

# The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

FEBRUARY 3, 2011

VOL. 364 NO. 5

## Efficacy of Quadrivalent HPV Vaccine against HPV Infection and Disease in Males

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### ABSTRACT

#### BACKGROUND

Infection with human papillomavirus (HPV) and diseases caused by HPV are common in boys and men. We report on the safety of a quadrivalent vaccine (active against HPV types 6, 11, 16, and 18) and on its efficacy in preventing the development of external genital lesions and anogenital HPV infection in boys and men.

#### METHODS

We enrolled 4065 healthy boys and men 16 to 26 years of age, from 18 countries in a randomized, placebo-controlled, double-blind trial. The primary efficacy objective was to show that the quadrivalent HPV vaccine reduced the incidence of external genital lesions related to HPV-6, 11, 16, or 18. Efficacy analyses were conducted in a per-protocol population, in which subjects received all three vaccinations and were negative for relevant HPV types at enrollment, and in an intention-to-treat population, in which subjects received vaccine or placebo, regardless of baseline HPV status.

#### RESULTS

In the intention-to-treat population, 36 external genital lesions were seen in the vaccine group as compared with 89 in the placebo group, for an observed efficacy of 60.2% (95% confidence interval [CI], 40.8 to 73.8); the efficacy was 65.5% (95% CI, 45.8 to 78.6) for lesions related to HPV-6, 11, 16, or 18. In the per-protocol population, efficacy against lesions related to HPV-6, 11, 16, or 18 was 90.4% (95% CI, 69.2 to 98.1). Efficacy with respect to persistent infection with HPV-6, 11, 16, or 18 and detection of related DNA at any time was 47.8% (95% CI, 36.0 to 57.6) and 27.1% (95% CI, 16.6 to 36.3), respectively, in the intention-to-treat population and 85.6% (97.5% CI, 73.4 to 92.9) and 44.7% (95% CI, 31.5 to 55.6) in the per-protocol population. Injection-site pain was significantly more frequent among subjects receiving quadrivalent HPV vaccine than among those receiving placebo (57% vs. 51%,  $P < 0.001$ ).

#### CONCLUSIONS

Quadrivalent HPV vaccine prevents infection with HPV-6, 11, 16, and 18 and the development of related external genital lesions in males 16 to 26 years of age. (Funded by Merck and others; ClinicalTrials.gov number, NCT00090285.)

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N Engl J Med 2011;364:401-11.

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**H**UMAN PAPILLOMAVIRUS (HPV) INFECTS the squamous epithelium in both sexes, leading to anogenital condylomata acuminata and, in males, to cancers of the penis, anus, and oropharynx.<sup>1</sup> The rate of genital HPV infection among males is similar to that in females. In any 12-month period, the probability that a sexually active male will acquire a new genital HPV infection is 0.29 to 0.39 per 1000 person-months,<sup>2-4</sup> which is similar to estimates for females. However, there are differences between the sexes in the immune response to HPV. A larger proportion of females are HPV-seropositive (17.9%, vs. 7.9% of males), and females have higher titers of antibodies.<sup>5,6</sup> The lower immune response to natural infection in males may partially explain the higher prevalence of HPV infections<sup>2,5,7</sup> as compared with the prevalence among females, and the constant prevalence and incidence of HPV infection across a wide age range in males.<sup>2,4</sup>

The quadrivalent HPV vaccine, which is active against HPV types 6, 11, 16, and 18, is efficacious in preventing persistent infection and genital disease caused by these HPV types in females.<sup>8-11</sup> Similarly, HPV vaccination in males has the potential to significantly reduce HPV-associated anogenital infection and disease. Here we describe the results of a study of the efficacy of the quadrivalent HPV vaccine against anogenital infection and external genital lesions associated with HPV-6, 11, 16, or 18 in boys and men between the ages of 16 and 26 years.

## METHODS

### SUBJECTS

Between September 3, 2004, and August 29, 2008, we enrolled 4065 healthy boys and men from 71 sites in 18 countries in a randomized, placebo-controlled, double-blind study. A total of 3463 of the subjects were heterosexual (i.e., they reported that their sexual partners were exclusively female), and 602 had sex with male partners (i.e., they reported that they had engaged in insertive or receptive anal intercourse or oral sex with a male partner within the previous year). The median follow-up period, after administration of the first dose of vaccine or placebo, was 2.9 years.

For the heterosexual subjects, eligibility criteria were an age between 16 and 23 years and one to five female sexual partners during their lifetime; for the subjects who had sex with male partners, the criteria were an age between 16 and 26

years and one to five male or female partners during their lifetime. Subjects who had clinically detectable anogenital warts or genital lesions at screening that were suggestive of infection with non-HPV sexually transmitted diseases, or who had a history of such findings, were excluded.

