# Introduction to Additive Manufacturing Technologies

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28.2.2017

## Acknowledgements

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## List of shortcuts

- AM Additive manufacturing
- BJ Binder jetting
- DED Directed energy deposition
- DMD Digital micromirror device
- DMLS Direct metal laser sintering
  - EBM Electron beam melting
  - FDM Fused deposition modeling
  - FFF Fused filament fabrication
  - LOM Laminated object manufacturing
    - MJ Material jetting
  - PBF Powder bed fusion
    - SL Sheet lamination
  - SLA Stereolitography
  - SLS Selective laser sintering
  - STL Stereolitography or STL file format

## Introduction

#### 1.1 About the thesis

There are many terms like Additive Manufacturing, 3D printing, rapid prototyping and more, that are used to describe specific technologies. Although they are not precisely synonyms, all of them are related to a specific way of product manufacturing. Nowadays, we are still used to making machines and parts from solid blocks of raw material, and then machining away material until desired shape if acquired. Also casting, forming, welding and other technologies are used in the classical process chain, in order to make a specific part.

However, since 1980s there were new and different manufacturing technologies being developed, that used vastly different approach. This trend still continues with more and more interest being paid to this field. Those technologies are commonly named Additive manufacturing technologies (hereinafter AM). The main underlying principle of all AM technologies, that will be listed later, is making part by adding material, instead of removing it. This approach has many advantages over previously mentioned conventional technologies, but it also brings different problem sets that need to be solved. As mentioned, AM technologies are on a rise. It is now more than 30 years since humble beginnings of the first AM technology, Stereolitography. Since then, AM industry developed rapidly and is today worth several billions of dollars on the market. Its significance can"t be stressed out enough, and sometimes we are not paying as much attention to AM as we should. It takes a lot of time to fully realize, how big difference in terms of parts production AM can cause. Sometimes we might not realize, that parts we are used to make using classical approach, could be made using AM, faster and without perfectly planned pre-production planning, post-production and with less waste material. AM technologies were not developed to replace conventional technologies - they will probably always have its place on the market. Still, conventional processes can be supported by AM when possible in order to increase manufacturing speed, simplicity and reduce product price. There are even available machines, trying to merge CNCs and AM into a single functional production machine.

For the problematic of AM machines is very complex, choosing a single technology and fully describing it into detail would be sufficient for diploma thesis. Therefore it is out of scope of this BT, to give detailed description of all technologies. There are many intricate processes included, related to heat and mass transfer, material processing and properties, precise positioning systems, careful regulation of build environment conditions and much more.

The main goal of this Bachelor thesis is to make research on the AM field and available technologies. Then, make a brief, but complete and understandable summary of them and describe ongoing processes. This BT should give the reader an opportunity to understand essentials of individual technologies - know their strengths, weaknesses, main working principles and for which applications are they suitable.

The future of AM market is still to unfold, but statistics are showing that AM machines will be used more in production process - especially with the continuous trend of improving materials availability, development of new materials or price reduction of all key electronic / mechanical parts.

#### 1.2 Resources

In this thesis, I use primarily limited amount of resources and literature. Even though this thesis should be complex and diverse, I decided to use the book [1] as my primary source of information. This book is than supplemented by facts from scientific journals, other books, information from AM machine vendors, webpages and others. Also, there are sections where I write information gained at OAMK (mentioned in the acknowledgement), so the information source can't be easily told.

The reason for using [1] as my primary information source is simple - this book is very up-to date, and goes deep into the topics well enough. This more than 500 pages book is professional well enough. It covers all the details of almost any AM-related problematic, and full understanding the complete content requires high level of education in chemistry, calculus, material engineering and more. Since it is out of my scope, even to fully understand this book itself, I believe taking it as a primary information source is acceptable, and will not cause the thesis to be too simple due to lack of resources.

#### 1.3 Additive manufacturing and process engineering

Since this thesis is made under the auspices of Department of Process Engineering, it should be mentioned why AM is relevant to this engineering field. At the first glance, we might think that since AM is a production technology, it should be inspected and researched mostly be material and technology engineers. However, as it will be mentioned, AM is incredibly intricate and wide field, which requires understanding of many ongoing processes, because almost everything during AM process is related together. Even though not directly, one parameter of the machine may easily affect other parameters, which in turn have impact on the final part properties and build success. These processes very often involve heat transfer, mass transfer, controlling conditions of the build environment, flow of viscous fluids, chemical curing and others. All of these processes should be generally understood by process engineers. Such processes are usually dealt with in fields like food industry, pharmaceutic, distilleries, breweries, water cleaning, oil refinery and many others. However, physics apply here same as anywhere and the equations are applicable for AM processes all the same.

Following is a table of application of processes solved by process engineers, applied on field of AM.

Problematic	Common application	AM application
Heat transfer	Heat exchangers balance	PBF build heating and cooling
Mass transfer	Filters for particle separation	SLS sintering, particle fusion
Inert atmosphere	Food packaging	Preventing oxidation with PBF or DED
Droplet formation	Cooling by evaporation	Material jetting nozzle droplet
Viscous fluid flow	Food industry, dough flow	FDM nozzle flow, Material jetting nozzles
Chemical reactions	Bio reactors	SLA curing

#### 1.4 Terminology

This thesis has the term AM in its name. That doesn't mean other terms couldn't have been used instead. I will mention similar terms commonly used in context of production technologies.

From all mentioned possibilities, I choose to use term "Additive manufacturing" AM in this thesis, because it is the most commonly used one. In Czech language, term "3D printing" could have been used. The reason is that people are familiar with home 2D printers, hence the term is easy to use and remember. There is no point in saying that one term is better to use than the other one it is matter of choice. For me, the term AM fits the purpose of this BT the most.

#### 1.4.1 Automated fabrication

Term "Automated fabrication" was used before AM. It was supposed to emphasize the fact that computers and controllers could take control of manufacturing processes, making them more efficient and easier to perform.

#### 1.4.2 Freedom fabrication

"Freedom Fabrication" term was used to imply that the build time of a part doesn't depend on the geometry. In other words, the rule "the more complex parts, the longer time to build it takes", which is usually applied with conventional production methods, doesn't apply here.

#### 1.4.3 Additive Manufacturing

"Additive manufacturing" term is saying that we are adding material to build the part instead of removing it.

#### 1.4.4 3D printing

"3D printing" term was mainly used within MIT researchers, and was implying the application of common 2D printers and adding a third dimension.

#### 1.4.5 Rapid prototyping

"Rapid prototyping" was term used in connection with additive manufacturing. It is telling us nothing about any specific technologies. Instead, it is emphasizing the speed and ease of AM compared to conventional prototyping methods. Rapid prototyping is saying that with AM, one is able to make functional prototypes faster and cheaper, all of that without any other special equipment needed.

[1, p. 7]

## About AM in general

#### 2.1 History of AM

First of all, it is important to note that development of AM technologies can't be separated from development in related fields. We can say that AM machines consist of many intricate sub-systems. For example, very precise and fast positioning system are required. Powerful lasers are used to melt and fuse material together. Computers, micro controllers and electronics in general are required to control the environment and guide the build process. Last but not least, materials were developed to suit specific AM technology. Without these and many more improvements, there would be no machines like the ones today. When we compare nowadays machines and the ones from AM beginnings, first machines would be slower and less precise, would encountered material behavior problems, would be more buggy, but most importantly much more costly.

AM has been out there longer than it might appear. It is not technology of 21st century, but it originates in 1970s / 1980s. Back then, there were only conventional methods, but for the first time, attention was paid to possibility of curing photopolymers into specific shape in. An idea was developed to make use of additive production using layer approach construction of separate layers, merging into final product.[5]

The first commercialized AM technology was Stereolitography (STL). There were experiments with curing layers of photopolymer resins simultaneously, thus creating separate layers. It was in Japan in 1981, when first schematics of possible technology using photopolymerhardening were described and proven to work. Later in 1984-1986, Charles Hull filed the patent for the first working machine. [2] In 1986, he also founded 3D systems company, which was probably the first company to do business with 3D printers. Nevertheless, Charles Hull is also important for his contribution to AM field by work on the STL file format a specific format used by computers for describing the geometry of fabricated parts. (Will be described later).



Figure 2.1: First object made with AM

Technology that emerged later was **Fused deposition modeling** (FDM). This technology is using plastic material in a form of wire, which is molten and deposited into a single layer. The patent for FDM was filed in 1989 by S. Scott Crump from Stratasys Inc. - also very important company in AM business that is still in operation.

In the first half of 90s, remaining technologies were starting to be commercialized. They usually went under their specific names like "Selective laser sintering" or "Laminated object manufacturing". These technologies were filling in gaps in missing technologies of "Powder bed fusion" (PBF), "Sheet Lamination" (SL), "Material jetting" (MJ) and "Binder jetting" (BJ); there is no need for naming

all individual patents.

When we mention patents and copyrights, we have to realize that patents have a major impact on development of AM. Technologies, processes and even materials from AM are subjected to patents. When patents are no longer held after 25 years, the competitiveness of other companies grows, resulting in bigger supply of AM machines and their price reduction. Expiration of patents was one of the reasons, why we experienced rapid growth of FDM machines.

#### 2.2 Comparison of AM and CNC machining

Before I describe and categorize basic AM processes, it is important to see the **distinction between AM and conventional CNC manufacturing**. The reason being, both approach the same problem of manufacturing from different point of view.

Conventional manufacturing processes are based on machining and processing block of raw material, thus it is *subtractive process*. Using modern equipment, one is able to achieve very high precision of manufactured part with good surface quality. Materials such as steel and other metals are commonly utilized, alongside with plastics, wood and many other materials that can be processed. However, in general often parts of complex shapes could be very tricky to make. With CNCs, it is impossible to create objects with inner cavities or other internal features by machining the inside of the object. Also, machining shapes like curved overhangs or crevasses can be problematic. Furthermore, we havent considered the amount of waste material yet. Because we need block of raw material, exceeding the dimensions of the part made in all directions, it is not rare to machine away more than 80% of material. This material then becomes waste material. Although scrap material is recycled, the blocks of raw material can be very expensive. Machining parts for use in aerospace industry might be a typical example. The parts are often of very complex shape, and made out of lightweight metals such as titanium. Requiring big block of titanium can be unnecessarily expensive - significant part of provided material in fact is unused and thrown away.

