

## Properties of Context-free Languages

Reading: Chapter 7



- Simplifying CFGs, Normal forms
- 2) Pumping lemma for CFLs
- Closure and decision properties of CFLs

## How to "simplify" CFGs?



### Three ways to simplify/clean a CFG

#### (clean)

1. Eliminate useless symbols

#### (simplify)

2. Eliminate ε-productions

$$A \Rightarrow \varepsilon$$

3. Eliminate *unit productions* 



## Eliminating useless symbols

Grammar cleanup



## Eliminating useless symbols

A symbol X is <u>reachable</u> if there exists:

A symbol X is *generating* if there exists:

- X →\* w,
  - for some  $w \in T^*$

For a symbol X to be "useful", it has to be both reachable and generating

■ S  $\rightarrow^*$   $\alpha$  X  $\beta$   $\rightarrow^*$  w', for some w'  $\in$  T\*

reachable generating



## Algorithm to detect useless symbols

First, eliminate all symbols that are not generating

 Next, eliminate all symbols that are not reachable

Is the order of these steps important, or can we switch?



## Example: Useless symbols

- S→AB | a
- A→ b
- 1. A, S are generating
- 2. B is not generating (and therefore B is useless)
- 3. ==> Eliminating B... (i.e., remove all productions that involve B)
  - 1. S→ a
  - $A \rightarrow b$
- 4. Now, A is *not reachable* and therefore is useless
- Simplified G:
  - 1. S → a

What would happen if you reverse the order: i.e., test reachability before generating?

Will fail to remove:

A → b





### Algorithm to find all generating symbols

- Given: G=(V,T,P,S)
- Basis:
  - Every symbol in T is obviously generating.
- Induction:
  - Suppose for a production A→ α, where α is generating
  - Then, A is also generating





### Algorithm to find all reachable symbols

- Given: G=(V,T,P,S)
- Basis:
  - S is obviously reachable (from itself)
- Induction:
  - Suppose for a production  $A \rightarrow \alpha_1 \alpha_2 ... \alpha_k$ , where A is reachable
  - Then, all symbols on the right hand side,  $\{\alpha_1, \alpha_2, \dots \alpha_k\}$  are also reachable.

## Eliminating ε-productions







## Eliminating ε-productions

Caveat: It is *not* possible to eliminate  $\epsilon$ -productions for languages which include  $\epsilon$  in their word set

So we will target the grammar for the <u>rest</u> of the language

Theorem: If G=(V,T,P,S) is a CFG for a language L,

then L\ {ε} has a CFG without ε-productions

#### <u>Definition:</u> A is "nullable" if $A \rightarrow * \varepsilon$

- If A is nullable, then any production of the form "B→ CAD" can be simulated by:
  - B → CD | CAD
    - This can allow us to remove ε transitions for A



## Algorithm to detect all nullable variables

#### Basis:

If A→ ε is a production in G, then A is nullable (note: A can still have other productions)

#### Induction:

If there is a production B→ C₁C₂...C<sub>k</sub>, where every C<sub>i</sub> is nullable, then B is also nullable

## •

## Eliminating ε-productions

Given: G=(V,T,P,S)

#### **Algorithm:**

- Detect all nullable variables in G
- Then construct  $G_1=(V,T,P_1,S)$  as follows:
  - For each production of the form:  $A \rightarrow X_1 X_2 ... X_k$ , where  $k \ge 1$ , suppose m out of the  $k \ge 1$ , are nullable symbols
  - Then G<sub>1</sub> will have 2<sup>m</sup> versions for this production
    - i.e, all combinations where each X<sub>i</sub> is either present or absent
  - Alternatively, if a production is of the form:  $A \rightarrow \epsilon$ , then remove it

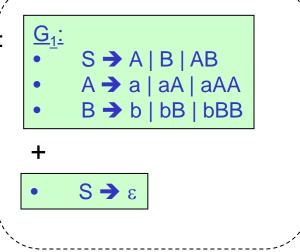
## Example: Eliminating εproductions

- Let L be the language represented by the following CFG G:
  - S→AB
  - $A \rightarrow aAA \mid \varepsilon$
  - B→bBB | ε iii.

