

CS 240 Module 3

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May 4th, 2015

Selection

Given an array $A[a \dots n-1]$ and $0 \leq k \leq n-1$ return the k th largest element in A .

1) Selection-sort Idea:

Scan A k times, deleting max each time.

Cost: $\Theta(kn)$

0.1 2)

Sort A , return $A[n-k]$

Cost: $\Theta(n \log n)$

3)

Scan the array once, and keep k largest seen so far in the min-heap.

Cost: $\Theta(n \log k)$

Eg: $[6, 5, 3, 8, 7, 4]$, $k=3$

We put in 6, 5 then 3 into the min heap. After we look at the rest of the elements and keep the min heap the size of k and add new elements if an element in the array is larger than the root of the min-heap. Continue through the array and at the end pick the root of the min heap.

4)

Heapify(A) then call deleteMax k times.

Cost: $\Theta(n + k \log n)$ For median selection ($k = n/2$) then it is the same as sorting so $\Theta(n)$

Partition Algorithm

Given an array $A[0..n-1]$ and $0 \leq k \leq n-1$, find the element at position k of the sorted A .

Observation:

$A = [7, 3, 2, 4, 6, 1]$

Sorted(A) = $[1, 2, 3, 4, 6, 7]$

What is the position of $A[3]$ (4) in the sorted A . the answer is the number of elements $< A[3]$ in $A[0..2]$ and $A[4,5]$

Idea: choose one element (pivot) and partition the data into: (items $<$ pivot), pivot, (items $>$ pivot). If position(pivot) == k , done, otherwise, continue either on the left or on the right, depending on the position of the pivot.

WHAT WE WANT TO DO:

Implicit $A = [9, 4, 5, 8, 6, 3, 2]$

Lets pick $A[2]$ as the pivot, swap $A[2]$ and $A[0]$

$A = [5, 4, 9, 8, 6, 3, 2]$

Idea: Find the outermost wrongly positioned pair and swap.

advance i , backup j .

$A = [5, 4, 9, 8, 6, 3, 2]$

$i < j$ so we should swap i

$A = [5, 4, 2, 8, 6, 3, 9]$

Advance i , backup j

$A = [5, 4, 2, 8, 6, 3, 9]$

$i < j$ swap i

$A = [5, 4, 2, 3, 6, 8, 9]$

advance i , backup j

$A = [5, 4, 2, 3, 6, 8, 9]$

$j < i$ stop, swap, $A[0]$ with $A[j]$

$A = [3, 4, 2, 5, 6, 8, 9]$

Return 3.

Quick Select(A,K)

```
P = choosePivot(A)
i = partition(P)
if i = k
return A[i]
if i > k:
return QuickSelect(A[0...i-1], k)
if i < k:
return QuickSelect(A[i+1...n-1], k-i-1)
```

0.1.1 Cost of Quick Select

Let $T(n)$ be cost of QuickSelect

$$T(n) = \Theta(n) +$$

$$\Theta(1), \text{ if } n = k$$

$$T(i) \text{ if } i > k$$

$$T(n-i-1), \text{ if } i < k$$

Best Case: $T(n) = \Theta(n)$ if $i = k$

(first chosen pivot is the element at position k , no recursive calls)

Worst Case: $i = 0$ or $i = n-1$

Recursive call has size $n-1$

(if we pick the first element as the pivot, then an array sorted in ascending or descending order will give the worst case runtime.)

$$T(n) =$$

$$d \text{ if } n = 1$$

$$T(n-1) + cn \text{ if } n \geq 2$$

$$T(n) = cn + c(n-1) + c(n-2) + \dots + c(2) + d$$

$$= c \frac{n(n+1)}{2} - c + d \in \Theta(n^2)$$

What if the partition is balanced

$A[p]$ is always close to median

$$T(n) = \begin{cases} T(\frac{n}{2}) + cn & \text{if } n \geq 2 \\ d & \text{if } n = 1 \end{cases}$$

Assume n is a power of 2: 2^x

$$\begin{aligned} T(2^x) &= c \cdot 2^x + c \cdot 2^{x-1} + \dots + c \cdot 2 + d \\ &= c(2^{x+1} - 2) + d \\ &= 2c(n - 1) + d \in \Theta(n) \end{aligned}$$

Average-Case analysis: Average cost over all inputs of size n as function of n .

Observation: behaviour of QuickSelect depends on relative ordering, and not on actual values. $[1,3,5,7]$ will yield the same worst case behaviour as $[4,5,6,7]$.

Assume all keys are unique, x_1, x_2, \dots, x_n then there are $n!$ possible orderings on these keys. and each ordering is equally likely

After we pick the pivot, what will the split look like?