Another field of comparison of AM and conventional production processes is the scale and amount of produced parts. Conventional methods of machining are known for a long time, and are used for series production. The combination of CNC machining with i.e. mold casting is a fast and efficient process. Regarding these series-process chains, problems will occur when we want to alter a few manufactured parts. It is not suitable for making only few parts because of long preparation time, prototyping phase, and expensive equipment needed specially only for one kind of a product.

As it follows from the name itself, AM is not subtractive, but additive manufacturing process. Most of AM technologies are not limited by mentioned obstacles of CNC, such as manufacturing inner cavities or producing waste material. The simple idea, depositing material only where we want, results in having almost no waste material. Some technologies require material recycling though, but recycled material is immediately ready for use. Because AM machines are based on material deposition instead of removal, time of product manufacturing is almost **independent of it's shape**. In other words, AM machines don't care if we print a box, statue or a scaled model of a flower. The build time depends only on the amount of material deposited.

This attribute comes very handy in production of single custom parts of complicated shapes. The example might be printing custom body-parts of implants, since they are always unique, person to person. Also, shape-free manufacturing comes very handy to designers, who used to encounter limitations of capabilities of conventional machines, making production of complex shapes tricky.

For the comparison to be complete, it should also be mentioned that machining is often not suitable for processing hard and brittle materials. On the other hand, machining results in almost "isotropic part", if the material itself is isotropic. Meaning, there shouldn't be differences in machined part related to the direction of CNC tool movement. With AM, this is never the case - there is always some some amount of unisotrophy, caused by building the part in different manner in Z-direction compared to X-Y directions.

When we look at AM processes, we see a major difference - waste material is no longer a problem. When we want to produce small number of customized parts or objects, AM enables us to do so. The general process of object making (of course depending on specific technology) takes longer time, but considered that i.e. complex parts can be manufactured simultaneously in one go, they don't have to be moved from machine to machine. This may cause significant time savings, resulting in faster

production process, even though the technology itself is not faster than CNC. Of course different technologies differ in build-speed.

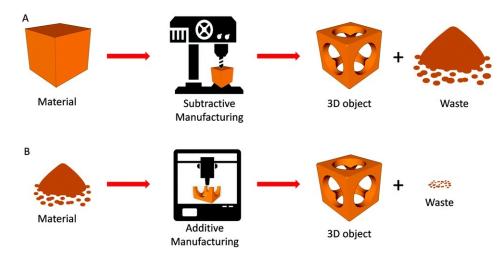


Figure 2.2: Additive vs subtractive manufacturing illustration

#### 2.3 What precedes part production - AM process chain

If we want to make use of modern AM machines, we have to be able to prepare everything necessary. Same as with other manufacturing technologies, making parts using AM **requires** more or less **preparation**, and sometimes also **post-processing** is required. Let's look at the necessary steps, preceding or following the part making.

#### 2.3.1 Information about produced part

If we take it from the very beginning, we have to start with knowledge of part to be produced. We have to know what are we building. This information is actually virtual model of a part. Virtual model in electronic form can be handled by computer and converted to other formats, which AM machines accept. There are more ways of creating virtual model of the part, but probably only two methods are used.

#### CAD modeling

When possible, it is obvious that creating model using CAD software can be the most efficient solution. When we use modern CAD systems, changing virtual model doesn't require much effort. It is very useful, if we are planning to make some changes with produced part - we are iterating and changing every version to make the part better. With CAD, it can be matter of a few minutes / hours to make new model and print it. With mass production tools, this process of iterations and changes of production tools (such as casting tools) can be very time and money-consuming.

#### AM and reverse engineering

It can also happen that we want to produce part, but we can't make use of CAD software. The part can be too complicated to create a model, and modeling would be inefficient. If we already have a part we want to build, i.e. we want to "copy" a real existing part, we can make a virtual model using some 3D scanning system or device. There are many devices on the market, enabling us to do so. Scanning devices vary in properties. Easiest criteria for their classification is to distinguish between **contact and non-contact scanning devices**. Examples of contact scanning device can be machines used in metrology for precise part measurement. Precision of several micrometers can be achieved.

Non-contact scanning devices vary in methods of measuring. Lasers can be utilized for distance measuring, or optical systems can be used. It is even possible with special photographic / optical



Figure 2.3: Coordinate measuring machine

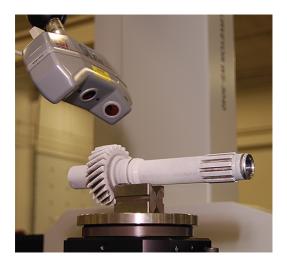


Figure 2.4: Non-contact laser scanning system

software to obtain 3D model from multiple pictures of an object. Also, methods already utilized in medical field can be used - MRI machines or CT machines (micro-CT respectively) have been in use for several decades for medical purposes. Today, we can extend the use of these technologies, and use them as very precise scanning devices.

#### File formats for AM software

After obtaining the data, PC processing follows. Software for use with AM machines usually accept only specific data formats. The most common, that was already mentioned, is the "STL" file format. Although it is not essential to know, how the file format represents the geometry of the part, it can be useful to know, because it is possible that some glitches or errors can happen during processing. If we know the format specifics, we can guess where the problem can be. When it comes to "STL" file format, it represents the whole geometry with triangles - it creates a mesh of points on the whole surface of the part, and then connects the nodes to form triangles. That means if we want to accurately represent part geometry, with stl we have to have very fine mesh of points - The greater the distances between mesh nodes are, the bigger imperfections of the virtual model will be.

STL file format is generally still accepted by AM machines, but it has some drawbacks. The biggest one is, the part geometry is the only thing it can describe. With modern AM machines, that is not enough, if we want to include additional information about the part in a single file. That is where additive manufacturing format -"AMF" file format comes in. AMF format enables us to describe, among other attributes, color of part for multi-color machines, material specification, or lattices and constellations within the part.

#### 2.3.2 Further data manipulation

As expected, the AM machine itself doesn't accept nor "AMF" or "STL" file format. Since AM machine builds the part layer by layer, it only needs to know how to build each separate layer. Therefore we have to use software called **Slicer**. Each AM machine will have it's specification, but generally speaking, the output of the slicer should be a file with information, representing 2D shape of each layer. The machine itself then deals with the build process itself and starts printing layers - it doesn't care about their shape, it only deals with mechanics and kinematics of the building system.

#### 2.3.3 Machine preparation

When the data are processed and ready to be sent to the machine, last remaining thing to be done before the build is the machine preparation. In some cases, there might be no need for any further preparation. With machines utilizing some kind of heat processing of material, preheating is often done. With PBF for example, preheating of the build space to high temperatures is done. Same with FDM machines, the metal extrusion nozzle is always heated to build temperature. Apart from

preheating, some additional actions can be made, such as often crucial machine calibration or checking for any errors before build starts. Preparation stage is very important and shouldn't be neglected small imperfection in the built part, caused by wrong machine preparation, can easily cause problems during the build process and ruin final product.

#### 2.3.4 Post-processing

When we remove the built part, it might need some additional care to be ready for use. If building process heated the part, we usually wait until the part cools to ambient temperature to be processed further. Part removal is not always simple. With PBF technology, the excessive powder has to removed and the part cleaned, usually by blowing pressurized air. Same with Stereolitography or Binder jetting, we have to clean the part from excessive photopolymer or powder respectively.

If some support structures were added to enable the build, they also have to be removed mechanically. It is often done by hand, and it can involve honing, grinding and cutting. For many parts built with PBF or DED technology, there is residual stress in the part. Post-processing heat treatment is required to remove these stresses, caused by uneven heating and cooling and rapid temperature changes.

With other technologies, post-processing can be desired, although not necessary - with FDM technology for example, where the final roughness of the part is not very good, manual grinding, polishing and painting can be done to improve the part appearance.

#### 2.4 Fields of applications

As mentioned, there are several considerable differences between AM and machining part production. That's the main reason AM can be efficiently used in some fields more than others. The biggest advantages, such as shape-free production, ease of change of the model and speed of production in small quantities make it great for purposes such as prototype making, presentation product making, easily-produced life-sized parts (for visualization or testing), little waste material production and making products that won't be mass produced.

#### 2.4.1 Medicine

There are many medical applications, either with medical instruments or with making prosthetic limb parts. Creating these isn't anything new joint replacement surgeries are several decades old [3]. Artificial joints can be made using CNC machines, and if made with AM machines, they will require post-processing - at least grinding and polishing to achieve perfect surface smoothness.

But there is more to AM machines in medicine - apart from building replacements for body parts such as joints and skull replacement part, the technology can be used for printing specially designed surgical tools. Reason being, surgical tools can be very special, developed for only single type of surgery, therefore only few pieces of the equipment can be produced and mass production isn't appropriate.

Leg / arm plasters can be made too, designed to hold the limb in desired position and to be comfortable - built specifically to fit one's limb. Another example of combining AM with medicine can be custom printed teeth. There is machine available, that combines AM with 3D scanning procedure, and is capable of scanning patient mouth and printing custom tooth in very short time. [4]. Not forgetting, customized hearing aids can be very handy - since everybody in need of hearing aid has different ear size and shape, shaping the outside frame of hearing aid can ensure that the final product will fit the customer perfectly. Last but not least, specific objects can be printed for medical educational purposes, so that students can practice performing sensitive surgeries or interventions on models, accurately representing specific body part.