Goal: To construct G1, which is the grammar for L- $\{\varepsilon\}$ 

Simplified grammar

- Nullable symbols: {A, B}
- G₁ can be constructed from G as follows:
  - B → b | bB | bB | bBB
    - - ==> B → b | bB | bBB
- Similarly,  $A \rightarrow a \mid aA \mid aAA$
- Similarly,  $S \rightarrow A \mid B \mid AB$
- Note:  $L(G) = L(G_1) \cup \{\epsilon\}$





## Eliminating unit productions

What's the point of removing unit transitions?

Will save #substitutions

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## Eliminating unit productions

- Unit production is one which is of the form A→ B, where both A & B are variables
- E.g.,

```
1. E → T | E+T

2. T → F | T*F

3. F → I | (E)

4. I → a | b | Ia | Ib | I0 | I1
```

- How to eliminate unit productions?
  - Replace E → T with E → F | T\*F
  - Then, upon recursive application wherever there is a unit production:

```
    E→ F | T*F | E+T (substituting for T)
    E→ I | (E) | T*F | E+T (substituting for F)
    E→ a | b | Ia | Ib | I0 | I1 | (E) | T*F | E+T (substituting for I)
```

- Now, E has no unit productions
- Similarly, eliminate for the remainder of the unit productions

# The <u>Unit Pair Algorithm</u>: to remove unit productions

- Suppose  $A \rightarrow B_1 \rightarrow B_2 \rightarrow ... \rightarrow B_n \rightarrow \alpha$
- Action: Replace all intermediate productions to produce  $\alpha$  directly
  - i.e.,  $A \rightarrow \alpha$ ;  $B_1 \rightarrow \alpha$ ; ...  $B_n \rightarrow \alpha$ ;

<u>Definition:</u> (A,B) to be a "unit pair" if A→\*B

- We can find all unit pairs inductively:
  - Basis: Every pair (A,A) is a unit pair (by definition). Similarly, if A→B is a production, then (A,B) is a unit pair.
  - Induction: If (A,B) and (B,C) are unit pairs, and A→C is also a unit pair.



## The Unit Pair Algorithm: to remove unit productions

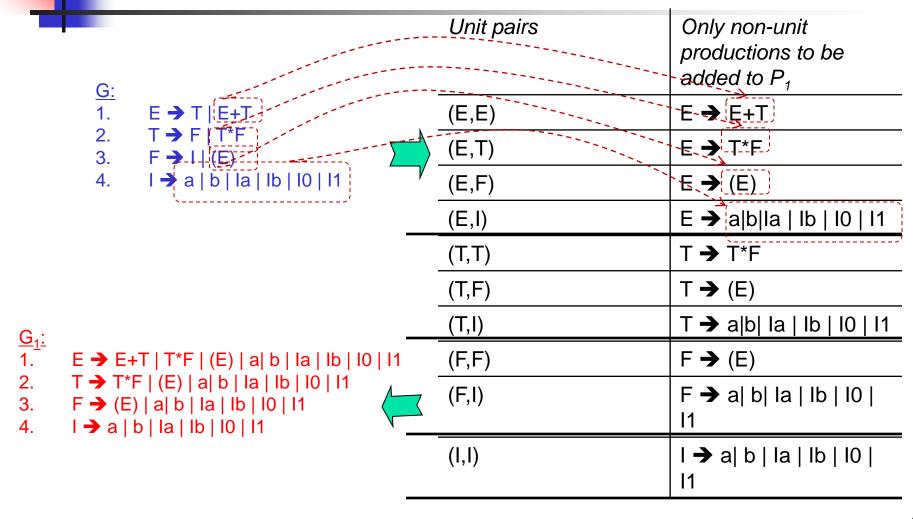
Input: G=(V,T,P,S)

Goal: to build  $G_1=(V,T,P_1,S)$  devoid of unit productions

#### Algorithm:

- 1. Find all unit pairs in G
- 2. For each unit pair (A,B) in G:
  - Add to  $P_1$  a new production  $A \rightarrow \alpha$ , for every  $B \rightarrow \alpha$  which is a *non-unit* production
  - If a resulting production is already there in P, then there is no need to add it.

## Example: eliminating unit productions





- Theorem: If G is a CFG for a language that contains at least one string other than ε, then there is another CFG G<sub>1</sub>, such that L(G<sub>1</sub>)=L(G) ε, and G<sub>1</sub> has:
  - no  $\varepsilon$  -productions
  - no unit productions
  - no useless symbols

#### Algorithm:

- Step 1) eliminate  $\varepsilon$  -productions
- Step 2) eliminate unit productions
- Step 3) eliminate useless symbols

Again, the order is important!

