

CS447: Natural Language Processing

<http://courses.grainger.illinois.edu/cs447>

# Lecture 27: Intro to Large Language Models

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# Today's class

Recap: Using RNNs for various NLP tasks

**From static to contextual embeddings: ELMO**

Recap: Transformers

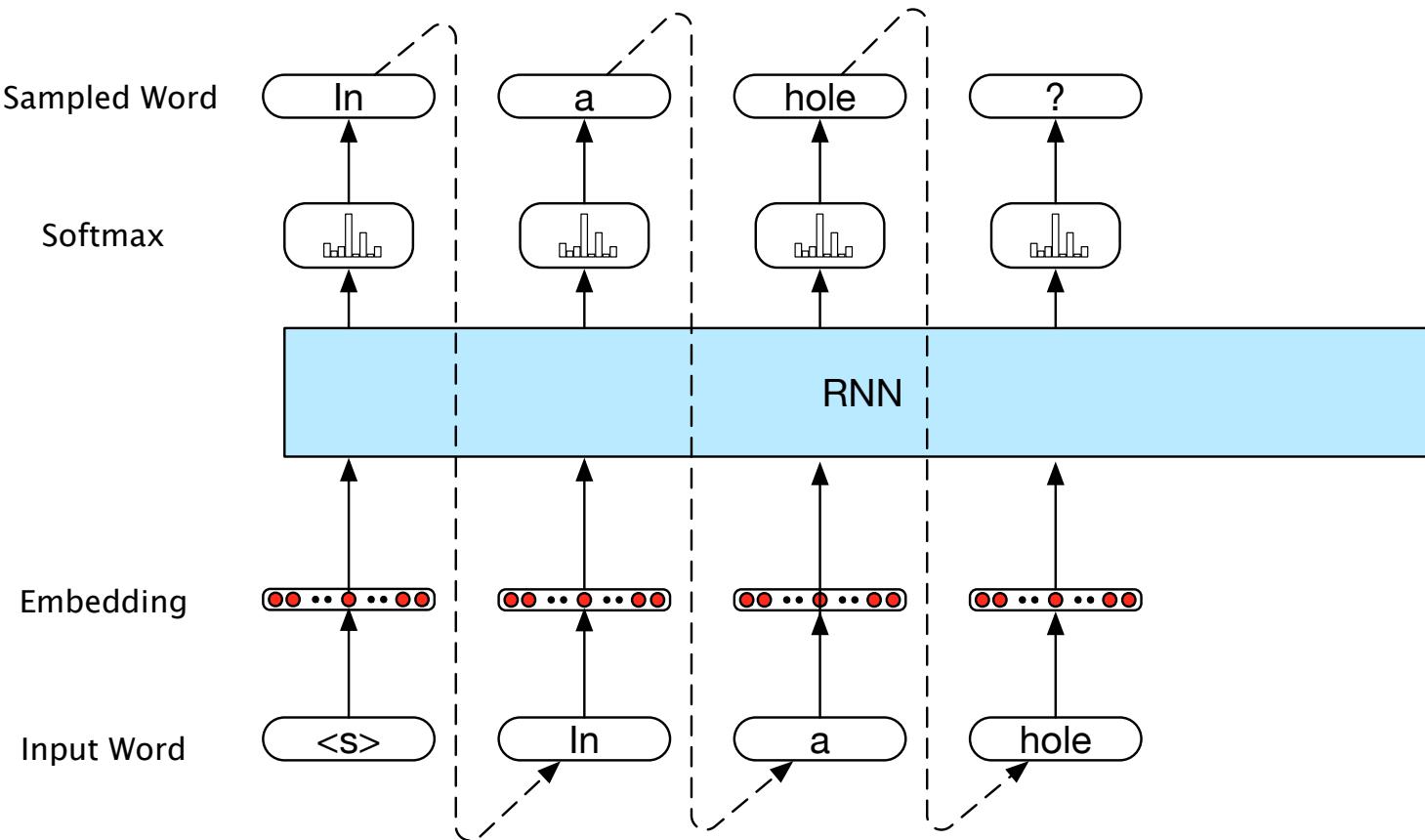
**Subword tokenizations**

**Early Large Language Models (GPT, BERT)**

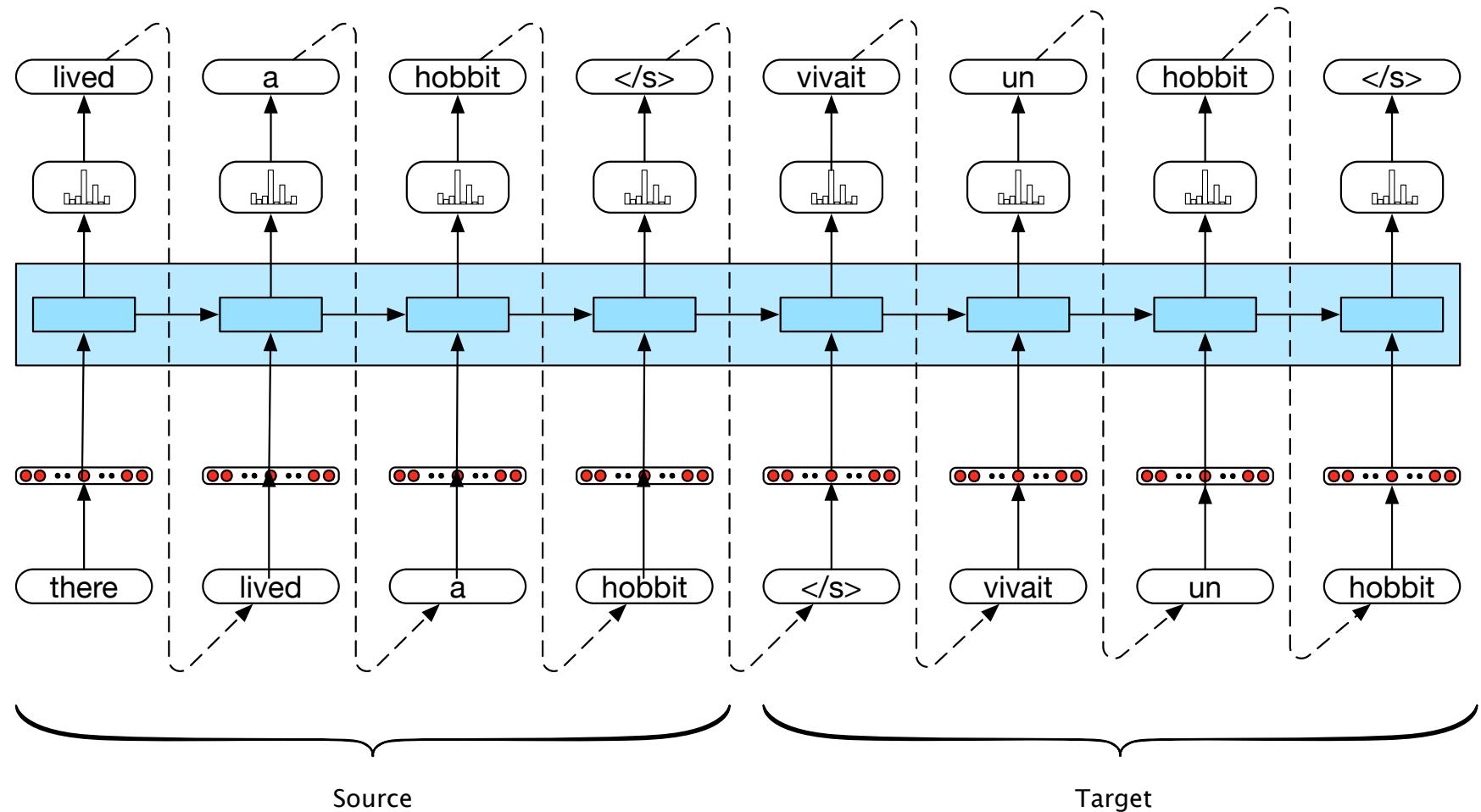
# Recap: Using RNNs for different NLP tasks

# RNNs for language generation

AKA “autoregressive generation”