#### 2.4.2 Aviation industry

Although AM is not primary production technology in aviation field, it can open great deal of possibilities. Many parts for aviation purposes are complexly shaped, and therefore complicated for machining. When a part from solid titanium block is machined to shape of i.e. turbine blade, it can mean that most of the material is machined away, even more than 80%. Waste titanium can be recycled, but still





Figure 2.5: Arm plaster made with AM

Figure 2.6: specific surgical tool made using AM

the price of such titanium solid block is in range of thousands of EUR. When using PBF technology with titanium powder, we could eliminate the waste material, reducing the initial price of material. Still it is true that extra machining and polishing of such part would be required after, which could increase the costs, saved on material.

#### 2.4.3 Automotive industry

Car production is, and probably will remain, thing of mass production. Yet, there is still place where AM can prove itself as useful. Before mass production, prototype making is again essential part, and therefore great deal of attention is always paid not to make mistakes during series preparation. Lightweight metals such as aluminum can be utilized for functional parts such as valves, canals or tubes designed for specific car type. Polymers also can be used for interior design, i.e. during stage of preparing "non-stressed" parts such as handles, coverings or panel parts - here AM can be handy for visualizing the interior.

#### 2.4.4 Architecture or design

The reasons of AM being useful in this field is probably apparent from previous description - designers more than others can appreciate shape-free manufacturing, and not having to bother with limitations of conventional manufacturing.

#### 2.4.5 Educational purposes

This field might be found not as significant as others. Still, the fact is teachers and lecturers at high schools or universities could easily make use of AM during lecturing. For example, teaching biology or chemistry often require lots of teaching supplies, such as model of skeleton or models of chemical compounds to visualize chemical bonds. These supplies are often expensive, because there are not that many schools buying such supplies. Result is low demand for such items, and higher price - body part models can cost hundreds of EUR. With AM, teachers could only download / create desired model such as human organ or chemical bond model and print it, all that for fraction of the original price.

## Materials for use in AM

The scale of materials usable for purposes of AM is very wide. We can today print objects from different plastics like Nylon, polystyrene and others. Objects can be printed out of common metals such as steel and it's alloys, titanium, aluminum and others. Certain technologies make it possible to print even sand parts. Other methods enable building colorful parts. Since there are so many materials, we should be able to categorize them into logical groups. Materials used with each technology will be described in detail in related chapters this is only brief summary of material options.

In following lines, some information might be slightly imprecise or misleading. The reason is, categorization of AM processes and related issues is very sophisticated and there are many slight differences among technologies. I will try to summarize some main ideas, but detailed description can be found in following chapters devoted to specific technologies.

#### 3.1 Material state

One way of materials categorization is based on phase / physical state. Materials before printing process can be either solid or liquid. Solid materials can be used in forms of powder, wire or thin sheet / folia. Liquid materials are so far only photopolymers.

#### 3.1.1 Solid powder materials

Powder materials are usually used for metal printing. Nevertheless, plastic and ceramic powders or sand might be used. Powder material can be processed by partially or fully melting and fusing together, creating a solid part with "Powder bed fusion" or "Directed energy deposition" technologies. Laser or electron beam is be used to melt the powder. Also, the powder can be glued by a special substance called binder see "Binder jetting" technology.

#### 3.1.2 Solid wire form materials

Wire-form material is always used with "Fused deposition modeling" technology and rarely used with "Directed energy deposition". Within FDM, the plastic wire is partially melted and in controlled manner "spilled" and deposited. Due to its viscosity, one can precisely control the deposition process and it's precision. After solidification, plastic forms final object.

#### 3.1.3 Solid sheet form materials

Sheet-form materials are used within the "Sheet lamination" technology. It uses thin sheet of metal, paper or basically any material, that can be cut and glued together. Each sheet equals one layer, that is cut into the shape of current cross-section.

#### 3.1.4 Liquid materials

As mentioned, there are substances called photopolymers, used with AM. The principle is having a bath of photopolymer, which is precisely cured by light of specific wavelength. Where cured, material

undergoes a chemical reaction, creating bonds between separate molecules and solidifying. "Stereolitography" of "Material jetting" commonly use photopolymers. In latter chapters, materials will be described more.

#### 3.2 Chemical composition

We might also want to group materials based on their chemical composition. I will not fully describe chemical properties of materials like type of molecule bonds. Still, easily we can distinguish between main groups of materials.

#### **3.2.1** Metals

Metals are generally materials that are good electricity conductors. This property is related to their other properties. Metals have generally higher yield strength (hundreds of MPa), very variable thermal expansion coefficient and medium-high melting point (important for heat curing of metals). They are usually also able to withstand some plastic deformation and do not absorp water.

#### 3.2.2 Plastic

Other category is group of plastic materials. These materials have much lower yield strength, thus are not suitable for functional stressed parts. Usually they do not conduct electricity well and have low thermal conductivity coefficient, but higher heat expansion coefficient. Their melting / glass transition point is much lower than metal melting points, so they are easier to cure in this way.

#### 3.2.3 Ceramics

Third category of materials used in AM are ceramic materials. Curing process is usually using ceramic powder. Melting point of ceramics is generally slightly higher than commonly used metals, but there can be exceptions. Ceramics is very hard and strong, yet brittle material. This property can be found problematic in AM. Because ceramics show almost no plastic behavior, they crack easily once they reach yield strength. This makes ceramics harder to process this way - during printing, rapid temperature gradients occur, causing thermal stresses and cracking.

#### 3.2.4 Photopolymers

Among other materials are i.e. photopolymers. Even though they are plastic polymers, I'd like to distinguish between them and other plastic materials, because they differ fundamentally in curing process. Default state of photopolymers is liquid, and it consists of more types of additives to make curing with light easier. Depending on point of view, they can therefore be considered different material from other plastics used in AM.

#### 3.2.5 Others

Also, mixtures of different materials should be mentioned. Same we can make metal alloys of specific composition, we are able to incorporate small particles into plastic wires for FDM printing, like bronze of wood. If we have kind of material, consisting of 40% wooden particles and 60% polymer holding wooden particles together, it is among ones preference to say about which material are we talking about.[6]

### 3.3 Material processing

Among other ways, we can also divide materials by the way of their processing.

#### 3.3.1 Heat processing

Some materials are processed by heat. They are fully or partially melted, and after cooling, material (like in most processes using metal powders) fuses or solidifies together into single physical object. Powerful lasers, or in case of conductive materials electron beam can be used instead as the heat source.

#### 3.3.2 Light processing

On the other hand, liquid photopolymers are cured by light. Photons of specific wavelength (either UV, visible or other) initiate chemical reaction within material, causing creation of new chemical bonds and solidification.

#### 3.3.3 Other processing

Binder jetting is the only technology, which basically doesn't process the material at all it only binds the material together with special glue, called binder. The is no change happening of properties of the material, but the strength of the final part is limited by strength of binding particles by binder. Also, with "Laminated object manufacturing" the sheets have to stick to themselves, which can be done using some special binding agent or glue.

#### 3.4 Common problems of material processing

What we should realize when thinking about processing materials, are problems we are bringing along. Heat processes are related with thermal stresses, expansion / contraction and subsequent curling, warping and cracking. Similar issue is related to curing photopolymers, where curling and warping is caused not by heat, but by change of volume of material when changing state of matter. This is unique to binder jetting technology, which doesn't have to deal with these issues as will be discussed in dedicated chapter.

It is not always necessary to strictly distinguish between different materials. Instead of having fixed table of categorized materials, we should have complex knowledge of different kinds, their properties, pros and cons.

## Photopolymerization

Photopolymerization technology, also called "Vat Photopolymerization" or "Stereolithography" (here-inafter abbreviated as SLA), was the first introduced AM technology on the market. It utilizes ultimately photopolymers as default materials. Photopolymers were developed in 60s, yet SLA appeared aprox. 20 years later. Since then, SLA machines has improved, along with available photopolymer materials.

SLA has many specifics of ongoing processes, resulting in both advantages and disadvantages of this technology. In this chapter, I will describe the general approach of this technology and relevant problematic, curing process itself, material properties and mention the overall conclusion of SLA.

#### 4.1 Stereolitography in general

SLA is no exception in relation to patent problematic - patents used to determine the direction of SLA development. That is not true anymore, since 25 years validity of most of relevant patents has recently ended. As some company patented some SLA specific approach, other companies had to come up with different solution to the same technical problem. Same applies for all other technologies, that can be newer and patents might be still valid. SLA is therefore versatile technology with SLA machines varying in many parameters. Among those parameters are build speed, machine reliability, precision, price and many more.

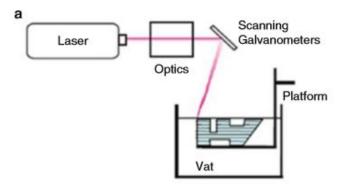
The biggest difference among SLA machines is given by **different approaches** to curing individual layers, as seen in fig 4.1. For now, let's summarize what all SLA machines have in common.

SLA can also be called "Vat photopolymerization" because a vat is always present - a container, of which content is the prohopolymer resin. Typically, the volume of the container is in range of several liters up to more than cubic meter of the resin - the amount of material it can hold. Above the vat, there is the source of radiation, used to chemically process the resin. This system, utilizing optics and other devices, is located above the vat so that it can be directed on the resin surface and cure the top layer of the resin.

The source of the radiation is also one of the parameters of SLA machines. Typically, machines utilize **UV light**, sometimes visible light, both in form of a laser. Other sources of radiation, such as electron beam or gamma ray, might be used. It also depends on the processed material - the ultimate condition for the curing process is that material has to undergo chemical reaction to solidify. All of these radiation sources can be used to deliver energy to the resin, and initialize the reaction. Again, used lasers / other sources can vary in terms of their power, spot size, material requirements and such.

