Announcement

■ Please fill out the course evaluation.

Exceptional Control Flow: Processes and Signals (Cont.)

B&O Readings: 8.4-8.8

CSE 361: Introduction to Systems Software

Instructor:

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fork Example: Nested forks in parent

```
void fork2()
{
    printf("L0\n");
    if (Fork() != 0) {
        printf("L1\n");
        if (Fork() != 0) {
            printf("L2\n");
        }
        printf("Bye\n");
}
```

```
Feasible output?

L0

L1

Bye

Bye

L1

Bye

L2

Bye

L2

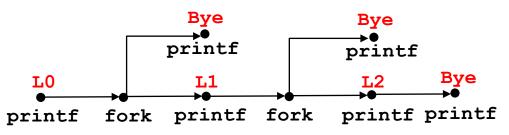
Bye

L2
```



fork Example: Nested forks in parent

```
void fork2()
{
    printf("L0\n");
    if (Fork() != 0) {
        printf("L1\n");
        if (Fork() != 0) {
            printf("L2\n");
        }
    }
    printf("Bye\n");
}
```



```
Feasible output?
                             Feasible output?
                             L<sub>0</sub>
LO
L1
                             Bye
                             L1
Bye
Bye
                             Bye
L2
                             Bye
Bye
                             L2
Yes
                              No
```



fork Example: Two consecutive forks

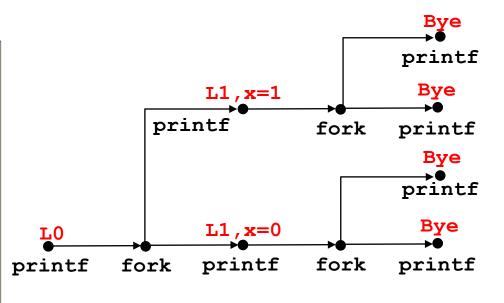
```
void fork4()
{
    int x = 0;
    printf("L0\n");
    if(Fork() == 0) x++;
    printf("L1,%d\n", x);
    Fork();
    printf("Bye\n");
}
```

- What does the process graph look like?
- How many Bye does this program print?



fork Example: Two consecutive forks

```
void fork4()
{
    int x = 0;
    printf("L0\n");
    if(fork() == 0) x++;
    printf("L1,%d\n", x);
    fork();
    printf("Bye\n");
}
```



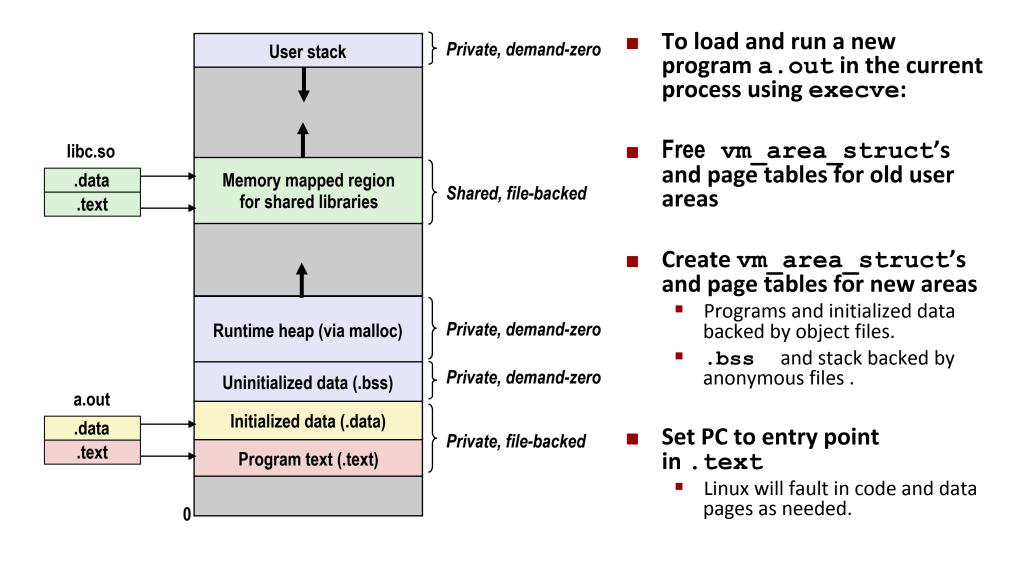
- What does the process graph look like?
- How many Bye does this program print?



execve: Loading and Running Programs

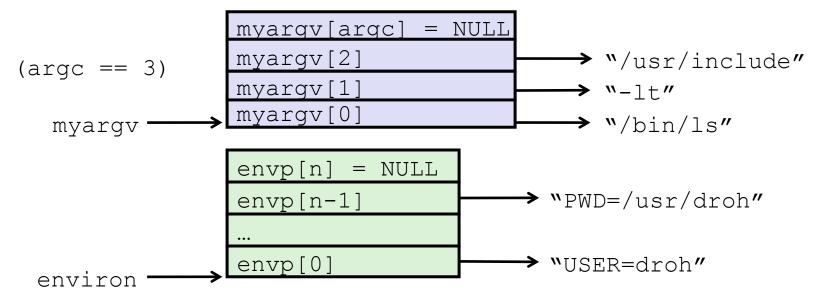
- int execve(char *filename, char *argv[], char *envp[])
- Loads and runs in the current process:
 - Executable file filename
 - Can be object file or script file (e.g., #!/bin/bash)
 - with argument list argv
 - By convention argv[0] == filename
 - and environment variable list envp
 - "name=value" strings (e.g., USER=angelee)
 - getenv, putenv, printenv
- Overwrites code, data, and stack
 - Retains PID, open files and signal context
- Called once and never returns
 - ...except if there is an error

