant set, and see if genotype calls match at the same position. However, a complication arises due to possible differences in representation for indels between the baseline and the call sets within repeats or homopolymers, and in multiple-nucleotide polymorphisms (MNPs), which encompass several nearby nucleotides and are locally phased. The vcfeval command includes a novel dynamic-programming algorithm for comparing variant call sets that deals with complex call representation discrepancies, and minimizes false positives and negatives across the entire call sets for accurate performance evaluation. A primary advantage of vcfeval (compared to other tools) is that the evaluation does not depend on normalization or decomposition, and so the results of analysis can easily be used to relate to the original variant calls and their annotations.

Note that vcfeval operates at the level of local haplotypes for a sample, so for a diploid genotype, both alleles must match in order to be considered correct. Some of the vcfeval output modes (described below) automatically perform an additional haploid analysis phase to identify variants which may not have a diploid match but which share a common allele (for example, zygosity errors made during calling). If desired, this more lenient haploid comparison can be used at the outset by setting the --squash-ploidy flag.

Note that variants selected for inclusion in a haplotype cannot be permitted to overlap each other (otherwise the question arises of which variant should have priority when determining the resulting haplotype), and any well-formed call-set should not contain these situations in order to avoid such ambiguity. When such cases are encountered by vcfeval, the best non-overlapping result is determined. A special case of overlapping variants is where calls are denoted as partially the same as the reference (for example, a typical heterozygous call). Strictly speaking such variants are an assertion that the relevant haplotype bases must not be altered from the reference and overlap should not be permitted (this is the interpretation that vcfeval employs by default). However, sometimes as a result of using non-haplotype-aware variant calling tools or when using naïve merging of multiple call sets, a more lenient comparison is desired. The --ref-overlap flag will permit such overlapping variants to both match, as long as any overlap only occurs where one variant or other has asserted haplotype bases as being the same as reference.

The primary outputs of vcfeval are VCF files indicating which variants matched between the baseline and the calls VCF, and data files containing information used to generate ROC curves with the rocplot command (or via spreadsheet). vcfeval supports three different VCF output modes which can be selected with the --output-mode flag according to the type of analysis workflow desired. The following modes are available:

Split (--output-mode=split)

This output mode is the default, and produces separate VCF files for each of the match categories. The individual VCF records in these files are not altered in any way, preserving all annotations present in the input files.

- tp.vcf contains those variants from the calls VCF which agree with variants in the baseline VCF
- tp-baseline.vcf-contains those variants from the *baseline* VCF which agree with variants in the calls VCF. Thus, the variants in tp.vcf and tp-baseline.vcf are equivalent. This file can be used to successively refine a highly sensitive baseline variant set to produce a consensus from several call sets.
- fp.vcf contains variants from the *calls* VCF which do not agree with baseline variants.
- fn.vcf contains variants from the *baseline* VCF which were not correctly called.

This mode performs a single pass comparison, either in diploid mode (the default), or haploid mode (if --squash-ploidy has been set). The separate output files produced by this mode allow the use of vcfeval as an advanced haplotype-aware VCF intersection tool.

Annotate (--output-mode=annotate)

This output mode does not split the input VCFs by match status, but instead adds INFO annotations containing the match status of each record:

- calls.vcf contains variants from the *calls* VCF, augmented with match status annotations.
- baseline.vcf contains variants from the *baseline* VCF, augmented with match status annotations.

This output mode automatically performs two comparison passes, the first finds diploid matches, and a second pass that applies a haploid mode to the false positives and false negatives in order to find calls (such as zygosity errors) that contain a common allele. This second category of match are annotated appropriately in the output VCFs.

Combine (--output-mode=combine)

This output mode provides an easy way to view the baseline and call variants in a single two-sample VCF.

• output.vcf — contains variants from both the *baseline* and *calls* VCFs, augmented with match status annotations. The sample under comparison from each of the input VCFs is extracted as a column in the output. As the VCF records from the baseline and calls typically have very different input annotations which can be difficult to merge, and to keep the output format simple, there is no attempt to preserve any of the original variant annotations.

As with the annotation output mode, this output mode automatically performs two comparison passes to find both diploid matches and haploid (lenient) matches.

All of the output modes produce the following ROC data files:

 weighted_roc.tsv - contains ROC data derived from all analyzed call variants, regardless of their representation. Columns include the score field, and standard accuracy metrics such as true positives, false positives, false negatives, precision, sensitivity, and fmeasure corresponding to each score threshold.

- snp_roc.tsv contains ROC data derived from only those call variants which were represented as SNPs. This file includes a subset of accuracy metrics, as the computation of some metrics is not meaningful on a subset of the data where representation may differ between the baseline and the call.
- non_snp_roc.tsv contains ROC data derived from only those call variants which were not represented as SNPs. As above, not all metrics are computed for this file.

Multiple ROC data files (from a single or several vcfeval runs) can be plotted with the rocplot command, which allows output to a PNG image or analysis in an interactive GUI that provides zooming and visualization of the effects of threshold adjustment. As these files are simple Tab-Separated-Value format, they can also be loaded into a spreadsheet tool or processed with shell scripts.

When evaluating exome variant calls, it may be useful to restrict analysis only to exome target regions (or similarly when evaluating calls against a baseline that is restricted to high confidence regions). In this case, supply a BED file containing the list of regions to restrict analysis to via the <code>--bed-regions</code> flag. For a quick way to restrict analysis only to a single region, the <code>--region</code> flag is also accepted. Note that when restricting analysis to regions, there may be variants which can not be correctly evaluated near the borders of each analysis region, if determination of equivalence would require inclusion of variants outside of the region. For this reason, it is recommended that regions be relatively large and inclusive.