### 4.2 Curing process

It was mentioned that there are more approaches within SLA of how to cure a layer of the resinthe easiest sorting criteria for types of SLA machines. With these approaches described in following section, fig. 4.1 is added for illustration.



schematic of vector scan SL

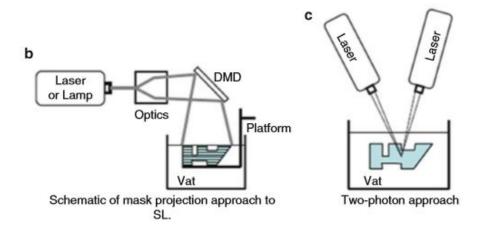


Figure 4.1: Illustration of SLA technologies, taken from [1, p. 65]

#### 4.2.1 Spot scanning

The first approach is the most common one - layer is cured by a laser, which is projected onto the resin surface by mirrors. Laser is focused in very small area, thus called **spot scanning** or **point scanning**. By changing the angle of the mirrors, we can control and move the location of laser spot on the resin surface. By constantly changing the mirror angles, we create a path - trajectory of the laser. When a laser moves past a point on the resin surface, it leaves solidified material behind - where the laser points, the material becomes solid. This approach is the oldest one and most of SLA machines utilize this approach. Similar approach is used with Powder Bed Fusion, where laser or electron beam is used to scan the surface of powder layer, causing solidification by melting particles together.

#### 4.2.2 Mask projection with DMD

Another approach utilize Digital micromirror devices - DMD to cure single layer at once. With spot scanning, curing a layer can take a lot of time, because the laser has to scan the whole cross-section surface, meaning the path the laser has to scan is very long. If we use DMD, we can speed up the process significantly. That is, because with mask-projection technology, we **irradiate single layer simultaneously**.

DMD is basically a 2D array of microscopic mirrors, that can be controlled and positioned individually. These DMD chips can have, for example, resolution of 1024x768 pixels, where each pixel represents a single micromirror. This micromirror can be switch between "on/off" state, so we can set a shape, that will reflect light, while remaining pixels will not reflect the light. Strictly speaking, we change the angle of the mirror between two positions, we don't turn the mirror off. When the light is projected onto the micromirror, we can control individual pixels - mirrors to control the shape, reflected onto cured layer. The light projected onto DMD, of course, has to be the kind of light photopolymer is sensitive to.

With mask projection SLA process, we start by spreading a layer of photopolymer resin. Then, we

set the DMD to reflect the shape of first layer, and then project light onto the surface, reflecting from DMD. After curing a layer, light source is turned off and next layer of resin is applied on the top of previous layer. Then, we set the shape of DMD to reflect the second layer and irradiate it. Repeating this process, we get a final part.

The speed of mask projection is the biggest advantage. The general process of SLA can be sped up more than 10x compared to spot scanning. On the contrary, the limiting factor of DMD approach is the individual micromirrors size. Let's say we reflect the light from DMD to the surface of 20x20cm. If DMD has resolution of 1000x1000 pixels, then 1 pixel has resolution of 0.2mm, or 200 microns. Such resolution would very low and insufficient. In order to increase the resolution, we would have to either increase the resolution of DMD, or decrease the surface light is reflected to - make build area smaller. Higher resolution DMD can be costly and usually the resolution is lower than 3000 pixels on the longer side. The other solution, making build area smaller, is a obvious limitation itself.

For the information to be complete, it should be noted that DMD is not the only device enabling shape projection onto the resin. Apart from DMDs, LCD display or spatial light modulator can be used. DMD is here used as an example for mask-projection approach.

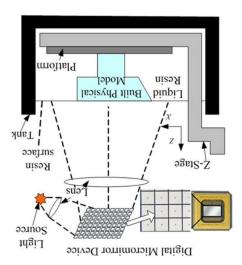


Figure 4.2: Utilizing Digital micromirror with SLA

#### 4.2.3 Two photon approach

Third approach is very different from previous spot scanning / mask projection. It was developed for manufacturing **very small and precise parts**. Today, parts smaller than 1  $\mu$ m have been produced. More standard size of produced parts is in range of few up to tens of  $\mu$ m.

The basic principle is, we have a small container of resin, and we direct two separate lasers inside the container. One laser is not enough powerful to cause the chemical reaction and solidification. This occurs only where the beams of lasers intersect. Only in this very small region, that can be in ranges smaller than 100nm, the energy density is high enough to cure the resin. Due to this fact, the precision of built part can be greatly increased compared to other SLA approaches, at the expense of build speed. Also, there is no need for re-coating, since the process happens inside of the container, not on the surface, being another advantage of two-photon approach. The viscosity of the resin is usually high enough to prevent the part from flowing away before it is fully cured.

### 4.3 Photopolymer materials

In this section, the [1] is referring to [8] as to primary source of following information on photopolymer materials.

Different radiation sources used for resin curing were mentioned. From all of them, only light from visible or ultraviolet spectra is utilized within commercial AM machines; others remain in the field of research.

As SLA uses polymers, they divide into categories of thermoplastic and **thermoset** polymers. For their properties only thermoplastic polymers are used. Thermoset polymers are unsuitable for SLA, since they can't re-melt.

When we focus on thermoplastic polymers, we can put together list of material properties which determines how suitable the material is for SLA processing. Among others, we are often interested in reactivity / sensitivity to radiation, mechanical properties of the cured material (strength, brittleness) and amount of shrinkage due to phase transformation. The shrinkage is caused by polymer molecules being smaller than size of all previous uncured monomer molecules.

Epoxy resins are used today. Before that, acrylate compounds were utilized. These two material

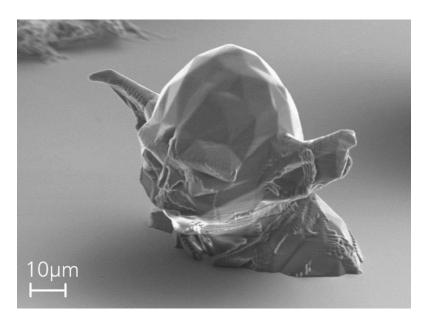


Figure 4.3: Image of a small statue of popular figure of YODA, made with two-photon SLA

categories have very different properties - acrylates tend to shrink more and are therefore difficult to process, but epoxy resins have slow reaction (photo) speed and are more brittle. Accordingly, often a mixture of these two groups is used to achieve desired properties of the final part.

Among ingredients such as the epoxy resin / acrylate itself, the material mixture for SLA consists of more ingredients, which affect it's other properties. Namely, "photoinitiator, reactive diluents, flixibilizers and liquid monomers" [1, p. 67] are usually present, where each constituent has a certain role. For instance, photoinitiator component works as a catalyst, helping to start the chain reaction and cross-linking of monomer molecules.

#### 4.4 Curing of materials

#### 4.4.1 Theory and math of curing

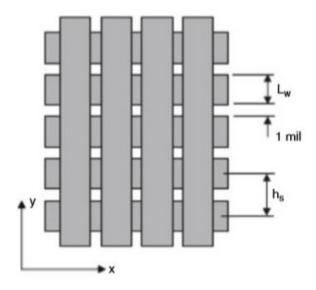
When talking about curing the resin to solidify, we have to consider the time factor - all individual processes during build take time. Same applies for curing - due to limited power of laser, speed of chemical reaction and other factors that can't be overlooked. In other words, we can't speed up the build process as we want, because curing processes themselves always take some time.

When calculating basic build parameters, the most important parameter is the amount of laser energy, absorbed by the resin. There is critical amount of energy, which resin needs to absorb in order to undergo the chemical reaction. This parameter or energy per amount of material [J/kg] vary. With SLA, because of using finite layer thickness, this parameter is usually replaced by critical exposure with units of  $[mJ/mm^2]$ , meaning critical amount of laser energy absorbed by  $1 \text{mm}^2$ .

So we have to account for parameter of laser properties. Even though laser is focused into very small area, the energy density of laser vary in this area - in the center of laser spot will be different energy density and exposure, compared to the edge of the laser spot.

Following parameter, that also has to be remembered, is penetration of the laser. When the light hits the surface, part of the light will be absorbed in the form of energy, and the rest of the light will penetrate deeper into the resin. This results in different energy density and exposure, depending on depth under the surface. There is parameter called critical depth. Above this depth, for a given laser set-up exposure of the resin is high enough for the reaction to happen. Below this depth, laser light is too scattered and the energy density is below critical and no solidification will occur.

All given parameters combined give us an equation, where the exposure of material if function of all spacial coordinates. According this function of exposure, we can border the region, where exposure is greater than critical exposure - the area where chemical reaction will occur. Outside of this region, the raw material will remain liquid, for the exposure was not sufficient. Because energy = power x time, with given laser power, there is minimal time the laser has to irradiate a certain place. Given laser



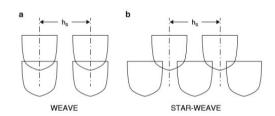


Figure 4.4: Original WEAVE pattern, taken from [1, p. 87]

Figure 4.5: Comparison or WEAVE / STAR WEAVE patterns, taken from [1, p. 88]

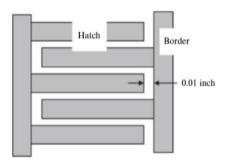


Figure 4.6: Retracted WEAVE scan pattern with improved shrinkage endurance, taken from [1, p. 90]

power, we can calculate maximal build speed. From further mathematical equations used for description of ongoing SLA processes, it can be derived that a cross-section shape of cured line is a **parabola**.

#### 4.4.2 Scan patterns and other issues

Even if we are able to precisely describe the curing process, it is not enough to secure a successful build. The curing process is happening on a small scale, but the overall build process brings other problems. For example, with describing curing process, we haven't accounted yet for shrinkage and residual stresses, anisotropic behavior caused by laser scanning trajectory, overlapping of cured lines, or layers sticking to previous / following layers.

Problem of overlapping layers is, that we have to irradiate more energy to the resin than the critical exposure. Reason being, the current layer has to cure into previous layer. This re-curing of previously cured layer requires some additional energy, by which critical exposure has to be increased, decreasing theoretical maximum build speed.