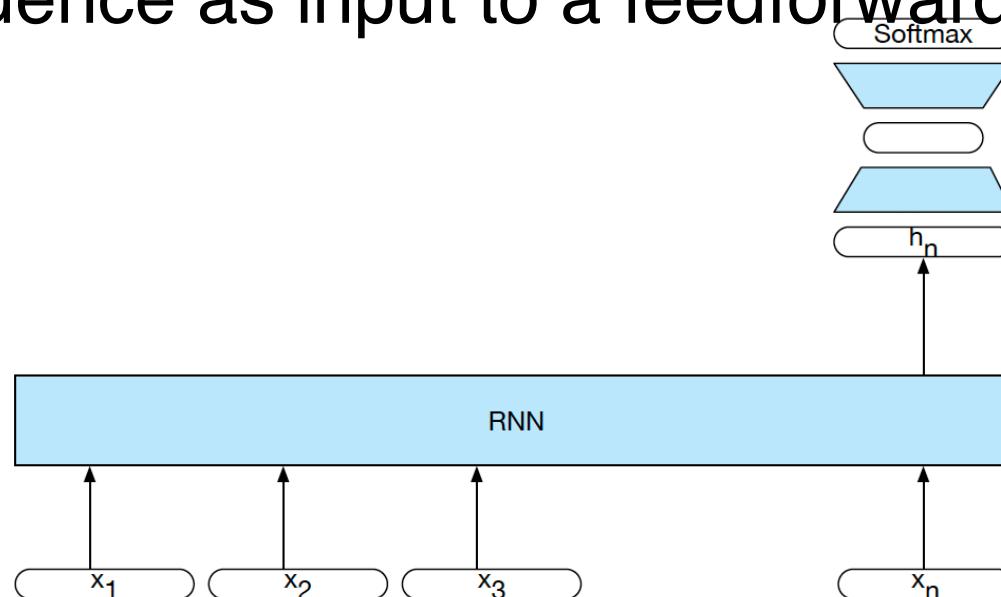
# An RNN for Machine Translation



# RNNs for sequence classification

If we just want to assign **one label** to the entire sequence, we don't need to produce output at each time step, so we can use a simpler architecture.

We can use the hidden state of the last word in the sequence as input to a feedforward net:



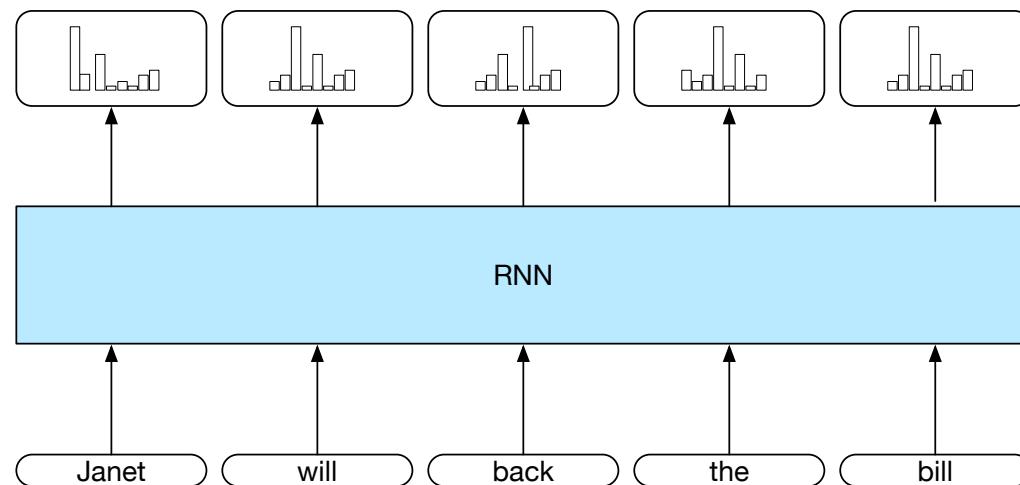
# Basic RNNs for sequence labeling

**Sequence labeling (e.g. POS tagging):**

Assign **one label to each element** in the sequence.