See also: snp, popsim, samplesim, childsim, rocplot

2.11.23 pedfilter

Synopsis:

Filter and convert a pedigree file.

Syntax:

```
$ rtg pedfilter [OPTION]... FILE
```

Example:

\$ rtq pedfilter --remove-parentage mypedigree.ped

Parameters:

File Input/Output

FILE The pedigree file to process, may be PED or VCF, use '-' to read from stdin.

Filtering

--keep-primary

Keep only primary individuals (those with a PED individual line / VCF sample column).

--remove-parentage

Remove all parent-child relationship information.

Reporting

```
--vcf Output pedigree in in the form of a VCF header rather than PED.

Utility
-h --help Prints help on command-line flag usage.
```

Usage:

The pedfilter comand can be used to perform manipulations on pedigree information and convert pedigree information between PED and VCF header format.

The VCF files output by the family and population commands contain full pedigree information represented as VCF header lines, and the pedfilter command allows this information to be extracted in PED format.

This command produces the pedigree output on standard output, which can be redirected to a file or another pipeline command as required.

See also: family, population, mendelian, pedstats

2.11.24 pedstats

Synopsis:

Output information from pedigree files of various formats.

Syntax:

```
$ rtg pedstats [OPTION]... FILE
```

Example:

For a summary of pedigree information:

```
$ rtg pedstats ceph_pedigree.ped
Pedigree file: /data/ceph/ceph_pedigree.ped
Total samples:
                              17
Primary samples:
Male samples:
                               8
Female samples:
Afflicted samples:
                               0
Founder samples:
Parent-child relationships:
                              26
Other relationships:
                               3
Families:
```

For quick pedigree visualization using graphviz and ImageMagick, use a command-line such as:

```
$ dot -Tpng <(rtg pedstats --dot "A Title" mypedigree.ped) | display -</pre>
```

For a larger pedigree:

```
$ dot -Tpdf -o mypedigree.pdf <(rtg pedstats --dot "Study" mypedigree.ped)</pre>
```

To output a list of all founders:

```
$ rtg pedstats --founder-ids ceph_pedigree.ped
NA12889
```

```
NA12890
NA12891
NA12892
```

TTT

Parameters:

File Input/Output

	FILE	The pedigree file to process, may be PED or VCF, use '-' to read from stdin.
	Reporting	
	dot=STRING	Output pedigree in GraphViz format, using the supplied text as a title.
	families	Output information about family structures.
	female-ids	Output ids of all females.
	founder-ids	Output ids of all founders.
	male-ids	Output ids of all males.
	maternal-ids	Output ids of maternal individuals.
	paternal-ids	Output ids of paternal individuals.
	primary-ids	Output ids of all primary individuals.
	Utility	
-h	help	Prints help on command-line flag usage.

The mediance file to proceed may be DED

Usage:

Used to show pedigree summary statistics or select groups of individual Ids. In particular, it is possible to generate a simple pedigree visualization.

The VCF files output by the family and population commands contain full pedigree information represented as VCF header lines, and the pedstats command can also take these VCFs as input.

See also: family, population, pedfilter

2.11.26 rocplot

Synopsis:

Plot ROC curves from readsimeval and vcfeval ROC data files, either to an image, or using an interactive GUI.

Syntax:

```
$ rtg rocplot [OPTION]... FILE+
$ rtg rocplot [OPTION]... --curve STRING
```

Example:

\$ rtg rocplot eval/weighted_roc.tsv.gz

Parameters:

File Input/Output

	curve=STRING	Specify a ROC data file with title optionally specified (path[=title]). May be specified 0 or more times.
	png=FILE	Set to output a PNG image to the given file instead of loading the interactive plot.
	FILE+	Specify the ROC data file to plot. May be specified 0 or more times.
F	Reporting	
	hide-sidepane	Set to hide the sidepane from the GUI on startup.
	line-width=INT	Set the line width for the plots. (Default is 2).
	scores	Set to show scores on the plot.
-t	title=STRING	Set the title for the plot.
τ	Jtility	
-h	help	Prints help on command-line flag usage.

Usage:

Used to produce ROC plots from the ROC files produced by readsimeval and vcfeval. By default this opens the ROC plots in an interactive viewer. On a system with only console access the plot can be saved directly to a PNG file using the --png parameter.

Some quick tips for the interactive GUI:

- Select regions within the graph to zoom in. Right click to bring up a context menu that allows resetting the zoom.
- Click on a spot in the graph to show the equivalent accuracy metrics for that location in the status bar. Clicking to the left or below the axes will clear the cross-hair. Note that sensitivity depends on the baseline total number of variants being correct. If for example the ROC curve corresponds to evaluating an exome call-set against a whole-genome baseline, this number will be inaccurate.
- Additional ROC data files can be loaded by clicking on the 'Open...' button.
- Each ROC curve can be shown/hidden, renamed, and reordered in it's widget area on the right hand side of the UI.

- Each ROC curve has a slider to simulate the effect of applying a threshold on the scoring attribute. If the "show scores" option is set, this provides an easy way to select appropriate filter threshold values.
- The "Cmd" button will print to the console a command-line which is equivalent to the currently displayed set of ROC curves, which gives an easy way to replicate the current set of curves in another session.

