

# Analysis of knowledge requirements for speech and text alignment problem

Bartosz Kalińczuk

September 18, 2013

## **Abstract**

The purpose of this final master degree project was to experiment with various algorithms for speech and text alignment either with granularity of sentences, single words or even single phonemes. The output of this study was expected to find out how little data is necessary to compute a proper alignment. This project focuses mainly on Polish language, however it can be quite easily generalized for different languages. It also focuses solely on a audio with quite low level of noise, since noisy environment introduces a lot of problems, and is out of the scope of this project.

# Contents

<b>1</b>	<b>Introduction</b>	<b>5</b>
<b>2</b>	<b>Speech signal</b>	<b>6</b>
2.1	Human factor . . . . .	6
2.2	Mel scale . . . . .	7
2.3	Frequency spectrum . . . . .	8
2.4	Cepstrum . . . . .	11
2.5	Sphinx frontend . . . . .	13
<b>3</b>	<b>Speech Modelling</b>	<b>17</b>
3.1	Phones, phonemes and graphemes . . . . .	17
3.2	Audio distances . . . . .	19
3.3	Experiments . . . . .	20
3.4	Gaussian Model . . . . .	21
3.5	Expected-Maximization algorithm . . . . .	22
3.6	Hidden Markov Model . . . . .	24
3.7	Baum Welch algorithm . . . . .	25
<b>4</b>	<b>Simple pause and length based alignment</b>	<b>27</b>
4.1	Speech Detection . . . . .	27
4.2	Text split . . . . .	30
4.3	Estimating time . . . . .	31
4.4	Alignment . . . . .	32
4.5	Results . . . . .	33
4.6	Conclusions . . . . .	36
<b>5</b>	<b>Audio based alignment</b>	<b>37</b>
5.1	Speech recognition . . . . .	37
5.2	Differences between english, russian and polish phonetics . . . . .	39
5.3	Grapheme to phoneme conversion grammar . . . . .	42
5.4	Audio model alignment and results. . . . .	45
<b>6</b>	<b>Phoneme alignment</b>	<b>50</b>
6.1	Using foreign audio model . . . . .	50
6.2	Training phoneme distribution using word alignment . . . . .	52
6.3	Results . . . . .	53
<b>7</b>	<b>Training audio from large audio files</b>	<b>57</b>
7.1	Using imperfect large chunk alignment as a training database . . . . .	57
7.2	Word alignment based on imperfect audio model . . . . .	58
7.3	Results . . . . .	60
7.4	Conclusions . . . . .	62

<b>8</b>	<b>Testing alignment with synthesizer</b>	<b>63</b>
8.1	Testing application of a synthesizer . . . . .	63
8.2	Synthesizing speech with phoneme alignment . . . . .	64
8.3	Results and conclusions . . . . .	69
<b>9</b>	<b>Summary and conclusions</b>	<b>73</b>
<b>10</b>	<b>Bibliography</b>	<b>75</b>
	<b>Appendices</b>	<b>77</b>
<b>A</b>	<b>Calculating parameters for single variable normal distribution</b>	<b>77</b>

# 1 Introduction

The purpose of this final master degree project was to experiment with various algorithms for speech and text alignment either with granularity of sentences, single words or even single phonemes. The output of this study was expected to find out how little data is necessary to compute a proper alignment. This project focuses mainly on Polish language, however it can be quite easily generalized for different languages. It also focuses solely on a audio with quite low level of noise, since noisy environment introduces a lot of problems, and is out of the scope of this project.

In two first chapters I would like to present a theory behind speech signal processing and speech recognition, that is well known and understood, and is mentioned in many different papers regarding speech related problems. I also introduced here a frontend part of Sphinx library, which implements a popular way of speech signal preprocessing, which I reuse in this project.

The chapter 4 is exploring a simple approach with minimal knowledge, that produces very crude results. The minimum knowledge here is enough reason to bring it up, but I also found some another application for this imperfect solution.

Next two chapters are exploring a possibility of using audio models from foreign languages to achieve alignment with satisfying accuracy. The keynote of this part of thesis is a study of similarities between languages and their phonetics. I present here not only my own algorithms, but also certain parts of sphinx library, which I used: including tool for alignment problem using preprocessed audio model and dictionary, and in other case state scorers from trained English and Russian models.

The chapter 7 combines conclusions and knowledge of previous chapters to study what can be done without any trained models and what knowledge is enough to align text for practical applications.

In the end I'm trying to show a small application of all presented solutions and show the results in more practical manner.

I try to approach this topic with a hackish scientific curiosity. The problem is presented, but I try to check what can be done if I redefine it in various configurations. I don't focus purely on results, because they are not as interesting as exploration of the area just to see what is there.

## 2 Speech signal

### 2.1 Human factor

Speech is a most efficient way for the humans to communicate. For generations this process was refined by evolution, so we can easily exchange messages even in noisy environment. For this purpose our vocal mechanisms must well cooperate with our hearing ability. There is a certain set of sounds we can produce and our ears evolved to hear them as well as possible.

What is sound? According to dictionary: “Vibrations transmitted through an elastic solid or liquid or gas, with frequencies in the approximate range of 20 to 20000 hertz, capable of being detected by human organs of hearing”. [1]

How do we hear? Human ear consist about 30000 hair-cells, which can convert mechanical wave of the sound into electromagnetic wave inside auditory nerves [2]. Each of these cells is excited by different frequency of mechanical wave of internal ear fluids, so it is no surprise, that people can hear only a certain range of frequencies, as stated in definition. These we expect to be finely tuned to the range of the sounds we can produce. Although it seems, that we can hear a bit more, but as we don't need that, it happens, that as we grow older, our hearing range is getting smaller, because our hearing cells fail sometimes, but mostly those responsible for high frequencies, which we don't use too often.

Humans can hear frequencies, that begins as low as 12Hz (under laboratory conditions) to 20kHz (for adults usually much lower). However speech range is a little bit smaller than that [3]:

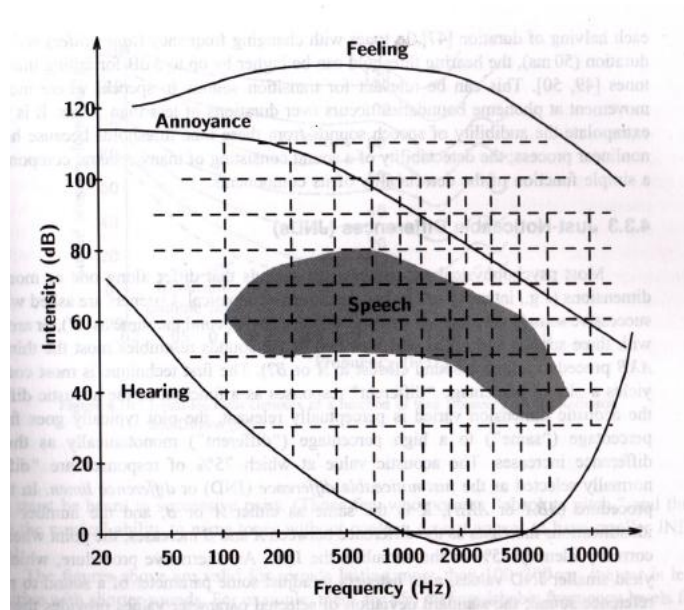


Figure 1: Speech range from [3] *Fundamentals of speech recognition*

## 2.2 Mel scale

How do we perceive sound, that is completely different matter and topic for long philosophical discussion. However we can help ourselves with some subjective experiments. For example Stevens, Volkman and Newman conducted an experiment on a number of listeners to measure, what do we perceive as equally distanced pitches. In this experiment, the participants of the experiment were asked to judge if given pitches were in equal distances. The output was, that humans don't experience sound linearly in respect to the frequency scale, but a perceptual scale is closer to a logarithmic one. [5]

Certain formulas were conceived to translate a frequency scale to one, that is closer to how human actually perceive sound.

One popular is a mel scale, where mel comes from melody: [6]

$$m = 2595 \log_{10}(1 + \frac{f}{700}) \quad (1)$$

where  $f$  is a frequency and  $m$  is a scaled melody frequency. This looks as in the plot:

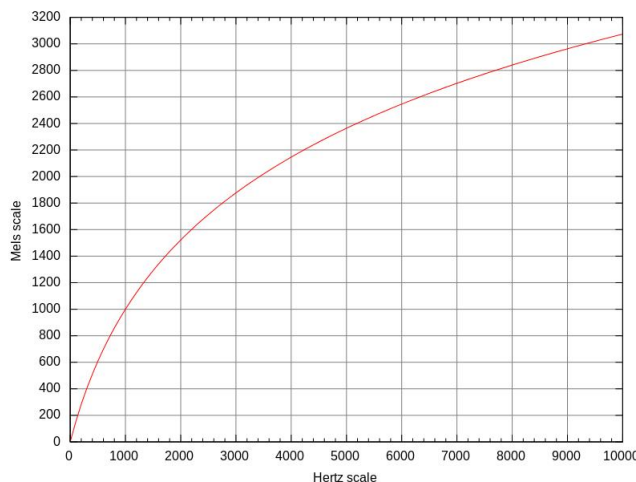


Figure 2: Mel scale from [http://en.wikipedia.org/wiki/Mel\\_scale](http://en.wikipedia.org/wiki/Mel_scale)

Another popular formula of so called bark scale, which is based on a perception of loudness of the sound and proposed by Eberhard Zwicker in 1961. [7]

$$Bark = 13 \operatorname{atan}(\frac{0.76f}{1000}) + 3.5 \operatorname{atan}(\frac{f^2}{7500^2}) \quad (2)$$

In this project we use a mel scale implemented in the sphinx library, although the bark scale is becoming more popular recently.

## 2.3 Frequency spectrum

The conclusion from the anatomy of human ear is, that frequencies of the sound are important. How can we obtain a frequency spectrum from a digitized sound, so we can proceed further?

The obvious tool for conversion of a discrete function to frequencies is Discrete Fourier Transform, named after Jean Baptiste Joseph Fourier it is one of the most often used techniques of modern times.

It all started a the postulate, that a heat equation can be satisfied by function of form: [11]

$$f(x) = \sum_{n=0}^N (A_n \cos(nx) + B_n \sin(nx)) \quad (3)$$

or in complex form:

$$f(\theta) = \sum_{n=-\infty}^{\infty} C_n e^{in\theta} \quad (4)$$

Basically we convert our function's domain to a frequency domain or to domain of sinusoidal functions.  $C_n$  coefficients are complex values that encode both amplitude and phase of the converted signal/function at each frequency.

The coefficients for any integrable functions over an interval  $[-\frac{T}{2}, \frac{T}{2}]$  can be obtain using formula: [11]

$$C_n = \int_{-\frac{T}{2}}^{\frac{T}{2}} f(x) e^{-2\pi i \frac{n}{T} x} dx \quad (5)$$

or for the discrete case:

$$C_k = \sum_{n=0}^{N-1} x_n e^{\frac{-2\pi i k n}{N}} \quad (6)$$

So far we haven't found any use in the speech recognition for a phase part of the coefficients, however the amplitude determines how powerful is a signal at given frequency. The power value is given by ( $X_k$  is a complex coefficient):

$$|X_k|/N = \sqrt{\Re(X_k)^2 + \Im(X_k)^2}/N \quad (7)$$



A sample conversion:

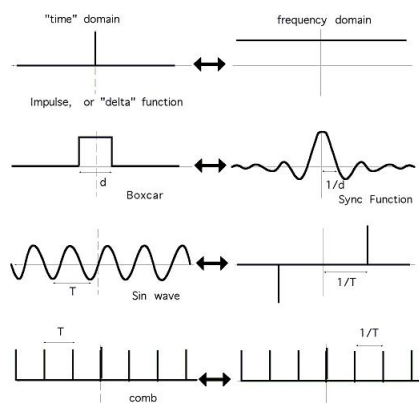


Figure 3: Example of Discrete Fourier Transform from [brokensymmetry.typepad.com](http://brokensymmetry.typepad.com)

What size of the window should we use? First we have to notice, that in order to capture certain frequency, the window needs to be large enough. We would like to examine signals of frequencies ranged from 100Hz (see speech frequencies ranges in chapter 2.1), which is a period of 100th of the second, so a 10millisecond window would be our bottom limit.

Windows with abrupt signal discontinuities may contain spectral artefacts, so a windowing function is usually applied. Popular choice is a Hamming window function: [8]

$$w_j = 0.54 - 0.46\cos\left(\frac{2\pi j}{W-1}\right) \quad (8)$$

where  $W$  is a window size (number of frames) and  $j$  is a frame number and result ( $w_j$ ) is a scaling weight. The function's plot is given below:

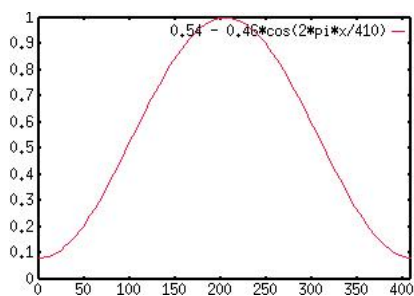


Figure 4: Hamming window example from [sphinx4 javadoc](http://sphinx4 javadoc)

Note that it emphasises values in the middle of the window, so our actual windows should overlap to cover whole time domain. For example by shifting a window by a percentage of it actual width:

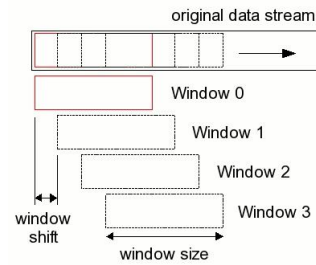


Figure 5: Window shifting example from sphinx4 javadoc

A plot of human speech signal in a frequency domain, which shows powers of signal at each frequency, and a plot in a time domain: [3]

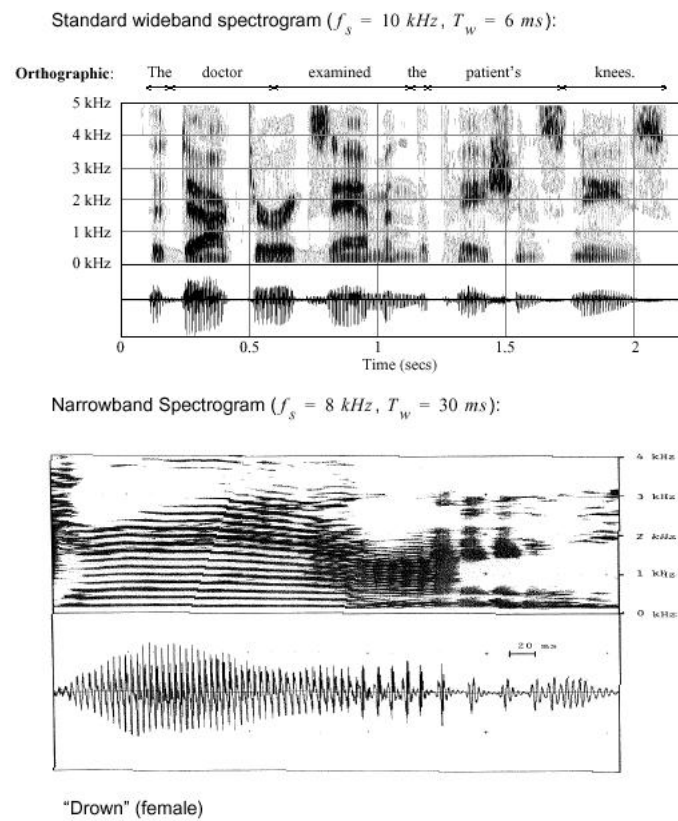


Figure 6: Example speech signal from [3] *Fundamentals of speech recognition*

## 2.4 Cepstrum

Looking at the frequency spectrum of human speech we see, that the signal in the frequency domain contain features that are quite periodic. As it is with converting initial signal with DFT, we would like to extract the information of periodicity in the spectrum. A cepstrum of the signal gives us this additional information.

The word is derived by reordering characters in the word spectrum to indicate switch of domains, similarly as word 'quefreny'. The cepstrum operates in the domain of time and the basic intuition is, that it reveals a rate of change in the different spectrum bands. For example a cepstrum of an echoed signal in the picture below shows clearly a three 'quefrenies' of the echo of the signal. [12]

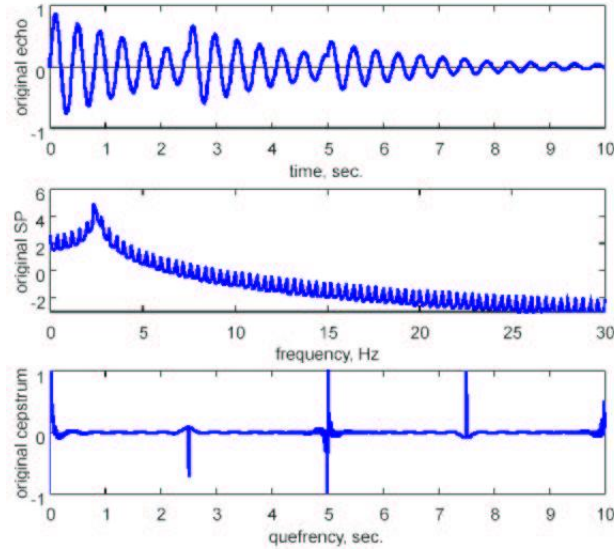


Figure 7: Cepstrum of signal with echo from [12] *The Quefreny Alanysis of Time Series for Echoes*

Cepstrum definition is: “Inverse Fourier transform of the logarithm of the magnitude of the Fourier transform” or:

$$C = |F^{-1} \log(|Ff(t)|^2)|^2 \quad (9)$$

or:

$$c_x[n] = \frac{1}{2\pi} \int_{-\pi}^{\pi} \log|X(e^{j\omega})| e^{j\omega n} d\omega \quad (10)$$

This is the definition of the power cepstrum, since it is calculated from the magnitude of each frequency band. However there also exists a complex, real and phase cepstrum depending on what part of initial Fourier transform it uses. In speech related problems a power cepstrum is usually used and I haven't see any reason to not focus only on this.



If the sound becomes periodic in the frequency domain it's quefrency domain contains a peak which is related to the periodicity of the sound.

Note that similar results can be obtained by taking just additional DFT of the signal. Inverse Fourier Transform is closely related to Fourier Transform and also performs a split of the function into periodic components.

After all IFT is defined:

$$f(x) = \int_{\mathbb{R}^n} e^{2i\pi x\zeta} \hat{f}(\zeta) d\zeta \quad (11)$$

while FT is defined:

$$\hat{f}(\zeta) = \int_{\mathbb{R}^n} f(x) e^{-2i\pi\zeta x} dx \quad (12)$$

Why taking logarithm of the magnitude? It serves as a normalization of the power spectrum. In speech for example it happens, that low frequency components are usually more powerful than high frequency components and by normalizing the signal, the periodicity becomes more apparent.

A bit different way of looking at the signal cepstrum is as a homomorphic transformation which changes a convolution into a sum. [3]

$$x(n) = e(n) * h(n) \quad (13)$$

$$\hat{x}(n) = \hat{e}(n) + \hat{h}(n) \quad (14)$$

Which on it's own can be seen as way of separating signals, since it is more easy to extract elements from a sum, than from a convolution.

In the example with an echo (fig.7) a cepstrum of signal have clear peaks, which indicate repetitions of initial signal. Conversion of the initial convolution of frequency spectrum to sum of signals in a cepstrum form, allows us to separate echoed signal from an original signal, and it might also be used to filter out an audio feedback.

Figure 8: This is a typical cepstrum sequence of the vowel computed every 10ms taken from [3] *Fundamentals of speech recognition*

## 2.5 Sphinx frontend

Sphinx is a speech recognition toolkit with a lot of useful functionalities for any speech related problem.

