## Math 239 Fall 2014 Assignment 5 Solutions

1.  $\{5 \text{ marks}\}\ \text{Let } \{a_n\}\ \text{be the sequence which satisfies}$ 

$$a_n - a_{n-1} - 8a_{n-2} + 12a_{n-3} = 0$$

for  $n \ge 3$  with initial conditions  $a_0 = 1, a_1 = 13, a_2 = 23$ . Determine an explicit formula for  $a_n$ .

**Solution.** The characteristic polynomial is

$$x^3 - x^2 - 8x + 12 = (x - 2)^2(x + 3).$$

The root 2 has multiplicity 2, and the root -3 has multiplicity 1. So

$$a_n = (A + Bn) \cdot 2^n + C \cdot (-3)^n$$

for some constants A, B, C. Plugging in the initial conditions, we get

$$1 = A + C$$
  
 $13 = 2A + 2B - 3C$   
 $23 = 4A + 8B + 9C$ 

Solving this gives us A=2, B=3, C=-1. So an explicit formula for  $a_n$  is

$$a_n = (2+3n) \cdot 2^n - (-3)^n$$
.

2.  $\{5 \text{ marks}\}\ \text{Let } \{b_n\}$  be the sequence which satisfies

$$b_n - b_{n-1} - 8b_{n-2} + 12b_{n-3} = 20$$

for  $n \ge 3$  with initial conditions  $b_0 = 1, b_1 = 26, b_2 = 30$ . Determine an explicit formula for  $b_n$ . (Note: This recurrence is similar to the one in question 1.)

**Solution.** We suppose that  $c_n = \alpha$  is a specific solution to the recurrence. Then

$$c_n - c_{n-1} - 8c_{n-2} + 12c_{n-3} = \alpha - \alpha - 8\alpha + 12\alpha = 4\alpha.$$

This equals 20, so  $\alpha = 5$ . A specific solution is then  $c_n = 5$ .

The characteristic polynomial is the same as part (a), so

$$b_n = (A + Bn) \cdot 2^n + C \cdot (-3)^n + 5$$

for some constants A, B, C. Plugging in the initial conditions, we get

$$1 = A + C + 5$$
$$26 = 2A + 2B - 3C + 5$$
$$30 = 4A + 8B + 9C + 5$$

Solving this gives us A = -1, B = 7, C = -3. So an explicit formula for  $b_n$  is

$$b_n = (-1+7n) \cdot 2^n - 3 \cdot (-3)^n + 5.$$

3. Consider the sequence  $\{a_n\}$  where for each integer  $n \geq 0$ ,

$$a_n = \sqrt{5} \left( \frac{3 + \sqrt{5}}{2} \right)^n - \sqrt{5} \left( \frac{3 - \sqrt{5}}{2} \right)^n.$$

(a) {3 marks} Derive a simplified rational expression for  $A(x) = \sum_{n>0} a_n x^n$ . Solution.

$$\sum_{n\geq 0} a_n x^n = \sum_{n\geq 0} \left( \sqrt{5} \left( \frac{3+\sqrt{5}}{2} \right)^n - \sqrt{5} \left( \frac{3-\sqrt{5}}{2} \right)^n \right) x^n$$

$$= \sqrt{5} \left( \sum_{n\geq 0} \left( \frac{3+\sqrt{5}}{2} \right)^n x^n - \sum_{n\geq 0} \left( \frac{3-\sqrt{5}}{2} \right)^n x^n \right)$$

$$= \sqrt{5} \left( \frac{1}{1 - \frac{3+\sqrt{5}}{2}x} - \frac{1}{1 - \frac{3-\sqrt{5}}{2}x} \right)$$

$$= \sqrt{5} \left( \frac{1 - \frac{3-\sqrt{5}}{2}x - 1 + \frac{3+\sqrt{5}}{2}x}{\left(1 - \frac{3+\sqrt{5}}{2}x\right)\left(1 - \frac{3-\sqrt{5}}{2}x\right)} \right)$$

$$= \sqrt{5} \left( \frac{\sqrt{5}x}{1 - 3x + x^2} \right)$$

$$= \frac{5x}{1 - 3x + x^2}.$$

(b) {3 marks} Use part (a) to prove that  $a_n$  is an integer for all  $n \ge 0$ .

**Solution.** From the power series in part (a), we see that  $a_n$  satisfies the recurrence  $a_n - 3a_{n-1} + a_{n-2} = 0$  for  $n \ge 2$  with initial conditions  $a_0 = 0$  and  $a_1 = 5$ . We see that both  $a_0$  and  $a_1$  are integers. Using strong induction, we see that for each  $n \ge 2$ ,  $a_n = 3a_{n-1} - a_{n-2}$ , which is a difference of two integers (by induction hypothesis). So  $a_n$  is an integer for all  $n \ge 0$ .

4.  $\{4 \text{ marks}\}\$  From assignment 4, the set of binary strings whose integer representations are multiples of 3 can be expressed as  $S = (\{1\}(\{0\}\{1\}^*\{0\})^*\{1\}\{0\}^*)^*$ . You have found that the generating series for S is

$$\Phi_S(x) = \frac{1 - x - x^2}{1 - x - 2x^2}.$$

Let  $a_n = [x^n]\Phi_S(x)$ , which represents the number of strings in S of length n. Use partial fraction expansion to determine an explicit formula for  $a_n$  for all integers  $n \ge 0$ . (Your solution should make sense in some way.)

