

CS323: Compilers

Spring 2023

Week 4: Parsers - Top-Down Parsing (table-driven approach contd. and background concepts), Bottom-up parsing (use of goto and action tables)

Parsing using stack-based model

- How do we use the Parse Table constructed?

Top-Down Parsing - Example

string: xacc\$

Stack

?

Rem. Input

xacc\$

Action

?

What do you put on the stack?

Top-Down Parsing - Example

string: xacc\$

Stack

?

Rem. Input

xacc\$

Action

?

What do you put on the stack? – strings that you derive

Top-Down Parsing - Example

string: xacc\$

Stack*

S

Rem. Input

xacc\$

Action

?

Top-down parsing. So, start with S.

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$?

Top-down parsing. So, start with S.

What action do you take when stack-top has symbol S and the string to be matched has terminal x in front?

Top-Down Parsing - Example

string: xacc\$

Stack*

S

Rem. Input

xacc\$

Action

Predict(1) S → ABc\$

Top-down parsing. So, start with S.

What action do you take when stack-top has **symbol S** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Top-Down Parsing - Example

string: xacc\$

Stack*

S
ABc\$

Rem. Input

xacc\$

Action

Predict(1) S → ABc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
A Bc\$	xacc\$	

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
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B				5	6	

Top-Down Parsing - Example

string: xacc\$

Stack*

S
ABc\$
xaABc\$

Rem. Input

xacc\$
xacc\$

Action

Predict(1) S → ABc\$
Predict(2) A → xaA

	x	y	a	b	c	\$
S	1	1			1	
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Top-Down Parsing - Example

string: xacc\$

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xaABc\$

Rem. Input

xacc\$
xacc\$
xacc\$

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Predict(1) S → ABc\$
Predict(2) A → xaA
?

	x	y	a	b	c	\$
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Top-Down Parsing - Example

string: xacc\$

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ABc\$

xaABc\$

Rem. Input

xacc\$

xacc\$

xacc\$

Action

Predict(1) S → ABc\$

Predict(2) A → xaA

match(x)

	x	y	a	b	c	\$
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Top-Down Parsing - Example

string: xacc\$

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ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)

	x	y	a	b	c	\$
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Top-Down Parsing - Example

string: xacc\$

Stack*

S
ABc\$
xaABc\$
aABc\$
ABc\$

Rem. Input

xacc\$
xacc\$
xacc\$
acc\$
cc\$

Action

Predict(1) S → ABc\$
Predict(2) A → xaA
match(x)
match(a)
?

	x	y	a	b	c	\$
S	1	1			1	
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Top-Down Parsing - Example

string: xacc\$

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Stack*

Rem. Input

Action

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xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A->c

Top-Down Parsing - Example

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cBc\$		

Top-Down Parsing - Example

string: xacc\$

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Top-Down Parsing - Example

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c Bc\$	c c\$	match(c)

Top-Down Parsing - Example

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B c\$	c \$?

Top-Down Parsing - Example

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cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ

Top-Down Parsing - Example

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cBc\$	cc\$	match(c)
B c\$	c\$	Predict(6) B → λ
c\$		

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c\$	c\$?

Top-Down Parsing - Example

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Top-Down Parsing - Example

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ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	match(c)
\$	\$	Done!

Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
 - Scan input **L**eft-to-right, produce **L**eft-most derivation with **1** symbol look-ahead

Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
 - Scan input **L**eft-to-right, produce **L**eft-most derivation with 1 symbol look-ahead
- Not all Grammars are LL(1)

A Grammar is LL(1) iff for a production $A \rightarrow \alpha \mid \beta$, where α and β are distinct:

 1. For no terminal a do both α and β derive strings beginning with a (i.e. no common prefix)
 2. At most one of α and β can derive an empty string
 3. If $\beta \xRightarrow{*} \epsilon$, then α does not derive any string beginning with a terminal in $\text{Follow}(A)$. If $\alpha \xRightarrow{*} \epsilon$, then β does not derive any string beginning with a terminal in $\text{Follow}(A)$

Example (Left Factoring)

- Consider

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ endif}$

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ else } \langle \text{stmt list} \rangle \text{ endif}$

- This is not LL(1) (why?)
- We can turn this in to

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \langle \text{if suffix} \rangle$

$\langle \text{if suffix} \rangle \rightarrow \text{endif}$

$\langle \text{if suffix} \rangle \rightarrow \text{else } \langle \text{stmt list} \rangle \text{ endif}$