The main feature of Sphinx library is a speech recognition using input audio model and dictionary. However it is a modular and open source library, so it is easy to use any part inside different projects, like signal preprocessing (fronted part). In addition there are some example tools, that can be used separately, like for example audio model based aligner.

There is a certain common way to prepare a speech signal for the further processing. With slight variations in each step, the useful informations about speech are drawn from a cepstrum of the reduced signal (in the number of data dimensions), as presented in this chapter.

In order to skip the reinvention of the wheel, I used the fronted part of the sphinx library in any experiment in this project. The sphinx fronted performs signal transformation and produces data composed of only 39 voice features, while actually only 13 are base ones and the rest is a derivation of these, what is also quite common choice among speech related projects.

Sphinx frontend is a list of transformations executed on the result of the transformation placed higher in the list. In another words it is a transformations composition.

This Sphinx frontend pipeline includes:

- Data Blocker,
- Preemphasizer,
- Windower,
- Discrete Fourier Transform,
- Mel Frequency Filter Bank,
- Discrete Cosine Transform,
- Cepstral Mean Normalization,
- Deltas Feature Extractor.

I'll try to introduce them a bit closer to show, how speech signal can be transformed to a useful form of small feature vectors.

### 2.5.1 Data Blocker

This initial transformation reads incoming double data from audio source (file or microphone) and prepares blocks of the data to be used in later phases. In our case blocks contain 10ms of audio data.

### 2.5.2 Preemphasizer

The Preemphasizer applies a formula:  $Y[i] = X[i] - (X[i - 1] * preemphasizerFactor)$ . The purpose of this transformation is to emphasize the high frequency components. It is a kind of filter, which allows high frequency components to pass through, but weakens the low frequency ones.

### 2.5.3 Raised cosine windower

Creates windows from the incoming data. A windowing function

$$W(n) = (1 - \alpha) - \alpha \cos\left(\frac{2\pi n}{N-1}\right) \quad (15)$$

is applied afterwards. Alpha coefficient set to 0.46 results with a mentioned before Hamming windowing function, what is a default setting and the one used by me.

### 2.5.4 Discrete Fourier Transform

Sphinx performs this step using its own implementation of Fast Fourier Transform. The FFT can perform transformation with complexity  $\Omega(N \log(N))$ , where N is the size of the input data. It can be perform on a whole data, however, when dealing with speech, we would like to get an information of the frequencies of a small frame, that contains consistent speech signal, in particular a single phoneme. The output data is the power spectrum of the input data window and the complex/phase information is lost. The number of FFT points is the closest power of 2 equal or larger to the number of samples in the incoming window of data. However the input signal is real, so resulting FFT is symmetric, so only half of the data is returned and the output size is  $\frac{FFTpoints}{2} + 1$ .

### 2.5.5 Mel frequency filter bank

This step is a part of calculating a Mel Frequency Cepstrum.

Conversion of a frequency spectrum into a mel-spectrum using triangular overlapping windows defined as:

$$w(n) = 1 - \left| \frac{n - (N - 1)/2}{(N + 1)/2} \right| \quad (16)$$

The number of triangles/filters defines the size of the mel-spectrum and the sphinx's default is 40.

The filters are chosen, so the result would simulate a mel-scale given by the formula:

$$melFreq = 2595 \log(1 + linearFrequency/700) \quad (17)$$

The given filters should look like in the picture:

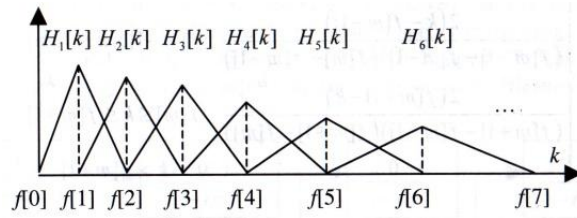


Figure 9: Melody filters from [3] *Fundamentals of speech recognition*

Not all frequencies are covered by the filters. The chosen range of frequencies may differ for various audio encodings, but generally should cover only the speech ranges. The default values for 16kHz sample rate streams are 130Hz-6800Hz and are not changed in this project.

### 2.5.6 Discrete Cosine Transform

Another part of calculating Mel Frequency Cepstral Coefficient vector (MFCC).

It applies a logarithm and the DCT type II to the input data.

A DCT type II (most common) coefficients are defined as:

$$C(u) = \alpha(u) \sum_{x=0}^{N-1} f(x) \cos\left[\frac{\pi(2x+1)u}{2N}\right] \quad (18)$$

and it is quite tightly related to real part of the Fourier Transform. [18] The transform represents a function as a sum of cosine functions and it is equivalent to the DFT operating on a real data with even symmetry.

The number of dimensions returned is set by default to 13, what is quite common choice. The first feature is closely related to energy of the speech signal (not completely, since IFT was performed only on magnitudes of frequencies coefficients).

### 2.5.7 Cepstral Mean Normalization

Performs a normalization of the MFCC vector by subtracting a mean of all the input. There are two versions of this step. One that calculates mean online and the other that reads all the data before performing subtraction.

### 2.5.8 Deltas feature extractor

The final transformation in the sphinx frontend chain. It calculates first and second order derivative of the cepstrum as additional features of the speech signal. It improves noticeably speech processing algorithms by adding additional information about changes in the cepstrum data.

For the initial cepstrum data it adds additionally twice the size vector with first and second order differences, calculated as shown in the picture:

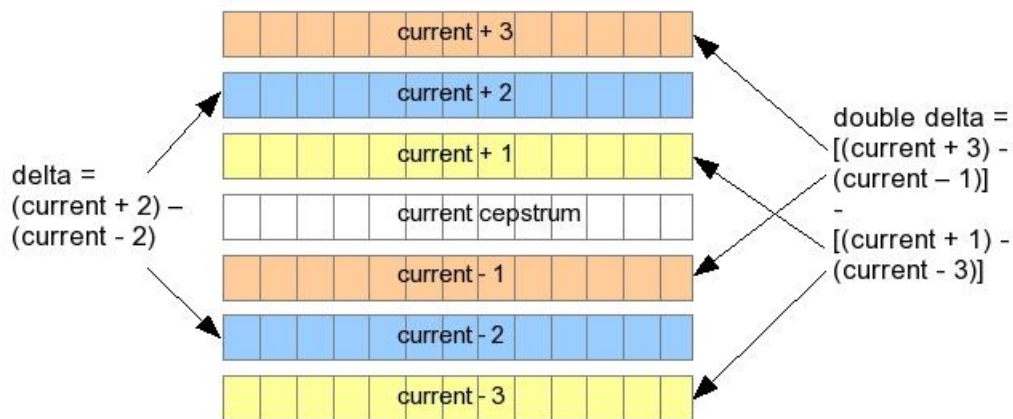


Figure 10: Deltas explanation from sphin4 javadoc



## 3 Speech Modelling

### 3.1 Phones, phonemes and graphemes

A phone is a unit of speech sound [20]. Phoneme's definition is: "The smallest contrastive linguistic unit, which may bring about a change of meaning" [21], so the phoneme is a classification unit of phones, which allow us to represent speech while preserving its meaning. While speech is being modelled using phonemes the graphical part of the language in a form of text is modelled with characters or graphemes.

Language grapheme set usually differs from its audio counterparts, although it does depend on, which language are we talking about. English seems to differ quite a lot, while Italian not so much, what seems to be related to adoption of Latin alphabet. Polish grapheme and phoneme sets are quite similar, although there are some differences. Usually the grapheme set contains more characters than needed to represent every word from a given language and at the same time it is much too small to represent all the nuances of human speech. What is more problematic, the word graphic representation sometimes has very little to do with actual phones of the word. I.e. there are so called homographs: words, that are written the same yet their pronunciation differs ("zamarzać" from "marznąć" and "morzyć") or homophones, that look differently, but are pronounced similar ("może", "morze"). In Polish though, the former is quite rare and this fact is actually used by me (chapter 5.3).

Actual phones that are classified under single phoneme create a diversified family. Different variants of a phoneme are called allophones. For example /l/ in English "leap" and "deal" or Polish examples of allophones (/l/ in "umysł" might be soundless contrary to "ławka") or vowels between soft consonants (/a/ in "jajko"). [22] Note, that if two phonemes never occur in similar context, than they may actually be treated as two allophones of the same phoneme. I.e. in Polish language there is no such a context, that both phonemes 'j' and 'ń' share. Consequently, even though they are quite different, they can be treated as one.

The phones can differ quite substantially depending on the surrounding. For example almost each phoneme in Polish changes to softer version when put next to /i/ or /j/, although sometimes the change is so substantial, that we no longer talk about allophone, but a completely separate phoneme ('ć'  $\iff$  'c', in contrast to vowels' changes). Notice, that the choice of extracting allophone to a completely new phoneme is all about checking, if leaving it as it is, won't create a situation, where we are unable to distinguish two words with different meaning (see phoneme definition). Additionally consecutive phones are not necessarily separated by clearly visible moment of silence. Often one phone is converting slowly into another. To model such transitions, each middle phoneme of all triphones (sequence of three phonemes) are modelled separately and stored with a context (neighborhood).

Phonemes are very important in the computational language modelling, either in speech recognition or alignment. The importance is derived directly from its definition. It is a unit of speech, which can't be switched to another without changing the meaning. This is the unit, that need to be modelled if we want to recognize and/or distinguish different words. Finer granularity of model is necessary only to make a better prediction where an observed phone belongs.

Some of English phonemes and example phones:

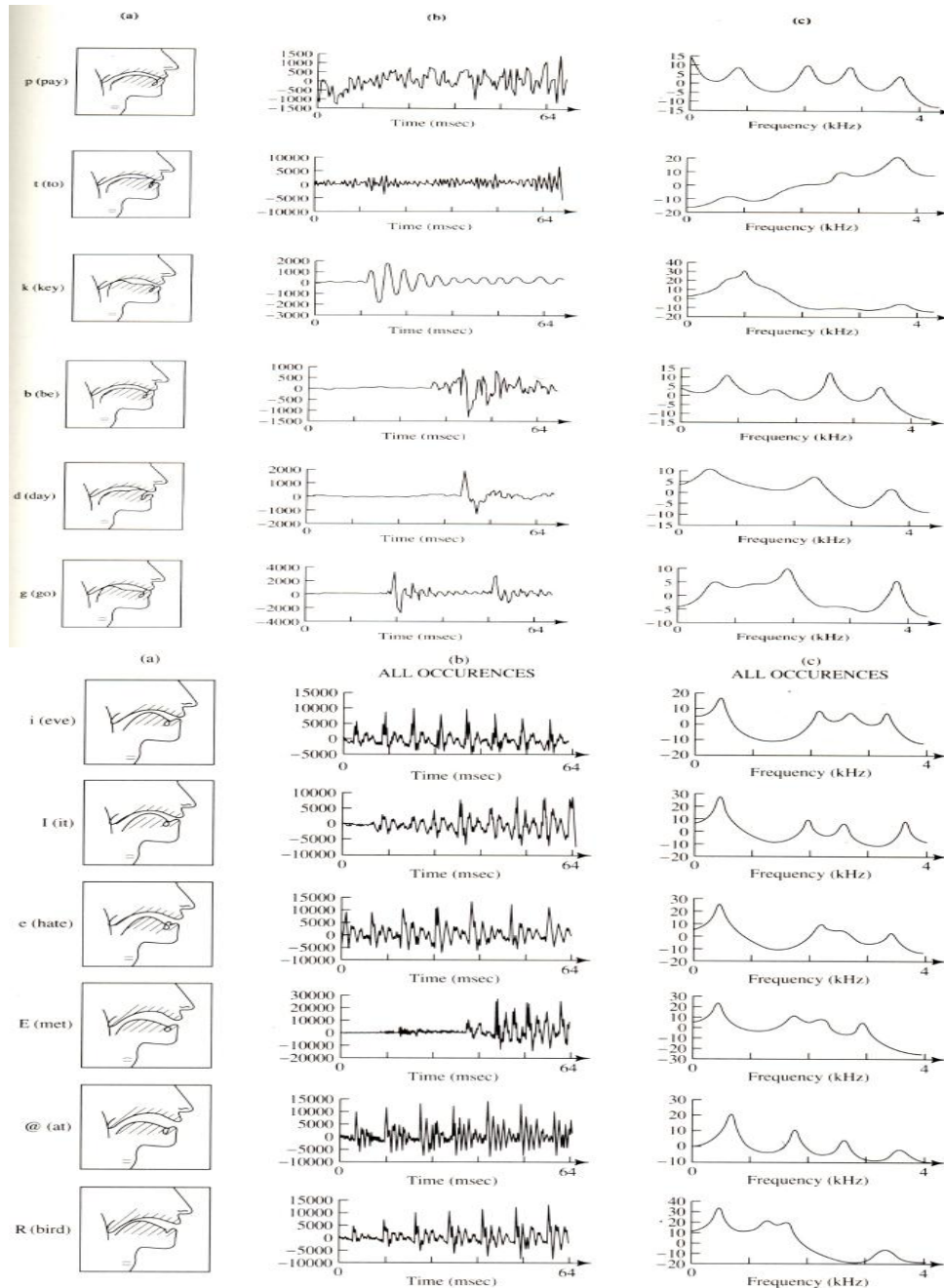


Figure 11: Example phones from [3] *Fundamentals of speech recognition*

### 3.2 Audio distances

The simplest way to find similar audio sequence is to find a sequence which is nearby to another, that we know represents a certain sound, phoneme or word. [16]

To calculate a distance we could use various norms:

$$||x||_1, ||x||_2, \dots, ||x||_\infty \quad (1)$$

, where

$$||x||_k = \left( \sum_{i=0}^N x_i^k \right)^{\frac{1}{k}} \quad (2)$$

and  $x$  is a signal frame or its feature vector.

All such norms are fine for uncorrelated vectors, which is really not the case with speech signal.

For correlated vectors, we could try to introduce some weighting factor inside.

What factor should we use?

One approach is to tune the factors using external methods, which theoretically may give us some additional benefit of properly modelling phonemes, that we try to measure distance from, however this is a bit out of the scope of this chapter and most probably would in the end look similar to a different method. A simpler approach would be to calculate correlations and use them in a distance measure. If we had a correlation matrix ( $P$ ), than our distance could be:

$$dist_{\text{using correlations}}(\vec{x}, \vec{p}) = (\vec{x} - \vec{p})^T P^{-1} (\vec{x} - \vec{p}) \quad (3)$$

Karl Pearson introduced such an idea [23] in form of correlation coefficient defined between two random populations:

$$\rho_{XY} = \frac{cov(X, Y)}{\sigma_X \sigma_Y} = \frac{E[(X - \mu_X)(Y - \mu_Y)]}{\sigma_X \sigma_Y} \quad (4)$$

where  $\sigma_X$  is a variation of random variable  $X$  and  $\mu_x$  is its mean value.

In matrix form:

$$P = (\Sigma^{diagonal})^{-1/2} \Sigma (\Sigma^{diagonal})^{-1/2} \quad (5)$$

where  $\Sigma$  is a covariance matrix and  $\Sigma^{diagonal}$  is a diagonal matrix created from  $\Sigma$ , so its non-zero entries are variances of random variables.

It should be noted, that in the denominator we have standard deviations which don't really bring any value to our measure, since this is a constant factor. By removing them we obtain so called Mahalanobis distance [16]:

$$distance_{Mahalanobis}(\vec{x}, \vec{p}) = (\vec{x} - \vec{p})^T \tilde{C}^{-1} (\vec{x} - \vec{p}) \quad (6)$$

If we knew elements belonging to any given phoneme, we could calculate a distance between this training sample and any encountered speech signal.

### 3.3 Experiments

I conducted couple of experiments with different distances. Starting with a flawed alignment of larger portions of a text I tried to:

- find the same word, that is quite lengthy and occurs multiple times just by searching for similar sequences,
- find a given sequence of three phonemes in a text, based on estimated location (time)

The idea behind these experiments, was to see if similarities in speech can be found based on similarities in text. In theory if we could match similar sequences, than maybe we could derive from the matching a phoneme set (with example phones), that could be used in further studies.

In both experiments different distance measures were used, to see how helpful they are at separating different phonemes.

In first experiment I chose some long word, that occurred more than once in the text. Then I'll estimated the times it should appear in the speech and then for quite large areas (which was more or less of  $O(\sqrt{\text{total time}})$  in size) around the estimated time, I searched for the most similar sequences either with a speech signal in form of feature vectors described earlier, or a vector of frequencies magnitudes.

This experiment was an utter failure, since it always found two familiar sequences, that were in no way familiar in a speech sense. However I also tried to see how it behaved with marked one occurrence of the word, and then it was actually able to find other occurrences, ... sometimes.

In the second experiment I picked first three letters of the text and a portion of detected speech, which suppose to be aligned together. I found all occurrences of the letters and then I proceeded in similar manner, as in first experiment. I estimated time they all should appear in the recording and then I have tried to find them within a certain neighborhood of the estimated times. It wasn't a success, but I was able to find around 50% of all occurrences of the three letter sequence from the beginning of the text and other found were quite similar (80% of the time they contained two out of three phonemes), although I was lucky, that in my testing recording, the starting three letter sequence occurrences in the text were quite far from each other, so I never searched twice the same area.

My conclusion is, that the Euclidean norm were performing the best, although Mahalanobis distance in those experiments were not expected to give any significant results, since there were conducted without knowledge of phone classification and it behaved without a surprise.

### 3.4 Gaussian Model

Given observation points belonging to a single class (a phoneme) with a given probability, we would like to model a distribution of emitting data point by the class.

A natural choice is a normal distribution, although we have to remember, that observation comes from a multidimensional universum, where populations are not independent. Luckily there is a definition of multivariate normal distribution, that considers correlations:

$$f_x(x_1, \dots, x_k) = \frac{1}{\sqrt{(2\pi)^k |\Sigma|}} \exp\left(-\frac{1}{2}(x - \mu)^T \Sigma^{-1} (x - \mu)\right) \quad (7)$$

where  $\Sigma$  is a covariance matrix and  $|\Sigma|$  is its determinant.

The problem with this vector arises, when  $\Sigma$  matrix is singular, which is not invertible nor it has determinant. This can happen quite often, when the observation data is too small.

Covariance matrix is always symmetric and positive-semidefinite. Symmetry comes directly from a definition:  $cov(X) = E[(X - E(X))(X - E(X))^T]$ , since outer product of a single vector gives always a symmetric matrix.

Positive-semidefinite matrix is a matrix, where for any product  $a^T A a$  with any non-zero complex vector  $a$  is real and non-negative:

$$a^T A a \geq 0 \quad (8)$$

A product with any vector  $a$  and a covariance matrix is also equal to:

$$a^T \Sigma a = a^T E(X X^T) a + a^T \mu \mu^T a = \frac{1}{N} \left( \sum_{i=1}^N a^T X X^T a \right) + a^T \mu \mu^T a \quad (9)$$

and each element of the sum is square of inner product of two vectors, so it is always positive (or equal to zero).

In order to prevent degenerate cases we can allow only positive-definite matrices. Any such a matrix is guaranteed to be invertible.

If the number of dimensions is equal to one, then the formula reduces to single-variable normal distribution:

$$f(x) = \frac{1}{\sqrt{(2\pi)\sigma}} e^{-\frac{(x-\mu)^2}{2\sigma^2}} \quad (10)$$

A normal distribution of emitting signal frame have two free parameters: a mean vector and a covariance matrix, which needs to be calculated. I assume, that an input is the list of observations with assigned probability.

If probability is actually a likelihood of emitting the signal (or its estimation), than we can calculate mean with a formula:

$$\vec{\mu} = \sum_{\vec{X}} Pr(\vec{X})\vec{X} \quad (11)$$

and a covariance matrix by:

$$\hat{C} = \sum_{vecX} Pr(\vec{X})(\vec{X} - \mu)(\vec{X} - \mu)^T \quad (12)$$

In the case, that probability is not a direct likelihood of given point, but a conditional probability of emitting the signal, (i.e. under the condition that it belongs to given sequence), the probabilities need to be normalized first.

