

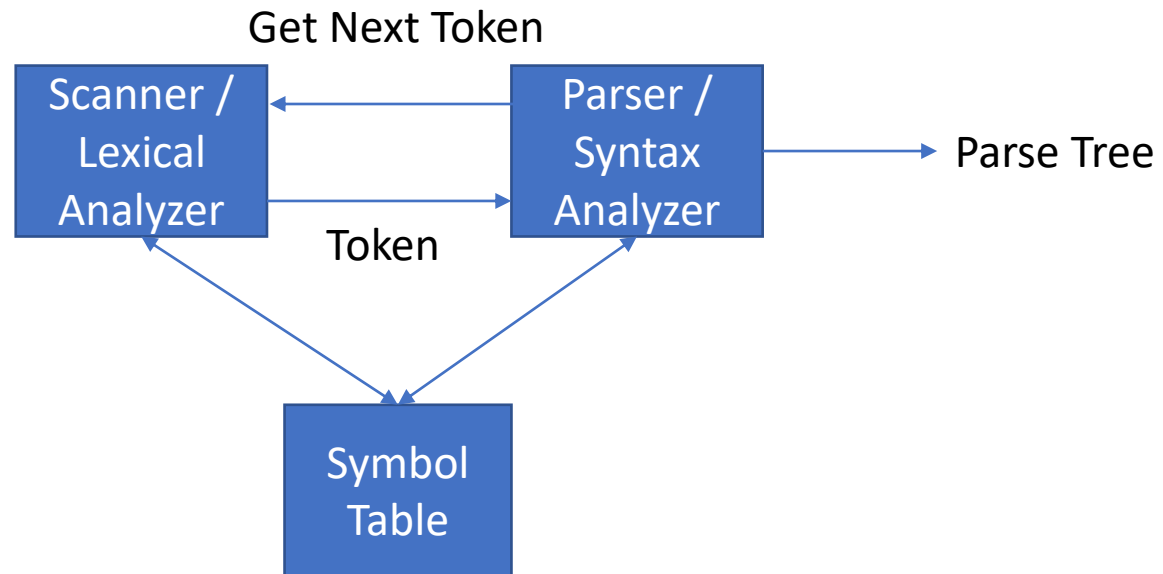
# CS406: Compilers

Spring 2022

Week 4: Parsers - Top-Down Parsing (table-driven approach and background concepts), Bottom-up parsing (use of goto and action tables)

# Demo

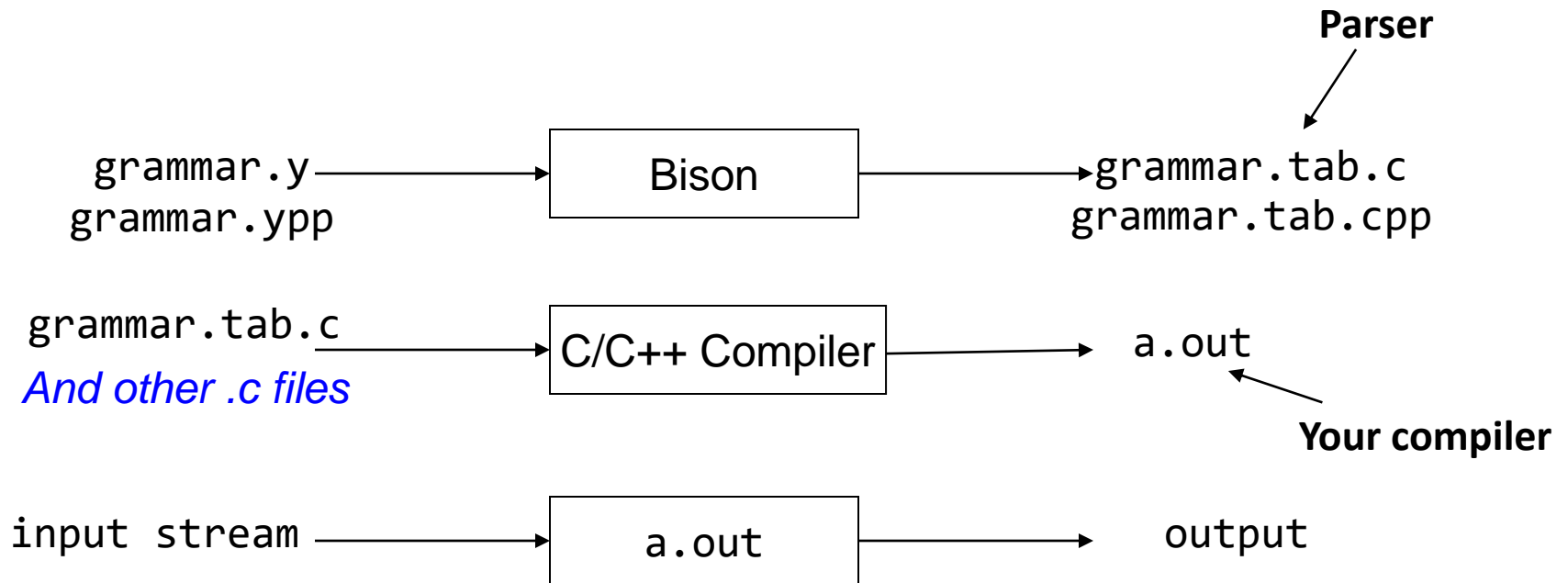
- Parser (in an implementation of a compiler)



# Bison (YACC)

- Specify the grammar
- Write a lexical analyzer to process input programs and pass the tokens to parser
- Call `yyparse()` from `main`
- Write error-handlers (what happens when the compiler encounters invalid programs?)