**Solution.** We first note that this rational function is improper, the degree of the numerator is not strictly less than the denominator. We can apply the division algorithm first to get

$$\frac{1-x-x^2}{1-x-2x^2} = \frac{1}{2} + \frac{\frac{1}{2} - \frac{1}{2}x}{1-x-2x^2}.$$

Now  $(1-x-2x^2)=(1-2x)(1+x)$ . Using partial fractions, we see that there exists constants A, B such that

$$\frac{\frac{1}{2} - \frac{1}{2}x}{1 - x - 2x^2} = \frac{A}{1 - 2x} + \frac{B}{1 + x}.$$

Solving this gives  $A = \frac{1}{6}, B = \frac{1}{3}$ . So

$$[x^n] \frac{\frac{1}{2} - \frac{1}{2}x}{1 - x - 2x^2} = \frac{1}{6}2^n + \frac{1}{3}(-1)^n = \frac{1}{3}(2^{n-1} + (-1)^n).$$

The constant term here is  $\frac{1}{2}$ , and added to the  $\frac{1}{2}$  in the first equation, we get that the constant term is 1. So overall,

$$a_n = \begin{cases} \frac{1}{3}(2^{n-1} + (-1)^n) & n \ge 1\\ 1 & n = 0 \end{cases}$$

(Note: This formula makes sense since there are  $2^{n-1}$  binary strings of length n that start with 1, and among them, about a third are multiples of 3.)

5. (a)  $\{2 \text{ marks}\}\ \text{Let } n \in \mathbb{N}, \text{ and let } S_n \text{ be the set of all compositions of } n. \text{ We know that } |S_n| = 2^{n-1}. \text{ In particular, } |S_n| = 2|S_{n-1}| \text{ for } n \geq 2. \text{ We can interpret this combinatorially as follows: Let } S_n = A \cup B \text{ where } A \text{ consists of compositions of } n \text{ whose last part is } 1, \text{ and } B \text{ consists of compositions of } n \text{ whose last part is greater than } 1. \text{ Write down two bijections } f: A \to S_{n-1} \text{ and } g: B \to S_{n-1}.$ 

**Solution.** Define  $f: A \to S_{n-1}$  by

$$f(a_1,\ldots,a_{k-1},1)=(a_1,\ldots,a_k).$$

The inverse is

$$f^{-1}(b_1,\ldots,b_l)=(b_1,\ldots,b_l,1).$$

Define  $g: B \to S_{n-1}$  by

$$g(a_1,\ldots,a_k) = (a_1,\ldots,a_{k-1},a_k-1).$$

The inverse is

$$g^{-1}(b_1,\ldots,b_l)=(b_1,\ldots,b_{l-1},b_l+1).$$

(b)  $\{2 \text{ marks}\}\ \text{Let } a_n \text{ denote the total number of parts of all possible composition of } n$ . For example, compositions of 3 are (3), (1, 2), (2, 1), (1, 1, 1), so  $a_3 = 1 + 2 + 2 + 3 = 8$ . Using the bijections you have defined in part (a), prove that for  $n \geq 2$ ,

$$a_n = 2a_{n-1} + 2^{n-2}.$$

**Solution.** In the bijections in part (a), each composition in A has one more part than its corresponding composition in  $S_{n-1}$ . There are  $a_{n-1}$  parts among all compositions in  $S_{n-1}$ , and there are  $2^{n-2}$  compositions in  $S_{n-1}$ , so the total number of parts over all compositions in A is  $a_{n-1} + 2^{n-2}$ .

Each composition in B has the same number of parts as its corresponding composition in  $S_{n-1}$ . There are  $a_{n-1}$  parts among all compositions in  $S_{n-1}$ , so there are  $a_{n-1}$  parts among all compositions in B.

Therefore, the total number of parts in  $S_n$  is the sum of the parts in A and B, hence  $a_n = 2a_{n-1} + 2^{n-2}$ .

(c) {3 marks} Using the initial condition  $a_1 = 1$ , determine an explicit formula for  $a_n$  when  $n \ge 1$ .

**Solution.** The characteristic polynomial is x-2, so it has one root 2. The homogeneous part of the recurrence has the form  $A \cdot 2^n$ .

To find a specific solution to the nonhomogeneous recurrence, we cannot use  $b_n = \alpha 2^n$ , as 2 is a root of the characteristic polynomial. So we try  $b_n = \alpha n 2^n$ . Then

$$b_n - 2b_{n-1} = \alpha(n2^n - 2(n-1)2^{n-1}) = \alpha(n2^n(n-1)2^n) = \alpha 2^n.$$

This equals  $2^{n-2}$ , so  $\alpha = 1/4$ . So a specific solution is then  $b_n = n2^{n-2}$ . For  $a_n$ , an explicit formula has the form

$$a_n = A \cdot 2^n + n2^{n-2}$$
.

Using  $a_1 = 1$ , we get A = 1/4. So an explicit formula is

$$a_n = (n+1)2^{n-2}.$$