

➤ 自由粒子波函数

高斯波包与经典粒子，相速度和群速度

➤ 位置和动量算符

位置算符和动量算符的引入，算符的对易关系

➤ 算符方法的应用：一维谐振子的代数解法

升算符和降算符及其性质，占据数算符，波函数，空间反演

- 自由粒子

一维问题：粒子在自由空间运动， $V=0$

定态薛定谔方程 $-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E\psi$

定义 $k \equiv \frac{\sqrt{2mE}}{\hbar}$ $\frac{d^2\psi}{dx^2} = -k^2\psi$

通解为 $\psi(x) = Ae^{ikx} + Be^{-ikx}$

代入时间部分 $\Psi(x,t) = (Ae^{ikx} + Be^{-ikx})e^{-iEt/\hbar}$

利用 $\frac{E}{\hbar} = \frac{\hbar k^2}{2m}$

$$\Psi(x,t) = Ae^{ik\left(x - \frac{\hbar k}{2m}t\right)} + Be^{-ik\left(x + \frac{\hbar k}{2m}t\right)}$$

分别代表向左或向右传播的波

$$\Psi(x,t) = Ae^{ik\left(x - \frac{\hbar k}{2m}t\right)} + Be^{-ik\left(x + \frac{\hbar k}{2m}t\right)} \quad \text{可统一写成}$$

$$\Psi_k(x,t) = Ae^{i\left(kx - \frac{\hbar k^2}{2m}t\right)}$$

$$k \text{ 的取值可正可负 } k = \pm \frac{\sqrt{2mE}}{\hbar}$$

讨论：1、该解满足初始条件： $\Psi(x,0) = Ae^{ikx}$

即在给定该初始条件的情况下，解为 $\Psi(x,t) = Ae^{i\left(kx - \frac{\hbar k^2}{2m}t\right)}$

从而确定 k

$$2、\text{该解对应的相速度大小 } kx - \frac{\hbar k^2}{2m}t = \text{const} \quad \Rightarrow \quad v_k = \frac{\hbar |k|}{2m} = \sqrt{\frac{E}{2m}}$$

$$\text{经典自由运动粒子速度 } E = \frac{1}{2}mv^2 \rightarrow v_{\text{classical}} = \sqrt{\frac{2E}{m}} = 2v_k$$

3、归一化 $\int_{-\infty}^{+\infty} \Psi_k^* \Psi_k dx = |A|^2 \cdot \infty$ 不满足波函数的条件，即给定 k 的解无法作为波函数

自由空间中运动的粒子无确定能量

回顾：一般定态问题

通过定态薛定谔方程 $\hat{H}\psi(\vec{r}) = E\psi(\vec{r})$ 确定系列的本征值 $\{E_1, E_2, E_3, \dots\}$ 和本征函数 $\{\psi_1(\vec{r}), \psi_2(\vec{r}), \psi_3(\vec{r}), \dots\}$ 设这些本征函数构成正交归一函数集

含时薛定谔方程的解可写成
$$\Psi(\vec{r}, t) = \sum_{n=1}^{\infty} c_n e^{\frac{iE_n t}{\hbar}} \psi_n(\vec{r})$$

一维自由粒子定态薛定谔方程
$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E\psi$$

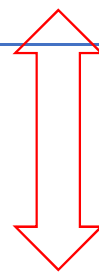
本征值 E 可取 0 到 ∞ 的连续变化的数值

本征函数
$$\psi_k(x) = e^{i\frac{\sqrt{2mE}}{\hbar}x} = e^{ikx}$$

含时薛定谔方程的一般解可写成

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i\left(kx - \frac{\hbar k^2}{2m}t\right)} dk$$

连续谱到离散谱：求和化为积分



回顾：一般定态问题

利用初始条件：粒子最初处于波函数为 $\Psi(\vec{r}, t=0)$ 的状态

$$\Psi(\vec{r}, 0) = \sum_{n=1}^{\infty} c_n \psi_n(\vec{r}) \quad c_m = \int \psi_m^*(\vec{r}) \Psi(\vec{r}, 0) d\vec{r}$$

一维自由运动含时薛定谔方程的一般解可写成

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i\left(kx - \frac{\hbar k^2}{2m}t\right)} dk$$

$\phi(k)$ 可利用初始条件确定：

$$\Psi(x, 0) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{ikx} dk$$

$\phi(k)$ 即为 $\Psi(x, 0)$ 的傅里叶变换后函数，所以

$$\phi(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \Psi(x, 0) e^{-ikx} dx$$

波包的群速度和相速度:

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i\left(kx - \frac{\hbar k^2}{2m}t\right)} dk = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{i(kx - \omega t)} dk$$

$$\omega = \frac{\hbar k^2}{2m}$$

相速度: $kx - \omega t = \text{const} \implies v_k = \frac{\omega}{k} = \frac{\hbar k}{2m}$

群速度: 设 $\phi(k)$ 在 k_0 点有最大值

$$\omega(k) \simeq \omega(k_0) + \left. \frac{d\omega(k)}{dk} \right|_{k=k_0} (k - k_0) = \omega_0 + \omega'_0 (k - k_0)$$

$$\Psi(x, t) \simeq \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{i[kx - \omega_0 t - \omega'_0 (k - k_0)t]} dk = \frac{1}{\sqrt{2\pi}} e^{-i\omega_0 t + ik_0 \omega'_0 t} \int \phi(k) e^{ik(x - \omega'_0 t)} dk$$

与 $t=0$ 时波函数比较

$$\Psi(x, t=0) = \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{ikx} dk$$

t 增加, $x \rightarrow x - \omega'_0 t = x - v_g t$

群速度: $v_g = \omega'_0 = \left. \frac{d\omega(k)}{dk} \right|_{k=k_0} = \frac{\hbar k_0}{m}$

