

# The analysis between tsunami and earthquake factors

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## Introduction

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This project aims to predict if the tsunami will happen based on earthquake factors.

The dataset is download from Kaggle, where you can find the url in the Citation part. It contains 782 earthquakes data and 22 years period from January 1, 2001 to December 31, 2022.

Here are the descriptions for every features:

- magnitude: Earthquake magnitude (Richter scale)
- cdi: Community Decimal Intensity (felt intensity)
- mmi: Modified Mercalli Intensity (instrumental)
- sig: Event significance score
- nst: Number of seismic monitoring stations
- dmin: Distance to nearest seismic station (degrees)
- gap: Azimuthal gap between stations (degrees)
- depth: Earthquake focal depth (km)
- latitude: Epicenter latitude (WGS84)
- longitude: Epicenter longitude (WGS84)
- Year: Year of occurrence
- Month: Month of occurrence
- tsunami: Tsunami potential (TARGET)

I choose this dataset as my project because I had been studied in University of California, Santa Barbara. In California, earthquakes are very frequent compared to other states. When an earthquake happend, I and my friends would say "Do you think the tsunami will come or not". So, I believe this project is interesting for those people who had living experience in California.

## EDA

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The first thing in EDA is to look at if correlation exists. Here is the correlation matrix:

It is very interesting that the influence of magnitude to tsunami is not very strong as other features such as cdi or Year. And contradicting to common sense, Year is strongly positively connected to tsunami.

Based on the above descriptions, we found that dmin and nst are two useless features. This is because seismic monitoring station may be built after scientists found the earthquake and tsunami is strongly connected. Therefore, we have to remove these two features.

The good news is that our features are almost linear independent, which is very useful for following model training.

Lets take a look to the pairwise\_relationships for three features I though are interesting:

From this pictures we can find that tsunami is more frequent in the earthquake area where magnitude and sig are both large. Also, tsunami happens more frequent after 2010.

Here is the distribution of each feature:



We can find that most data are evenly distributed. In other words, extreme value is infrequent.

Now, let's focus on the missing value:

The good news is that the tsunami has no missing value. For other parts, the missing rates are about 5 percentage.

For features excepts Year and Month, we can directly use mod to fill the NA values.

For Year and Month, they are special since time series exists. So, using the forward filling might be more appropriate.

## Methods

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In order to cancel the impact of different range of value, we need to use the StandardScaler and MinMaxScaler.

For features such as 'magnitude', 'depth', 'cdi', 'mmi', 'sig', 'gap', 'latitude', 'longitude', they are numeric and can use the StandardScaler.

For features Year and Month, they are ordinal features and contains time series. MinMaxScaler is a good way to limit their value range.

Now, we need to split the train the test dataset. By setting stratify to the target variable, we are able to control the ratio of tsunami yes and tsunami no sample even distributed. Also, we set the splitting ratio to 0.2.

As for the train and validation set, I decided to use k-fold validation and set k to 5. This means each time, 80% samples would be used to train and 20% samples would be used to validate in the train set.

Before going to the training part, I would like to introduce more details:

The majority is 0, which means most samples are no tsunami.

The metrics I choosed are:

- Accuracy: Baseline measure of overall correctness (but limited for imbalance).
- Weighted F1-Score: Harmonic mean of precision/recall (weighted by class size, balances performance across majority/minority classes).
- F2-Score: Prioritizes recall ( $\beta=2$ ) — critical for tsunami prediction, where false negatives (missed tsunamis) have higher real-world costs.
- AUC-ROC: Measures the model's ability to distinguish between classes (robust to class imbalance, ideal for binary prediction).

Obviously, the result is not so good.

As for the model selection, I tested 4 algorithms:

- Logistic Regression (Linear): Default params (max\_iter=1000, random\_state=42).
- Decision Tree (Nonlinear): Default params (random\_state=42).
- Random Forest (Nonlinear): Tuned n\_estimators (candidates: 50, 100, 200, 300) — optimal = 200 (balances performance/computational cost).
- XGBoost (Nonlinear): Tuned n\_estimators (candidates: 50, 100, 200, 300) — optimal = 50 (avoids overfitting).

Also, I quantified two sources of uncertainty:

- Splitting Uncertainty (split\_std): Std of metrics across 5 folds (captures variability from data partitioning).
- Non-Deterministic Uncertainty (non\_det\_std): Std of fold-averaged metrics across 5 repeats (captures variability from randomness in tree-based models like Random Forest).

## Results

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The baseline (majority-class prediction of "no tsunami") achieves:

- Accuracy = 0.6112,
- Weighted F1 = 0.4637
- F2 = 0.5422
- AUC-ROC = 0.5.

