

# CS 240 Module 3

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## Selection

Given an array  $A[a \dots n-1]$  and  $0 \leq k \leq n-1$  return the  $k$ th largest element in  $A$ .

### 1) Selection-sort Idea:

Scan  $A$   $k$  times, deleting max each time.

Cost:  $\Theta(kn)$

### 0.1 2)

Sort  $A$ , return  $A[n-k]$

Cost:  $\Theta(n \log n)$

### 3)

Scan the array once, and keep  $k$  largest seen so far in the min-heap.

Cost:  $\Theta(n \log k)$

Eg:  $[6, 5, 3, 8, 7, 4]$ ,  $k=3$

We put in 6, 5 then 3 into the min heap. After we look at the rest of the elements and keep the min heap the size of  $k$  and add new elements if an element in the array is larger than the root of the min-heap. Continue through the array and at the end pick the root of the min heap.

### 4)

Heapify( $A$ ) then call deleteMax  $k$  times.

Cost:  $\Theta(n + k \log n)$  For median selection ( $k = n/2$ ) then it is the same as sorting so  $\Theta(n)$

## Partition Algorithm

Given an array  $A[0..n-1]$  and  $0 \leq k \leq n-1$ , find the element at position  $k$  of the sorted  $A$ .

### Observation:

$A = [7, 3, 2, 4, 6, 1]$

Sorted( $A$ ) =  $[1, 2, 3, 4, 6, 7]$

What is the position of  $A[3]$  (4) in the sorted  $A$ . the answer is the number of elements  $< A[3]$  in  $A[0..2]$  and  $A[4,5]$

**Idea:** choose one element (pivot) and partition the data into: (items  $<$  pivot), pivot, (items  $>$  pivot). If position(pivot) ==  $k$ , done, otherwise, continue either on the left or on the right, depending on the position of the pivot.

WHAT WE WANT TO DO:

Implicit  $A = [9, 4, 5, 8, 6, 3, 2]$

Lets pick  $A[2]$  as the pivot, swap  $A[2]$  and  $A[0]$

$A = [5, 4, 9, 8, 6, 3, 2]$

**Idea:** Find the outermost wrongly positioned pair and swap.

advance  $i$ , backup  $j$ .

$A = [5, 4, 9, 8, 6, 3, 2]$

$i < j$  so we should swap  $i$

$A = [5, 4, 2, 8, 6, 3, 9]$

Advance  $i$ , backup  $j$

$A = [5, 4, 2, 8, 6, 3, 9]$

$i < j$  swap  $i$

$A = [5, 4, 2, 3, 6, 8, 9]$

advance  $i$ , backup  $j$

$A = [5, 4, 2, 3, 6, 8, 9]$

$j < i$  stop, swap,  $A[0]$  with  $A[j]$

$A = [3, 4, 2, 5, 6, 8, 9]$

Return 3.

## Quick Select(A,K)

```
P = choosePivot(A)
i = partition(P)
if i = k
return A[i]
if i > k:
return QuickSelect(A[0...i-1], k)
if i < k:
return QuickSelect(A[i+1...n-1], k-i-1)
```

### 0.1.1 Cost of Quick Select

Let  $T(n)$  be cost of QuickSelect

$$T(n) = \Theta(n) +$$

$$\Theta(1), \text{ if } n = k$$

$$T(i) \text{ if } i > k$$

$$T(n-i-1), \text{ if } i < k$$

**Best Case:**  $T(n) = \Theta(n)$  if  $i = k$

(first chosen pivot is the element at position  $k$ , no recursive calls)

**Worst Case:**  $i = 0$  or  $i = n-1$

Recursive call has size  $n-1$

(if we pick the first element as the pivot, then an array sorted in ascending or descending order will give the worst case runtime.)

$$T(n) =$$

$$d \text{ if } n = 1$$

$$T(n-1) + cn \text{ if } n \geq 2$$

$$T(n) = cn + c(n-1) + c(n-2) + \dots + c(2) + d$$

$$= c \frac{n(n+1)}{2} - c + d \in \Theta(n^2)$$

**What if the partition is balanced**

$A[p]$  is always close to median

$$T(n) = \begin{cases} T(\frac{n}{2}) + cn & \text{if } n \geq 2 \\ d & \text{if } n = 1 \end{cases}$$

Assume  $n$  is a power of 2:  $2^x$

$$\begin{aligned} T(2^x) &= c \cdot 2^x + c \cdot 2^{x-1} + \dots + c \cdot 2 + d \\ &= c(2^{x+1} - 2) + d \\ &= 2c(n - 1) + d \in \Theta(n) \end{aligned}$$

**Average-Case analysis:** Average cost over all inputs of size  $n$  as function of  $n$ .

Observation: behaviour of QuickSelect depends on relative ordering, and not on actual values.  $[1,3,5,7]$  will yield the same worst case behaviour as  $[4,5,6,7]$ .

Assume all keys are unique,  $x_1, x_2, \dots, x_n$  then there are  $n!$  possible orderings on these keys. and each ordering is equally likely

After we pick the pivot, what will the split look like?