The execve Function

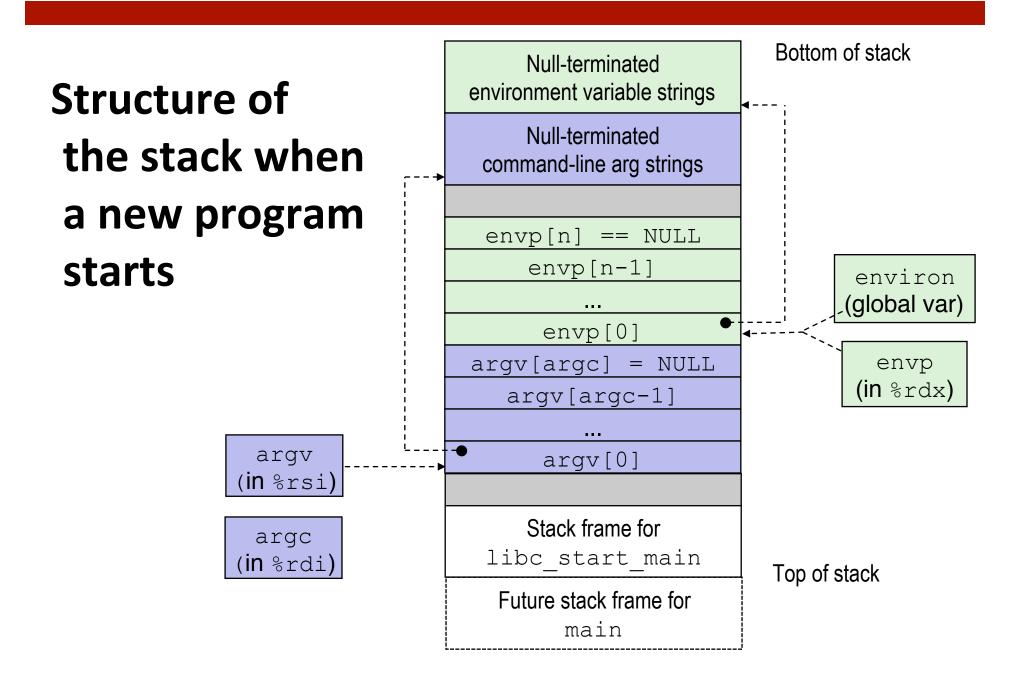


execve Example

■ Executes "/bin/ls -lt /usr/include" in child process using current environment:



```
if ((pid = Fork()) == 0) {  /* Child runs program */
   if (execve(myargv[0], myargv, environ) < 0) {
      printf("%s: Command not found.\n", myargv[0]);
      exit(1);
   }
}</pre>
```



Reaping Child Processes

Idea

- When process terminates, it still consumes system resources
 - Examples: Exit status, various OS data structures
- Called a "zombie"
 - Living corpse, half alive and half dead

Reaping

- Performed by parent on terminated child to get child's exit status (using wait or waitpid)
- Kernel then deletes zombie child process

What if parent doesn't reap?

- If any parent terminates without reaping a child, then the orphaned child will be reaped by init process (pid == 1)
- So, should reap children explicitly in long-running processes
 - e.g., shells and servers

Zombie Example

```
linux> ./forks 7 &
[11 6639
Running Parent, PID = 6639
Terminating Child, PID = 6640
linux> ps
  PID TTY
                    TIME CMD
 6585 ttyp9
               00:00:00 tcsh
                                              ps shows child process as
 6639 ttyp9
               00:00:03 forks
                                               "defunct" (i.e., a zombie)
 6640 ttyp9
               00:00:00 forks <defunct>
 6641 ttyp9
               00:00:00 ps
linux> kill -9 6639
                                               Killing parent allows child to be
[1]
       Terminated
                                               reaped by init
linux> ps
  PID TTY
                    TIME CMD
 6585 ttyp9
                00:00:00 tcsh
 6642 ttyp9
               00:00:00 ps
```

Nonterminating Child Example

```
linux> ./forks 8
Terminating Parent, PID = 6675
Running Child, PID = 6676
linux> ps
 PID TTY
                   TIME CMD
 6585 ttyp9
               00:00:00 tcsh
               00:00:06 forks
 6676 ttyp9
               00:00:00 ps
 6677 ttyp9
linux> kill 6676
linux> ps
 PID TTY
                   TIME CMD
 6585 ttyp9
               00:00:00 tcsh
 6678 ttyp9
               00:00:00 ps
```

- Child process still active even though parent has terminated
- Must kill child explicitly, or else will keep running indefinitely

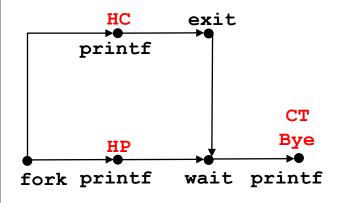
Synchronizing with Children

- Parent reaps a child by calling the wait/waitpid function
- pid_t wait(int *child_status)
- - Suspends current process until one of its children terminates
 - Return value is the pid of the child process that terminated
 - If child_status != NULL, then the integer it points to will be set to a value that indicates reason the child terminated and the exit status:
 - Checked using macros defined in wait.h
 - See textbook for details

wait: Synchronizing with Children

```
void fork9() {
   int child_status;

if (fork() == 0) {
     printf("HC: hello from child\n");
     exit(0);
} else {
     printf("HP: hello from parent\n");
     wait(&child_status);
     printf("CT: child has terminated\n");
}
printf("Bye\n");
}
```