Example (Left Factoring)

- Consider

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ endif}$

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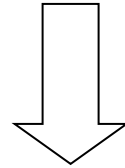
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$\langle \text{if suffix} \rangle \rightarrow \text{endif}$

$\langle \text{if suffix} \rangle \rightarrow \text{else } \langle \text{stmt list} \rangle \text{ endif}$

Left Factoring

$$A \rightarrow \alpha \beta \mid \alpha \mu$$

$$A \rightarrow \alpha N$$
$$N \rightarrow \beta$$
$$N \rightarrow \mu$$

Left recursion

- *Left recursion* is a problem for LL(1) parsers
 - LHS is also the first symbol of the RHS
- Consider:
$$E \rightarrow E + T$$
- What would happen with the stack-based algorithm?

Left recursion

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- Consider:

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- What would happen with the stack-based algorithm?

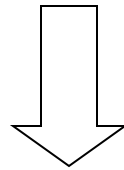
E

E + T

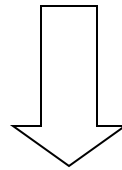
E + T + T

E + T + T + T

Eliminating Left Recursion

$$A \rightarrow A\alpha \mid \beta$$

$$A \rightarrow NT$$
$$N \rightarrow \beta$$
$$T \rightarrow \alpha T$$
$$T \rightarrow \lambda$$

Eliminating Left Recursion

$$E \rightarrow E + T \mid T$$

$$E \rightarrow E_1 \text{ Etail}$$
$$E_1 \rightarrow T$$
$$\text{Etail} \rightarrow + T \text{ Etail}$$
$$\text{Etail} \rightarrow \lambda$$

LL(k) parsers

- Can look ahead more than one symbol at a time
 - k -symbol lookahead requires extending first and follow sets
 - 2-symbol lookahead can distinguish between more rules:
$$A \rightarrow ax \mid ay$$
- More lookahead leads to more powerful parsers
- What are the downsides?

LL(k)? - Example

string: ((x+x))\$

- 1) $S \rightarrow E$
- 2) $E \rightarrow (E+E)$
- 3) $E \rightarrow (E-E)$
- 4) $E \rightarrow x$

Stack*	Rem. Input	Action
S	((x+x))\$	Predict(1) $S \rightarrow E$
E		Predict(2) or Predict(3)?

LL(1)

	(+ -)	x
S	1			1
E	2,3			4

LL(2)

	((+ (- ()\$	(x
S	1				1
E	2,3				4

Are all grammars LL(k)?

- No! Consider the following grammar:

$$\begin{array}{lcl} S & \rightarrow & E \\ E & \rightarrow & (E + E) \\ E & \rightarrow & (E - E) \\ E & \rightarrow & x \end{array}$$

- When parsing E, how do we know whether to use rule 2 or 3?
- Potentially unbounded number of characters before the distinguishing '+' or '-' is found
- No amount of lookahead will help!

In real languages?

- Consider the if-then-else problem
- `if x then y else z`
- Problem: else is optional
- `if a then if b then c else d`
 - Which if does the else belong to?
- This is analogous to a “bracket language”: $[^i]^j$ ($i \geq j$)

$S \rightarrow [S C$

$S \rightarrow \lambda$

$C \rightarrow]$

$C \rightarrow \lambda$

$[[]$ can be parsed: $SS\lambda C$ or $SSC\lambda$
(it's ambiguous!)

Solving the if-then-else problem

- The ambiguity exists at the language level. To fix, we need to define the semantics properly
 - “[” matches nearest unmatched “[”
 - This is the rule C uses for if-then-else
 - What if we try this?

$$\begin{aligned} S &\rightarrow [S \\ S &\rightarrow SI \\ SI &\rightarrow [SI] \\ SI &\rightarrow \lambda \end{aligned}$$

This grammar is still not LL(1)
(or LL(k) for any k!)

