

# Module 8: Tries and String Matching

## CS 240 - Data Structures and Data Management

Shahin Kamali, Yakov Nekrich, Olga Zorin

Based on lecture notes by many previous cs240 instructors

David R. Cheriton School of Computer Science, University of Waterloo

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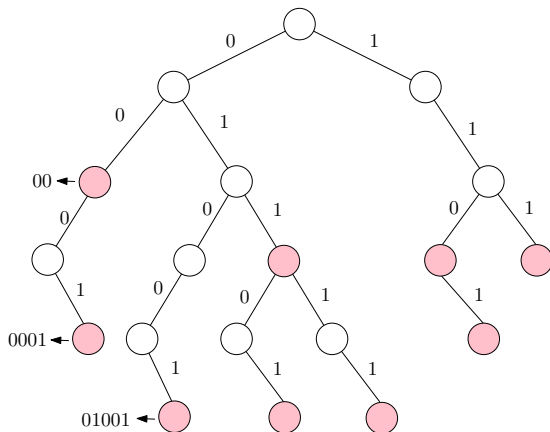
# Tries

- **Trie (Radix Tree)**: A dictionary for binary strings
  - ▶ Comes from retrieval, but pronounced “try”
  - ▶ A binary tree based on **bitwise comparisons**
  - ▶ Similar to **radix sort**: use individual bits, not the whole key
- Structure of trie:
  - ▶ A left child corresponds to a 0 bit
  - ▶ A right child corresponds to a 1 bit
- Keys can have different number of bits
- Keys are not stored in the trie: a node  $x$  is flagged if the path from root to  $x$  is a binary string present in the dictionary

# Tries

- Example: A trie for

$S = \{00, 0001, 01001, 011, 01101, 01111, 110, 1101, 111\}$



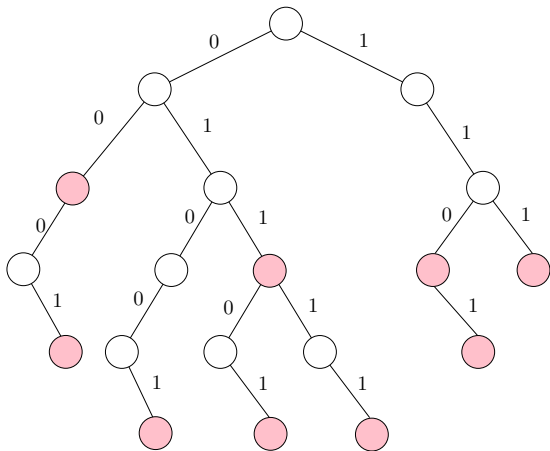
# Tries: Search

## Search( $x$ ):

- start from the root
- take the left link if the current bit in  $x$  is 0 and take the right link if it is 1 (return failure if the link is missing)
- if there are no extra bits in  $x$  left and the current node is flagged then
  - success ( $x$  is found)
- else, if the current node is a leaf, then - failure ( $x$  is missing)
- recurse

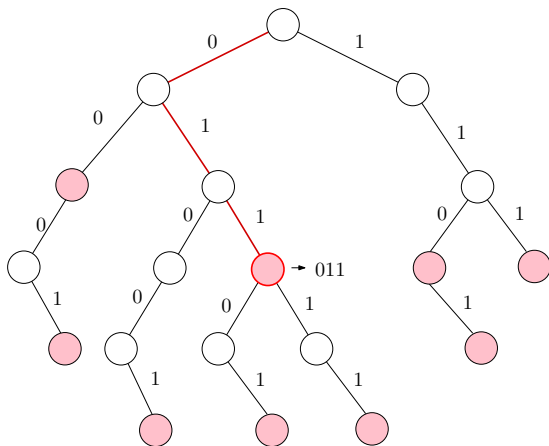
## Tries: Search

Example: Search(011)



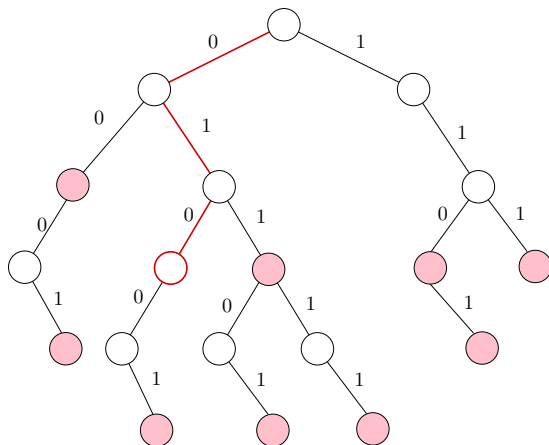
## Tries: Search

Example: Search(011) **successful**



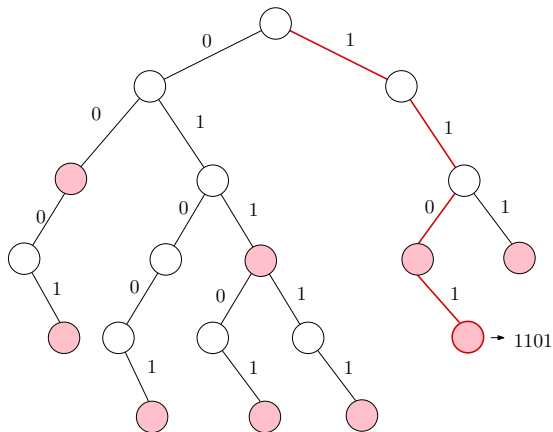
## Tries: Search

Example: Search(0101) **unsuccessful**



## Tries: Search

Example: Search(1101) **successful**





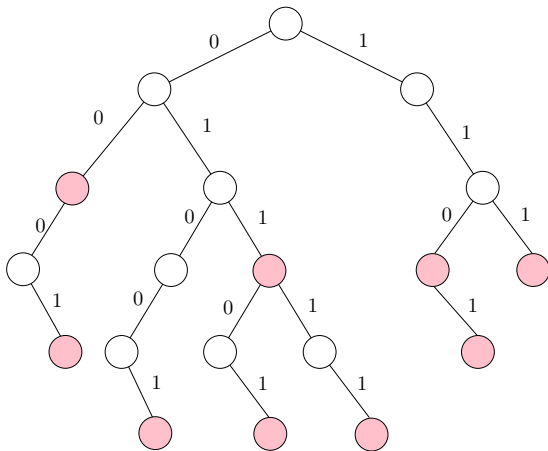
# Tries: Insert

- **Insert( $x$ )**

- ▶ First search for  $x$
- ▶ If we finish at a leaf with key  $x$ , then  $x$  is already in trie: do nothing
- ▶ If we finish at a leaf  $v$  and  $x$  has extra bits then flag  $v$  and expand the trie from the node  $v$  by adding necessary nodes that correspond to extra bits.
- ▶ If we finish at an internal node and there are no extra bits: the node is then flagged
- ▶ If we finish at an internal node and there are extra bits: expand trie by adding necessary nodes that correspond to extra bits

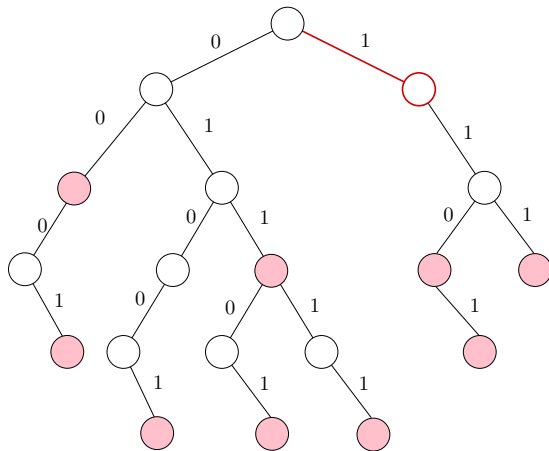
## Tries: Insert

Example: Insert(101)



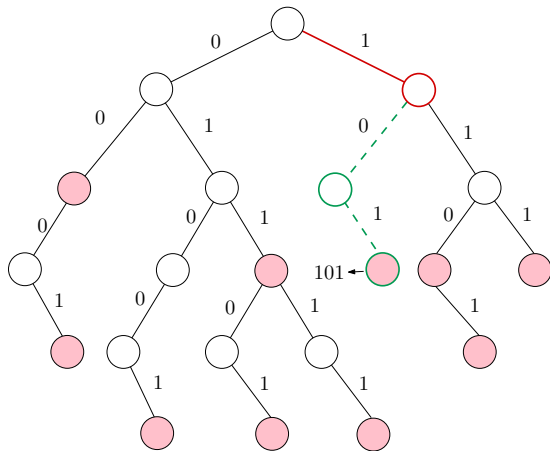
## Tries: Insert

Example: Insert(101)



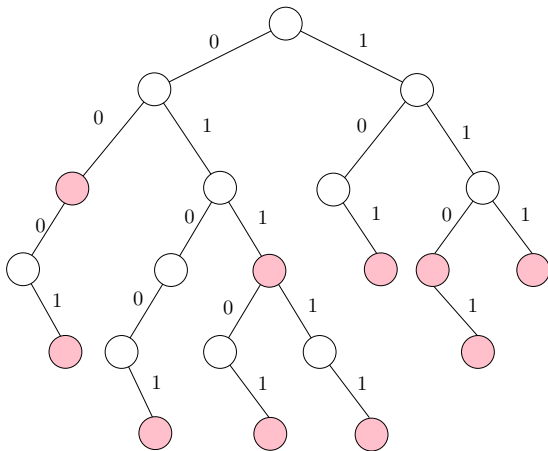
## Tries: Insert

Example: Insert(101)