### STUDY DESIGN AND OVERSIGHT

Subjects were randomly assigned in a 1:1 ratio to receive quadrivalent HPV vaccine or placebo at day 1, month 2 ( $\pm 3$  weeks), and month 6 ( $\pm 4$  weeks). Vaccine or placebo was administered as a 0.5-ml injection in the deltoid muscle (with all three doses administered in the same arm). The quadrivalent HPV L1 vaccine (Gardasil or Silgard, Merck) contains HPV-6, 11, 16, and 18 viruslike particles conjugated to an amorphous aluminum hydroxyphosphate sulfate (AAHS) adjuvant; the vaccine and the visually indistinguishable AAHS-containing placebo have been described previously.<sup>12</sup> Details on randomization and blinding are provided in the Supplementary Appendix, available with the full text of this article at NEJM.org.

The trial was designed by the study sponsor, Merck, in collaboration with external investigators and an external data and safety monitoring board. The sponsor collated the data, monitored the conduct of the trial, performed statistical analyses, and coordinated the writing of the manuscript with all the authors. The academic authors were actively involved in the collection, analysis, and interpretation of the data; the initial drafting and revision of the manuscript for intellectual content; and the approval of the final manuscript. The first draft was written by the first author, with contributions from other authors. All authors vouch for the completeness and accuracy of the data presented. All authors had access to all the study data (with confidentiality agreements) and participated in the decision to submit the manuscript for publication.

The trial was conducted in accordance with the protocol (available at NEJM.org), which was approved by the institutional review boards at participating centers. Written informed consent was obtained from all subjects. At each study site, the trial was conducted in conformity with applicable country or local requirements regarding ethics committee review, informed consent, and other statutes or regulations regarding the protection of the rights and welfare of human subjects participating in biomedical research.

**STUDY POPULATIONS FOR ANALYSES**

Analyses were conducted in an intention-to-treat population consisting of subjects who received one or more doses of vaccine or placebo and returned for follow-up. These subjects, who might have been seropositive at enrollment or might have had positive results for the quadrivalent HPV vaccine types on polymerase-chain-reaction (PCR) assay, represented the general population of unvaccinated boys and men. A total of 175 subjects did not return for follow-up after receiving one dose of vaccine or placebo (82 in the vaccine group and 93 in the placebo group). Case counting in the intention-to-treat population commenced after day 1.

An efficacy analysis was also conducted in the per-protocol population — that is, subjects who were seronegative on day 1 and PCR-negative for both swab and biopsy specimens from day 1 through month 7 for the relevant vaccine HPV type (or types) and did not have any protocol violations (see Table S1 in the Supplementary Appendix). Subjects in the per-protocol population received all 3 vaccinations within 1 year and had 1 or more follow-up visits after month 7. Case counting commenced at month 7.

Additional analyses were conducted in a population of subjects who were negative for HPV-6, 11, 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, and 59 DNA and were seronegative for HPV-6, 11, 16, and 18 at enrollment and who received at least one dose of vaccine or placebo. This population approximates a population of young men before sexual debut. Case counting in this population began after day 1.

**STUDY OBJECTIVES AND MEASURES OF EFFICACY**

The primary efficacy objective was to show that the quadrivalent HPV vaccine reduced the incidence of external genital lesions associated with HPV-6, 11, 16, or 18, as compared with placebo. The secondary efficacy objectives were to show that the vaccine reduced the incidence of persistent infection with these HPV types and the detection at any time of DNA associated with these viral types, as compared with placebo. We also analyzed the composite efficacy of the vaccine against the development of external genital lesions related to any HPV type (including HPV types that were identified with the use of a PCR assay [HPV-6, 11, 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, and 59] and types that were not so identified).

Detailed anogenital examinations were performed on day 1 and at months 7, 12, 18, 24, 30,

and 36. Biopsies were performed for external genital lesions judged by the investigator to be possibly, probably, or definitely related to HPV and for any lesion whose cause was not known. Repeat biopsy of recurrent lesions (occurring within 2 months after the previous lesion, at the same location and with the same appearance) was not performed in order to avoid overestimation of incident external genital lesions. All biopsy specimens were processed independently to prevent contamination of HPV DNA and were assessed in a blinded fashion, first for the purpose of clinical management by pathologists at the central laboratory (Diagnostic Cytology Laboratories) and then for end-point adjudication by a four-member panel of pathologists.<sup>8,9</sup> Panel members disagreed on the interpretation of 0.3% of the biopsy specimens and resolved the problem by meeting to obtain a consensus. Clinical management was performed according to local standards of care. HPV testing of thin sections was performed at a central laboratory with the use of multiplex PCR assay.<sup>8,9</sup>

Specimens for HPV testing were collected separately from the penis, scrotum, and perineal and perianal regions with the use of a nail file and Dacron swab on day 1 and at months 7, 12, 18, 24, 30, and 36. In the group of subjects who had sex with male partners, intra-anal specimens were collected with a Dacron swab. All specimens were tested for HPV DNA to identify subjects who were infected before enrollment and those who acquired new HPV infections during the study. Each thin-section and swab specimen was evaluated with three different primer-pair sets per HPV type, which amplified a portion of three separate open reading frames.<sup>13</sup> Less than 5% of the PCR-positive biopsy specimens from external genital lesions (11 of 268 positive specimens) were positive for only 1 of 3 genes, and less than 4% of all specimens from external genital lesions (151 of 4886) could not be amplified to at least 1 of 14 HPV types tested.

