

Math 239 Fall 2014 Midterm Solutions

1. {8 marks total, 2 marks part} Short answers. For this question only, no justification required.

- (a) How many subsets of $[314]$ have size 159?

Solution. $\binom{314}{159}$.

- (b) Write an example of a composition of 7 with exactly 4 parts.

Solution. $(4, 1, 1, 1)$.

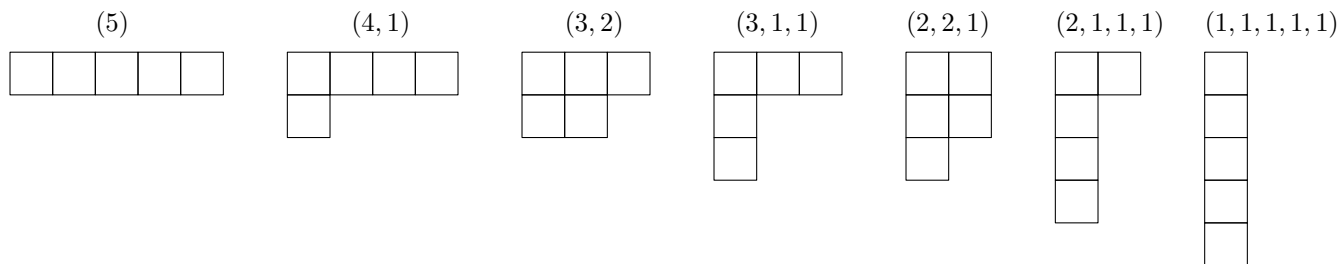
- (c) Write an example of a binary string with exactly 3 blocks.

Solution. 101.

- (d) Let $k \in \mathbb{N}$. Define what it means for a graph G to be k -regular.

Solution. Every vertex has degree k .

2. Let $n \in \mathbb{N}$. A *partition* of n is a composition $(\lambda_1, \dots, \lambda_k)$ where $\lambda_1 + \dots + \lambda_k = n$, and the numbers are arranged in non-increasing order, i.e. $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k > 0$. Let P_n be the set of all partitions of n . For example, there are 7 partitions in P_5 , illustrated below where each row of boxes represent one part of the partition, from top to bottom.



- (a) {3 marks} For each partition $\sigma = (\lambda_1, \dots, \lambda_k)$, define the weight function $w(\sigma) = \lambda_1$ (i.e. the largest part). Determine the generating series $\Phi_{P_5}(x)$ of P_5 with respect to w .

Solution. The weights of the individual partitions (from left to right) are 5, 4, 3, 3, 2, 2, 1, so the generating series is

$$\Phi_{P_5}(x) = x^5 + x^4 + 2x^3 + 2x^2 + x.$$

- (b) {2 marks} For each partition $\sigma = (\lambda_1, \dots, \lambda_k)$, define the weight function $w^*(\sigma) = k$ (i.e. the number of parts). Let $\Phi_{P_5}^*(x)$ be the generating series for P_5 with respect to w^* . Without calculating $\Phi_{P_5}^*(x)$, explain why $\Phi_{P_5}(1) = \Phi_{P_5}^*(1)$. (You may not use part (c).)

Solution. For any weight function, $\Phi_{P_5}(1) = \sum_{\sigma \in P_5} 1^{w(\sigma)} = \sum_{\sigma \in P_5} 1 = |P_5|$. This value is independent of the weight function. So $\Phi_{P_5}(1) = \Phi_{P_5}^*(1)$.

- (c) {Extra credit: 2 marks} Prove that for all $n \in \mathbb{N}$, $\Phi_{P_n}(x) = \Phi_{P_n}^*(x)$.

Solution. We can find a bijection $f : P_n \rightarrow P_n$ by transposing the diagram corresponding to the input partition (i.e. flip top-right with bottom-left), and output the corresponding partition. Notice that in a partition, parts are non-increasing, and this property is preserved when we transpose the diagram. The inverse is the same function. Now the largest part of a partition is the first horizontal line in the diagram, and this corresponds to the first vertical line in the mapped partition, which corresponds to the number of parts. So $w(\sigma) = w^*(f(\sigma))$. Therefore,

$$\Phi_{P_n}(x) = \sum_{\sigma \in P_n} x^{w(\sigma)} = \sum_{\sigma \in P_n} x^{f(\sigma)} = \sum_{\sigma' \in P_n} x^{\sigma'} = \Phi_{P_n}^*(x).$$

3. {3 marks} Determine the coefficient of x^{15} in the following power series. You do not need to evaluate large powers and binomial coefficients.