# Bison (YACC)



# Bison (YACC) – Input Format

```
%{  
Prologue  
%}  
Bison declarations  
%%  
Grammar rules  
%%  
Epilogue
```

# Bison (YACC) – Grammar Rules

```
%{  
Prologue  
%}  
Bison declarations  
%%  
E: E PLUS E {}  
  | INTEGER_LITERAL {}  
  ;  
%%  
Epilogue
```


# Bison (YACC) - Prologue

```
%{  
Prologue  
%}  
%token PLUS INTEGER_LITERAL  
%left PLUS  
%%  
E: E PLUS E {}  
  | INTEGER_LITERAL {}  
  ;  
%%  
Epilogue
```

# Bison (YACC) - Actions


```
%{  
Prologue  
%}  
%token PLUS INTEGER_LITERAL  
%left PLUS  
%%  
E: E PLUS E { $$ = $1 + $3; }  
  | INTEGER_LITERAL { $$ = $1; }  
  ;  
%%  
Epilogue
```

Legal C/C++ code





# Bison (YACC) – Semantic Values

```
%{  
Prologue  
%}  
%token PLUS INTEGER_LITERAL  
%left PLUS  
%%  
E: E  PLUS E { $$ = $1 + $3; }  
  | INTEGER_LITERAL { $$ = $1; }  
  ;  
%%  
Epilogue
```

# Bison (YACC) – Helper Functions

```
%{  
int yylex();  
void yyerror(char *s);  
%}  
%token PLUS INTEGER_LITERAL  
%left PLUS  
%%  
E: E PLUS E { $$ = $1 + $3; }  
  | INTEGER_LITERAL { $$ = $1; }  
  ;  
%%  
Epilogue
```

# Bison (YACC) – Helper Functions

```
%{
#include<stdlib.h>
#include<stdio.h>
int yylex();
void yyerror(char const *s);
%}
%token PLUS INTEGER_LITERAL
%left PLUS
%%
E: E PLUS E { $$ = $1 + $3; }
  | INTEGER_LITERAL { $$ = $1; };
%%
void yyerror(char const* s) {
    fprintf(stderr,"%s\n",s);
    exit(1);
}
```

# Bison (YACC) – Integrating

- Recall that terminals are tokens
- Lexer produces tokens
  - How do the parser and lexer have a common understanding of tokens?
  - How should the Lexer return tokens?

//grammar.y file

```
...
%token PLUS INTEGER_LITERAL
%%
E: E PLUS E { $$ = $1 + $3; }
  | INTEGER_LITERAL { $$ = $1; };
%%
...
```

bison -d grammar.y

grammar.tab.h

//scanner.l file

```
#include "grammar.tab.h"
extern YYSTYPE yylval
%%
\+      {return PLUS;}
[0-9]+  { yylval=atoi(yytext);
         return INTEGER_LITERAL;}
.|\n    {}
%%
...
```

# Bison(YACC) - More..

- %union
- %define
- error
- [Reference: Top \(Bison 3.8.1\) \(gnu.org\)](#)

# Top-down Parsing

- Idea: we know sentence has to start with initial symbol
- Build up partial derivations by *predicting* what rules are used to expand non-terminals
  - Often called *predictive parsers*
- If partial derivation has terminal characters, *match* them from the input stream

# Top-down Parsing

- Also called recursive-descent parsing
- Equivalent to finding the left-derivation for an input string
  - Recall: expand the leftmost non-terminal in a parse tree
  - Expand the parse tree in pre-order i.e., identify parent nodes before children

# Top-down Parsing

↑: next symbol to  
be read

1:  $S \rightarrow cAd$   
2:  $A \rightarrow ab$   
3:     |  $a$

Step	Input string	Parse tree
1	cad ↑	S

String: cad

Start with S



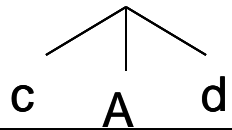
# Top-down Parsing

↑: next symbol to be read

1:  $S \rightarrow cAd$

2:  $A \rightarrow ab$

3:     | a

Step	Input string	Parse tree
1	cad	S
2	↑ cad ↑	

String: cad

Predict rule 1

# Top-down Parsing

↑: next symbol to be read

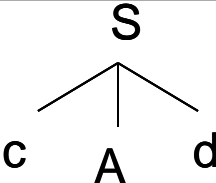
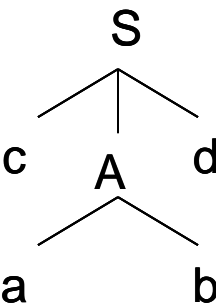
1:  $S \rightarrow cAd$

2:  $A \rightarrow ab$

3:     | a

String: cad

Predict rule 2

Step	Input string	Parse tree
1	cad	S
2	↑ cad ↑	
3	cad ↑	

# Top-down Parsing

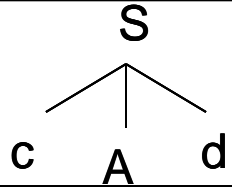
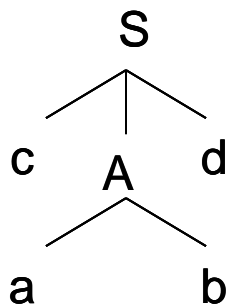
↑: next symbol to be read