See also: readsimeval, vcfeval

2.11.31 version

Synopsis:

The RTG version display utility.

Syntax:

\$ rtg version

Example:

```
$ rtg version
Product: RTG Core 3.5
Core Version: 4586490 (2015-12-04)
RAM: 3.5GB of 3.8GB RAM can be used by RTG (91%)
License: Expires on 2016-03-30
Contact: support@realtimegenomics.com
Patents / Patents pending: US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704,
14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254
Citation:
John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart
Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-
Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La
Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from
High-Throughput Sequencing Data." Journal of Computational Biology. June
2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.
(c) Real Time Genomics, 2014
```

Parameters:

There are no options associated with the version command.

Usage:

Use the version command to display release and version information.

See also: help, license

2.11.32 license

Synopsis:

The RTG license display utility.

Syntax:

```
$ rtg license
```

Example:

```
$ rtg license
```

Parameters:

There are no options associated with the license command.

Usage:

Use the license command to display license information and expiration date. Output at the command line (standard output) shows command name, licensed status, and command release level. It is possible to have access to commands prior to general availability (GA) release with certain support contracts from Real Time Genomics.

See also: help, version

2.11.33 help

Synopsis:

The RTG help command provides online help for all RTG commands.

Syntax:

List all commands:

```
$ rtg help
```

Show usage syntax and flags for one command:

```
$ rtg help COMMAND
```

Example:

```
$ rtg help format
```

Parameters:

There are no options associated with the help command.

Usage:

Use the help command to view syntax and usage information for the main rtg command as well as individual RTG commands.

See also: license, version

4 Administration & Capacity Planning

4.1 Advanced installation configuration

RTG software can be shared by a group of users by installing on a centrally available file directory or shared drive. Assignment of execution privileges can be determined by the administrator, independent of the software license file. As described, the software license prepared by Real Time Genomics (rtg-license.txt) need only be included in the same directory as the executable (RTG.jar) and the run-time scripts (rtg or rtg.bat).

During installation on Unix systems, a configuration file named rtg.cfg is created in the installation directory. By editing this configuration file, one may alter further configuration variables appropriate to the specific deployment requirements of the organization. On Windows systems, these variables are set in the rtg.bat file in the installation directory. These configuration variables include:

Variable	Description	
RTG_MEM	Specify the maximum memory for Java run-time execution. Use a G suffix for gigabytes, e.g.: RTG_MEM=48G. The default memory allocation is 90% of system memory.	
RTG_JAVA	Specify the path to Java (default assumes current path).	
RTG_JAR	Indicate the path to the RTG. jar executable (default assumes current path).	
RTG_JAVA_OPTS	Provide any additional Java JVM options.	
RTG_DEFAULT_THREADS	By default any RTG module with a <code>threads</code> parameter will automatically use the number of cores as the number of threads. This setting makes the specified number the default for the <code>threads</code> parameter instead.	
RTG_PROXY	Specify the http proxy server for TalkBack exception management (default is no http proxy).	
RTG_TALKBACK	Send log files for crash-severity exception conditions (default is true, set to false to disable).	
RTG_USAGE	If set to true, enable simple usage logging.	
RTG_USAGE_DIR	Destination directory when performing single-user file-based usage logging.	
RTG_USAGE_HOST	Server URL when performing server-based logging.	

Variable	Description
RTG_USAGE_OPTIONAL	May contain a comma-separated list of the names of optional fields to include in usage logging (when enabled). Any of username, hostname and commandline may be set here.
RTG_REFERENCES_DIR	Specifies an alternate directory containing metagenomic pipeline reference datasets.
RTG_MODELS_DIR	Specifies an alternate directory containing AVR models.

4.2 Run-time performance optimization

CPU — Multi-core operation finishes jobs faster by processing multiple application threads in parallel. By default RTG uses all available cores of a multi-processor server node. With a command line parameter setting, RTG operation can be limited to a specified number of cores if desired.

Memory — Adding more memory can improve performance where very high read coverage is desired. RTG creates and uses indexes to speed up genomic data processing. The more RAM you have, the more reads you can process in memory in a run. We use 48 GB as a rule of thumb for processing human data. However, a smaller number of reads can be processed in as little as 2 GB.

Disk Capacity requirements are highly dependent on the size of the underlying data sets, the amount of information needed to hold quality scores, and the number of runs needed to investigate the impact of varying levels of sensitivity. Though all data is handled and stored in compressed form (gzip), a realistic minimum disk size for handling human data is 1 TB. As a rule of thumb, for every 2 GB of input read data expect to add 1 GB of index data and 1 GB of output files per run. Additionally, leave another 2 GB free for temporary storage during processing.

4.3 Alternate configurations

Demonstration system — For training, testing, demonstrating, processing and otherwise working with smaller genomes, RTG works just fine on a newer laptop system with an Intel processor. For example, product testing in support of this documentation was executed on a MacBook PC (Intel Core 2 Duo processor, 2.1 GHz clock speed, 1 processor, 2 cores, 3MB L2 Cache, 4 GB RAM, 290 GB 5400 RPM Serial-ATA disk)

Clustered system — The comparison of genomic variation on a large scale demands extensive processing capability. Assuming standard CPU hardware as described above, scale up to meet your institutional or major product needs by adding more rack-mounted boards and blades into rack servers in your data center. To estimate the number of cores required, first estimate the number of jobs to be run, noting size and sensitivity requirements. Then apply the appropriate benchmark figures for different size jobs run with varying sensitivity, dividing the number of reads to be processed by the reads/second/core.