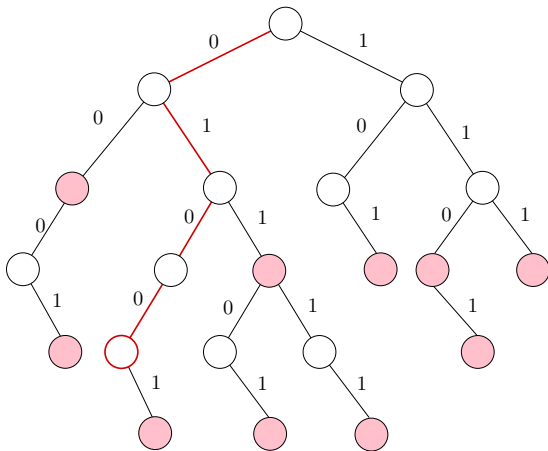
# Tries: Insert

Example: Insert(0100)



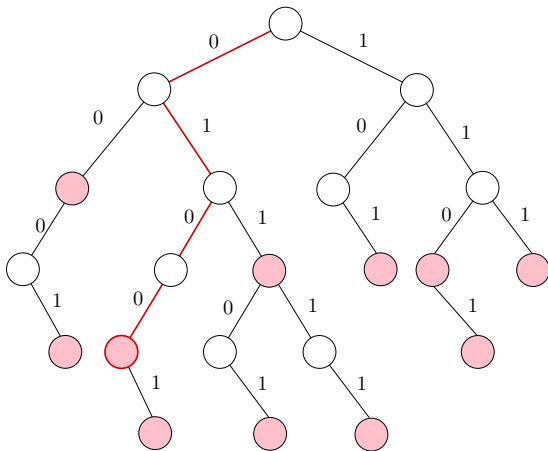
## Tries: Insert

Example: Insert(0100)



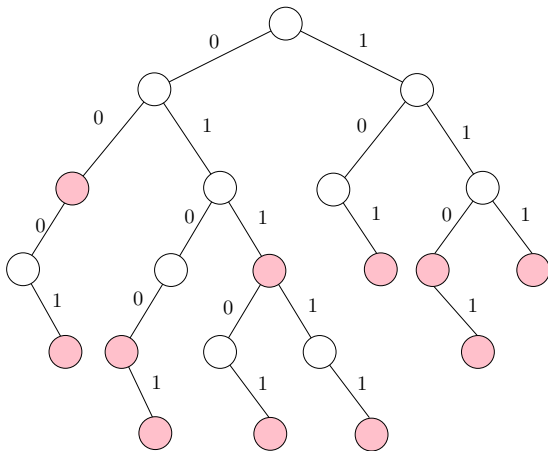
## Tries: Insert

Example: Insert(0100)



# Tries: Insert

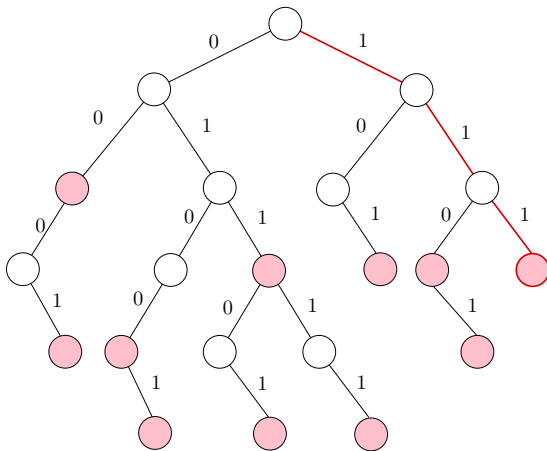
Example: Insert(11101)





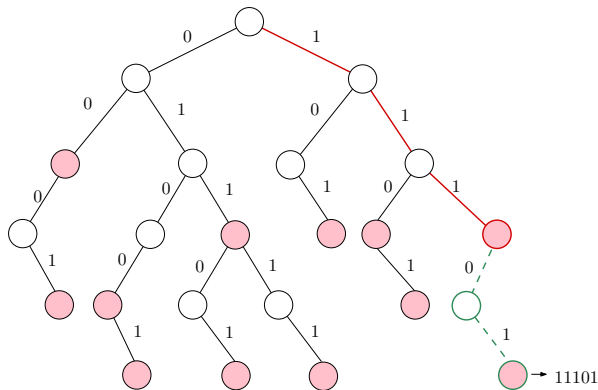
## Tries: Insert

Example: Insert(11101)



# Tries: Insert

Example: Insert(11101)

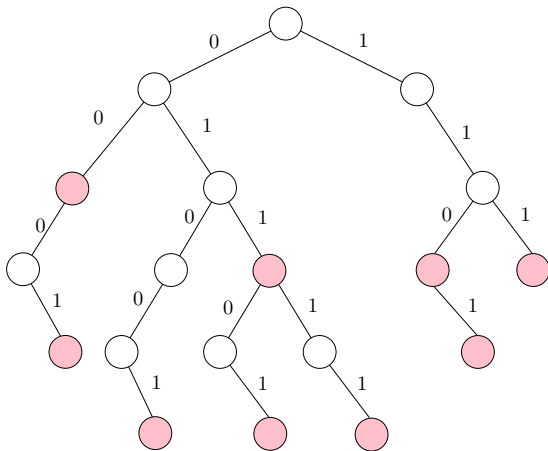


# Tries: Delete

- Delete( $x$ )
  - ▶ Search for  $x$
  - ▶ if  $x$  found at an internal flagged node, then unflag the node
  - ▶ if  $x$  found at a leaf  $v_x$ , delete the leaf and all **ancestors** of  $v_x$  until
    - ★ we reach an ancestor that has two children or
    - ★ we reach a flagged node

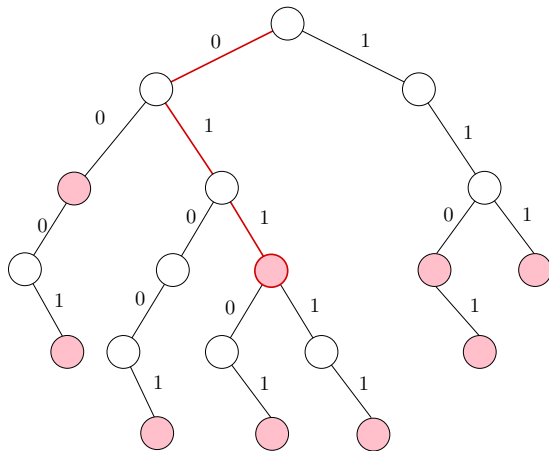
## Tries: Delete

Example: Delete(011)



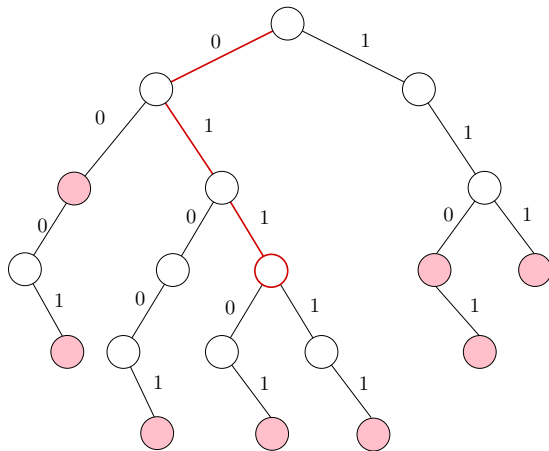
## Tries: Delete

Example: Delete(011)



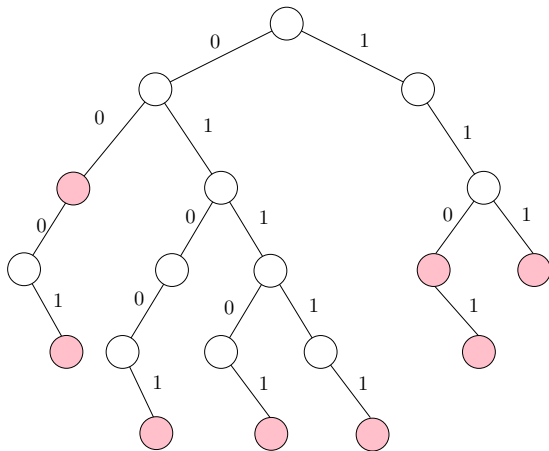
## Tries: Delete

Example: Delete(011)



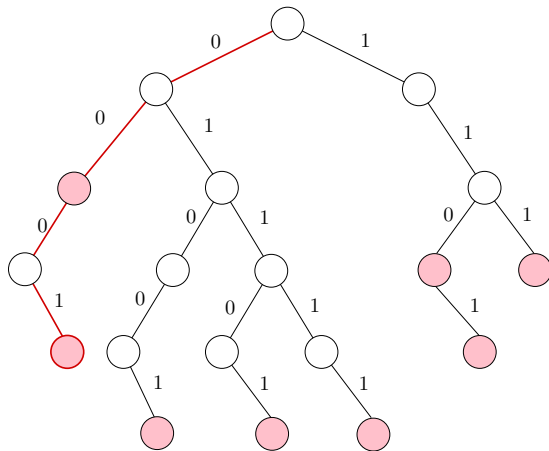
# Tries: Delete

Example: Delete(0001)



## Tries: Delete

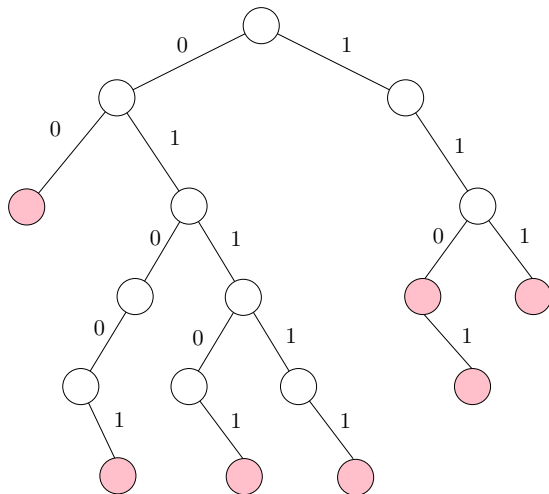
Example: Delete(0001)