Why?



## **Normal Forms**



## Why normal forms?

- If all productions of the grammar could be expressed in the same form(s), then:
  - It becomes easy to design algorithms that use the grammar
  - It becomes easy to show proofs and properties

## Chomsky Normal Form (CNF)

Let G be a CFG for some L- $\{\epsilon\}$ 

#### **Definition:**

G is said to be in **Chomsky Normal Form** if all its productions are in one of the following two forms:

```
i. A \rightarrow BC where A,B,C are variables, or where a is a terminal
```

- G has no useless symbols
- G has no unit productions
- G has no  $\varepsilon$ -productions

### **CNF** checklist

Is this grammar in CNF?

```
G_1:
1. E → E+T | T*F | (E) | Ia | Ib | I0 | I1
2. T → T*F | (E) | Ia | Ib | I0 | I1
3. F → (E) | Ia | Ib | I0 | I1
4. I → a | b | Ia | Ib | I0 | I1
```

#### **Checklist:**

- G has no ε-productions
- G has no unit productions
- G has no useless symbols
- But...
  - the normal form for productions is violated
- So, the grammar is not in CNF



### How to convert a G into CNF?

- Assumption: G has no ε-productions, unit productions or useless symbols
- For every terminal *a* that appears in the body of a production:
  - create a unique variable, say  $X_a$ , with a production  $X_a \rightarrow a$ , and
  - replace all other instances of a in G by  $X_a$
- Now, all productions will be in one of the following two forms:
  - $A \rightarrow B_1B_2...B_k (k \ge 3)$  or  $A \rightarrow a$
- Replace each production of the form  $A \rightarrow B_1B_2B_3...B_k$  by:

$$B_1 \xrightarrow{C_2} C_2$$
 and so on...

$$\blacksquare \qquad \mathsf{A} \!\!\!\! \boldsymbol{\rightarrow} \mathsf{B}_1 \mathsf{C}_1 \qquad \mathsf{C}_1 \!\!\!\! \boldsymbol{\rightarrow} \mathsf{B}_2 \mathsf{C}_2 \ \ldots \ \mathsf{C}_{\mathsf{k-3}} \!\!\!\! \boldsymbol{\rightarrow} \mathsf{B}_{\mathsf{k-2}} \mathsf{C}_{\mathsf{k-2}} \qquad \mathsf{C}_{\mathsf{k-2}} \!\!\!\! \boldsymbol{\rightarrow} \mathsf{B}_{\mathsf{k-1}} \mathsf{B}_{\mathsf{k}}$$



## Example #1

#### <u>G:</u>

S => AS | BABC

A => A1 | 0A1 | 01

 $B => 0B \mid 0$ 

C => 1C | 1



#### G in CNF:

$$X_0 \Rightarrow 0$$
  
 $X_1 \Rightarrow 1$   
 $S \Rightarrow AS \mid BY_1$   
 $Y_1 \Rightarrow AY_2$   
 $Y_2 \Rightarrow BC$   
 $A \Rightarrow AX_1 \mid X_0Y_3 \mid X_0X_1$   
 $Y_3 \Rightarrow AX_1$   
 $B \Rightarrow X_0B \mid 0$   
 $C \Rightarrow X_1C \mid 1$ 

All productions are of the form: A=>BC or A=>a

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## Example #2

```
G:
1. E → E+T | T*F | (E) | Ia | Ib | I0 | I1
2. T → T*F | (E) | Ia | Ib | I0 | I1
3. F → (E) | Ia | Ib | I0 | I1
4. I → a | b | Ia | Ib | I0 | I1
```





```
1. E \rightarrow EX_{+}T \mid TX_{+}F \mid X_{(}EX_{)} \mid IX_{a} \mid IX_{b} \mid IX_{0} \mid IX_{1}

2. T \rightarrow TX_{+}F \mid X_{(}EX_{)} \mid IX_{a} \mid IX_{b} \mid IX_{0} \mid IX_{1}

3. F \rightarrow X_{(}EX_{)} \mid IX_{a} \mid IX_{b} \mid IX_{0} \mid IX_{1}

4. I \rightarrow X_{a} \mid X_{b} \mid IX_{a} \mid IX_{b} \mid IX_{0} \mid IX_{1}

5. X_{+} \rightarrow +

6. X_{+} \rightarrow +

7. X_{+} \rightarrow +

8. X_{(} \rightarrow (
```

```
1. E \rightarrow EC_1 | TC_2 | X_1C_3 | IX_a | IX_b | IX_0 | IX_1

2. C_1 \rightarrow X_+T

3. C_2 \rightarrow X_*F

4. C_3 \rightarrow EX_1

5. T \rightarrow \dots

6. ....
```