RNN Architecture:

Each time step has a distribution over output classes



Extension: add a CRF layer to capture dependencies among labels of adjacent tokens.



ELMO



# Embeddings from Language Models

Replace static embeddings (lexicon lookup)  
with **context-dependent embeddings**  
(produced by a **neural language model**)

- => Each token's representation is a **function of the entire input sentence**, computed by a deep (multi-layer) bidirectional language model
- => Return for each token a **(task-dependent) linear combination of its representation across layers.**
- => Different layers capture different information

Peters et al., NAACL 2018

# ELMo

## Pre-training:

- Train a **multi-layer bidirectional language model** with character convolutions on **raw text**
- **Each layer** of this language model network computes a **vector** representation **for each token**.
- **Freeze the language model** parameters.

## Fine-tuning (for each task)

*Train task-dependent softmax weights to combine the layer-wise representations into a single vector for each token jointly with a task-specific model that uses those vectors*

# ELMo's input token representations

The input token representations are purely **character-based**: a character CNN, followed by linear projection to reduce dimensionality

“2048 character n-gram convolutional filters with two highway layers, followed by a linear projection to 512 dimensions”

Advantage over using fixed embeddings:  
no UNK tokens, any word can be represented

# ELMo's bidirectional language models

**Forward LM:** a deep LSTM that goes over the sequence from start to end to predict token  $t_k$  based on the prefix  $t_1 \dots t_{k-1}$ :

$$p(t_k | t_1, \dots, t_{k-1}; \Theta_x, \vec{\Theta}_{LSTM}, \Theta_s)$$

Parameters: token embeddings  $\Theta_x$ , LSTM  $\vec{\Theta}_{LSTM}$ , softmax  $\Theta_s$

**Backward LM:** a deep LSTM that goes over the sequence from end to start to predict token  $t_k$  based on the suffix  $t_{k+1} \dots t_N$ :

$$p(t_k | t_{k+1}, \dots, t_N; \Theta_x, \overleftarrow{\Theta}_{LSTM}, \Theta_s)$$

Train these LMs jointly, with the same parameters for the token representations and the softmax layer (but not for the LSTMs)

$$\sum_{k=1}^N \left( \log p(t_k | t_1, \dots, t_{k-1}; \Theta_x, \vec{\Theta}_{LSTM}, \Theta_s) + \log p(t_k | t_{k+1}, \dots, t_N; \Theta_x, \overleftarrow{\Theta}_{LSTM}, \Theta_s) \right)$$

# ELMo's output token representations

Given an **input token representation**  $\mathbf{x}_k$ ,  
**each layer**  $j$  of the LSTM language models computes  
**a vector representation**  $\mathbf{h}_{k,j}$  **for every token**  $k$ .

With  $L$  layers, ELMo represents each token as  $L$  vectors  $\mathbf{h}_{k,l}^{LM}$

$$\begin{aligned} R_k &= \{\mathbf{x}_k^{LM}, \overrightarrow{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM} \mid j = 1, \dots, L\} \\ &= \{\mathbf{h}_{k,j}^{LM} \mid j = 0, \dots, L\}, \end{aligned}$$

where  $\mathbf{h}_{k,j}^{LM} = [\overrightarrow{\mathbf{h}}_{k,j}^{LM}; \overleftarrow{\mathbf{h}}_{k,j}^{LM}]$  and  $\mathbf{h}_{k,0}^{LM} = \mathbf{x}_k$

ELMo learns **softmax weights**  $s_j^{task}$  and a **task-specific scalar**  $\gamma^{task}$  to collapse these  $L$  vectors into a **single task-specific token vector**:

$$\text{ELMo}_k^{task} = E(R_k; \Theta^{task}) = \gamma^{task} \sum_{j=0}^L s_j^{task} \mathbf{h}_{k,j}^{LM}.$$

..

# Results

ELMo gave improvements on a variety of tasks:

- question answering (SQuAD)
- entailment/natural language inference (SNLI)
- semantic role labeling (SRL)
- coreference resolution (Coref)
- named entity recognition (NER)
- sentiment analysis (SST-5)

TASK	PREVIOUS SOTA	OUR BASELINE	ELMO + BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	3.2 / 9.8%
NER	Peters et al. (2017)	91.93 ± 0.19	90.15	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	3.3 / 6.8%

# ELMo:

ELMo showed that **contextual embeddings** are very useful: it outperformed other models on many tasks

ELMo embeddings could also be concatenated with other token-specific features, depending on the task

ELMo requires training a task-specific softmax and scalar to predict how best to combine each layer