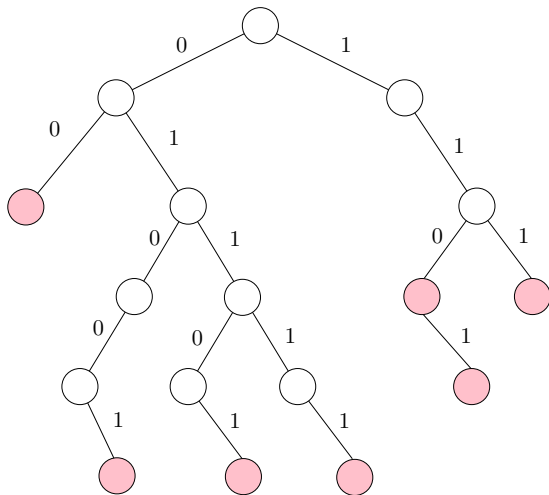
# Tries: Delete

Example: Delete(0001)



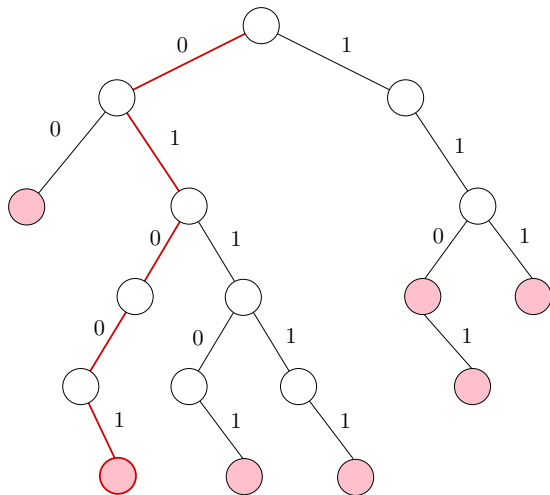
Tries: Delete

Example: Delete(01001)



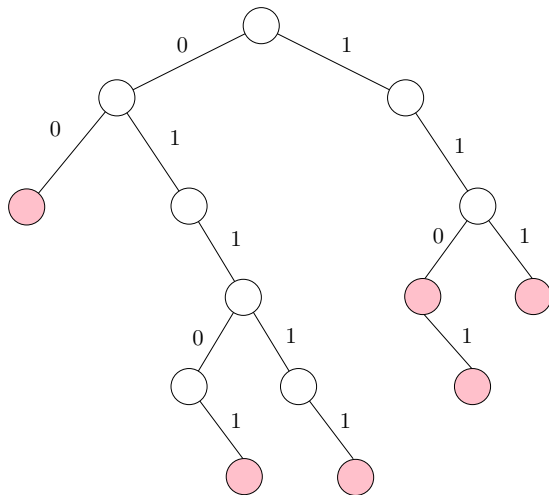
## Tries: Delete

Example: Delete(01001)



# Tries: Delete

Example: Delete(01001)



# Tries: Operations

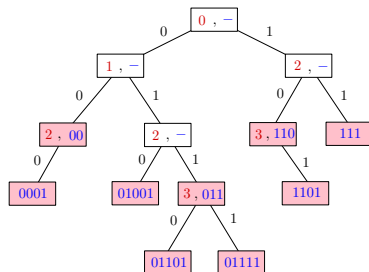
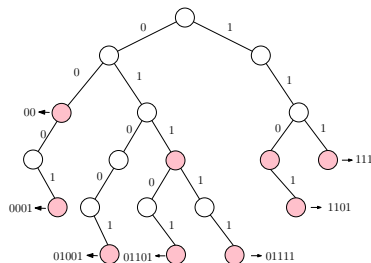
- Search( $x$ )
- Insert( $x$ )
- Delete( $x$ )
- Time Complexity of all operations:  $\Theta(|x|)$   
 $|x|$ : length of binary string  $x$ , i.e., the number of bits in  $x$

# Compressed Tries (Patricia Tries)

- **Patricia**: Practical Algorithm To Retrieve Information Coded in Alphanumeric
- Introduced by Morrison (1968)
- Reduces **storage requirement**: eliminate unflagged nodes with only one child
- Every path of one-child unflagged nodes is compressed to a single edge
- Each node stores an **index** indicating the next bit to be tested during a search (index= 0 for the first bit, index= 2 for the second bit, etc)
- A compressed trie storing  $n$  keys always has at most  $n - 1$  internal (non-leaf) nodes

## Compressed Tries (Patricia Tries)

- Each node stores an **index** indicating the next bit to be tested during a search
- Example: A trie and the equivalent compressed trie



# Compressed Tries: Operations

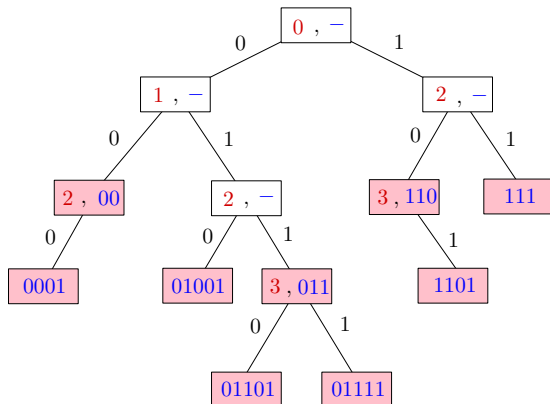
- **Search( $x$ ):**

- ▶ Follow the proper path from the root down in the tree to a leaf
- ▶ If search ends in an internal flagged node, it is successful
- ▶ If search ends in an internal unflagged node, it is unsuccessful
- ▶ If search ends in a leaf, we need to check again if the key stored at the leaf is indeed  $x$



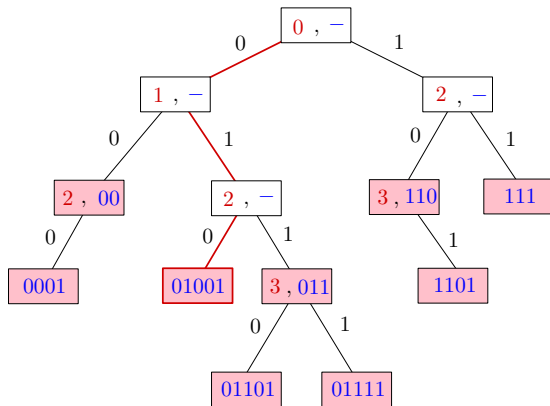
# Compressed Tries: Operations

Example: Search(01001)



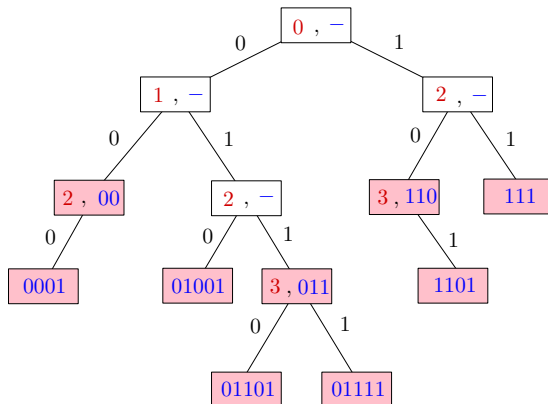
# Compressed Tries: Operations

Example: Search(01001) - successful



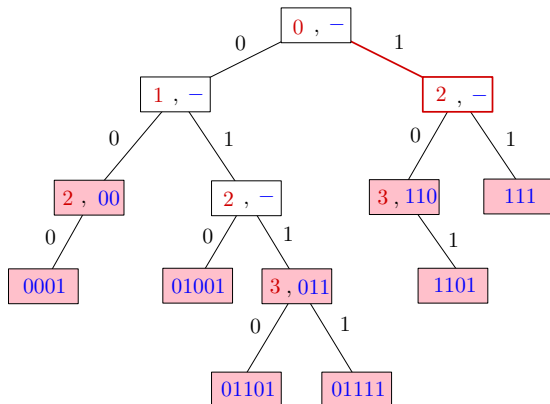
# Compressed Tries: Operations

Example: Search(11)



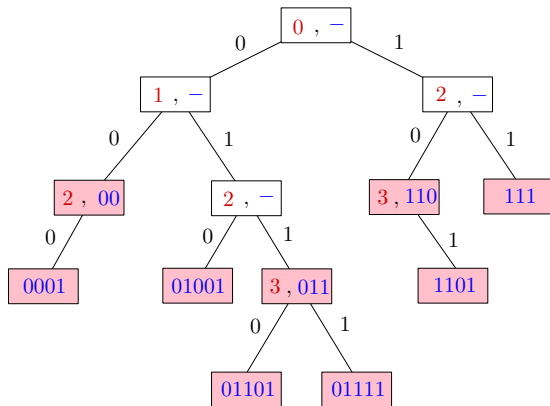
# Compressed Tries: Operations

Example: Search(11) - unsuccessful



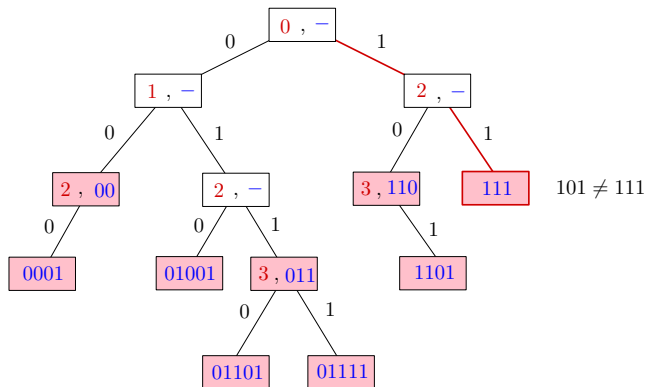
# Compressed Tries: Operations

Example: Search(101)