4.4 Exception management - TalkBack and log file

Many RTG commands generate a log file with each run that is saved to the results output directory. The contents of the file contain lists of job parameters, system configuration, and run-time information.

In the case of internal exceptions, additional information is recorded in the log file specific to the problem encountered. Fatal exceptions are trapped and notification is sent to Real Time Genomics with a copy of the log file. This mechanism is called TalkBack and uses an embedded URL to which RTG sends the report.

The following sample log displays the software version information, parameter list, and run-time progress.

```
2009-09-05 21:38:10 RTG version = v2.0b build 20013 (2009-10-03) 2009-09-05 21:38:10 java.runtime.name = Java(TM) SE Runtime Environment 2009-09-05 21:38:10 java.runtime.version = 1.6.0_07-b06-153 2009-09-05 21:38:10 os.arch = x86_64 2009-09-05 21:38:10 os.freememory = 1792544768 2009-09-05 21:38:10 os.name = Mac OS X 2009-09-05 21:38:10 os.totalmemory = 4294967296 2009-09-05 21:38:10 os.version = 10.5.8 2009-09-05 21:38:10 Command line arguments: [-a, 1, -b, 0, -w, 16, -f, topn, -n, 5, -P, -o, pflow, -i, pfreads, -t, pftemplate] 2009-09-05 21:38:10 NgsParams threshold=20 threads=2 2009-09-05 21:39:59 Index[0] memory performance
```

TalkBack may be disabled by adding RTG_TALK_BACK=false to the rtg.cfg configuration file (Unix) or the rtg.bat file (Window) as described in Advanced installation configuration.

4.5 Usage logging

RTG has the ability to record simple command usage information for submission to Real Time Genomics. The first time RTG is run (typically during installation), the user will be asked whether to enable usage logging. This information may be required for customers with a pay-per-use license. Other customers may choose to send this information to give Real Time Genomics feedback on which commands and features are commonly used or to locally log RTG command use for their own analysis.

A usage record contains the following fields:

- Time and date
- License serial number
- Unique ID for the run
- Version of RTG software
- RTG command name, without parameters (e.g. map)
- Status (Started / Failed / Succeeded)
- A command-specific field (e.g. number of reads)

For example:

```
2013-02-11 11:38:38007   4f6c2eca-0bfc-4267-be70-b7baa85ebf66   RTG Core v2.7 build d74f45d (2013-02-04)   format Start N/A
```

No confidential information is included in these records. It is possible to add extra fields, such as the user name running the command, host name of the machine running the command, and full command-line parameters, however as these fields may contain confidential information, they must be explicitly enabled as described in Advanced installation configuration.

When RTG is first installed, you will be asked whether to enable user logging. Usage logging can also be manually enabled by editing the rtg.cfg file (or rtg.bat file on Windows) and setting RTG_USAGE=true. If the RTG_USAGE_DIR and RTG_USAGE_HOST settings are empty, the default behavior is to directly submit usage records to an RTG hosted server via HTTPS. This feature requires the machine running RTG to have access to the Internet.

For cases where the machines running RTG do not have access to the Internet, there are two alternatives for collecting usage information.

4.5.1 Single-user, single machine

Usage information can be recorded directly to a text file. To enable this option, edit the rtg.cfg file (or rtg.bat file on Windows), and set the RTG_USAGE_DIR to the name of a directory where the user has write permissions. For example:

```
RTG_USAGE=true
RTG_USAGE_DIR=/opt/rtg-usage
```

Within this directory, the RTG usage information will be written to a text file named after the date of the current month, in the form YYYY-MM.txt. A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

4.5.2 Multi-user or multiple machines

In this case, a local server can be started to collect usage information from compute nodes and recorded to local files for later manual submission. To configure this method of collecting usage information, edit the rtg.cfg file (or rtg.bat file on Windows), and set the RTG_USAGE_DIR to the name of a directory where the local server will store usage logs, and RTG_USAGE_HOST to a URL consisting of the name of the local machine that will run the server and the network port on which the server will listen. For example if the server will be run on a machine named gridhost.mylan.net, listening on port 9090, writing usage information into the directory /opt/rtg-usage/, set:

```
RTG_USAGE=true
RTG_USAGE_DIR=/opt/rtg-usage
RTG_USAGE_HOST=http://gridhost.mylan.net:9090/
```

On the machine gridhost, run the command:

```
$ rtq usageserver
```

Which will start the local usage server listening. Now when RTG commands are run on other nodes or as other users, they will submit usage records to this sever for collation.

Within the usage directory, the RTG usage information will be written to a text file named after the date of the current month, in the form YYYY-MM.txt.A new file will be created each month. This text file can be manually sent to Real Time Genomics when requested.

4.5.3 Advanced configuration

If you wish to augment usage information with any of the optional fields, edit the rtg.cfg file (or rtg.bat file on Windows) and set the RTG_USAGE_OPTIONAL to a comma separated list containing any of the following:

- username adds the username of the user running the RTG command.
- hostname adds the machine name running the RTG command.
- commandline adds the command line, including parameters, of the RTG command (this field will be truncated if the length exceeds 1000 characters).

For example:

RTG_USAGE_OPTIONAL=username, hostname, commandline

5 Appendix

5.3 RTG reference file format

Additional information about the structure of a reference genome can be provided for RTG mapping and variant calling by creating a reference.txt file in the reference genome's SDF directory. This file specifies information about the structure of the chromosomes in the reference genome including sex information. Several example reference.txt files for common human reference versions are included as part of the RTG distribution in the scripts subdirectory, so for common reference versions it will suffice to copy the appropriate example file into the formatted reference SDF with the name reference.txt.