Not all layers were equally useful for each task

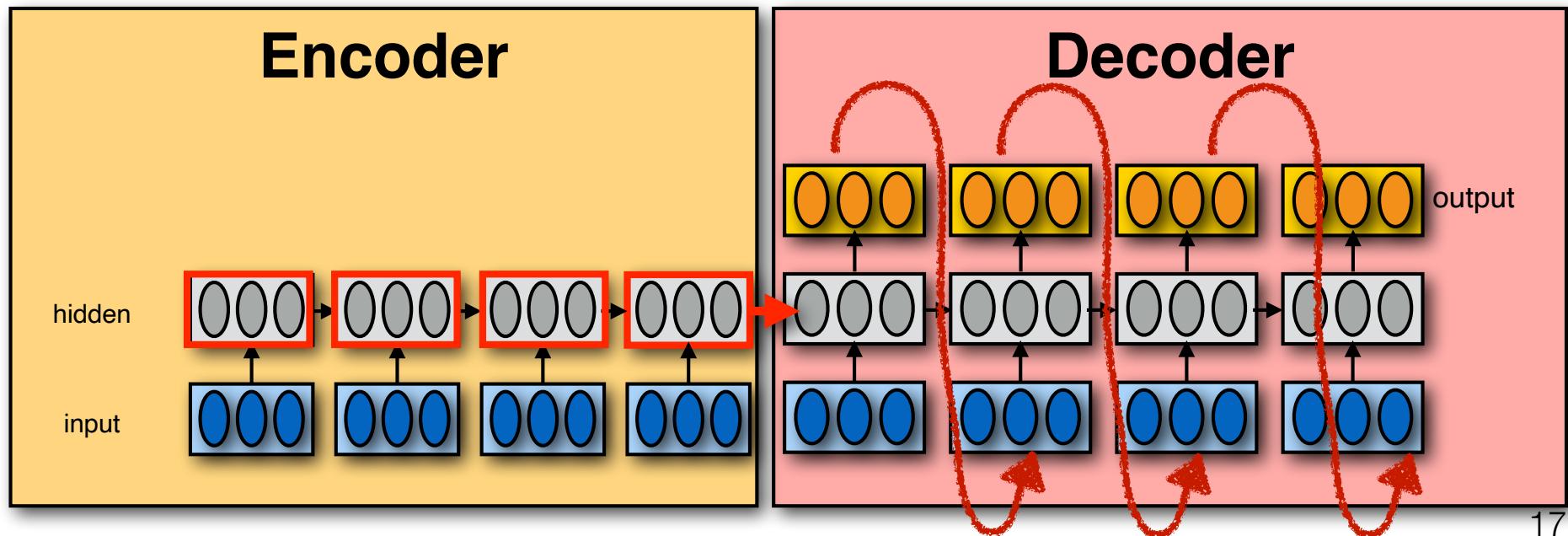


recap: seq2seq  
transformers

# Encoder-Decoder (seq2seq) model

The **decoder** is a language model that generates an output sequence **conditioned on the input** sequence.

- **Vanilla RNN**: condition on the **last** hidden state
- **Attention**: condition on **all** hidden states



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# Transformers use Self-Attention

**Attention so far** (in seq2seq architectures):

In the **decoder** (which has access to the complete input sequence), compute **attention weights over encoder positions** that depend on each **decoder** position

**Self-attention:**

If the **encoder** has access to the complete input sequence, we can also compute **attention weights over encoder positions** that depend on each **encoder** position

*self-attention:*

For each ~~decoder~~ <sup>encoder</sup> position  $t$ ...,

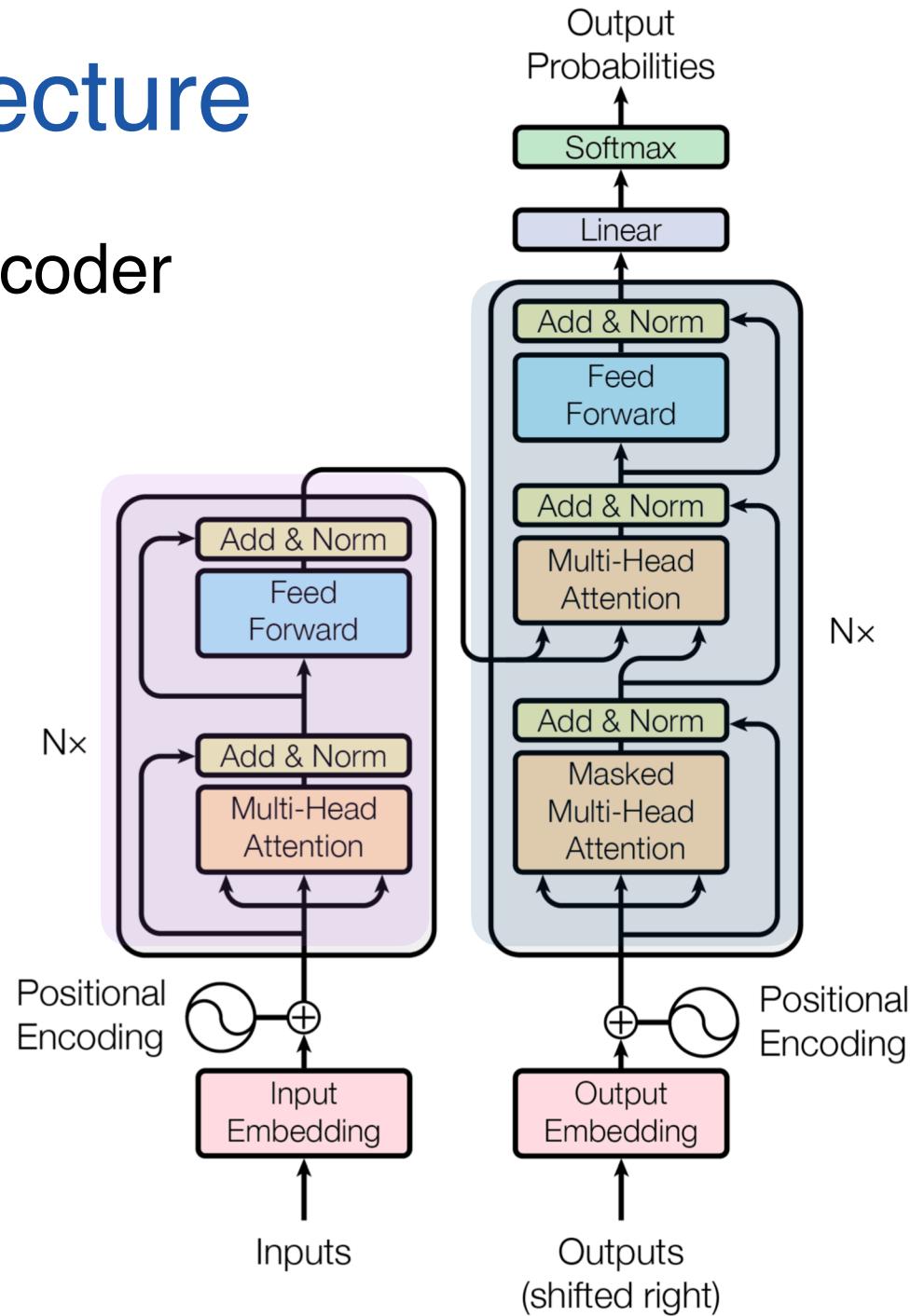
...Compute an attention weight for each **encoder** position  $s$