Problem of shrinkage and anisotropy of the build is interrelated. Simple explanation can be given by following example: We are curing a single cross section of full square. Let's say we cure the circumference of the shape first. Than, we have to cure the infill - inside of the circumference. One of the options is to cure horizontal or vertical lines, parallel to one of square borders. If we go from upper border to bottom border, than immediately after curing first upper infill lines, the upper part of square will tend to shrink. This will cause either decreasing dimension of the square, or internal stresses which will remain be present. By this simple approach, we are causing anisotropy, since we

have a scanning pattern preferring a single line direction. This issue we can try to eliminate by curing next layer perpendicular to previous one. By switching the pattern, to some extent, we eliminate the anisotropic property of the build - the build as a whole is more or less isotropical, but individual layers vary from each other. Also, we should bear in mind, that if the part shrinkage is problem not only for causing stresses, but also for changing dimensons of the part. The resolution of SLA printer can be in range of tens of microns, so even if the shrinkage will not ruin the build, we might not be able to stick to our desired part dimension - the real part built might be smaller due to shrinkage.

Next issue to deal with is overlapping of parallel cured lines. Obviously, two adjacent lines also have to be cured together for better structural integrity of the part. On the contrary, this overlapping also causes deflection of already cured sections, and adds another source of anisotropy to the build. To account for all of mentioned and other related issues, certain scanning patterns for SLA were developed. Here, with scanning pattern is meant how the infill of layer circumference is cured. These scan patterns are called WEAVE and STAR-WEAVE. Further improved comes the retracted hatch WEAVE scan pattern. Illustration of these patterns are in fig. 4.5, 4.6 and 4.7 taken from [1]. With these scan patterns, negative effects of SLA builds can be minimized for securing successful build.

#### 4.5 Conclusion

All necessary being said, let's summarize the pros and cons of SLA technology.

#### + Part precision

Not only with two-photon approach, we can achieve parts of high precision, to which only some other technologies are comparable.

#### + Surface finish

Surface roughness is incomparably better than of parts made with i.e. DED or FDM technologies.

#### + Build speed

Although build speed is a relative term and depends on build set-up and parameters, SLA is often quicker than other technologies.

#### Support structures

Need for support structures is present with SLA. When machine operator removes the built part, it requires cleaning from the resin, and often mechanical removal of the support structures.

#### - Materials

As the term photopolymerization implies, range of available materials is limited to thermoplastic polymers.

#### - Price

Furthermore, same as SLA machines themselves, these materials are often very expensive. Single liter of the resin can cost hundreds of EUR. With biggest nowadays SLA machine, even filling the container full can cost thousands of EUR. The price of big machines, able to print more than 2 meters wide objects, can be higher than 500 000 EUR.

### Powder Bed Fusion

Powder bed fusion (hereinafter "PBF") is counted among the oldest technologies commercially introduced. It can utilize variety of materials and final products can be fully dense functional parts. PBF is in some aspects of part manufacturing superior not only to other AM technologies, but even to CNC machining.

The trade-off for it's abilities and versatility is in the need to understand all simultaneous processes during PBF printing, and need to set all print parameters carefully. Also, post-processing of the part is required, unit for recycling the powder is needed and other supplementary equipment (Oven for heat treatment, machine for infiltration) might be necessary. Machines themselves, materials and the overall machine maintenance and operation is generally expensive.

#### 5.1 Basic operation principles

As follows from the name, PBF uses material in a form of **powder**. Although very simplified, we can say that any material in form of small particles, that can be melted together can be used (chap. 5.3 - materials).

There are many types and variations, distinguishing different PBF machines. For example, single machine is usually not capable of processing any material, but rather only polymers or metals. Also, different machines might utilize other heat sources to cure the powder, or use different powder handling mechanism. So, even though the main principle for all machines is the same, the mechanisms utilized by various PBF machines can vary significantly.

#### Obrazek sestavy

In the picture, we see a typical PBF machine setup. The powder is held in a **container**, that might be heated. The **build platform** is the place where the actual part is built. When a current layer is cured, the build platform is lowered by one layer thickness, and new layer is moved from the powder container and spread onto the build platform by **spreading mechanism**. The newly spread powder has to be uniformly and precisely leveled and packed. The cross-section of layer is cured together - **melted or sintered by heat**. The process of layer deposition and curing is repeated until the part is finished.

After the whole part is built, it has to **cool down slowly** to prevent uneven cooling and resulting curling. After cooling, the part is removed from the powder and post-processed - residual powder is washed away, support structures are cut and part can be machined or polished to achieve good surface finish. (Support structures are not necessary since loose powder supports newly deposited layers, but they might be desired to hold the part in place due to rapid cooling rates and thermal stresses, that might cause warping and curling.) Apart from finishing post-processing, part infiltration might be needed to achieve a dense part, if part porosity is too low. For part infiltration, same as with "Binder jetting", bronze compound might be used as an infiltrant.

The whole build space is generally **held at elevated temperatures**, usually as high as possible below the melting point. Inert atmosphere or vacuum is needed to prevent the loose powder degradation of electron beam diffusion (chap. 5.2.2 - heating methods). Pre-heating the powder significantly lowers the energy, required to reach the melting point and sinter or melt the material, reducing required heat source power.

#### 5.2 Powder bed fusion variations

Although all PBF processes share the basic approach, we can categorize them based on utilizing different mechanism to perform certain tasks.

#### 5.2.1 Powder spreading

When a current layer is cured, the build platform lowers by one layer thickness and new layer of powder has to be spread over the previous one. The powder is first deposited on the top of previous layer and then leveled.

Mention vibration in powder handing problems

#### Counter rotating roller

Roller, which is a part with shape of an ordinary cylinder, is rotating and simultaneously moving sideways - it is best illustrated with fig. ??????. This method easily allows changing the layer thickness and the related packing density of the powder, by moving roller up or down. Also, by rotation counter the sideways movement, the roller "fluidizes" the powder during spreading - the powder "flows" before the roller, which significantly reduces the shear force acting upon previous layer. This is important not to disrupt stacked layers of powder.

#### Blade leveling

As it follows from then name, this approach utilizes a blade, which is just very thin and precise strip of metal, that is used to precisely level the layer and remove excessive powder. However, this method applies greater shear force upon deposited powder. The reason for using this method might have been the fact that roller mechanism was patented and therefore limited commercial use.

#### 5.2.2 Heating method

This subsection discusses possible methods, how to heat the powder to cure and bind it together. Lasers are most commonly used as the heat source, but electron beam ("EB") is another option.

#### Laser

Laser is a beam of photons. With PBF, when photons hit the powder, some of them get absorbed by powder particles, converting their energy to heat. Both polymer and metals, eventually ceramics, can be cured by laser, but there are big differences in properties of polymers and metals, requiring different laser power, speed of laser scanning, spot size and other parameters. Different types of lasers are utilized with ongoing research and development in field of lasers (Nd-YAG lasers, replaced by fiber lasers etc. [1, p. 252])

#### Electron beam melting

The electron beam melting process ("EBM"), developed at the Chalmers university in Sweden, utilizes an electron beam, which is focused onto the powder. When electrons hit the powder, their kinetic energy is transformed to heat. Compared to especially laser processing of metals, EBM is much more efficient process in terms of delivering energy to the powder. However, EBM uses only materials with high electrical conductivity, so it can't process polymers and either some metals with insufficient conductivity.

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The need for very high conductivity follows from the law of electrostatic force, saying that particles having the same charge repel each other. If neighboring powder particles will have high enough charge, the repulsive force will overcome gravitational and other forces and the powder will disrupt. Also, charged powder will tend to repel the incoming electrons coming from the electron beam, making the beam more diffused.

The results of drawbacks and process specifications are limited range of usable materials, and less

precise parts with worse surface finish and bigger grain sizes can be achieved. On the other hand, an advantage of EB is lower cost to a laser system of equivalent power, and easier way of beam focusing (beam is focused by two perpendicular anodes surrounding the beam, that and controlled by electricity. The laser beam however is usually controlled by mirror galvanometer)

#### 5.3 Materials for Powder Bed Fusion

particle size reflexcivity Variety of materials can be utilized with PBF, including polymers, metals, ceramics and composites. In theory, any material able to melt and solidify more than once can be used, because when the layer is being cured, the previous layer has to partially re-melt to be cured together. From polymers, thermoplastic polymers are suitable compared to unsuitable thermosets. Also, polymers with crystalline/semi-crystalline structure are preferable for their distinct melting point, compared to amorphous polymers without such melting point, that are more suitable for material extrusion.

With metals, such problem is not present. Usually, if a metal can be welded, it is supposed that processing it with PBF should be possible. There are however different problems that those with polymers - compared to polymers, metals tend to oxidize more and have much greater optical reflectivity, making metals more difficult to process via laser. (That is especially true for case of processing aluminum - it has very high reflectivity and doesn't absorb much energy, so only some alumina compounds are available for PBF processing.) Also, because of higher melting point of metals, lasers of higher power are used. From other differences, metals also has significantly higher heat conductivity than polymers and higher surface tension.

In the end, it can be said that for all mentioned problems and differences, PBF machines utilizing polymers will probably have different architecture that machines for metal processing. Machines for processing both polymers and metals and probably not commercially available?

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#### 5.4 Fusion mechanisms

Taken from [1]

Raw material is in form of powder. That powder has to be bound together to take the final part shape. There are 4 different methods of fusing the powder together.

#### 5.4.1 Solid-state sintering

With solid-state sintering, powder is bound together at elevated temperatures, but without melting. The temperature of the powder is held below the melting point, and binding process is driven by diffusion. At enough elevated temperatures, even though powder particles are not molten, 2 powder particles that have a small contact area are driven to fuse together to lower the total surface energy.

Necking

As fusion occurs, necking between particles starts, and the process continues as particles are fused more and more, lowering their total surface area and thus the surface energy, being in more stable state.