We can assume, that conditional probability is the same for each observation, so the input probability is in the form of  $Pr(\vec{X})Pr_{condition}$ , then they have to be divided by a total sum to produce actual likelihood:

$$Pr(\vec{X}) = \frac{Pr_{input}(\vec{X})}{\sum_{\vec{X}} Pr_{input}(\vec{X})} = \frac{Pr(\vec{X})Pr_{condition}}{\sum_{\vec{X}} Pr(\vec{X})Pr_{condition}} = \frac{Pr(\vec{X})}{\sum_{\vec{X}} Pr(\vec{X})} \quad (13)$$

The denominator should sum to 1, after all it is a probability of emitting given point under a condition, that only  $|X|$  points were emitted.

### 3.5 Expected-Maximization algorithm

Expected-Maximization method is a technique for estimating parameters of any underlying distribution based on observed data [26]. It tries to maximize the likelihood, that the data would be observed by the distribution:

$$argmax_{\theta} Pr(X|\theta) \quad (14)$$

For certain distributions, parameters, that maximize likelihood of the data, can be solved with analytic methods, i.e. calculated mean vector and variance are parameters to normal distribution, that do maximize the likelihood of observing the training data (see appendix A). Similarly can be done for many other distributions, including multivariate normal distribution.

It is not always the case, that one can calculate parameters so easily, i.e. mixture models of several populations may not give up so easily. Let's consider a mixture of Gaussian models of some populations. We have a random population, where each point is randomly drawn from each distribution. So the total likelihood of any point is:  $\sum_{i=0}^N p_i f_i(x)$ , where  $p_i$  is a probability of drawing a point from  $i$ th distribution and  $f_i$  is a density function of  $i$ th model.

Since each model from a mixture is easily solvable, if we knew to which model each point belonged, than estimating parameters would be easy, or at least if we knew what is the probability, that given point was drawn from each given class (see chapter about Gaussian model).

On the other hand it would be simple to calculate a probability, that a point was drawn from some distribution if we knew all the parameters of all models.

The Expected-Maximization technique deals with this problem, by finding better parameters using their previous estimation, and thus by iterating over series of converging estimates it is guaranteed to find some local maximum.

$$Q(\Theta^i, \Theta^{i-1}) = E[\log Pr(\chi, \Upsilon|\Theta)|\chi, \Theta^{i-1}] \quad (15)$$

where  $\Upsilon$  is an unknown data, which can be estimated using  $\Theta^{i-1}$ , and when known, then  $\Theta^i$  can be found, by finding the parameters, which maximize log likelihood of observing random variables  $\chi$  and  $\Upsilon$ .

Thus the EM algorithm contains two steps in single iteration: expectation step and maximization step.

- During E step, we find  $\Upsilon$  data given previous estimate of  $\Theta$ .
- During M step, we calculate  $\Theta$ , that maximizes likelihood of observed data and expected hidden data.

At each iteration the likelihood  $Q(\Theta^i, \Theta^{i-1})$  converges to some local maximum.

We are happy with only local maximum, because the problem resists our efforts to be solved analytically.

For example a mixture model <sup>1</sup> can be trained using following steps:

- In E step we calculate a probability, that each training point is drawn from each elemental distribution of a mixture.
- In M step we use this probabilities to calculate a new parameters  $(\{(\mu_i, \sigma_i, p_i)\})$ , that maximize likelihood of our observed data, as well as an estimated probability of data classification.

---

<sup>1</sup>A sum of several distributions

### 3.6 Hidden Markov Model

We can describe an HMM by a triple:

$$\lambda = (A, B, \pi) \quad (16)$$

where  $A$  is a transition matrix  $A = \{a_{ij}\} = p(Q_t = j | Q_{t-1} = i)$ ,  
 $B$  is a probability function vector  $B = \{b_i\}$ , where each  $b_i$  is a function calculating likelihood that a given observation<sup>2</sup>  $Q_t$  is produced by state  $i$ ,  
and  $\pi$  is an initial state distribution  $\pi_i = P(Q_1 = i)$

For our purpose a  $B$  functions will be a Gaussian multivariate distribution of observations emitted by a state.

The most probable state sequence for given sequence of observations can be calculated using dynamic programming (i.e. Viterbi algorithm [30]).  
The algorithm iterates over the discrete time indexes  $t_1, \dots, t_n$ , where at each moment only one observation  $Q_{t_k}$  is emitted.

The  $k$ -th iteration produces a vector of probabilities  $P_k = [p_1, \dots, p_m]$  of the best state sequence ending at a state  $i$  at the moment  $t_k$ . For the initial moment the vector is equal to state initial probabilities  $\pi$ .

The  $P_{k+1}$  is calculated as follows:

$$P_{k+1,i} = \max(P_{k,j} a_{j,i} b_i(Q_{k+1})) \quad (17)$$

where  $a_{j,i}$  is a probability of transition from state  $j$  to state  $i$ ,  
and  $b_i(Q_{k+1})$  is probability, that state  $i$  emitted observation  $Q_{k+1}$ .

At the end a maximum probability from elements of  $P_n$  gives us a probability of observing the sequence with the maximum likelihood for given sequence of observations.

To find actual sequence of states, we can keep a state for which a maximum was produced at each moment  $t_k$  and state  $i$  and then recreate the maximum likelihood path.

---

<sup>2</sup>a visible point of data we would like to model, an output token of HMM



### 3.7 Baum Welch algorithm

To train Hidden Markov Models we have to use a generalized version of EM algorithm, namely a Baum-Welch algorithm.

In the training of HMM we have observed data  $X$  and we want to find parameters set  $\theta$ , which will maximize the probability of observing  $X$ , meaning:

$$\operatorname{argmax}_{\theta}(Pr(X|\theta)) \quad (18)$$

If we knew what was the sequence of states in the HMM, we would be able to calculate optimal value of  $\theta$  parameters. However we don't know, what the states of HMM were, hence hidden in the name. On the other hand if we knew  $\theta$ , then we could easily calculate sequence of states, which would maximize the probability of emitting input observations (i.e. using Viterbi algorithm).

EM technique is meant for such a situations.

In the EM spirit, for each iteration we will perform two steps, bringing us to some local maximum:

**expectation step** Given previous estimation of parameters  $\theta$ , we calculate the probabilities of being at any time  $t$  and at any state  $i$ :  $Pr(s_i, t)$

**maximization step** Given probabilities of being at any state  $i$ , we calculate next estimation of  $\theta$  parameters, which will maximize the likelihood of observing  $X$ :  $\operatorname{argmax}_{\theta}(Pr(X|\theta))$ .

How can we calculate  $\theta = \{A, B\}$  parameters?  
Where:

**A** = transition probabilities (probability of transition between any two states)

**B** = observation probabilities (probability of observing any data at any given time)

To calculate observation probabilities, we need:

$$\alpha_i(t) = Pr(\text{being after } \mathbf{t} \text{ steps at state } \mathbf{i}) \quad (19)$$

$$\beta_i(t) = Pr(\text{ending sequence} \mid \text{being after } \mathbf{t} \text{ steps at state } \mathbf{i}) \quad (20)$$

Both of these values can be calculated using dynamic programming. One is calculated by Viterbi's algorithm in forward passage, the other can be calculated in similar manner by backward passage.

Combining these values, we can obtain:

$$Pr(\text{being at time } \mathbf{t} \text{ at state } \mathbf{i}) = \frac{\alpha_i(t)\beta_i(t)}{\sum_{j=1}^N \alpha_j(t)\beta_j(t)} \quad (21)$$

and:

$$Pr(\text{transition between states } \mathbf{i} \text{ and } \mathbf{j} \text{ at time } \mathbf{t}) = \frac{\alpha_i(t)a_{ij}\beta_j(t+1)b_j(o_{t+1})}{\sum_{i=1}^N \sum_{j=1}^N \alpha_i(t)a_{ij}\beta_j(t+1)b_j(o_{t+1})} \quad (22)$$

Probability of transition from states  $i$  to state  $j$  at any given time is:

$$Pr(\text{transition } \mathbf{i} \mathbf{j}) = \frac{\sum_{t=1}^{T-1} Pr(\text{transition between states } \mathbf{i} \mathbf{j} \text{ at time } \mathbf{t})}{\sum_{t=1}^{T-1} Pr(\text{being at time } \mathbf{t} \text{ at state } \mathbf{i})} \quad (23)$$

The probability of being at time  $t$  at state  $i$  can be used to calculate new probabilities of emitting observation  $o_t$  at time  $t$  by a state  $i$ , since it is emitted by the state with a probability of being at this state at this time.

New parameters of multivariate normal distribution would be:

$$\vec{\mu} = \frac{1}{T} \sum_{t=1}^T Pr(\text{being at time } \mathbf{t} \text{ at state } \mathbf{i}) \vec{o}_t \quad (24)$$

$$\tilde{C} = \frac{1}{T} \sum_{t=1}^T Pr(\text{being at time } \mathbf{t} \text{ at state } \mathbf{i}) (\vec{o}_t - \vec{\mu}) \cdot (\vec{o}_t - \vec{\mu})^T \quad (25)$$

## 4 Simple pause and length based alignment

The basic idea behind this approach is to match sentences with continuous sequences of speech. Humans rarely make pauses inside a sub-sentence and rarely continue to another sentence without a pause.

One simple approach to the alignment problem, which utilizes this fact, is to match part of speech with a portion of a text, which would take a similar time to say.

### 4.1 Speech Detection

Before we can continue with an alignment, we need to detect pauses first or dually we need to detect speech parts.

On its own in various environments the problem is quite hard, however we don't want to consider situations where extracting speech from background is too difficult. It is true, that humans are quite proficient at extracting speech from quite challenging situations like recognizing words of the song, or distinguishing speakers in a crowd. However even humans are not perfect, and are often prone for errors. Recognizing song lyrics is not always an easy task, and it remains an open question how much you can attribute the difficulty of this to the background music, how much to changed modulation of singer voice and how much to overabundance of signal in melody scale. On the other hand, humans can hear voices in white noise, or in the sounds of nature. Sound hallucinations are the most common among all. It's an easy test, where you try to hear something, where it is not there, but after couple of minutes, you'll start to imagine things. People are sometimes overfit to hear speech.

We leave this problematic cases and focus only on situations where noise to signal ratio is low and we can utilize statistic method to detect speech. I chose to focus solely on audiobook recordings throughout this project and in this problem it so no different.

Our signal contains speech and silence parts and we assume an environment where speech is clearly louder than silence/noise. Obviously it may also contain non-speech parts which are similar to actual spoken words, like i.e. inhales or other sounds that talking people can make during speech intervals. At this point I don't care about them and leave dealing with them to other approaches.

This is preprocessing of the speech signal required by every single approach to either speech recognition or alignment.

Speech parts are loud and silence is well, silent, almost at least. The volume of the signal can be calculated in different way, i.e.:

- absolute value of the signal
- sum of powers of signal at each frequency

If we knew some threshold value of the volume, that splits a background noise from the speech, than algorithm of detecting the speech would be to find those parts that are consistently louder then the threshold. It may not be a perfect algorithm, but it is simple and, when there is not so much noise, quite efficient.

The problem is to find the threshold and how to deal with consistency of the signal, since a small peak can always happen in the background, and a speech is sometimes quite quiet.

One should choose wisely how to deal with it, since in theory it is possible to figure out even small pauses in the speech signal, like i.e. between syllables. I found out, that on one hand it is quite difficult to find these pauses and at the same time not to ignore endings of the sentences, which often are slowly fading to silence (because speaker lacks of breath). On another note an alignment using length estimations don't improve, when we detect too many pauses, because the algorithm works better when the chunks of signal are bigger, so there's a greater chance they align with punctuation marks in the text.

In the speech recognition systems detection algorithms have to process the incoming data in an online fashion. Sphinx library implements Bent-Schmidt-Nielsen algorithm, which calculates background noise level and current average signal online, meaning it is updated with each incoming frame.

When signal average level of processed window (see 2.5) is larger than a signal threshold (input constant) and a background average, than the window is classified as a speech.

$$Ave(signal) - Ave(background\ signal) > threshold \quad (1)$$

Whenever the signal was marked as part of speech signal, the background average is always updated (see Sphinx implementation for details).

I found this algorithm to be too volatile at the beginning of the recording and it stops too easily at the middle of the longer sentence. I really needed an offline algorithm, which could detect quite reliably longer pauses, which are better aligned with punctuation marks.

Firstly my algorithm worked with a spectrum of a given window. It processed the window of the same length, but a volume was calculated as a sum of magnitudes of all frequencies. On it's own it doesn't give me additional gain, but I also experimented with different transformations of frequency spectrum:

- weighting frequencies depending on distance from normal distribution, what in theory should favour these bands, that are responsible for speech signal,
- applying logarithm or square root, to check how different band contribute to speech signal, by normalizing the power of each frequency
- counting how many times a magnitude of frequency exceeds an average value of a background

Distance from a normal distribution showed me, that lower frequencies have more irregular histogram, which agrees with the consensus, that most important speech data is located at lower frequency bands.

I also found out, that by increasing magnitude differences in favour of lower frequencies gave me better results, then decreasing them, what also agrees with above.

After mingling with above ideas, my best working solution is to calculate average of the whole frequency spectrum (not only sum of magnitudes), without any transformation to initial values (except for sphinx's preemphasizer transformation). Next step is to calculate background averages from the frames considered background, which are all frames where each frequency power is below average, so the background frames set  $B$  is:

$$B = \{F \in S \mid \sum_{\sigma_i \in F} \text{sgn}(\max(0, \sigma_i - Ave_i)) = 0\} \quad (2)$$

where  $S$  is a set of all frames in audio stream, and frame  $F$  is a set of magnitudes of frequencies from the frame, and  $Ave$  is an average of all frames:  $Ave_i = \frac{1}{|S|} \sum_{F \in S} \sigma_{F,i}$ . Speech frames  $A$  are all remaining frames:  $A = S \setminus B$ .

That is not enough though, because there are often frames inside a speech, which are quite low on volume. These are pauses between phonemes, or some frames of quiet talking (at the end of sentence usually), which didn't not passed the above filter. The granularity is just too big for the purpose of alignment algorithm.

To deal with this granularity I marked as speech as well all the frames, which didn't pass through filter, but where surrounded by speech frames. The reason for that, is to remove all the short pauses, which probably meant nothing and might even be an actual speech. My choice was to mark as a speech all pauses that were shorter than 200ms.

Theoretically filling holes is similar to the algorithm, which calculates vector of volume averages of couple of neighbouring frames, and then use this average in the above formula instead. This introduces a certain inertia for pauses or speech parts, but at this point I need just a proper longer pause detection with abrupt endings as quickly as speech starts/ends. Although I must admit, that a certain inaccuracies are not so important for the paused based alignment, if only because the algorithm is quite inefficient and produces only approximated results.

## 4.2 Text split

Before we can continue with an alignment, we still would like to have text split to sentences or phrases, which are expected to be spoken continuously. It is necessary to have a certain knowledge about punctuation in a given language. Many modern languages use similar punctuation symbols for marking sentence or sub-sentences, but we still need to know a given language alphabet.

This part is very simple. We treat all the alphabet characters as a part of speech, while every other character as punctuation mark, which separates parts of the text, and which may have some correlation with a pauses in a recording. Resulting chunks are those, that contain only characters from alphabet and blanks.

Couple of details are to be dealt with. Not only alphanumeric characters make a word, i.e. a ‘‘ is also a character, that must be treated as part of speech character at this point, although in later phases it might be ignored.

Another is that, a sequence of white character might also be a separation. A title for example might not be separated by a dot mark, but only be a series of line breaks. Generally more than one line break is considered a pause indicator.

My algorithm accepts a raw text as an input and outputs a list of chunks, that contain only alphanumeric and single space characters.

### 4.3 Estimating time

The problem of matching chunks of text with extracted speech parts is a problem of matching duration time of speech recording and an estimated time of the chunks. Before we can continue, we need to estimate the time it takes to say words from each chunk.

Given:

$S = \{[s_i, e_i]\}$  – time intervals, where  $s_i$  is a time of beginning of i-th speech and  $e_i$  its ending time

$T = [[w_{1,1}, \dots], \dots, [w_{n,i}, \dots]]$  – set of chunks, where each chunk is a word list

Let's rephrase this problem of estimating time it takes to say  $[w_{i,1}, \dots, w_{i,l_i}]$ :

$$E(T_i) = \sum_{j=0}^{l_i} E(\text{time to say } w_{i,j}) \quad (3)$$

where  $l_i$  is number of words in i-th chunk.

My proposition is to estimate a time of single word with a formula:

$$E(\text{time to say } w) = \sum_{c \in w} 0.95\mu_{char} + 0.05\mu_{word} \quad (4)$$

where  $\mu_{char}$  is an average time it takes to say a single character:

$$\mu_{char} = \frac{\text{number of nonspace characters in text}}{\sum_i e_i - s_i} \quad (5)$$

and  $\mu_{word}$  is an average time it takes to say a single word:

$$\mu_{word} = \frac{\text{number of words in text}}{\sum_i e_i - s_i} \quad (6)$$

Although I'm not completely certain in what way my algorithm benefits from the second element, since I haven't run conclusive tests, by my intuition is, that it reduces variance of estimated values.

The proportions above I derived from purely empirical observations, but there were too many variables to be too attached to this exact coefficients.

This is a very crude estimation, where I don't use phoneme representation of the words. Using phonemes might improve estimation drastically, especially if we had some kind of idea of, how long it takes to say each phoneme on average, but here I'm trying to focus on simple method, that doesn't utilize apriori knowledge.

## 4.4 Alignment

The assignment problem tries to minimize the difference between speech time and estimated time of matched text chunk. If  $A$  is a set of  $N$  matched pairs of speech and text ( $A = \{((s_i, e_i), t_i)\}$  for  $i \in (0, \dots, N - 1)$ ) then I want to minimize:

$$\operatorname{argmin}_A \sum_{((s_i, e_i), t) \in A} ((e_i - s_i) - E(\text{time to say } t))^2 \quad (7)$$

This problem is easily solvable with the dynamic programming.

The algorithm iterates over speech parts.

At  $k$ -th iteration a vector  $R$  of partial results are kept. The  $i$ -th element of the vector contains a result of matching first  $k$  speech parts and first  $i$  sentences.

Initial values of the vector is matching of first speech part with first  $i$  text chunks:

$$R(i) = ((e_0 - s_0) - \sum_{k=0}^i E(\text{time it takes to say } t_i))^2 \quad (8)$$

where  $s_j$  and  $e_j$  is start and end time of  $j$ -th speech,  $e_0$  and  $s_0$  is ending and starting time of first detected speech, and  $t_i$  is  $i$ -th text chunk.

The  $k$ -th iteration calculates next values from the formula:

$$R(i) = \operatorname{argmax}_{d \in \langle 0, i-1 \rangle} [P(i-l) + [(e_k - s_k) - \sum_{j=i-l}^d E(\text{time to say } t_j)]^2] \quad (9)$$

Estimated time it takes to say a text chunks ( $e_k, \dots, e_{k+c}$ ) would be quite consuming to calculate at each iteration, but it can be precomputed.

Additionally a zero match, where speech can be matched with an empty text, was included as a way of adding a speech to a text chunk from previous iteration. Note however, that it won't produce a result, where everything is matched to everything, what would have been a best possibly match with a score equal 0, since a time it takes to say whole text is a total time of all speech parts (total time was used to estimated all text parts).

However matching from each iteration contribute to the score separately, so the previous match adds a difference and skipped speech will also add its own difference (assuming it was  $k$ -th speech, than added score is equal to  $(e_k - s_k)^2$ ).