1:  $S \rightarrow cAd$

2:  $A \rightarrow ab$

3:     | a

String: cad

Step	Input string	Parse tree
1	cad	S
2	↑ cad	 <pre>graph TD; S1[S] --- c1[c]; S1 --- A1[A]; S1 --- d1[d];</pre>
3	cad ↑	 <pre>graph TD; S2[S] --- c2[c]; S2 --- A2[A]; S2 --- d2[d]; A2 --- a[a]; A2 --- b[b];</pre>

**No more non terminals!**

**String doesn't match.**

**Backtrack.**

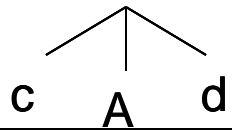
# Top-down Parsing

↑: next symbol to be read

1:  $S \rightarrow cAd$

2:  $A \rightarrow ab$

3:     | a

Step	Input string	Parse tree
1	cad	S
2	↑ cad ↑	

String: cad

# Top-down Parsing

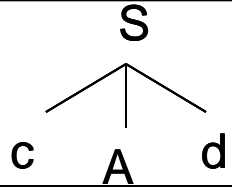
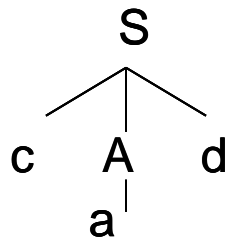
↑: next symbol to be read

1:  $S \rightarrow cAd$

2:  $A \rightarrow ab$

3:     | a

String: cad

Step	Input string	Parse tree
1	cad	S
2	↑ cad	
4	cad ↑	

Predict rule 3

# Top-down Parsing – Table-driven Approach

1:  $S \rightarrow F$

2:  $S \rightarrow (S + F)$

3:  $F \rightarrow a$

string: (a+a)

string': (a+a)\$

	(	)	a	+	\$
S	2	-	1	-	-
F	-	-	3	-	-

*Assume that the table is given.*

# Top-down Parsing – Table-driven Approach

1:  $S \rightarrow F$

2:  $S \rightarrow (S + F)$

3:  $F \rightarrow a$

string: (a+a)

string': (a+a)\$

	(	)	a	+	\$
S	2	-	1	-	-
F	-	-	3	-	-

*Assume that the table is given.*

- Table-driven (Parse Table) approach doesn't require backtracking

*But how do we construct such a table?*

# Important Concepts: First Sets and Follow Sets



# First and follow sets

- $\text{First}(\alpha)$ : the set of terminals (and/or  $\lambda$ ) that begin all strings that can be derived from  $\alpha$

- $\text{First}(A) = \{x, y, \lambda\}$

- $\text{First}(xaA) = \{x\}$

- $\text{First}(AB) = \{x, y, b\}$

- $\text{Follow}(A)$ : the set of terminals (and/or \$, but no  $\lambda$ s) that can appear immediately after  $A$  in some partial derivation

- $\text{Follow}(A) = \{b\}$

$$S \rightarrow A B \$$$

$$A \rightarrow x a A$$

$$A \rightarrow y a A$$

$$A \rightarrow \lambda$$

$$B \rightarrow b$$

# First and follow sets

- $\text{First}(\alpha) = \{a \in V_t \mid \alpha \Rightarrow^* a\beta\} \cup \{\lambda \mid \text{if } \alpha \Rightarrow^* \lambda\}$
- $\text{Follow}(A) = \{a \in V_t \mid S \Rightarrow^+ \dots Aa \dots\} \cup \{\$ \mid \text{if } S \Rightarrow^+ \dots A \$\}$

S: start symbol

a: a terminal symbol

A: a non-terminal symbol

$\alpha, \beta$ : a string composed of terminals and non-terminals (typically,  $\alpha$  is the RHS of a production

$\Rightarrow$ : derived in 1 step

$\Rightarrow^*$ : derived in 0 or more steps

$\Rightarrow^+$ : derived in 1 or more steps

# Computing first sets

- Terminal:  $\text{First}(a) = \{a\}$
- Non-terminal:  $\text{First}(A)$ 
  - Look at all productions for  $A$   
 $A \rightarrow X_1 X_2 \dots X_k$
  - $\text{First}(A) \supseteq (\text{First}(X_1) - \lambda)$
  - If  $\lambda \in \text{First}(X_1)$ ,  $\text{First}(A) \supseteq (\text{First}(X_2) - \lambda)$
  - If  $\lambda$  is in  $\text{First}(X_i)$  for all  $i$ , then  $\lambda \in \text{First}(A)$
- Computing  $\text{First}(\alpha)$ : similar procedure to computing  $\text{First}(A)$

# A simple example

$$S \rightarrow A B c \$$$
$$A \rightarrow x a A$$
$$A \rightarrow y a A$$
$$A \rightarrow c$$
$$B \rightarrow b$$
$$B \rightarrow \lambda$$

- A sentence in the grammar:

$x a c c \$$

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

special “end of input” symbol

- A sentence in the grammar:

$x a c c \$$

# A simple example

$$S \rightarrow A B c \$$$

$$A \rightarrow x a A$$

$$A \rightarrow y a A$$

$$A \rightarrow c$$

$$B \rightarrow b$$

$$B \rightarrow \lambda$$

- A sentence in the grammar:

$x a c c \$$

Current derivation:  $S$

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

• A sentence in the grammar:

$x a c c \$$

Current derivation:  $A B c \$$

Predict rule

# A simple example

$S \rightarrow A B c \$$

Choose based on  
*first set* of rules

$A \rightarrow x a A$   
 $A \rightarrow y a A$   
 $A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

• A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a A B c \$$

Predict rule *based on next token*



# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

• A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a A B c \$$

Match token

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

• A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a A B c \$$

Match token

# A simple example

$$S \rightarrow A B c \$$$

Choose based on  
*first set* of rules

$$\begin{array}{l} A \rightarrow x a A \\ A \rightarrow y a A \\ A \rightarrow c \end{array}$$

$$B \rightarrow b$$

• A sentence in the grammar:

$$B \rightarrow \lambda$$

$x a c c \$$

Current derivation:  $x a c B c \$$

Predict rule *based on next token*

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

• A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a c B c \$$

Match token

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

Choose based on  
*follow set*

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

- A sentence in the grammar:  
 $x a c c \$$

Current derivation:  $x a c \lambda c \$$

Predict rule *based on next token*

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

- A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a c c \$$

Match token

# A simple example

$S \rightarrow A B c \$$

$A \rightarrow x a A$

$A \rightarrow y a A$

$A \rightarrow c$

$B \rightarrow b$

$B \rightarrow \lambda$

- A sentence in the grammar:

$x a c c \$$

Current derivation:  $x a c c \$$

Match token

# Towards parser generators

- Key problem: as we read the source program, we need to decide what productions to use
- Step 1: find the tokens that can tell which production  $P$  (of the form  $A \rightarrow X_1 X_2 \dots X_m$ ) applies

$\text{Predict}(P) =$

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

- If next token is in  $\text{Predict}(P)$ , then we should choose this production



# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ \text{?} \}$

Think of all possible strings derivable from  $S$ .  
Get the **first terminal symbol** in those strings  
or  $\lambda$  if  $S$  derives  $\lambda$

# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$

# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$   
 $\text{first}(A) = \{ \text{?} \}$

# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$

$\text{first}(A) = \{ x, y, c \}$

# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$   
 $\text{first}(A) = \{ x, y, c \}$   
 $\text{first}(B) = \{ ? \}$

# First Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{first}(S) = \{ x, y, c \}$   
 $\text{first}(A) = \{ x, y, c \}$   
 $\text{first}(B) = \{ b, \lambda \}$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \text{?} \}$

Think of all strings **possible in the language** having the form  $..Sa..$ . Get the **following terminal symbol**  $a$  after  $S$  in those strings or  $\$$  if you get a string  $..S\$$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$



# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$   
 $\text{follow}(A) = \{ \text{?} \}$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ b, c \}$

e.g.  $xaAbc\$$ ,  $xaAc\$$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ b, c \}$       e.g.  $xaAbc\$$ ,  $xaAc\$$

*What happens when you consider:  $A \rightarrow xaA$  or  $A \rightarrow yaA$  ?*

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$

$\text{follow}(A) = \{ b, c \}$       e.g.  $xaAbc\$$ ,  $xaAc\$$

*What happens when you consider:  $A \rightarrow xaA$  or  $A \rightarrow yaA$  ?*

- You will get string of the form  $A \Rightarrow^+ (xa)^+A$
- But we need strings of the form:  $\dots Aa\dots$  or  $\dots Ab\dots$  or  $\dots Ac\dots$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$   
 $\text{follow}(A) = \{ b, c \}$   
 $\text{follow}(B) = \{ ? \}$

# Follow Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

$\text{follow}(S) = \{ \quad \}$   
 $\text{follow}(A) = \{ b, c \}$   
 $\text{follow}(B) = \{ c \}$

# Predict Set - Example

1)  $S \rightarrow ABc\$$

2)  $A \rightarrow xaA$

3)  $A \rightarrow yaA$

4)  $A \rightarrow c$

5)  $B \rightarrow b$

6)  $B \rightarrow \lambda$

$\text{Predict}(P) =$

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

$\text{Predict}(1) = \{ ? \} = \text{First}(ABc\$) \text{ if } \lambda \notin \text{First}(ABc\$)$

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A						
B						

Predict (1) = { x, y, c }



# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A						
B						

Predict (1) = { x, y, c }

Predict (2) = { ? } = First(xaA) if  $\lambda \notin \text{First}(xaA)$

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2					
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2					
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { ? } = First(yaA) if  $\lambda \notin \text{First}(yaA)$

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3				
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3				
B						

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { ? } = First(c) if  $\lambda \notin \text{First}(c)$

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B						

Predict (1) = { x, y, c }  
Predict (2) = { x }  
Predict (3) = { y }  
Predict (4) = { c }

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
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	x	y	a	b	c	\$
S	1	1			1	
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Predict (1) = { x, y, c }

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Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { ? } = First(b) if  $\lambda \notin \text{First}(b)$

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
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- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

Predict (1) = { x, y, c }  
Predict (2) = { x }  
Predict (3) = { y }  
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	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { b }

Predict (6) = { ? }

Predict( $P$ ) =

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

= First( $\lambda$ ) ?