#### 5.4.2 Chemically-induced sintering

With chemically-induced sintering, or chemically-induced binding, the binding of powder occurs because or a chemical reaction. Such reaction is locally activated by thermal source - as a small region is heated, higher temperatures allow the reaction to take place by giving it enough activating energy. The chemical reaction can happen between either the powder and surrounding atmosphere, or between two different powder particles, that are previously mixed as default powder material. For reaction between powder and atmosphere, oxygen or nitrogen are usually the gaseous reactants. Common characteristic of this sintering method is higher porosity of parts.

#### 5.4.3 Liquid phase sintering

citovat springer liquid phase sintering, obr. str. 6

Liquid phase sintering utilizes a powder mixture, where 2 or more types of constituents are present. The purpose of mixing is, that one constituent acts as a binder which melts, while the other constituent remains solid and is bound by binding constituent, which acts as a glue to hold and form the part. Since in the powder there are more constituents, there is more ways how to mix them.

#### Separate particles

With this method, a powder is simply a mixture of binder particles (melting) and structural particles that are held together (not melting). Such mixture has to be well mixed. For both constituents, their volume ratio and size of the particles ratio is an important factor that determines properties of the final part.

#### Composite particles

Powder consists of particles, that are composed of 2 different constituents - i.e, the binding and structural powder are both present in a single powder particle. Examples might be particles consisting of polymer and metal or ceramic, with polymer portion acting as a binder and metal / ceramic as structural material. It is also possible to mix two metal materials, where the one with lower melting-temperature acts as binder and the other one as structural material.

The advantage of composite particles for PBF is that the resultant parts are more uniform, tent to have better surface finish and be of higher density compared to separate particles. On the contrary, manufacturing such composite particles is more difficult the simply mixing two powders, which makes the material more expensive. Typical example of composite particle material is nylon, filled with small glass beads.

#### Coated particles

Coated particles are a different form of a composite particle - a single structural particle is coated with a binder compound. This method of binder-structural powder combination again has certain advantages. These include primarily high absorption of the (laser) heat energy and more efficient binding.

High absorption of binder agent is very important - for improperly chosen structural and binder constituent, it could happen, that the structural constituent might have significantly higher absorption rate and higher thermal diffusivity, which would lead to the structural powder melting prior to the binding constituent, despite the fact of having higher melting temperature. Also, because only binder is absorbing the energy from the surface, the coating melts first and the structural particle is not affected by heat much, resulting in better binding. To this benefit, it is added that since binder is coated on the particle surface, when molten, it adds to the ability to flow, compared to when separate structural/binder particles are heated, and even though the binder is molten, due to it's viscosity it might not create properly bound material.

#### 5.4.4 Full melting

Full melting is probably the easiest of mentioned powder processes to imagine - a small region of powder is heated, resulting in full melt of that region and melt pool creation. When the heat source is focused on different region, the melt pool almost instantly solidifies. When the melt-pool is created, it has to be able to partially melt previous layer and neighboring regions, so that the melt pool at least a little bit melts previous layer, so that current layer is fully cured to the previous one. Also, because of very rapid cooling rates of melt pool, unique micro-structures can be achieved with very small grain size.

#### 5.5 Other problematic

There were already some problems mentioned, like reflectivity of metallic materials. However, except of material-specific problems, general process of using PBF machine can face other complications.

#### 5.5.1 Powder handling issues

One of the biggest challenges of PBF is problem-free handling of powder material. When spreading the powder, correct amount of powder must be spread over previous layer, that has to be smooth. As was mentioned in section about different leveling mechanisms, the shear forces upon previous layer during spreading has to be minimal not to disrupt layers.

To add to issues, as size of powder particles decreases, the powder tend to loose the ability to flow and electrostatic charging with friction between particles starts to play a big role - finer the powder is, more difficult it is to handle and spread. Next, when handled improperly, powder particles can tend to become airborne and float, caused either by same electrostatic charge of particles or other reason. Also, to create fine parts with small features, as fine powder as possible should be used. Therefore, in the end it is often question of compromise between part quality and ease of powder handling.

#### 5.5.2 Elevated temperatures of unprocessed powder

As was mentioned, powder within the build platform is held at elevated temperatures, often as high as possible below the melting point, to make the processing easier. However, as follows from principle of solid-state sintering, an important side-effect is that even the unprocessed powder is that if the loose powder is exposed to high temperatures long enough, than even the unprocessed powder that was not supposed to be cured can sinter together and can't be recycled.

#### 5.5.3 Material recycling

Related to previous problem, material recycling is an important part of PBF process, since available materials are expensive. We want to recycle as much powder as possible, but some powder unintentionally sintered can't be re-used straight away. Also, even if the unused powder doesn't sinter together, after long temperature exposure it might change it's properties, making the recycling even more complicated. In order to secure repeatability of the print, the powder during each print has to exhibit the same properties. To achieve such print-to-print uniformity, unused powder is mixed with partially used powder in different ratio, depending on thermal profile used powder was exposed to and other used-powder specifics.

#### 5.6 Conclusion

- + Available materials
  Blabla
- + Flexibility, support structures Blabla
- Support structures for warping (not EBM)
   Blabla
- TimeBlabla
- PriceBlabla
- Accuracy, surface finish
   Blabla

## Material extrusion

Technology of material extrusion (also Fused Filament Fabrication - FFF, or more commonly Fused Deposition Modeling, hereinafter **FDM**) is probably the best-known AM technology among people outside of AM industry. This is due to the fact that FDM machines are of all AM machines the cheapest and most affordable ones - low-cost FDM machines can be purchased for less than 300 EUR. As was mentioned in the introduction, we experienced a boom of low-cost FDM printers on the market, which was caused, apart from other reasons, by relevant patents expiration.

However, FDM is not field of only cheap low-end machines. On the other side of the market spectrum, there are high-end FDM printers that are way more complex, precise, have bigger build area and produce generally products of better quality.

#### 6.1 FDM in general

Let's start with description of the general setup of FDM printer.

Same as with any other technology, printer consists of the **build platform**, onto which the material is laid. Then there is **print head** that takes care of processing and depositing the material (it incorporates primarily the **extrusion nozzle** and **heating element** to melt the material, sensor of nozzle temperature etc). The material to be printed also has to be stored within the machine. The whole machine has a **solid frame**, onto which all mentioned parts are mounted. Onto this frame, **motors or other actuators** are mounted, which move the build platform or nozzle in all 3 dimensions. Finally, the machines has a control unit, that control the process and sends signals to motors, heating element and such.

Common FDM printer setup can be seen in following sections, p. 26 fig. 6.4, 6.5 and 6.6

As follows from the name of material extrusion, this technology takes a raw material, which is then in controlled manner extruded onto the build platform. The material being deposited has to be in **liquid / partially solid** state in the moment of deposition - material has to flow. After deposition, it has to solidify as fast as possible to remain in desired shape.

For the material extrusion, processes utilize a nozzle, through which the material is pushed. The nozzle typically has a shape of a cone. The material is fed from the side of bigger cone diameter and is pushed through the nozzle exit, which has smaller diameter. Ordinary printers utilize nozzle with entrance diameter of 1.75mm and exit diameter of 0.4mm. Immediately after exiting the nozzle, the material is deposited onto the build platform or previous layer of material - the gap between the nozzle tip and previous layer equals the layer thickness, which is usually in range of 0.05mm - 0.3mm.

### 6.2 Deposition process variants

In this section, some variants of FDM processes will be discussed.

#### 6.2.1 Method of material curing

Deposition processes are strongly interrelated with materials for FDM printers. There is a parameter that distinguishes two different approaches of material processing and deposition.

#### Heating

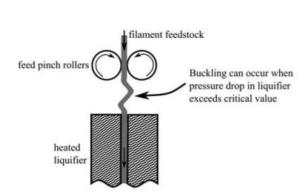
As we know, material has to be at least partially liquid to be able to flow, and solidify after leaving the nozzle. One way to achieve this behavior is having a solid material - some kind of **polymer**, and **heating it** to certain temperature. After heating, the polymer partially melts and it's viscosity lowers enough so that it can be pushed through the nozzle. After extrusion, it cools and solidifies.

#### Chemical curing

With this approach, other materials than polymer can be used, that are liquid in default state. This kind of material is held in a chamber within the printer. When depositing, this liquid material is pushed through the nozzle, and solidifies afterwards. However, in this case, material solidifies due to **chemical reaction** instead of cooling. If we take an example of gel-like material, after deposition it can solidify due to drying of the material in atmospheric conditions. Other reason for solidification of liquid material can be chemical reaction of material with some gas, that is present in the build space.

#### 6.2.2 Ways of material feeding

Until now, the way of applying the force to extrude the material was omitted. Here we have to introduce different concepts of how the material is fed and forced through the nozzle to overcome pressure losses.



into the heating block. This can present problems with less ridged filaments. A heater block melts the filament to a usable The extruded temperature. Then the material is laid heated filament is down on the extruded through the model where head of the printer. it is needed. The bed often will move in the X and Y axes, and the extruder will move in the Z axis.

Filament spool

The filament is

normally pushed

Figure 6.1: Illustration of filament buckling [9]

Figure 6.2: Common machine setup with pinch roller, taken from [7]

#### Pinch-roller

With pinch roller, material is in form a plastic wire. The wire is **pinched between two wheels**, with one wheel being smooth and other wheel having grooved of just very rough surface. As the wire is gripped between these wheels, when they rotate, the small gear grips into the wire and pushes it downwards. Principle of operation can be seen in fig. 6.2.

Since the polymer is not very strong material, there is a force limit. When this force of extrusion is exceeded, the wheels can't push the material - they will loose the grip and tear the surface of the wire instead of pushing it downwards. Also, filament buckling between nozzle entrance and rollers can occur if pressure drop is too high (fig. 6.2). This setup is used with all low-cost printers, since it is more appropriate for small scales and also is cheaper.

