

# CS 240 Module 1: Introduction and Asymptotic Analysis

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## What is this course about?

### Data Structures

- hand in hand with algorithms
- patterns for storing/maintaining large data
- perform operations on the data (insertion, deletion, sorting)
- algorithms for these operations

### Example, Student Records

- Abstract Data Type  $\rightarrow$  a concept (eg. Stack)
- Data Structure  $\rightarrow$  implementation of a data type (eg, Linked List)

Algorithms are presented using pseudocode and analyzed using order notation

## Dictionary ADT

- a set of items with the following operations
- insertion, deletion, search

## Problems

### Problem of Sorting

Given a  $\overset{input}{set/array}$  of  $\overset{size}{n}$  numbers,  $\overset{operation(output)}{arrangethemininincreasingorder}$

## Solution for a problem - Algorithm

Different solutions/algorithms eg. bubble sort/quicksort to solve sorting problems

The Algorithm should be correct and efficient(Time complexity and space).

RAM Random Access Memory: No matter where you are accessing memory, it takes the same amount of time

This can also be thought of as a random access machine which is an abstract machine

$$f(n) \in O(g(n)) \stackrel{\text{informal}}{=} f(n) \leq g(n)$$

$$f(n) \in \Omega(g(n)) \stackrel{\text{informal}}{=} f(n) \geq g(n)$$

$$f(n) \in \Theta(g(n)) \stackrel{\text{informal}}{=} f(n) = g(n)$$

$$f(n) \in o(g(n)) \stackrel{\text{informal}}{=} f(n) < g(n)$$

$$f(n) \in \omega(g(n)) \stackrel{\text{informal}}{=} f(n) > g(n)$$

Number of primitives plus r.a.o. time complexity

$$f(n) = 100n + 200$$

$$g(n) = 1/2n^2$$

$$\text{Show } f(n) \in O(g(n))$$

$$\exists c, 100n + 200 \leq c \cdot 1/2n^2$$

$$n \geq n_0$$

$$\text{Let's have } c = 1/2$$

$$100n + 200 \leq 1/2n^2 \cdot 1.2$$

$$100/n + 200/n^2 \leq 1/2 \cdot 1/2$$

$$\text{if } n > 200$$

$$100/n + 200/n^2 < 100/200 + 200/200^2 \leq |1/2 + 1/2| = 1 \cdot 1/2$$

## Order Notation

- May 7th

$$f(n) \leftarrow O(g(n)) \iff \exists c, n_0 \forall n > n_0 \ 0 < f(n) < cg(n)$$

$$f(n) = 2n^2 + 3n + 11 \quad g(n) = n^2$$

prove  $f(n) \in O(g(n))$

We need to find  $c, n_0$  such that  $\forall n > n_0$

$$2n^2 + 3n + 11 < c \cdot n^2$$

$$\iff 2 + \frac{3}{n} + \frac{11}{n^2} < c$$

$$n_0 = 1 \implies c > 2 + 3 + 11 = 16$$

$$n_0 = 2 \implies c > 2 + 3/2 + 11/4$$

$\therefore n_0 = 1$  and  $c = 16$

$$f(n) = 2010n + 1388n$$

$$g(n) = n^3$$

prove  $f(n) \in o(g(n))$

find  $n_0 > 0$ , such that for all  $c > 0$   $2010n^2 + 1388n < cn^3$

I can express  $n$  as a function of  $c$

$$cn^3 - 2010n^2 - 1388n > 0$$

$$cn^2 - 2010n - 1388 > 0$$

$$\delta = 2010^2 + 4 * c * 1388$$

$$n > n_0 = \frac{2010 + \sqrt{2010^2 + 4 * c * 1388}}{4}$$

[IMAGE 2]

show  $f(n) \in \Theta(g(n))$

$$f(n) = n + 2\sqrt{n}\log(n) \quad g(n) = n$$

$$\log(n) \in o(\sqrt{n})$$

$$\sqrt{n}\log(n) \in o(\sqrt{n} \times \sqrt{n}) = o(n)$$

We need to find  $c_1, c_2, n_0$  such that

$$c_1 \times n \leq n + 2\sqrt{n}\log(n) \leq c_2 \times n$$

$\forall n > n_0$   
 $c_1 = 1$   
 for finding  $c_2$

$$\begin{aligned}
 n + 2\sqrt{n}\log(n) &\leq c_2 \times n \\
 1 + \frac{2\sqrt{n} \times \log(n)}{n} &\leq c_2 \\
 n_0 &= 64 \\
 c_2 \geq 1 + \frac{2\sqrt{64}\log(64)}{64} &= 1 + \frac{2 \times 8 \times 6}{64} > 3 \\
 c_2 &= 3 \text{ works}
 \end{aligned}$$