It does improve the algorithm though, because of some short speech leftovers, which actually are part of previously matched sentence.



At the end of the algorithm the  $i$ -th element in  $R$  vector is equal to the best cumulative score of matching  $i$  chunks and all speech parts. Obviously if there are  $n$  chunks, then the  $n$ -th element contains a score of best possible matching of all speeches and whole text.

To recreate the matching, one could iterate backwards over partial values, or as I did it, to keep additional vector which keeps track of chosen indexes ( $d$  from equation (9)) from all iterations. To produce the best matching, the algorithm traverses back through these indexes and for  $k$ -th speech it assigns all chunks between current and previous index. Empty assignment (index haven't changed) is considered to be merged with previous matching (time frame of previous label is updated with current speech).

## 4.5 Results

The efficiency of above method was tested versus a word alignment (obtained with method from section 5) in two ways:

- calculate statistics for time differences
  - for a given label  $((s_j, e_j), t_i)$ , where  $t_i$  is a sequence of words  $(w_1, \dots, w_k)$
  - for each word  $w \in t_i$  find (from the testing alignment) its start  $s_w$  and ending  $e_w$  times,
  - merge all found times to produce expected start and end times of the text chunk:
 
$$\text{expected start} = \min(s_w, \text{ for } w \in t_i), \quad (10)$$

$$\text{expected end} = \max(e_w, \text{ for } w \in t_i), \quad (11)$$

Note, that words are found sequentially, so the same words are different, if they occupy a different position in the text

- calculate statistics for word differences
  - for a given label  $((s_j, e_j), t_i)$ , where  $t_i$  is a sequence of words  $(w_1, \dots, w_k)$
  - find all labels  $((s'_a, e'_a), w_b)$ , from the testing alignment) where  $s'_a < e_j$  and  $s'_b > s_j$ ,
  - produce a text from found labels' words (in proper sequence)
  - count the biggest word difference between the texts by formula below:
 
$$\text{len}(\text{output chunk}) + \text{len}(\text{testing chunk}) - 2 \text{length}(\text{biggest subsequence}) \quad (12)$$

Note, that I pick all the words, that have assigned at least one common frame with a tested chunk, since I want to test here the alignment algorithm and not the speech detection algorithm.

The testing recording is “Doktor Piotr” by Stefan Żeromski, which is 80 minutes long and consists **1886** sentences (or subsentences).

The first statistics are calculated for a variation of algorithm, where the allowed size of holes (or pauses), in speech detection algorithm part, was set to **200ms**. This version produced **730** labels.

The statistics are:

- time differences:
  - there were **370** chunks which time frame were within a **0.5s** difference,
  - average time difference was **0.77s**
  - standard deviation was **0.84s**
  - maximum time difference was **11.21s**
- word differences:
  - **393** chunks had **0** difference in words
  - **136** chunks where different by **1** word (missing or additional)
  - **48** different by **2** words
  - **56** with a difference over **5** words

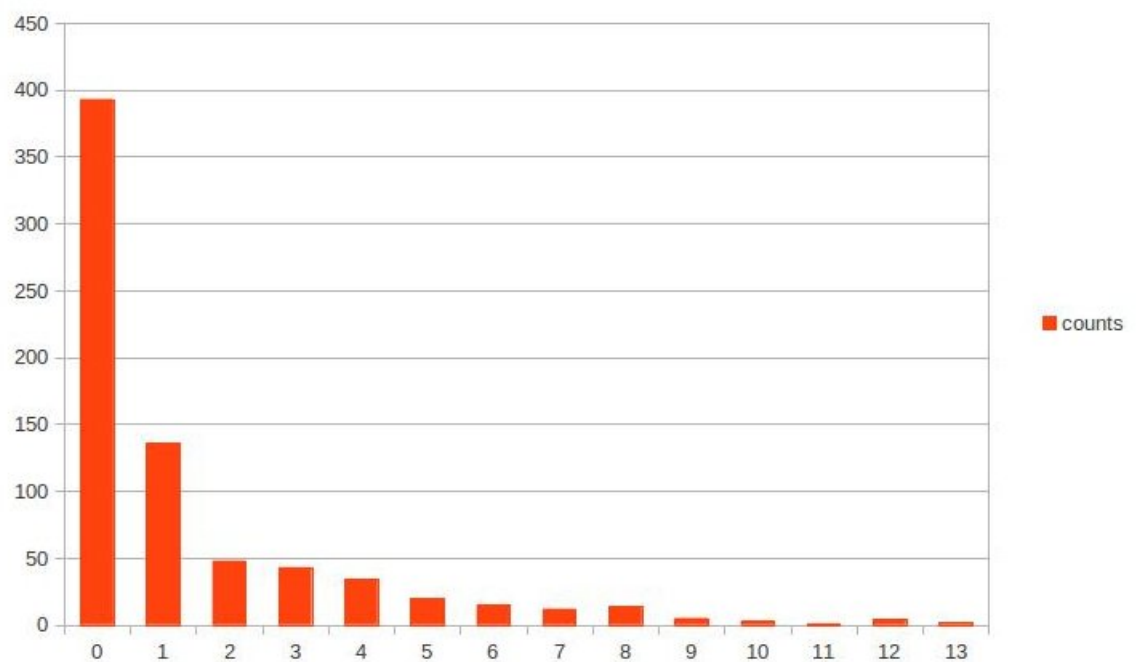


Figure 12: Word statistics from a test of pause based alignment algorithm, max pause 200ms

For **503** speech parts, produced by a version of the algorithm, where the allowed size of holes (or pauses) in speech detection algorithm part, was set to **300ms**:

- time differences:
  - there were **154** chunks which time frame were within a **0.5s** difference,
  - average time difference was **5.24s**
  - standard deviation was **5.23s**
  - maximum time difference was **37.9s**
- word differences:
  - **179** chunks had **0** difference in words
  - **54** chunks where different by **1** word (missing or additional)
  - **20** different by **2** words
  - **56** with a difference over **10** words

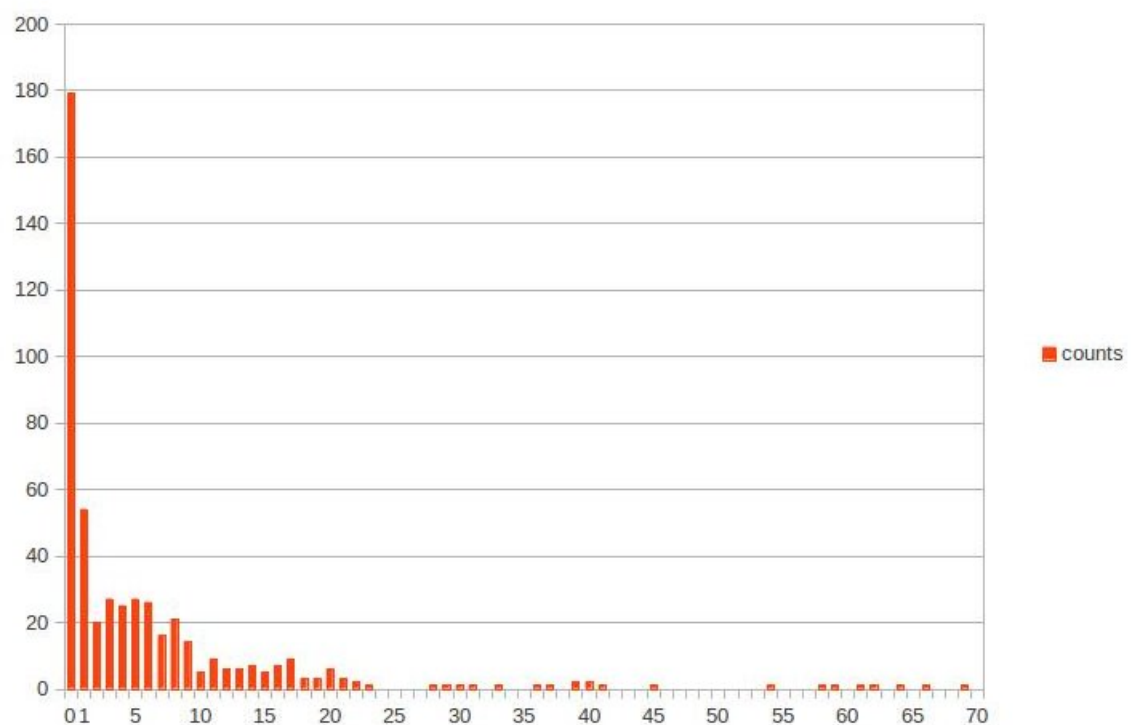


Figure 13: Word statistics from a test of pause based alignment algorithm, max pause 300ms

## 4.6 Conclusions

The time statistics are showing a bit worse results, because word labels are more precise than chunk time frames.

The results are within expectations, after all it is very crude algorithm. It probably could be improved though, however it is hard without introducing much more knowledge about language and possibly some additional training data (i.e. time of phonemes).

This algorithm is a bit sensitive to a chunks created. If only the longer pauses are allowed, by increasing the holes filling factor from 200ms to 300ms in speech detection algorithm, the results were considerably worse.

In this case the detected speech parts contained more silence frames and consequently the total time is different, and the estimated times might be a bit more wrong. Another reason why it produces worse results might be related to the fact, that it also causes the speeches to be on average longer. This kind of random variables follow a rule, that they differ from an estimated value by square root factor, ergo longer parts implies worse estimations.

## 5 Audio based alignment

### 5.1 Speech recognition

Audio based alignment is simplified problem of speech recognition. Usually in speech recognition the language consist of millions of words in different inflection, which may create many different sentences. In audio alignment we expect only one text and only one sentence at the time.

Usually speech recognition is based on trained Hidden Markov Models with Gaussian Phoneme models, although successful systems rarely rely on a single state phoneme model.

As I mentioned before (3.1) there are many different allophones of single phoneme. A variations are largely attributed to surrounding they are spoken in. For example in Polish there are softening phonemes 'i' and 'j', that clearly change how preceding or succeeding phonemes are realized (i.e. softened allophones of 'p', 'b', 'f', 'v', 'm', which rarely are treated as separate phonemes). There are lot of classified allophones for Polish language, which may come either from foreing influence, historical pronunciation or other reasons, and we expect, that machines can find much more of such.

And almost never one can cleanly separate two consecutive phones.

In order to better model different realisations of phonemes, an audio modelling contains models of phonemes at different triphone contexts. For every possible neighbourhood a given phoneme might appear, a separate Gaussian model is trained, for example a WSJ model from sphinx database contains 40 different phonemes and additional silence phoneme, that gives 68921 different triphones, however WSJ model contains over 110k elements, because of additional information about location within a word.

It is not over yet, because transitioning from phoneme to phoneme should be modelled somehow as well. The obvious solution is to train a triple state HMM, which will better fit the changing phone. That triples number of states.

This huge number of different models requires a lot of training data, which is on one hand not easy to come along with, on another requires a lot of time to train with. Training data for speech recognition is one constant problem, which have to be dealt with. The problem is amplified, by the fact, that training samples should be small and very well described. That hardly can be done manually. Another bad news is, that a model trained on news feeds, is expected to perform poorly as a spoken address recognition software.

Once we have our audio model, than speech recognition is an easy piece, isn't it? Not really, since so many possible and often similar words make it a hard problem. Rarely a speech recognition software is based solely on audio model to recognize a sequence of phonemes.

Problem is, that the number of states is too huge in order to be processed exhaustively, even though we can use a Viterbi algorithm to find a best (most probable) sequence of states for given observation sequence and we keep results only for a present moment in time (a single frame), that still gives a huge number of kept states with calculated score.

The sphinx library deals with this problem by searching in a breadth first search manner (meaning that it will keep states only from a current moment) and to cut on number of states to process, a priority queue is used, which keeps only best scoring ones.

And this is the part of recognition software we would like to use for the alignment problem.

The difference is that, we do know what the text is spoken, while for recognition there is no such knowledge.

However let's dwell on this a little bit longer, so we can see what a huge simplification it makes.

Let's start with a simple example by using WSJ dictionary: phoneme sequence "W AH N", which on it's own can be recognized as word "one" or "won" is also a prefix for 36 another different words and subsequence of total 117 different words.

There is a sequence "W AH N S EH L F", which assigned to word "oneself", however it may also be from the end of word "penguin" ("P EH NG G W AH N") and beginning of word "cellphone" ("S EH L F OW N").

Sphinx library provides two ways of language modelling to tackle such an ambiguity:

- N-grams frequencies
- language grammar

For simple languages, like a sequence of digits or language containing one long sequence (like in case of text alignment), it is advisable to use language grammar.

For general recognition software like Apple Siri, N-grams are necessary, although I am not convinced that they haven't used a sort of grammar for typical queries.

N-grams (in wsj n is maximum 3) are a way to assign a probability to word sequences. In the above example it is expected, that "oneself" is more probable to occur in real word, than the later case, although obviously we can't judge so easily, since the probability of choosing these particular words depends on a probability of a whole sentence.

Anyway I think that's enough about speech recognition.

For our purposes we need "only" audio model and word to phoneme sequence dictionary, in order to efficiently align text to speech, and we don't need to model language itself, since our language is one given text.

## 5.2 Differences between english, russian and polish phonetics

The sets of phonemes for any language differ slightly between publications and they differ even more between different audio models. For that reason I'm not going to introduce here established phonology for any language, however I'll try to match a phoneme set used by WSJ <sup>3</sup> model for English, VoxForge <sup>4</sup> model for Russian, Corpora <sup>5</sup> and mine<sup>6</sup> for Polish.

In the table below I collected phonemes from four used sets and analysed differences, that may cause problem to represent some words using given phonetics and in result may cause problems with alignment. The table is organized so the similar phonemes are next to each other. If there is not alternative in a language, than the row remains empty.

English	example	Russian	example	Corpora Polish	Polish used by me	example	Notes on similarities
AA	adopt	a	<u>а</u> рена	a	a	dwa	The most different from this set is English „AE”, which also shows a similarity to Polish and Russian „e”
AE	<u>a</u> ct	aa	<u>а</u> кт				
AH	<u>a</u> cute						
AW	allow			a_ (a)		ta	There is no such a vowel in Russian however it can be substituted with „oo l”, similar for my Polish model with „o l”
OW	aero						
AY	bike						Simulated with Russian and Polish „a j”
B	<u>b</u> ill	b	<u>б</u> ыл	b	b	<u>b</u> yć	Quite similar
		bb	де <u>б</u> ет				A softened version of „b”, a bit different, but Polish and English „b” can also be slightly softened
		c	<u>ц</u> вет	c	c	<u>c</u> oś	In English it is something like „T S”
CH	jackov <u>ch</u>	ch	де <u>ч</u> ка	ci (ć)	ć	czcić	English „CH” is actually used for slavian words containing „c”, „ć”, „cz” like phonemes. Russian „ch” is similar to softened Polish „cz”, so it has to replace both „ć” and „cz”
				cz	cz	<u>cz</u> ego	
JH	<u>j</u> ust			drz		<u>dż</u> em	Simulated by „d zh” or „d ż”, English „JH” is quite similar.
				dzi (dź)		ka <u>dź</u>	Simulated by „d zh” or „d ż”, English „JH” must simulate this one as well, although it also can be softened, it is not very similar.
D	<u>d</u> ad	d	<u>д</u> лина	d	d	<u>du</u> ży	Except for Russian and Polish „d”, all are a bit different. „dd” is again a softened version.
		dd	<u>д</u> итя				

<sup>3</sup>model trained on Wall Street Journal data from Sphinx library

<sup>4</sup>open source audio model created by voxforge.org group

<sup>5</sup>phoneme tagged corpus for Polish

<sup>6</sup>my own experimental set of phonemes

English	example	Russian	example	Corpora Polish	Polish used by me	example	Notes on similarities
DH	<u>they</u>						No counterparts, something between „d” and „z”.
				e_ (e)		se <sub>k</sub>	Like „ee l” or „e l” or „EH W”
EH	<u>thread</u>	e	диван <u>e</u>	e	e	e <sub>la</sub>	Similary as „a” alternatives, all sound quite alike, but a bit different. Russian differs in a stress, English „ER” is an “e” merged with a silent „r”
ER	<u>thriller</u>	ee	дн <u>e</u>				Like „e j”.
EY	<u>thursday</u>						
F	<u>film</u>	f	фаз <u>y</u>	f	f	f <sub>ilm</sub>	Very alike, except of course a softened Russian version
		ff	филат				
G	<u>eager</u>	g	долг <u>o</u>	g	g	geś	As above
		gg	долг <u>e</u>				
HH	<u>who</u>	h	дом <u>a</u> х	h	h	chata	As above
		hh	ду <u>h</u> и				
IH	<u>picture</u>	i	ду <u>h</u> ами	i	i	igła	Russian and English variations differ in stress, but all are quite similar
IY	<u>acree</u>	ii	ду <u>h</u> и				
		ae	ран <u>y</u> т				This is a quite like „i” phoneme, but not completely. In Russian many vowels can be shortened to unrecognized version, which sounds like „i”.
K	<u>quote</u>	k	кафед <u>r</u>	k	k	kt <u>o</u>	Very alike, except of course a softened Russian version.
		kk	кеф <u>i</u> р				
Y	<u>lawyer</u>	j	ран <u>o</u> й	j	j	jak	Very similar.
L	<u>lawyer</u>	ll	ад <u>e</u> кс	l	l	lato	Quite similar, although Russian is a softened version of „l”, so it doesn’t cover all allophones of Polish „l”
W	<u>work</u>	l	лад	l_ (ł)	ł	łaka	Quite similar
M	<u>mom</u>	m	мал <u>y</u>	m	m	ma <u>m</u> a	Very alike, except of course a softened Russian version
		mm	мал <u>y</u> ми				
N	<u>nail</u>	n	надею <u>s</u> ь	n	n	no <u>s</u>	Very alike.
		nn	наде <u>n</u> ь	ni (ń)	ń	ko <u>ń</u>	No English alternatives, although it seems reasonable to use „N Y” sequence.
NG	<u>thing</u>						In Russian and Polish might be simulated with „n g” or just „n”, but no natural alternatives
AO	<u>for</u>	oo	подн <u>e</u> с	o	o	to <u>k</u>	Quite similar
		ay	пога <u>d</u> ай				Non stressed version of „oo”, however it sounds more like „a”
OY	<u>foil</u>						Can be simulated with „o i”.
P	<u>pack</u>	p	поезд	p	p	pa <u>s</u>	Similar. Russian allophones cover Polish and English phonemes.
		pp	пом <u>u</u> ще				
R	<u>race</u>	r	рад	r	r	ru <u>r</u> a	As above
		rr	рюкзак				
S	<u>sand</u>	s	сцен	s	s	se <u>n</u>	As above
		ss	сего <u>d</u> ня				



English	example	Russian	example	Corpora Polish	Polish used by me	example	Notes on similarities
SH	<u>s</u> hop	sh	<u>ш</u> ёлка	si	ś	<u>ś</u> liwka	Quite alike.
				sz	sz	<u>sz</u> osa	It is a bit similar to “ś”, but no real alternatives.
		sch	<u>щ</u> ека				No real counterpart. Can be simulated with „SH CH” and „ś é’ or „sz cz” and variations
T	<u>t</u> in	t	<u>т</u> а	t	t	<u>t</u> en	Similar. Russian allophones cover Polish and English phonemes.
		tt	<u>т</u> ёмный				
TH	<u>t</u> hanks						No counterparts, something between „f” and „t”.
UH	<u>f</u> oot	u	ё <u>л</u> ку	u	u	<u>ó</u> semka	All are quite alike, although subtle differences remain.
UW	<u>f</u> ool	ur	ю <u>г</u>				
		uu	абс <u>о</u> лю <u>т</u>				
V	<u>v</u> isit	v	<u>в</u> аза	w	w	<u>w</u> iedza	Similar. Russian allophones cover Polish and English phonemes.
		vv	ж <u>и</u> вь <u>е</u> м				
		y	ж <u>и</u> в <u>ы</u>	y	y	<u>d</u> ym	Russian allophones differ in stress, but there is a problem with English equivalent. The most alike phoneme is „IH”.
		yy	ж <u>и</u> в <u>ы</u> х				
Z	<u>v</u> isor	z	<u>в</u> а <u>з</u> а	z	z	<u>z</u> ebra	Quite alike.
		zz	<u>в</u> а <u>з</u> е	zi (ż)	ż	<u>ż</u> le	Russian and Polish are very alike. No English equivalent, „ZH” is the closest.
ZH	<u>v</u> isual	zh	<u>ж</u> а <u>б</u> а	rz (ż)	ż	<u>r</u> zeka	Quite alike.