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5		

Predict (1) = { x, y, c }

Predict (2) = { x }

Predict (3) = { y }

Predict (4) = { c }

Predict (5) = { b }

Predict (6) = { ? }

Predict( $P$ ) =

$$\begin{cases} \text{First}(X_1 \dots X_m) & \text{if } \lambda \notin \text{First}(X_1 \dots X_m) \\ (\text{First}(X_1 \dots X_m) - \lambda) \cup \text{Follow}(A) & \text{otherwise} \end{cases}$$

~~= First( $\lambda$ ) ? Follow(B)~~

# Predict Set - Example

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

- Predict (1) = { x, y, c }
- Predict (2) = { x }
- Predict (3) = { y }
- Predict (4) = { c }
- Predict (5) = { b }
- Predict (6) = { c }

# Computing Parse-Table

- 1)  $S \rightarrow ABc\$$
- 2)  $A \rightarrow xaA$
- 3)  $A \rightarrow yaA$
- 4)  $A \rightarrow c$
- 5)  $B \rightarrow b$
- 6)  $B \rightarrow \lambda$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

$\text{first}(S) = \{x, y, c\}$   
 $\text{first}(A) = \{x, y, c\}$   
 $\text{first}(B) = \{b, \lambda\}$

$\text{follow}(S) = \{\}$   
 $\text{follow}(A) = \{b, c\}$   
 $\text{follow}(B) = \{c\}$

$P(1) = \{x, y, c\}$   
 $P(2) = \{x\}$   
 $P(3) = \{y\}$   
 $P(4) = \{c\}$   
 $P(5) = \{b\}$   
 $P(6) = \{c\}$

# Parsing using stack-based model

- How do we use the Parse Table constructed?

# Top-Down Parsing - Example

string: xacc\$

**Stack**

?

**Rem. Input**

xacc\$

**Action**

?

*What do you put on the stack?*

# Top-Down Parsing - Example

string: xacc\$

**Stack**

?

**Rem. Input**

xacc\$

**Action**

?

*What do you put on the stack? – strings that you derive*

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S

**Rem. Input**

xacc\$

**Action**

?

Top-down parsing. So, start with S.



# Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	?

Top-down parsing. So, start with S.

*What action do you take when stack-top has symbol S and the string to be matched has terminal x in front?*

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S

**Rem. Input**

xacc\$

**Action**

Predict(1) S → ABc\$

Top-down parsing. So, start with S.

What action do you take when stack-top has **symbol S** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S  
ABc\$

**Rem. Input**

xacc\$

**Action**

Predict(1) S → ABc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S->ABc\$
A Bc\$	xacc\$	

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA

What action do you take when stack-top has **symbol A** and the string to be matched has **terminal x** in front? – consult parse table

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S  
ABc\$  
xaABc\$

**Rem. Input**

xacc\$  
xacc\$

**Action**

Predict(1) S → ABc\$  
Predict(2) A → xaA

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S

ABc\$

**x**aABc\$

**Rem. Input**

xacc\$

xacc\$

**x**acc\$

**Action**

Predict(1) S → ABc\$

Predict(2) A → xaA

?

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S

ABc\$

**x**aABc\$

**Rem. Input**

xacc\$

xacc\$

**x**acc\$

**Action**

Predict(1) S → ABc\$

Predict(2) A → xaA

**match(x)**

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	



# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S  
ABc\$  
xaABc\$  
aABc\$

**Rem. Input**

xacc\$  
xacc\$  
xacc\$  
acc\$

**Action**

Predict(1) S → ABc\$  
Predict(2) A → xaA  
match(x)  
match(a)

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

**Stack\***

S  
ABc\$  
xaABc\$  
aABc\$  
ABc\$

**Rem. Input**

xacc\$  
xacc\$  
xacc\$  
acc\$  
cc\$

**Action**

Predict(1) S → ABc\$  
Predict(2) A → xaA  
match(x)  
match(a)  
?

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

**Stack\***

**Rem. Input**

**Action**

S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A->c

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

**Stack\***

**Rem. Input**

**Action**

S	xacc\$	Predict(1) S->ABc\$
ABc\$	xacc\$	Predict(2) A->xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A->c
cBc\$		

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
<b>c</b> Bc\$	<b>c</b> c\$	?

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
<b>c</b> Bc\$	<b>cc</b> \$	<b>match(c)</b>

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	?

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ



# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
<b>B</b> c\$	c\$	Predict(6) B → λ
c\$		

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	?

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	match(c)

# Top-Down Parsing - Example

string: xacc\$

	x	y	a	b	c	\$
S	1	1			1	
A	2	3			4	
B				5	6	

Stack*	Rem. Input	Action
S	xacc\$	Predict(1) S → ABc\$
ABc\$	xacc\$	Predict(2) A → xaA
xaABc\$	xacc\$	match(x)
aABc\$	acc\$	match(a)
ABc\$	cc\$	Predict(4) A → c
cBc\$	cc\$	match(c)
Bc\$	c\$	Predict(6) B → λ
c\$	c\$	match(c)
\$	\$	Done!

# Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
  - Scan input **L**eft-to-right, produce **L**eft-most derivation with **1** symbol look-ahead

# Identifying LL(1) Grammar

- What we saw was an example of LL(1) Grammar
  - Scan input **L**eft-to-right, produce **L**eft-most derivation with 1 symbol look-ahead
- Not all Grammars are LL(1)

A Grammar is LL(1) iff for a production  $A \rightarrow \alpha \mid \beta$ , where  $\alpha$  and  $\beta$  are distinct:

  1. For no terminal  $a$  do both  $\alpha$  and  $\beta$  derive strings beginning with  $a$  (i.e. no common prefix)
  2. At most one of  $\alpha$  and  $\beta$  can derive an empty string
  3. If  $\beta \xRightarrow{*} \epsilon$ , then  $\alpha$  does not derive any string beginning with a terminal in  $\text{Follow}(A)$ . If  $\alpha \xRightarrow{*} \epsilon$ , then  $\beta$  does not derive any string beginning with a terminal in  $\text{Follow}(A)$

# Example (Left Factoring)

- Consider

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ endif}$

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ else } \langle \text{stmt list} \rangle \text{ endif}$

- This is not LL(1) (why?)
- We can turn this in to

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \langle \text{if suffix} \rangle$

$\langle \text{if suffix} \rangle \rightarrow \text{endif}$

$\langle \text{if suffix} \rangle \rightarrow \text{else } \langle \text{stmt list} \rangle \text{ endif}$

# Example (Left Factoring)

- Consider

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ endif}$

$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \text{ else } \langle \text{stmt list} \rangle \text{ endif}$

- This is not LL(1) (why?)
- We can turn this in to

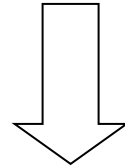
$\langle \text{stmt} \rangle \rightarrow \text{if } \langle \text{expr} \rangle \text{ then } \langle \text{stmt list} \rangle \langle \text{if suffix} \rangle$

$\langle \text{if suffix} \rangle \rightarrow \text{endif}$

$\langle \text{if suffix} \rangle \rightarrow \text{else } \langle \text{stmt list} \rangle \text{ endif}$



# Left Factoring

$$A \rightarrow \alpha \beta \mid \alpha \mu$$

$$A \rightarrow \alpha N$$
$$N \rightarrow \beta$$
$$N \rightarrow \mu$$

# Left recursion

- *Left recursion* is a problem for LL(1) parsers
  - LHS is also the first symbol of the RHS
- Consider:  
$$E \rightarrow E + T$$
- What would happen with the stack-based algorithm?

# Left recursion

- *Left recursion* is a problem for LL(1) parsers
  - LHS is also the first symbol of the RHS

- Consider:

$$E \rightarrow E + T$$

- What would happen with the stack-based algorithm?

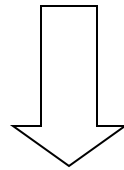
E

E + T

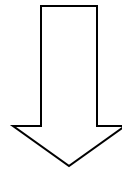
E + T + T

E + T + T + T

# Eliminating Left Recursion

$$A \rightarrow A\alpha \mid \beta$$

$$A \rightarrow NT$$
$$N \rightarrow \beta$$
$$T \rightarrow \alpha T$$
$$T \rightarrow \lambda$$

# Eliminating Left Recursion

$$E \rightarrow E + T$$

$$E \rightarrow E_1 \text{ Etail}$$
$$E_1 \rightarrow T$$
$$\text{Etail} \rightarrow + T \text{ Etail}$$
$$\text{Etail} \rightarrow \lambda$$

# LL(k) parsers

- Can look ahead more than one symbol at a time
  - $k$ -symbol lookahead requires extending first and follow sets
  - 2-symbol lookahead can distinguish between more rules:  
$$A \rightarrow ax \mid ay$$
- More lookahead leads to more powerful parsers
- What are the downsides?

# Are all grammars LL(k)?

- No! Consider the following grammar:

$$\begin{aligned} S &\rightarrow E \\ E &\rightarrow (E + E) \\ E &\rightarrow (E - E) \\ E &\rightarrow x \end{aligned}$$

- When parsing E, how do we know whether to use rule 2 or 3?
- Potentially unbounded number of characters before the distinguishing '+' or '-' is found
- No amount of lookahead will help!

# LL(k)? - Example

string: ((x+x))\$

- 1)  $S \rightarrow E$
- 2)  $E \rightarrow (E+E)$
- 3)  $E \rightarrow (E-E)$
- 4)  $E \rightarrow x$

Stack*	Rem. Input	Action
S	((x+x))\$	Predict(1) $S \rightarrow E$
E		Predict(2) or Predict(3)?

LL(1)

	(	+ -	)	x
S	1			1
E	2,3			4

LL(2)

	((	+(	-(	)\$	(x
S	1				1
E	2,3				4



# In real languages?

- Consider the if-then-else problem
- `if x then y else z`
- Problem: else is optional
- `if a then if b then c else d`
  - Which if does the else belong to?
- This is analogous to a “bracket language”:  $[^i ]^j$  ( $i \geq j$ )

$S \rightarrow [ S C$   
 $S \rightarrow \lambda$   
 $C \rightarrow ]$   
 $C \rightarrow \lambda$

$[ [ ]$  can be parsed:  $SS\lambda C$  or  $SSC\lambda$   
(it's ambiguous!)

# Solving the if-then-else problem

- The ambiguity exists at the language level. To fix, we need to define the semantics properly
  - “[” matches nearest unmatched “[”
  - This is the rule C uses for if-then-else
  - What if we try this?

$$\begin{aligned} S &\rightarrow [ S \\ S &\rightarrow SI \\ SI &\rightarrow [ SI ] \\ SI &\rightarrow \lambda \end{aligned}$$

This grammar is still not LL(1)  
(or LL(k) for any k!)