Feasible output:	Infeasible output:
HC	HP
HP	СТ
СТ	Bye
Bye	HC

Another wait Example

- If multiple children completed, will take in arbitrary order
- Can use macros WIFEXITED and WEXITSTATUS to get information about exit status

```
void fork10() {
    pid_t pid[N];
    int i, child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
            exit(100+i); /* Child */
    for (i = 0; i < N; i++) { /* Parent */
        pid_t wpid = wait(&child_status);
        if (WIFEXITED(child_status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
}
                                                        forks.c
```

waitpid: Waiting for a Specific Process

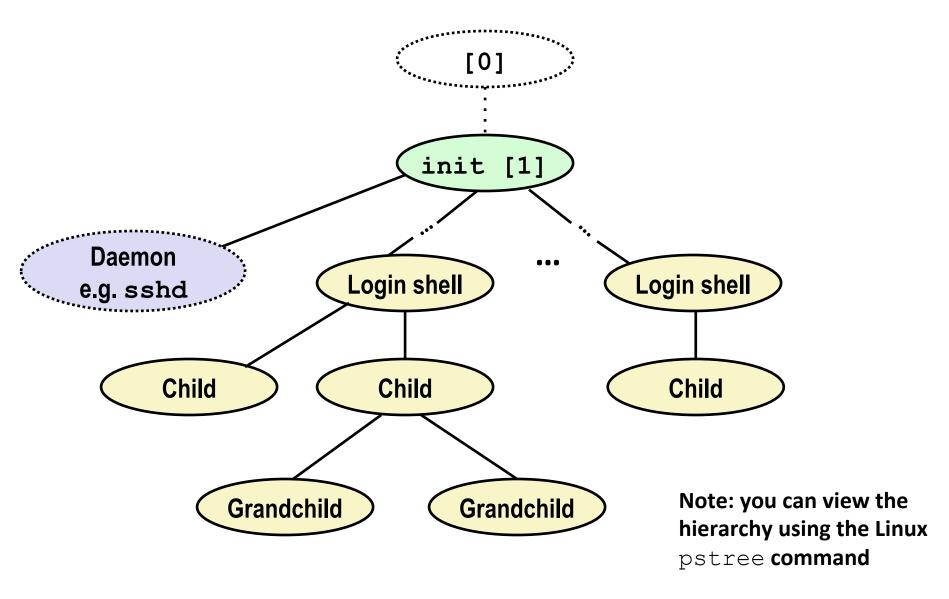
- pid_t waitpid(pid_t pid, int &status, int options)
 - Suspends current process until specific process terminates
 - Various options (see textbook or man page)

```
void fork11() {
    pid t pid[N];
    int i, child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0)
            exit(100+i); /* Child */
    for (i = N-1; i >= 0; i--) {
        pid_t wpid = waitpid(pid[i], &child_status, 0);
        if (WIFEXITED(child_status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminate abnormally\n", wpid);
}
```

Today

- Process Control
- Shells
- Signals
- Nonlocal jumps

Linux Process Hierarchy



Shell Programs

A shell is an application program that runs programs on behalf of the user.

• sh Original Unix shell (Stephen Bourne, AT&T Bell Labs, 1977)

csh/tcsh BSD Unix C shell

bash "Bourne-Again" Shell (default Linux shell)

Simple shell

- Described in the textbook, starting at p. 753
- Implementation of a very elementary shell
- Purpose
 - Understand what happens when you type commands
 - Understand use and operation of process control operations

Simple Shell Example

```
linux> ./shellex
> /bin/ls -l csapp.c Must give full pathnames for programs
-rw-r--r-- 1 bryant users 23053 Jun 15 2015 csapp.c
> /bin/ps
 PID TTY
                   TIME CMD
31542 pts/2 00:00:01 tcsh
32017 pts/2 00:00:00 shellex
32019 pts/2 00:00:00 ps
> /bin/sleep 10 &
                    Run program in background
32031 /bin/sleep 10 &
> /bin/ps
PTD TTY
                  TIME CMD
31542 pts/2 00:00:01 tcsh
32024 pts/2
              00:00:00 emacs
32030 pts/2
              00:00:00 shellex
32031 pts/2
                                Sleep is running
           00:00:00 sleep
32033 pts/2
              00:00:00 ps
                                   in background
> quit
```

Simple Shell Implementation

Basic loop

- Read line from command line
- Execute the requested operation
 - Built-in command (only one implemented is quit)
 - Load and execute program from file

```
int main(int argc, char** argv)
{
    char cmdline[MAXLINE]; /* command line */
    while (1) {
        /* read */
        printf("> ");
        Fgets(cmdline, MAXLINE, stdin);
        if (feof(stdin))
            exit(0);

        /* evaluate */
        eval(cmdline);
    }
    ...
    shellex.c
```

Execution is a sequence of read/ evaluate steps

```
void eval(char *cmdline)
   char *argv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
            /* Should the job run in bg or fg? */
   int bg;
   pid t pid; /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
                 parseline will parse 'buf' into
                 'argv' and return whether or not
                 input line ended in '&'
                                                        shellex.c
```

```
void eval(char *cmdline)
   char *argv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
            /* Should the job run in bg or fg? */
   int bg;
   pid_t pid;  /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
   if (argv[0] == NULL)
       return; /* Ignore empty lines */ Ignore empty lines.
                                                        shellex.c
```

If it is a 'built in' command, then handle it here in this program.

Otherwise fork/exec the program specified in argv[0]

```
void eval(char *cmdline)
   char *argv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
   if (argv[0] == NULL)
      return; /* Ignore empty lines */
   if (!builtin command(argv)) {
      if ((pid = Fork()) == 0) { /* Child runs user job */
                        Create child
                                                  shellex.c
```

```
void eval(char *cmdline)
   char *argv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
   int bg;
                    /* Should the job run in bg or fg? */
   pid t pid; /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
   if (arqv[0] == NULL)
       return; /* Ignore empty lines */
   if (!builtin command(argv)) {
       if ((pid = Fork()) == 0) { /* Child runs user job */
           if (execve(argv[0], argv, environ) < 0) {</pre>
               printf("%s: Command not found.\n", argv[0]);
               exit(0);
```

Start argv[0].