#### Screw-feeder

For larger-scale machines, screw-feeder system would be suitable. This system is also utilized within injection molding machines, where plastic pellets are fed and transported likewise. Screw-feeder has a few advantages over the pinch-roller. First, not only does it transport the material, it also heats the material, lowering required heat source power to reach desired temperature. Second, for larger-scale machines it makes more sense to feed plastic pellets into the machine, instead of a thick wire. Many plastic materials today can be purchased in form of such pellets. Having said the theory, in 2014 there were probably no commercially available FDM printers utilizing screw-feed. [9, p. 193]

#### 6.3 Available materials

The range of available materials for FDM use is limited to certain types. As was mentioned in previous section, with the two distinct methods of deposition processes, we have to use either polymer materials in case of heat melting (amorphous polymers are preferred over crystalline polymers), or very specific liquid material designed for this purpose to solidify quickly.

It should also be mentioned, that the second type of material will require more careful handling. FOr example, in the presence of air it might prematurely solidify, therefore sealed chamber and other additional equipment will be required. That is one of the reasons, why most FDM printers utilize the first type of material, i.e. polymer materials - they are generally speaking easier to process.

To mention some commonly used materials, widely used material is ABS (acrylonitrile butadiene styrene). Also, PLA (Polylactid acid) is material, that is bio-degradable and often used. From other materials, there is Nylon, PET (Polyethylene terephthalate) and others. Also there are materials, that consists of polymer, but are filled with particles of different material such as kevlar, bronze or wood, that might used for their visual or other preferable properties. In last decade, also because of

Property	ABS	ABSi	ABSplus	ABS/PC
Tensile strength (MPa)	22	37	36	34.8
Tensile modulus (MPa)	1,627	1,915	2,265	1,827
Elongation (%)	6	3.1	4	4.3
Flexural strength (MPa)	41	61	52	50
Flexural modulus (MPa)	1,834	1,820	2,198	1,863
IZOD impact (J/m <sup>2</sup> )	106.78	101.4	96	123
Heat deflection at 66 psi (°C)	90	87	96	110
Heat deflection at 264 psi (°C)	76	73	82	96
Thermal expansion (in./in./F)	5.60E - 05	6.7E - 6	4.90E - 05	4.10E - 5
Specific gravity	1.05	1.08	1.04	1.2

Figure 6.3: Datasheet of some comercially available materials from Stratasys, taken from [1, p. 163]

mentioned boom of FDM devices, we experienced growth of supply and demand of suitable materials. Such materials, some of which were developed primarily for purpose of FDM printing, are available with their price ranging from 20EUR (common materials like ABS, PLA) up to several hundreds EUR for 1kg. They can have very wide range of properties, from flexibility, strength, brittleness, resistance to degradation or visual properties.

Regarding flexibility and brittleness, materials can be very stiff having high Youngs modulus and virtually will undergo no elongation, or very flexible as some known polymers that can elongate up to several time of it's original part length. Regarding strength, the range of common polymers is approx 1 order of magnitude smaller compared to metals, that is in range of tens of MPa (Rarely more than 100MPa).

We have to be careful when we are generalizing material properties to the final printed part. When the material is heated and deposited, compared to raw unprocessed material, it can change it's properties significantly - not only can it loose flexibility and become less strong, but for

other reasons, we can't also avoid imperfections in the printed part, like small cavities, overlapping difficulties (fig. 6.6) and unisotrophy. For example, when printing part with FDM, in the direction perpendicular to the build platform (direction of following layers), part can withstand less that 1/2 of the original strength before layers separate from each other.

#### 6.4 FDM process variations

Some type variations were already mentioned, such as pinch-roller or screw feed mechanism to push and extrude material through the nozzle.

When extruding the material, **not absolute but relative motion** of nozzle and the build platform is controlled. That means that when building a part, there are two options - either the build platform is steady and the nozzle moves around, or nozzle is steady and the build platform moves around. Hence, from construction point of view, we can group FDM machines based on if the nozzle moves, or if the nozzle is a stationary part of the frame and only the build platform moves.

When talking about construction of low-end FDM printers, there is also number of different configurations and various concepts, like deltapod configuration, polar type (having circular rotating build platform) and others. These types with different kinematics can be seen in fig. 6.4, 6.5 and 6.6.





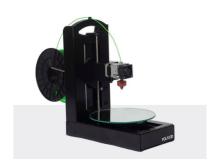


Figure 6.4: Typical 3-axis cartesian printer

Figure 6.5: Delta FDM printer configuration

Figure 6.6: Rarely used polar configuration, preferable for its high rigidity

For high-end and more expensive machines, there are number of additional options and features, usually not utilized by cheap low-end machines. Such features include **heated chamber** to minimize heat stresses and undesired effects like warping, shrinkage or separation from build platform. Other features might be equipment of various sensors, for example sensor for automatic calibration of the machine (although today already some low-end FDM printers are equipped with such). Also, with low-cost printers utilizing resistor and joule heat to melt the material, other-forms of heating for large-scale machines are possible.

#### 6.5 Problematics

Here, only some of all possible issues are listed, that either follow from the technological principle (hence are unavoidable) or are caused by machine imperfections and can be avoided somehow.

#### Accuracy issues

The main reason of accuracy problem is given diameter of the nozzle, which is finite and can't be changed. For example, let's say we have nozzle exit diameter of 0.4mm. The user is than simply prevented from creating and printing features smaller that 0.4 like sharp chamfers or corners - there

is no way of extruding features 0.3mm or smaller.

Other problem for pinch-roller mechanism and wire feeding is following - there is a limit speed or material extrusion, given by heating material limitation. Taking example of ordinary low-end FDM printer setup, when the wire with room temperature is fed to the machine, it has to be heated to melting temperature. However, it also can't exceed some temperature, at which degradation of the material would occur. With given temperature by which wire is heated, we have to remember that the wire is heated from the outside. Still, the whole wire has to melt before being extruded. If the wire was fed too fast to the printer, the outside of the wire would reach sufficient temperature, while the inside of the wire would still be solid, because not enough heat have been conducted to the inside of the wire. When the wire is not sufficiently molten, the nozzle can get clogged, but mainly the flow of material exiting the nozzle will be uneven and unsteady, easily interrupting and ruining the whole printing process.

Next issue is generally problem of support structures for FDM. When printing overhanging features of bridging long distances, need for support structures is present to support the extruded material that doesn't cool enough immediately right after extrusion, and can't support it's own weight. The support structures to hold the printed part in shape, can be printed of the same or different material. If they are printed of the same material, i.e. support structures and the printed part are printed as a single

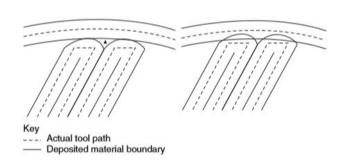


Figure 6.7: Illustration of trajectory of material deposition trajectory and overlapping, [1, p. 159]

piece, they have to be removed manually after the print. If different material is chosen for support structures, it can be removed usually chemically (i.e. dissolving in water) or manually, which is easier and it leaves us with our final printed part. However, printer with 2 nozzles, one for the basic material and one for support structures material, is needed.

Definitely not all possible problematic of FDM priters were listed. Apart from those mentioned, problems with used software and all related digital part processing - handling of model data, slicing the model and generating the nozzle trajectory can occur. Also, nozzle clogging used to be very common phenomena, which caused troubles to many users.

#### 6.6 Conclusion

#### + Price

FDM technology is the cheapest one, making it number one either for home users or small companies or start-ups to help them with product development. Available materials are also very cheap, compared to SLA resins or PBF powders.

#### + Ease of use

It might not be found important, but all machines eventually break sometimes. FDM machines are usually relatively easy to clean, maintain and operate.

#### - Speed

FDM is generally slower than many other machines, since it deposits material from single point (nozzle tip), while many other technologies can cure or deposit material simultaneously in multiple places.

#### Support structures

With FDM, support structures has to be built to print overhangs or bridging long distances. However, using water-soluble material can make removing support structures very easy.

#### - Materials

Even though scale of materials is still getting bigger, with FDM one is limited to polymer materials, which can be found of too little strength for functional prototypes or stressed parts.

#### - Accuracy, surface finish

Compared to other technologies, parts can be relatively less accurate. Sharp edges are impossible to produce due to finite nozzle diameter. Surface quality is low, and especially in the direction of vertical Z axis the surface roughness is poor. For visualization purposes, parts usually require some post-processing.

## **Material Jetting**

## Binder jetting

## **Sheet Lamination**

## Directed energy deposition processes

# Tensile strength of parts printed with FDM

The technology of Fused Deposition Modeling, or Fused Filament Fabrication (FDM/FFF) was described previously. This thesis consists also of practical and experimental section, which is based on FDM. The task was to find, what effect do **print parameters of FDM**, such as layer thickness, infill amount etc, have on **strength** of the final part.

#### 11.1 General properties of FDM prints

There are multiple reasons why such knowledge is valuable and important. Obvious reasons are, during parts design we always have to be aware of the part production process - different manufacturing procedures may result in different properties of the same part geometry. This is mostly due to anisotropical nature of such processes. When we are talking about FDM, the process is very anisotropical with parts having different properties in X/Z build direction (plane of single layer) and Z direction (vertical direction of build). Probably all kinds of macroscopic properties will show some amount of anisotropy, such as heat conductivity or electric conductivity. This is generally applicable on AM, i.e. plastics used with FDM are bad electricity conductors. For mechanical engineering, however, the crucial property that will vary with changing direction is strength and elongation of the material.

### 11.2 FDM and injection molding

Another important reason for such research is that we should be able to make a direct comparison between strength of part of the same geometry and made of the same material, but produced using different technologies. Particularly useful is the ability to compare parts made with **injection molding** and **additive manufacturing**. Both are using polymer materials and processing them by heat. For injection molding, the part will also be somewhat anisotropical, which is caused by the flow direction of the plastic. Compared to FDM however, injection molded parts will be much more isotropical and uniform.