# Two possible fixes

- If there is an ambiguity, prioritize one production over another
- e.g., if C is on the stack, always match “]” before matching “ $\lambda$ ”

$$\begin{array}{lcl} S & \rightarrow & [ S C \\ S & \rightarrow & \lambda \\ C & \rightarrow & ] \\ C & \rightarrow & \lambda \end{array}$$

- Another option: change the language!
- e.g., all if-statements need to be closed with an endif

$$\begin{array}{lcl} S & \rightarrow & \text{if } S \text{ E} \\ S & \rightarrow & \text{other} \\ E & \rightarrow & \text{else } S \text{ endif} \\ E & \rightarrow & \text{endif} \end{array}$$

# Parsing if-then-else

- What if we don't want to change the language?
  - C does not require { } to delimit single-statement blocks
- To parse if-then-else, we *need to be able to look ahead at the entire rhs of a production* before deciding which production to use
  - In other words, we need to determine how many “]” to match before we start matching “[”s
- *LR parsers* can do this!

# Bottom-up Parsing

- More general than top-down parsing
- Used in most parser-generator tools
- Need not have left-factored grammars (i.e. can have left recursion)
- E.g. can work with the bracket language

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id

E  $\rightarrow$  T + E  
E  $\rightarrow$  T  
T  $\rightarrow$  id \* T  
T  $\rightarrow$  id



# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id  
T + id

E  $\rightarrow$  T + E

E  $\rightarrow$  T

T  $\rightarrow$  id \* T

T  $\rightarrow$  id

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id  
T + id  
T + T

E  $\rightarrow$  T + E  
E  $\rightarrow$  T  
T  $\rightarrow$  id \* T  
T  $\rightarrow$  id

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id  
T + id  
T + T  
T + E

E  $\rightarrow$  T + E

E  $\rightarrow$  T

T  $\rightarrow$  id \* T

T  $\rightarrow$  id

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id  
T + id  
T + T  
T + E  
E

E  $\rightarrow$  T + E

E  $\rightarrow$  T

T  $\rightarrow$  id \* T

T  $\rightarrow$  id

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id

id \* T + id

T + id

T + T

T + E

E



E  $\rightarrow$  T + E

E  $\rightarrow$  T

T  $\rightarrow$  id \* T

T  $\rightarrow$  id

# Bottom-up Parsing

- Reduce a string to start symbol by reverse 'inverting' productions

id \* id + id  
id \* T + id  
T + id  
T + T  
T + E  
E



Right-most derivation

$E \rightarrow T + E$   
 $E \rightarrow T$   
 $T \rightarrow id * T$   
 $T \rightarrow id$

# Bottom-up Parsing

- Scan the input left-to-right and **shift** tokens – put them on the stack.

| id \* id + id

id | \* id + id

id \* | id + id

id \* id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$

# Bottom-up Parsing

- Replace a set of symbols at the top of the stack that are RHS of a production. Put the LHS of the production on stack – **Reduce**

| id \* id + id

id | \* id + id

id \* | id + id

id \* id | + id

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id * T$

$T \rightarrow id$



# Bottom-up Parsing

- Did not discuss when and why a particular production was chosen

id \* id + id  
id \* T + id

E  $\rightarrow$  T + E  
E  $\rightarrow$  T  
T  $\rightarrow$  id \* T  
T  $\rightarrow$  id

- i.e. why replace the id highlighted in input string?*

# LR Parsers

- Parser which does a Left-to-right, Right-most derivation
  - Rather than parse top-down, like LL parsers do, parse bottom-up, starting from leaves
- Basic idea: put tokens on a stack until an entire production is found
- Issues:
  - Recognizing the endpoint of a production
  - Finding the length of a production (RHS)
  - Finding the corresponding nonterminal (the LHS of the production)

# Data structures

- At each state, given the next token,
  - A *goto table* defines the successor state
  - An *action table* defines whether to
    - *shift* – put the next state and token on the stack
    - *reduce* – an RHS is found; process the production
    - *terminate* – parsing is complete

# Simple example

1.  $P \rightarrow S$
2.  $S \rightarrow x ; S$
3.  $S \rightarrow e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	?

Start with state 0

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)



# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 <b>3</b>		<b>?</b>

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept


Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3



# Example

I)  $P \rightarrow S$

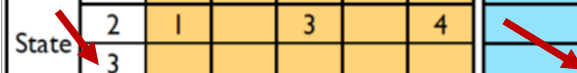
II)  $S \rightarrow x;S$

III)  $S \rightarrow e$  

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept




Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Look at rule III and pop 1 symbol of the stack because RHS of rule III has just 1 symbol

# Example

I)  $P \rightarrow S$

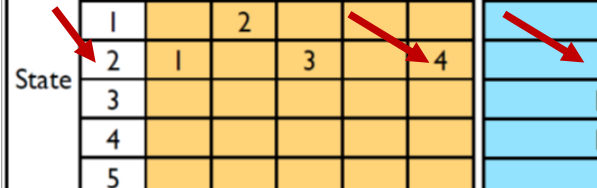
II)  $S \rightarrow x;S$

III)  $S \rightarrow e$  

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept




Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3
7	0 1 2 1 2		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table.