...Renormalize these weights (that depend on  $t$ ) w/ softmax to get a new weighted avg. of the input sequence vectors

# Transformer Architecture

## Non-Recurrent Encoder-Decoder architecture

- No hidden states
- Context information captured via attention and positional encodings
- Consists of stacks of layers with various sublayers



Vaswani et al, NIPS 2017

# Encoder

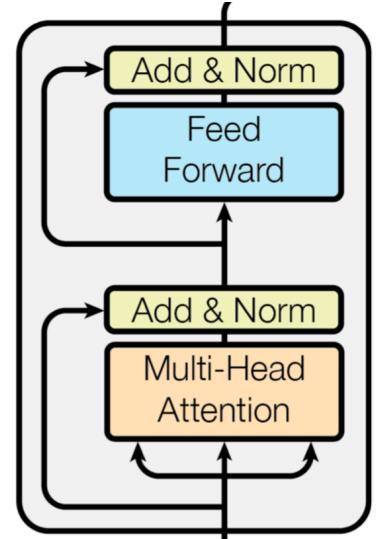
Vaswani et al, NIPS 2017

A stack of **N=6 identical layers**

All layers and sublayers are 512-dimensional

**Each layer** consists of **two sublayers**

- one **multi-head self attention** layer
- one **position-wise feed forward** layer



Each sublayer is followed by an “**Add & Norm**” layer:

... a **residual connection**  $x + \text{Sublayer}(x)$

(the input  $x$  is added to the output of the sublayer)

... followed by a **normalization step**

(using the mean and standard deviation of its activations)

$$\text{LayerNorm}(x + \text{Sublayer}(x))$$

# Decoder

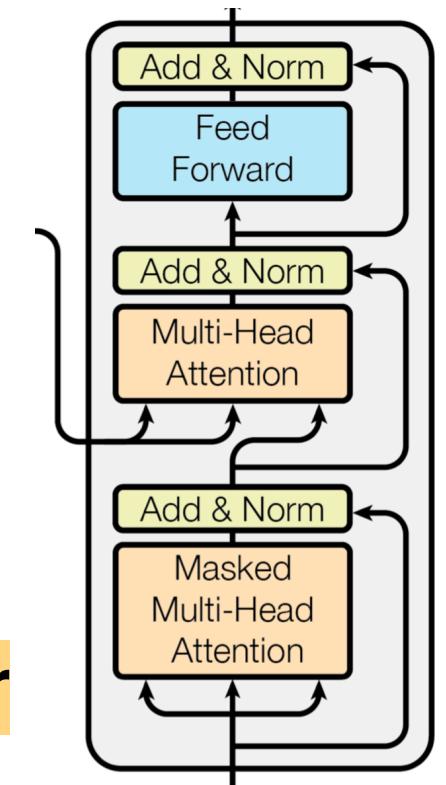
Vaswani et al, NIPS 2017

A stack of  $N=6$  identical layers

All layers and sublayers are 512-dimensional

Each layer consists of **three** sublayers

- one **masked multi-head self attention layer**  
over **decoder** output  
(masked, i.e. ignoring future tokens)
- one **multi-headed attention layer**  
over **encoder** output
- one **position-wise feed forward layer**



Each sublayer has a residual connection  
and is normalized:  $\text{LayerNorm}(\mathbf{x} + \text{Sublayer}(\mathbf{x}))$

subword  
tokenization

# BPE Tokenization

(Sennrich et al, ACL 2016)

**BytePair Encoding** (Gage 1994): a compression algorithm that iteratively replaces the most common pair of adjacent bytes with a single, unused byte

**BPE tokenization:** introduce new tokens by merging the most common adjacent pairs of tokens

Start with all characters, plus a special end-of-word character

Introduce new token by merging the most common pair of adjacent tokens.

(Assumption: each individual token will still occur in a different context, so we will also keep both tokens in the vocabulary)

**Machine translation:** train one tokenizer across both languages (better generalization for related languages)

# Wordpiece tokenization

(Wu et al, 2016)

Part of Google's LSTM-based Neural Machine Translation system (<https://arxiv.org/pdf/1609.08144.pdf>)

Segment words into **subtokens** (with special word boundary symbols to recover original tokenization)

**Input:** Jet makers feud over seat width with big orders at stake

**Output:** \_J et \_makers \_fe ud \_over \_seat \_width \_with \_big \_orders \_at \_stake

## Training of Wordpiece:

Specify desired number of tokens, D

Add word boundary token (at beginning of words)

Optimization task: greedily merge adjacent characters to improve log-likelihood of data until the vocabulary has size D.

# Subword Regularization

(Kudo, ACL 2018)

**Observation:** Subword tokenization can be ambiguous

Can this be harnessed?

**Approach:** Train a (translation) model with (multiple) subword segmentations that are sampled from a character-based **unigram language model**

**Training the unigram model:**

Start with an overly large seed vocabulary  $V$  (all possible single-character tokens and many multi-character tokens)