## Compressed Tries: Operations

Example: Search(101) - unsuccessful



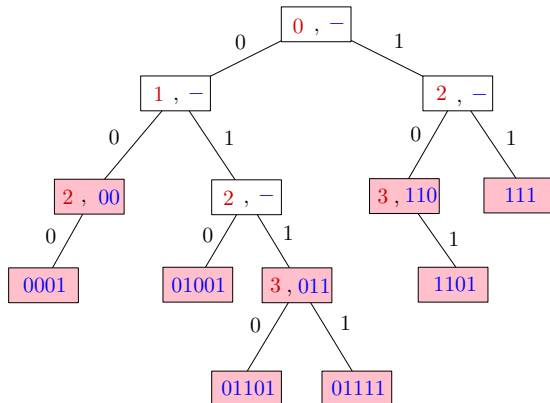
# Compressed Tries: Operations

- Delete( $x$ ):

- ▶ Perform Search( $x$ )
- ▶ if search ends in an internal node, then
  - ★ if the node has two children, then unflag the node and delete the key
  - ★ else delete the node and make his only child, the child of its parent
- ▶ if search ends in a leaf, then delete the leaf and
- ▶ if its parent is unflagged, then delete the parent

# Compressed Tries: Operations

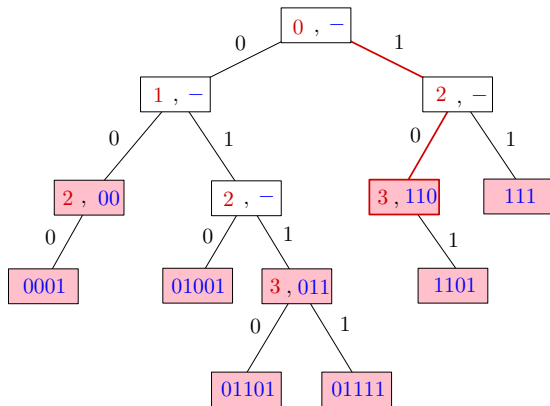
Example: Delete(110)





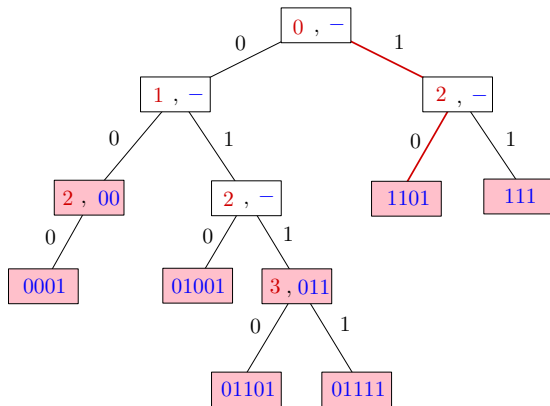
# Compressed Tries: Operations

Example: Delete(110)



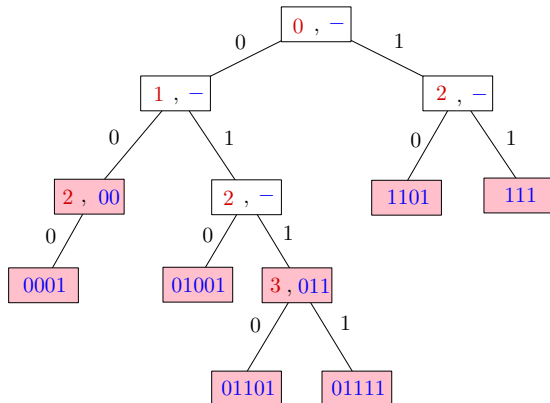
# Compressed Tries: Operations

Example: Delete(110)



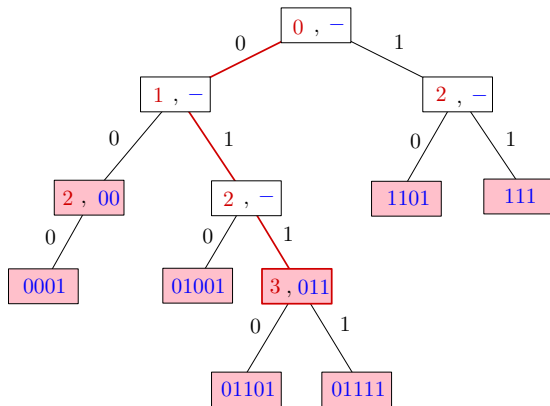
# Compressed Tries: Operations

Example: Delete(011)



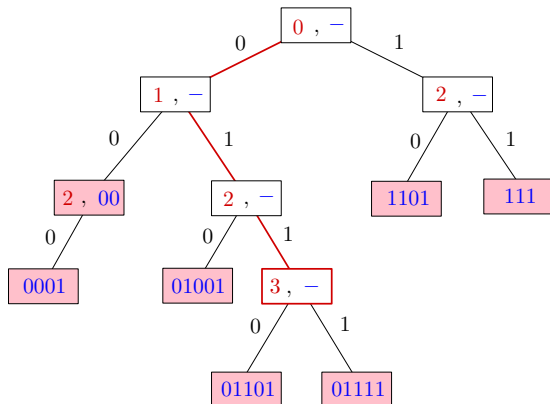
# Compressed Tries: Operations

Example: Delete(011)



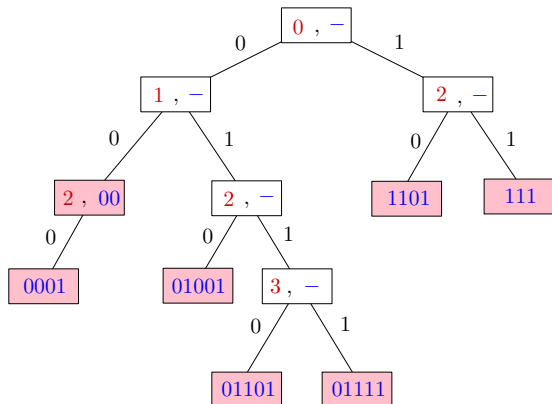
# Compressed Tries: Operations

Example: Delete(011)



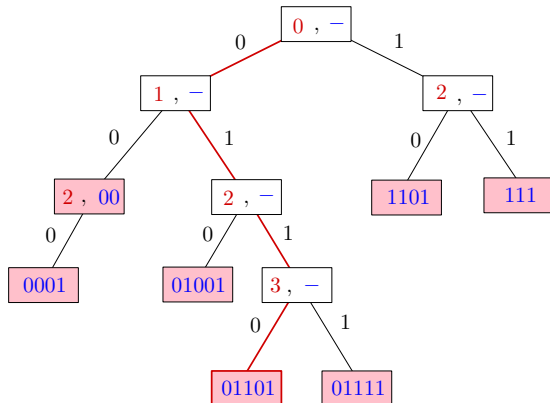
# Compressed Tries: Operations

Example: Delete(01101)



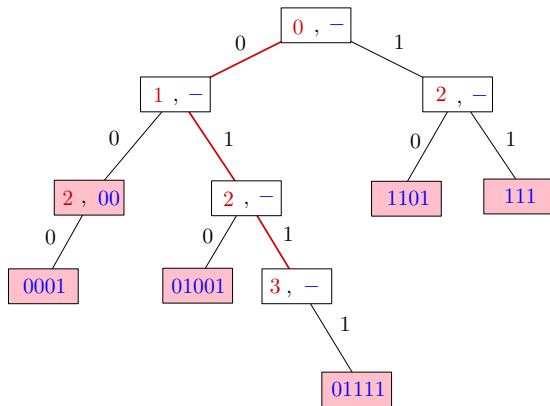
# Compressed Tries: Operations

Example: Delete(01101)



# Compressed Tries: Operations

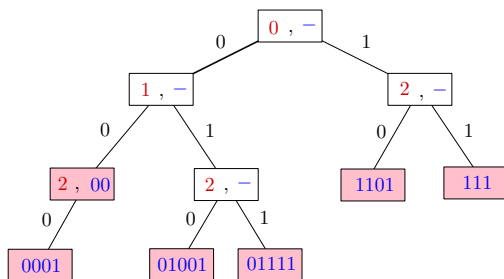
Example: Delete(01101)





# Compressed Tries: Operations

Example: Delete(01101)



# Compressed Tries: Operations

- **Insert( $x$ ):**

- ▶ Perform Search( $x$ )
- ▶ If the search ends at a leaf  $L$  with key  $y$ , compare  $x$  against  $y$  to determine the first index  $i$  where they disagree.

Create a **new node**  $N$  with index  $i$ .

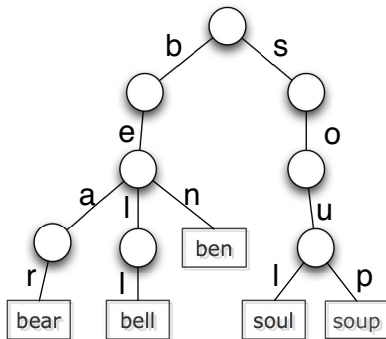
Insert  $N$  along the path from the root to  $L$  so that the parent of  $N$  has index  $< i$  and one child of  $N$  is either  $L$  or an existing node on the path from the root to  $L$  that has index  $> i$ .

The other child of  $N$  will be a **new leaf node** containing  $x$ .

- ▶ If the search ends at an internal node, we find the key corresponding to that internal node and proceed in a similar way to the previous case.