与相速度比较:

$$v_k = \left. \frac{\hbar k}{2m} \right|_{k=k_0} = \frac{\hbar k_0}{2m} = \frac{1}{2} v_g$$

$$\Psi(x, t) \simeq \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{i[kx - \omega_0 t - \omega'_0(k-k_0)t]} dk = \frac{1}{\sqrt{2\pi}} e^{-i\omega_0 t + ik_0 \omega'_0 t} \int \phi(k) e^{ik(x - \omega'_0 t)} dk$$

高斯波包与经典粒子

$$\Psi(x,t) = \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{i(kx - \omega t)} dk = \frac{1}{\sqrt{2\pi\hbar}} \int \phi(p) \exp\left[\frac{i}{\hbar}\left(px - \frac{p^2}{2m}t\right)\right] dp$$

这里: $p = \hbar k$

设一维高斯波包:

$$\phi(p) = A e^{-(p-p_0)^2 d^2 / \hbar^2}$$

代入 $\Psi(x,t)$, 积分并归一化, 得

$$|\Psi(x,t)|^2 = \frac{1}{d\sqrt{2\pi(1+\Delta^2)}} \exp\left[-\frac{(x-vt)^2}{2d^2(1+\Delta^2)}\right]$$

这里: $v = \frac{p_0}{m} \quad \Delta = \frac{\hbar}{2md^2} t$

$$|\Psi(x,t)|^2 = \frac{1}{d\sqrt{2\pi(1+\Delta^2)}} \exp\left[-\frac{(x-vt)^2}{2d^2(1+\Delta^2)}\right] \quad v = \frac{p_0}{m} \quad \Delta = \frac{\hbar}{2md^2}t$$

讨论：1、波包的最大值已群速度移动 $v = \frac{p_0}{m} = \left. \frac{\partial E}{\partial p} \right|_{p_0}$

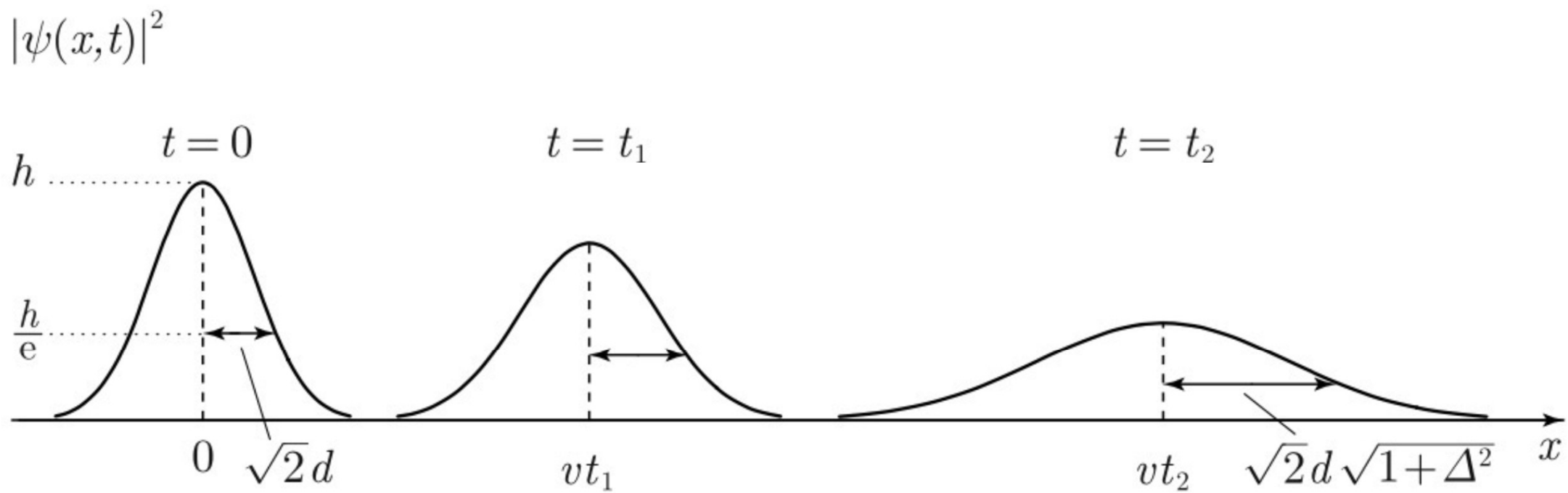
2、宽度 Δ 随着时间增大而增大

3、波包的平均坐标

$$\langle x \rangle = \int |\Psi(x,t)|^2 x dx = \int dx |\Psi(x,t)|^2 (x-vt) + \int dx |\Psi(x,t)|^2 vt = vt$$

4、位置坐标的方差

$$\sigma_x^2 = \int dx |\Psi(x,t)|^2 (x-vt)^2 = d^2(1+\Delta^2)$$



随着 t 增大，波包越来越宽

波包在 $t \rightarrow \infty$ 时 质量为 m 的自由粒子，初始波函数为 $\Psi(x,0)$

$$\Psi(x,0) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \phi(k) e^{ikx} dk$$

$$\begin{aligned} \Psi(x,t) &= \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{i\left(kx - \frac{\hbar k^2}{2m}t\right)} dk = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(k) e^{-i\frac{\hbar t}{2m}\left(k - \frac{mx}{\hbar t}\right)^2 + i\frac{mx^2}{2\hbar t}} dk \\ &= \frac{1}{\sqrt{2\pi}} e^{i\frac{mx^2}{2\hbar t}} \int_{-\infty}^{\infty} e^{-i\frac{\hbar t}{2m}k^2} \phi\left(k + \frac{mx}{\hbar t}\right) dk \end{aligned}$$

$$\lim_{t \rightarrow \infty} \Psi(x,t) = \lim_{t \rightarrow \infty} \frac{1}{\sqrt{2\pi}} e^{i\frac{mx^2}{2\hbar t}} \int_{-\infty}^{\infty} e^{-i\frac{\hbar t}{2m}k^2} \phi\left(k + \frac{mx}{\hbar t}\right) dk = \sqrt{\frac{m}{\hbar t}} e^{i\frac{mx^2}{2\hbar t}} \int \delta(k) e^{-i\frac{\pi}{4}} \phi\left(k + \frac{mx}{\hbar t}\right) dk$$

$$\text{利用 } \lim_{\alpha \rightarrow \infty} \sqrt{\frac{\alpha}{\pi}} e^{i\frac{\pi}{4}} e^{-i\alpha x^2} = \delta(x) \quad = \sqrt{\frac{m}{\hbar t}} e^{-i\pi/4} e^{i\frac{mx^2}{2\hbar t}} \phi\left(\frac{mx}{\hbar t}\right)$$