To see how a reference text file will be interpreted by the chromosomes in an SDF for a given sex you can use the sdfstats command with the --sex flag. For example:

```
$ rtg sdfstats --sex male /data/human/ref/hg19
            : /data/human/ref/hg19
Location
Parameters
                   : format -o /data/human/ref/hq19 -I chromosomes.txt
                   : 11
SDF Version
                  : DNA
Type
                  : UNKNOWN
Source
               : UNKNOWN
Paired arm
                   : b6318de1-8107-4b11-bdd9-fb8b6b34c5d0
SDF-ID
Number of sequences: 25
Maximum length : 249250621
                  : 16571
Minimum length
                   : yes
: 234350281
Sequence names
Α
                   : 844868045
С
                   : 585017944
                   : 585360436
                   : 846097277
                  : 3095693983
Total residues
Residue qualities : no
Sequences for sex=MALE:
chrM POLYPLOID circular 16571
chr1 DIPLOID linear 249250621
chr2 DIPLOID linear 243199373
chr3 DIPLOID linear 198022430
chr4 DIPLOID linear 191154276
chr5 DIPLOID linear 180915260
chr6 DIPLOID linear 171115067
chr7 DIPLOID linear 159138663
chr8 DIPLOID linear 146364022
chr9 DIPLOID linear 141213431
chr10 DIPLOID linear 135534747
chr11 DIPLOID linear 135006516
chr12 DIPLOID linear 133851895
chr13 DIPLOID linear 115169878
chr14 DIPLOID linear 107349540
chr15 DIPLOID linear 102531392
chr16 DIPLOID linear 90354753
chr17 DIPLOID linear 81195210
chr18 DIPLOID linear 78077248
chr19 DIPLOID linear 59128983
chr20 DIPLOID linear 63025520
chr21 DIPLOID linear 48129895
chr22 DIPLOID linear 51304566
chrX HAPLOID linear 155270560 ~=chrY
    chrX:60001-2699520 chrY:10001-2649520
```

The reference file is primarily intended for XY sex determination but should be able to handle ZW and X0 sex determination also.

The following describes the reference file text format in more detail. The file contains lines with TAB separated fields describing the properties of the chromosomes. Comments within the reference.txt file are preceded by the character '#'. The first line of the file that is not a comment or blank must be the version line.

```
version 1
```

The remaining lines have the following common structure:

```
<sex> <line-type> <line-setting>...
```

The sex field is one of "male", "female" or "either". The line-type field is one of "def" for default sequence settings, "seq" for specific chromosomal sequence settings and "dup" for defining pseudo-autosomal regions. The line-setting fields are a variable number of fields based on the line type given.

The default sequence settings line can only be specified with "either" for the sex field, can only be specified once and must be specified if there are not individual chromosome settings for all chromosomes and other contigs. It is specified with the following structure:

```
either def <ploidy> <shape>
```

The ploidy field is one of "diploid", "haploid", "polyploid" or "none". The shape field is one of "circular" or "linear".

The specific chromosome settings lines are similar to the default chromosome settings lines. All the sex field options can be used, however for any one chromosome you can only specify a single line for "either" or two lines for "male" and "female". They are specified with the following structure:

```
<sex> seq <chromosome-name> <ploidy> <shape> [allosome]
```

The ploidy and shape fields are the same as for the default chromosome settings line. The chromosome-name field is the name of the chromosome to which the line applies. The allosome field is optional and is used to specify the allosome pair of a haploid chromosome.

The pseudo-autosomal region settings line can be set with any of the sex field options and any number of the lines can be defined as necessary. It has the following format:

```
<sex> dup <region> <region>
```

The regions must be taken from two haploid chromosomes for a given sex, have the same length and not go past the end of the chromosome. The regions are given in the format <chromosome-name>:<start>-<end> where start and end are positions counting from one and the end is non-inclusive.

An example for the HG19 human reference:

```
# Reference specification for hg19, see
# http://genome.ucsc.edu/cgi-bin/hgTracks?hgsid=184117983&chromInfoPage=
version 1
# Unless otherwise specified, assume diploid linear. Well-formed
```

```
# chromosomes should be explicitly listed separately so this
# applies primarily to unplaced contigs and decoy sequences either def diploid linear
# List the autosomal chromosomes explicitly. These are used to help
# determine "normal" coverage levels during mapping and variant calling
either seq
                chr1
                         diploid linear
either seq
                chr2
                         diploid linear
        seq
                        diploid linear
either
                chr3
                chr4
                         diploid linear
either
        sea
either
        seq
                chr5
                        diploid linear
either
                chr6
                         diploid linear
        seq
either
                chr7
                         diploid linear
either
                chr8
                         diploid linear
        seq
        seq
either
                chr9
                         diploid linear
                        diploid linear
                chr10
either
        seq
either
        seq
                chr11
                        diploid linear
either
        seq
                chr12
                        diploid linear
either
        seq
                chr13
                        diploid linear
either
                chr14
                        diploid linear
        seq
either
                chr15
                         diploid linear
                chr16
                        diploid linear
either
        seq
                chr17
                        diploid linear
either
        seq
either
        seq
seq
                chr18
                        diploid linear
                        diploid linear
diploid linear
                chr19
either
        seq
                chr20
either
either seq
                chr21 diploid linear
chr22 diploid linear
either
        seq
# Define how the male and female get the X and Y chromosomes
                        haploid linear chrY
haploid linear chrX
             chrX
male
        seq
female
                chrX
                         diploid linear
female seq
                chrY
                       none
                               linear
#PAR1 pseudoautosomal region
                chrX:60001-2699520 chrY:10001-2649520
male
       dup
#PAR2 pseudoautosomal region
                chrX:154931044-155260560
                                                 chrY:59034050-59363566
        dup
male
# And the mitochondria
                         polyploid
either seq
                                         circular
                chrM
```

As of the current version of the RTG software the following are the effects of various settings in the reference.txt file when processing a sample with the matching sex.