The difference here can be of great significance, when we are considering production of functional, i.e. stressed parts. We know that injection molding and AM are on the opposite ends of the spectra of production rate, with molding being extremely productive with high quantities and AM being very slow. Nonetheless, if we find out that only very limited of parts is to made made (ranges of 10<sup>0</sup> up to 10<sup>3</sup> pieces), it is rational to think of AM instead of injection molding. On the contrary, AM produced parts due to it's nature will show different strength and elongation. Therefore production technology has to be accounted for before the production itself.

misto pro nejakz obrazek

#### 11.3 Print parameters

Following parameters were to be examined:

#### • Layer thickness

Different thickness of individual layers, range from 0.1 - 0.25 mm

#### • Infill

Amount of infill, range from hollow = 0% up to full = 100%

#### • Print temperature

Different setting of the constant nozzle temperature during extrusion

#### • Infill raster orientation

Orientation of rectilinear raster pattern, angle with edges in range of 0 - 45

#### • Layer orientation

Part build on different sides - different orientation of build

#### • Default parameters

Layer thickness 0.1mm Infill 100%, rectilinear pattern Print temperature 240C Infill raster orientation 45 Layer orientation - parallel to stress direction

Other print settings and parameters remained constant, and are listed as follows:

#### • Material

**PETG** 

#### • Nozzle diameter

1.75 mm / 0.4 mm

#### • Overlap of material

25%

#### • Shell / outside circumference region thickness

3 shell lines (outside of infill pattern)

#### • Number of top layers

12

#### • Bottom layers

10

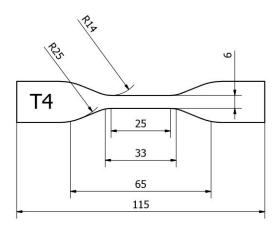


Figure 11.1: ASTM D638 - dimensions of test specimen type 4

#### • Build speed

Perimeters - 25-40mm/s Infill - 30-60mm/s

#### • Other

No raft generated No support material / support structures

For making test specimen, 3D printer Prusa I3, borrowed from Prusa company (mentioned in acknowledgements) was used. Slicer software used for Gcode generation was Prusa slicer ver. 1.31.6. The test specimen has a shape as in fig. 5.1. The shape is given by the ASTM D638 standard, used for plastic parts made by injection molding or machining. Up to this date there is no other generally accepted standard for 3D printing specimen for tensile strength testing.

Material used was PETG, provided by Prusa company - no corresponding datasheet with material properties was available, only recommended print temperature 220-250C was listed. For tensile strength testing, deformation rate of 50mm/min and 20mm/min were observed. Standard tensile strength testing machine with grips for plastic specimen was used.

After testing two sets of 5 specimen, each with different deformation rate, there was no difference in tensile strength observed between specimen stressed with 20mm/min and 50mm/min rates. It was therefore concluded, that for purposes of this thesis and limited time of tensile strength machine availability, deformation rate 50mm/min will be sufficient.

#### 11.4 Tensile strength testing results

#### Changing layer thicknesses

Layer thickness [mm]	Tensile strength [MPa]	Elongation [%]
0.10mm	$46.23 \pm 1.59$	$5.48 \pm 0.25$
0.15mm	$40.20 \pm 4.60$	$5.38 \pm 0.44$
0.20mm	$47.14 \pm 1.57$	$5.80 \pm 0.14$
0.25mm	$41.36 \pm 0.77$	$5.55 \pm 0.16$

#### Changing rectilinear infill raster orientation

180 = parallel to force direction

90 = perpendicular to force direction

T T		
Angle orientation [deg]	Tensile strength [MPa]	Elongation [%]
45/135	$46.23 \pm 1.59$	$5.48 \pm 0.25$
60/150	$40.46 \pm 1.46$	$4.86 \pm 0.31$
75/165	$42.33 \pm 1.23$	$4.90 \pm 0.29$
90/180	$43.48 \pm 1.78$	$4.91 \pm 0.38$

#### Changing nozzle temperature for printing

	1 0	
Nozzle temperature [C]	Tensile strength [MPa]	Elongation [%]
220	$38.77 \pm 3.16$	$5.13 \pm 0.52$
230	$43.73 \pm 0.93$	$5.37 \pm 0.21$
240	$46.23 \pm 1.59$	$5.48 \pm 0.25$
250	$43.46 \pm 0.46$	$5.10 \pm 0.21$

#### Changing infill percentage

pozn. - infill is related to the inside of the print, the outside boundary is always kept solid. As was mentioned in the print parameters section, there were always 10 solid bottom layers, 12 solid top layers, and also circumference is always built solid. Therefore, the amount of material in the cross-section is much more, than only solid model multiplied by infill percentage. In other words, approximately only inner  $8mm^2$  are filled with infill, remaining  $16mm^2$  of the cross-section are always built solid.

Infill [%]	Tensile strength [MPa]	Elongation [%]
100	$46.23 \pm 1.59$	$5.48 \pm 0.25$
80	$46.30 \pm 0.82$	$5.46 \pm 0.14$
60	$45.14 \pm 0.92$	$5.62 \pm 0.92$
40	$37.03 \pm 4.39$	$4.46 \pm 0.57$
20	$39.38 \pm 6.31$	$4.58 \pm 0.86$

#### Layers orientation with respect to force direction

XY - standard, layers in plane parallel to force direction

XZ - non-standard, layers perpendicular to force direction, no elongation and lower strength expected

layers orientation parallel to plane [%]	Tensile strength [MPa]	Elongation [%]
XY (standard)	46.23	1.59
XZ (vertical build)	IDK	IDK

During performing the tensile strength tests, unexpected behavior of test specimen was observed many times. Also, as can be seen in results table, there are some significant inconsistencies among tested specimen. Compared to usual tensile strength testing results of injection molded test specimen, standard deviation can be very high. Inconsistencies occur not only within a single group of test specimen (specimen printed with the same parameters), but also within a single changing parameter (inconsistent results, uneven illogical changes of strength with changing i.e. layer thickness). Also because

many specimen showed different behavior, there was question which specimen should be taken as relevant, and which results should be discarded. Because there is no guide, we took all the data, which include even results of extreme values. This is reflected in higher standard deviation of the final strength value.

Other parameters, that were not tested but could have significant effect on strength value, are listed below:

• **Infill pattern** It is likely that different infill patterns will show different strength and elongation with the same infill percentage.

#### • Time since last printer activity

It might be important, how long the printer was idle and not active.

#### • Age of material

Effect of material aging and degradation can also be worth researching.

#### • Printer quality and software used

Different printers might be able to print parts of different strengths. Reasons can be those of different printer stiffness (influencing part build consistency), consistency of nozzle temperature (causing inconsistencies in the material flow and build), bad slicer software and generation of unsuitable G-code and others parameters, that are not directly open to the users to manipulate with

#### • Environment conditions

Humidity and temperature, in combination with other parameters, might affect the material properties

#### Delamination

Break outside of grips

otazka jake vzorky vyradit jake nechat, nejake se pretrhly jine se prodluzovaly 3x, nahodny smer praskani - nekonzistentni, striska, vliv tloustky steny

Co ma nejvetsi vliv nejsou parametry, ale design, praskani nastava na rozmezi infillu a obvodu, vysledky taky nejsou jednoznacne, nicka opakovatelnost a vysoky rozptyl hodnot pevnosti - odchylka je vetsi nez rozptyl, vliv rychlost deformace

Vliv doby stani tiskarny, popsat trhani, ceho jsme si vsimli, jake vzorky vyhodit jake ne, vliv rychlosti deformace, rychlost dle normy, testovani struny a porovnani hodnoty nezpracovaneho materialu

ziskat data elongation, a cely prubeh zkousky

Datasheet

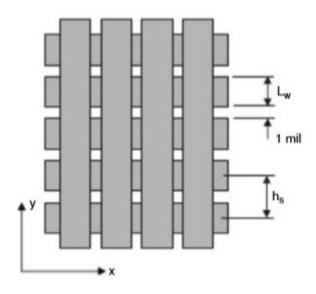


Figure 11.2: Test specimen broken outside of narrow region

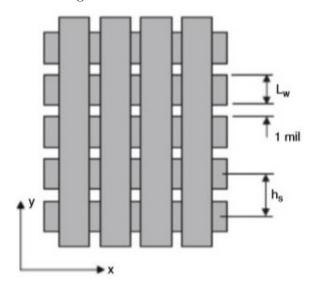


Figure 11.4: Illustration of imperfect overlapping and gaps in infill

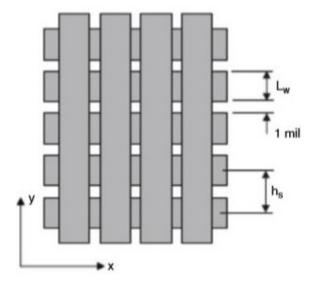


Figure 11.6: Elongation of single thread, separated from part during stressing

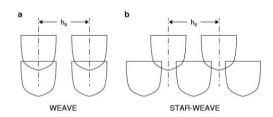


Figure 11.3: Delamination / separation of part shell from infill part

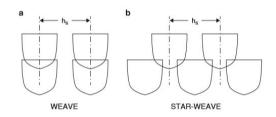


Figure 11.5: Unusual break line of specimen

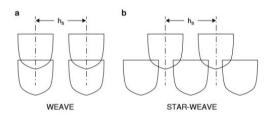


Figure 11.7: Cross section of broken specimen, showing brittle fracture with little elongation

## Todo list

Obrazek sestavy
Mention vibration in powder handing problems
tabulka str. 136???
najit
Priklady materialu
Necking
citovat springer liquid phase sintering, obr. str. 6
misto pro nejakz obrazek
ziskat data elongation, a cely prubeh zkousky
Datasheet

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