L(num of items)	R(num of items)
0	$n-1$
1	$n-2$
...	...
$k-1$	$n-k$
k	$n-k-1$
$k+1$	$n-k-2$
...	...
$n-1$	0

For each choice of pivot (n possible pivots) there are $(n-1)!$ permutations of non-pivot elements, each of the splits is equally likely

After Partition:

$$A = [0 \dots x \dots]$$

Define $T(n,k)$ an average cost for selecting k th item from a size n array.

$$T(n, k) = cn + \frac{1}{n}T(n-1, k-1) + \frac{1}{n}T(n-2, k-2) + \dots + \frac{1}{n}T(n-1, k)$$

$$cn + \frac{1}{n} \left(\sum_{i=1}^{k-1} T(n-i-1, k-i-1) + \sum_{i=k+1}^{n-1} T(o, k) \right)$$

Put in summation notation.

Define $T(n) = \max_{0 \leq k \leq n-1} T(n, k)$

Observation: $\left[\overset{n/2}{--} \mid \overset{3n/4}{--} X \mid -- \right]$ pivot ends up in between the two divisions.
 At least $1/2$ of all the n problem instances will have the pivot at position $n/4 \leq i < 3n/4$

For these instances, recursive call has length at most $\text{floor}(\frac{3n}{4})$, no matter what k is.

$T(n) \leq$
 if $n \geq 2$
 $cn + 1.2(T(n) + T(\text{floor}(\frac{3n}{4})))$
 if $n = 1$
 d

$$\begin{aligned} T(n) &\leq 2cn + T(\text{floor}(\frac{3n}{4})) \leq 2cn + T(\frac{3n}{4}) \\ &\leq 2cn + 2c(\frac{3n}{4}) + 2c(\frac{9n}{16}) + \dots + d \\ &= d + 2cn \sum_{i=0}^{\infty} \left(\frac{3}{4}\right)^i \leq d2cn \times 4 \in O(n) \end{aligned}$$

$T(n) \in \Omega(n)$ Since we have to partition at least once $T(n) \in \Omega(n)$

Worst case runtime is $\Theta(n^2)$

Your enemy could make your algorithm run slowly by a "Bad" order input.
 Another approach: Randomized quickSelect.

- Pick Pivot at random
- No ordering of the input on its own is guaranteed to be bad

The expected runtime: with prob at least $1/2$ the pivot will randomly fall between $\text{roof}(n/4)$ and $\text{floor}(3n/4)$, so the analysis is the same as for the

average case: $\Theta(n)$

Finding a Pivot

Idea: generate a good pivot deterministically (median of medians), assume all keys are distinct

1. Divide the array into $x = n/5$ groups of 5 elements each
2. find the median of each group
3. Recursively select the median among the medians of these groups
4. Partition with the median found in Step 3 as a pivot
5. Recurse in appropriate part of the array, $k \neq i$

Step 1 + Step 2: $\Theta(n)$

Step 3: $T(\frac{n}{5})$

Step 4: $\Theta(n)$

Step 5: $T(?)$

The recurrence relation is $T(n) \leq$

QuickSort A[0...n-1]

```
if n <= 1 return
p = choose_pivot(A)
i = partition(A,p)
QuickSort(A[0...i-1])
QuickSort(A[i+1 ... n-1])
```

Worst-Case:

Pivot ends up at one end or the other after positioning.

$T(n) = cn + T(n-1) \in \Theta(n^2)$

Best Case

$$T(n) = T(\text{floor}(\frac{n-1}{2})) + T(\text{roof}(\frac{n-1}{2})) + cn < 2T(n/2) + cn \quad \Theta(n \log n)$$

What if our partition always splits $n/10$ and $9n/10$

$$T(n) =$$

if $n \leq 1$

d

if $n > 1$

$$T(n/10) + T(9n/10) + cn$$

RECURSION TREE NO ON THIS PAGE

$$T(n) \leq cn \cdot \log_{10/9}(n) + cn \in \Theta(n \log n)$$

Average Case

$$\left[\overset{n/2}{--} \mid \overset{3n/4}{--} X \overset{3n/4}{--} \mid \overset{n/2}{--} \right]$$

i, $n-i-1$ average sizes of two subproblems, taking average cost over all n possibilities of i .

$$\begin{aligned} T(n) &= cn + \frac{1}{n} \sum_{i=0}^{n-1} (T(i) + T(n-i-1)) \\ &= cn + \frac{1}{n} \cdot 2 \sum_{i=0}^{n-1} T(i), n \geq 2 \end{aligned}$$