A ploidy setting of none will prevent reads from mapping to that chromosome and any variant calling from being done in that chromosome.

A ploidy setting of diploid, haploid or polyploid does not currently affect the output of mapping.

A ploidy setting of diploid will treat the chromosome as having two distinct copies during variant calling, meaning that both homozygous and heterozygous diploid genotypes may be called for the chromosome.

A ploidy setting of haploid will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome.

A ploidy setting of polyploid will treat the chromosome as having one copy during variant calling, meaning that only haploid genotypes will be called for the chromosome. For variant calling with a pedigree, maternal inheritance is assumed for polyploid sequences.

The shape of the chromosome does not currently affect the output of mapping or variant calling.

The allosome pairs do not currently affect the output of mapping or variant calling (but are used by simulated data generation commands).

The pseudo-autosomal regions will cause the second half of the region pair to be skipped during mapping. During variant calling the first half of the region pair will be called as diploid and the

second half will not have calls made for it. For the example reference.txt provided earlier this means that when mapping a male the X chromosome sections of the pseudo-autosomal regions will be mapped to exclusively and for variant calling the X chromosome sections will be called as diploid while the Y chromosome sections will be skipped. There may be some edge effects up to a read length either side of a pseudo-autosomal region boundary.

5.5 Pedigree PED input file format

The PED file format is a white space (tab or space) delimited ASCII file. It has exactly six required columns in the following order.

Column	Definition	
Family ID	Alphanumeric ID of a family group. This field is ignored by RTG commands.	
Individual ID	Alphanumeric ID of an individual. This corresponds to the Sample ID specified in the read group of the individual (SM field).	
Paternal ID	Alphanumeric ID of the paternal parent for the individual. This corresponds to the Sample ID specified in the read group of the paternal parent (SM field).	
Maternal ID	Alphanumeric ID of the maternal parent for the individual. This corresponds to the Sample ID specified in the read group of the maternal parent (SM field).	
Sex	The sex of the individual specified as using 1 for male, 2 for female and any other number as unknown.	
Phenotype	The phenotype of the individual specified using -9 or 0 for unknown, 1 for unaffected and 2 for affected.	

NOTE: The PED format is based on the PED format defined by the PLINK project: http://pngu.mgh.harvard.edu/~purcell/plink/data.shtml#ped

The value '0' can be used as a missing value for Family ID, Paternal ID and Maternal ID.

The following is an example of what a PED file may look like.

```
# PED format pedigree
 fam-id ind-id pat-id
                            mat-id
                                           phen
                                     sex
 FAM01
          NA19238 0
                            0
                                     2
                                           0
          NA19239
                                           0
 FAM01
                   0
                            0
                                     1
          NA19240
                   NA19239
                            NA19238
                                     2
 FAM01
                                           0
          NA12878
```

When specifying a pedigree for the lineage command, use either the pat-id or mat-id as appropriate to the gender of the sample cell lineage. The following is an example of what a cell lineage PED file may look like.

```
# PED format pedigree
```

#	fam-id	ind-id	pat-id	mat-id	sex	phen
	LIN	BASE	0	0	2	0
	LIN	GENA	0	BASE	2	0
	LIN	GENB	0	BASE	2	0
	LIN	GENA-A	0	GENA	2	0

RTG includes commands such as pedfilter and pedstats for simple viewing, filtering and conversion of pedigree files.

5.6 RTG commands using indexed input files

Several RTG commands require indexed input files to operate and several more require them when the --region or --bed-regions parameter is used.

The commands that always require indexed input files are snp, family, somatic, population, vcfmerge and extract. The commands that only require indexed input files if the --region or --bed-regions parameter is set are coverage, cnv, sv, discord and sammerge.

The RTG commands which produce the inputs used by these commands will by default produce them with index files. To produce indexes for files from third party sources or RTG command output where the --no-index or --no-gzip parameters were set, use the RTG bgzip and index commands.

5.9 Distribution Contents

The contents of the RTG distribution zip file should include:

- The RTG executable JAR file.
- RTG executable wrapper script.
- Example scripts and files.
- This operations manual.
- A release notes file and a readme file.

Some distributions also include an appropriate java runtime environment (JRE) for your operating system.

5.10 README.txt

For reference purposes, a copy of the distribution README.txt file follows:

JAR.

For individual use, follow these quick start instructions.

No-JRE:

The no-JRE distribution does not include a Java Runtime Environment and instead uses the system-installed Java. Ensure that at the command line you can enter 'java -version' and that this command reports a java version of 1.7 or higher before proceeding with the steps below. This may require setting your PATH environment variable to include the location of an appropriate version of java.

Linux/MacOS X:

Unzip the RTG distribution to the desired location.