To allow for assessment of vaccine safety, subjects recorded (on vaccination report cards) oral temperature and any adverse events occurring at the injection site on days 1 through 5 after receiving each dose of vaccine or placebo. They also recorded systemic adverse events and all serious adverse events that occurred on days 1 through 15 after receiving each dose. All serious adverse events that investigators believed to be associated with the vaccine or the study procedure and all deaths were recorded during the entire study period.

**END POINTS**

The primary end point of the study was the presence or absence of external genital lesions associated with HPV-6, 11, 16, or 18, defined as condylomata acuminata (external genital warts); penile, perianal, or perineal intraepithelial neoplasia (PIN); or penile, perianal, or perineal cancer. All other external genital lesions were also recorded, whether or not they contained an HPV type identified on PCR assay (HPV-6, 11, 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, or 59). Primary end-point cases were confirmed by means of a consensual diagnosis of condyloma acuminatum, PIN grade 1 or grade 2–3, or penile, perianal, or perineal cancer by the pathology panel after examination of a biopsy specimen and by detection of HPV DNA in an adjacent section of the same tissue block with the use of a PCR assay.

Persistent infection was defined as detection of the same HPV type (6, 11, 16, or 18) in an anogenital swab or biopsy specimen collected on two or more consecutive visits, with an interval of at least 6 months ( $\pm 1$  month) between the visits. DNA detection was defined as detection of HPV 6, 11, 16, or 18 DNA in any clinical specimen on one or more visits (with visits made at any time during the study).

**STATISTICAL ANALYSIS**

To evaluate the primary end point, a fixed-event design was used. Assuming that the true efficacy of the vaccine is 80%, 32 cases would provide at least 90% power to demonstrate an efficacy of more than 20% at a one-sided alpha level of 0.025, and 23 cases in the heterosexual group would provide more than 90% power to demonstrate that vaccine efficacy is more than 0% in that subgroup. Therefore, the primary analysis was conducted when at least 32 cases that satisfied the primary end point had been observed in the entire study population and when at least 23 cases had been diagnosed among the heterosexual subgroup. The statistical criterion for study success required the lower bound of the confidence interval for vaccine efficacy to exclude 20%. Vaccine efficacy was defined as  $100\% \times (1 - [r_v/r_p])$ , with  $r_v$ , the incidence rate among vaccine recipients, defined as  $C_v$ , the number of primary efficacy cases among vaccine recipients, divided by  $\tau_v$ , the total person-years of follow-up among vaccine recipients. Similarly,  $r_p$ , the incidence rate among placebo recipients, was defined as  $C_p$ , the number of primary efficacy cases among placebo

recipients, divided by  $\tau_p$ , the total person-years of follow-up among placebo recipients. The hypotheses related to vaccine efficacy were tested by constructing a two-sided exact confidence interval for vaccine efficacy under the assumption that the number of end-point cases among vaccine recipients followed a binomial distribution.

The confidence interval reported for the end point of persistent infection with HPV-6, 11, 16, or 18 in the per-protocol population (97.5%) differs from that reported in other analyses (95%) because of application of the Hochberg multiplicity adjustment. This adjustment was necessary because of the two secondary end points: persistent infection and DNA detection. The adjustment was made so that the combined alpha level of the hypothesis tests for these two end points would not exceed a one-sided alpha level of 0.025.

**RESULTS****CHARACTERISTICS OF THE SUBJECTS**

A total of 4164 boys and men were screened for the study, and 4065 were enrolled; 2032 were randomly assigned to the vaccine group and 2033 to the placebo group. (The reasons for exclusion from the study are presented in Table S1 in the Supplementary Appendix.) The study groups were balanced with respect to age, race or ethnic group, region, smoking status, circumcision status, and sexual history (Table S2 in the Supplementary Appendix).

A total of 4055 subjects received one or more doses of vaccine or placebo. Of these subjects, 2805 were eligible for the per-protocol population, with 1397 receiving the quadrivalent HPV vaccine and 1408 receiving placebo. The vaccine and placebo groups were similar with regard to reasons for discontinuing study participation and eligibility for inclusion in per-protocol analyses (Fig. S1 in the Supplementary Appendix).

**EFFICACY**

Within 1 month after administration of the third dose of vaccine, seroconversion for HPV-6, 11, 16, and 18 occurred in at least 97.4% of vaccinated subjects. The majority of subjects who were initially negative for all four vaccine HPV types (966 of 991 subjects [97.5%]) had seroconversion for all four types by one month after the third dose. Nine subjects (0.9%) did not undergo seroconversion to any of the four HPV types, and 15 subjects (1.5%) underwent seroconversion to

**Table 1. Efficacy of Quadrivalent Vaccine against the Development of External Genital Lesions in the Intention-to-Treat Population.\***