NEW STUFF

$$\begin{aligned} nT(n) &= cn^2 + 2(T(0) + T(1) + \dots + T(n-1)) \\ (n-1)T(n-1) &= c(n-1)^2 + 2(T(0) + T(1) + \dots + T(n-2)) \\ nT(n) - (n-1)T(n-1) &= 2cn - c + 2T(n-1) \\ nT(n) &= (n+1)T(n-1) + 2cn - c \end{aligned}$$

$$\begin{aligned}
\frac{T(n)}{n+1} &= \frac{T(n-1)}{n} + \frac{2cn - c}{n(n+1)} \\
&< \frac{T(n-1)}{n} + \frac{2c}{n+1} \\
\frac{T(n-1)}{n} &\leq \frac{T(n-2)}{n-1} + \frac{2c}{n} \\
\frac{T(n-2)}{n-1} &\leq \frac{T(n-3)}{n-2} + \frac{2c}{n-1} \\
\frac{T(n)}{n+1} &\leq \frac{T(n-1)}{n} + \frac{2c}{n+1} \leq \frac{T(n-2)}{n-1} + \frac{2c}{n} + \frac{2c}{n+1} \\
&\quad \frac{T(n-3)}{n-2} + \frac{2c}{n-1} + \frac{2c}{n} + \frac{2c}{n+1} \dots \\
&\quad \frac{T(1)}{2} + \frac{2c}{3} + \frac{2c}{4} + \dots + \frac{2c}{n+1} = \\
&= \frac{d}{2} + 2c \sum_{i=3}^{n+1} \frac{1}{i} = \frac{d}{2} + 2c(H_{n+1} - H_2) \\
&\in O(\log n) \\
\frac{T(n)}{n+1} &\in O(\log n) \text{ implies } T(n) \in O(\log n)
\end{aligned}$$

QuickSort

Avg. Case Analysis of Quick Sort

Assume pivot is at index i

$$T(n) = cn + T(i) + T(n-i-1)$$

How many sequences do we have? $\rightarrow n!$

$$\begin{aligned}
T(n) &= \frac{1}{n!} \left(\sum_{i=1}^n (cn + T(i) + T(n-i-1)) \cdot (n-1)! \right) \\
&= cn + \frac{1}{n} \left(\sum_{i=1}^n T(i) + T(n-i-1) \right)
\end{aligned}$$

$$\begin{aligned}
&= cn + \frac{1}{n} \sum_{i=1}^n T(i) + \frac{1}{n} \sum_{j=1}^n T(j) \\
T(n) &= cn + \frac{2}{n} \sum_{i=1}^n T(i) \\
nT(n) &= cn^2 + 2 \sum_{i=1}^n T(i) \\
(n-1)T(n-1) &= c(n-1)^2 + 2 \sum_{i=0}^{n-2} T(i) \\
nT(n) - (n-1)T(n-1) &= 2cn - c + 2T(n-1) \\
nT(n) &= 2cn - c + (n+1)T(n-1) \\
\frac{T(n)}{n+1} &= \frac{T(n-1)}{n} + \frac{2c}{n+1} \\
\frac{T(n)}{n+1} &\leq \frac{T(n-2)}{n-1} + \frac{2c}{n+1} = \frac{2c}{n} \\
\frac{T(n)}{n+1} &= \frac{T(n-3)}{n-1} + \frac{2c}{n+1} + \frac{2c}{n} + \frac{2c}{n-1} \\
&\dots \\
&\dots \\
\frac{T(n)}{n+1} &\leq \frac{2c}{n+1} + \frac{2c}{n} + \frac{2c}{n-1} + \dots \frac{2c}{2} + 2c \\
\frac{T(n)}{n+1} &\leq 2c \ln(n) \\
T(n) &\leq (n+1)(n(n)2c) \in \Theta(n \log n)
\end{aligned}$$

More Notes on Quicksort

```

Q-sort(A,L,R){
  if(n < 2) break

```

```

  piv <- partition(A,L,R)
  Q-sort(A,L,piv-1)
  Q-sort(A,piv+1, R)
}

```

$M(n)$ = memory

$$M(n) = 2M\left(\frac{n}{2}\right) + C$$

Two recursive calls each require its own stream on the stack space

$$M(n) \in \Theta(n)$$

```
Tail_Rec_Q-sort(A,L,R){  
  if(R-L) < 2 Break;  
  piv <- partition(A,L,R)  
  if(a < (R-L)/2){  
    Tail_Rec_Q-sort(A,L,piv-1)  
    L=Piv+1  
  } else {  
    Tail_Rec_Q-sort(A, piv+1, R)  
    R=Piv-1  
  }  
}
```

$$M(n) \leq M\left(\frac{n}{2} + c\right) \in \Theta(\log n)$$

Comparison Based Model

Sort objects (POTATOES) by mutual comparison

Assume $P_1, P_2, P_3 \dots P_n$

Assume the cost for a comparison is 1, everything else is free.

Each comparison divides the number of possibilities by 2.

Starts with $n!$ permutations, then $\frac{n!}{2} \dots$

- Binary tree
- Each node is a comparison
- each leaf is a permutation
- A binary tree with $n!$ leaves

A binary tree with $n!$ leaves

- Even if it is full, the height is at least $\Omega(\log n)$
- not an answer for worst (eg, $O(n!)$)

Counting Sort

n integers all smaller than m

size m

C:

0	0	0	0	0	0	1	2	3	1	0	1
---	---	---	---	---	---	---	---	---	---	---	---

1. count the number of occurrences in array c
2. In new array L find left boundaries $L[i] = L[i-1] + C[i-1]$

L:

0	1	3	6	8	8
---	---	---	---	---	---