Remember **execve** only returns on error.

```
void eval(char *cmdline)
   char *arqv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
                      /* Should the job run in bg or fg? */
   int bg;
   pid t pid; /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
    if (argv[0] == NULL)
       return; /* Ignore empty lines */
    if (!builtin command(argv)) {
       if ((pid = Fork()) == 0) { /* Child runs user job */
           if (execve(argv[0], argv, environ) < 0) {</pre>
               printf("%s: Command not found.\n", argv[0]);
                exit(0);
       /* Parent waits for foreground job to terminate */
      if (!bq) {
           int status:
           if (waitpid(pid, &status, 0) < 0)</pre>
                unix error("waitfg: waitpid error");
                             If running child in
                             foreground, wait until
                             it is done.
                                                            shellex.c
```

```
void eval(char *cmdline)
   char *argv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
                      /* Should the job run in bg or fg? */
   int bg;
   pid t pid;
                    /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
    if (argv[0] == NULL)
       return; /* Ignore empty lines */
    if (!builtin command(argv)) {
       if ((pid = Fork()) == 0) { /* Child runs user job */
           if (execve(argv[0], argv, environ) < 0) {</pre>
               printf("%s: Command not found.\n", argv[0]);
                exit(0);
       /* Parent waits for foreground job to terminate */
      if (!bq) {
           int status:
                                                    If running child in
           if (waitpid(pid, &status, 0) < 0)</pre>
                unix error("waitfg: waitpid error")
                                                    background, print pid
        else
                                                    and continue doing
           printf("%d %s", pid, cmdline);
                                                    other stuff.
   return;
                                                            shellex.c
```

```
void eval(char *cmdline)
   char *arqv[MAXARGS]; /* Argument list execve() */
   char buf[MAXLINE]; /* Holds modified command line */
                      /* Should the job run in bg or fg? */
   int bg;
   pid t pid;
                    /* Process id */
   strcpy(buf, cmdline);
   bg = parseline(buf, argv);
   if (arqv[0] == NULL)
       return; /* Ignore empty lines */
   if (!builtin command(argv)) {
       if ((pid = Fork()) == 0) { /* Child runs user job */
           if (execve(argv[0], argv, environ) < 0) {</pre>
               printf("%s: Command not found.\n", argv[0]);
               exit(0);
       /* Parent waits for foreground job to terminate */
      if (!bq) {
           int status:
           if (waitpid(pid, &status, 0) < 0)</pre>
                                                    Oops. There is a
               unix_error("waitfg: waitpid error");
                                                    problem with
       else
           printf("%d %s", pid, cmdline);
                                                     this code.
   return;
                                                            shellex.c
```

Problem with Simple Shell Example

Our example shell correctly waits for and reaps foreground jobs

- But what about background jobs?
 - Will become zombies when they terminate
 - Will never be reaped because shell (typically) will not terminate
 - Will create a memory leak that could run the kernel out of memory

ECF to the Rescue!

- Solution: Exceptional control flow
 - The kernel will interrupt regular processing to alert us when a background process completes
 - In Unix / Linux, the alert mechanism is called a signal