Randomly sample a segmentation from the unigram model

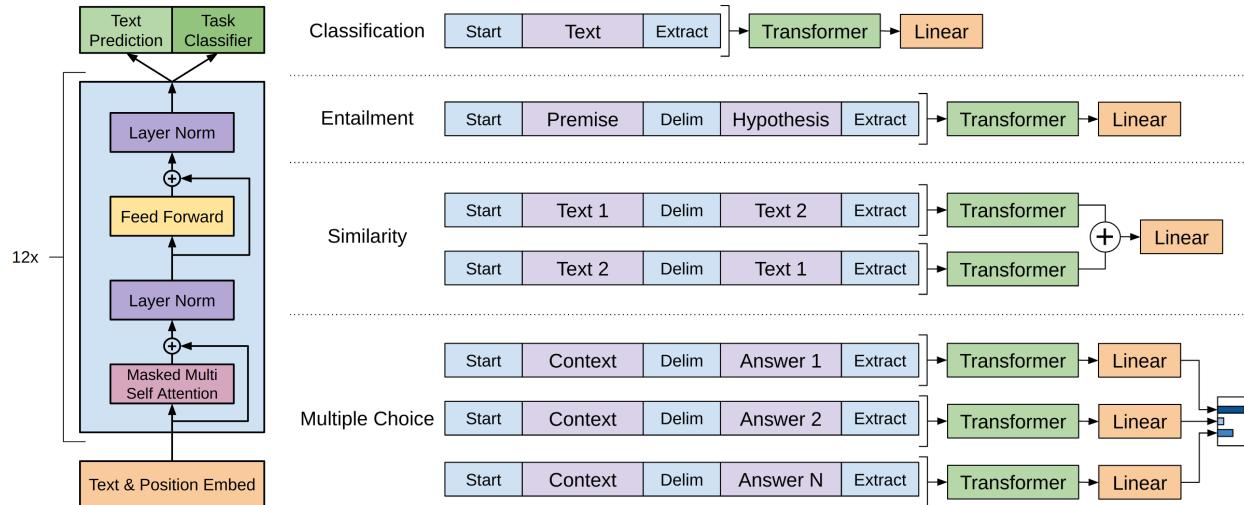
Decide which multi-character words to remove from  $V$  based on how the likelihood decreases by removing them

Stop when the vocabulary is small enough.

The logo consists of the lowercase letters "cs47" written in a blue, hand-drawn, sketchy font. The letters are slightly slanted and have a textured, scribbled appearance.

# Generative Pre-Training

(Radford et al, 2018)



## Auto-regressive 12-layer transformer decoder

Each token only conditioned on preceding context

BPE tokenization (VVI = 40K), 768 hidden size, 12 attention heads

**Pre-trained** on raw text as a language model

(Maximize the probability of predicting the next word)

**Fine-tuned** on labeled data (and language modeling)

Include new **start**, **delimiter** and **end** tokens,  
plus **linear** layer added to last layer of **end token** output.



beet



## Fully bidirectional transformer encoder

BERT<sub>base</sub>: 12 layers, hidden size=768, 12 att'n heads (110M parameters)

BERT<sub>large</sub>: 24 layers, hidden size=1024, 16 attention heads (340M parameters)

**Input:** sum of token, positional, segment embeddings

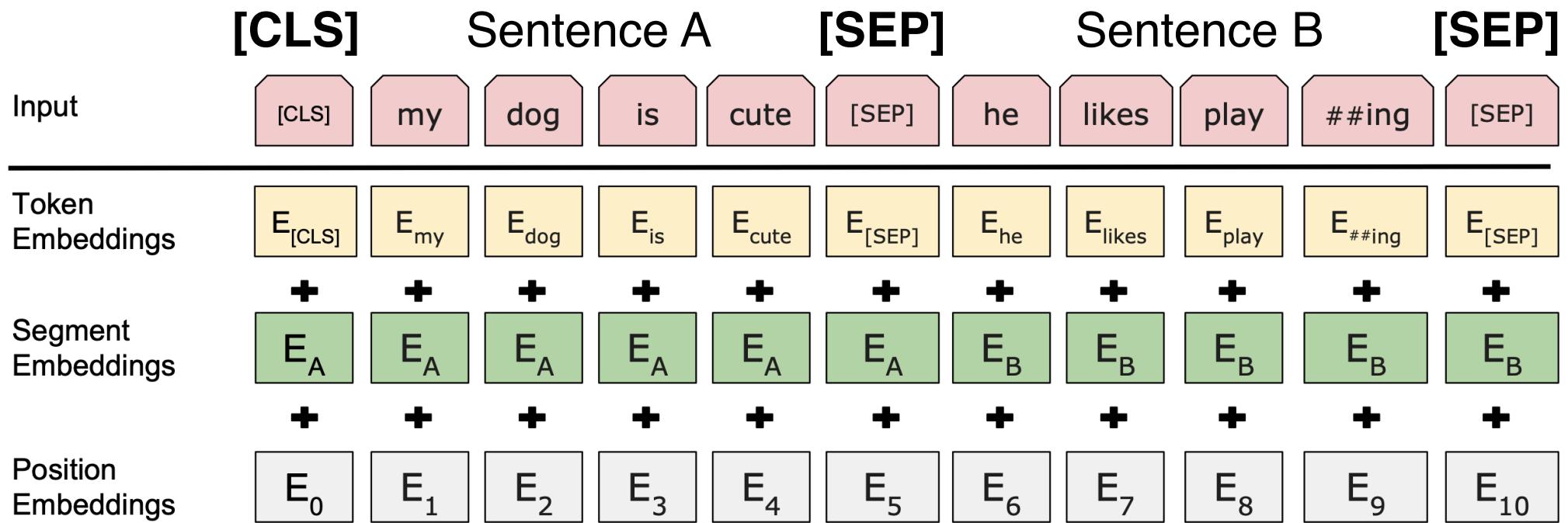
**Segment embeddings** (A and B): is this token part of sentence A (before SEP) or sentence B (after SEP)?

**[CLS]** and **[SEP]** tokens: added during pre-training

### Pre-training tasks:

- Masked language modeling
- Next sentence prediction

# BERT Input



# Pre-training tasks

BERT is jointly pre-trained on two tasks:

**Next-sentence prediction:** [based on CLS token]

Does sentence B follow sentence A in a real document?