I can try to draw some conclusions from the table alone.

In English there are no natural alternatives in 8 cases, while in Russian only in 2 cases, although there are some other dissimilarities, it is clearly visible now (if it wasn’t before), that Russian is much more similar language to Polish, than English. It might be a surprise though, that English isn’t so different, and it seems possible to emulate every Polish word with English phonemes. Maybe not too surprising though, after all we are all humans.

### 5.3 Grapheme to phoneme conversion grammar

For the purpose of speech recognition we require very accurate dictionaries, that keep track of actual pronunciation of words with all possible variations.

Usually the dictionaries are created by analysing actual recordings. I would like to propose a way of converting grapheme sequences into phonetic description, which might be good enough for many real life applications, like word alignment or even phoneme alignment. Nevertheless it would still be applicable only to problems which are easier than speech recognition, but only because it is a hard problem, which tries to get as much accuracy from sub-problems as it is only possible.

Take a look at English word “one” and “tone”. They differ with character, but phonetics are: “W AH N” and “T OW N”. It doesn’t seem to be trivial, and actually it might be necessary to study in more detail a language to find quite accurate grammar. However for Polish it might be easy enough to create such a grammar, that will give us enough accuracy to perform alignment task.

We need at least three of such a grammars, converting to English, Russian and Polish phonemes. Here are example conversions:

Character sequence	English phoneme sequence	Russian phoneme sequence	Polish phoneme sequence
a	AA	aa	a
ą	AW	oo l	o ł <sup>7</sup>
b	B	b	b
c	T S	c	c
ci	CH IH	ch ii	ć i
cia	CH Y AA	ch aa	ć j a
trz	T SH	t sh	t sz
dż	JH	d zh	d ź
dź	JH	d zh	d ź
ch	HH	h	h
h	HH	h	h
ij	IY	—	—
ó	UH	u	u
rz	ZH	zh	ź
ł	W	l	ł
dzia	JH Y AA	d zz aa	d ź j a
ż	ZH	zh	ź
ś	SH	sh	ś

---

<sup>7</sup>alternatively one can use 'o u'

The conversion table looks similar to description of lexer tokens and in general it is what we do here. We convert word into tokens standing for a phoneme sequence. It might be a good idea to use regular expression in the grammar, however I haven't found any need for these, hence my rules convert a character sequence only.

My rules were able to produce a set of possible phoneme representations, simply by adding a set of phoneme sequences to the right side of the rule. These however didn't prove to be quite beneficial, and after a short time experimenting with it, I reduced number of sets dramatically. After awhile I actually used a different variants only for the word alignment and only when I used sphinx with foreign audio model.

The algorithm looks as follows:

- input:
  - character sequence (any text only with alpha characters and spaces)
  - conversion grammar
- output:
  - possible phoneme represations for input text
- variables:
  - **S** - a set of all rules in the grammar
  - **P** - a set of potential candidates
  - **c** - longest matched candidate so far
  - **R** - output set of phoneme sequences
- at the start we have:
  - $P = S$
  - $c$  is unset
- iterate over a character sequence,
  - remove all candidates from  $P$ , that will never be a match after adding current character,
  - if exists such  $p \in P$ , that it is currently matched, set  $c$  with  $p$
  - if  $P$  is empty
    - \* for each  $s$  phoneme sequence in  $c$ :
      - create  $R_s$  by adding  $s$  to each element of  $R$
    - \* set new output  $R = \cup_s R_s$
    - \* new set of potential candidates is  $P = S$
    - \* move iteration pointer to the end of matched sequence  $c$

If we supply algorithm with conversion table as below:

Character sequence	English phoneme sequence	Russian phoneme sequence	Polish phoneme sequence
a	AA	aa	a
t	T	t	t
d	D	d	d
e	EH, ER	e	e
r	R	r, rr	r
z	Z	z, zz	z
drz	JH	d zh	d ż
ch	HH	h	h
h	HH	h	h
c	T S	c	c
w	V	v	v
o	AO	oo, ay	o

The output of the algorithm for an input text “chata”, would be:

- **English** 'HH AA T AA'
- **Russian** 'h aa t aa'
- **Polish** 'h a t a'

and for word “drzewo”:

- **English** 'JH EH V AO', 'JH ER V AO'
- **Russian** 'd zh e v oo', 'd zh e v ay'
- **Polish** 'd ż e w o'

giving two alternatives of Russian and English phoneme realization.

I would leave here an open question if it is possible to create such a grammar for more accurate dictionaries. It might be, since phonemes are the effect of how comfortable it is to say a given sequence of phones and people are not really able to remember too complex conversion. It might be possible, that the grammar would need take into account some rare oddities, like word “one”, but there is definitely a finite number of such. In order to find such a grammar, probably a study on existing and trained dictionaries should be done, but this is out of the scope of this project.

## 5.4 Audio model alignment and results.

This part is relatively easy, because the Sphinx library already support word alignment using any audio model. It requires only a phonetic dictionary, which we can create using conversion grammar from previous chapter. Whole conversion works similar to recognizing word, the same breadth first search algorithm with queue of best results is used, except that an HMM for whole language is created from simple grammar, which allows only one big sequence of words.

We would like to test word alignment using English (WSJ) model and Russian (Vox-Forge) and compare it to some manually aligned sample.

For my testing recording I used a 5 minute and 20 seconds of audiobook “Doktor Piotr” by Stefan Żeromski and 16 minutes and 20 seconds of audiobook “Boże Narodzenie” by Maria Dąbrowska.

They were aligned by two different, but generally normal people (no experts) with purpose to make alignment as accurately as possible without selecting too much or too little. However while the person aligning “Doktor Piotr” considered overlapping to be forbidden, the person aligning “Boże Narodzenie” didn’t have this presumption. This small fact changes statistics a bit especially for smaller time differences.

Another difference between those texts, is that I have never seen any mistake in text for “Doktor Piotr”, at least in those first 5 minutes, but I’ve seen wrong words in the “Boże Narodzenie”, where the reader has replaced them with something different, or the text was incorrect, nevertheless there are some discrepancies.

With English model I haven't got too much luck. The problem with the algorithm for word alignment is that once it goes wrong, then it never goes back on track again. With English model after **38** seconds of “Doktor Piotr” it incorrectly assigned 3 seconds to word “części” after **62** words and it never recovered. For “Boże Narodzenie” it has gone wrong after **257** seconds on word “czarownicach” after **503** words.

The statistics for “Boże Narodzenie” however shows, that before it goes bad it actually aligns first **503** words quite nicely:

- Maximum difference (start or end): **0.559s**
- Maximum difference (start or end), if label was to short at one end: **0.559s**
- Average difference (start or end): **0.032s**
- Error counts depending on time thresholds:

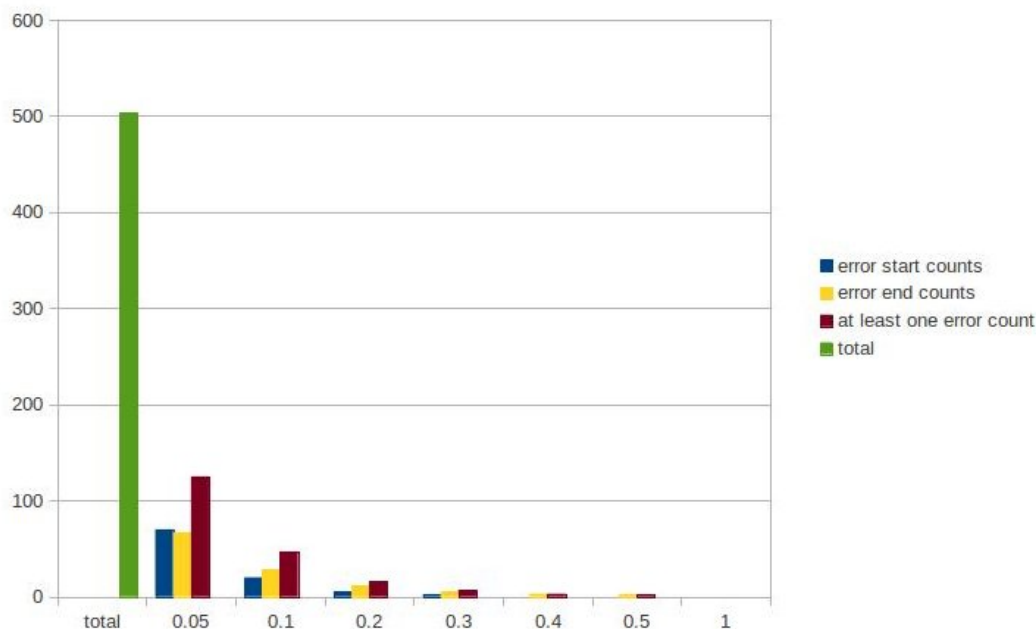


Figure 14: A number of word tags with time difference above error thresholds (in seconds) for “Boże Narodzenie” recording using English audio model

It seems that there weren't any substantial errors in the matching, although apparently the English audio model has some trouble with phoneme “cz”, which I'll remind was replaced by English “T SH”, which sounds more softened, than actual pronunciation. It is hard to figure out some other way of representing this one, and even though it looks like it could be used, some more elaborate method should be invented. Usually when word goes bad, the algorithm assigns too long selection to it, so maybe checking the time if it goes wrong and the to try some kind of recovery? I think it is possible, but I haven't explored this in more details.

I also tried Russian model, which haven't got problems as above and I could have generate statistics for whole alignment:

The “Boże Narodzenie” statistics are:

- Total number of words: **1779**
- Maximum difference (start or end): **2.451s**
- Maximum difference (start or end), if label was to short at one end: **0.543s**
- Average difference (start or end): **0.016s**

The error counts regarding different time thresholds:

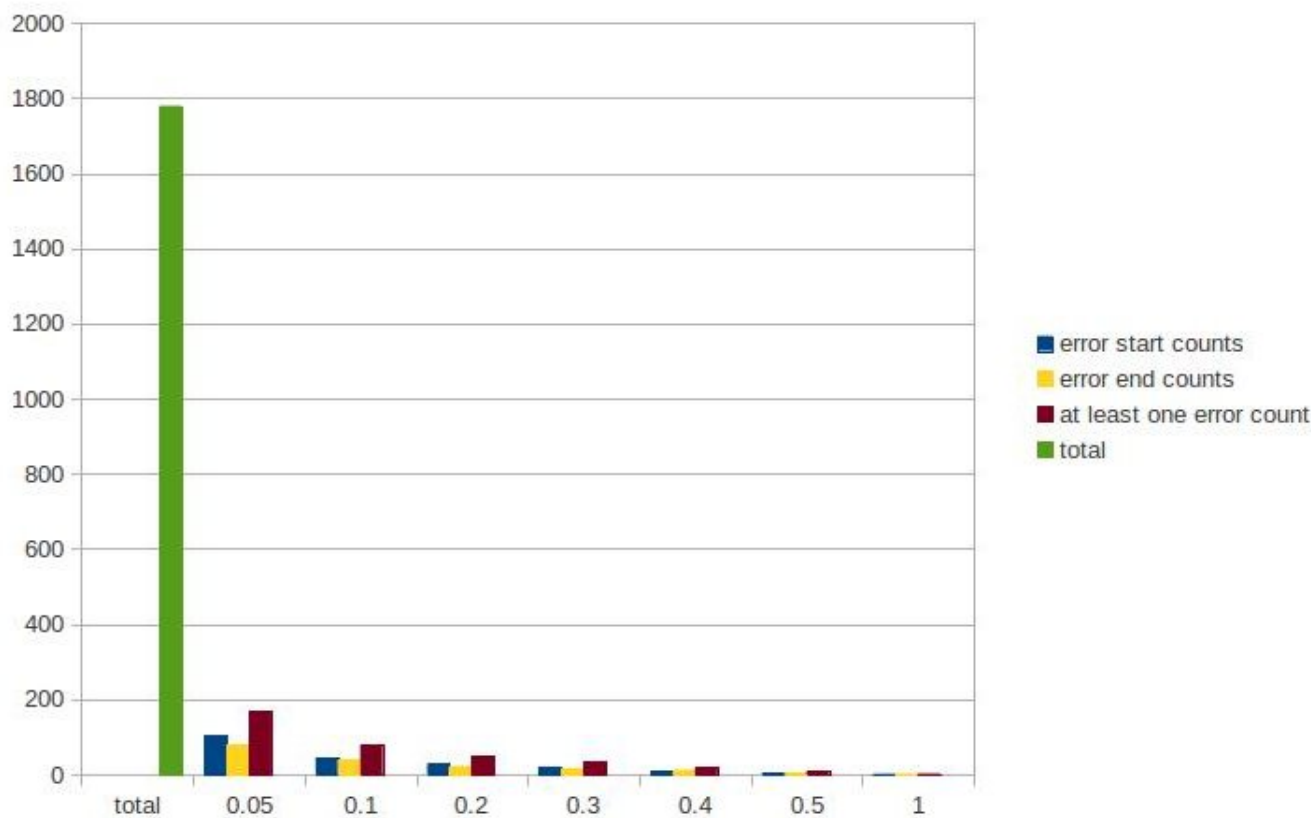


Figure 15: A number of word tags with time difference above error thresholds (in seconds) for “Boże Narodzenie” recording using Russian audio model

The statistics for “Doktor Piotr” sample are:

- Total number of words **585**
- Maximum difference (start or end): **1.354s**
- Maximum difference (start or end), if label was too short at one end: **0.534s**
- Average difference (start or end): **0.033s**

The error counts regarding different time thresholds:

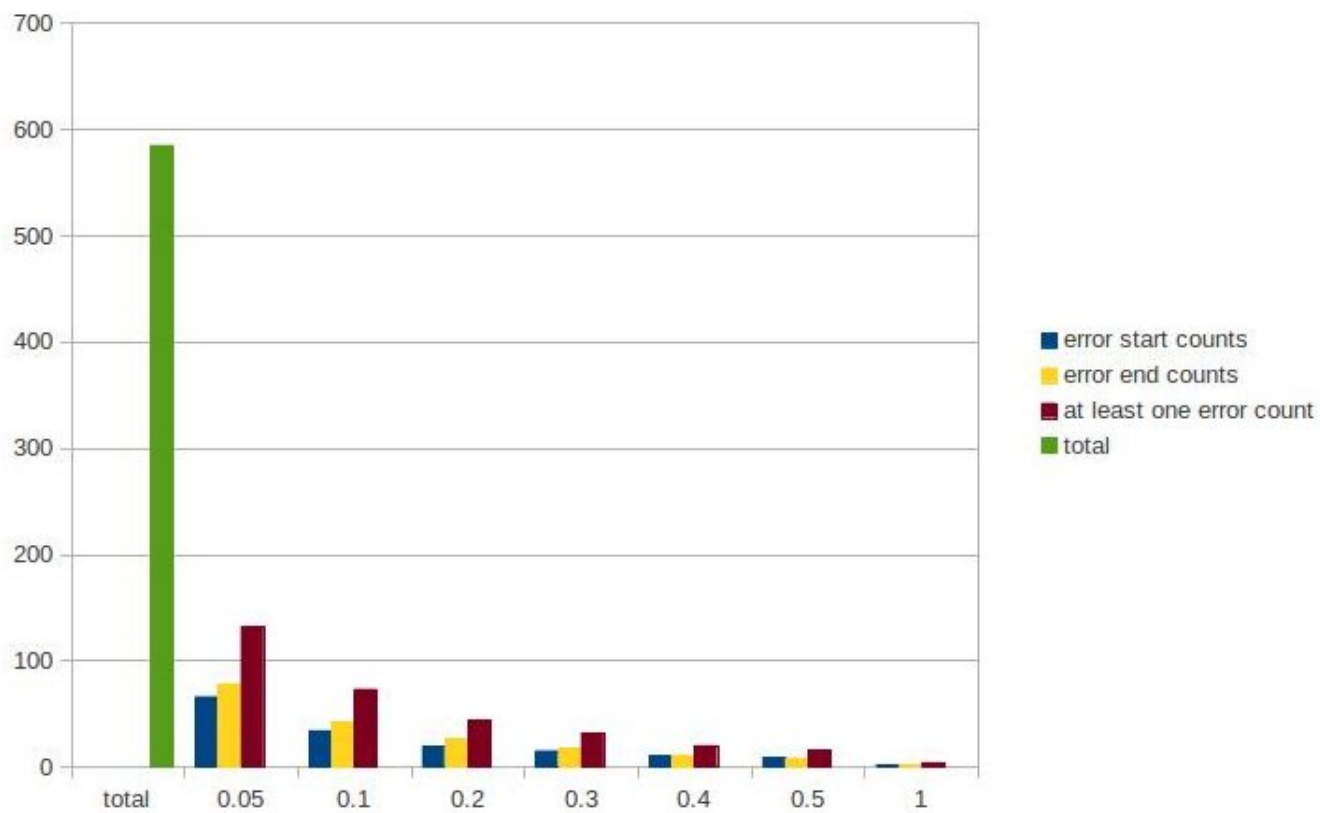


Figure 16: A number of word tags with time difference above error thresholds (in seconds) for “Doktor Piotr” sample using Russian audio model



When analysing the above statistics it can be noticed, that even if English model doesn't go wrong, it still seems to perform worse, than Russian model. The average difference is twice bigger than for the former. Also the counts of errors in relation to total number of aligned words shows, that Russian models outperforms the English one, what is quite understandable, since we knew apriori, that Russian is much more similar to Polish.

It is also noticeable, that they perform a bit differently. While English model finds borders of the word quite well, except for spectacular failures of course, the Russian on other hand frequently happens to include a pause after the word. This is a bit problematic for many different applications, except maybe for training, which can deal with that. Anyway since for English, the algorithm failed to finish the alignment, it may have happened, that it would show similar behaviour in later parts of the book.

The additional pause added to a word label isn't completely bad, since it means, that we are quite sure, that given selection contains an assigned word, and that there is very little total misses. Direct observation of collected data supported that conclusion. There were very few total misses and only related to alignment conjunctives or prepositions and postpositions ("w" especially). From autopsy of collected data we also see, that the biggest mismatches were committed, when accounting a silence as a part of word.

One could try to further improve above method, at least by meddling with a phoneme conversions or maybe even training dictionary from a corpus, to get a better phoneme representation. I can't be sure at this point how big impact has the simple conversion grammar on results, although it doesn't seem to be the most promising room for improvement. Additionally the method can be improved by merging results or scorers of different models. It might produce much better results, especially since each model have different problems with alignment.

My final conclusion is that, regardless of some mismatches, it is a quite nice method for word alignment, especially for the purpose of further improvements in speech recognition systems and audio model trainings.

## 6 Phoneme alignment

### 6.1 Using foreign audio model

I would like to use the word alignment obtained from previous chapter and create an alignment with phoneme granularity. For this purpose I would like to use a trained Russian audio model and align (for each word) a Russian phoneme representation. After that, I would like to use the same word alignment to train my own audio model from the recording and use it again for phoneme alignment, this time using my experimental, Polish phoneme set.