$t \rightarrow \infty$ 时, $|\Psi(x,t)|^2 \rightarrow 0$, 波扩展到全空间

- 位置 and 动量算符

一维情况：质量为 m 的自由粒子，波函数表示为

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi}} \int \phi(k) e^{i(kx - \omega t)} dk = \frac{1}{\sqrt{2\pi\hbar}} \int \phi(p) \exp\left[\frac{i}{\hbar}\left(px - \frac{p^2}{2m}t\right)\right] dp$$

这里： $p = \hbar k$

$$\phi(p) = \phi(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \Psi(x, 0) e^{-ikx} dx = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \Psi(x, 0) e^{-i\frac{px}{\hbar}} dx$$

重新定义

$$C(p, t) = \frac{1}{\sqrt{\hbar}} \phi(p) e^{-i\frac{Et}{\hbar}}$$

$$\Psi(x, t) = \frac{1}{\sqrt{2\pi\hbar}} \int C(p, t) \exp\left(\frac{i}{\hbar} px\right) dp$$

$$C(p, t) = \frac{1}{\sqrt{\hbar}} \phi(p) e^{-i\frac{Et}{\hbar}}$$

$$C(p, t) = \frac{1}{\sqrt{2\pi\hbar}} \int \Psi(x, t) \exp\left(-i\frac{px}{\hbar}\right) dx$$

$$= \frac{1}{\sqrt{2\pi\hbar}} e^{-i\frac{Et}{\hbar}} \int \Psi(x, t=0) e^{-i\frac{px}{\hbar}} dx$$

$$\Psi(x,t) = \frac{1}{\sqrt{2\pi\hbar}} \int C(p,t) \exp\left(\frac{i}{\hbar} px\right) dp$$

$$C(p,t) = \frac{1}{\sqrt{2\pi\hbar}} \int \Psi(x,t) \exp\left(-i \frac{px}{\hbar}\right) dx$$

二式为Fourier变换式, 针对非自由粒子也成立。

二式互为Fourier变换式, 所以 $\Psi(x,t)$ 与 $C(p,t)$ 一一对应, 是同一量子态的两种不同描述方式。

$\Psi(x,t)$	$C(p,t)$
以坐标 x 为自变量的波函数, 坐标空间 (坐标表象) 波函数	以动量 p 为自变量的波函数, 动量空间 (动量表象) 波函数
$ \Psi(x,t) ^2$ 给出 t 时刻粒子处在 位置 \vec{r} 处的几率	$ C(p,t) ^2$ 给出 t 时刻粒子动量 为 p 的几率
二者描写同一量子状态	

推广到三维空间

$$\Psi(\vec{r}, t) = \frac{1}{(2\pi\hbar)^{3/2}} \int C(\vec{p}, t) \exp\left(\frac{i}{\hbar} \vec{p} \cdot \vec{r}\right) d^3 \vec{p}$$

$$C(\vec{p}, t) = \frac{1}{(2\pi\hbar)^{3/2}} \int \Psi(\vec{r}, t) \exp\left(-\frac{i}{\hbar} \vec{p} \cdot \vec{r}\right) d^3 \vec{r}$$

$\Psi(\vec{r}, t)$ 与 $C(\vec{p}, t)$ 一一对应, 是同一量子态的两种不同描述方式。

一、位置算符

根据波函数的统计解释： $\langle x \rangle = \iiint_{-\infty}^{\infty} \Psi^*(\vec{r}, t) x \Psi(\vec{r}, t) dx dy dz$

量子力学中将物理量用算符来表示，在位置表象中算符 \hat{O} 的平均值定义为

$$\langle \hat{O} \rangle = \iiint_{-\infty}^{\infty} \Psi^*(\vec{r}, t) [\hat{O} \Psi(\vec{r}, t)] dx dy dz$$

所以，位置坐标算符 \hat{x} 可定义为 $\hat{x} \Psi(\vec{r}, t) = x \Psi(\vec{r}, t)$

同理，可定义位置坐标算符 \hat{y} 和 \hat{z} ： $\hat{y} \Psi(\vec{r}, t) = y \Psi(\vec{r}, t)$ $\hat{z} \Psi(\vec{r}, t) = z \Psi(\vec{r}, t)$

可定义位置算符 $\hat{\vec{r}} \Psi(\vec{r}, t) = \vec{r} \Psi(\vec{r}, t)$

可见， $\Psi(\vec{r}, t)$ 为位置算符 $\hat{\vec{r}}$ 本征值为 \vec{r} 的本征函数。

位置算符函数：

$$F(\hat{x}, \hat{y}, \hat{z})\Psi(\vec{r}, t) = F(x, y, z)\Psi(\vec{r}, t)$$

证明：位置算符是厄米算符 $(\hat{\vec{r}})^\dagger = \hat{\vec{r}}$

回顾：共轭算符的定义

$$\begin{aligned}\langle \Phi, \hat{\vec{r}}\Psi \rangle &= \int_{-\infty}^{\infty} \Phi^*(\vec{r}, t) \hat{\vec{r}}\Psi(\vec{r}, t) dx = \\ &= \int_{-\infty}^{\infty} \Phi^*(x, t) \vec{r}\Psi(x, t) dx \\ &= \int_{-\infty}^{\infty} [\vec{r}\Phi(x, t)]^* \Psi(x, t) dx \\ &= \langle \hat{\vec{r}}\Phi, \Psi \rangle\end{aligned}$$

$$\langle \Phi, \hat{\vec{r}}\Psi \rangle = \langle (\hat{\vec{r}})^\dagger \Phi, \Psi \rangle$$