All models outperform the baseline significantly (measured by standard deviations above baseline):

- Logistic Regression: 6.61 (accuracy) → 18.48 (AUC) stds above baseline;
- Decision Tree: 10.90 (accuracy) → 14.31 (AUC) stds above baseline;

- Random Forest: 15.23 (accuracy) → 34.00 (AUC) stds above baseline;
- XGBoost: 13.62 (accuracy) → 34.59 (AUC) stds above baseline.

For above figure, Random Forest and XGBoost are the most predictive models:

- Both achieve ~0.90–0.91 in accuracy/F1 (far higher than linear models/decision trees)
- They have the smallest uncertainty (narrow error bars);
- Random Forest's accuracy and F1 score are slightly higher than XGBoost.

Here are the detailed model performance table:

Model	accuracyAccuracy (mean±std)	F1 (mean±std)	F-2 (mean±std)	AUC (mean±std)	Std above baseline (Accuracy)
Logistic Regression	0.8080 ± 0.0298	0.8068 ± 0.0315	0.8070 ± 0.0308	0.8920 ± 0.0212	6.61
Decision Tree	0.8791 ± 0.0246	0.8788 ± 0.0247	0.8787 ± 0.0248	0.8708 ± 0.0259	10.90
Random Forest	0.9073 ± 0.0194	0.9082 ± 0.0192	0.9068 ± 0.0197	0.9594 ± 0.0135	15.23
XGBoost	0.9007 ± 0.0212	0.9012 ± 0.0213	0.9004 ± 0.0215	0.9620 ± 0.0134	13.62

As for the Global important features, I used model-builtin, permutation, SHAP three methods:

Model	Importance Method	Top 1 Feature	Top 2 Feature	Top 3 Feature
Logistic Regression	Model-Builtin	Year_scaled	sig	magnitude
Logistic Regression	Permutation	Year_scaled	magnitude	sig
Logistic Regression	SHAP	Year_scaled	magnitude	sig
Decision Tree	Model-Builtin	Year_scaled	longitude	latitude
Decision Tree	Permutation	Year_scaled	longitude	latitude
Decision Tree	SHAP	latitude	longitude	gap
Random Forest	Model-Builtin	Year_scaled	longitude	latitude
Random Forest	Permutation	Year_scaled	longitude	latitude
Random Forest	SHAP	latitude	longitude	gap
XGBoost	Model-Builtin	Year_scaled	longitude	latitude
XGBoost	Permutation	Year_scaled	longitude	latitude
XGBoost	SHAP	Year_scaled	longitude	latitude

We can find that the most important features are:

- Year\_scaled (the feature Year after MinMaxScaler)
- longitude
- latitude

And the least important features are:

- mmi
- cdi

Taking XGBoost as an example, here is the visulization of three methods:

We can find that in all three methods, the value of importance of Year\_scaled is relatively much higher than others.

And for features excepts Year\_scaled and longitude, the difference of values of importance are not so big. For example, in the model builtin importance methods, the 3-rd important feature is latitude and the least important feature is Month\_scaled. Their value difference is less than 0.05 which is quite small compared to the importance value of Year\_scaled is more than 0.6.

As for the Local Feature Importance, we have two force plots:

- Tsunami=0 (Sample 0): Features like high depth contribute negatively (red) to "tsunami occurrence", while low magnitude contributes positively (blue), pushing the prediction to "no tsunami".
- Tsunami=1 (Sample 1): Features like high sig (earthquake significance) and high magnitude contribute strongly positively (red), driving the prediction to "tsunami occurrence".

These local results align with physical intuition (high-magnitude/significance earthquakes are more likely to trigger tsunamis).

In the end, the dominance of Year\_scaled is unexpected: year is not a physical driver of tsunamis—this pattern likely reflects data bias (more complete/accurate tsunami records in recent years, not a causal relationship).

## Outlook

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For the missing value, I took the strategy of fill NA value using mode and forward value. However, each strategy has the risk to change the distribution of features. By introducing other strategies such as reduced feature method, this risk can be avoided.

For the feature engineering, only basic preprocessing (imputation, scaling) was performed. Adding other processes such as feature interactions, dimensionality reduction may be work.

For the model training part, only n\_estimators was optimized for tree based models. Critical parameters such as max\_depth and learning\_rate for XGBoost were left by defaults.

Year\_scaled is the top feature across most model and methods. However, this likely reflects data recording bias rather than a causal relationship with tsunami occurrence.

In order to improve the model, maybe I need to introduce more useful feature engineering such as adding a new feature depth\*mmi. Also, feature like Year\_scaled and Month\_scaled should be processed by some method rather than directly used.

## Citation

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Data source:

Global Earthquake-Tsunami Risk Assessment Dataset, Ahmed Mohamed Zaki

<https://www.kaggle.com/datasets/ahmeduzaki/global-earthquake-tsunami-risk-assessment-dataset>