Audio model is a trained Hidden Markov Model for each phoneme in a context of neighbouring phonemes or for all occurrences of the phoneme with no context. Each HMM contains three states with transition table and probabilities of each transition as well as a set of normal distribution at each state, to calculate emission probability of observations (audio frames).

By merging together phoneme models we get an HMM for whole input text. Of course first we need convert this text to sequence of phonemes and then to sequence of HMMs, but this can be achieved similarly as in chapter 5.

Having HMM we can calculate the best possible sequence of states (using Viterbi algorithm) and all audio frames assigned to states from a single phoneme HMM should form together a single allophone of the phoneme. After that it just matter of calculating initial and ending time for each such a phone to produce an alignment.

In my experiments I tried to do exactly this, however I've noticed that:

- firstly, a transition score is absolutely meaningless for the alignment problem,
- secondly, only main phoneme is really important, and enter and exit state only produce a noise, which doesn't help substantially in solving my problem. It might be because, the model was Russian and it wasn't well fit for Polish speech.

In the end my algorithm used only main state without any transition probabilities.

The Gaussian distributions were extracted using sphinx library in a form of SenomeScorer objects, which are able to calculate score for a given observation. A best sequence was found using a Viterbi like dynamic programming algorithm, which was all too similar to many other DP algorithms in this project.

In general I have a sequence of audio frames  $(f_1, \dots, f_n)$ , which was assigned to given word  $W$ .

I also have phoneme representation  $(p_1, \dots, p_m)$  of word  $W$  and trained scorer for each phoneme  $(\sigma_{p_1}, \dots, \sigma_{p_m})$ .

I would like to get a best possible assignment of phonemes and frames:

$$(\{p_1, (f_1, \dots, f_{\alpha_1})\}, \{p_2, (f_{\alpha_1}, \dots, f_{\alpha_2})\}, \dots, \{p_m, (f_{\alpha_{m-1}}, \dots, f_{\alpha_m})\}) \quad (1)$$

where the sum of scores:  $\sum_{j=1}^m \sum_{l=\alpha_{j-1}}^{\alpha_j} \sigma_{p_j}(f_l)$  is maximized (assuming  $\alpha_0 = 0$ ).

At  $k$ -th iteration I process a single audio frame  $f_k$ .

Let  $A = (\alpha_0, \dots, \alpha_m)$  and a partial solution  $R_{i,k}$  for first  $i$  phonemes and  $k$  frames:

$$R_{k,i} = \underset{A}{argmax} \sum_{j=1}^i \sum_{l=\min(\alpha_{j-1}, k)}^{\alpha_i} \sigma_{p_j}(f_l) \quad (2)$$

The entry data for iteration  $k + 1$  is a partial solution set  $R_k = (R_{k,1}, \dots, R_{k,m})$ .

The next values of solution array  $R$  are calculated from a formula:

$$R_{k+1,i} = \underset{j \in \text{Incoming}(i)}{argmax} (R_{k,j} + \sigma_{p_i}(k + 1)) \quad (3)$$

where  $\text{Incoming}(i)$  is a set of states, that there exist a transition to state  $i$  from.

This is a general Viterbi algorithm, but in my case there were only two outgoing states for each state in a HMM, so actual formula is:

$$R_{k+1,i} = \max(R_{k,i-1}, R_{k,i}) + \sigma_{p_i}(k + 1) \quad (4)$$

This algorithm can be used with any scorers, so let's try to train the Gaussian distributions ourselves.

## 6.2 Training phoneme distribution using word alignment

Again I assume, that I already have word alignment (from chapter 5) and I would like to use it as my input training data. The data have very nice granularity, and i.e. the sphinx training software can use a data, which is larger then that, although it is advised, that it will be small and accurately described (i.e including information silences).

From chapter 5 we could see, that my data was not as accurately described, since the initial word alignment can be wrong by including in a selection quite long pauses, which my algorithm can't know about in advance.

Training algorithm is a variation of EM algorithm and consists two steps:

- **expectation step** – alignment of phonemes given previously trained distribution and using the algorithm from 6.1 for each word separately
- **maximization step** – calculating normal distribution for each phoneme from assigned observations obtained by expectation step

Phoneme representation of a given word was once again created using our conversion grammar with a small twist, that this time I'm not going to use Russian ones, but a set of Polish phonemes, refined in a series of experiments and described in chapter 5.

In order to tackle with a problem of unexpected pauses, a silence phoneme ("sil") was added at the beginning and at the end of each word phoneme sequence.

Initial distributions are calculated from an initial simple selection of phoneme observations. For each word, it's phoneme sequence  $(p_1, \dots, p_k)$  and aligned audio sequence  $(f_1, \dots, f_n)$ , a frame  $f_j$  was assigned to phoneme  $p_i$  if and only if  $(i - 1)\frac{n}{k} < j \leq i\frac{n}{k}$ .

A total likelihood is calculated. When it converges below given threshold, then algorithm terminates and phoneme models are saved.

Note that, the alignment algorithm has a complexity of  $\Theta(nm)$ , where  $n$  is a number of states and  $m$  is the number of audio frames. The size of the chunks we want to align or more important the number of phonemes in a given chunk, has a great impact on the total time of execution. Extracting words might really improve the time of audio model training for any variation of above method.

## 6.3 Results

Tests are performed using Corpora corpus, which contains audio recordings of digits, names and some unusual sentences for tens of different speakers, and all of these recordings are tagged with phonemes.

My testing speech is created by merging all the files in random order for one speaker. The phoneme alignment is prepared by changing times of the labels from the recording put in  $i$ -th place by a total time of all recordings from first  $i - 1$  places.

Merged recording contains a 7 minutes and 26 second of audio data, a total of **843** spoken words and **3611** phoneme labels.

The Corpora phonetics differ a bit from what I used in Polish model and definitely differs from Russian phonemes. In order to compare the alignments, I had to convert phonemes to the same set. Most of the time it was relatively easy and I just converted a Corpora phoneme to the one used by me. However there were certain cases where it was not easy. A sample of needed changes:

- in my Polish models after soften consonant (like “nie”) I added additional “j” phoneme (resulting with “ń j e”) and Corpora didn’t always have anything there, the additional phoneme was ignored in the matching
- similarly in Russian conversion I never added additional phoneme (resulting with “nn e”), and Corpora sometimes had “i” phoneme in similar situations, as above the additional phoneme was ignored
- Corpora uses actual phonetics replacing soften versions of i.e. “z”, “zh” with alternatives (“s”, “sz”) and vice-versa (hardening), the phonemes were considered similar and were matched against each other
- Corpora has separate phoneme for “a”, “e”, “dz”, “dž” like, but my conversions always split them into more phonemes (i.e. ‘o’ and ‘ɤ’, ‘e’ and ‘ɤ’, ‘d’ and ‘z’, ‘d’ and ‘ž’), my phonemes were merged into one single chunk, which was matched against testing label
- Corpora uses a “?” symbol to indicate unrecognizable phonemes, which I actually found out from other recordings, this one was replaced with appropriate phonemes
- in one case few phonemes were merged into one shortened version, as speaker joined two words into one, as above it was replaced with real phonemes and matched against

Resulting matching was checked manually after the changes.

Each matched phoneme had starting and ending time. Statistics produced about matching times agreement include an average start and end time difference, a maximum time difference and number of pairs of labels where time frame agreed with a certain error.

Phoneme alignment using Russian audio model.  
A resulting match contained **3570** of pairs.

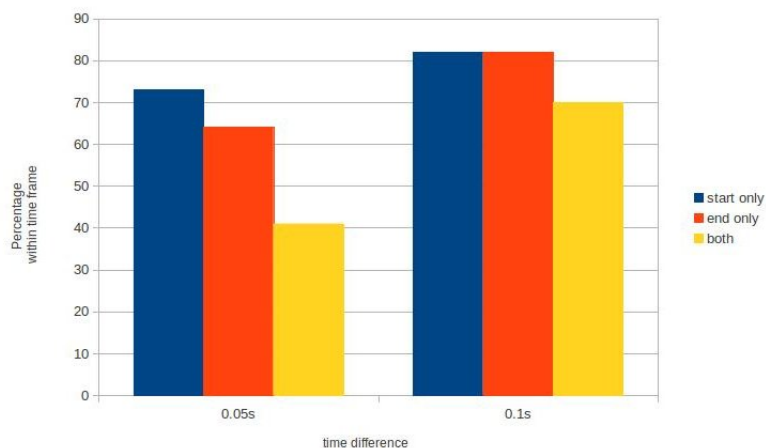


Figure 17: A percentage of phoneme tags with time difference within error thresholds (in seconds)

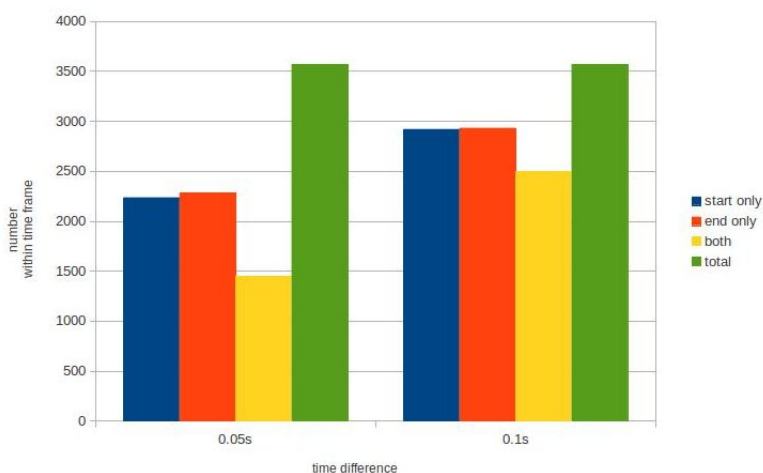


Figure 18: A number of phoneme tags with time difference within error thresholds (in seconds)

- Average start difference: **0.0571s**
- Average end difference: **0.0574s**
- Maximum time difference:**0.516s**

The results are not perfect at least, however one can see, that maximum time difference is around half a second, which at least tell us, that word alignment is with quite a nice accuracy.

It was hard to expect anything better, after all the actual phonetics are different yet there was still a nice alignment for nearly 70% of phonemes.

Statistics for phoneme alignment using trained Gaussian models from aligned words. A matching phonemes contained **3611** pairs.

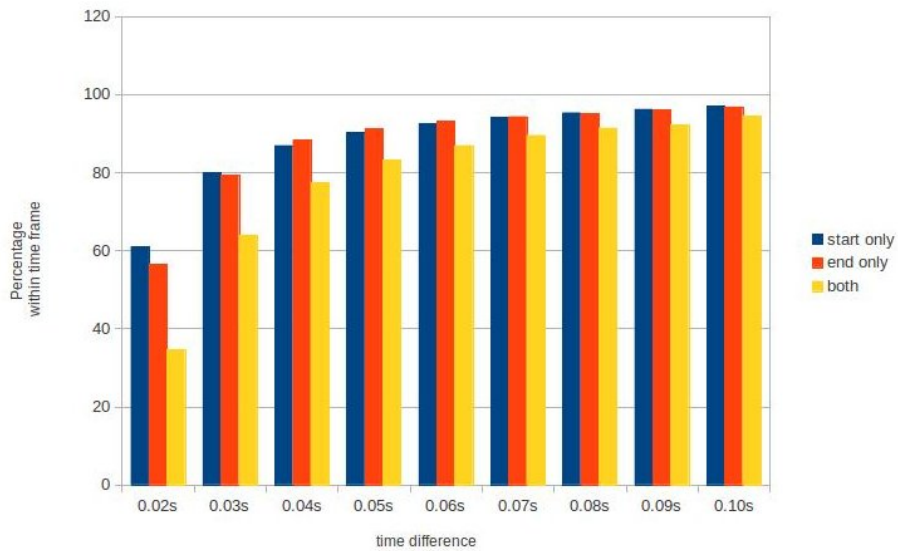


Figure 19: A percentage of phoneme tags with time difference within error thresholds (in seconds)

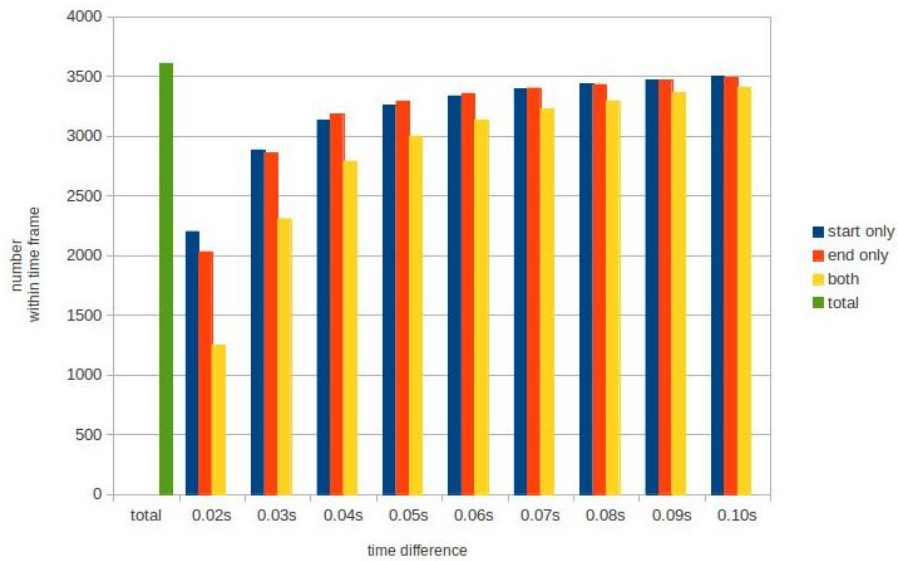


Figure 20: A number of phoneme tags with time difference within error thresholds (in seconds)

- Average start difference: **0.02402s**
- Average end difference: **0.02439s**
- Maximum time difference: **0.5131s**

The average difference is down by 58% and while using Russian audio model only 40% of pairs agreed on both ends with a 0.05 second of error, a trained model could agree on 83% of pairs, while getting to 95% within an error of 0.1 second.

Strangely the maximum stayed the same, what actually can indicate, that the word alignment, done using Russian audio model, might be a culprit here.

Considering the fact, that we haven't use a specifically trained audio model for Polish language and we didn't have any knowledge of actual phoneme representation, then results are really good, although probably when using a better trained model, the percentages might be much better.

Actually, according to [28], it is possible to obtain a phoneme alignment within error of 0.02s with accuracy over 90%. I am not near this, but consider this method as an entry point for more elaborate training and aligning methods.

The important thing to remember is, that it was possible using only Russian audio model and conversion grammar, which contained only 158 rules (see implementation for details).



## 7 Training audio from large audio files

In this chapter I'll try to answer a question if it is possible to use audio recordings, that are 20 minutes to several hours long, with only exact recored text of the speech and yet being able to train a model from a given audio, that would be good enough for text alignment purposes, if only for recordings of very good quality, like audio books.

### 7.1 Using imperfect large chunk alignment as a training database

In the chapter 4 I described a method, that finds larger chunks of speech and matches parts of text to them, based on punctuation marks and pauses. As we have seen in the results, the output was quite inaccurate, however around 54% of the chunks had been correctly identified, and around another 25% had been nearly correct (less than 3 words difference). That doesn't seem to be good enough, but maybe we could train a model from it anyway.

First of all as I mentioned before, training audio model from a large chunks requires quite a lot of time, because of complexity  $\Theta(nm)$ , where  $n$  is a number of phonemes and  $m$  is the size of audio chunk, what is more or less quadratic in relation to a number of characters in a chunk.

Secondly we would expect, that longer streams might reduce our chance for a proper convergence of phonemes, but maybe not nearly as much as incorrectly assigned ones.

Because of that I chose only chunks, that are shorter than a given constime time threshold. This value is problematic to choose automatically, because shorter texts, may require to use all of them in order to be able to train good enough model. For the longer texts may it may be enough to use only a few seconds short ones. In any case a certain amount of training data is required. It is hard to believe, that it would be possible to train anything from a 10 second sentence.

For the chosen chunks the algorithm converts assigned text to a phoneme sequence and between any two words additional silence phoneme is inserted, and at the beginning and at the end of the block.

Once we do this, we have quite a similar set up as in chapter 6 when I wanted to train phoneme models having word alignment. At this point I haven't changed anything and the algorithm proceeds in the same manner.

Just as before initial distributions are trained from the same crude assignment and as before the training uses a variation of EM algorithm, where estimation step is an alignment of phonemes and maximization step uses assigned frames to train the next generation of distributions.

The only problem with this approach is, that the aligned chunks may be quite long (several tens of seconds) and this increases the runtime of the algorithm quite substantially, so a proper upper time threshold should be chosen. Anyway it is still a better way, than performing the task manually.

## 7.2 Word alignment based on imperfect audio model

This part has the closest resemblance to the speech recognition. Because we need to align a text that will be converted to many thousands of phonemes, it is infeasible to calculate a proper best sequence alignment. At each frame of audio, we would have to calculate a best sequence ending with each phoneme and since there are an order more frames than states, it would be just too many operations to complete the task in a reasonable time.

I have visited this kind of problem before in chapter 5: an explosion of the number of states in speech recognition. The solution there was to cut on number of states by keeping a fixed size priority queue with a best scoring sequences only. In our case it should behave at least as well.

The alignment is performed on a whole text at once. At first it is converted to a phoneme sequence  $P = (p_i, \dots, p_n)$ , with additional “sil” phonemes at the beginning, at the end and between each two consecutive words.

A state  $s_i$  is a phoneme at given position  $i$  in  $P$  sequence and a normal distribution  $N(\theta_{p_i})$ , and can be seen as a duple:  $s_i = (p_i, N(\theta_{p_i}))$ , where  $\theta_{p_i}$  are parameters of normal distribution trained for a phoneme  $p_i$ .

Each two states are  $s_i \neq s_j \iff i \neq j$ , although two phonemes and their models might be equal to each other.

Queue at my algorithm contains a fixed number  $N$  of best scoring sequences ending at  $N$  states.

In other words for each state  $s_i$  at most one sequence is kept in a queue only and only if there are no more than  $N - 1$  states, for which best performing sequences ending at the states have worse score than a best score of all sequences ending at the state  $s_i$ .

Even simpler my queue is a map with  $N$  elements, where keys are states and values are sequences, which got the best score for a state being a key so far.

Each sequence added to a queue may:

- not be stored if all scores in map are greater than added sequence score
- may replace a value of the same state if previous sequence had worse score
- may be added as new element in the map, but if number of entries in a map is bigger than  $N$ , then a worse scoring sequence is removed with it's key (state)

This particular implementation performed noticeable better than the one, where I just remembered best scoring sequences regardless of ending state.

The initial value in queue is an empty sequence ending with state  $(p_1, N(\theta_{p_1}))$

The algorithm iterates sequentially over frames in the audio stream. At the beginning of iteration  $k + 1$  we have a partial result of best scoring sequences in the queue, for the first  $k$  frames. From a sequence  $S$ , its ending state  $(s_i, N(\theta_{p_i}))$  and its score  $\phi_S$  two new sequences can be produced at iteration  $k + 1$ .

- $S'$  with ending state  $(s_i, N(\theta_{p_i}))$  and score  $\phi_S + N(\theta_{p_i})(f_k)$
- $S''$  with ending state  $(s_{i+1}, N(\theta_{p_{i+1}}))$  and score  $\phi_S + N(\theta_{p_{i+1}})(f_k)$

For each sequence in queue produced by  $k$ -th iteration we create two new sequences as above and add them to a new queue, that will be a result of the current iteration.

At the end of audio stream, we choose a best sequence, which then has to be converted into a phoneme alignment, which in the next phase has to be converted into a word alignment.