$$A(x) = \frac{x^2 + x^3}{(1 - 5x^2)^{10}}.$$

Solution. We see that

$$[x^{15}] \frac{x^2 + x^3}{(1 - 5x^2)^{10}} = [x^{13}] \frac{1}{(1 - 5x^2)^{10}} + [x^{12}] \frac{1}{(1 - 5x^2)^{10}}.$$

Notice that

$$\frac{1}{(1 - 5x^2)^{10}} = \sum_{n \geq 0} \binom{n + 10 - 1}{10 - 1} (5x^2)^n = \sum_{n \geq 0} \binom{n + 9}{9} 5^n x^{2n}.$$

The coefficient is 0 for all odd powers of x , so the coefficient of x^{13} is 0. The coefficient of x^{12} can be obtained by substituting $n = 6$, and we get $\binom{15}{9} 5^6$.

4. {5 marks} For any integer $n \geq 0$, let a_n be the number of compositions of n with even number of parts where no part is divisible by 3. For example, $a_4 = 2$ with the compositions $(2, 2), (1, 1, 1, 1)$. Determine a_n , and express it as the coefficient of a rational expression. (Do not find an explicit formula for a_n .)

Solution. Let $T = \{1, 2, 4, 5, 7, 8, 10, 11, \dots\}$ be the set of all positive integers not divisible by 3. Then the set of all compositions we need can be enumerated as

$$S = T^0 \cup T^2 \cup T^4 \cup \dots = \bigcup_{k \geq 0} T^{2k}.$$

Define the sum of the parts as the weight of a composition. Then

$$\Phi_T(x) = \sum_{i \geq 0} x^{1+3i} + \sum_{i \geq 0} x^{2+3i} = \frac{x}{1 - x^3} + \frac{x^2}{1 - x^3} = \frac{x + x^2}{1 - x^3}.$$

Using the sum and product, we get

$$\begin{aligned} \Phi_S(x) &= \sum_{k \geq 0} \Phi_{T^{2k}}(x) \\ &= \sum_{k \geq 0} (\Phi_T(x))^{2k} \\ &= \frac{1}{1 - \Phi_T(x)^2} \\ &= \frac{1}{1 - \frac{(x+x^2)^2}{(1-x^3)^2}} \\ &= \frac{(1-x^3)^2}{(1-x^3)^2 - (x+x^2)^2} \end{aligned}$$

The answer is then the coefficient of x^n in this power series.

5. {3 marks} The following is an unambiguous expression for the set S of all binary strings with even number of 0's. Using the length of a string as its weight, determine the generating series $\Phi_S(x)$ and express it as a simplified rational expression.

$$S = (\{1\}^* \{0\} \{1\}^* \{0\})^* \{1\}^*.$$

Solution.

$$\Phi_S(x) = \frac{1}{1 - \frac{1}{1-x} x \frac{1}{1-x} x} \frac{1}{1-x} = \frac{1}{1 - \frac{x^2}{(1-x)^2}} \frac{1}{1-x} = \frac{(1-x)^2}{(1-x)^2 - x^2} \frac{1}{1-x} = \frac{1-x}{1-2x}.$$

6. {3 marks} Write an unambiguous expression for the set of all binary strings where every block of 0's of even length cannot be followed by a block of 1's of length at least 3.

Solution. Starting with the block decomposition $\{1\}^*(\{0\}\{0\}^*\{1\}\{1\}^*)^*\{0\}^*$, we need to modify the center portion by removing those where an even block of 0's is followed by a block of 1's of length at least 3. So a decomposition is

$$\{1\}^*(\{0\}\{0\}^*\{1\}\{1\}^* \setminus \{00\}\{00\}^*\{111\}\{1\}^*)^*\{0\}^*.$$

Alternatively, we can modify the center so that even block of 0's is followed by a block of 1's of length 1 or 2, and an odd block of 0's can be followed by any block of 1's.

$$\{1\}^*(\{00\}\{00\}^*\{1, 11\} \cup \{0\}\{00\}^*\{1\}\{1\}^*)^*\{0\}^*$$

7. {7 marks} Let $\{a_n\}$ be the sequence which satisfies

$$a_n - 6a_{n-1} + 5a_{n-2} = 8$$

for $n \geq 2$ with initial conditions $a_0 = 3, a_1 = 5$. Determine an explicit formula for a_n .

Solution. The characteristic polynomial is $x^2 - 6x + 5 = (x - 5)(x - 1)$, so the roots are 5 and 1. Notice that on the right hand side of the recurrence, there is a hidden 1^n , so in guessing a specific solution b_n , we cannot use $b_n = \alpha$. Instead, we will guess $b_n = \alpha n$. Then

$$b_n - 6b_{n-1} + 5b_{n-2} = \alpha n - 6\alpha(n-1) + 5\alpha(n-2) = -4\alpha.$$

This equals 8, so $\alpha = -2$. A specific solution is then $b_n = -2n$.