## Languages with ε

- For languages that include ε,
  - Write down the rest of grammar in CNF
  - Then add production "S => ε" at the end

#### E.g., consider:

#### G: S => AS | BABC A => A1 | 0A1 | 01 | ε B => 0B | 0 | ε C => 1C | 1 | ε

#### G in CNF:

$$X_0 \Rightarrow 0$$
  
 $X_1 \Rightarrow 1$   
 $S \Rightarrow AS \mid BY_1 \mid \mathcal{E}$   
 $Y_1 \Rightarrow AY_2$   
 $Y_2 \Rightarrow BC$   
 $A \Rightarrow AX_1 \mid X_0Y_3 \mid X_0X_1$   
 $Y_3 \Rightarrow AX_1$   
 $B \Rightarrow X_0B \mid 0$   
 $C \Rightarrow X_1C \mid 1$ 



### Other Normal Forms

- Griebach Normal Form (GNF)
  - All productions of the form

A==>a  $\alpha$ 



### Return of the Pumping Lemma!!

#### Think of languages that cannot be CFL

== think of languages for which a stack will not be enough

e.g., the language of strings of the form ww



## Why pumping lemma?

- A result that will be useful in proving languages that are not CFLs
  - (just like we did for regular languages)

- But before we prove the pumping lemma for CFLs ....
  - Let us first prove an important property about parse trees

Observe that any parse tree generated by a CNF will be a binary tree, where all internal nodes have exactly two children (except those nodes connected to the leaves).

## The "parse tree theorem"

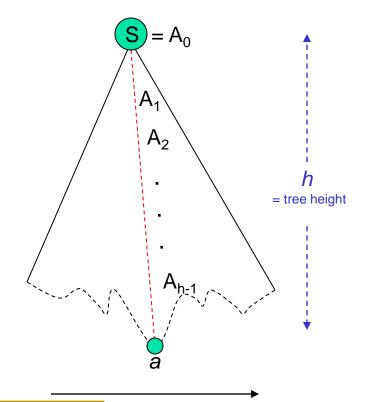
#### Given:

- Suppose we have a parse tree for a string w, according to a CNF grammar, G=(V,T,P,S)
- Let h be the height of the parse tree

#### **Implies:**

■  $|w| \le 2^{h-1}$ 

#### Parse tree for w



W

#### To show: $|w| \le 2^{h-1}$



## Proof...The size of parse trees

#### Proof: (using induction on h)

Basis: h = 1

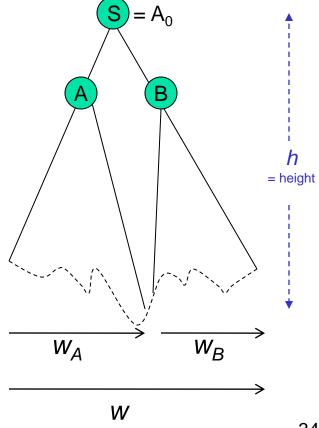
- → Derivation will have to be "S→a"
- $\rightarrow$   $|w| = 1 = 2^{1-1}$ .

Ind. Hyp: 
$$h = k-1$$
 $|w| \le 2^{k-2}$ 

Ind. Step: h = k
S will have exactly two children:
S→AB

- → Heights of A & B subtrees are at most h-1
- →  $w = w_A w_B$ , where  $|w_A| \le 2^{k-2}$  and  $|w_B| \le 2^{k-2}$
- $\rightarrow$   $|w| \leq 2^{k-1}$

#### Parse tree for w





# Implication of the Parse Tree Theorem (assuming CNF)

#### Fact:

- If the height of a parse tree is h, then
  - $|w| = |w| \le 2^{h-1}$

### **Implication:**

- If |w| ≥ 2<sup>h</sup>, then
  - Its parse tree's height is at least h+1

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### The Pumping Lemma for CFLs

Let L be a CFL.