Notice that it is highly unlikely that we will be having some sequences in the queue, that will be ending with a state that is far from a state that should be assigned to a current frame, or at least this is an idea behind the algorithm. Since all states from the queue have indexes greater than a certain  $k$ , it might be possible, that there exists a common prefix among all sequences in the queue. If this is the case, then it would be possible to convert this common prefix to word labels, even though we haven't processed whole stream. Since we will never change our mind about this prefix, then this action can be considered as a sentence recognition.

Obviously in general speech recognition problem, we would have to add some additional probabilities of observing a given sequence of words into the algorithm, but the idea would be similar.

## 7.3 Results

Let's see how word alignment performs bearing in mind results from chapter 5, where I used prepared audio models from other languages.

The statistics for sample of “Doktor Piotr” are:

- Total number of words **585**
- Maximum difference (start or end): **0.422s**
- Maximum difference (start or end), if label was too short at one end: **0.371s**
- Average difference (start or end): **0.044s**

The error counts regarding different time thresholds:

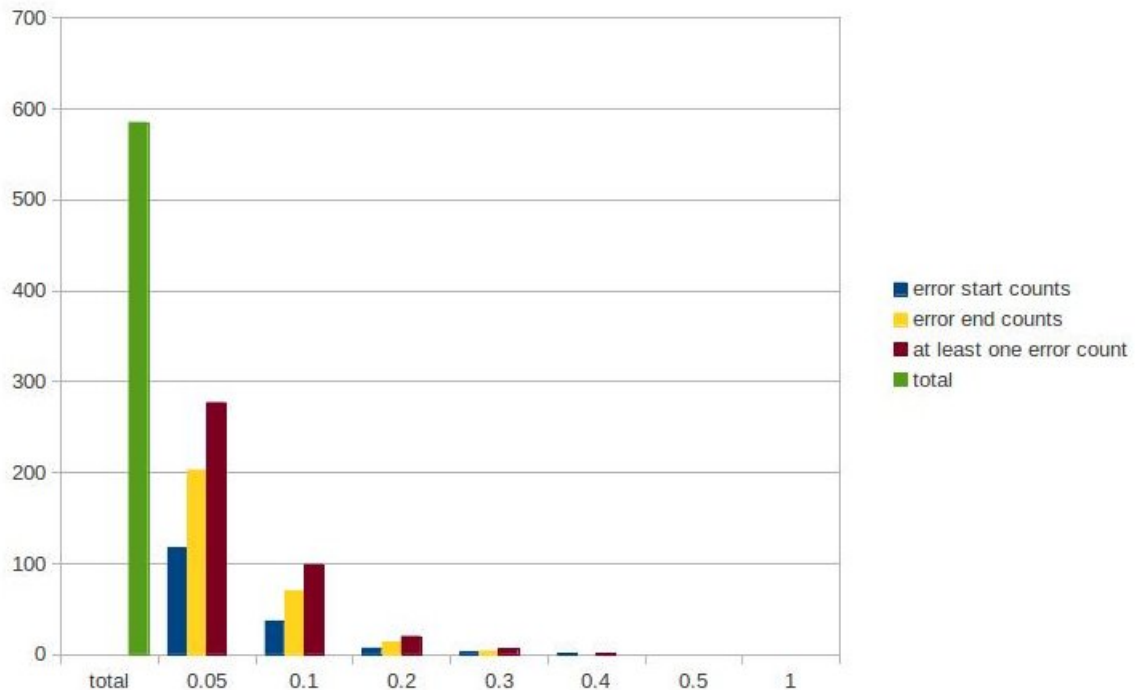


Figure 21: Number of words with time difference above error thresholds (in seconds) for “Doktor Piotr” recording

Dropping to 0 at 0.5 second difference, while getting to 99% of correct tags for error of 300ms.

The “Boże Narodzenie” statistics are:

- Total number of words **1779**
- Maximum difference (start or end): **0.606s**
- Maximum difference (start or end), if label was to short at one end: **0.605s**
- Average difference (start or end): **0.046s**

The error counts regarding different time thresholds:

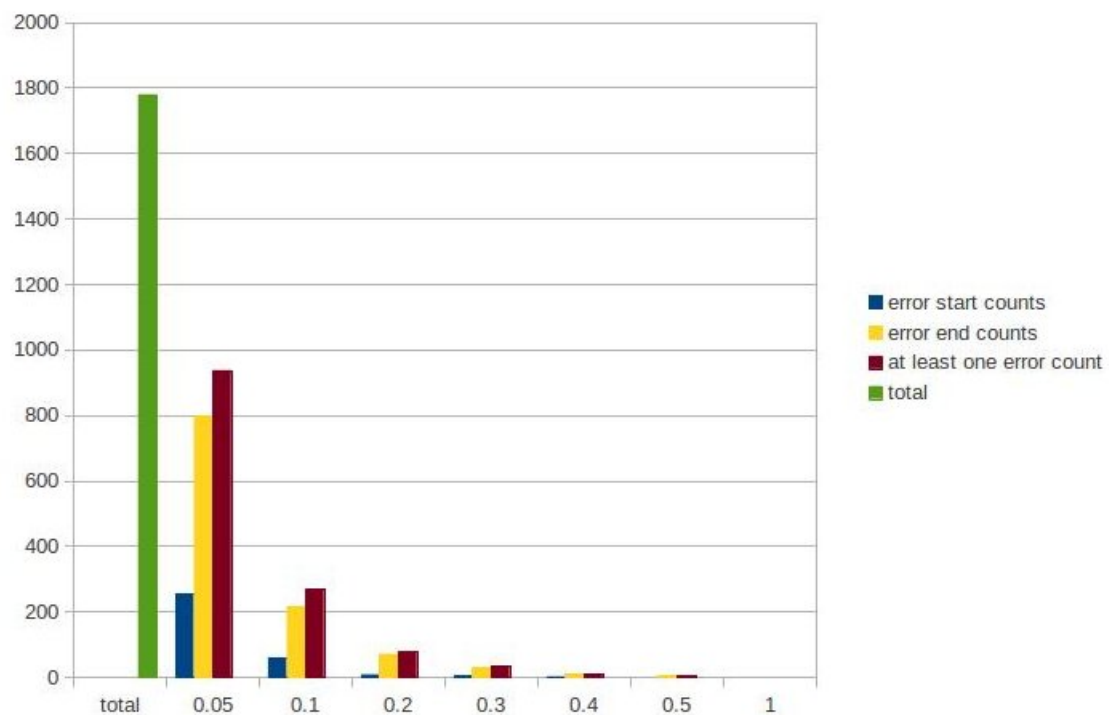


Figure 22: Number of words with time difference above error thresholds (in seconds) for “Boże Narodzenie” recording

Dropping to 0 at 1 second difference, while exceeding 98% of correct tags for error of 300ms.

## 7.4 Conclusions

Firstly without diving into detailed analysis, the alignment without using any prepared audio model was able to align text with the word granularity and with a precision, that was not instinctively suspected.

The best news is that, the algorithm was able to train quite accurate model for Polish language, for a single person with only 16 minutes of recording, that it could have been used to for alignment purposes.

There are another good news, because it doesn't show a similar behaviour as alignment with Russian audio model, where the algorithm happened to assign quite long pauses to word labels. It also seems, that the error counts drop much more quickly as the time of error threshold increases. It is hard to say how much the problem with silences impacts these difference, but one conclusion, that can be drawn for this, is that our alignment doesn't have too many total misses, although it may happen, that the algorithm frequently assigns too short part of the stream to words.

The inspection of labellings shows, where the count of erroneous labels for small time thresholds comes from. It appears, that this approach does indeed suffer from an opposite problem to the one with extra silence parts. Apparently it often shortens ending of word labels. It is visible from count statistics as well, as a yellow bar indicating ends of word alignment is much higher than the red one for beginnings. This is not good, although a small amendment, can be introduced to counter the effect, by adding a small constant time to the end of each label.

The problem also showed in the average time difference, which is up to 3 times bigger than for alignment with Russian audio model. Let's not forget though, that these were trained from only 70 minutes long and 16 minutes long recordings, while the Russian one was trained from much larger database.

## 8 Testing alignment with synthesizer

What do we need phoneme alignment for? For training purposes it may not be needed, since a word or sentence level tagging is quite good, although additional alignment may improve training performance and/or efficiency and maybe more elaborate methods can be proposed, that can utilize initial phoneme tagging.

There is one application, that is widely used: from train announcements through automatic reading to blind people support systems. It is a speech synthesizer, and such, that not only can be easily understood, but also sounds human alike. People tend to dislike artificial things that resemble humans too closely, but not completely. The automatic announcement systems can't be creepy or people will feel uneasy around it and may not want to hear it at all. Personally I hate synthesized audio books, which are just so unpleasant to listen to.

It is nearly impossible to record all possible words at all possible forms to create well sounding synthesizer even for quite small announcement systems. An obvious alternative is to use a proper phoneme alignment to extract and combine parts from similarly sounding sentences, to produce a new form of or totally new word.

### 8.1 Testing application of a synthesizer

Except for uncountable possible applications of synthesizer, we can firstly use it as an additional way for testing our alignments, especially phoneme alignment. Dry statistics are not necessary the most intuitive way to check how our software performs and in the case of phonemes, it may actually be a much better testing ground. People don't agree too well what part of recording constitutes for a phoneme, if only because a single phone is barely perceivable by a human, so naturally such a manual alignment must escape to alternatives method of trying to find a part of word, which doesn't sound like it should. It is a similar technique to synthesizing words with an audio assigned to phonemes, but instead of doing this manually, we can try to this automatically.

Testing is relatively straightforward and requires a good ear and a bit of time. We synthesize some sentences which may contain some of interesting phonemes and then we check if doesn't sound odd or if it is even understandable.

## 8.2 Synthesizing speech with phoneme alignment

Speech synthesizing can be simple and hard depending on what we actually want to get in the end and how much can we invest into preparation of audio files.

On one end we have an easy problem of combining spoken words and phrases into sentences and the only thing we need to do, is match a silence part together.

On the other we have a complete synthesizer, which don't have any prepared audio data and tries to produce the sound signal on it is own. It is manageable, but currently all such a synthesizers have very little in common with a human speech and sound very robotic. Synthesizing a human like speech this way at this moment is completely outside our capabilities, but we can't disprove, that it won't be possible someday, after all in theory it is "only" a matter of simulating human respiration organs.

Current top performing synthesizers (i.e. Ivona) are settling somewhere at the middle. Although for announcement systems (i.e. in trains) it looks like it never synthesizes single word, but all are already recorded and it only combines them into larger statements. It might be feasible in this case, but most of the time it is impractical, because of the number of words needed to be recorded by a living, breathing and wanting to be paid human. Recording of million of words would take around 7 weeks of non-stop work assuming, that recording of one word would take only a second. And that is nearly not enough, especially for languages where inflection is much more complex than in English.

The solution is to use much smaller recording set and use it to create/synthesize words, that don't exist in the set.

I experimented with two algorithms for word synthesizing. First is a bit better for testing purposes of phoneme alignment, while the second produces better results. Both algorithms used as an input audio recording tagged with phonemes.

Both algorithms have a common part of choosing word candidates and merging them altogether. The only difference is a part of creating candidate when the word doesn't exist in the input text.



Synthesizing algorithm:

1. Input of the algorithm is:
  - (a) text to be synthesized
  - (b) audio file with recorded text
  - (c) word alignment: list of words with assigned part of the recording (starting and ending time)
  - (d) phoneme alignment: list of phonemes with assigned part of the recording (starting and ending time)
2. For each word in the text:
  - (a) extract all parts of recording, that are tagged with the word
  - (b) if the extracted set is empty, then synthesize the word.
3. Add between words a silence set, which are untagged parts of recording, choose only the ones that satisfy durations constraints
4. Choose a best sequence of audio streams using dynamic programming as below:
  - (a) partial result array  $R_0$  is initialized with  $\{0\}$
  - (b) iterate over word sequence  $(w_1, \dots, w_n)$ :
    - i. entry data for  $k + 1$ -th iteration is  $R_k = (s_1, \dots, s_{|C_{w_k}|})$ , where  $C_{w_k}$  is set of candidates for  $k$ -th word  $(c_{1,w_k}, \dots, c_{|C_{w_k}|,w_k})$ , and  $s_i$  is a score of best scoring merged stream ending with an  $i$ -th candidate
    - ii. next score set  $(s'_1, \dots, s'_{|C_{w_{k+1}}|})$  is given by a formula:
 
$$s'_i = \operatorname{argmin}_{j \in (1, \dots, |C_{w_k}|)} (s_j + \operatorname{score}(c_{j,w_k}, c_{i,w_{k+1}})) \quad (1)$$
  - (c) recreate best scoring sequence either from partial scores or with additional data stored in forward run
5. Merge calculated sequence into one audio stream.

The scoring function a of merging two candidates:  $c_{i,w_k} \in C_{w_k}$  for  $k$ -th word and  $c_{j,w_{k+1}} \in C_{w_{k+1}}$  for  $k + 1$ -th word, is a sum of euclidean distances between a small<sup>8</sup> number of sequential frames from the ending of  $c_{i,w_k}$  and the beginning of  $c_{j,w_{k+1}}$ . The beginning is a prefix of any  $c_{i,w_k}$ , which has a length not greater than a fixed fraction of  $|c_{i,w_k}|$ . Similarly the ending is a suffix of any  $c_{i,w_k}$ , which has a length not greater than the same fixed fraction of  $|c_{i,w_k}|$ .

---

<sup>8</sup>i.e. a constant factor of size of candidates

### 8.2.1 Simple end to end synthesizer

Actually this algorithm skips a little bit the part with synthesizing an audio stream for a given word. The 1b part of above algorithms is changed to this:

1. (b) if the extracted set is empty then:
  - i. convert word into sequence of phonemes
  - ii. for each phoneme
    - A. extract from the set of recordings parts, that are tagged with the phoneme
    - B. add the set as a candidate set with phoneme as new word

In the end a word, that was not found in the input text, is synthesized by combining extracted phoneme parts.

It is calculated together with other words (or their phonemes) and it may not be optimal for each word, but whole sentence should sound ok.

This algorithm is a bit better for checking correctness of phoneme alignment, because it combines phoneme signal end to end, so if something is wrong it should be immediately heard in the output stream.

For the same reason it will have a poorer quality, because even if the aligner is perfect, the boundary frames might have some sound artefacts caused by preceding or succeeding phoneme, i.e. it is quite common, that the last vowel of the sentences changes to a breathing sound, when a speaker is exhaling or breathing in after longer sentence, and that is quite hard to separate, without actually training for recognizing breathing sounds, but to train them, you need a text with marked occurrences of such.

The resulting audio contained quite some of such artefacts and sometimes a bit additional silence here and there. The phonemes seemed to be more or less in order, but the word was a bit hard to understand, because the flow was kind of odd and very unnatural. Clearly it isn't a good synthesizer.

### 8.2.2 Middle to middle synthesizer

In this algorithm each non-existing word was synthesized separately resulting with only one candidate for each word to be combined in the last phase of common part.

The input to the algorithm is a phoneme alignment of the recording:

$$L = (W_1, W_2, \dots, W_n) \quad (2)$$

where element  $W_i$  is a phoneme sequence  $(p_{w_i,1}, \dots, p_{w_i,|w_i|})$  created from word  $w_i$ , accompanied with the assignment function  $f$ , that for each  $p_{w_i,j}$  returns a unique (regarding pair for indexes  $(w_i, j)$  and not actual phoneme) part of audio stream, i.e.  $(f_k, \dots, f_{k+m})$ .

The algorithm first looks for candidates to be merged into a synthesized stream:

- convert word to phoneme sequence  $(\rho_1, \dots, \rho_n)$
- for each  $0 \leq i \leq n$  start with an empty set  $S_i$ :
  - for each  $W_k \in L$  and for each  $0 \leq j \leq |w_k|$  find a maximum value of  $m$ , where  $j + m < |w_k|$  and  $\forall z \in \langle j, j+m \rangle p_{w_k,z} = \rho_{i+z-j}$ ,
  - if  $m > 2$  add a sequence  $(p_{w_k,j}, \dots, p_{w_k,j+m})$  to set  $S_i$
- if  $S_i = \emptyset$ , then repeat second step, but now add a sequence if  $m > 1$

An element  $S_i$  from the sequence of candidate sets  $(S_1, \dots, S_n)$  resulted from above procedure, has the property, that each of it's elements are a subsequences of phoneme representation of the synthesized word, and all of them start with a phoneme  $\rho_i$ .

It is possible, that one of such a candidate set would be empty, if there were no such a subsequence, but it is not quite likely, that in larger file it will happen. In this case we could fall back to some variation of this method and the first one, but a preferred subsequences of length of at least 3 are quite common.

The next part of algorithm has to choose which of them should be merged and at which frame they are to be combined.

The algorithm once again uses a dynamic programming technique in quite similar manner to the common part, the main difference is in the scoring function.

The algorithm iterates over the candidates  $(S_1, \dots, S_n)$ . The  $k$ -th iteration processes the set  $S_{k+1} = (s_1, \dots, s_l)$ . At the beginning of iteration  $(k+1)$ -th for each element  $s_i \in S_k$  the algorithm keeps a best scoring synthesized stream, for which a phoneme representation ends with a sequence  $s_i$ .

The next iteration results are obtained by calculating best scoring synthesized stream for each element  $s'_j \in S_{k+1}$ , as follows.

A score between candidates  $s_i$  and  $s'_j$  is calculated by finding a closest frame (by Euclidean distance) of the second phoneme in  $s_i$  and a first phoneme in  $s'_j$ , which should correspond to the same  $\rho_{k+1}$ .

If the second phoneme from  $s_i$  has assigned consecutive frames from  $a$  to  $b$ :  $(f_a, \dots, f_b)$ , and the first phoneme from  $s'_j$  has assigned frames from  $c$  to  $d$ :  $(f_c, \dots, f_d)$ , then a score of combining those two sequences is:

$$\operatorname{argmax}_{x \in \left[ \frac{(a+b)}{2} - \frac{|a-b|}{\delta}, \frac{(a+b)}{2} + \frac{|a-b|}{\delta} \right], y \in \left[ \frac{(c+d)}{2} - \frac{|c-d|}{\delta}, \frac{(c+d)}{2} + \frac{|c-d|}{\delta} \right]} (\operatorname{dist}(f_x, f_y)) \quad (3)$$

where  $\delta$  is a constant chosen based on empirical experiments.

A picture shows intervals from two sequences, for which a distance is calculated, and if a closest frames were chosen as the arrow indicates, how a resulting stream would look like:

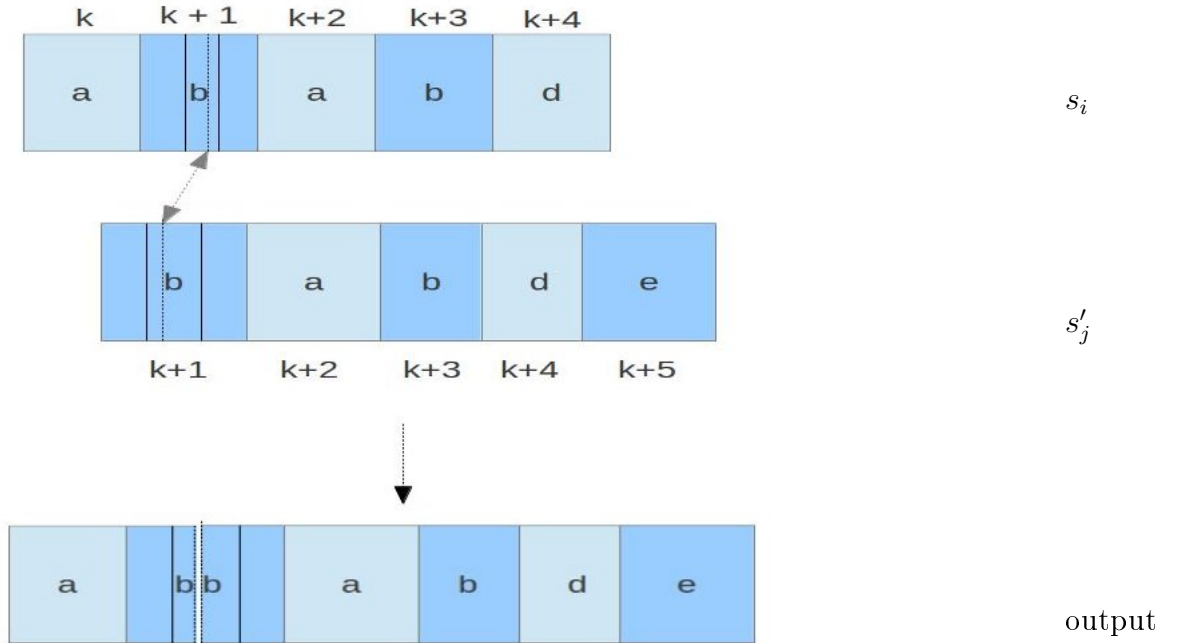


Figure 23: An example of merging to audio parts at chosen point  
At the end a best scoring stream is returned as a resulting synthesized audio for input word.