二、动量算符

粒子动量几率密度： $|C(\bar{p}, t)|^2$

根据波函数的统计解释，粒子动量平均值：

$$\begin{aligned}\langle \bar{p} \rangle &= \iiint_{-\infty}^{\infty} |C(\bar{p}, t)|^2 \bar{p} dp_x dp_y dp_z \\ &= \iiint_{-\infty}^{\infty} C(\bar{p}, t) \bar{p} C^*(\bar{p}, t) dp_x dp_y dp_z\end{aligned}$$

量子力学中将物理量用算符来表示，位置表象中算符 \hat{p} （即为动量的平均值）的平均值定义为

$$\langle \hat{p} \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Psi^*(x, y, z, t) \hat{p} \Psi(x, y, z, t) dx dy dz$$

$$\hat{p} = ?$$

x分量:(以一维情况为例)

$$\langle p_x \rangle = \int_{-\infty}^{\infty} C(p_x, t) p_x C^*(p_x, t) dp_x$$

$$= \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-\frac{i}{\hbar} p_x x} \Psi(x, t) p_x e^{\frac{i}{\hbar} p_x x'} \Psi^*(x', t) dx dx' dp_x$$

$$p_x e^{-\frac{i}{\hbar} p_x x} = -\frac{\hbar}{i} \frac{d}{dx} e^{-\frac{i}{\hbar} p_x x}$$

$$\langle p_x \rangle = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{\frac{i}{\hbar} p_x x'} \Psi^*(x', t) \left[\int_{-\infty}^{\infty} -\frac{\hbar}{i} \frac{d}{dx} e^{-\frac{i}{\hbar} p_x x} \Psi(x, t) dx \right] dx' dp_x$$

$$\int_{-\infty}^{\infty} -\frac{\hbar}{i} \left(\frac{d}{dx} e^{\frac{i}{\hbar} p_x x} \right) \Psi(x, t) dx = -\frac{\hbar}{i} e^{\frac{i}{\hbar} p_x x} \Psi(x, t) \Big|_{-\infty}^{\infty} + \frac{\hbar}{i} \int_{-\infty}^{\infty} e^{\frac{i}{\hbar} p_x x} \frac{d\Psi(x, t)}{dx} dx$$

$$\Psi(x,t)|_{-\infty}^{\infty}=0$$

$$\langle p_x \rangle = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{\frac{i}{\hbar} p_x (x' - x)} \Psi^*(x', t) \left[\frac{\hbar}{i} \frac{d\Psi(x, t)}{dx} \right] dp_x dx' dx$$

$$\frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{\frac{i}{\hbar} p_x (x' - x)} \Psi^*(x', t) dp_x dx' = \frac{1}{(2\pi\hbar)^{1/2}} \int_{-\infty}^{\infty} C^*(p_x, t) e^{\frac{i}{\hbar} (-p_x x)} dp_x = \Psi^*(x, t)$$

$$\langle p_x \rangle = \int_{-\infty}^{\infty} \Psi^*(x, t) \frac{\hbar}{i} \frac{d}{dx} \Psi(x, t) dx$$

推广到三维情况

$$\langle p_x \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Psi^*(x, y, z, t) \frac{\hbar}{i} \frac{d}{dx} \Psi(x, y, z, t) dx dy dz$$

$$\hat{p}_x \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial x}, \hat{p}_y \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial y}, \hat{p}_z \rightarrow \frac{\hbar}{i} \frac{\partial}{\partial z}$$

$$\hat{\vec{p}} \rightarrow \frac{\hbar}{i} \nabla$$

$$\langle \vec{p} \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Psi^*(x, y, z, t) \hat{\vec{p}} \Psi(x, y, z, t) dx dy dz$$

推广

$$\langle p_x^n \rangle = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \psi^*(x, y, z, t) \hat{p}_x^n \psi(x, y, z, t) dx dy dz$$

证明：动量算符是厄米算符

回顾：共轭算符的定义

$$\langle \Phi, \hat{p}_x \Psi \rangle = \langle (\hat{p}_x)^\dagger \Phi, \Psi \rangle$$

x 分量:(以一维情况为例)

$$\text{要证 } (\hat{p}_x)^\dagger = \hat{p}_x$$

$$\begin{aligned} \langle \Phi, \hat{p}_x \Psi \rangle &= \int_{-\infty}^{\infty} \Phi^*(x, t) \frac{\hbar}{i} \frac{d}{dx} \Psi(x, t) dx = \\ &= \frac{\hbar}{i} \Phi^*(x, t) \Psi(x, t) \Big|_{x=-\infty}^{\infty} - \int_{-\infty}^{\infty} \frac{\hbar}{i} \frac{d}{dx} \Phi^*(x, t) \Psi(x, t) dx \\ &= \int_{-\infty}^{\infty} \left[\frac{\hbar}{i} \frac{d}{dx} \Phi(x, t) \right]^* \Psi(x, t) dx \\ &= \langle \hat{p}_x \Phi, \Psi \rangle \end{aligned}$$

三、位置算符与动量算符的对易关系

数学上，将算符 \hat{A} 和 \hat{B} 的乘积定义为 $[\hat{A}\hat{B}]\Psi = \hat{A}[\hat{B}\Psi]$

即先作用算符 \hat{B} ，再作用算符 \hat{A}

量子力学中，将 $\hat{A}\hat{B} - \hat{B}\hat{A}$ 称为算符 \hat{A} 和 \hat{B} 的对易关系（或称为对易子），并记为 $[\hat{A}, \hat{B}]$