**Masked language modeling:**

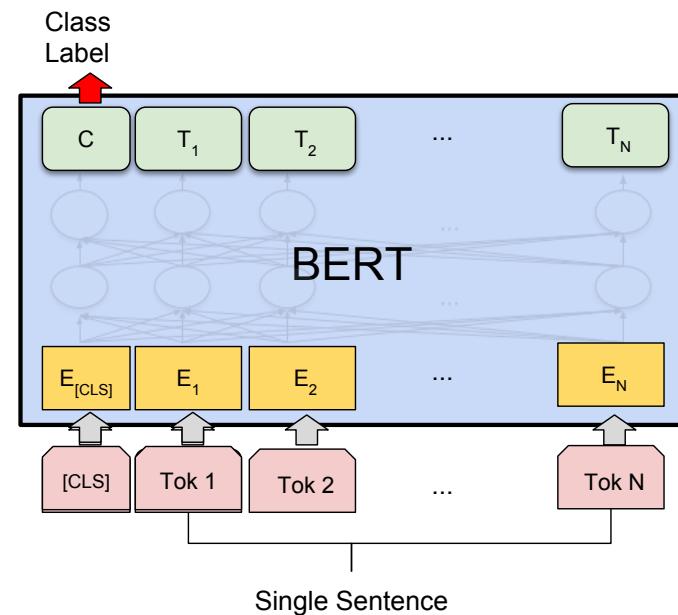
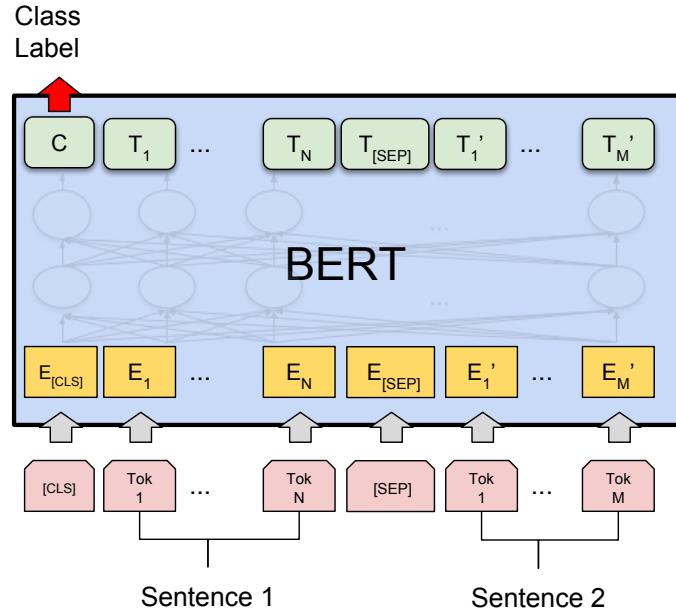
15% of tokens are randomly chosen as **masking tokens**

10% of the time, a masking token remains unchanged

10% of the time, a masking token is replaced by a random token

**80% of the time**, a masking token is replaced by **[MASK]**,  
and the **output layer has to predict the original token**

# Using BERT for classification

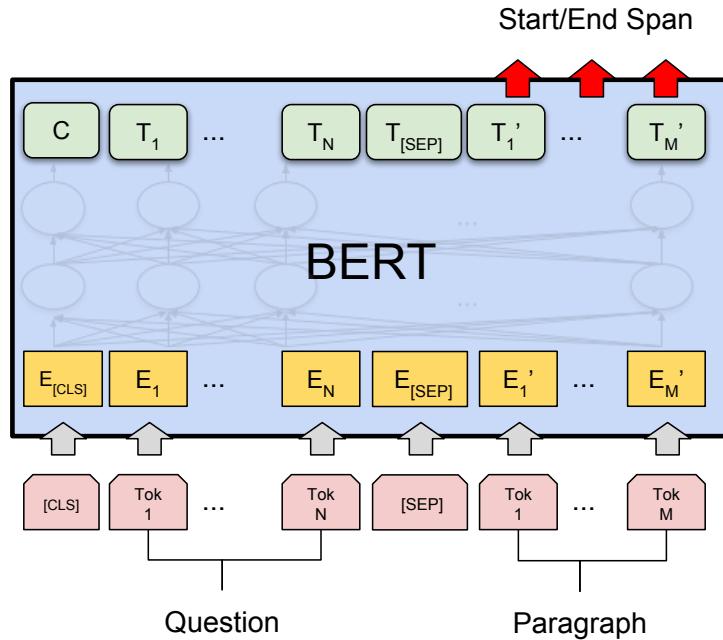


Sentence Pair  
Classification

Single Sentence  
Classification

Add a **softmax classifier** on final layer of **[CLS]** token

# Using BERT for Question-Answering



**Input:** [CLS] question [SEP] answer passage [SEP]

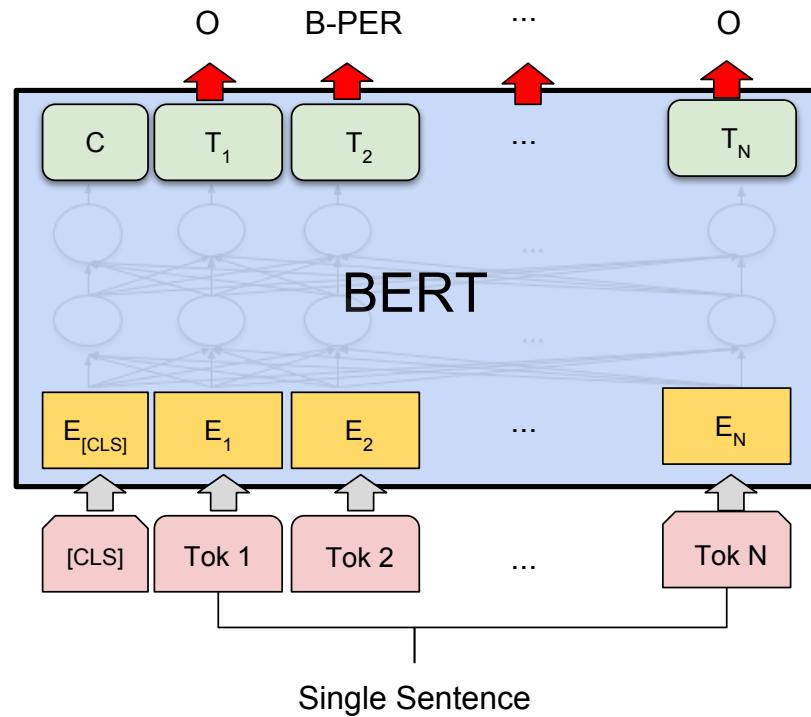
Learn to predict a **START** and an **END token** on answer tokens