### 8.3 Results and conclusions

How does it work? It is hard create automatic test for speech synthesizing. Theoretically I could rerun word alignment to see how it would perform for synthesized speech, however the alignment works even if there are occasional mismatches between text and speech, so it doesn't really gives much, except maybe checks if there are no total misses. It poses a certain challenge to create such a test, the challenge, that I'm not necessary willing to take, since I am a bit more optimistic about total misses, especially after looking at statistics from previous chapters and in the end, the human inspection is necessary anyway to check how it actually performs.

My idea of test is to try to synthesize various texts more and less randomly chosen and see for myself how it performs and where it behaves incorrectly.

A recording used for synthesizing is a full text of "Doktor Piotr" which contains an hour and 22 minutes of speech. The phonemes are aligned by training model from initial word alignment obtained using method from chapter 7, that doesn't need external audio model, but trains it itself using initially time and pause based alignment.

I chose couple of unrelated texts, which contain some of tongue twisters, a part of epic poem "Pan Tadeusz", a part of novel "Przedwiosnie", and couple sentences from cultural column in "Politika" weekly magazine.

The first algorithm shows quite substantial flaws in phoneme alignment. Apparently a lot of phonemes has additional trailing frames from a succeeding phoneme, what renders the created speech, to be incomprehensible, although closer inspection shows, that actual phonemes are correct, just not perfectly aligned. And it doesn't matter if the model was trained from the word labelling obtained using Russian audio model or Polish trained from initial pause based alignment. It shows, that an alignment with more perfection is needed for this kind algorithm.

The second algorithm deals with this problem by combining phonemes middle to middle, so no ending is ever included.

synthesized texts	Notes on erroneous parts in synthesized speech using words and phonemes initiated by pause based alignment
“Rosja przedwojenna była wymarzoną areną dorobku dla ludzi tego typu zwłaszcza pochodzących z Królestwa”	<ul style="list-style-type: none"> <li>- „areną” – „a” is missing</li> <li>- jumps between phonemes are clearly visible, although they are not disturbing enough to cloud the meaning</li> </ul>
“Wiadomości zaczerpnięte w klasach gimnazjalnych wrodzona inteligencja która wraz ze zdrowiem towarzyszyła poszukiwaczowi posady i na zawołanie zjawiała się nie siana i nie pielęgowana wytrzymałość odwaga wesołość i pewna odrobina drwiny z Moskala u którego się służy lecz nad którym jednak panuje się mimo wszystko torowały drogę od niższej do wyższej pozycji”	<ul style="list-style-type: none"> <li>- the phonemes of word „mimo” are quite short, so listener need to be really focused, to not miss a meaning of the word,</li> <li>- after „zjawiała się” there are two extra words „do wyjścia”, closer inspections showed, that „się” was included as whole, but was badly aligned,</li> </ul>
“Trzeba przyznać że nie ostatnią rolę grała w tej operze protekcja cicha pokorna dobra wróżka prowadząca za rękę od niskiego do coraz wyższego rodaka tu i tam zaczepionego nogą lub łokciem na tej rosyjskiej drabinie”	<ul style="list-style-type: none"> <li>- „przyznać” - „ć” is actually „ż”</li> <li>- „tej” is something else</li> <li>- „protekcja” - hearable „w” before „t”</li> <li>- „spokojna” - something extra after „k”</li> <li>- „zaczepionego” - „z” is missing</li> <li>- „drabinie” - „ie” is missing</li> </ul>
“Chrząszcz brzmi w trzcinie w Szczebrzeszynie W szczękach chrząszcza trzeszczy miąższ Czczą szczypawka czka w Szczecinie”	<ul style="list-style-type: none"> <li>- „brzmi” - „źm” phoneme sequence was not found in whole text so it was omitted in the synthesized audio,</li> <li>- „czczą” sounds like „cza”,</li> <li>- „Szczecinie” - „ie” missing</li> </ul>
“Chrząszcza szczudłem przechrzcil wąż Strząsa skrzydła z dżdżu A trzmiel w puszczy, tuż przy Pszczynie Straszny wszczyna szum”	<ul style="list-style-type: none"> <li>- „wąż” - „ą” is missing</li> <li>- „straszny” - „ny” is missing</li> <li>- „szum” - „m” is strange</li> </ul>
“Litwo Ojczyzno moja ty jesteś jak zdrowie Ile cię trzeba cenić ten tylko się dowie Kto cię stracił Dziś piękność twą w całej ozdobie Widzę i opisuję bo tęsknię po tobie”	<ul style="list-style-type: none"> <li>- „cenić” - „ć” is missing</li> <li>- „stracił” - extra „e” or even kind of „em”</li> <li>- „piękność” - very short „e”</li> <li>- „ozdobie” - first „o” is missing, second sounds like „ą”</li> <li>- „tobie” - short „e”</li> </ul>
“Panno święta co Jasnej bronisz Częstochowy I w Ostrej świecisz Bramie Ty co gród zamkowy Nowogródzki ochraniasz z jego wiernym ludem”	<ul style="list-style-type: none"> <li>- „świecisz” - „ś” sounds weird like “sz” through teeth,</li> <li>- „co” - hearable „t” at end,</li> <li>- „gród” - missing „g”,</li> <li>- „nowogródzki” - extra „na” at the beginnings and „dz” sounds like „jz”,</li> <li>- „ochraniasz” - first „o” is actually „w”,</li> <li>- „wiernym” - quite bad, at the beginning there is extra „ply”, and there is missing „r” making it completely unrecognizable</li> </ul>

synthesized texts	Notes on erroneous parts in synthesized speech using words and phonemes initiated by pause based alignment
“Jak mnie dziecko do zdrowia powróciłaś cudem Gdy od płaczącej matki, pod Twoją opiekę Ofiarowany martwą podniosłem powiekę I zaraz mogłem pieszo do Twych świątyń progu Iść za wrócone życie podziękować Bogu Tak nas powrócisz cudem na Ojczyzny łono”	- „mnie” - extra „u” at the beginning, - „ofiarowany” - first „o” sounds like „he”
“Tymczasem przenoś moją duszę utęsknioną Do tych pagórków leśnych, do tych łąk zielonych Szeroko nad błękitnym Niemnem rozciągnionych”	- „łąk” - extra short „a” at the beginning
“Do tych pól malowanych zbożem rozmaitem Wyzłacanych pszenicą, posrebrzanych żytem Gdzie bursztynowy świerzop, gryka jak śnieg biała Gdzie panińskim rumieńcem dzięcielina pała”	- „do” - extra „le” at the end, - „rozmaitem” - sounds like „smeitem”, - „gryka” - noticeable short „ł” at the end, - „panieńskim” - „ie” is short, - „rumieńcem” - a pause between „m” and „ie”
“A wszystko przepasane jakby wstęgą miedzą Zieloną na niej z rzadka ciche grusze siedzą”	- „wstęgą” - only „gą” is recognizeable
“na stole z powyłamywanymi nogami leżą śliwki czereśnie pomarańcze i ogórki”	- „leżą” - extra „na” up front, - „czereśnie” - missing „re”
“W czasie suszy szosa sucha”	
“Za górami za lasami znajduję się wysoka wieża strzeżona przez smoka”	
“Maksymalistyczny egzystencjalny program Mroźka polegał właśnie na stawianiu świata pod znakiem zapytania w świetle jak najbardziej trzeźwych zarzutów o jego niewystarczalność”	- „maksymalistyczny” - first „m” is actually an „s”, extra „lny” at the end, - „mroźka” - „first „m” is actually „z”, - „polegał” - extra short trailing „o”, - „o” - very short and faint
“Mroźek jako krytyk cywilizacji widział w niej nadto przemoc mechanizm mielenia jednostek na proszek W rewolucjach brzydził go fetor mierzwy w jaką zmieniają się górnotne czyste ideały”	- „mechanizm”- sounds like „mechanizmie”, because „z” is „ż”, - „jednostek” - short but loud extra „w” at the beginning, - „brzydził” - noticeable „g” between „y” and „dz”, - „fetor” - trailing „e”, - „ mierzwy” - missing „y”, - „jaką” - a bit distorted and unrecognizeable, - „ideały” - „i” is replaced by „u” and extra „j” between „e” and „a”

synthesized texts	Notes on erroneous parts in synthesized speech using words and phonemes initiated by pause based alignment
“Pomimo języka groteski którym tak chętnie się posługiwał był przede wszystkim piewcą głębi sztuki jej ocalającego kontemplacyjnego wymiaru”	<ul style="list-style-type: none"> <li>- „pomimo” - some artefact in ending „o”,</li> <li>- „jej” - something extra at the beginning,</li> <li>- „ocalającego” - some „r” after „oca”</li> </ul>
“Przy czym nigdy głośno o tym nie mówił. Jednocześnie sceptycznie i ostrożnie podchodził do kwestii wyobraźni widząc w niej zasadę kierującą ludzkimi poczynaniami a co z kolei skutkuje każdorazowo totalitarną eksterminacją”	<ul style="list-style-type: none"> <li>- „kwestii” - last „i” like „j”,</li> <li>- „wyobraźni” - missing „ob” changing the meaning of the word,</li> <li>- „totalitarną” - „ą” sounds like „au”</li> </ul>

Synthesizer results are showing us what kind of mistakes the phoneme aligner makes and what exactly the percentages presented in previous chapter mean.

It appears, that the word alignment is not perfect and sometimes it is off by selecting too much, although it doesn’t seem to happen too often. Much more common mistake is assigning too little to the word label, what results with missing phonemes, although this might be case on any word misalignment, where phoneme alignment just couldn’t find correct tagging.

The most obvious conclusion is that, this kind precision is not enough for the perfect speech creation, although only 3 words among the synthesized sentences were actually not recognizable, and among them, there was one, where it actually changed its meaning.

Not every error in above table is a result of misalignment, some are outcome of the compactness of conversion grammar or plainly because of incorrect phoneme conversion. After all there is no guarantee, that conversion will produce a correct phoneme description.

Also the synthesizer is not perfect and could be improved in many various ways, especially by improving the score of combining two consecutive words/phones to consider the whole similarity, so there are no sudden changes in volume or timbre. The main purpose of the simple synthesizer here is to check how phoneme alignment works, so these improvements are a bit out of scope of this thesis.

Generally the created speech parts were comprehensible and the meaning could be grasped quite easily. The effect seems to agree with brought up statistics for word and phoneme alignment. It is ok, but not perfect.



## 9 Summary and conclusions

I believe that I managed to show in this thesis, that word alignment can be done without much apriori knowledge. My algorithm was able to return a decent alignment for input audio file and recorded text with only knowledge about:

1. punctuation marks and their relationship to pauses
2. a bit of knowledge about relationship between graphemes and phonemes (conversions grammars)
3. a human anatomy and capabilities, especially about speech frequency ranges
4. assumption that the input text has a high accuracy

It would require further studies, but I believe it is possible to even further reduce necessary knowledge.

**Ad.1** this relationship might be unimportant, if we had large enough database and that may mean only a couple of hours of recordings, the length based alignment might be ok even with character by character matching, and that would mean, that it is possible to learn, that certain characters are actually punctuation marks (repeatedly are assigned to silences?)

**Ad.2** using actual graphemes instead of my conversions reduces the accuracy of the models, but there is no reason why using only graphemes wouldn't be enough

**Ad.3** this is almost definitely unnecessary, since the only reason I used it in the first place, was not because it increases the accuracy, but because it improves the performance, but working with bigger frequency set should be possible and some performance can be regained i.e. by measuring distance from normal distribution and by merging close frequencies

**Ad.4** obviously there exists a certain threshold where it would be impossible to train anything, but even in the situation where we would have couple of recordings and many texts without knowing which are which, it might be possible to find a matching, but it seems like a hard problem

The future work could focus on improving above algorithms and creating a system, that is able to align single text or a set of them with much higher accuracy. Promising improvements include:

- improving the above algorithm by rerunning speech alignment with imperfect model
- combining model from couple of separate texts

Also it might be interesting to study if it is possible to perform such a alignment in more noisy environments especially for songs and crowd recordings.

Even more interesting if it can be done for recordings with poor quality, like phone calls etc. These might be extra hard not only because of poor signal quality, but also because people's diction in daily situation is not very good and the flow of the text shows a lot of irregularities with different interruptions (people interrupt each other, sometimes they wait for other to get back, etc).

These are quite hard situations even for well prepared systems.

One application of such a system would be automatic training for any language recognition software with very little human interference. Currently the top speech recognition software is being developed mainly for English, while hundreds of other languages attracts much less attention, with one exception of Google recognition software. Unless English will become the world's official language and all of people will be able to speak English with similar accent, the need for such system is quite obvious, although they may not necessary care about minimal knowledge constraint.

If it would be possible to generate a speech recognition software easily for any language, then a majority of people wouldn't be excluded from using certain technologies (i.e. SIRI), or at least a competition among providers of such a software could be much more fierce.

I also believe that by studying how computers can gain certain knowledge may prove to be beneficial in understanding how people learn, and that is not only useful, but purely interesting.

I haven't exhausted the topic even in a slightest, since it is just a part of larger question: how one can learn a language without external help. How human infants learn to talk, and how computers can mimic (or not) to learn language as well.

I think, that answering this question with great detail, may improve our solutions for human-computer interactions and others.

However many there are applications, a pure exploration is always enough reason to study a question. If it was asked, let's try answer it.

Each of results brought up in this thesis and whole project with all mentioned algorithms are available for git checkouts from:

<https://github.com/Heappl/speechtextmatcher> or

<https://bitbucket.org/Heappl/speechtextmatcher>.

## 10 Bibliography

### References

- [1] Houghton Mifflin Company. *The American Heritage Dictionary of the English Language*, Fourth Edition, 2000.
- [2] Prof. W. Alberti. *The anatomy and physiology of the ear and hearing*.
- [3] Prof. Joseph Picone *Fundamentals of speech recognition*
- [4] Olson Harry F. (1967) *Music, Physics and Engineering*
- [5] Stevens Stanly Smith, Volkman John, Newman Edwin B. (1937) *A scale for the measurement of the psychological magnitude pitch Journal of the Acoustical Society of America*
- [6] Douglas O'Shaughnessy (1987) *Speech communication: human and machine*.
- [7] Zwicker E. (1961) *Subdivision of the audible frequency range into critical bands*.
- [8] H.P. Combrinck and E.C. Botha *On The Mel-scaled Cepstrum*
- [9] Welch P. (1967) *The use of fast Fourier Transform for the estimation of power spectra: A method based on time averaging over short, modified periodograms*
- [10] Steven W. Smith *The scientist and engineer's guide to digital signal processing*.
- [11] A. Pinsky *Introduction to Fourier analysis and wavelets*
- [12] B.P. Bogert, M. J. R. Healy, J. W. Tukey *The Quefrency Alanysis of Time Series for Echoes: Cepstrum, Psuedo-Autocovariance, Cross-cepstrum and Saphe Cracking*
- [13] Seyed Hamidreza Mohammadi, Hossein Sameti, Amirhossein Tavanaei, Ali Soltani-Farani *Filter-bank design based on dependencies between frequency components and phonem characteristic*
- [14] Dr. James Glass, prof. Victor Zue *Automatic Speech Recognition* MIT course.
- [15] Daniel Jurafsky, James H. Martin *Speech and language processing*
- [16] B. Plannerer *An Introduction to Speech Recognition*
- [17] Davis, Marmelstein (1980) *Comparison of parametric representation of monosyllable word recognition in continously spoken sentences*
- [18] Ahmed N., Natarjan T., Rao K.R. (1974) *Discrete Cosine Transform*
- [19] Syed Ali Khayam *The Discrete Cosine Transform (DCT): Theory and Application*
- [20] Crystal David *Linguistic*
- [21] Chomsky N., Halle M. *The sound pattern of English*

- [22] Jagodziński G. *Gramatyka języka polskiego.*
- [23] J.L. Rodgers, W.A.Nicewander *Thirteen ways to look at the correlation coefficient.*
- [24] Jae Myung *Tutorial on maximum likelihood estimation*
- [25] Prof. A. Moore *Clustering with Gaussian Mixtures*
- [26] Jeff A. Bilmes *A Gentle Tutorial of the EM Algorithm and its Application to Parameter Estimation for Gaussian Mixture and Hidden Markov Models*
- [27] M. Karaś, M. Madejowa *Słownik wymowy polskiej.*
- [28] John-Paul Hosom *Speaker-Independent Phoneme Alignment Using Transition- Dependent States*
- [29] Larry Moss *Example of the Baum-Welch Algorithm*
- [30] Emilio Frazzoli *Principles of Autonomy and Decision Making. Lecture 21: Intro to Hidden Markov Models, the Baum-Welch algorithm*

# Appendices

## A Calculating parameters for single variable normal distribution

We can calculate a derivative of normal density function to show it:

$$\begin{aligned} \ln\left(\prod_{x \in X} \left(\frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}\right)\right) d\mu &= \sum_{x \in X} \left[\frac{-1}{2} \ln(2\pi) - \ln(\sigma) - \frac{(x-\mu)^2}{2\sigma^2}\right] d\mu = \dots \\ &= \frac{-1}{2\sigma^2} \sum_{x \in X} (x-\mu)^2 d\mu = \frac{1}{\sigma^2} \sum_{x \in X} (x-\mu) = 0 \iff \\ &\iff \sum_{x \in X} (x-\mu) = 0 \iff \mu = \frac{1}{|X|} \sum_{x \in X} x \quad (1) \end{aligned}$$

what is a definition of a mean.

$$\begin{aligned} \ln\left(\prod_{x \in X} Pr(x|\sigma)\right) d\sigma &= \sum_{x \in X} \left[\frac{-1}{2} \ln(2\pi) - \ln(\sigma) - \frac{(x-\mu)^2}{2\sigma^2}\right] d\sigma = \dots \\ &= \sum_{x \in X} \left[\frac{-1}{\sigma} + (x-\mu)^2 \sigma^{-3}\right] d\sigma = \frac{-1}{\sigma} \sum_{x \in X} [1 - (x-\mu)^2 \sigma^{-2}] = \dots \\ \dots = 0 &\iff \sigma^{-2} \sum_{x \in X} (x-\mu)^2 - |X| = 0 \iff \sigma^{-2} |X| = \sum_{x \in X} (x-\mu)^2 \iff \sigma^2 = \frac{1}{|X|} \sum_{x \in X} (x-\mu)^2 \quad (2) \end{aligned}$$

what is a definition of variance and a standard deviation is a square root of variance.