Then there exists a constant N, s.t.,

- if  $z \in L$  s.t.  $|z| \ge N$ , then we can write z = uvwxy, such that:
  - 1.  $|\mathbf{v}\mathbf{w}\mathbf{x}| \leq N$
  - 2. **∀**X≠ε
  - 3. For all  $k \ge 0$ :  $uv^k wx^k y \in L$

Note: we are pumping in two places (v & x)



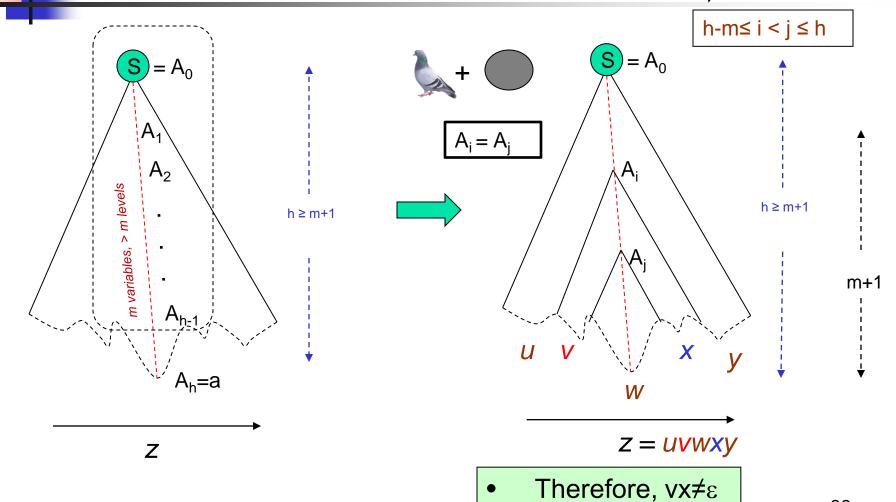
### Proof: Pumping Lemma for CFL

- If L=Φ or contains only ε, then the lemma is trivially satisfied (as it cannot be violated)
- For any other L which is a CFL:
  - Let G be a CNF grammar for L
  - Let m = number of variables in G
  - Choose N=2<sup>m</sup>.
  - Pick any z ∈ L s.t. |z|≥ N
    - the parse tree for z should have a height ≥ m+1
       (by the parse tree theorem)

#### Meaning:

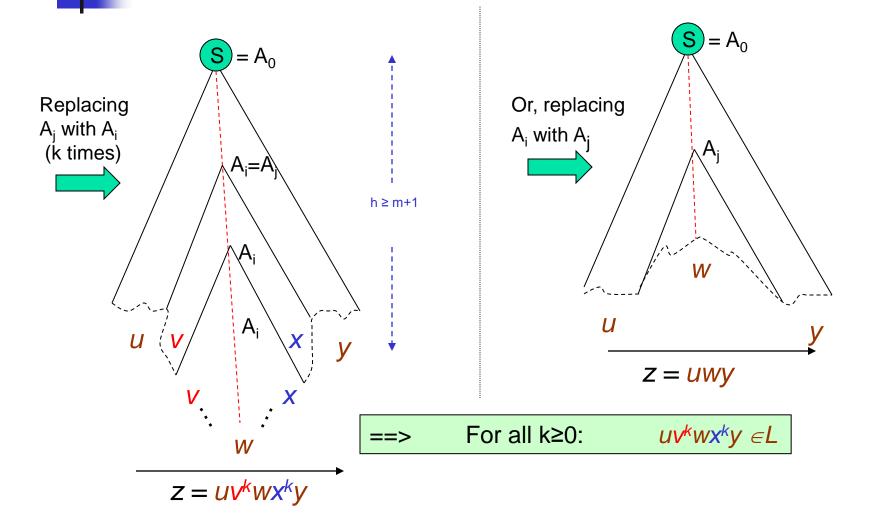
Repetition in the last m+1 variables

### Parse tree for z



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## Extending the parse tree...





### Proof contd...

Also, since A<sub>i</sub>'s subtree no taller than m+1

==> the string generated under A<sub>i</sub>'s subtree, which is vwx, cannot be longer than 2<sup>m</sup> (=N)

But, 
$$2^m = N$$

This completes the proof for the pumping lemma.