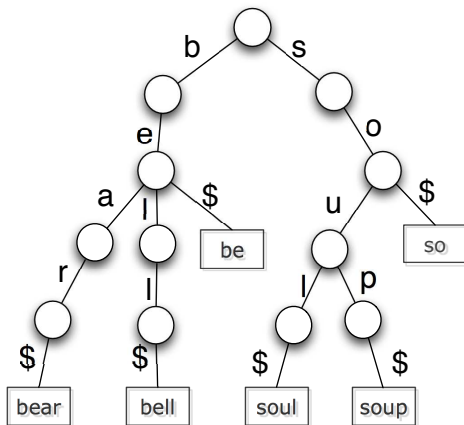
# Multiway Tries

- To represent **Strings** over any **fixed alphabet**  $\Sigma$
- Any node will have at most  $|\Sigma|$  children
- Example: A trie holding strings {bear, bell, ben, soul, soup}



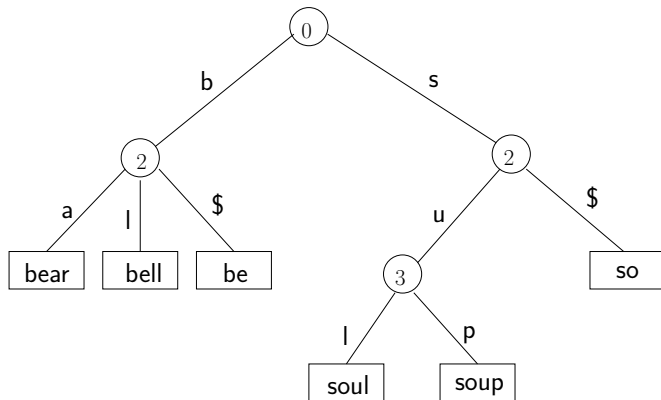
# Multiway Tries

- Append a special **end-of-word** character, say \$, to all keys
- Example: A trie holding strings {bear, bell, be, so, soul, soup}



# Multiway Tries

- **Compressed** multi-way tries
- Example: A compressed trie holding strings {bear, bell, be, so, soul, soup}



# Pattern Matching

- Search for a string (pattern) in a large body of text
- $T[0..n-1]$  – The **text** (or **haystack**) being searched within
- $P[0..m-1]$  – The **pattern** (or **needle**) being searched for
- Strings over **alphabet**  $\Sigma$
- Return the first  $i$  such that

$$P[j] = T[i+j] \quad \text{for } 0 \leq j \leq m-1$$

- This is the first **occurrence** of  $P$  in  $T$
- If  $P$  does not **occur** in  $T$ , return FAIL
- Applications:
  - ▶ Information Retrieval (text editors, search engines)
  - ▶ Bioinformatics
  - ▶ Data Mining

# Pattern Matching

Example:

- $T = \text{"Where is he?"}$
- $P_1 = \text{"he"}$
- $P_2 = \text{"who"}$

Definitions:

- **Substring**  $T[i..j]$   $0 \leq i \leq j < n$ : a string of length  $j - i + 1$  which consists of characters  $T[i], \dots, T[j]$  in order
- A **prefix** of  $T$ :  
a substring  $T[0..i]$  of  $T$  for some  $0 \leq i < n$
- A **suffix** of  $T$ :  
a substring  $T[i..n - 1]$  of  $T$  for some  $0 \leq i \leq n - 1$

# General Idea of Algorithms

Pattern matching algorithms consist of **guesses** and **checks**:

- A **guess** is a position  $i$  such that  $P$  might start at  $T[i]$ .  
Valid guesses (initially) are  $0 \leq i \leq n - m$ .
- A **check** of a guess is a single position  $j$  with  $0 \leq j < m$  where we compare  $T[i + j]$  to  $P[j]$ . We must perform  $m$  checks of a single **correct** guess, but may make (many) fewer checks of an **incorrect** guess.

We will diagram a single run of any pattern matching algorithm by a matrix of checks, where each row represents a single guess.



# Brute-force Algorithm

**Idea:** Check every possible guess.

*BruteforcePM*( $T[0..n-1]$ ,  $P[0..m-1]$ )

$T$ : String of length  $n$  (text),  $P$ : String of length  $m$  (pattern)

```
1.  for  $i \leftarrow 0$  to  $n - m$  do
2.       $match \leftarrow true$ 
3.       $j \leftarrow 0$ 
4.      while  $j < m$  and  $match$  do
5.          if  $T[i + j] = P[j]$  then
6.               $j \leftarrow j + 1$ 
7.          else
8.               $match \leftarrow false$ 
9.      if  $match$  then
10.         return  $i$ 
11. return FAIL
```

# Example

- Example:  $T = \text{abbbababbab}$ ,  $P = \text{abba}$

a	b	b	b	a	b	a	b	b	a	b
a	b	b	a							
	a									
		a								
			a							
				a	b	b				
					a					
						a	b	b	a	

- What is the worst possible input?  
 $P = a^{m-1}b$ ,  $T = a^n$
- Worst case performance  $\Theta((n - m + 1)m)$
- $m \leq n/2 \Rightarrow \Theta(mn)$

# Pattern Matching

More sophisticated algorithms

- **KMP** and **Boyer-Moore**
- Do extra preprocessing on the pattern  $P$
- We eliminate guesses based on completed matches and mismatches.

# KMP Algorithm

- Knuth-Morris-Pratt algorithm (1977)
- Compares the pattern to the text in **left-to-right**
- **Shifts** the pattern more **intelligently** than the brute-force algorithm
- When a mismatch occurs, what is the **most** we can shift the pattern (reusing knowledge from previous matches)?

$T =$

a	b	c	d	c	a	b	c	?	?	?
a	b	c	d	c	a	b	a			
					a	b	c	d	c	a

- **KMP Answer:** the largest **prefix** of  $P[0..j]$  that is a **suffix** of  $P[1..j]$

# KMP Failure Array

T:    a   b   b   c   a   b   c   d ...  
P:   

a	b	b	c	a	b	a	a
---	---	---	---	---	---	---	---

# KMP Failure Array

T:   a   b   b   c   a   b   **c**   d ...  
P:   

a	b	b	c	a	b	<b>a</b>	a
---	---	---	---	---	---	----------	---

what next slide would match with the text?

# KMP Failure Array

T:    a   b   b   c   a   b   **c**   d ...  
P:   

a	b	b	c	a	b	<b>a</b>	a
---	---	---	---	---	---	----------	---

  
**×**

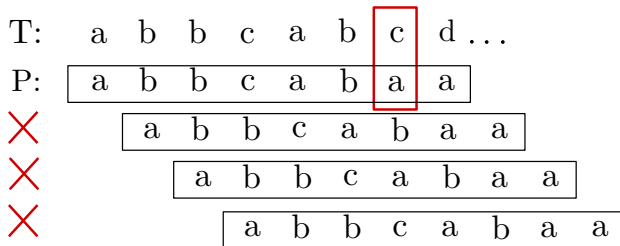
a	b	b	c	a	b	a	a
---	---	---	---	---	---	---	---

# KMP Failure Array

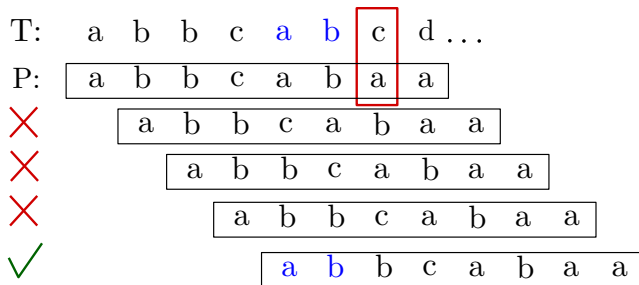
T:    a   b   b   c   a   b   c   d ...  
P:    a   b   b   c   a   b   a   a  
X     a   b   b   c   a   b   a   a  
X     a   b   b   c   a   b   a   a



# KMP Failure Array



# KMP Failure Array



# KMP Failure Array

- Define  $F[j]$  as the value of the first sliding position past the current one that matches the text  $T$ , up to position  $T[i - 1]$
- This can be computed by trying all sliding positions until finding the first one matching the text (as in previous example).

# KMP Failure Array

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- **Observation 1:**  $T[i - j..i - 1] = P[0..j - 1]$

# KMP Failure Array

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- This can be computed by trying all sliding positions until finding the first one matching the text (as in previous example).
- **Observation 1:**  $T[i - j..i - 1] = P[0..j - 1]$
- **Observation 2:**  $T[i - F[j - 1]..i - 1] = P[0..F[j - 1] - 1]$

# KMP Failure Array

- Define  $F[j]$  as the value of the first sliding position past the current one that matches the text  $T$ , up to position  $T[i - 1] = P[j - 1]$
- This can be computed by trying all sliding positions until finding the first one matching the text (as in previous example).
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- **Observation 3:**  $F[j]$  is the length of the largest prefix of  $P[0..j]$  that is also a suffix of  $P[1..j]$

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- **Observation 1:**  $T[i - j..i - 1] = P[0..j - 1]$
- **Observation 2:**  $T[i - F[j - 1]..i - 1] = P[0..F[j - 1] - 1]$
- **Observation 3:**  $F[j]$  is the length of the largest prefix of  $P[0..j]$  that is also a suffix of  $P[1..j]$
- so we can preprocess the pattern to find matches of prefixes of the pattern with the pattern itself



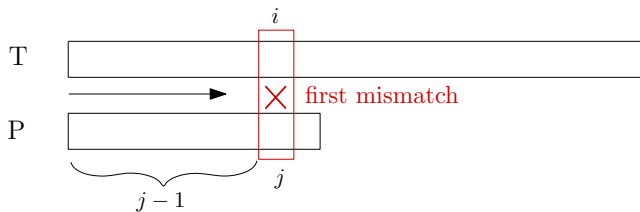
# KMP Failure Array

- $F[0] = 0$
- $F[j]$ , for  $j > 0$ , is the length of the largest prefix of  $P[0..j]$  that is also a suffix of  $P[1..j]$
- Consider  $P = \text{abacaba}$

$j$	$P[1..j]$	$P$	$F[j]$
0	—	abacaba	0
1	b	abacaba	0
2	ba	abacaba	1
3	bac	abacaba	0
4	baca	abacaba	1
5	bacab	abacaba	2
6	bacaba	abacaba	3