L(num of items)	R(num of items)
0	$n-1$
1	$n-2$
...	...
$k-1$	$n-k$
$k$	$n-k-1$
$k+1$	$n-k-2$
...	...
$n-1$	0

For each choice of pivot ( $n$  possible pivots) there are  $(n-1)!$  permutations of non-pivot elements, each of the splits is equally likely

After Partition:

$$A = [0 \dots x \dots]$$

Define  $T(n,k)$  an average cost for selecting  $k$ th item from a size  $n$  array.

$$T(n, k) = cn + \frac{1}{n}T(n-1, k-1) + \frac{1}{n}T(n-2, k-2) + \dots + \frac{1}{n}T(n-1, k)$$

$$cn + \frac{1}{n} \left( \sum_{i=1}^{k-1} T(n-i-1, k-i-1) + \sum_{i=k+1}^{n-1} T(o, k) \right)$$

Put in summation notation.

Define  $T(n) = \max_{0 \leq k \leq n-1} T(n, k)$

**Observation:**  $\left[ \overset{n/2}{--} \mid \overset{3n/4}{--} X \mid -- \right]$  pivot ends up in between the two divisions.  
 At least  $1/2$  of all the  $n$  problem instances will have the pivot at position  $n/4 \leq i < 3n/4$

For these instances, recursive call has length at most  $\text{floor}(\frac{3n}{4})$ , no matter what  $k$  is.

$T(n) \leq$   
 if  $n \geq 2$   
 $cn + 1.2(T(n) + T(\text{floor}(\frac{3n}{4})))$   
 if  $n = 1$   
 $d$

$$\begin{aligned} T(n) &\leq 2cn + T(\text{floor}(\frac{3n}{4})) \leq 2cn + T(\frac{3n}{4}) \\ &\leq 2cn + 2c(\frac{3n}{4}) + 2c(\frac{9n}{16}) + \dots + d \\ &= d + 2cn \sum_{i=0}^{\infty} \left(\frac{3}{4}\right)^i \leq d2cn \times 4 \in O(n) \end{aligned}$$

$T(n) \in \Omega(n)$  Since we have to partition at least once  $T(n) \in \Omega(n)$

Worst case runtime is  $\Theta(n^2)$

Your enemy could make your algorithm run slowly by a "Bad" order input.  
 Another approach: Randomized quickSelect.

- Pick Pivot at random
- No ordering of the input on its own is guaranteed to be bad

**The expected runtime:** with prob at least  $1/2$  the pivot will randomly fall between  $\text{roof}(n/4)$  and  $\text{floor}(3n/4)$ , so the analysis is the same as for the

average case:  $\Theta(n)$

### Finding a Pivot

**Idea:** generate a good pivot deterministically (median of medians), assume all keys are distinct

1. Divide the array into  $x = n/5$  groups of 5 elements each
2. find the median of each group
3. Recursively select the median among the medians of these groups
4. Partition with the median found in Step 3 as a pivot
5. Recurse in appropriate part of the array,  $k \neq i$

Step 1 + Step 2:  $\Theta(n)$

Step 3:  $T(\frac{n}{5})$

Step 4:  $\Theta(n)$

Step 5:  $T(?)$

The recurrence relation is  $T(n) \leq$

### QuickSort A[0...n-1]

```
if n <= 1 return
p = choose_pivot(A)
i = partition(A,p)
QuickSort(A[0...i-1])
QuickSort(A[i+1 ... n-1])
```

### Worst-Case:

Pivot ends up at one end or the other after positioning.

$T(n) = cn + T(n-1) \in \Theta(n^2)$

### Best Case

$$T(n) = T(\text{floor}(\frac{n-1}{2})) + T(\text{roof}(\frac{n-1}{2})) + cn < 2T(n/2) + cn \quad \Theta(n \log n)$$

What if our partition always splits  $n/10$  and  $9n/10$

$$T(n) =$$

if  $n \leq 1$

d

if  $n > 1$

$$T(n/10) + T(9n/10) + cn$$

RECURSION TREE NO ON THIS PAGE

$$T(n) \leq cn \cdot \log_{10/9}(n) + cn \in \Theta(n \log n)$$

### Average Case

$$\left[ \overset{n/2}{--} \mid \overset{3n/4}{--} X \overset{3n/4}{--} \mid \overset{n/2}{--} \right]$$

i,  $n-i-1$  average sizes of two subproblems, taking average cost over all  $n$  possibilities of  $i$ .

$$\begin{aligned} T(n) &= cn + \frac{1}{n} \sum_{i=0}^{n-1} (T(i) + T(n-i-1)) \\ &= cn + \frac{1}{n} \cdot 2 \sum_{i=0}^{n-1} T(i), n \geq 2 \end{aligned}$$

NEW STUFF

$$\begin{aligned} nT(n) &= cn^2 + 2(T(0) + T(1) + \dots + T(n-1)) \\ (n-1)T(n-1) &= c(n-1)^2 + 2(T(0) + T(1) + \dots + T(n-2)) \\ nT(n) - (n-1)T(n-1) &= 2cn - c + 2T(n-1) \\ nT(n) &= (n+1)T(n-1) + 2cn - c \end{aligned}$$

$$\begin{aligned}\frac{T(n)}{n+1} &= \frac{T(n-1)}{n} + \frac{2cn-c}{n(n+1)} \\ &< \frac{T(n-1)}{n} + \frac{2c}{n+1}\end{aligned}$$