ECF Exists at All Levels of a System

Exceptions

Hardware and operating system kernel software

Process Context Switch

Hardware timer and kernel software

Signals

Kernel software and application software

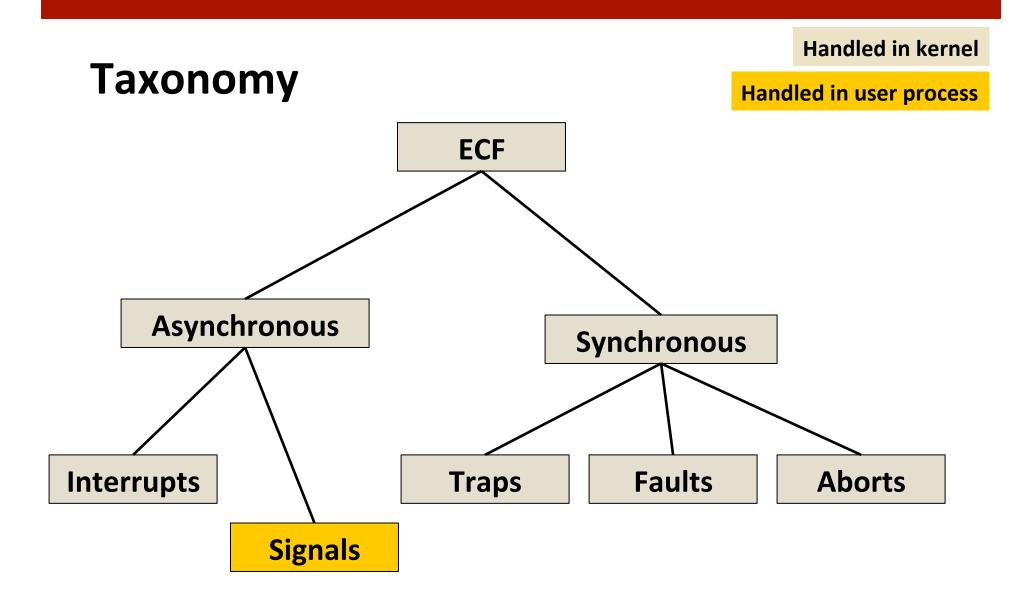
Nonlocal jumps

Application code

Previous Lecture

This Lecture

Textbook and supplemental slides



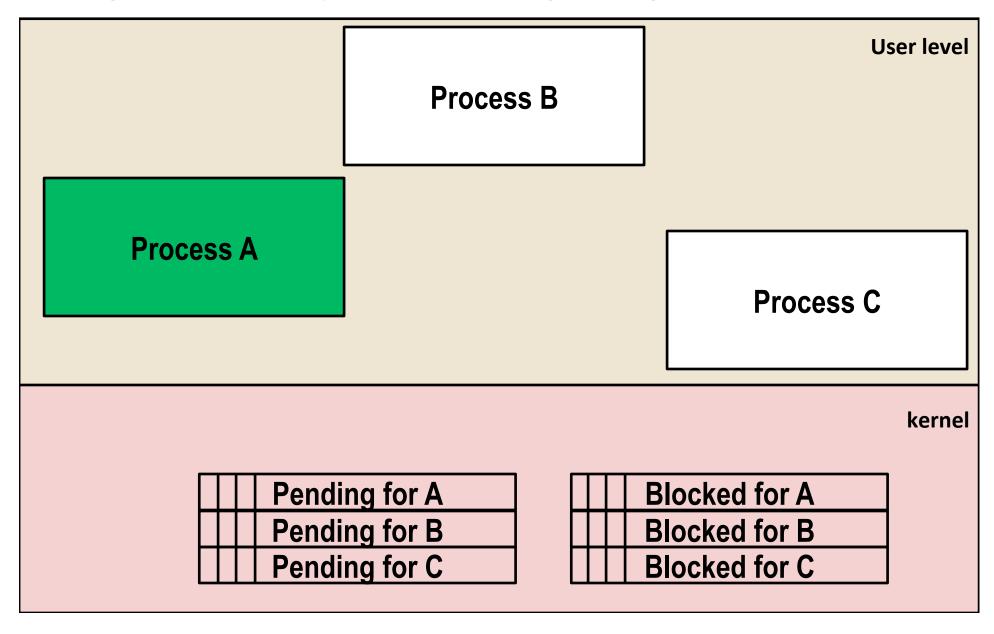
Today

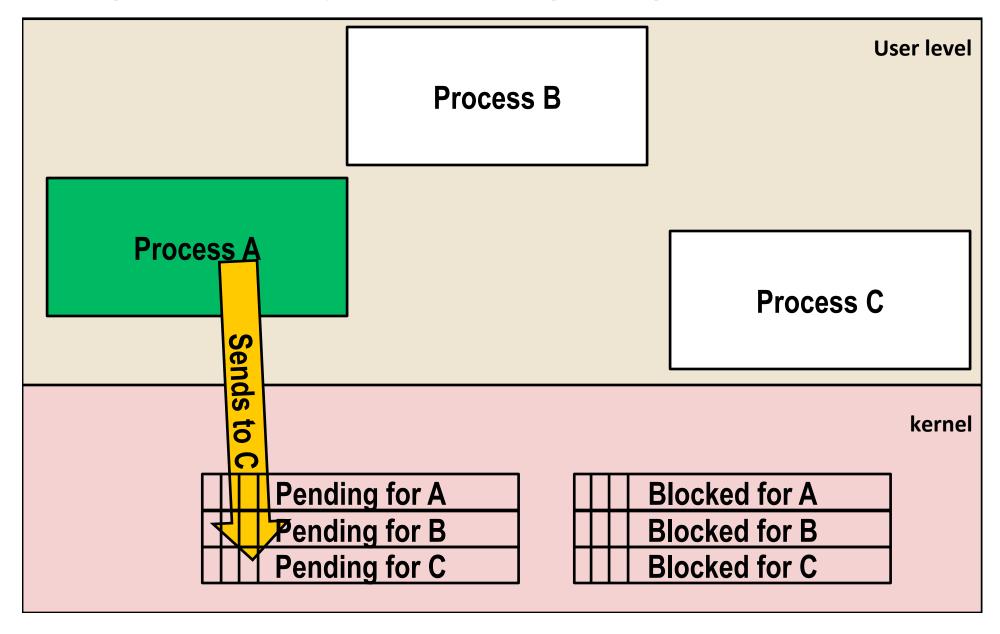
- Process
- Shells
- Signals
- Nonlocal jumps