# Example

I)  $P \rightarrow S$

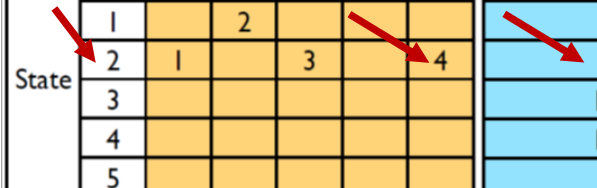
II)  $S \rightarrow x;S$

III)  $S \rightarrow e$  

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept



Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		?

- Now stack top has symbol 2 and LHS of rule III has S (imagine you saw S at input). Consult goto and action table. Shift(4)

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Look at rule II and pop 3 symbols of the stack because RHS of rule II has 3 symbols

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2
8	0 1 2		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table.

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		

- Now stack top has symbol 2 and LHS of rule II has S (imagine you saw S at input). Consult goto and action table. Shift(4)



# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2
9	0		

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		?

# Example

I)  $P \rightarrow S$

II)  $S \rightarrow x;S$

III)  $S \rightarrow e$

Input string

$x;x;e$

		Symbol					Action
		x	;	e	P	S	
State	0	1		3		5	Shift
	1		2				Shift
	2	1		3		4	Shift
	3						Reduce 3
	4						Reduce 2
	5						Accept

Step	Parse Stack	Rem. Input	Parser Action
1	0	$x;x;e$	Shift(1)
2	0 1	$;x;e$	Shift(2)
3	0 1 2	$x;e$	Shift(1)
4	0 1 2 1	$;e$	Shift(2)
5	0 1 2 1 2	$e$	Shift(3)
6	0 1 2 1 2 3		Reduce 3 (shift(4))
7	0 1 2 1 2 4		Reduce 2 (shift(4))
8	0 1 2 4		Reduce 2 (shift(5))
9	0 5		Accept

means replace  
whatever is  
there in the  
stack with the  
start symbol

# Example

I)  $P \rightarrow S$

Input string

II)  $S \rightarrow x;S$

|x;x;e

III)  $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

# Example

I)  $P \rightarrow S$

Input string

II)  $S \rightarrow x;S$

|x;x;e

III)  $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

x | ; x ; e



# Example

I)  $P \rightarrow S$

Input string

II)  $S \rightarrow x;S$

|x;x;e

III)  $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
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9	Accept

x ; x ; e|

x ; x ; e  
|  
S

# Example

I)  $P \rightarrow S$

Input string

II)  $S \rightarrow x;S$

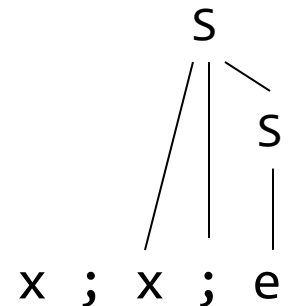
|  $x;x;e$

III)  $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

$x ; x ; S$  |



# Example

I)  $P \rightarrow S$

Input string

II)  $S \rightarrow x;S$

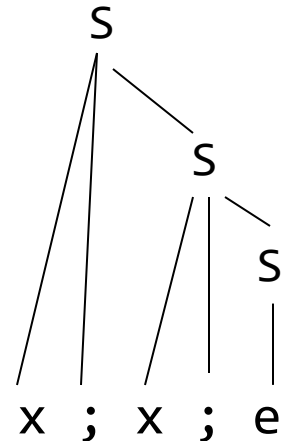
|  $x;x;e$

III)  $S \rightarrow e$

← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	Accept

$x;S$  |

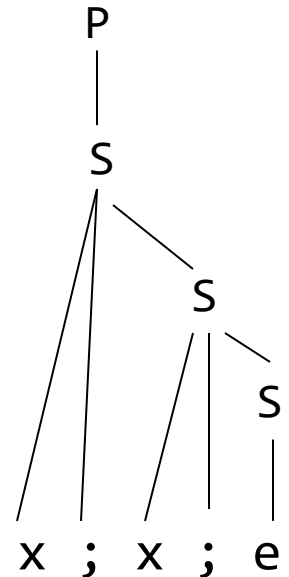


# Example

I) P → **S**      Input string  
 II) S → x;S      | x;x;e  
 III) S → e      ← Initial scan pointer

Step	Parser Action
1	Shift(1)
2	Shift(2)
3	Shift(1)
4	Shift(2)
5	Shift(3)
6	Reduce 3 (shift(4))
7	Reduce 2 (shift(4))
8	Reduce 2 (shift(5))
9	<b>Accept</b>

**S** |





# Parsing using an LR(0) parser

- Basic idea: parser keeps track, simultaneously, of all possible productions that *could be matched* given what it's seen so far. When it sees a full production, match it.
- Maintain a *parse stack* that tells you what state you're in
  - Start in state 0
- In each state, look up in action table whether to:
  - *shift*: consume a token off the input; look for next state in goto table; push next state onto stack
  - *reduce*: match a production; pop off as many symbols from state stack as seen in production; look up where to go according to non-terminal we just matched; push next state onto stack
  - *accept*: terminate parse

# Shift-Reduce Parsing

The LR parsing seen previously is an example of shift-reduce parsing

- When do we *shift* and when do we *reduce*?
  - *How do we construct goto and action tables?*