Then the explicit formula for a_n has the form

$$a_n = A \cdot 5^n + B \cdot 1^n - 2n.$$

Substituting the initial conditions to get

$$\begin{aligned} a_0 = 3 &= A + B \\ a_1 = 5 &= 5A + B - 2 \end{aligned}$$

Solving gives $A = 1, B = 2$. So an explicit formula for a_n is

$$a_n = 5^n + 2 - 2n.$$

8. {4 marks} Let $n \in \mathbb{N}$. Consider the following set:

$$S = \{(A, B) \mid A, B \subseteq [n], |A \cap B| = 1\}.$$

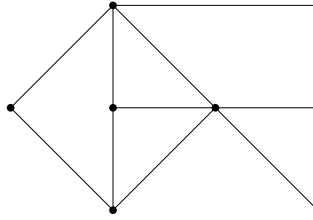
By counting S in two different ways, prove that

$$\sum_{k=1}^n \binom{n}{k} \cdot k \cdot 2^{n-k} = n \cdot 3^{n-1}.$$

Solution. We partition S into n different sets S_1, S_2, \dots, S_n where each S_k consists of those pairs $(A, B) \in S$ where $|A| = k$. Then there are $\binom{n}{k}$ ways to pick A . We have k ways to choose the element that is in $A \cap B$. There are $n - k$ elements remaining from $[n]$, and each element could be in B or not in B , so there are 2^{n-k} ways to fill in B . Therefore, $|S_k| = \binom{n}{k} k 2^{n-k}$. Since $S = \bigcup_{k=1}^n S_k$ is a disjoint union, we see that $|S| = \sum_{k=1}^n \binom{n}{k} k 2^{n-k}$.

On the other hand, we can count S as follows: Pick the element that is in the intersection $A \cap B$. There are n ways to do so. For the remaining $n - 1$ elements, each one could be in A only, in B only, or in neither A nor B . So there are 3 choices for each. Therefore, $|S| = n \cdot 3^{n-1}$. This proves the equation.

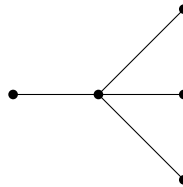
9. The *degree sequence* of a graph is the list of vertex degrees d_1, d_2, \dots, d_n , usually written in non-decreasing order, i.e. $d_1 \leq \dots \leq d_n$. For example, in the graph below, its degree sequence is 2, 2, 2, 3, 3, 3, 4, 5.



Prove or disprove each of the following statements using an appropriate proof, example, or counterexample.

- (a) {2 marks} There exists a graph with degree sequence 1, 2, 2, 3, 4.

Solution. True.

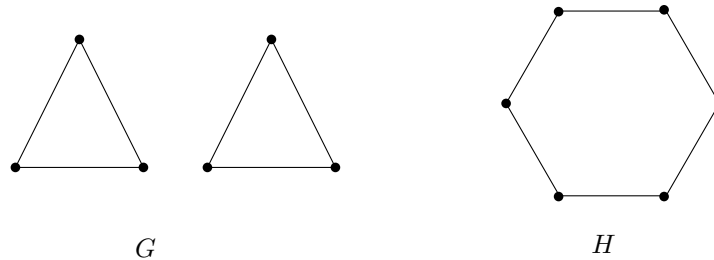


- (b) {2 marks} There exists a graph with degree sequence 1, 1, 2, 2, 2, 3.

Solution. False. There are 3 vertices of odd degrees, but every graph has even number of odd-degree vertices.

- (c) {2 marks} All graphs with degree sequence 2, 2, 2, 2, 2, 2 are isomorphic.

Solution. False. The graphs G and H below have this degree sequence but are not isomorphic. (One is connected, the other is not.)



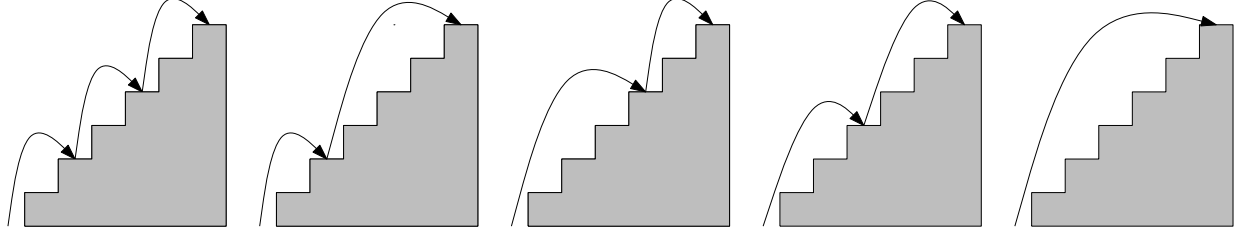
10. {3 marks} Let G be a graph on n vertices such that \overline{G} is bipartite. Prove that G contains the complete graph $K_{\lceil \frac{n}{2} \rceil}$.