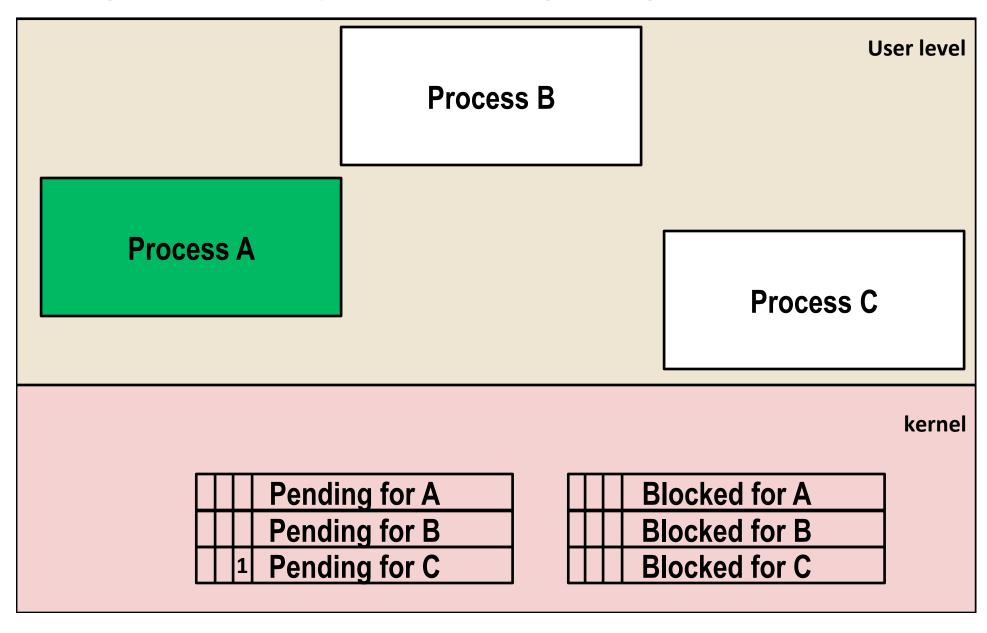
Signals

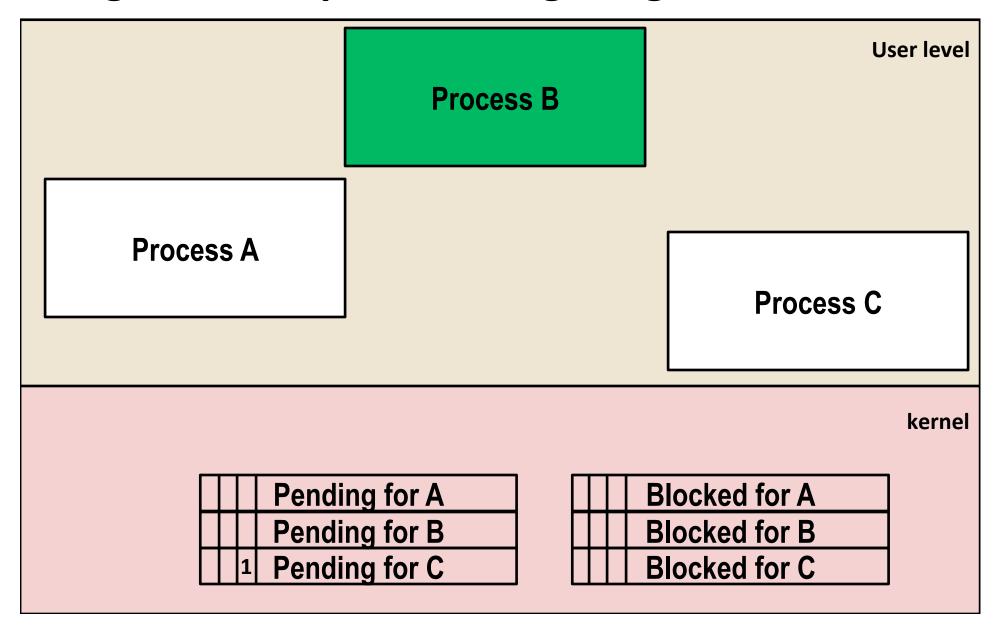
- A signal is a small message that notifies a process that an event of some type has occurred in the system
 - Akin to exceptions and interrupts
 - Sent from the kernel to a process
 - A process can also ask the kernel to send signals to a different process
 - Signal type is identified by small integer ID's (1-30)
 - Only information in a signal is its ID and the fact that it arrived

ID	Name	Default Action	Corresponding Event
2	SIGINT	Terminate	User typed ctrl-c
9	SIGKILL	Terminate	Kill program (cannot override or ignore)
11	SIGSEGV	Terminate	Segmentation violation
14	SIGALRM	Terminate	Timer signal
17	SIGCHLD	Ignore	Child stopped or terminated

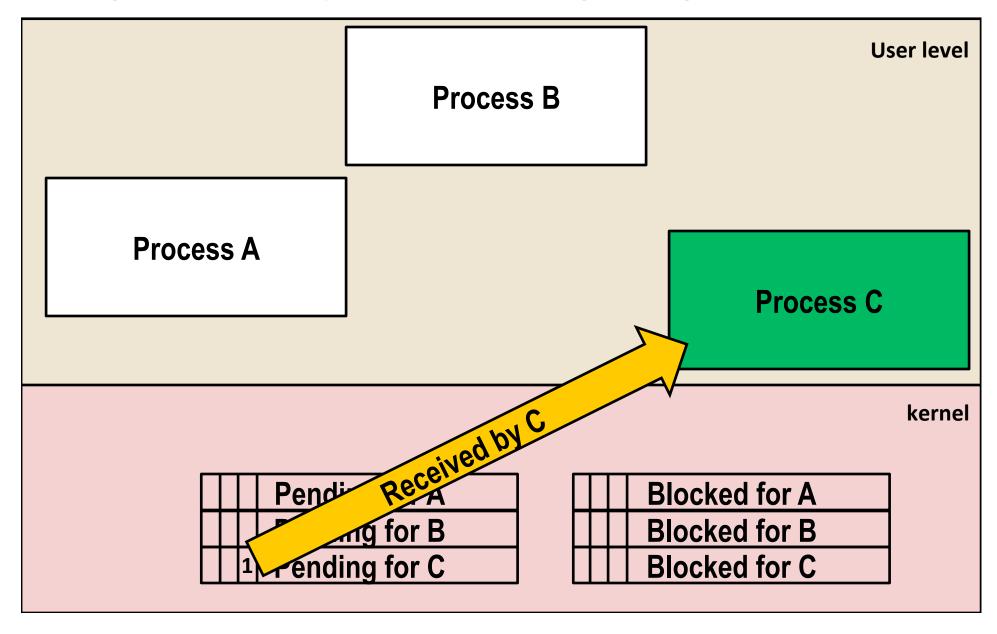








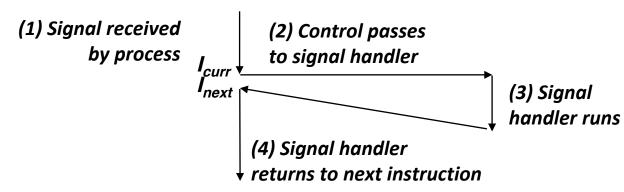
Signal Concepts: Receiving a Signal



- Kernel sends (delivers) a signal to a destination process by updating some state in the context of the destination process
- Kernel sends a signal for one of the following reasons:
 - Kernel has detected a system event such as divide-by-zero (SIGFPE) or the termination of a child process (SIGCHLD)
 - Another process has invoked the kill system call to explicitly request the kernel to send a signal to the destination process
- Note that a signal is sent, but the kernel may not process it immediately, and the destination process may not receive it immediately.

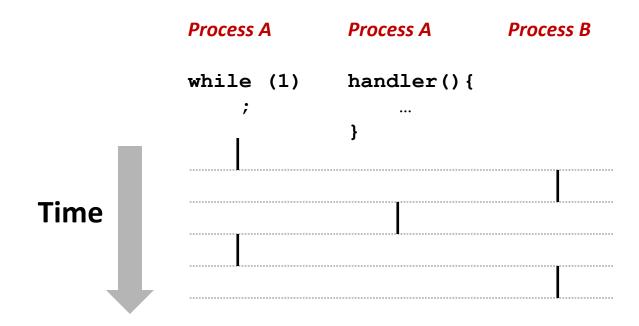
Signal Concepts: Receiving a Signal

- The kernel processes signals sent to a destination process when it is about to resume / pass control back to the process.
- The destination process *receives* a signal when it is forced by the kernel to react in some way to the delivery of the signal
 - The receipt of the signal triggers some action by the destination process
- Some possible ways to react:
 - Ignore the signal (do nothing)
 - Terminate the process (with optional core dump)
 - Catch the signal by executing a user-level function called signal handler
 - Akin to other ECF mechanisms we talked about