Variable	Quadrivalent HPV Vaccine			Placebo			Observed Efficacy (95% CI)
	Cases of EGL	Person-Yr at Risk	Rate	Cases of EGL	Person-Yr at Risk	Rate	
	<i>no.</i>		<i>no./100 person-yr at risk</i>	<i>no.</i>		<i>no./100 person-yr at risk</i>	
HPV type							
Any type	36	4612.6	0.80	89	4538.6	2.00	60.2 (40.8 to 73.8)
Type 6, 11, 16, or 18†	27	4625.7	0.58	77	4556.5	1.69	65.5 (45.8 to 78.6)
Type 6	21	4635.8	0.45	51	4576.0	1.11	59.4 (31.2 to 76.8)
Type 11	6	4663.7	0.13	25	4606.6	0.54	76.3 (40.8 to 92.0)
Type 16	3	4663.1	0.06	10	4621.9	0.22	70.3 (−15.5 to 94.7)
Type 18	2	4670.0	0.04	3	4627.9	0.06	33.9 (−476.7 to 94.5)
Sexual orientation‡							
Heterosexual males	21	4153.9	0.51	57	4087.5	1.39	63.7 (39.3 to 79.1)
Males who had sex with male partners	6	471.8	1.27	20	469.0	4.26	70.2 (23.0 to 90.2)
Lesion type							
Condyloma acuminatum§	24	4635.4	0.52	72	4558.8	1.58	67.2 (47.3 to 80.3)
All PIN lesions	6	4658.7	0.13	5	4628.2	0.11	−19.2 (−393.8 to 69.7)
PIN grade 1	3	4666.1	0.06	4	4629.7	0.09	25.6 (−339.9 to 89.1)
PIN grade 2 or 3	3	4663.1	0.06	2	4628.6	0.04	−48.9 (−1682.6 to 82.9)
Penile, perianal, or perineal cancer	0	4670.6	0.00	0	4630.5	0.00	—

\* Data shown are for subjects who had at least one follow-up visit after day 1. EGL denotes external genital lesions with a diagnosis of condyloma acuminatum; HPV, human papillomavirus; and PIN, penile, perianal, or perineal intraepithelial neoplasia.

† Subjects were counted once in each applicable category. A subject may have been included in more than one category.

‡ There were 1653 heterosexual males and 290 males who had sex with male partners.

§ There were 115 cases of condylomata acuminata associated with any HPV type in the intention-to-treat population (32 in the vaccine group and 83 in the placebo group). Of these 115 cases, 20 involved subjects with biopsy specimens testing positive for more than 1 of the 14 HPV types tested (2 in the vaccine group and 18 in the placebo group).

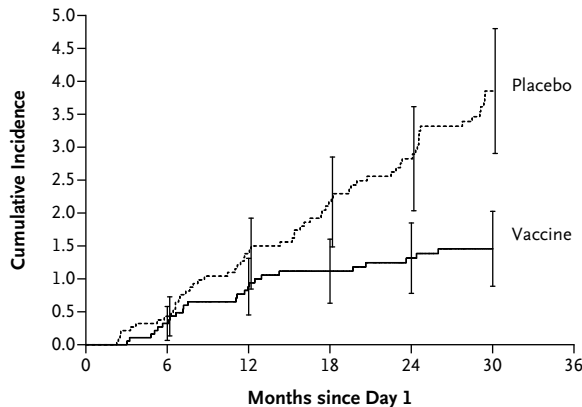
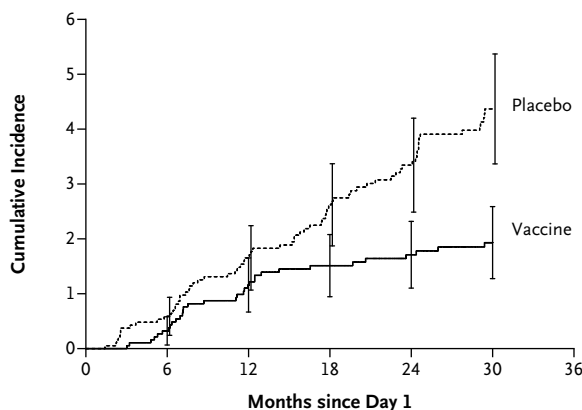
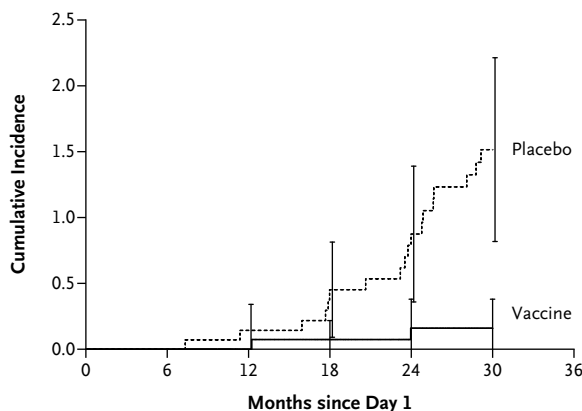
three of the four HPV types. Levels of anti-HPV antibodies peaked at month 7, with 447 milli-Merck units (mMU) per milliliter for HPV-6, 624 mMU per milliliter for HPV-11, 2403 mMU per milliliter for HPV-16, and 402 mMU per milliliter for HPV-18 (milli-Merck units are defined in the Supplementary Appendix).