Two possible fixes

- If there is an ambiguity, prioritize one production over another
- e.g., if C is on the stack, always match “]” before matching “ λ ”

$$\begin{array}{lcl} S & \rightarrow & [S C \\ S & \rightarrow & \lambda \\ C & \rightarrow &] \\ C & \rightarrow & \lambda \end{array}$$

- Another option: change the language!
- e.g., all if-statements need to be closed with an endif

$$\begin{array}{lcl} S & \rightarrow & \text{if } S \text{ E} \\ S & \rightarrow & \text{other} \\ E & \rightarrow & \text{else } S \text{ endif} \\ E & \rightarrow & \text{endif} \end{array}$$

Parsing if-then-else

- What if we don't want to change the language?
 - C does not require { } to delimit single-statement blocks
- To parse if-then-else, we *need to be able to look ahead at the entire rhs of a production* before deciding which production to use
 - In other words, we need to determine how many “]” to match before we start matching “[”s
- *LR parsers* can do this!

Bottom-up Parsing

- More general than top-down parsing
- Used in most parser-generator tools
- Need not have left-factored grammars (i.e. can have left recursion)
- E.g. can work with the bracket language

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id

E \rightarrow T + E
E \rightarrow T
T \rightarrow id * T
T \rightarrow id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id

E \rightarrow T + E

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Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id
T + T

E \rightarrow T + E
E \rightarrow T
T \rightarrow id * T
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Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

T + E

E \rightarrow T + E

E \rightarrow T

T \rightarrow id * T

T \rightarrow id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id
T + T
T + E
E

E \rightarrow T + E

E \rightarrow T

T \rightarrow id * T

T \rightarrow id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id

id * T + id

T + id

T + T

T + E

E



E \rightarrow T + E

E \rightarrow T

T \rightarrow id * T

T \rightarrow id

Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id * id + id
id * T + id
T + id
T + T
T + E
E



Right-most derivation

$E \rightarrow T + E$
 $E \rightarrow T$
 $T \rightarrow id * T$
 $T \rightarrow id$

Bottom-up Parsing

- Scan the input left-to-right and **shift** tokens – put them on the stack.

| id * id + id

id | * id + id

id * | id + id

id * id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Replace a set of symbols at the top of the stack that are RHS of a production. Put the LHS of the production on stack – Reduce

| id * id + id

id | * id + id

id * | id + id

id * id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

Bottom-up Parsing

- Did not discuss when and why a particular production was chosen

id * id + id
id * T + id

E \rightarrow T + E
E \rightarrow T
T \rightarrow id * T
T \rightarrow id

- i.e. why replace the id highlighted in input string?*

LR Parsers

- Parser which does a Left-to-right, Right-most derivation
 - Rather than parse top-down, like LL parsers do, parse bottom-up, starting from leaves
- Basic idea: put tokens on a stack until an entire production is found
- Issues:
 - Recognizing the endpoint of a production
 - Finding the length of a production (RHS)
 - Finding the corresponding nonterminal (the LHS of the production)

Data structures

- At each state, given the next token,
 - A *goto table* defines the successor state
 - An *action table* defines whether to
 - *shift* – put the next state and token on the stack
 - *reduce* – an RHS is found; process the production
 - *terminate* – parsing is complete

Simple example

1. $P \rightarrow S$
2. $S \rightarrow x ; S$
3. $S \rightarrow e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$?

Start with state 0

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
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Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)

Example

I) $P \rightarrow S$

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Input string

$x;x;e$

		Symbol					Action
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State	0	1		3		5	Shift
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Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
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Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
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Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
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1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)

Example

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		Symbol					Action
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1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$


		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3

Example

I) $P \rightarrow S$

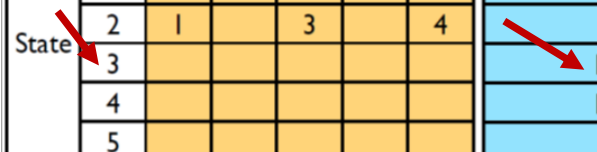
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
State	0	x	;	e	P	S	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept




Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Look at rule III and pop 1 symbol of the stack because RHS of rule III has just 1 symbol

Example

I) $P \rightarrow S$

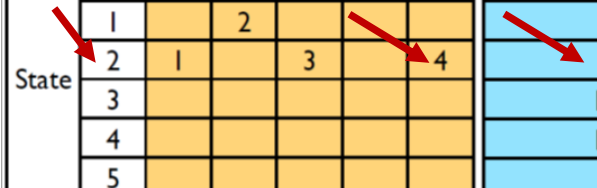
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept




Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table.