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A}$$

若 $[\hat{A}, \hat{B}] = 0$ ，则 \hat{A} 与 \hat{B} 对易

若 $[\hat{A}, \hat{B}] \neq 0$ ，则 \hat{A} 与 \hat{B} 不对易

$$\left. \begin{aligned} [\hat{x}, \hat{y}] &= 0 \\ [\hat{y}, \hat{z}] &= 0 \\ [\hat{z}, \hat{x}] &= 0 \end{aligned} \right\}$$



$$[x_\alpha, x_\beta] = 0 \quad \alpha, \beta = 1, 2, 3$$

$$(x_1 = x, x_2 = y, x_3 = z)$$

$$\left. \begin{aligned} [\hat{p}_x, \hat{p}_y] &= 0 \\ [\hat{p}_y, \hat{p}_z] &= 0 \\ [\hat{p}_z, \hat{p}_x] &= 0 \end{aligned} \right\}$$



$$[\hat{p}_\alpha, \hat{p}_\beta] = 0 \quad \alpha, \beta = 1, 2, 3$$

$$(\hat{p}_1 = \hat{p}_x, \hat{p}_2 = \hat{p}_y, \hat{p}_3 = \hat{p}_z)$$

$$\begin{aligned} [x, \hat{p}_x] &= i\hbar & [x, \hat{p}_y] &= [x, \hat{p}_z] = 0 \\ [y, \hat{p}_y] &= i\hbar & [y, \hat{p}_x] &= [y, \hat{p}_z] = 0 \\ [z, \hat{p}_z] &= i\hbar & [z, \hat{p}_x] &= [z, \hat{p}_y] = 0 \end{aligned}$$



$$[x_\alpha, \hat{p}_\beta] = i\hbar \delta_{\alpha\beta}$$

$$(\alpha, \beta = 1, 2, 3)$$

对易关系的一些恒等式

$$[\hat{A}, \hat{A}] = 0$$

$$[\hat{A}, \hat{B}] = -[\hat{B}, \hat{A}]$$

$$[\hat{A}, \hat{B} + \hat{C}] = [\hat{A}, \hat{B}] + [\hat{A}, \hat{C}]$$

$$[\hat{A} + \hat{B}, \hat{C}] = [\hat{A}, \hat{C}] + [\hat{B}, \hat{C}]$$

$$[\hat{A}, \hat{B}\hat{C}] = [\hat{A}, \hat{B}]\hat{C} + \hat{B}[\hat{A}, \hat{C}]$$

$$[\hat{A}\hat{B}, \hat{C}] = [\hat{A}, \hat{C}]\hat{B} + \hat{A}[\hat{B}, \hat{C}]$$

$$[\hat{A}, [\hat{B}, \hat{C}]] + [\hat{B}, [\hat{C}, \hat{A}]] + [\hat{C}, [\hat{A}, \hat{B}]] = 0$$


- 算符方法的应用：一维谐振子的代数解法

一维谐振子定态薛定谔方程
$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + \frac{1}{2} m\omega^2 x^2 \psi = E\psi$$

哈密顿算符
$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m\omega^2 \hat{x}^2 = \frac{1}{2m} [\hat{p}^2 + (m\omega\hat{x})^2] \quad \hat{p} = \frac{\hbar}{i} \frac{d}{dx}$$

引入升降算符
$$\hat{a}_+ \equiv \frac{1}{\sqrt{2\hbar m\omega}} (-i\hat{p} + m\omega\hat{x}) \quad \hat{a}_- \equiv \frac{1}{\sqrt{2\hbar m\omega}} (i\hat{p} + m\omega\hat{x})$$

$$\begin{aligned} a_+ a_- \psi(x) &= \frac{(-i\hat{p} + m\omega\hat{x})(i\hat{p} + m\omega\hat{x})}{2\hbar m\omega} \psi(x) = \frac{\hat{p}^2 - im\omega \hat{p}\hat{x} + im\omega \hat{x}\hat{p} + (m\omega\hat{x})^2}{2\hbar m\omega} \psi(x) \\ &= \frac{\hat{p}^2 - im\omega [\hat{p}, \hat{x}] + (m\omega\hat{x})^2}{2\hbar m\omega} \psi(x) = \frac{1}{\hbar\omega} \left[\frac{\hat{p}^2}{2m} + \frac{1}{2} m\omega\hat{x}^2 \right] \psi(x) - \frac{1}{2} \psi(x) \end{aligned}$$


$$\hat{H} = \hbar\omega \left(\hat{a}_+ \hat{a}_- + \frac{1}{2} \right)$$

性质1: $(\hat{a}_+)^{\dagger} = \frac{1}{\sqrt{2\hbar m\omega}}(-i\hat{p} + m\omega\hat{x})^{\dagger} = \frac{1}{\sqrt{2\hbar m\omega}}(+i\hat{p} + m\omega\hat{x}) = \hat{a}_-$ $(\hat{a}_-)^{\dagger} = \hat{a}_+$

$$\begin{aligned}\langle \psi(x), \hat{a}_+ \hat{a}_- \psi(x) \rangle &= \langle \psi(x), \hat{a}_+ [\hat{a}_- \psi(x)] \rangle = \langle (\hat{a}_+)^{\dagger} \psi(x), [\hat{a}_- \psi(x)] \rangle \\ &= \langle (\hat{a}_-) \psi(x), \hat{a}_- \psi(x) \rangle \geq 0\end{aligned}$$

$$\langle \psi(x), \hat{a}_+ \hat{a}_- \psi(x) \rangle \geq 0$$

性质2: $[\hat{a}_+, \hat{a}_-] = \frac{1}{2\hbar m\omega}[-i\hat{p} + m\omega\hat{x}, i\hat{p} + m\omega\hat{x}]$

$$= \frac{1}{2\hbar m\omega} \{[-i\hat{p}, i\hat{p}] + [-i\hat{p}, m\omega\hat{x}] + [m\omega\hat{x}, i\hat{p}] + [m\omega\hat{x}, \hat{x}]\}$$

$$= \frac{1}{2\hbar m\omega} \{0 + (-im\omega)(-i\hbar) + (im\omega)(i\hbar) + 0\} = -1$$

$$[\hat{a}_+, \hat{a}_-] = -1$$

性质3: $[\hat{a}_+, \hat{a}_+ \hat{a}_-] = [\hat{a}_+, \hat{a}_+] \hat{a}_- + \hat{a}_+ [\hat{a}_+, \hat{a}_-] = 0 - \hat{a}_+$ $[\hat{a}_+, \hat{a}_+ \hat{a}_-] = -\hat{a}_+$