## Application of Pumping Lemma for CFLs

Example 1:  $L = \{a^mb^mc^m \mid m>0\}$ 

Claim: L is not a CFL

#### Proof:

- Let N <== P/L constant</p>
- Pick  $z = a^N b^N c^N$
- Apply pumping lemma to z and show that there exists at least one other string constructed from z (obtained by pumping up or down) that is ∉ L



### Proof contd...

- z = uvwxy
- As  $z = a^N b^N c^N$  and  $|vwx| \le N$  and  $vx \ne \varepsilon$ 
  - ==> v, x cannot contain all three symbols (a,b,c)
  - ==> we can pump up or pump down to build another string which is ∉ L



## Example #2 for P/L application

- $L = \{ ww \mid w \text{ is in } \{0,1\}^* \}$
- Show that L is not a CFL

- Try string  $z = 0^N 0^N$ 
  - what happens?
- Try string  $z = 0^{N}1^{N}0^{N}1^{N}$ 
  - what happens?



## Example 3

L =  $\{0^{k^2} \mid k \text{ is any integer}\}$ 

 Prove L is not a CFL using Pumping Lemma



## Example 4

$$L = \{a^ib^jc^k \mid i < j < k\}$$

Prove that L is not a CFL



## CFL Closure Properties



## Closure Property Results

- CFLs are closed under:
  - Union
  - Concatenation
  - Kleene closure operator
  - Substitution
  - Homomorphism, inverse homomorphism
  - reversal
- CFLs are not closed under:
  - Intersection
  - Difference
  - Complementation

Note: Reg languages are closed under these operators



## Strategy for Closure Property Proofs

- First prove "closure under substitution"
- Using the above result, prove other closure properties
- CFLs are closed under:
  - Union ←■ Concatenation ←
  - Kleene closure operator ←

Prove this first

Substitution

- Homomorphism, inverse homomorphism ←
- Reversal

Note: s(L) can use a different alphabet

## The **Substitution** operation

For each  $a \in \Sigma$ , then let s(a) be a language If  $w=a_1a_2...a_n \in L$ , then:

```
• s(w) = \{ x_1x_2 ... \} \in s(L), s.t., x_i \in s(a_i)
```

#### **Example:**

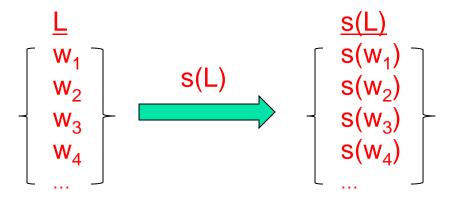
- Let  $\sum = \{0,1\}$
- Let:  $s(0) = \{a^nb^n \mid n \ge 1\}, s(1) = \{aa,bb\}$
- If w=01, s(w)=s(0).s(1)
  - E.g., s(w) contains a¹ b¹ aa, a¹ b¹bb,
     a² b² aa, a² b²bb,
     and so on.

# CFLs are closed under Substitution

IF L is a CFL and a substitution defined on L, s(L), is s.t., s(a) is a CFL for every symbol a, THEN:

s(L) is also a CFL

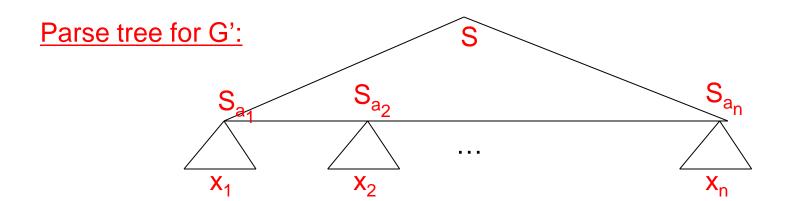
#### What is s(L)?



Note: each s(w) is itself a set of strings

## CFLs are closed under Substitution

- G=(V,T,P,S) : CFG for L
- Because every s(a) is a CFL, there is a CFG for each s(a)
  - Let  $G_a = (V_a, T_a, P_a, S_a)$
- Construct G'=(V',T',P',S) for s(L)
- P' consists of:
  - The productions of P, but with every occurrence of terminal "a" in their bodies replaced by S<sub>a</sub>.
  - All productions in any P<sub>a</sub>, for any a ∈ ∑



# Substitution of a CFL: example

- Let L = language of binary palindromes s.t., substitutions for 0 and 1 are defined as follows:
  - $s(0) = \{a^nb^n \mid n \ge 1\}, s(1) = \{xx,yy\}$
- Prove that s(L) is also a CFL.