In the intention-to-treat population, 36 external genital lesions were seen in the vaccine group, as compared with 89 in the placebo group, resulting in an observed efficacy of 60.2% (95% confidence interval [CI], 40.8 to 73.8) (Table 1 and Fig. 1). Vaccine efficacy against lesions related to HPV-6, 11, 16, or 18 was 65.5% (95% CI, 45.8 to 78.6) (Table 1 and Fig. 1). There were significant reductions in the number of external genital lesions associated with HPV-6 (59.4%; 95% CI, 31.2 to 76.8) and HPV-11 (76.3%; 95% CI,

40.8 to 92.0). The vaccine was associated with nonsignificant reductions in external genital lesions associated with HPV-16 (70.3%; 95% CI, −5.5 to 94.7) and HPV-18 (33.9%; 95% CI, −476.7 to 94.5). Efficacy against condylomata acuminata associated with HPV-6 and HPV-11 was 67.2% (95% CI, 47.3 to 80.3). Vaccine efficacy against PIN lesions was not observed in the intention-to-treat population. All PIN lesions were treated in accordance with the local standard of care.

In the per-protocol population, 6 external genital lesions were observed in the vaccine group and 36 in the placebo group, resulting in an observed efficacy of 83.8% (95% CI, 61.2 to 94.4) (Table 2). Efficacy against external genital lesions associated with HPV types 6, 11, 16, or 18 was 90.4% (95% CI, 69.2 to 98.1) (Table 2 and Fig. 1). Efficacy did not vary according to base-



**A EGL Related to HPV Types 6, 11, 16, or 18 in the Intention-to-Treat Population****B EGL Related to Any HPV Type in the Intention-to-Treat Population****C EGL Related to HPV Types 6, 11, 16, or 18 in the Per-Protocol Population****Figure 1. Analysis of the Time to Appearance of External Genital Lesions in the Intention-to-Treat and Per-Protocol Populations.**

Panel A shows the incidence of external genital lesions (EGLs) associated with HPV types 6, 11, 16, and 18 in the intention-to-treat population, Panel B the relation of EGLs to any HPV type in the intention-to-treat population, and Panel C the incidence of EGLs associated with HPV-6, 11, 16, and 18 in the per-protocol population.

line characteristics. Efficacy against external genital lesions was 92.4% among heterosexual subjects and 79.0% among subjects who had sex with male partners, reaching statistical significance only among heterosexual subjects. The majority of such lesions were related to HPV types 6 and 11, with an observed vaccine efficacy of 84.3% (95% CI, 46.5 to 97.0) and 90.9% (95% CI, 37.7 to 99.8), respectively. No lesions associated with HPV-16 or HPV-18 were observed in the vaccine group, whereas two lesions associated with HPV-16 and one associated with HPV-18 were observed in the placebo group. The majority of external genital lesions observed were condylomata acuminata, and the observed vaccine efficacy against this lesion type was 89.4% (95% CI, 65.5 to 97.9). No cases of PIN grade 1 or PIN grades 2 or 3 were observed in the vaccine group, but three cases of PIN grade 1 or worse were observed in the placebo group. High vaccine efficacy against external genital lesions was also observed in the group that was negative for 14 HPV-DNA types and seronegative for all vaccine types (75.5%; 95% CI, 54.3 to 87.7) (Table S3A and Fig. S2 in the Supplementary Appendix).

In the intention-to-treat population, the quadrivalent HPV vaccine significantly reduced the collective incidence of persistent infection with the four HPV-vaccine types, with an observed efficacy of 47.8% (95% CI, 36.0 to 57.6) (Table 3). The vaccine also reduced the incidence of persistent infection with each individual HPV-vaccine type, with efficacy ranging from 44.7% for HPV-6 (95% CI, 24.1 to 60.1) to 59.4% for HPV-11 (95% CI, 25.7 to 78.8). The quadrivalent HPV vaccine also significantly reduced DNA detection of individual HPV types at any time in the intention-to-treat population, with an efficacy range of 28.0% (95% CI, 12.9 to 40.7) for HPV 16 to 43.2% (95% CI, 18.7 to 60.7) for HPV 11.

In the per-protocol population, an overall reduction of 85.6% (97.5% CI, 73.4 to 92.9) in per-

**Table 2. Efficacy of Quadrivalent Vaccine Efficacy against External Genital Lesions in the Per-Protocol Population.\***