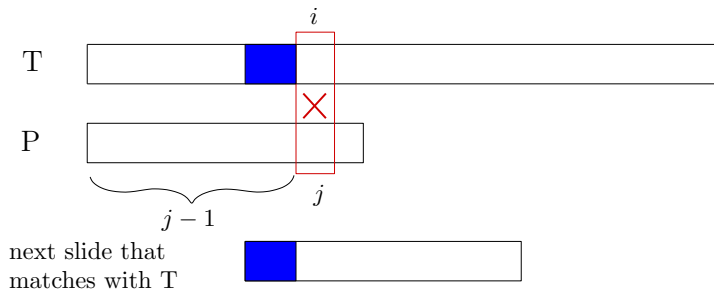
# KMP Failure Array

Schematically:



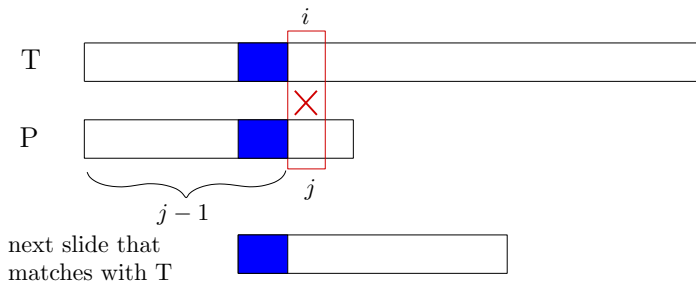
# KMP Failure Array

Schematically:



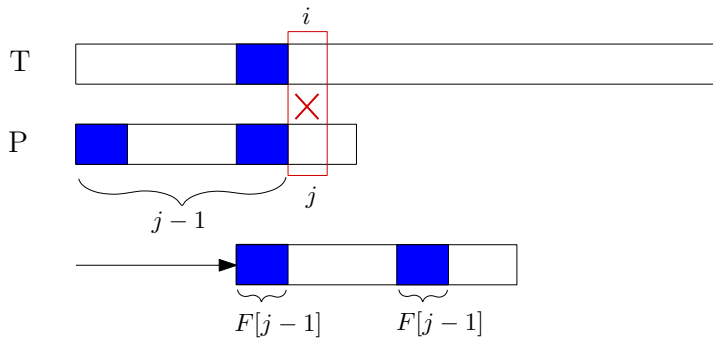
# KMP Failure Array

Schematically:



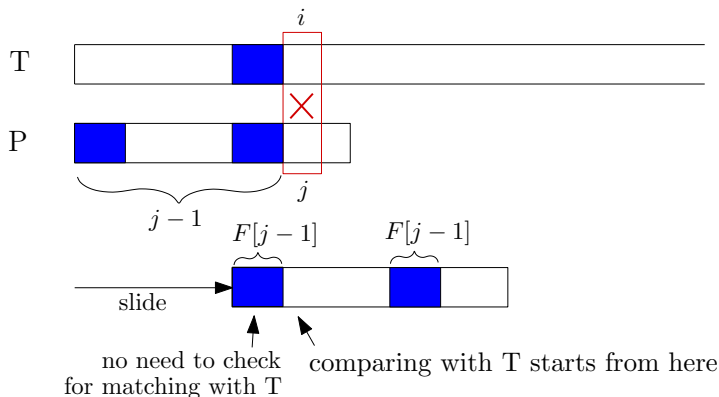
# KMP Failure Array

Schematically:



# KMP Failure Array

Schematically:



# Computing the Failure Array

```
failureArray(P)  
P: String of length m (pattern)  
1.    $F[0] \leftarrow 0$   
2.    $i \leftarrow 1$   
3.    $j \leftarrow 0$   
4.   while  $i < m$  do  
5.       if  $P[i] = P[j]$  then  
6.            $F[i] \leftarrow j + 1$   
7.            $i \leftarrow i + 1$   
8.            $j \leftarrow j + 1$   
9.       else if  $j > 0$  then  
10.           $j \leftarrow F[j - 1]$   
11.      else  
12.           $F[i] \leftarrow 0$   
13.           $i \leftarrow i + 1$ 
```

# KMP Algorithm

*KMP*( $T, P$ )

$T$ : String of length  $n$  (text),  $P$ : String of length  $m$  (pattern)

```
1.   $F \leftarrow \text{failureArray}(P)$ 
2.   $i \leftarrow 0$ 
3.   $j \leftarrow 0$ 
4.  while  $i < n$  do
5.      if  $T[i] = P[j]$  then
6.          if  $j = m - 1$  then
7.              return  $i - j$  // match
8.          else
9.               $i \leftarrow i + 1$ 
10.              $j \leftarrow j + 1$ 
11.      else
12.          if  $j > 0$  then
13.               $j \leftarrow F[j - 1]$ 
14.          else
15.               $i \leftarrow i + 1$ 
16.  return  $-1$  // no match
```



# KMP: Example

$P = \text{abacaba}$

$j$	0	1	2	3	4	5	6
$F[j]$	0	0	1	0	1	2	3

$T = \text{abaxyabacabbaababacaba}$

0	1	2	3	4	5	6	7	8	9	10	11
a	b	a	x	y	a	b	a	c	a	b	b
a	b	a	c								
		(a)	b								
			a				✓				
				a							
					a	b	a	c	a	b	a
									(a)	(b)	a

Exercise: continue with  $T = \text{abaxyabacabbaababacaba}$

# KMP: Analysis

## failureArray

- At each iteration of the while loop, either
  - ①  $i$  increases by one, or
  - ② the **guess index**  $i - j$  increases by at least one ( $F[j - 1] < j$ )
- There are no more than  $2m$  iterations of the while loop
- Running time:  $\Theta(m)$

# KMP: Analysis

## failureArray

- At each iteration of the while loop, either
  - 1  $i$  increases by one, or
  - 2 the **guess index**  $i - j$  increases by at least one ( $F[j - 1] < j$ )
- There are no more than  $2m$  iterations of the while loop
- Running time:  $\Theta(m)$

## KMP

- failureArray can be computed in  $\Theta(m)$  time
- At each iteration of the while loop, either
  - 1  $i$  increases by one, or
  - 2 the **guess index**  $i - j$  increases by at least one ( $F[j - 1] < j$ )
- There are no more than  $2n$  iterations of the while loop
- Running time:  $\Theta(n)$

# KMP: Another Example

- $T = \text{abacaabaccabacabaabb}$
- $P = \text{abacab}$

# Boyer-Moore Algorithm

Based on three key ideas:

- **Reverse-order searching:** Compare  $P$  with a subsequence of  $T$  moving backwards
- **Bad character jumps:** When a mismatch occurs at  $T[i] = c$ 
  - ▶ If  $P$  contains  $c$ , we can shift  $P$  to align the last occurrence of  $c$  in  $P$  with  $T[i]$
  - ▶ Otherwise, we can shift  $P$  to align  $P[0]$  with  $T[i + 1]$
- **Good suffix jumps:** If we have already matched a suffix of  $P$ , then get a mismatch, we can shift  $P$  forward to align with the previous occurrence of that suffix (with a mismatch from the actual suffix).  
Similar to failure array in KMP.
- Can skip large parts of  $T$

## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
											o

$P$  = m o o r e

$T$  = b o y e r m o o r e




## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
										d	o

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
									l	d	o

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e


## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e

				e					

## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e

				e					
				(r)	e				

## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e

				e					
				(r)	e				
					(m)				e

## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e

				e					
				(r)	e				
					(m)			r	e



## Bad character examples

$P$  = a l d o

$T$  = w h e r e i s w a l d o

			o								
							o				
								a	l	d	o

6 comparisons (checks)

$P$  = m o o r e

$T$  = b o y e r m o o r e

				e					
				(r)	e				
					(m)	o	o	r	e

7 comparisons (checks)

## Good suffix examples

$P = \text{sell\_shells}$

s h e i l a \_ s e l l s \_ s h e l l s


$P = \text{odetofood}$

i l i k e f o o d f r o m m e x i c o


## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o

## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
								(e)	(l)	(l)	(s)							s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o

## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
							s	(e)	(l)	(l)	(s)	_	s	h	e	l	l	s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o

## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
							s	(e)	(l)	(l)	(s)	_	s	h	e	l	l	s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o
				o	f	o	o	d										

## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
							s	(e)	(l)	(l)	(s)	_	s	h	e	l	l	s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o
				o	f	o	o	d										
				(e)						d								

## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
							s	(e)	(l)	(l)	(s)	_	s	h	e	l	l	s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o
				o	f	o	o	d										
							(o)	(d)							d			

- Good suffix moves further than bad character for 2nd guess.



## Good suffix examples

$P = \text{sell\_shells}$

s	h	e	i	l	a	_	s	e	l	l	s	_	s	h	e	l	l	s
							h	e	l	l	s							
							s	(e)	(l)	(l)	(s)	_	s	h	e	l	l	s

$P = \text{odetofood}$

i	l	i	k	e	f	o	o	d	f	r	o	m	m	e	x	i	c	o
				o	f	o	o	d										
							(o)	(d)							d			

- Good suffix moves further than bad character for 2nd guess.
- Bad character moves further than good suffix for 3rd guess.
- This is out of range, so **pattern not found**.

# Last-Occurrence Function

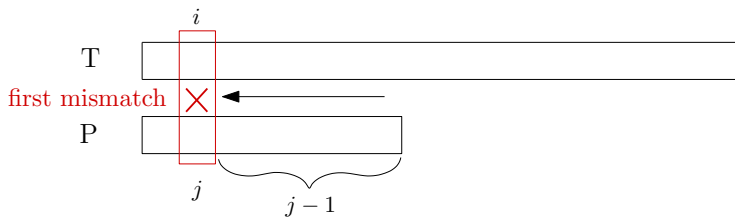
- **Preprocess** the pattern  $P$  and the alphabet  $\Sigma$
- Build the **last-occurrence function**  $L$  mapping  $\Sigma$  to integers
- $L(c)$  is defined as
  - ▶ the largest index  $i$  such that  $P[i] = c$  or
  - ▶  $-1$  if no such index exists
- Example:  $\Sigma = \{a, b, c, d\}$ ,  $P = abacab$

$c$	$a$	$b$	$c$	$d$
$L(c)$	4	5	3	-1

- The last-occurrence function can be computed in time  $O(m + |\Sigma|)$
- In practice,  $L$  is stored in a size- $|\Sigma|$  array.

