

Module 4 - Dictionaries and Balanced Search Trees

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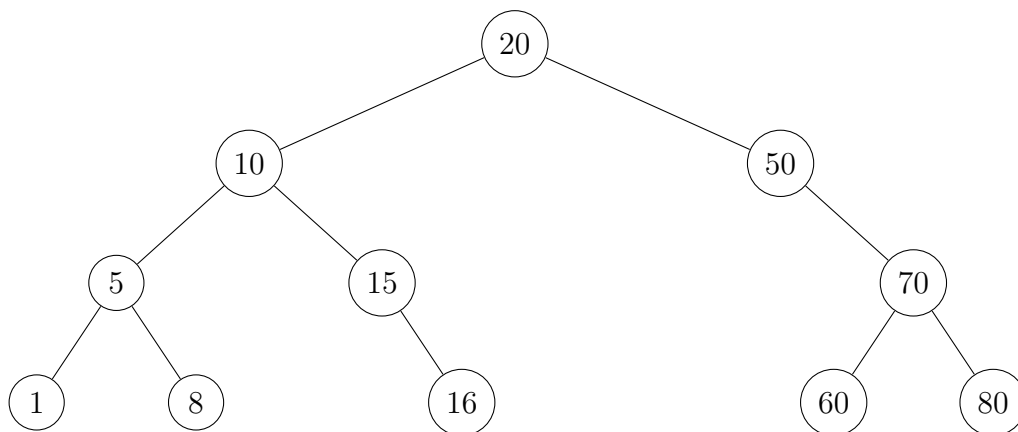
Dictionaries

- An ADT
- Data (key, value) pairs
- operations: search, insert, delete

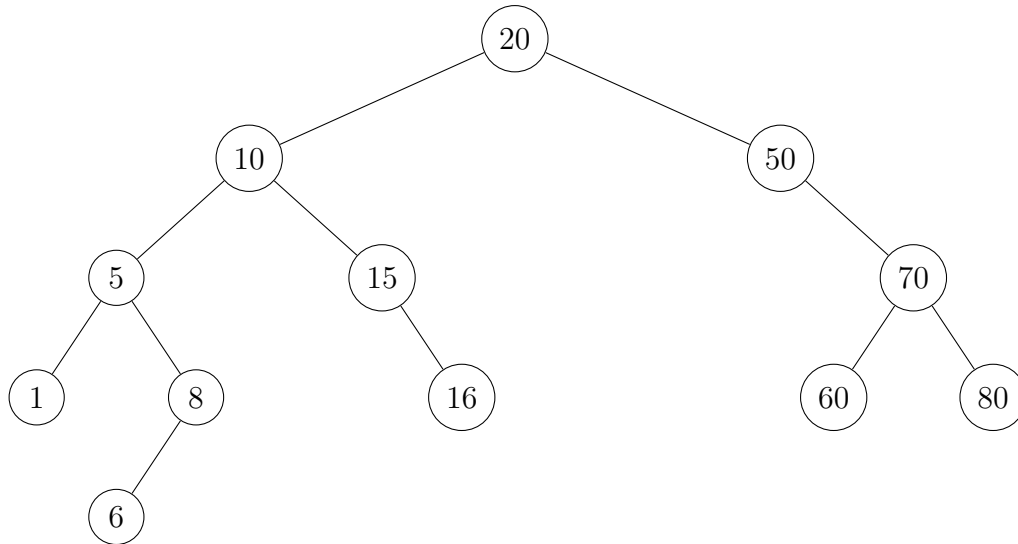
Data Structures for Dictionaries:

- unsorted array or linked list
 - search: $O(n)$
 - insert: $O(1)$
 - Delete: $O(n)$
- sorted array
 - search - binary search $O(\log n)$
 - insert $O(n)$
 - delete $O(n)$

0.1 BST



Insert 6



Delete in a BST

- if n is a leaf - just delete it
- if n is a node with one child, replace it with its child
- if n has two children, replace with the predecessor (rightmost on the left) or successor (left most)

Fun with AVL trees (control)

insert(y)

- insert as a leaf like usual bst
- Move up, update balance factors
- if $x.\text{balance factor} \in \{-2, 2\}$
- $\text{fin}(x)$

Fin is called at most once after that bf, are all fixed (no need to update higher levels)

fin(x)

if x.bf = -2 (too heavy on the left)
{ - if x.left.bf = 1 then
— x.left → rotate(left)
- n → rotate Right }

if x.bf = +2 (too heavy on right)
{
- if x.right.bf = -1
— x.right → rotateRight
- x → rotateLeft()
}

Delete(j)

— as usual BST, replace with successor/predecessor
— move from location of successor, predecessor
— — move up
— — — if x.bf ← {-2,2}
— — — — fin(x)

fin may be called $\log(n)$ times because the height changes.

insertion

— Insert as a usual BST
— — $O(\text{height})$
— move up check balance factor, apply fin() if necessary
— time for fin → $O(1)$

In total, time for insert: $\Theta(\text{height})$

Height of AVL:

let $N(h)$ denote the minimum number of nodes in an AVL tree with height h .

$N(h) =$

0 if $h = -1$

1 if $h = 0$

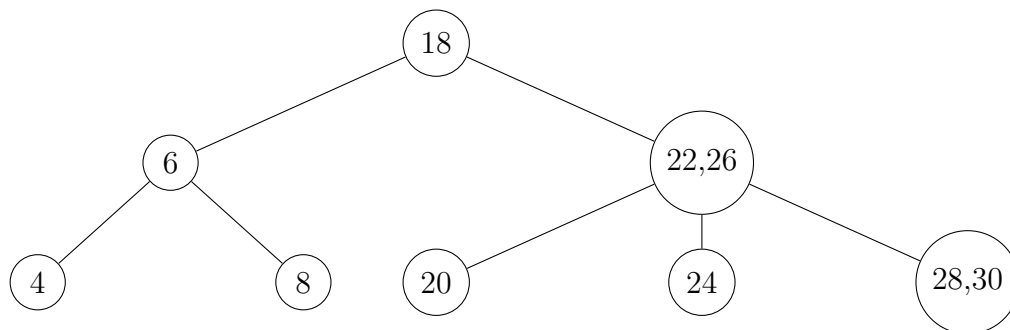
$N(h-1) + N(h-2) + 1$ else

$N(h) = \text{fibonacci}(h+3) - 1$

$$= \text{roof}\left(\frac{p^{h+3}}{5}\right) - 1$$

where $p = \frac{1+\sqrt{5}}{2}$

B-Tree (beautiful tree)



An (a,b) tree B-Tree

1. An ordered tree
2. Each internal node has at least a, and at most b children, root has at least 2, at most b children
3. A node with k children \rightarrow k-1 key value pairs
 - An $(\text{roof}(\frac{u}{2}, u))$ B-tree is order u B-tree, eg $u = 2 \rightarrow$ order b-tree \rightarrow A(2,3)-tree

Insertion

– Insert at a leaf – overfilled nodes send the middle key to the parent and split

Deletion

- As BST, the removed key is replaced by successor/predecessor (which is a leaf)
- if a node becomes underloaded
- if \exists a sibling with an extra key (more than 'a' keys)
- – – take the key from parent and parent gets a key from the sibling.