Example

I) $P \rightarrow S$

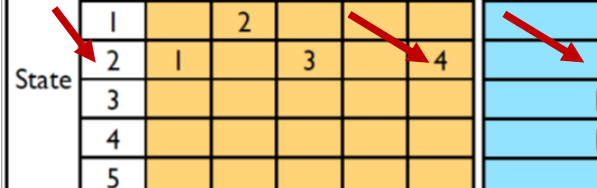
II) $S \rightarrow x;S$

III) $S \rightarrow e$ 

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept



Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		?

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
State	0	x	;	e	P	S	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Look at rule II and pop 3 symbols of the stack because RHS of rule II has 3 symbols

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table.

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table. Shift(4)

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2
9	0		

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		?

Example

I) $P \rightarrow S$

II) $S \rightarrow x;S$

III) $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	e	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		Accept

means replace
whatever is
there in the
stack with the
start symbol

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x | ; x ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; | x ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x | ; e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; | e

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; e |

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

|x;x;e

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x ; x ; e|

$$\begin{array}{c}
 S \\
 | \\
 x ; x ; e
 \end{array}$$

Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

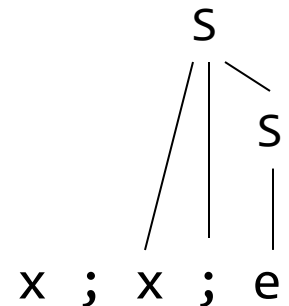
| $x;x;e$

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

$x ; x ; S$ |



Example

I) $P \rightarrow S$

Input string

II) $S \rightarrow x;S$

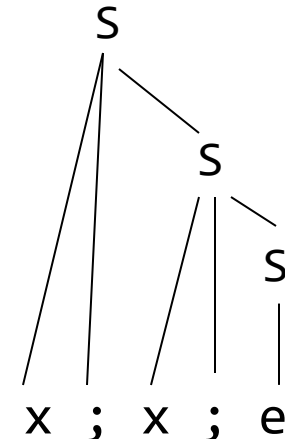
| $x;x;e$

III) $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

$x;S$ |

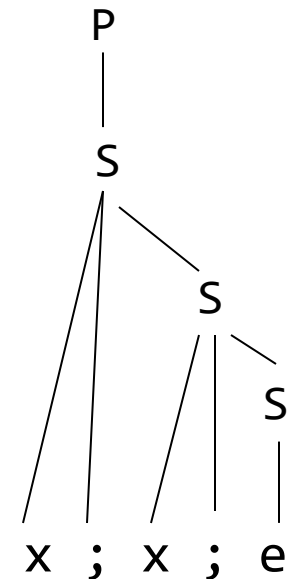


Example

I) P-→**S** Input string
II) S-→x;S |x;x;e
III) S-→e ← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

S |



Parsing using an LR(0) parser

- Basic idea: parser keeps track, simultaneously, of all possible productions that *could be matched* given what it's seen so far. When it sees a full production, match it.
- Maintain a *parse stack* that tells you what state you're in
 - Start in state 0
- In each state, look up in action table whether to:
 - *shift*: consume a token off the input; look for next state in goto table; push next state onto stack
 - *reduce*: match a production; pop off as many symbols from state stack as seen in production; look up where to go according to non-terminal we just matched; push next state onto stack
 - *accept*: terminate parse

Shift-Reduce Parsing

The LR parsing seen previously is an example of shift-reduce parsing

- When do we *shift* and when do we *reduce*?
 - *How do we construct goto and action tables?*

Concept: configuration / item

- Configuration or item has a form:

$$A \rightarrow X_1 \dots X_i \bullet X_{i+1} \dots X_j$$

- Dot \bullet can appear anywhere
- Represents a production part of which has been matched (what is to the left of Dot)
- LR parsers keep track of multiple (all) productions that can be potentially matched
 - We need a *configuration set*

Concept: configuration / item

➤ E.g. configuration set

```
stmt -> ID • := expr
stmt -> ID • : stmt
stmt -> ID •
```

Corresponding to productions:

```
stmt -> ID := expr
stmt -> ID : stmt
stmt -> ID
```

- Dot at the **extreme left** of RHS of a production denotes that production is **predicted**
- Dot at the **extreme right** of RHS of a production denotes that production is **recognized**
- if Dot precedes a Non-Terminal in a configuration set, more configurations need to be added to the set

Concept: closure

➤ For each configuration in the configuration set,

$A \rightarrow \alpha \bullet B \gamma$, where B is a non-terminal,

1 add configurations of the form:

$B \rightarrow \bullet \delta$

2 if the addition introduces a configuration with Dot behind a new non-Terminal N , add all configurations having the form $N \rightarrow \bullet \epsilon$


Repeat 2 when another new non-terminal is introduced and so on..