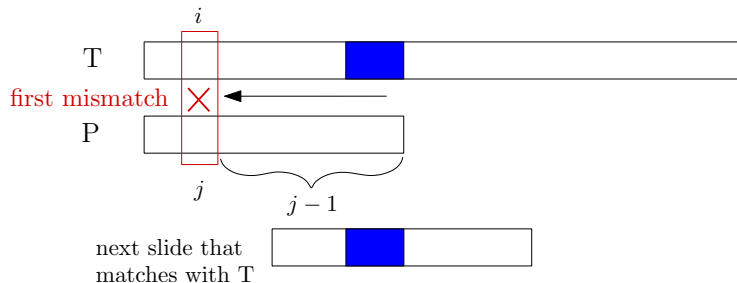
# Good Suffix array

Schematically:



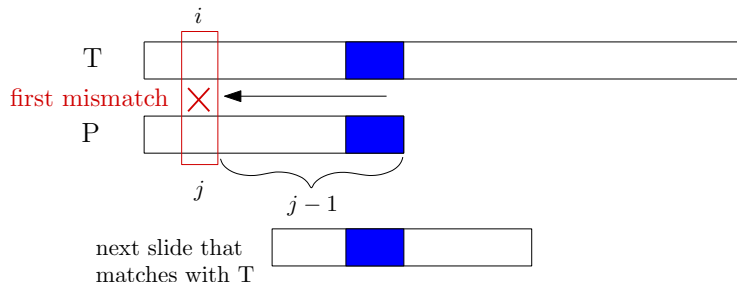
# Good Suffix array

Schematically:



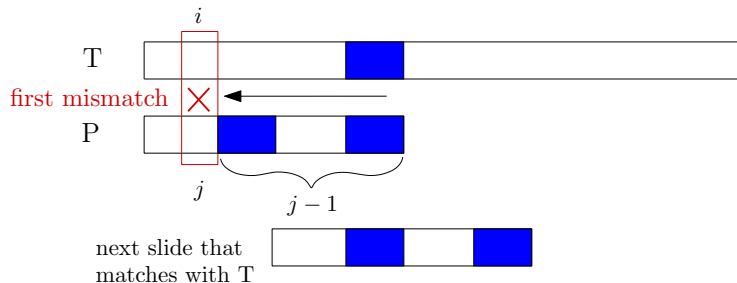
# Good Suffix array

Schematically:



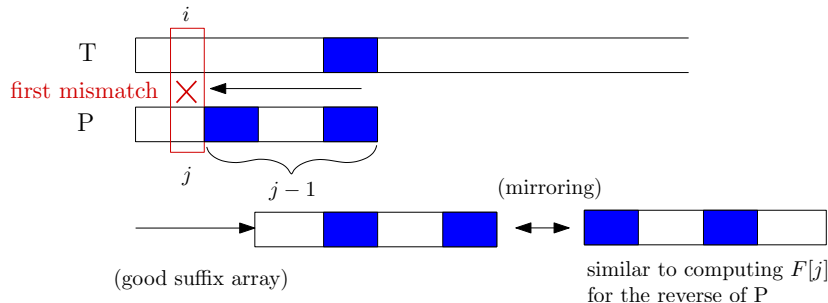
## Good Suffix array

Schematically:



# Good Suffix array

Schematically:



# Boyer-Moore Algorithm

*boyer-moore*( $T, P$ )

1.  $L \leftarrow$  last occurrence array computed from  $P$
2.  $S \leftarrow$  good suffix array computed from  $P$
3.  $i \leftarrow m - 1, \quad j \leftarrow m - 1$
4. **while**  $i < n$  **and**  $j \geq 0$  **do**
5.     **if**  $T[i] = P[j]$  **then**
6.          $i \leftarrow i - 1$
7.          $j \leftarrow j - 1$
8.     **else**
9.          $i \leftarrow i + m - 1 - \min(L[T[i]], S[j])$
10.         $j \leftarrow m - 1$
11. **if**  $j = -1$  **return**  $i + 1$
12. **else return** FAIL

**Exercise:** Prove that  $i - j$  always increases on lines 9–10.



## Boyer-Moore algorithm conclusion

- Worst-case running time  $\in O(n + |\Sigma|)$
- This complexity is difficult to prove.
- What is the worst case?
- On typical **English text** the algorithm probes approximately **25%** of the characters in  $T$
- Faster than KMP in practice on English text.

# Suffix Tries and Suffix Trees

- What if we want to search for **many patterns**  $P$  within the same **fixed text**  $T$ ?
- **Idea**: Preprocess the text  $T$  rather than the pattern  $P$
- **Observation**:  $P$  is a substring of  $T$  if and only if  $P$  is a prefix of some suffix of  $T$ .

We will call a **suffix trie**, a trie that stores all suffixes of a text  $T$ , and a **suffix tree** the compressed suffix trie of  $T$ .

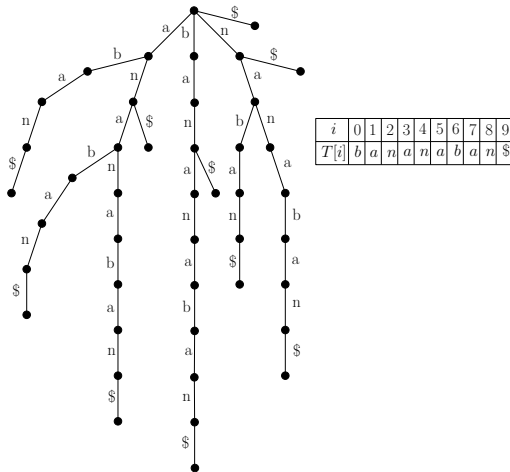
# Suffix Trees

- Build the suffix trie, i.e. the trie containing all the suffixes of the text
- Build the suffix tree by compressing the trie above (like in Patricia trees)
- Store two indexes  $l, r$  on each node  $v$  (both internal nodes and leaves) where node  $v$  corresponds to substring  $T[l..r]$

# Suffix Trie: Example

$T = \text{bananaban}$

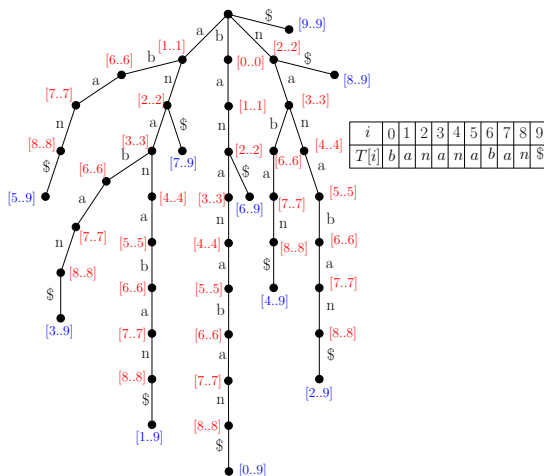
$\{\text{bananaban, ananaban, nanaban, anaban, naban, aban, ban, an, n}\}$



# Suffix Trie: Example

$T = \text{bananaban}$

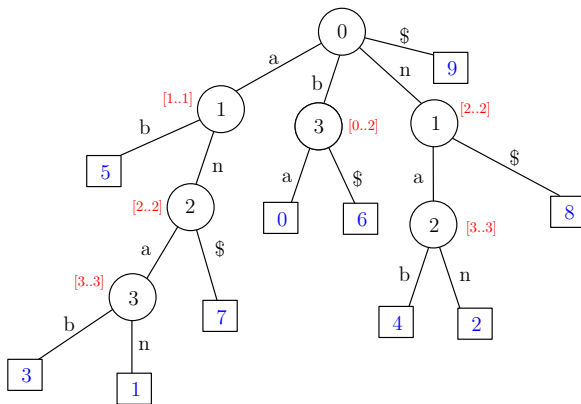
$\{\text{bananaban, ananaban, nanaban, anaban, naban, aban, ban, an, n}\}$



# Suffix Tree (compressed suffix trie): Example

$T = \text{bananaban}$

{ $\text{bananaban}$ ,  $\text{ananaban}$ ,  $\text{nanaban}$ ,  $\text{anaban}$ ,  $\text{naban}$ ,  $\text{aban}$ ,  $\text{ban}$ ,  $\text{an}$ ,  $n$ }



$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

# Suffix Trees: Pattern Matching

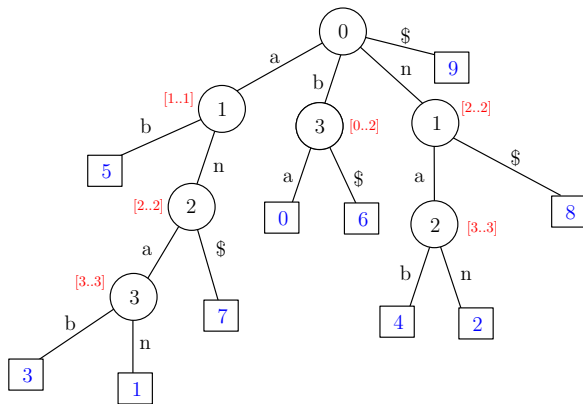
To search for pattern  $P$  of length  $m$ :

- Similar to Search in compressed trie with the difference that we are looking for a prefix match rather than a complete match
- If we reach a leaf with a corresponding string length less than  $m$ , then search is unsuccessful
- Otherwise, we reach a node  $v$  (leaf or internal) with a corresponding string length of at least  $m$
- It only suffices, to check the first  $m$  characters against the substring of the text between indices of the node, to see if there indeed is a match