If your RTG distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

In a terminal, cd to the installation directory and test for success by entering './rtg version'

-bash: rtg: /usr/bin/env: bad interpreter: Operation not permitted

If this occurs, you must clear the OS X quarantine attribute with the command:

xattr -d com.apple.quarantine rtg

The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter './rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: './rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM – this is automatically calculated. One may override this limit in the rtg.cfg settings file or on a per-run basis by supplying RTG_MEM as an environment variable or as the first program argument, e.g.: './rtg RTG_MEM=48g map'

[OPTIONAL] If you will be running rtg on multiple machines and would like to customize settings on a per-machine basis, copy rtg.cfg to /etc/rtg.cfg, editing per-machine settings appropriately (requires root privileges). An alternative that does not require root privileges is to copy rtg.example.cfg to rtg.HOSTNAME.cfg, editing per-machine settings appropriately, where HOSTNAME is the short host name output by the command "hostname -s"

Windows:

Unzip the RTG distribution to the desired location.

If your RTG distribution requires a license file (rtg-license.txt), copy the license file from Real Time Genomics into the RTG distribution directory.

Test for success by entering 'rtg version' at the command line. The first time rtg is executed you will be prompted with some questions to customize your installation. Follow the prompts.

Enter 'rtg help' for a list of rtg commands. Help for any individual command is available using the --help flag, e.g.: 'rtg format --help'

By default, RTG software scripts establish a memory space of 90% of the available RAM – this is automatically calculated. One may override this limit by setting the RTG_MEM variable in the rtg.bat script or as an environment variable.

The scripts subdirectory contains demos, helper scripts, and example configuration files, and comprehensive documentation is contained in the RTG Operations Manual.

Using the above quick start installation steps, an individual can execute RTG software in a remote computing environment without the need to establish root privileges. Include the necessary data files in directories within the workspace and upload the entire workspace to the remote system (either stand-alone or cluster).

For data center deployment and instructions for editing scripts, please consult the Administration chapter of the RTG Operations Manual.

A discussion group is now available for general questions, tips, and other discussions. It may be viewed or joined at: https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-users

To be informed of new software releases, subscribe to the low-traffic rtg-announce group at: https://groups.google.com/a/realtimegenomics.com/forum/#!forum/rtg-announce

Citing RTG

John G. Cleary, Ross Braithwaite, Kurt Gaastra, Brian S. Hilbush, Stuart Inglis, Sean A. Irvine, Alan Jackson, Richard Littin, Sahar Nohzadeh-Malakshah, Mehul Rathod, David Ware, Len Trigg, and Francisco M. De La Vega. "Joint Variant and De Novo Mutation Identification on Pedigrees from High-Throughput Sequencing Data." Journal of Computational Biology. June 2014, 21(6): 405-419. doi:10.1089/cmb.2014.0029.

Terms of Use

This proprietary software program is the property of Real Time Genomics. All use of this software program is subject to the terms of an applicable end user license agreement.

Patents

US: 7,640,256, 13/129,329, 13/681,046, 13/681,215, 13/848,653, 13/925,704, 14/015,295, 13/971,654, 13/971,630, 14/564,810
UK: 1222923.3, 1222921.7, 1304502.6, 1311209.9, 1314888.7, 1314908.3
New Zealand: 626777, 626783, 615491, 614897, 614560
Australia: 2005255348, Singapore: 128254
Other patents pending

Third Party Software Used

RTG software uses the open source htsjdk library (https://github.com/samtools/htsjdk) for reading and writing SAM files, under the terms of following license:

The MIT License

Copyright (c) 2009 The Broad Institute

Permission is hereby granted, free of charge, to any person obtaining a copy of this software and associated documentation files (the "Software"), to deal in the Software without restriction, including without limitation the rights to use, copy, modify, merge, publish, distribute, sublicense, and/or sell copies of the Software, and to permit persons to whom the Software is furnished to do so, subject to the following conditions:

The above copyright notice and this permission notice shall be included in all copies or substantial portions of the Software.

THE SOFTWARE IS PROVIDED "AS IS", WITHOUT WARRANTY OF ANY KIND, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO THE WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE AND NONINFRINGEMENT. IN NO EVENT SHALL THE AUTHORS OR COPYRIGHT HOLDERS BE LIABLE FOR ANY CLAIM, DAMAGES OR OTHER

LIABILITY, WHETHER IN AN ACTION OF CONTRACT, TORT OR OTHERWISE, ARISING FROM, OUT OF OR IN CONNECTION WITH THE SOFTWARE OR THE USE OR OTHER DEALINGS IN THE SOFTWARE.

RTG software uses the bzip2 library included in the open source Ant project (http://ant.apache.org/) for decompressing bzip2 format files, under the following license:

Copyright 1999-2010 The Apache Software Foundation

Licensed under the Apache License, Version 2.0 (the "License"); you may not use this file except in compliance with the License. You may obtain a copy of the License at

http://www.apache.org/licenses/LICENSE-2.0

Unless required by applicable law or agreed to in writing, software distributed under the License is distributed on an "AS IS" BASIS, WITHOUT WARRANTIES OR CONDITIONS OF ANY KIND, either express or implied. See the License for the specific language governing permissions and limitations under the License.

RTG Software uses a modified version of java/util/zip/GZIPInputStream.java (available in the accompanying gzipfix.jar) from OpenJDK 7 under the terms of the following license:

This code is free software; you can redistribute it and/or modify it under the terms of the GNU General Public License version 2 only, as published by the Free Software Foundation. Oracle designates this particular file as subject to the "Classpath" exception as provided by Oracle in the LICENSE file that accompanied this code.