$c_1 = 1$   $c_2 = 3$   $n_0 = 64$   
 Could use any value not just 64

Algebraic	Asymptotic
$f(n) = g(n)$	$f(n) \in O(g(n))$
$f(n) < g(n)$	$f(n) \in o(g(n))$
$f(n) > g(n)$	$f(n) \in \omega(g(n))$
$f(n) \leq g(n)$	$f(n) \in O(g(n))$
$f(n) \geq g(n)$	$f(n) \in \Theta(g(n))$

$$f(n) \in \theta(1)$$

$$f(n) \in \theta(\log(n))$$

$$f(n) \in \theta(\log^k n)$$

$$f(n) \in \theta(\sqrt{n})$$

$$f(n) \in \theta(n)$$

**example, Slide 33**

$$f(n) = \log(n) \quad g(n) = n^i$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{\log(n)}{n^i}$$

$$(\text{derivative}) = \frac{c \cdot \frac{1}{n}}{i \times n^{i-1}} = \frac{c}{i \times n^i} \implies 0$$

$$\implies f(n) \in o(g(n))$$

## Order Notation

- May 12th  
(slide 33)

$$\lim_{n \rightarrow \infty} \frac{\log n}{n^i}$$
$$\lim_{n \rightarrow \infty} \frac{\frac{1}{n}}{in^{i-1}}$$
$$\log(n) \in o(n^i)$$

(Slide 34)

$$\forall n > n_0$$
$$n < (2 + \sin(n\pi/2)) \leq 3n$$
$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$
$$g(n) = n$$

(Slide 36)

$$f(n) \in O(g(n))$$
$$\implies \exists c, n_0, \forall n > n_0, f(n) \leq c_1 g(n)$$
$$h(n) \in O(f(n) + g(n))$$
$$\exists c, n_0, \forall n > n_0$$
$$h(n) \leq c(f(n) + g(n)) \leq c(2 \cdot \max\{f(n), g(n)\}) \leq 2c(\max\{f(n), g(n)\})$$
$$f(n) = n^2 + \sqrt{n} \log^{1000} n + n$$
$$f(n) \in O(\max\{n^2, \sqrt{n} \log^{1000} n + n\})$$
$$f(n) \in O(n^2)$$
$$[formallyprove \sqrt{n} \log^{1000} n \in o(n^2)]$$

$$\forall n > n_0 f(n) < c_1 g(n)$$

$$\exists c_1, c_2, n_0 g(n) < c_2 h(n) \rightarrow f(n) < c_1 c_2 h(n)$$

(slide 37)

### Arithmetic Sequence

$$\sum_{i=0}^{n-1} (a + di) = \sum_{i=0}^{n-1} a + \sum_{i=0}^{n-1} (di)$$

$$= an + d \sum_{i=0}^{n-1} i$$

$$= an + d \frac{n(n-1)}{2} \in \Theta(n^2)$$

$$0 + 1 + 2 + \dots + (n-1) = \frac{n(n-1)}{2}$$

k=2

$$1 + 4 + 9 \dots + n^2 = \frac{n(n+1)(2n+1)}{6} \in \Theta(n^3)$$

k=3

$$1 + 8 + 27 \dots + n^3 = \left(\frac{n(n+1)}{2}\right)^2 \in \Theta(n^4)$$

k=4

$$1 + 16 + 82 \dots + n^4 = \Theta(n^5)$$

k=x

$$\sum_{i=1}^n (a + di^k) \in \Theta(n^{k+1})$$

### Geometric Sequence

$$\sum_{i=1}^n ar^i \in \Theta(r^n) \text{ for } r > 1$$

$$\in \Theta(n) \text{ for } r = 1$$

$$\in \Theta(1) \text{ for } r < 1$$

$$r = 2 : a(1 + 2 + 4 + 8 \dots + 2^n) \in \Theta(2^n)$$

$$r = 1/2 : a(1 + 1/2 + 1/4 + 1/8 \dots) < 2a \in \Theta(1)$$

### Harmonic Sequence

$$\sum_{i=1}^n \frac{1}{i} \in \Theta(\log n)$$

$$\ln(n+1) < \sum_{i=1}^n \log(i) < \ln(n) + 1$$

$$\ln(n) = \frac{\log_2 n}{\log_e n} \in \Theta(\log n)$$

### Misc Math Facts

(slide 38)