CFG for L:

 $S = > 0S0|1S1|\epsilon$ 

CFG for s(0):

 $S_0 => aS_0 b \mid ab$ 

CFG for s(1):

 $S_1 => xx \mid yy$ 



Therefore, CFG for s(L):

 $S=> S_0SS_0 \mid S_1SS_1 \mid \varepsilon$ 

 $S_0 => aS_0b \mid ab$ 

 $S_1 => xx \mid yy$ 



### CFLs are closed under union

Let L<sub>1</sub> and L<sub>2</sub> be CFLs

To show: L<sub>2</sub> U L<sub>2</sub> is also a CFL

Let us show by using the result of Substitution

Make a new language:

• 
$$L_{new} = \{a,b\} \text{ s.t., } s(a) = L_1 \text{ and } s(b) = L_2$$

$$==> s(L_{new}) == same as == L_1 U L_2$$



- A more direct, alternative proof
  - Let S<sub>1</sub> and S<sub>2</sub> be the starting variables of the grammars for L<sub>1</sub> and L<sub>2</sub>
    - Then, S<sub>new</sub> => S<sub>1</sub> | S<sub>2</sub>



# CFLs are closed under concatenation

■ Let L<sub>1</sub> and L<sub>2</sub> be CFLs

Let us show by using the result of Substitution

A proof without using substitution?



## CFLs are closed under Kleene Closure

Let L be a CFL

• Let  $L_{new} = \{a\}^* \text{ and } s(a) = L_1$ 

■ Then,  $L^* = s(L_{new})$ 



- Let L be a CFL, with grammar G=(V,T,P,S)
- For L<sup>R</sup>, construct G<sup>R</sup>=(V,T,P<sup>R</sup>,S) s.t.,
  - If  $A==>\alpha$  is in P, then:
    - A==>  $\alpha^R$  is in  $P^R$
    - (that is, reverse every production)



# CFLs are *not* closed under Intersection

- Existential proof:
  - $L_1 = \{0^n 1^n 2^i \mid n \ge 1, i \ge 1\}$
  - $L_2 = \{0^i 1^n 2^n \mid n \ge 1, i \ge 1\}$
- Both L<sub>1</sub> and L<sub>2</sub> are CFLs
  - Grammars?
- But L<sub>1</sub> ∩ L<sub>2</sub> cannot be a CFL
  - Why?
- We have an example, where intersection is not closed.
- Therefore, CFLs are not closed under intersection



# CFLs are not closed under complementation

 Follows from the fact that CFLs are not closed under intersection

$$L_1 \cap L_2 = \overline{L_1} \cup \overline{L_2}$$

Logic: if CFLs were to be closed under complementation

- → the whole right hand side becomes a CFL (because CFL is closed for union)
- → the left hand side (intersection) is also a CFL
- → but we just showed CFLs are NOT closed under intersection!
- → CFLs <u>cannot</u> be closed under complementation.



# CFLs are not closed under difference

 Follows from the fact that CFLs are not closed under complementation

- Because, if CFLs are closed under difference, then:
  - $\blacksquare \overline{\mathsf{L}} = \sum^* \mathsf{L}$
  - So L has to be a CFL too
  - Contradiction



### **Decision Properties**

- Emptiness test
  - Generating test
  - Reachability test
- Membership test
  - PDA acceptance

# "Undecidable" problems for CFL

- Is a given CFG G ambiguous?
- Is a given CFL inherently ambiguous?
- Is the intersection of two CFLs empty?
- Are two CFLs the same?
- Is a given L(G) equal to ∑\*?



### Summary

- Normal Forms
  - Chomsky Normal Form
  - Griebach Normal Form
  - Useful in proroving P/L
- Pumping Lemma for CFLs
  - Main difference: z=uv<sup>i</sup>wx<sup>i</sup>y
- Closure properties
  - Closed under: union, concatentation, reversal, Kleen closure, homomorphism, substitution
  - Not closed under: intersection, complementation, difference