Represent START and END as H-dimensional vectors  $S, E$

Find the most likely start and end tokens in the answer by computing a softmax over the dot product of all token embeddings  $T_i$  and  $S$  (or  $E$ )

$$P(T_i \text{ is start}) = \frac{\exp(T_i \cdot S)}{\sum_j \exp(T_j \cdot S)}$$

# Using BERT for Sequence Labeling



Add a **softmax classifier** to the tokens in the sequence

# Fine-tuning BERT

To use BERT on any task, it needs to be fine-tuned:

- Add any new parts to the model  
(e.g. classifier layers)  
This will add **new parameters** (initialized randomly)
- Retrain the entire model (update all parameters)

# More compact BERT models

(Turc et al., 2019)

Pre-training and fine-tuning works well on much smaller BERT variants

<https://arxiv.org/abs/1908.08962>

Additional improvements through knowledge distillation:

- **Pre-train** a compact model ('student') in the standard way
- Train/Fine-tune a large model ('teacher') on the target task
- **Knowledge distillation** step:
  - Train the student on noisy task predictions made by teacher
- Fine-tune student on actual task data

Students can have more layers (but smaller embeddings) than models trained in the standard way



BERT  
Variants

# RoBERTA (Liu et al. 2019)

Investigates **better pre-training** for BERT

Found that BERT was undertrained.

Optimizes hyperparameter choice.

Evaluates next-sentence prediction task

RoBERTA outperforms BERT on several tasks.

## Pre-training improvements:

**Dynamic masking:** randomly change which tokens in a sentence get masked (BERT: same tokens in each epoch)

**Much larger batch sizes** (2K sentences instead of 256)

**Use byte-level BPE, not character level BPE**

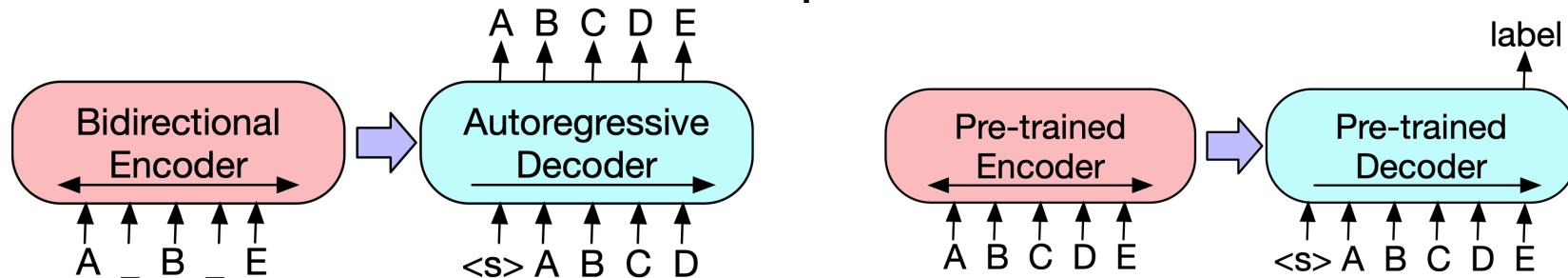
# BART

(Lewis et al., ACL 2020)

Combines **bidirectional encoder** (like BERT) with  
**auto-regressive** (unidirectional) **decoder** (like GPT)

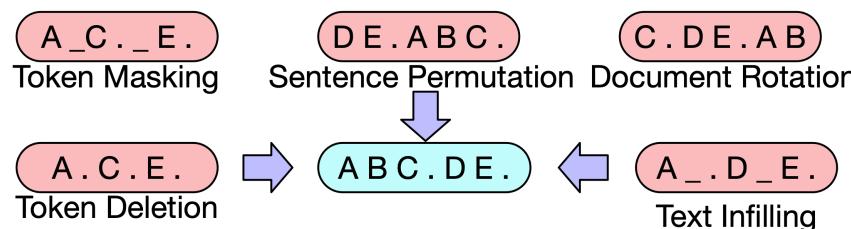
Used for **classification, generation, translation**

Uses final token of decoder sequence for classification tasks.



Pre-training: corrupts (encoder) input with **masking, deletion, rotation, permutation, infilling**.

Decoder needs to recover original input



# SentenceBERT

(Reimers & Gurevych, EMNLP 2019)

For tasks that require scoring of **sentence pairs**

(e.g. semantic textual similarity, or entailment recognition)

Motivation: BERT treats sequence pairs as one (long) sequence, but cross-attention across  $O(2n)$  words is very slow.

## SentenceBERT Solution: Siamese network

Run BERT over each sentence independently

Compute **one vector ( $u$  and  $v$ )**

**for each sentence** by (mean or max)

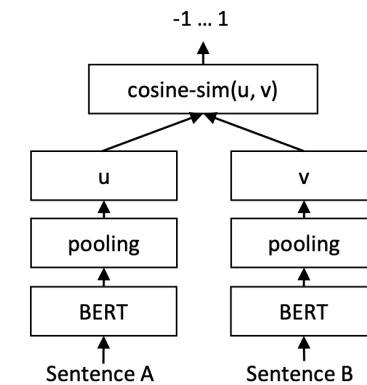
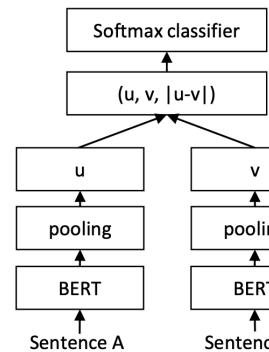
pooling over word embeddings or by using CLS token

### Classification tasks:

concatenate  $u$ ,  $v$ , and  $u-v$ ,  
use as input to softmax

### Similarity tasks:

use the cosine similarity  
of  $u$  and  $v$  as similarity score



**Training:** start with BERT, fine-tune Siamese model on task-specific data