Variable	Quadrivalent HPV Vaccine				Placebo			Observed Efficacy	
	No. of Subjects	Cases of EGL	Person-Yr at Risk	Rate <i>no./100 person-yr at risk</i>	No. of Subjects	Cases of EGL	Person-Yr at Risk	Rate <i>no./100 person-yr at risk</i>	P Value†
<b>HPV type</b>									
Any type‡	1275	6	3172.9	0.20	1270	36	3081.1	1.20	83.8 (61.2 to 94.4)
Type 6, 11, 16, or 18§	1397	3	2830.9	0.11	1408	31	2812.2	1.10	90.4 (69.2 to 98.1)
Type 6	1245	3	2562.3	0.12	1244	19	2553.8	0.74	84.3 (46.5 to 97.0)
Type 11	1245	1	2563.7	0.04	1244	11	2552.6	0.43	90.9 (37.7 to 99.8)
Type 16	1295	0	2644.0	0.00	1271	2	2586.2	0.08	100 (–420.8 to 100)
Type 18	1335	0	2723.3	0.00	1354	1	2726.6	0.04	100 (–3804.6 to 100)
<b>Sexual orientation</b>									
Heterosexual males	1200	2	2594.1	0.08	1198	26	2563.3	1.01	92.4 (69.6 to 99.1)
Males who had sex with male partners	197	1	236.8	0.42	210	5	248.9	2.01	79.0 (–87.9 to 99.6)
<b>Lesion type</b>									
Condyloma acuminatum	1397	3	2830.9	0.11	1408	28	2813.9	1.00	89.4 (65.5 to 97.9)
All PIN lesions	1397	0	2833.3	0.00	1408	3	2824.5	0.11	100 (–141.2 to 100)
PIN grade 1	1397	0	2833.3	0.00	1408	2	2826.0	0.07	100 (–431.1 to 100)
PIN grade 2 or 3	1397	0	2833.3	0.00	1408	1	2824.7	0.04	100 (–3788.2 to 100)
Penile, perianal, or perineal cancer	1397	0	2833.3	0.00	1408	0	2826.2	0.00	—

\* Data are shown for subjects who had at least one follow-up visit after month 7. EGL denotes external genital lesions with a diagnosis of condyloma acuminatum; HPV, human papillomavirus; and PIN, penile, perianal, or perineal intraepithelial neoplasia.

† A P value of less than 0.025 (one-sided) corresponds to a lower bound of the confidence interval for vaccine efficacy of more than 20% and supports the conclusion that the vaccine is efficacious against the given end point.

‡ For analysis of vaccine efficacy against any tested HPV type, subjects had negative results for DNA from HPV types 6, 11, 16, 18, 31, 33, 35, 39, 41, 51, 52, 56, 58, and 59 at enrollment and were seronegative to HPV types 6, 11, 16, and 18 at enrollment. Data are shown for subjects who had at least one follow-up visit after day 1. Analyses were conducted in accordance with the protocol.

§ Subjects were counted once in each applicable category. A subject may have been included in more than one category.

**Table 3. Efficacy against Persistent Infection with HPV Type 6, 11, 16, or 18 and against Detection of HPV DNA in the Intention-to-Treat Population.\***

Variable	Quadrivalent HPV Vaccine (N = 1817)				Placebo (N = 1815)				Observed Efficacy
	No. of Subjects	Cases	Person-Yr at Risk	Rate <i>no./100 person-yr at risk</i>	No. of Subjects	Cases	Person-Yr at Risk	Rate <i>no./100 person-yr at risk</i>	% (95% CI)
Persistent infection†									
HPV type									
Type 6, 11, 16, or 18	1817	148	4094.3	3.61	1815	273	3942.6	6.92	47.8 (36.0 to 57.6)
Type 6	1817	63	4213.8	1.50	1815	112	4139.4	2.71	44.7 (24.1 to 60.1)
Type 11	1817	16	4284.6	0.37	1815	39	4238.7	0.92	59.4 (25.7 to 78.8)
Type 16	1817	71	4199.5	1.69	1815	131	4112.7	3.19	46.9 (28.6 to 60.8)
Type 18	1817	25	4267.0	0.59	1815	56	4210.1	1.33	56.0 (28.2 to 73.7)
Sexual orientation									
Heterosexual males	1542	96	3723.7	2.58	1541	187	3596.8	5.20	50.4 (36.2 to 61.6)
Males who had sex with male partners	275	52	370.6	14.03	274	86	345.8	24.87	43.6 (19.5 to 60.8)
DNA detection									
HPV type									
Type 6, 11, 16, or 18	1817	384	3851.1	9.97	1815	511	3736.5	13.68	27.1 (16.6 to 36.3)
Type 6	1817	158	4123.4	3.83	1815	239	4047.5	5.90	35.1 (20.3 to 47.3)
Type 11	1817	50	4254.0	1.18	1815	87	4202.6	2.07	43.2 (18.7 to 60.7)
Type 16	1817	189	4070.9	4.64	1815	259	4014.2	6.45	28.0 (12.9 to 40.7)
Type 18	1817	89	4205.4	2.12	1815	133	4151.5	3.20	33.9 (13.0 to 50.1)
Sexual orientation									
Heterosexual males	1542	268	3516.2	7.62	1541	379	3416.8	11.09	31.3 (19.4 to 41.5)
Males who had sex with male partners	275	116	334.9	34.64	274	132	319.7	41.29	16.1 (-8.5 to 35.2)

\* Data are shown for subjects who had at least one follow-up visit after day 1. HPV denotes human papillomavirus.