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ana}$



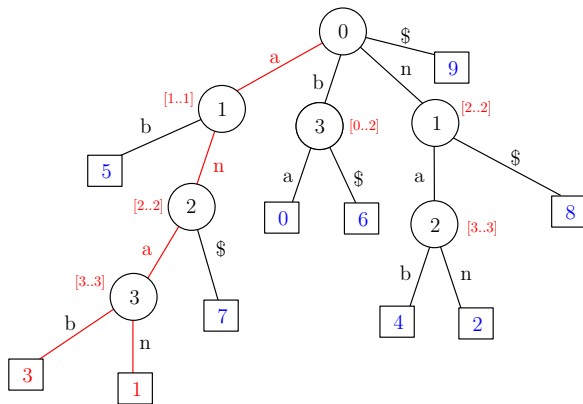
$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$



# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ana}$

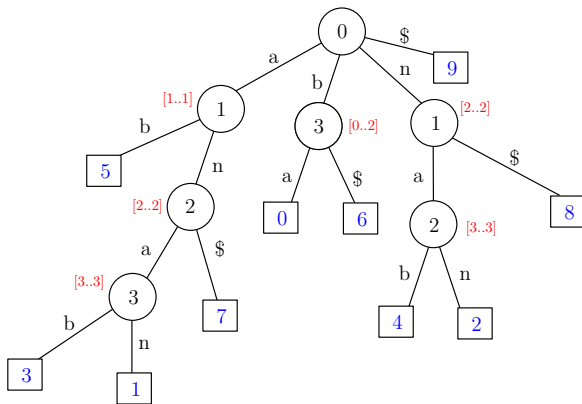


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ban}$

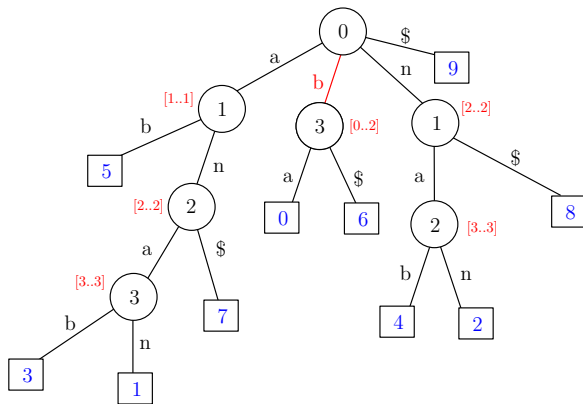


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ban}$

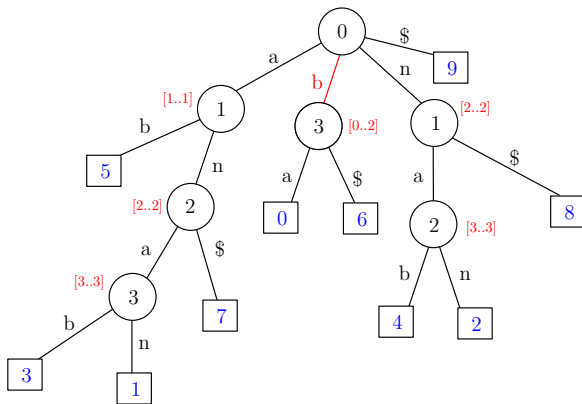


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ban}$

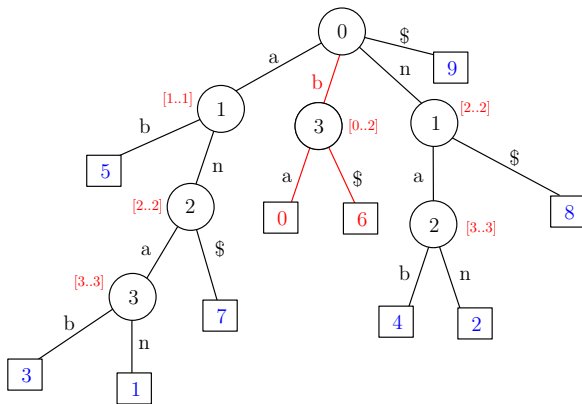


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	<i>b</i>	<i>a</i>	<i>n</i>	<i>a</i>	<i>n</i>	<i>a</i>	<i>b</i>	<i>a</i>	<i>n</i>	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{ban}$

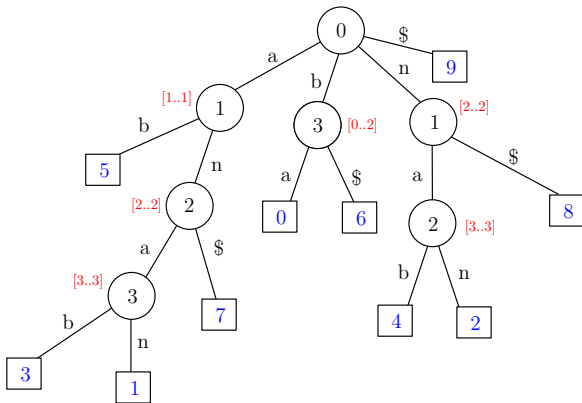


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

## Suffix Tree: Example

$$T = \text{bananaban}$$

$P = \text{nana}$

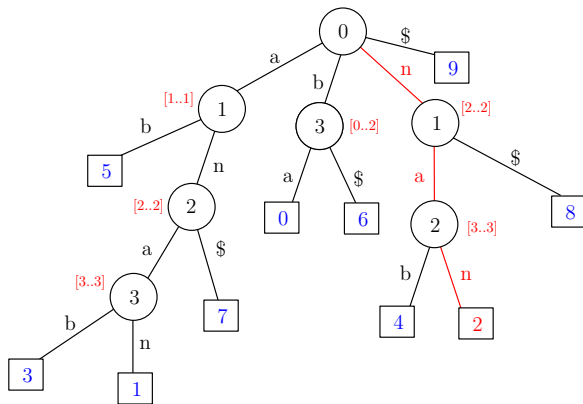


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	$b$	$a$	$n$	$a$	$n$	$a$	$b$	$a$	$n$	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{nana}$

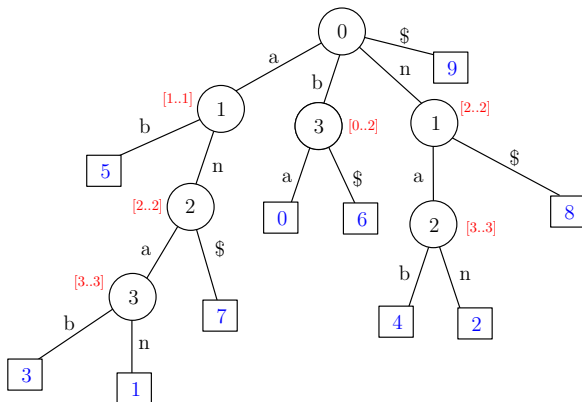


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{bbn}$



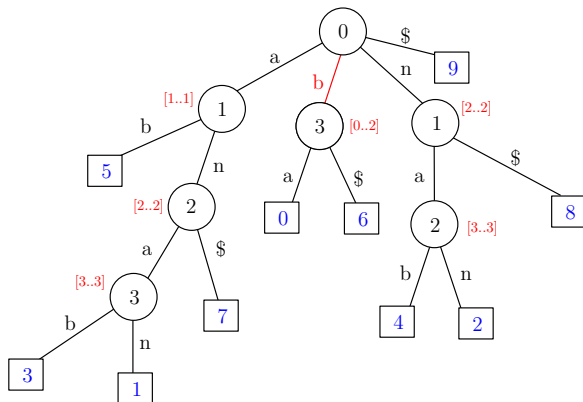
$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$



# Suffix Tree: Example

$T = \text{bananaban}$

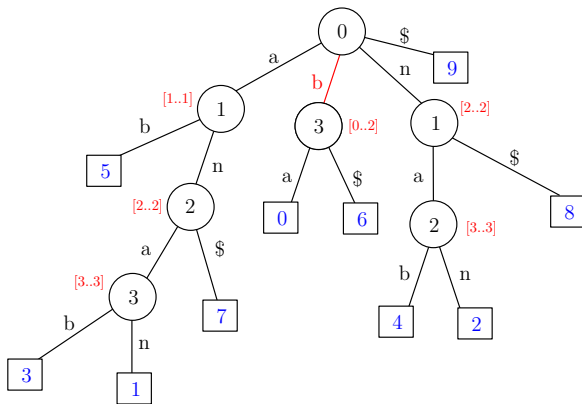
$P = \text{bbn}$



$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$

## Suffix Tree: Example

$T = \text{bananaban}$

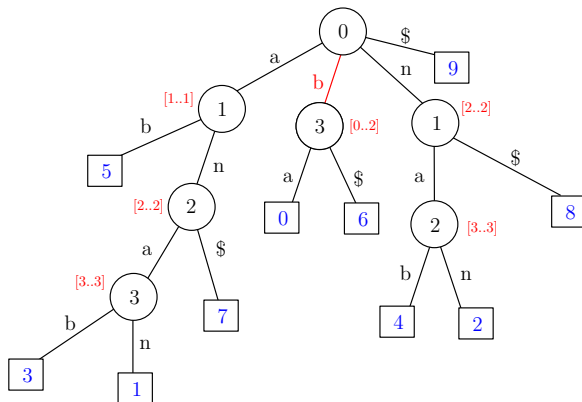
$$P = \text{bbn}$$


$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	$b$	$a$	$n$	$a$	$n$	$a$	$b$	$a$	$n$	\$
	$b$	$b$	$n$							

# Suffix Tree: Example

$T = \text{bananaban}$

$P = \text{bbn}$  **not found**



$i$	0	1	2	3	4	5	6	7	8	9
$T[i]$	b	a	n	a	n	a	b	a	n	\$
	b	b	n							

# Pattern Matching Conclusion

	Brute-Force	KMP	BM	Suffix trees
Preproc.:	–	$O(m)$	$O(m +  \Sigma )$	$O(n^2)$ ( $\rightarrow O(n)$ )
Search time:	$O(nm)$	$O(n)$	$O(n)$ (often better)	$O(m)$
Extra space:	–	$O(m)$	$O(m +  \Sigma )$	$O(n)$