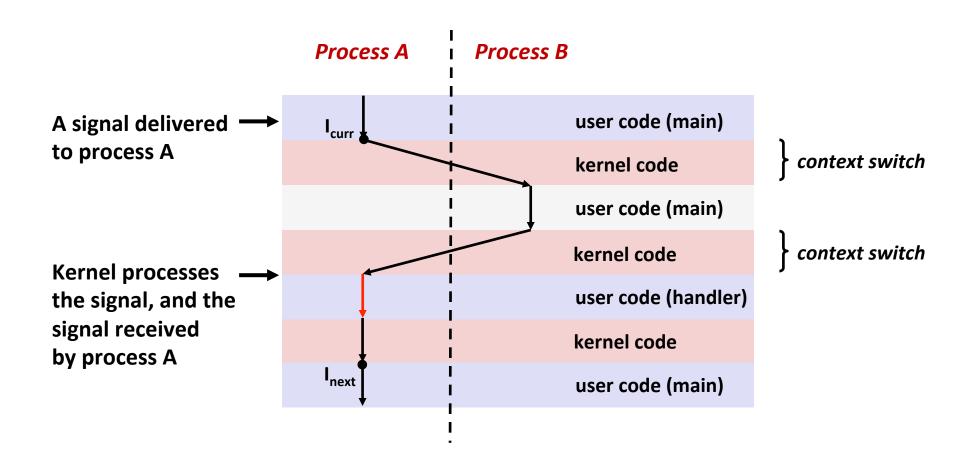


Signals Handlers as Concurrent Flows

 A signal handler is a separate logical flow (not process) that runs concurrently with the main program



Another View of Signal Handlers as Concurrent Flows



Signal Concepts: Pending and Blocked Signals

A signal is *pending* if sent but not yet received

- There can be at most one pending signal of any particular type
- Important: Signals are not queued
 - If a process has a pending signal of type k, then subsequent signals of type k that are sent to that process are discarded
- A pending signal is received at most once

A process can block the receipt of certain signals

Blocked signals can be delivered, but will not be received until the signal is unblocked

Signal Concepts: Pending/Blocked Bits

- Kernel maintains pending and blocked bit vectors in the context of each process
 - pending: represents the set of pending signals
 - Kernel sets bit k in **pending** when a signal of type k is delivered
 - Kernel clears bit k in pending when a signal of type k is received
 - **blocked**: represents the set of blocked signals
 - Can be set and cleared by using the sigprocmask function
 - Also referred to as the signal mask.

How Kernel Processes Signals

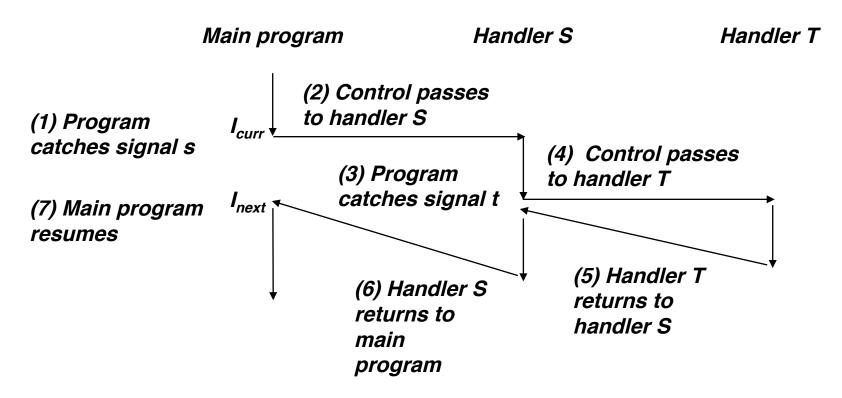
- Suppose kernel is returning from an exception handler and is ready to pass control to process A
- Kernel computes pnb = pending & ~blocked
 - The set of pending nonblocked signals for process A
- If (pnb == 0)
 - Pass control to next instruction in the logical flow for A

Else

- Choose least nonzero bit k in pnb and force process A to receive signal k
- The receipt of the signal triggers some action by A
- Repeat for all nonzero k in pnb
- Pass control to next instruction in logical flow for A

Nested Signal Handlers

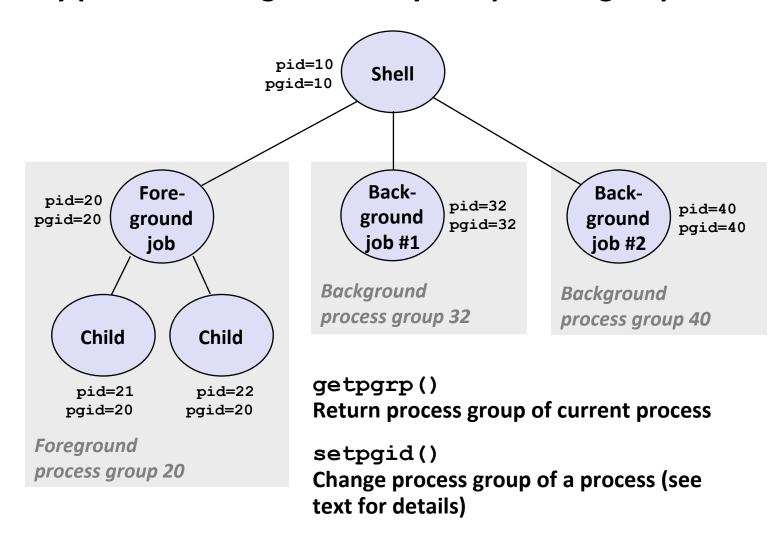
Handlers can be interrupted by other handlers



Although execution of a handler cannot be interrupted by another handler of the same type.