† Persistent infection was defined as detection of the same HPV type (6, 11, 16, or 18) in anogenital swab or biopsy specimens collected on two or more consecutive visits, with an interval of at least 6 months ( $\pm 1$  month) between the visits. Subjects in whom DNA for HPV type 6, 11, 16, or 18 was detected at one or more visits were counted as cases for the DNA detection end point.



sistent infection with HPV-6, 11, 16, or 18 was observed. The observed efficacy of the vaccine against persistent infection with specific HPV types ranged from 78.7% for HPV-16 (95% CI, 55.5 to 90.9) to 96.0% for HPV-18 (95% CI, 75.6 to 99.9). The vaccine was also efficacious in reducing detection of DNA at any time for all four HPV types (efficacy, 44.7%; 95% CI, 31.5 to 55.6). Reductions in DNA detection of individual HPV types were significant, with a range in efficacy of 41.1% for HPV 16 (95% CI, 18.5 to 57.7) to 62.1% for HPV 18 (95% CI, 39.2 to 77.1). (Vaccine efficacy against persistent HPV infection and detection of HPV DNA in the per-protocol population is shown in Table S4 in the Supplementary Appendix.) In the population of subjects who were initially seronegative and DNA-negative for all tested HPV types, efficacy against persistent infection with HPV-6, 11, 16, or 18 was 68.3% (95% CI, 57.1 to 76.9), and efficacy against DNA detection was 34.2% (95% CI, 22.7 to 44.2) (Table S3B in the Supplementary Appendix).

#### ADVERSE EVENTS

Table 4 shows the distribution of clinical adverse events reported during the study period. Approximately 69% of the subjects in the vaccine group and 64% of those in the placebo group reported one or more adverse events. The majority of these events were related to the injection and were more common in the vaccine group than in the placebo group. An increase in oral temperature to 37.8°C or more was reported for 6.0% of subjects receiving the vaccine and 5.8% of subjects receiving placebo on the day of vaccination or up to 5 days after vaccination ( $P=0.82$ ). Significantly more subjects in the vaccine group than in the placebo group reported injection-site pain (Table S5 in the Supplementary Appendix). Few subjects (1.3% in the vaccine group and 1.0% in the placebo group) reported that this pain was "severe." (Mild pain was defined as being aware of a sign or symptom that was easily tolerated, moderate pain as causing enough discomfort to interfere with daily activities, and severe pain as causing incapacitation.) Approximately 14% of both vaccine (14.1%) and placebo (14.6%) recipients reported vaccine-related systemic adverse events; no serious adverse events related to vaccination were reported. (See Table 4 for a list of serious adverse events and Table S5 in the Supplementary Appendix for details of systemic adverse events and events related to the injection site.)

#### DISCUSSION

This study shows that prophylactic administration of quadrivalent HPV vaccine is efficacious in preventing the development of external genital lesions associated with infection with HPV-6, 11, 16, or 18 in boys and men 16 to 26 years of age. In the intention-to-treat population, efficacy against lesions related to HPV-6, 11, 16, or 18 was 65.5% (95% CI, 45.8 to 78.6), and efficacy in preventing the development of any external genital lesion, regardless of HPV type, was 60.2% (95% CI, 40.8 to 73.8). In the per-protocol population, the vaccine reduced the incidence of external genital lesions related to HPV-6, 11, 16, or 18 by 90.4% (95% CI, 69.2 to 98.1). Efficacy against condylomata acuminata in the per-protocol population was 89.4% (95% CI, 65.5 to 97.9). In addition, the quadrivalent HPV vaccine was efficacious against persistent infection with HPV-6, 11, 16, or 18 and against detection of DNA for these HPV types. Three cases of vaccine-related external genital lesions were observed among vaccine recipients in the per-protocol population. These cases may represent true vaccine failures, false negative results of HPV DNA or antibody-detection tests at baseline, or failure to identify these lesions at baseline, resulting in misclassification of subjects who were not truly members of the per-protocol population.

The proportion of subjects who reported one or more serious adverse events or who discontinued vaccination because of an adverse event was similar in the two study groups. As compared with rates of adverse events in studies involving girls and women,<sup>8,9</sup> the rates in this study of boys and men were lower, particularly for systemic events and events related to the injection site. The lower rates among boys and men may be due to greater muscle mass at the injection site, a reluctance to report events perceived as minor, or both.

Our findings point to the efficacy of the quadrivalent HPV vaccine in preventing HPV infection and related diseases in men. Condylomata acuminata, the most common HPV-related lesion, is associated with substantial physical and psychological morbidity<sup>14</sup> and has a high rate of treatment failure, and treatment of recurrent episodes is costly.<sup>15</sup> The results of this trial suggest that prophylactic vaccination of boys and men with quadrivalent HPV vaccine may reduce the incidence of condylomata acuminata, as observed within 3 years after the introduction of a vaccination program in Australia.<sup>16</sup> Although it is likely