$$[\hat{a}_-, \hat{a}_+ \hat{a}_-] = [\hat{a}_-, \hat{a}_+] \hat{a}_+ + \hat{a}_+ [\hat{a}_-, \hat{a}_-] = \hat{a}_- + 0$$

$$[\hat{a}_-, \hat{a}_+ \hat{a}_-] = \hat{a}_-$$

性质4: 定义**算符** $\hat{N} \equiv \hat{a}_+ \hat{a}_-$ 设 ψ_n 为其本征值为 n 的归一化本征函数, 即

$$\hat{N}\psi_n = n\psi_n$$

考察函数 $\phi = \hat{a}_-\psi_n(x)$

利用性质3 $[\hat{a}_-, \hat{a}_+ \hat{a}_-] = \hat{a}_- \Rightarrow \hat{a}_- \hat{N} - \hat{N} \hat{a}_- = \hat{a}_- \Rightarrow \hat{N} \hat{a}_- = \hat{a}_- \hat{N} - \hat{a}_-$

$$\begin{aligned}\hat{N}\phi &= \hat{N}\hat{a}_-\psi_n = \hat{a}_-\hat{N}\psi_n - \hat{a}_-\psi_n = n\hat{a}_-\psi_n - \hat{a}_-\psi_n \\ &= (n-1)(\hat{a}_-\psi_n) = (n-1)\phi\end{aligned}$$

函数 $\phi = \hat{a}_-\psi_n(x)$ 为算符 \hat{N} 本征值为 $n-1$ 的本征函数

算符 \hat{a}_- 作用到算符 \hat{N} 的本征函数上, 得到的函数的本征值**减少1**

算符 \hat{a}_- 称为**降算符**

利用性质3 $[\hat{a}_+, \hat{a}_+ \hat{a}_-] = -\hat{a}_+$ 可得

算符 \hat{a}_+ 作用到算符 \hat{N} 的本征函数上, 得到的函数的本征值**增加1**

算符 \hat{a}_+ 称为**升算符**

占据数算符 考察算符 $\hat{N} \equiv \hat{a}_+ \hat{a}_-$

设 ψ_n 为其本征值为 n 的归一化本征函数, 即 $\hat{N}\psi_n = n\psi_n$

➤ 结论: n 为大于等于零的整数

证明: 利用性质1 $\langle \psi(x), \hat{a}_+ \hat{a}_- \psi(x) \rangle \geq 0$ 可得

$$\langle \psi_n(x), \hat{N}\psi_n(x) \rangle = n \langle \psi_n(x), \psi_n(x) \rangle = n \geq 0$$

用反证法证明 n 为整数, 设存在本征函数 $\psi_{n+\alpha}$: $\hat{N}\psi_{n+\alpha} = (n+\alpha)\psi_{n+\alpha}$

$$0 < \alpha < 1$$

显然 $\hat{N}[(\hat{a}_-)^n \psi_{n+\alpha}(x)] = \alpha [(\hat{a}_-)^n \psi_{n+\alpha}(x)]$

$$\hat{N}[(\hat{a}_-)^{n+1} \psi_{n+\alpha}(x)] = (\alpha - 1) [(\hat{a}_-)^n \psi_{n+\alpha}(x)]$$

与算符 \hat{N} 的本征值总大于等于零矛盾。

算符 \hat{N} 的本征值为非负整数。

算符 $\hat{N} = \hat{a}_+ \hat{a}_-$ 的本征值为非负整数。

一维谐振子的哈密顿算符
$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 \hat{x}^2 = \hbar \omega \left(\hat{a}_+ \hat{a}_- + \frac{1}{2} \right)$$

所以哈密顿算符的本征值为

$$E = \hbar \omega \left(n + \frac{1}{2} \right), \quad n = 0, 1, 2, \dots$$

波函数

占据数算符 $\hat{N} = \hat{a}_+ \hat{a}_-$ 的本征值为零的本征函数记为 ψ_0

$$\hat{a}_- \psi_0 = 0 \quad \frac{1}{\sqrt{2\hbar m\omega}} (i\hat{p} + m\omega\hat{x})\psi_0 = 0$$

即为微分方程

$$\left(\hbar \frac{d}{dx} + m\omega x \right) \psi_0 = 0 \quad \frac{d\psi_0}{\psi_0} = -\frac{m\omega}{\hbar} x dx \quad \ln \psi_0(x) = -\frac{m\omega}{2\hbar} x^2 + \text{const}$$

$$\Rightarrow \psi_0(x) = A_0 e^{-m\omega x^2/(2\hbar)}$$

系数 A_0 由归一化条件决定

$$\int \psi_0^* \psi_0 dx = |A_0|^2 \int e^{-m\omega x^2/\hbar} dx = |A_0|^2 \int \sqrt{\frac{\hbar}{m\omega}} e^{-u^2} du = 1$$

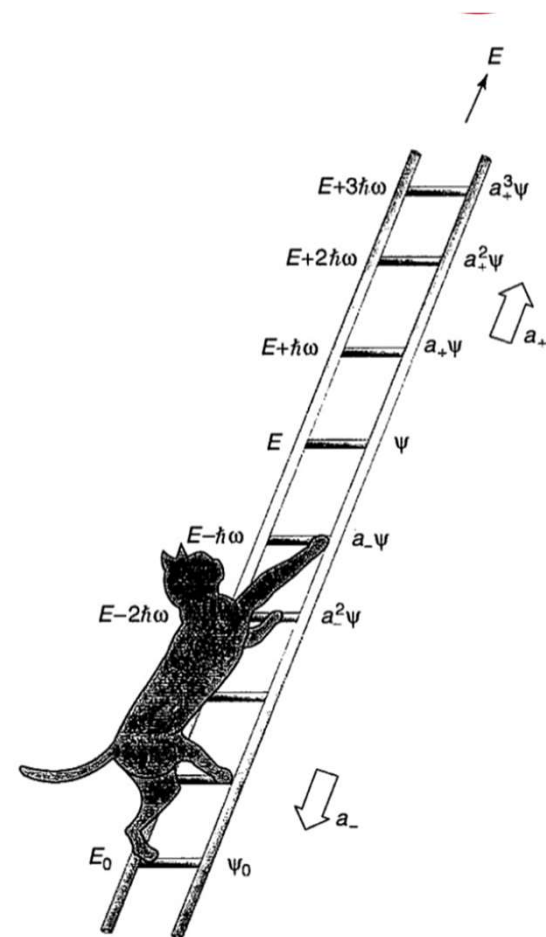
$$A_0 = \left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{4}}$$