This code is distributed in the hope that it will be useful, but WITHOUT ANY WARRANTY; without even the implied warranty of MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the GNU General Public License version 2 for more details (a copy is included in the LICENSE file that accompanied this code).

You should have received a copy of the GNU General Public License version 2 along with this work; if not, write to the Free Software Foundation, Inc., 51 Franklin St, Fifth Floor, Boston, MA 02110-1301 USA.

Please contact Oracle, 500 Oracle Parkway, Redwood Shores, CA 94065 USA or visit www.oracle.com if you need additional information or have any questions.

RTG Software uses hierarchical data visualization software from http://sourceforge.net/projects/krona/ under the terms of the following license:

Copyright (c) 2011, Battelle National Biodefense Institute (BNBI); all rights reserved. Authored by: Brian Ondov, Nicholas Bergman, and Adam Phillippy

This Software was prepared for the Department of Homeland Security (DHS) by the Battelle National Biodefense Institute, LLC (BNBI) as part of contract ${\rm HSHQDC-07-C-00020}$ to manage and operate the National Biodefense Analysis and Countermeasures Center (NBACC), a Federally Funded Research and Development Center.

Redistribution and use in source and binary forms, with or without modification, are permitted provided that the following conditions are met:

- * Redistributions of source code must retain the above copyright notice, this list of conditions and the following disclaimer.
- * Redistributions in binary form must reproduce the above copyright notice, this list of conditions and the following disclaimer in the documentation and/or other materials provided with the distribution.

* Neither the name of the Battelle National Biodefense Institute nor the names of its contributors may be used to endorse or promote products derived from this software without specific prior written permission.

THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS
"AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT
LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR
A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT
HOLDER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL
SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT
LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE,
DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY
THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT
(INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE
OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

5.11 RTG sample similarity

Use the following set of tasks to produce a similarity matrix from the comparison of a group of read sets. An example use case is in metagenomics where several bacteria samples taken from different sites need to be compared.

The similarity command performs a similarity analysis on multiple read sets independent of any reference genome. It does this by examining k-mer word frequencies and the intersections between sets of reads.

Table 33:	Overview	of sample	similarity	y tasks
-----------	----------	-----------	------------	---------

Task	Command & Utilities	Purpose
Task 1 Prepare read sets	\$ rtg format \$ rtg sdfstats	Convert reference sequence from FASTA file to RTG Sequence Data Format (SDF)
Task 2 Generate read set name map	<pre>\$ text editor \$ cat</pre>	Produce the map of names to read set SDF locations
Tasks 3 Run similarity tool	\$ rtg similarity	Process the read sets for similarity

5.11.1 Task 1 - Prepare read sets

RTG tools require a conversion of read sequence data from FASTA or FASTQ files into the RTG SDF format. This task will be completed with the format command. The conversion will create an SDF directory for the sample reads.

Take a paired set of reads in FASTQ format and convert it into RTG data format (SDF). This example shows one run of data, taking as input both left and right mate pairs from the same run.

```
$ rtg format -f fastq -q sanger -o /data/reads/read-sample1-sdf
-l /data/reads/fastq/read-sample1_1.fq
-r /data/reads/fastq/read-sample2_2.fq
```

This creates a directory named read-sample1-sdf with two subdirectories, named left and right. Use the sdfstats command to verify this step.

```
$ rtg sdfstats /data/reads/read-sample1-sdf
```

Repeat for all read samples to be compared. This example shows how this can be done with the format command in a loop.

5.11.2 Task 2 - Generate read set name map

With a text editor, or other tools, create a text file containing a list of sample name to sample read SDF file locations. If two or more read sets are from the same sample they can be combined by giving them the same sample name in the file list.

```
$ cat read-set-list.txt
    sample1 /data/reads/read-sample1-sdf
    sample2 /data/reads/read-sample2-sdf
    sample3 /data/reads/read-sample3-sdf
    sample4 /data/reads/read-sample4-sdf
    sample5 /data/reads/read-sample5-sdf
```

5.11.3 Task 3 - Run similarity tool

Run the similarity command setting the k-mer word size ($\neg w$ parameter) and the step size ($\neg w$ parameter) on the read sets by specifying the file listing the read sets. Some experimentation should be performed with different word and step size parameters to find good trade-offs between memory usage and run time. Should it be necessary to reduce the memory used it is possible to limit the number of reads used from each SDF by specifying the $\neg\neg max \neg reads$ parameter.

```
$ rtg similarity -w 25 -s 25 --max-reads 1000000 -I read-set-list.txt
-o similarity-output
```

The program puts its output in the specified output directory.

```
$ ls similarity-output/
   4693 Aug 29 20:17 closest.tre
19393 Aug 29 20:17 closest.xml
   33 Aug 29 20:17 done
11363 Aug 29 20:17 similarity.log
48901 Aug 29 20:17 similarity.tsv
693 Aug 29 20:17 progress
```

The similarity.tsv file is a tab separated file containing a matrix of counts of the number of k-mers in common between each pair of samples. The closest.tre and closest.xml files are nearest neighbor trees built from the counts from the similarity matrix. The closest.tre is in Newick format and the closest.xml file is phyloXML. The similarity.pca file contains a principal component analysis on the similarity matrix in similarity.tsv.

You may wish to view closest.tre or closest.xml in your preferred tree viewing tool or use the principal component analysis output in similarity.pca to produce a three-dimensional grouping plot showing visually the clustering of samples.