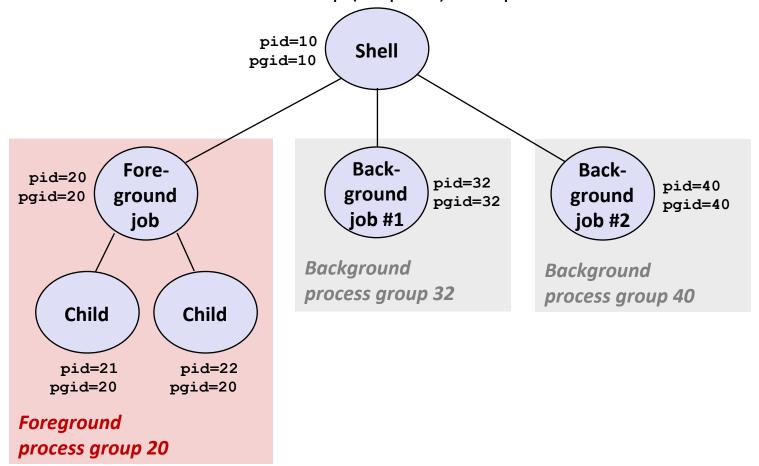
Sending Signals: Process Groups

Every process belongs to exactly one process group



Sending Signals from the Keyboard

- Typing ctrl-c (ctrl-z) causes the kernel to send a SIGINT (SIGTSTP) to every job in the foreground process group.
 - SIGINT default action is to terminate each process
 - SIGTSTP default action is to stop (suspend) each process



Example of ctrl-c and ctrl-z

```
bluefish> ./forks 17
Child: pid=28108 pgrp=28107
Parent: pid=28107 pgrp=28107
<types ctrl-z>
Suspended
bluefish> ps w
 PID TTY
              STAT
                     TIME COMMAND
27699 pts/8 Ss
                     0:00 -tcsh
28107 pts/8
                     0:01 ./forks 17
28108 pts/8
                     0:01 ./forks 17
28109 pts/8
                     0:00 ps w
              R+
bluefish> fq
./forks 17
<types ctrl-c>
bluefish> ps w
 PID TTY
              STAT
                     TIME COMMAND
27699 pts/8 Ss
                     0:00 -tcsh
28110 pts/8 R+
                     0:00 ps w
```

STAT (process state) Legend:

First letter:

S: sleeping T: stopped R: running

Second letter:

s: session leader

+: foreground proc group

See "man ps" for more details

Sending Signals with /bin/kill Program

/bin/kill program sends arbitrary signal to a process or process group

Examples

- /bin/kill -9 24818 Send SIGKILL to process 24818
- /bin/kill -9 -24817 Send SIGKILL to every process in process group 24817

```
linux> ./forks 16
Child1: pid=24818 pgrp=24817
Child2: pid=24819 pgrp=24817
linux> ps
 PID TTY
                   TIME CMD
24788 pts/2
               00:00:00 tcsh
24818 pts/2
               00:00:02 forks
24819 pts/2
               00:00:02 forks
24820 pts/2
               00:00:00 ps
linux> /bin/kill -9 -24817
linux> ps
  PID TTY
                   TIME CMD
24788 pts/2
               00:00:00 tcsh
24823 pts/2
               00:00:00 ps
linux>
```

Sending Signals with kill Function

```
void fork12()
    pid_t pid[N];
   int i:
    int child status;
    for (i = 0; i < N; i++)
        if ((pid[i] = fork()) == 0) {
           /* Child: Infinite Loop */
            while(1)
        }
    for (i = 0; i < N; i++) {
        printf("Killing process %d\n", pid[i]);
        kill(pid[i], SIGINT);
    for (i = 0: i < N: i++)
        pid_t wpid = wait(&child_status);
        if (WIFEXITED(child status))
            printf("Child %d terminated with exit status %d\n",
                   wpid, WEXITSTATUS(child status));
        else
            printf("Child %d terminated abnormally\n", wpid);
                                                             forks.c
```

Default Signal Handler

- Each signal type has a predefined default action, which is one of:
 - The process terminates
 - The process stops until restarted by a SIGCONT signal
 - The process ignores the signal

Installing Signal Handlers

- The signal function modifies the default action associated with the receipt of signal signum:
 - handler_t *signal(int signum, handler_t *handler)
 - Though signal is not very portable, so use sigaction instead (see textbook example pg 775).

Different values for handler:

- SIG_IGN: ignore signals of type signum
- SIG_DFL: revert to the default action on receipt of signals of type signum
- Otherwise, handler is the address of a user-level signal handler
 - Called when process receives signal of type signum

Signal Handling Example

```
void sigint_handler(int sig) /* SIGINT handler */
{
    printf("So you think you can stop the bomb with ctrl-c, do you?\n");
    sleep(2);
    printf("Well...");
                                  Buggy Code!
    fflush(stdout);
    sleep(1);
                            Not safe to use printf
    printf("OK. :-)\n");
                                   in handler
    exit(0);
}
int main()
{
    /* Install the SIGINT handler, see textbook pg 750 */
    Signal(SIGINT, sigint_handler);
    ... /* do something else */
    return 0;
}
```

Safe Signal Handling

- Proceed with caution: signal-handling is a tricky business
 - The handler executes concurrently with the main program.
 - Shared data structures can become corrupted.
 - Can have nested signal handlers.
 - Certain library functions are NOT SAFE to invoke within a signal handler!

Guidelines for Writing Safe Handlers

- G0: Keep your handlers as simple as possible
 - e.g., Set a global flag and return
- G1: Call only async-signal-safe functions in your handlers
 - printf, sprintf, malloc, and exit are not safe!
- G2: Save and restore errno on entry and exit
 - So that this or other handlers don't overwrite the value of errno used by the application code
- G3: Protect accesses to shared data structures by temporarily blocking all signals.
 - To prevent possible corruption
- G4: Declare global variables as volatile
 - To prevent compiler from storing them in a register
- G5: Declare global flags (integer) as sig_atomic_t
 - Individual read / write will be atomic (e.g. flag = 1, not flag++)