$$\psi_0(x) = \left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{4}} e^{-\frac{m\omega}{2\hbar} x^2}, E_0 = \hbar\omega/2$$

$$\psi_0(x) = \left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{4}} e^{-\frac{m\omega}{2\hbar}x^2}, \quad E_0 = \hbar\omega / 2$$

$$\psi_n(x) = A_n \left(\hat{a}_+ \right)^n \psi_0(x), \quad E_n = \hbar\omega \left(\frac{1}{2} + n \right)$$

$$A_n = ?$$



由于一维束缚态是非简并的，所以

$$\hat{a}_+ \psi_n = C_n \psi_{n+1}, \quad \hat{a}_- \psi_n = D_n \psi_{n-1}$$

$$\text{已知 } \hat{a}_+ \hat{a}_- \psi_n = n \psi_n \Rightarrow \langle \psi_n, \hat{a}_+ (\hat{a}_- \psi_n) \rangle = n \quad \text{即 } \langle (\hat{a}_+)^\dagger \psi_n, \hat{a}_- \psi_n \rangle = n$$

$$\text{因为 } (\hat{a}_+)^\dagger = \hat{a}_- \quad \langle (\hat{a}_+)^\dagger \psi_n, \hat{a}_- \psi_n \rangle = \langle \hat{a}_- \psi_n, \hat{a}_- \psi_n \rangle = n = \int [\hat{a}_- \psi_n(x)]^* [\hat{a}_- \psi_n(x)] dx = |D_n|^2$$

$$\text{取 } D_n = \sqrt{n} \quad \text{所以有 } \hat{a}_- \psi_n = \sqrt{n} \psi_{n-1}$$

$$\text{已知 } \hat{a}_- \hat{a}_+ \psi_n = (\hat{a}_+ \hat{a}_- + 1) \psi_n = (n+1) \psi_n \quad \text{即 } \langle \psi_n, \hat{a}_- (\hat{a}_+ \psi_n) \rangle = n+1$$

$$\langle (\hat{a}_-)^\dagger \psi_n, (\hat{a}_+ \psi_n) \rangle = n+1 \Rightarrow \langle \hat{a}_+ \psi_n, \hat{a}_+ \psi_n \rangle = n+1 = |C_n|^2$$

$$\text{取 } C_n = \sqrt{n+1} \quad \hat{a}_+ \psi_n = \sqrt{n+1} \psi_{n+1}$$

$$\hat{a}_+ \psi_n = \sqrt{n+1} \psi_{n+1}$$

$$\psi_{n+1} = \frac{1}{\sqrt{n+1}} \hat{a}_+ \psi_n$$

$$\psi_1 = \frac{1}{\sqrt{1}} \hat{a}_+ \psi_0$$

$$\psi_2 = \frac{1}{\sqrt{2}} \hat{a}_+ \psi_1 = \frac{1}{\sqrt{2 \cdot 1}} (\hat{a}_+)^2 \psi_0$$

$$\psi_3 = \frac{1}{\sqrt{3}} \hat{a}_+ \psi_2 = \frac{1}{\sqrt{3 \cdot 2 \cdot 1}} (\hat{a}_+)^3 \psi_0$$

$$\psi_n(x) = \frac{1}{\sqrt{n!}} (\hat{a}_+)^n \psi_0(x), \quad E_n = \hbar \omega \left(\frac{1}{2} + n \right)$$