Concept: closure

Grammar


$S \rightarrow E\$$
 $E \rightarrow E+T \mid T$
 $T \rightarrow ID \mid (E)$

➤ E.g. closure $\{S \rightarrow \bullet E\$ \}$


Non-terminal
 $S \rightarrow \bullet E\$$

Concept: closure

➤ E.g. closure $\{S \rightarrow^\bullet E\$ \}$


S \rightarrow^\bullet E\$
E \rightarrow^\bullet E+T

Grammar

S \rightarrow E\$

E \rightarrow E+T | T

T \rightarrow ID | (E)

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$

↓
Non-terminal
 $S \rightarrow \bullet E \$$
 $E \rightarrow \bullet E + T$
 $E \rightarrow \bullet T$

Grammar

$S \rightarrow E \$$

$E \rightarrow E + T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



$S \rightarrow \bullet E \$$

$E \rightarrow \bullet E + T$

$E \rightarrow \bullet T$

New Non-terminal

Grammar

$S \rightarrow E \$$

$E \rightarrow E + T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



$S \rightarrow \bullet E \$$

$E \rightarrow \bullet E + T$

$E \rightarrow \bullet T$

$T \rightarrow \bullet ID$

New Non-terminal

Grammar

$S \rightarrow E \$$

$E \rightarrow E + T \mid T$

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Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E \$\}$



$S \rightarrow \bullet E \$$

$E \rightarrow \bullet E + T$

$E \rightarrow \bullet T$

$T \rightarrow \bullet ID$

$T \rightarrow \bullet (E)$

New Non-terminal

Grammar

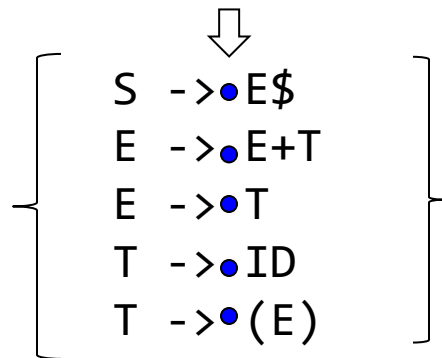
$S \rightarrow E \$$

$E \rightarrow E + T \mid T$

$T \rightarrow ID \mid (E)$

Concept: closure

➤ E.g. closure $\{S \rightarrow \bullet E\$ \}$



Grammar

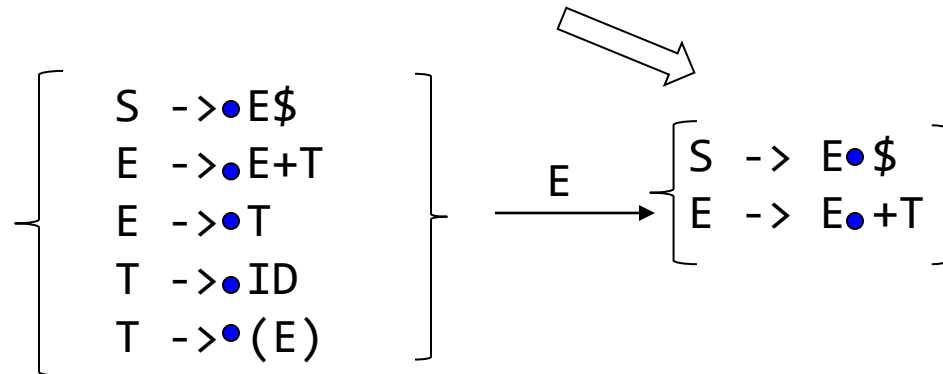
$S \rightarrow E\$$

$E \rightarrow E+T \mid T$

$T \rightarrow ID \mid (E)$

Concept: successor

➤ E.g. successor ($\{S \rightarrow \bullet E \$\}$, **E**)



Grammar

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow ID \mid (E)$

- Consider all symbols that are to the immediate right of Dot and compute respective successors
 - You must compute closure of successor before finalizing items in successor

Concept: CFSM

- Each configuration set becomes a state
- The symbol used as input for computing the successor becomes the transition
- Configuration-set finite state machine (CFSM)
 - The state diagram obtained after computing the chain of all successors (for all symbols) starting from the configuration involving the first production