**Table 4. Summary of Adverse Events.\***

Adverse Event	Quadrivalent HPV Vaccine no. (%)	Placebo	Difference in Risk (95% CI) percentage points	P Value†
No. of subjects	2020	2029		
No. of subjects with follow-up data	1945	1950		
<b>Subjects with events during entire study period</b>				
No event	599 (30.8)	698 (35.8)		
One or more events	1346 (69.2)	1252 (64.2)	5.0 (2.0 to 8.0)	<0.001
Injection site	1169 (60.1)	1047 (53.7)	6.4 (3.3 to 9.5)	<0.001
Systemic	616 (31.7)	622 (31.9)	-0.2 (-3.2 to 2.7)	0.88
Vaccine-related events‡	1242 (63.9)	1134 (58.2)	5.7 (2.6 to 8.8)	<0.001
Injection site	1169 (60.1)	1046 (53.6)	6.5 (3.3 to 9.6)	<0.001
Systemic	274 (14.1)	284 (14.6)	-0.5 (-2.7 to 1.7)	0.67
Serious events§	8 (0.4)	11 (0.6)	-0.2 (-0.7 to 0.3)	0.49
Serious vaccine-related events‡§	0	0	0.0 (-0.2 to 0.2)	1.00
Death	3 (0.2)	10 (0.5)	-0.4 (-0.8 to 0.01)	0.052
<b>Subjects with adverse events in first 15 days after injection</b>				
No event	600 (30.8)	706 (36.2)		
One or more events	1345 (69.2)	1244 (63.8)	5.4 (2.4 to 8.3)	<0.001
Injection site	1169 (60.1)	1047 (53.7)	6.4 (3.3 to 9.5)	<0.001
Systemic	615 (31.6)	613 (31.4)	0.2 (-2.7 to 3.1)	0.90
Vaccine-related events‡	1242 (63.9)	1134 (58.2)	5.7 (2.6 to 8.8)	<0.001
Injection site	1169 (60.1)	1046 (53.6)	6.5 (3.3 to 9.6)	<0.001
Systemic	274 (14.1)	284 (14.6)	-0.5 (-2.7 to 1.7)	0.67
Serious events§	5 (0.3)	1 (0.1)	0.2 (-0.1 to 0.6)	0.10
Serious vaccine-related events‡§	0	0	0 (-0.2 to 0.2)	1.00
Death	0	0	0 (-0.2 to 0.2)	1.00

\* Percentages were calculated on the basis of the number of subjects with follow-up data. HPV denotes human papillomavirus.

† P values have not been adjusted for multiple comparisons.

‡ Vaccine-related events were those determined by the investigator to be possibly, probably, or definitely related to the vaccine.

§ Serious adverse events in the vaccine group included appendicitis, cellulitis, noncardiac chest pain, hypersensitivity (peanut allergy), chickenpox-related seizure, traffic accident (there were two such accidents, both resulting in death), and gunshot wound (resulting in death). Serious adverse events in the placebo group included contusion related to traffic accident and the following fatal events: gunshot wound (in 3 subjects), drug overdose (2), suicide (2), traffic accident (1), chemical poisoning (1), and myocardial ischemia (1). Three additional subjects were considered to have serious adverse events because they received more than 3 doses of vaccine or placebo; none of these subjects had adverse events after any of the injections they received.

that the prevention of HPV infection will help prevent anogenital cancer, intraepithelial neoplasia, recurrent respiratory papillomatosis, and cancer of the oropharynx and HPV transmission, each of these potential outcomes must be directly demonstrated.

The strengths of the current study include the rigorous design of the trial and the inclusion of subjects from several countries, as well as both heterosexual subjects and subjects who had sex with male partners, resulting in a diverse study population. HPV detection was standardized, and

sampling was conducted across a broad area of the genital tract, with tests for HPV DNA performed separately at each anatomical location. The limitations of the trial include the narrow age range of the subjects and the relatively short follow-up period. Subjects enrolled in this trial had no more than five lifetime sexual partners, which may have resulted in overrepresentation of subjects with a low likelihood of HPV exposure at baseline and a low likelihood of subsequent exposure, as compared with the general population. Although the point efficacy estimates for the boys and men in this study are numerically lower than those for girls and women in previous studies, the confidence intervals overlap, suggesting that vaccine efficacy may be similar for the two sexes.

Supported by Merck and by grants (M01-RR-00079 and UL1 RR024131, to Dr. Palefsky) from the National Center for Research

Resources and by a grant (RO1 CA098803, to Dr. Giuliano) from the National Institutes of Health.

Drs. Giuliano, Ferris, Moreira, Penny, and Palefsky report receiving grant support from Merck, either personally or through their institution; Dr. Penny reports receiving grant support from GlaxoSmithKline; Dr. Goldstone reports receiving grant support from Qiagen; Drs. Giuliano, Ferris, Moreira, Hillman, and Chang report receiving speaking fees or fees for board membership from Merck; Dr. Moi reports that his institution has received funding from Merck; Dr. Penny reports having stock or stock options in AstraZeneca; Dr. Palefsky reports receiving consulting fees from GlaxoSmithKline; Drs. Giuliano, Palefsky, Goldstone, Moreira, Moi, and Chang report receiving travel reimbursement from Merck; Dr. Bryan reports having an approved, filed, or pending patent related to subject matter discussed in this article; and Dr. Bryan, Dr. Marshall, Dr. Vuocolo, Dr. Barr, Dr. Haupt, Mr. Radley, and Dr. Guris are employees of Merck and own Merck stock or stock options. No other potential conflict of interest relevant to this article was reported.

Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

We thank all the study participants and all the investigators who enrolled subjects in the study.

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