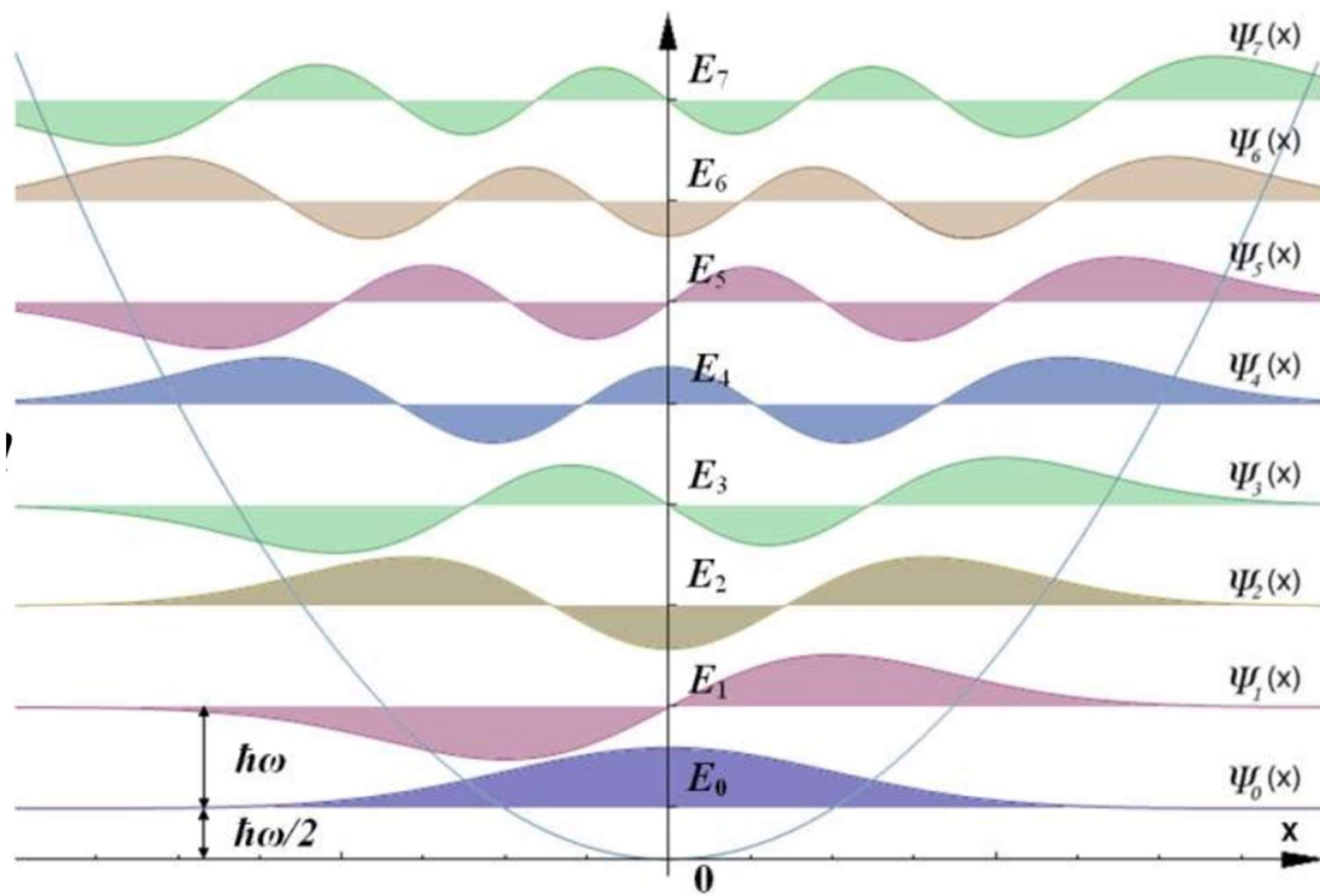
波函数的空间反演对称

定义: 空间反演算符 $\hat{P}\psi(x) = \psi(-x)$

一维谐振子哈密顿算符基态本征波函数 $\hat{P}\psi_0(x) = \psi_0(-x) = \psi_0(x)$ 偶宇称

$$\begin{aligned}\hat{P}\psi_1(x) &= A_1 \hat{P}[\hat{a}_+ \psi_0(x)] = A_1 \hat{P}\left[\frac{1}{\sqrt{2m\omega\hbar}}\left(-\hbar\frac{d}{dx} + m\omega x\right)\psi_0(x)\right] \\ &= A_1 \left[\frac{1}{\sqrt{2m\omega\hbar}}\left(-\hbar\frac{d}{d(-x)} + m\omega(-x)\right)\psi_0(-x)\right] \\ &= -A_1 [\hat{a}_+ \psi_0(x)] = -\psi_1(x) \quad \text{奇宇称}\end{aligned}$$

$$\hat{P}\psi_n(x) = (-1)^n \psi_n(x)$$



补充1: 傅里叶变换

平方可积 $L_2[-l, l]$ 函数空间中, 选取基 $\left\{ e^{i\frac{n\pi}{l}x} \middle| n = 0, \pm 1, \pm 2, \dots \right\}$

$$f(x) = \sum_{n=-\infty}^{\infty} c_n e^{i\frac{n\pi}{l}x} \quad c_n = \frac{1}{2l} \int_{-l}^l e^{-i\frac{n\pi}{l}x} f(x) dx$$

级数具有周期性

$$l \rightarrow \infty \quad \frac{lc_n}{\pi} = \frac{1}{2\pi} \int_{-l}^l e^{-i\frac{n\pi}{l}x} f(x) dx \xrightarrow[\frac{lc_n}{\pi} \rightarrow \frac{F(k)}{\sqrt{2\pi}}]{n \rightarrow k; k = \frac{n\pi}{l}} F(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{-ikx} f(x) dx$$

$$f(x) = \sum_{n=-\infty}^{\infty} c_n e^{i\frac{n\pi}{l}x} \Delta n \xrightarrow[\frac{l}{\pi} c_n \rightarrow \frac{F(k)}{\sqrt{2\pi}}]{k = \frac{n\pi}{l}, \Delta n = \frac{l\Delta k}{\pi}} \sum \frac{F(k)}{\sqrt{2\pi}} e^{ikx} \Delta k \rightarrow \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} F(k) e^{ikx} dk$$

$$f(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} F(k) e^{ikx} dk \quad F(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{-ikx} f(x) dx$$

补充2：狄拉克δ函数

定义函数

$$\delta_{\varepsilon}(x) = \begin{cases} \frac{1}{\varepsilon}, & -\frac{\varepsilon}{2} < x < \frac{\varepsilon}{2} \\ 0, & |x| > \frac{\varepsilon}{2} \end{cases}$$

任一在 $x=0$ 点有定义的函数 $f(x)$ $\lim_{\varepsilon \rightarrow 0} \int_{-\infty}^{+\infty} \delta_{\varepsilon}(x) f(x) dx = f(0) \lim_{\varepsilon \rightarrow 0} \int_{-\varepsilon/2}^{+\varepsilon/2} \delta_{\varepsilon}(x) dx = f(0)$

定义δ函数： $\int_{-\infty}^{+\infty} \delta(x) f(x) dx = f(0)$

性质： (1) $\int_{-\infty}^{+\infty} \delta(x - x_0) f(x) dx = f(x_0)$ (4) $\delta(ax) = \frac{1}{|a|} \delta(x)$

(2) $\int_{-\infty}^{+\infty} \delta(x) dx = 1$

(5) $x\delta(x - x_0) = x_0\delta(x - x_0)$

(3) $\delta(-x) = \delta(x)$

(6) $\int_{-\infty}^{+\infty} \delta(x - y) \delta(y - z) dx = \delta(x - z)$

$$F(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \delta(x-y) e^{-ikx} dx = \frac{e^{-iky}}{\sqrt{2\pi}}$$

$$\delta(x-y) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} F(k) e^{ikx} dk = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{ik(x-y)} dk$$

$$f(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} F(k) e^{ikx} dk \quad F(k) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{-ikx} f(x) dx$$