$$\sum_{i=1}^n i r^i \in \Theta(n r^n)$$

$$1 + 1/2 + 1/4 + 1/8 \dots + 1/n < \approx 2$$

$$1 + 1/4 + 1/9 + 1/16 + \dots + 1/n^2 < \approx \frac{\pi^2}{6}$$

$$n! \in o(n^n)$$

$$\log n! \in \log(n^n) = \Theta(n \log n)$$

### **Loop Analysis**

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$$T(n) = c_1 + \sum_{i=1}^n \sum_{j=i}^n c_2$$

$$= c_1 + \sum_{i=1}^n (n - i + 1) c_2$$

$$\begin{aligned}
&= c_1 + c_2 \sum_{k=1}^n k \\
&= c_1 + c_2 \times \frac{n(n+1)}{2} \in \Theta(n^2)
\end{aligned}$$

SLide 42

$$T(n) = c_1 + \sum_{i=1}^n \sum_{j=i}^n (c_2 + \sum_{k=i}^j c_3)$$

$$\sum_{k=i}^j c_3 = (j - i + 1) \times c \text{ aside}^*$$

$$= c_1 + \sum_{i=1}^n n \sum_{j=i}^n (c_2 + (j - i + 1)c_3)$$

$A$

$$A = \sum_{j=i}^n c_2 + c_3 \sum_{j=i}^n (j - i + 1) \text{ Aside}^* \text{ let } t = j - i + 1$$

$$A = (n - i + 1)c_2 + c_3 \sum_{t=1}^{n-i+1} t$$

$$A = (n - i + 1)c_2 + c_3 \frac{(n-i+1)(n-i+2)}{2}$$

$$= c_1 + \sum_{i=1}^n ((n - i + 1)c_2 + c_3 + \frac{(n - i + 1)(n - i + 2)}{2})$$

Let  $L = n-i+1$

$$= c_1 + \sum_{L=1}^n (Lc_2 + c_3 \frac{(L)(L+1)}{2})$$

$$= c_1 + \sum_{L=1}^n (\frac{c_3}{2} L^2 + (c_2 + \frac{c_4}{2})L)$$

$$\begin{aligned}
&= c_1 \frac{c_3}{2} \times \frac{n(n+1)(2n+1)}{6} + (c_2 + \frac{c_3}{2}) \frac{n(n+1)}{2} \\
&= \frac{1}{6} \times \frac{c_3}{2} n^3 + o(n^3) \in \Theta(n^3)
\end{aligned}$$

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$$T(A_4) = c_1 + \sum_{i=1}^n (c_2 + \log i)$$



$$= c_1 + c_2 \times n + \sum_{i=1}^n n \log i$$

Aside:  $\log n! \in \Theta(n \log n)$

$$= \Theta(n \log n) + o(n \log n) \in \Theta(n \log n)$$

## MergeSort

```
5 2 1 4 | 6 8 0 3
1 2 4 5 | 0 3 6 8
0 1 2 3 4 5 6 8
```

### Analysis of Mergesort

$T(n) = \Theta(1)$  if  $n = 1$

$T(\text{ceil}(\frac{n}{2})) + T(\text{floor}(\frac{n}{2})) + \Theta(n)$  for  $n > 1$

If  $n$  is a power of 2

$$\begin{aligned} T(\text{ceil}(\frac{n}{2})) + T(\text{floor}(\frac{n}{2})) + \Theta(n) \\ &= 2T(\frac{n}{2}) + cn \\ &= 4T(\frac{n}{4}) + 2 \times c\frac{n}{2} + cn \\ &= 8T(\frac{n}{8}) + 4 \times c\frac{n}{4} + 2 \times c\frac{n}{2} + cn \end{aligned}$$

After  $\log n$  strps

$$\begin{aligned} &= 2^{\log n} \times T(1) + cn + cn + \dots + cn \\ &= n \times d_c n + \log n \\ &\in \Theta(n \log n) \end{aligned}$$