(Recall: The complement of a graph G , denoted \overline{G} , is the graph where $V(\overline{G}) = V(G)$, and $uv \in E(\overline{G})$ if and only if $uv \notin E(G)$.)

Solution. Consider \overline{G} , which is bipartite. Let (A, B) be its bipartition. Since there are n vertices, one of A, B has size at least $\lceil \frac{n}{2} \rceil$. Without loss of generality, say it is A . Notice that in \overline{G} , no two vertices in A are adjacent. So in particular, in G , every pair of vertices in A are adjacent, which forms a $K_{|A|}$. Since $|A| \geq \lceil \frac{n}{2} \rceil$, there exists $K_{\lceil \frac{n}{2} \rceil}$ in G .

11. {3 marks} Mr. Fibonacci has really long legs. Whenever he climbs the stairs, he always takes at least 2 steps in one stride. Let $n \in \mathbb{N}$, and let a_n be the number of different ways that Mr. Fibonacci can climb n steps. (For example, $a_6 = 5$ as illustrated in the following diagram.) Prove that for all $n \geq 1$, $a_n = f_{n-1}$ where $\{f_n\}$ is the Fibonacci sequence.

(Recall: The Fibonacci sequence $\{f_n\}$ satisfies $f_0 = 0, f_1 = 1, f_n = f_{n-1} + f_{n-2}$ for $n \geq 2$.)



Solution. We may consider each way of climbing as a composition where each part is at least 2. Let $T = \{2, 3, 4, \dots\}$. Then the set of all such compositions is

$$S = \bigcup_{k \geq 0} T^k.$$

Using the usual weight function for compositions, we see that

$$\Phi_T(x) = \frac{x^2}{1-x},$$

and

$$\Phi_S(x) = \sum_{k \geq 0} \Phi_T(x)^k = \frac{1}{1 - \frac{x^2}{1-x}} = \frac{1-x}{1-x-x^2}.$$

Then $a_n = [x^n] \frac{1-x}{1-x-x^2}$. So if $A(x) = \sum_{n \geq 0} a_n x^n = \frac{1-x}{1-x-x^2}$, we can multiply both sides to get

$$1-x = (1-x-x^2)A(x) = a_0 + (a_1 - a_0)x + \sum_{n \geq 2} (a_n - a_{n-1} - a_{n-2})x^n.$$

By comparing coefficients, this gives us $a_0 = 1$ and $a_1 - a_0 = -1$, so $a_1 = 0$. The next term $a_2 = a_1 + a_0 = 1$. So we see that $a_1 = f_0$ and $a_2 = f_1$. By induction, for $n \geq 3$, $a_n = a_{n-1} + a_{n-2} = f_{n-2} + f_{n-3} = f_{n-1}$.

Alternate solution. Let S_n be the set of all compositions of n where every part is at least 2. Then $S_1 = \emptyset, S_2 = \{(2)\}$, so $a_1 = 0 = f_0, a_2 = 1 = f_1$. For $n \geq 2$, there is a bijection $f : S_n \rightarrow S_{n-1} \cup S_{n-2}$ as follows:

$$f(a_1, \dots, a_k) = \begin{cases} (a_1, \dots, a_{k-1}) & a_k = 2 \\ (a_1, \dots, a_{k-1}, a_k - 1) & a_k > 2 \end{cases}$$

In the first case $a_k = 2$, the composition is mapped to S_{n-2} . In the second case $a_k > 2$, notice that by subtracting 1 from a_k , it is still at least 2, so this is mapped to S_{n-1} . The inverse is

$$f^{-1}(b_1, \dots, b_l) = \begin{cases} (b_1, \dots, b_l, 2) & b_1 + \dots + b_l = n-2 \\ (b_1, \dots, b_{l-1}, b_l + 1) & b_1 + \dots + b_l = n-1 \end{cases}$$

This establishes that $|S_n| = |S_{n-1}| + |S_{n-2}|$, or $a_n = a_{n-1} + a_{n-2}$. Using induction, we see that $a_n = a_{n-1} + a_{n-2} = f_{n-2} + f_{n-3} = f_{n-1}$.